

# 1.3 NONREGULAR LANGUAGES

## Pumping Lemma

## NONREGULAR LANGUAGES

- **How to prove that certain languages cannot be recognized by any finite automaton.**

## NONREGULAR LANGUAGES

- **How to prove that certain languages cannot be recognized by any finite automaton.**
- $B = \{0^n 1^n \mid n \geq 0\}$  **is not regular.**
  - the machine seems to need to remember how many 0s have been seen so far as it reads the input.

## NONREGULAR LANGUAGES

- **How to prove that certain languages cannot be recognized by any finite automaton.**
- $B = \{0^n 1^n \mid n \geq 0\}$  **is not regular.**
  - the machine seems to need to remember how many 0s have been seen so far as it reads the input.
- $C = \{w \mid w \text{ has an equal number of 0s and 1s}\}$ 
  - **is not regular.**
  - Same reason as language B.

## NONREGULAR LANGUAGES

- **How to prove that certain languages cannot be recognized by any finite automaton.**
- $B = \{0^n 1^n \mid n \geq 0\}$  **is not regular.**
  - the machine seems to need to remember how many 0s have been seen so far as it reads the input.
- $C = \{w \mid w \text{ has an equal number of 0s and 1s}\}$ 
  - **is not regular.**
  - Same reason as language B.
- $D = \{w \mid w \text{ has an equal number of occurrences of 01 and 10 as substrings}\}.$ 
  - **is regular**
  - **Try to construct the machine.**

## NONREGULAR LANGUAGES

**How to prove that certain languages ?**

# NONREGULAR LANGUAGES

**How to prove that certain languages ?**

**How can we generate an acceptable long string using a FSM ?**

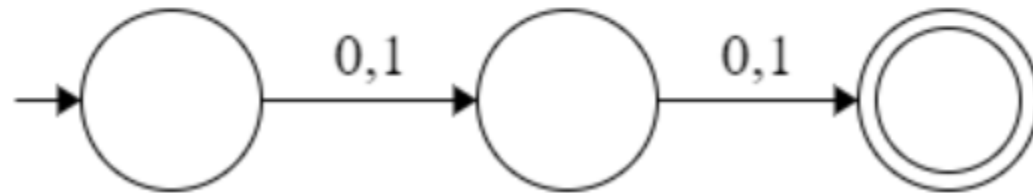
- longer than the number of the states ???

# NONREGULAR LANGUAGES

## How to prove that certain languages ?

How can we generate an **acceptable long string** using a FSM ?

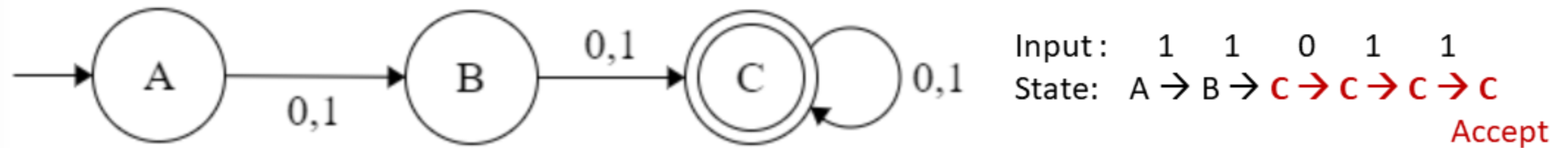
- longer than the number of the states ???
- Assume that we want to design a **3-state FSM** ( $|Q|=3$ ) that accepts strings **s** of length 2 or more ( $|s|>3$ ).





# NONREGULAR LANGUAGES

- How we can generate a long string using a FSM ?
  - longer than the number of the states ???
- Assume that we want to design a 3-state FSM ( $|Q|=3$ ) that accepts strings  $s$  of length 4 or more ( $|s| \geq 4$ ).
- For example the number of states are 3, but the length of a binary string is 5.
- We need to use a **cycle**.
- Some **states** should be **visited more than once**.



