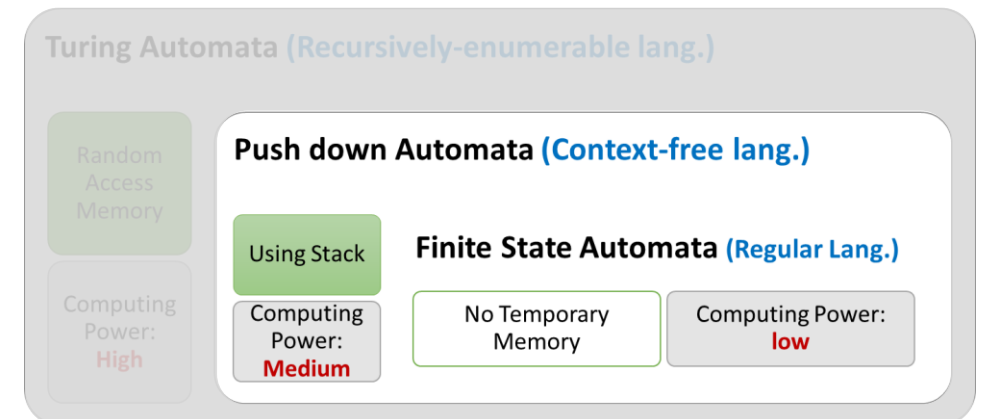


2.2 Pushdown Automata

PUSHDOWN AUTOMATA (PDA)

- PDAs are like nondeterministic finite automata but have an extra component called a stack.
 - provides additional memory beyond the finite amount available in the control.
 - allows pushdown automata to recognize some nonregular languages.

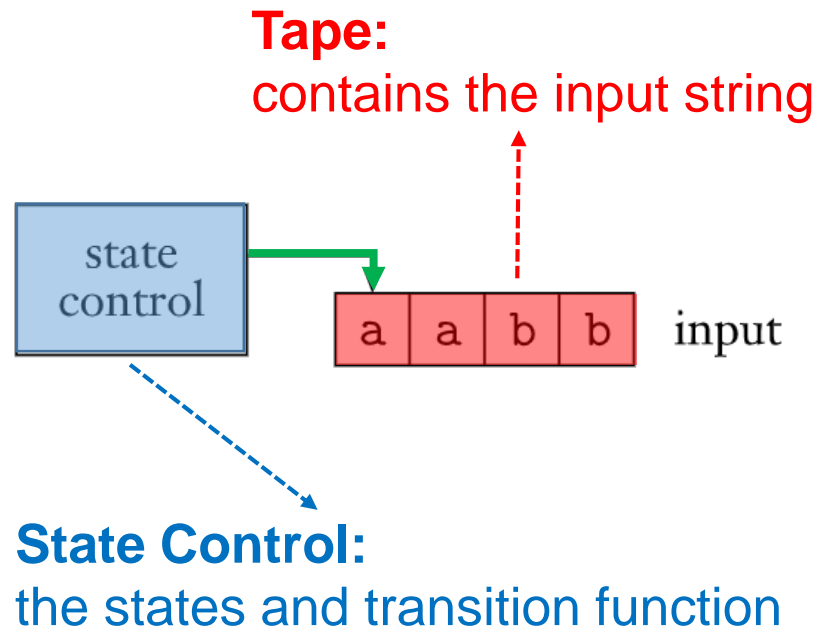


PUSHDOWN AUTOMATA (PDA)