## NONREGULAR LANGUAGES

- What is the maximum length of a string that a FSM can accept without containing a cycle?

$$|S| < |Q|$$

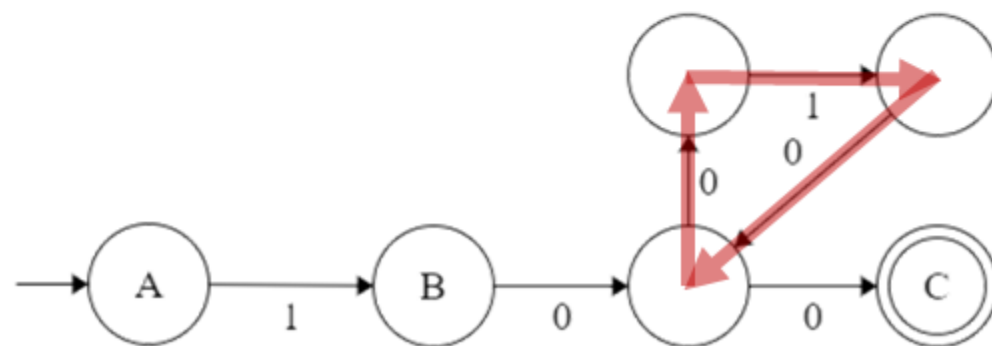
1. IF A FSM accepts  $s$  and  $|S| \geq |Q|$ , then in the sequence of the states that FSM takes after reading the input symbols, **there is a cycle**.

## NONREGULAR LANGUAGES

- What is the maximum length of a string that a FSM can accept without containing a cycle?

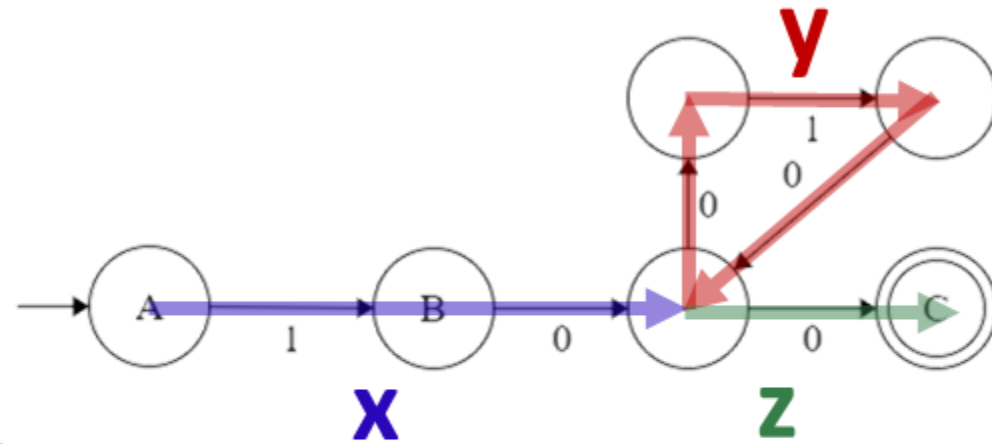
$$|S| < |Q|$$

- IF A FSM accepts  $s$  and  $|S| \geq |Q|$ , then in the sequence of the states that FSM takes after reading the input symbols, **there is a cycle**.
- The following machine accepts **100100100**.
  - $|s|=9 > |Q|=6$



## NONREGULAR LANGUAGES

1. The following machine accepts the following strings.

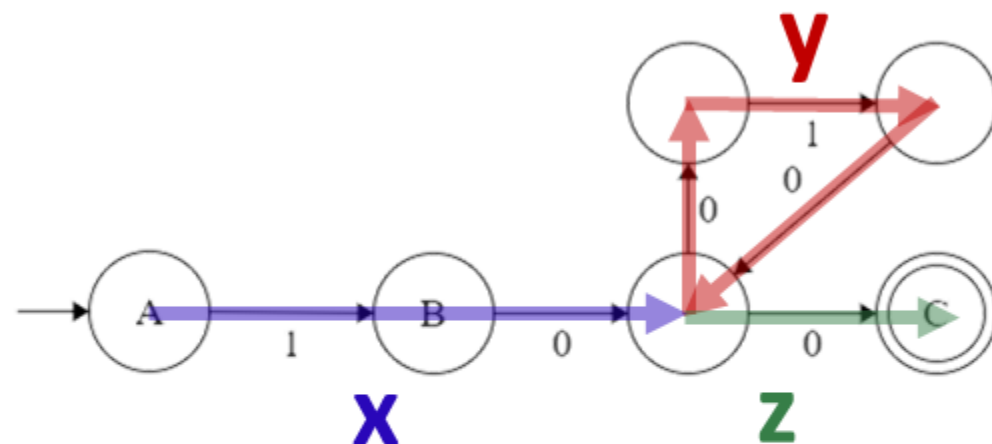


- 100
- 100100
- 100100100
- 10(010)<sup>n</sup>0

$$s = x(y)^i z$$

## NONREGULAR LANGUAGES

1. The following machine accepts the following strings.



- 100
- 100100
- 100100100
- 10(010)<sup>i</sup>0

$$s = x(y)^i z$$

We will observe that:

If  $|s| \geq |Q| \rightarrow$

0.  $s = xyz \in A$
1. for each  $i \geq 0$ ,  $xy^i z \in A$ ,
2.  $|y| > 0$ , and
3.  $|xy| \leq |Q|$ .

## Pumping Lemma

- **Theorem (Pumping Lemma):** If  $A$  is a regular language, then there is a number  $p$  (the pumping length) where if  $s$  is any string in  $A$  of length at least  $p$ , then  $s$  may be divided into three pieces,  $s=xyz$ , satisfying the following conditions:

## Pumping Lemma

- **Theorem (Pumping Lemma):** If **A** is a regular language, then there is a number **p** (the pumping length) where if **s** is any string in **A** of length at least **p**, then **s** may be divided into three pieces,  $s = xyz$ , satisfying the following conditions:
  1. for each  $i \geq 0$ ,  $xy^iz \in A$ ,
  2.  $|y| > 0$ , and
  3.  $|xy| \leq p$ .

## Pumping Lemma

- **Theorem (Pumping Lemma):** If **A** is a regular language, then there is a number **p** (the pumping length) where if **s** is any string in **A** of length at least **p**, then **s** may be divided into three pieces, **s=xyz**, satisfying the following conditions:
  1. for each  $i \geq 0$ ,  $xy^iz \in A$ ,
  2.  $|y| > 0$ , and
  3.  $|xy| \leq p$ .
- Where
  - $|s|$  represents the length of string **s**,
  - $y^i$  means that **i** copies of **y** are concatenated together, and  $y^0$  equals  $\epsilon$ .
- **Note:** the pumping length is the property of a regular language.



## Pumping Lemma

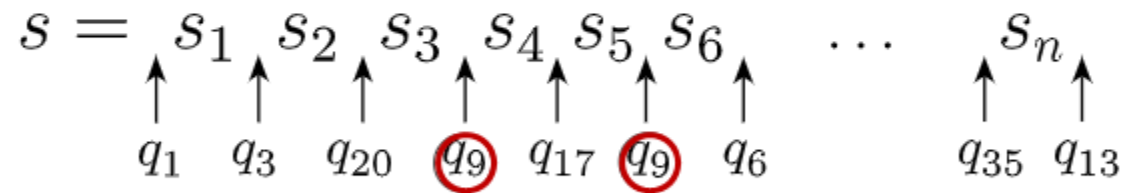
### Proof Idea:

- Let  $M = (Q, \Sigma, \delta, q_1, F)$  be a DFA that **recognizes A**.
- We assign the **pumping length  $p$**  to be the **number of states of  $M$** .
- We show that **any strings  $s$**  in  $A$  of length **at least  $p$**  may be broken into the three pieces  **$xyz$** , satisfying our three conditions.