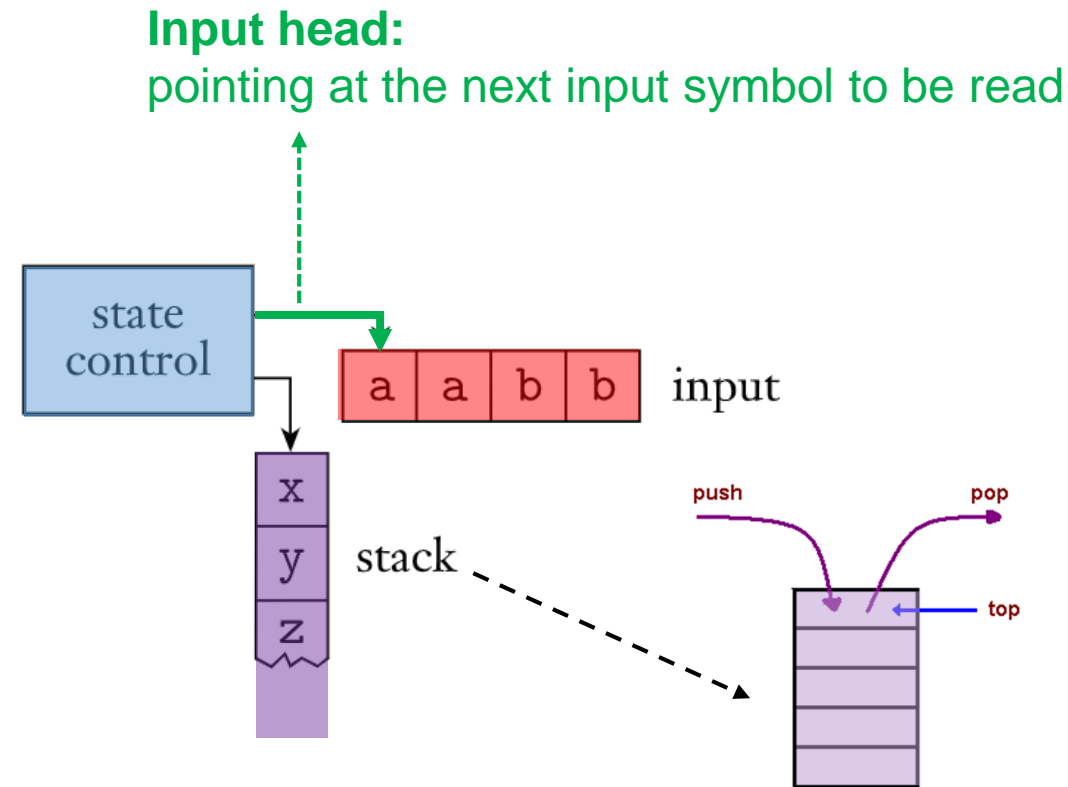
- Pushdown automata are **equivalent** in power to context-free grammars.
- A language is context free if
 - a context-free grammar **generating** it or
 - a push-down automaton **recognizing** it.

PUSHDOWN AUTOMATA (PDA)

- A PDA can **write** symbols on the stack and **read** them back later.
 - **Pushing** : writing a symbol on the stack
 - **Popping** : removing a symbol from the stack.
 - stack is a “**last in, first out**” storage device.



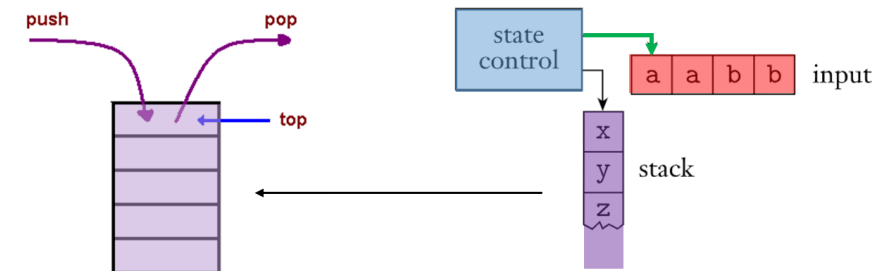
Finite automata



Pushdown automata

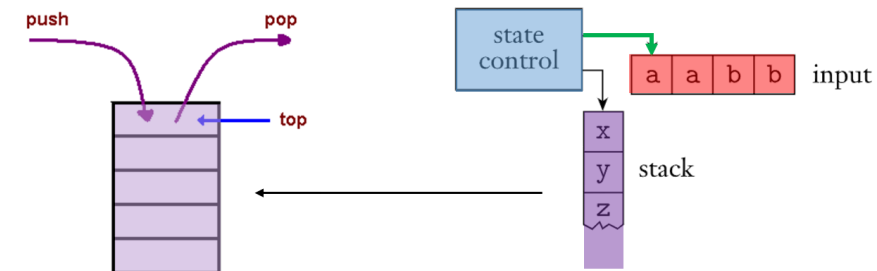
PUSHDOWN AUTOMATA (PDA)

- Stack is of infinite size.
- Stack is a “last in, first out” storage device.
- **Example:** How a PDA recognizes the nonregular language $\{0^n 1^n | n \geq 0\}$.