## Pumping Lemma

### Proof Idea:

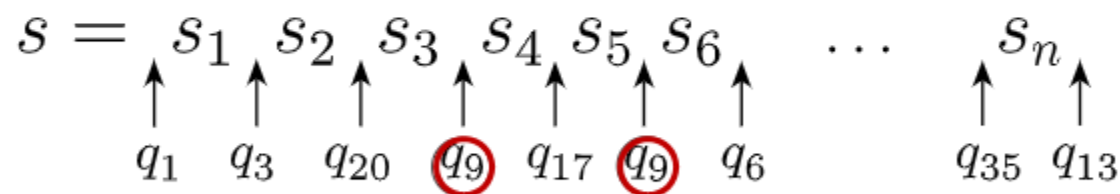
- Let  $M=(Q,\Sigma,\delta,q_1,F)$  be a DFA that recognizes  $A$ .
- We assign the **pumping length**  $p$  to be the number of states of  $M$ .
- We show that **any strings**  $s$  in  $A$  of length at least  $p$  may be broken into the three pieces  $xyz$ , satisfying our three conditions.
- Assume  $|s|=n$  and the sequence of state after scanning  $s$  is as below.
- $q_{13}$  is the **accept state**.



## Pumping Lemma

### Proof Idea:

- Let  $M=(Q,\Sigma,\delta,q_1,F)$  be a DFA that recognizes  $A$ .
- We assign the **pumping length**  $p$  to be the number of states of  $M$ .
- We show that **any strings**  $s$  in  $A$  of length at least  $p$  may be broken into the three pieces  $xyz$ , satisfying our three conditions.
- Assume  $|s|=n$  and the sequence of state after scanning  $s$  is as below.
- $q_{13}$  is the **accept state**.



- If  $|s|=n \rightarrow |q_1q_3\dots q_{35}q_{13}| = n + 1$ .
- Since  $|n| \geq |p| \rightarrow n + 1 > p$
- It means: the sequence must **contain a repeated state**. (**pigeonhole principle**)
- $q_9$  has been **repeated two times** in the example above.

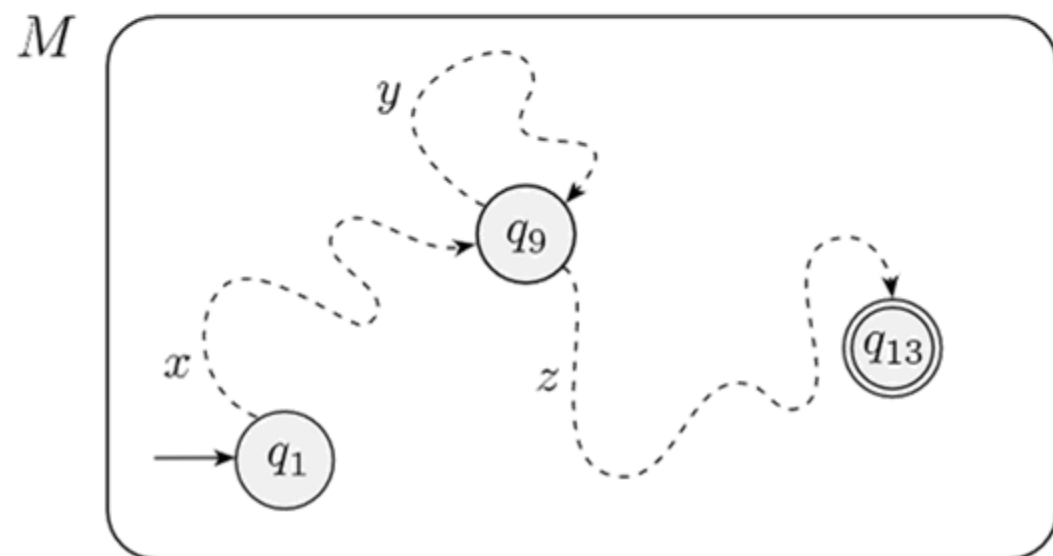
## Pumping Lemma

## Proof Idea: (cont.)

- We now divide **s** into the three pieces x, y, and z.
- this division of **s** satisfies the **three conditions**:

- Condition 1:**  $xy^iz$  is in A.
  - Substring **x**:  $q_1 \rightarrow \dots \rightarrow q_9$
  - Substring **y**:  $q_9 \rightarrow \dots \rightarrow q_9$ 
    - can be repeated.
  - Substring **z**:  $q_9 \rightarrow \dots \rightarrow q_{13}$

$$s = \begin{array}{ccccccccccc} s_1 & s_2 & s_3 & s_4 & s_5 & s_6 & \dots & s_n \\ \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & & \uparrow & \uparrow \\ q_1 & q_3 & q_{20} & q_9 & q_{17} & q_9 & q_6 & q_{35} & q_{13} \end{array}$$



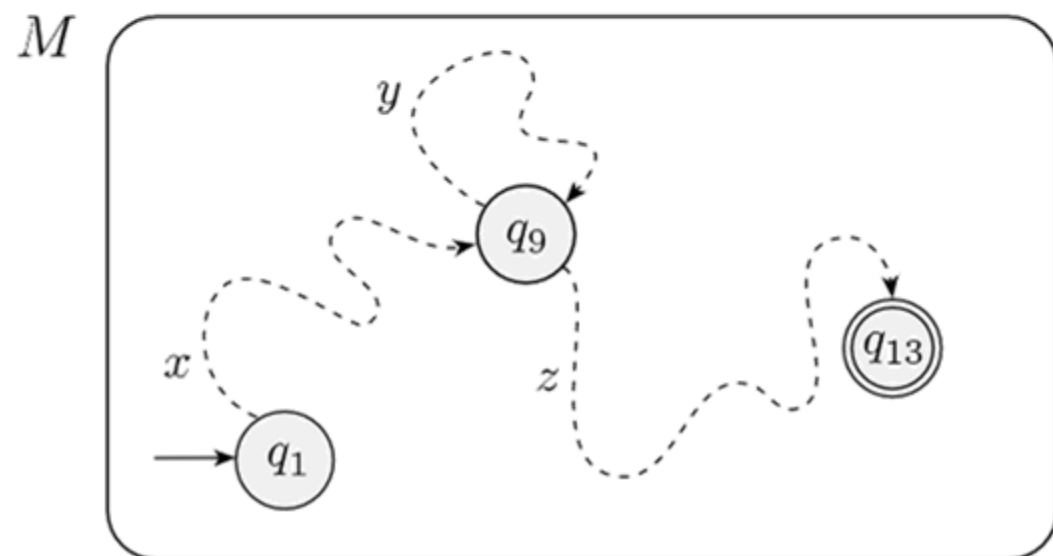
## Pumping Lemma

## Proof Idea: (cont.)

- We now divide **s** into the three pieces  $x$ ,  $y$ , and  $z$ .
- this division of **s** satisfies the **three conditions**:

- Condition 2:**  $|y| > 0$ .
  - The part of  $s$  that occurred between two different occurrences of state  $q_9$