PUSHDOWN AUTOMATA (PDA)

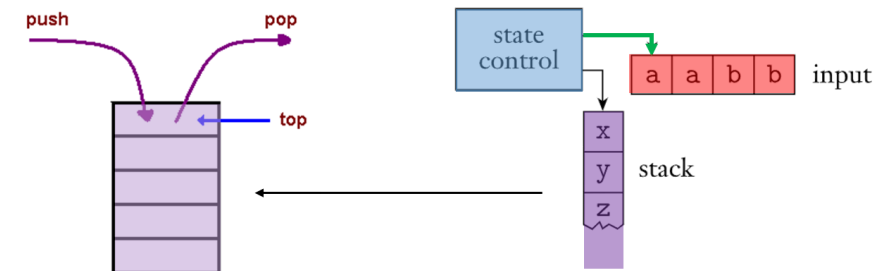
- Stack is of infinite size.
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- **Example:** How a PDA recognizes the nonregular language $\{0^n 1^n | n \geq 0\}$.
- Can use its stack to store the number of 0's it has seen.



PUSHDOWN AUTOMATA (PDA)

- Stack is of infinite size.
- Stack is a “last in, first out” storage device.
- **Example:** How a PDA recognizes the nonregular language $\{0^n 1^n | n \geq 0\}$.
- Can use its stack to store the number of 0's it has seen.

- As each 0 is read, push it onto the stack
- As soon as 1's are read, pop a 0 off the stack
- If reading the input is finished exactly when the stack is empty, accept the input else reject the input



DETERMINISTIC AND NONDETERMINISTIC (PDA)

- **Deterministic** and **nondeterministic** pushdown automata **are not equivalent** in power.
 - Nondeterministic pushdown automata recognize certain languages that no deterministic pushdown automata can recognize.
- **Note:** **nondeterministic PDAs** are equivalent in power to **context-free grammars**.

FORMAL DEFINITION OF A PUSHDOWN AUTOMATON

A *pushdown automaton* is a 6-tuple $(Q, \Sigma, \Gamma, \delta, q_0, F)$, where Q , Σ , Γ , and F are all finite sets, and

1. Q is the set of states,
2. Σ is the input alphabet,
3. Γ is the stack alphabet,
4. $\delta: Q \times \Sigma_\varepsilon \times \Gamma_\varepsilon \longrightarrow \mathcal{P}(Q \times \Gamma_\varepsilon)$ is the transition function,
5. $q_0 \in Q$ is the start state, and
6. $F \subseteq Q$ is the set of accept states.

Where $\Sigma_\varepsilon = \Sigma \cup \{\varepsilon\}$ and $\Gamma_\varepsilon = \Gamma \cup \{\varepsilon\}$