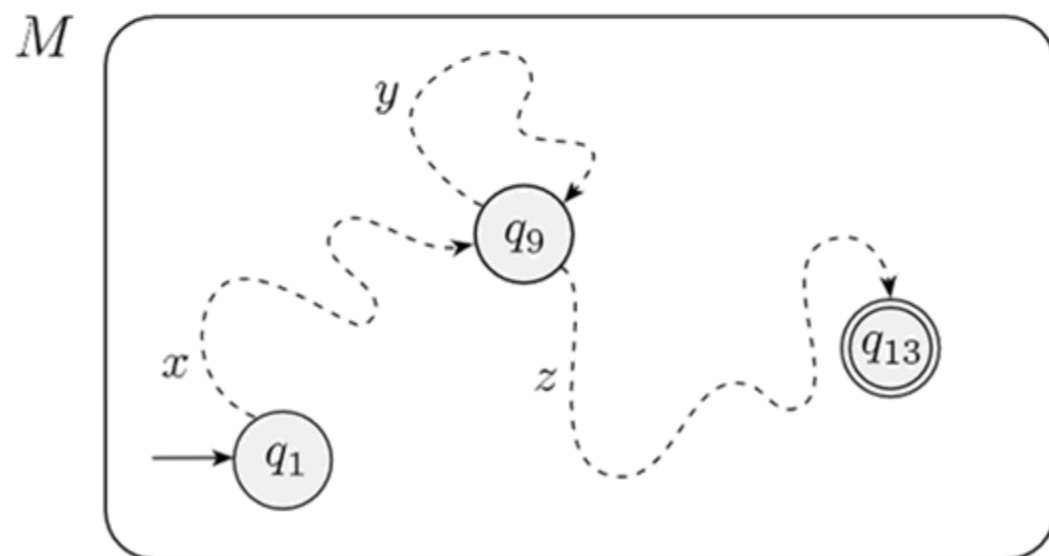
$$s = \begin{array}{ccccccccccc} s_1 & s_2 & s_3 & s_4 & s_5 & s_6 & \dots & s_n \\ \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & & \uparrow & \uparrow \\ q_1 & q_3 & q_{20} & q_9 & q_{17} & q_9 & q_6 & q_{35} & q_{13} \end{array}$$



# Pumping Lemma

## Proof Idea: (cont.)

- We now divide **s** into the three pieces  $x$ ,  $y$ , and  $z$ .
- this division of **s** satisfies the **three conditions**:
- **Condition 3**:  $|xy| \leq p$ .
  - Let  **$q_9$**  is the **first repetition** in the sequence.
  - By the **pigeonhole principle**, the **first  $p+1$  states** in the sequence must contain a repetition.
  - Therefore,  $|xy| \leq p$ .



## Pumping Lemma

Proof :

- Let  $M = (Q, \Sigma, \delta, q_1, F)$  be a DFA recognizing A and  $p$  be the number of states of M.
- Let  $s = s_1 s_2 \cdots s_n$  be a string in A of length  $n$ , where  $n \geq p$ .
- Let  $r_1, \dots, r_{n+1}$  be the sequence of states that M enters while processing  $s$ ,
  - so  $r_{i+1} = \delta(r_i, s_i)$  for  $1 \leq i \leq n$ .
  - This sequence has length  $n + 1$ , which is at least  $p + 1$ .
- **Among the first  $p+1$  elements** in the sequence, **two states  $(r_j, r_l)$  must be the same state**,
  - by the pigeonhole principle.
- Because  $r_l$  occurs among the first  $p+1$  places in a sequence starting at  $r_1$ , we have  $l \leq p + 1$ .
- **Now let**  $x = s_1 \cdots s_{j-1}$ ,  $y = s_j \cdots s_{l-1}$ , and  $z = s_l \cdots s_n$ .

## Pumping Lemma

Proof : (cont.)

- **Now let**  $x = s_1 \cdots s_{j-1}$ ,  $y = s_j \cdots s_{l-1}$ , and  $z = s_l \cdots s_n$ .
- **M** must accept  $xy^iz$  for  $i \geq 0$ .  $\rightarrow$  condition 1
- We know that  $j \neq l$ , so  $|y| > 0$   $\rightarrow$  condition 2
- and  $l \leq p+1$ , so  $|xy| \leq p$ .  $\rightarrow$  condition 3



How to use Pumping Lemma to prove that a language  $A$  is not regular.

By contradiction:

- Assume  $A$  is regular.
  - It has a pumping length, called " $p$ ".
  - All strings longer than  $p$  can be pumped.  $|s| \geq p$ .

How to use Pumping Lemma to prove that a language  $A$  is not regular.

By contradiction:

- Assume  $A$  is regular.
  - It has a pumping length, called “ $p$ ”.
  - All strings longer than  $p$  can be pumped.  $|s| \geq p$ .
- Find a string “ $s$ ” in  $A$  such that  $|s| \geq p$ .
  - Divide  $s$  into  $xyz$ .
  - Show that  $xy^iz \notin A$  for some.
  - Then consider **all ways** that  $s$  can be divided into  $xyz$ .

How to use Pumping Lemma to prove that a language  $A$  is not regular.

By contradiction:

- Assume  $A$  is regular.
  - It has a pumping length, called " $p$ ".
  - All strings longer than  $p$  can be pumped.  $|s| \geq p$ .
- Find a string " $s$ " in  $A$  such that  $|s| \geq p$ . (and cannot be found)
  - Divide  $s$  into  $xyz$ .
  - Show that  $xy^iz \notin A$  for some.
  - Then consider all ways that  $s$  can be divided into  $xyz$ .
- Show that none of these can **satisfy all the 3 pumping conditions** at the same time.
- $s$  cannot be pumped.
- The **existence of  $s$  contradicts** the pumping lemma if  $A$  were regular.

## Pumping Lemma

### Example:

- Let **B** be the language  $\{0^n 1^n \mid n \geq 0\}$ . Use the pumping lemma to prove that B is not regular.

## Pumping Lemma

### Example:

- Let  $B$  be the language  $\{0^n 1^n \mid n \geq 0\}$ . Use the pumping lemma to prove that  $B$  is not regular.
- Assume  $B$  is regular.
- Let  $p$  be the pumping length.
- Choose  $s \in B$  to be the string  $0^p 1^p$ .

## Pumping Lemma

### Example:

- Let **B** be the language  $\{0^n 1^n \mid n \geq 0\}$ . Use the pumping lemma to prove that B is not regular.
- Assume **B is regular**.
- Let **p** be the **pumping length**.
- Choose  $s \in B$  to be the string  $0^p 1^p$ .
- **Pumping lemma:**  $s$  can be split into three pieces,  $s = xyz$ , where for any  $i \geq 0$  the string  $s = xy^i z$  is in B.
- We consider **three cases** to show that **this result is impossible**.

## Pumping Lemma

## Example: (cont.)

- We consider **three cases** to show that **this result is impossible**.
  - Case 1:** the “y” is in the zeros part.
  - If  $s = 0^6 1^6 = \text{00}\underline{\text{000}}\text{0111111} \rightarrow \text{x}\underline{\text{y}^2}\text{z} = \text{00}\underline{\text{0000000}}\text{0111111} = 0^9 1^6$  is not in B.  

x
y
z

## Pumping Lemma

## Example: (cont.)

- We consider **three cases** to show that **this result is impossible**.
  - Case 2:** the “y” is in the ones part.
  - If  $s = 0^6 1^6 = \text{000000}\underline{\text{1111}}\text{11} \rightarrow \text{x}\underline{\text{y}^2}\text{z} = \text{000000}\underline{\text{111111}}\text{11} = 0^6 1^9$  is not in B.

x
y
z



## Pumping Lemma

## Example: (cont.)

- We consider **three cases** to show that **this result is impossible**.
  - Case 3:** the “y” has zeros and ones part.
  - If  $s = 0^6 1^6 = \text{00000}\underline{\text{01}}\text{11111} \rightarrow \text{x}\underline{\text{y}}^2\text{z} = \text{00000}\underline{\text{0101}}\text{11111} = 0^7 101^7$  is not in B.

x    y    z

## Pumping Lemma

## Example: (cont.)

- We consider **three cases** to show that **this result is impossible**.
  - Note:** Cases 2 and 3 contradict with condition 3 of pumping lemma.
    - Condition 3 :**  $|xy| \leq p$

• **Case1 :**  $s = 0^6 1^6 = \text{000000111111}$

**X** **Y** **Z**

$\rightarrow |xy| = 6 \leq p = 6$

• **Case2 :**  $s = 0^6 1^6 = \text{000000111111}$

**X** **Y** **Z**

$\rightarrow |xy| = 10 > p = 6$

• **Case1 :**  $s = 0^6 1^6 = \text{00000001111111}$

**X** **Y** **Z**

$\rightarrow |xy| = 7 > p = 6$

These cases  
contradict  
condition 3

## Pumping Lemma

### Example:

- Let  $C = \{w \mid w \text{ has an equal number of 0s and 1s}\}$ . Use the pumping lemma to prove that  $C$  is not regular.
- Assume  $C$  is regular.
- Let  $p$  be the pumping length.
- Choose  $s \in C$  to be the string  $0^p 1 0^p 1$ .
- **Pumping lemma:**  $s$  can be split into three pieces,  $s = xyz$ , where for any  $i \geq 0$  the string  $xy^i z$  is in  $C$ .
- **To satisfy :** **Condition 2:**  $|y| > 0$  and **Condition 3:**  $|xy| \leq p$ 
  - $y$  should be a substring of the first zeros.  $000\dots 0001000\dots 0001$
  - then  $xy^2 z$  is a substring in form of  $0^p 1 0^p 1$ . Then  $xy^2 z$  is not in  $C$ .
  - Contradiction.
- **$C$  is not regular.**

## Pumping Lemma

### Example:

- Let  $F = \{ww \mid w \in \{0,1\}^*\}$ . Use the pumping lemma to prove that  $F$  is not regular.
- Assume  $F$  is regular.
- Let  $p$  be the pumping length.
- Choose  $s \in F$  to be the string  $0^p 1 0^p 1$ .
- **Pumping lemma:**  $s$  can be split into three pieces,  $s = xyz$ , where for any  $i \geq 0$  the string  $xy^i z$  is in  $F$ .
- **To satisfy :** **Condition 2:**  $|y| > 0$  and **Condition 3:**  $|xy| \leq p$ 
  - $y$  should be a substring of the first zeros.  $000\dots 0001000\dots 0001$
  - then  $xy^2 z$  is a substring in form of  $0^p 1 0^p 1$ . Then  $xy^2 z$  is not in  $F$ .
  - **Contradiction.**
- **$F$  is not regular.**

## Pumping Lemma

### Example:

- Let  $D = \{1^{n^2} \mid n \geq 0\}$ . Use the pumping lemma to prove that  $D$  is not regular.
- Assume  $D$  is regular.
- Let  $p$  be the pumping length.
- Choose  $s \in D$  to be the string  $1^{p^2}$ .
- **Pumping lemma:**  $s$  can be split into three pieces,  $s = xyz$ , where for any  $i \geq 0$  the string  $xy^iz$  is in  $D$ .
- **Condition 3:**  $|xy| \leq p \rightarrow |y| \leq p$
- $|s| = |xyz| = p^2$  and  $|xy^2z| \leq p^2 + p < p^2 + 2p + 1 = (p+1)^2$
- Then  $xy^2z$  is not in  $D$ .
  - Its length should be a perfect square, but its length is smaller than the next member of  $s$  in  $D$ .
- **Contradiction.**
- **$D$  is not regular.**

## Pumping Lemma

### Example: (pumping down)

- Let  $E = \{0^i 1^j \mid i > j\}$ . Use the pumping lemma to prove that  $E$  is not regular.
- Assume  $E$  is regular.
- Let  $p$  be the pumping length.
- Choose  $s \in E$  to be the string  $0^{p+1}1^p$ .
- **Pumping lemma:**  $s$  can be split into three pieces,  $s = xyz$ , where for any  $i \geq 0$  the string  $xy^i z$  is in  $E$
- **Condition 3:**  $y$  consists only of zeros.
- Pumping up doesn't work.
- the string  $s = xy^i z$  is in  $E$  even when  $i = 0$ . (pumping down)
- If  $x = 0^p$ ,  $y = 0$ , and  $z = 1^p \rightarrow xy^0 z = xy = 0^p 1^p \notin E$
- Then  $xy^0 z$  is not in  $E$ .
- **Contradiction.**
- **$E$  is not regular.**