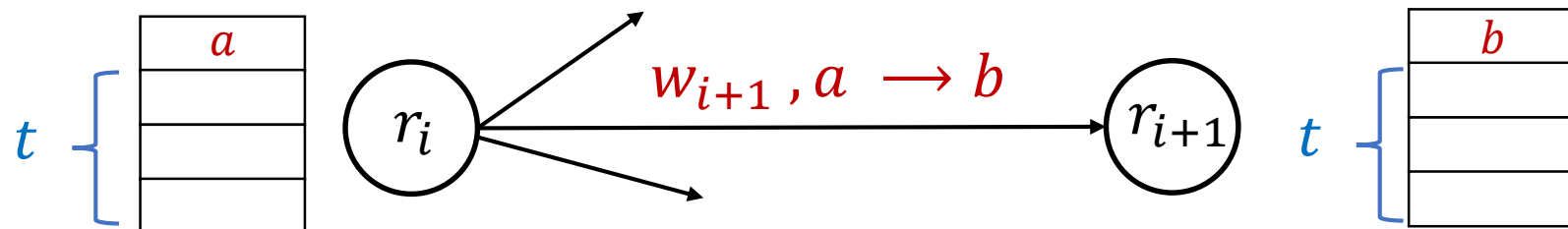
COMPUTATIONS OF A PUSHDOWN AUTOMATON

- A PDA $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$ computes as follows.
- It accepts input $w = w_1 w_2 \cdots w_m$, where each $w_i \in \Sigma_\varepsilon$ and sequences of states $r_0, r_1, \dots, r_m \in Q$ and strings $s_0, s_1, \dots, s_m \in \Gamma^*$ exist that satisfy the following conditions.

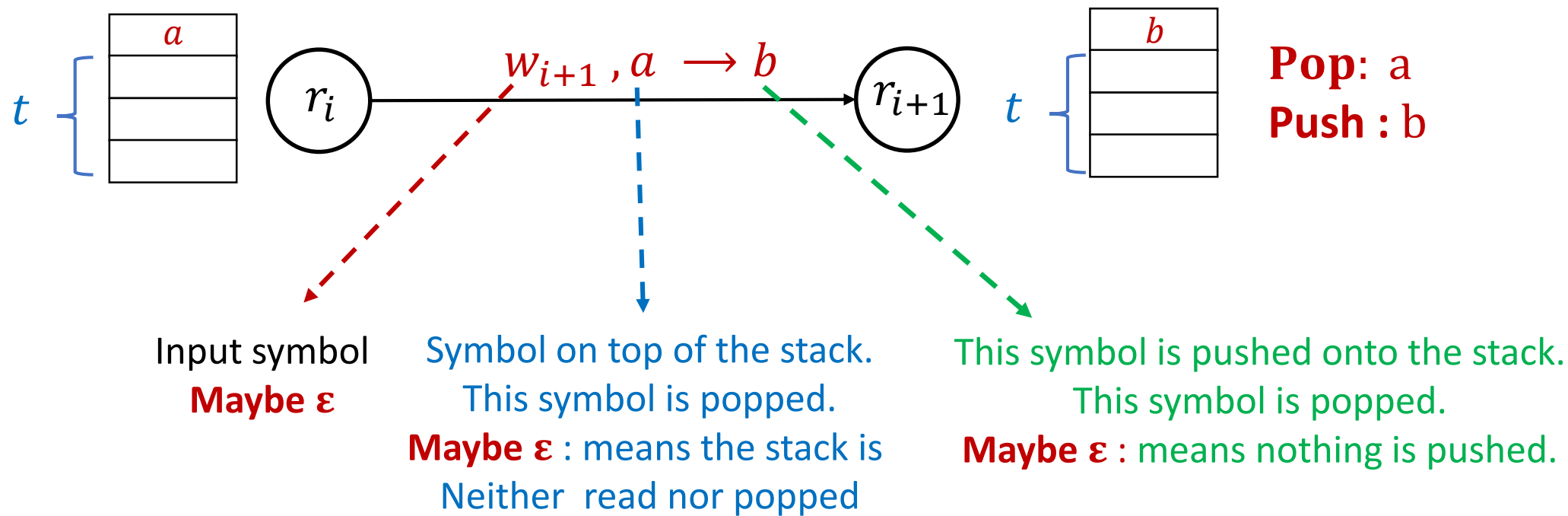
1. $r_0 = q_0$ and $s_0 = \varepsilon$.

2. For $i = 0, \dots, m - 1$, we have $(r_{i+1}, b) \in \delta(r_i, w_{i+1}, a)$, where $s_i = at$ and $s_{i+1} = bt$ for some $a, b \in \Gamma_\varepsilon$ and $t \in \Gamma^*$. This condition states that M moves properly according to the state, stack, and next input symbol.

3. $r_m \in F$. This condition states that an accept state occurs at the input end.



COMPUTATIONS OF A PUSHDOWN AUTOMATON

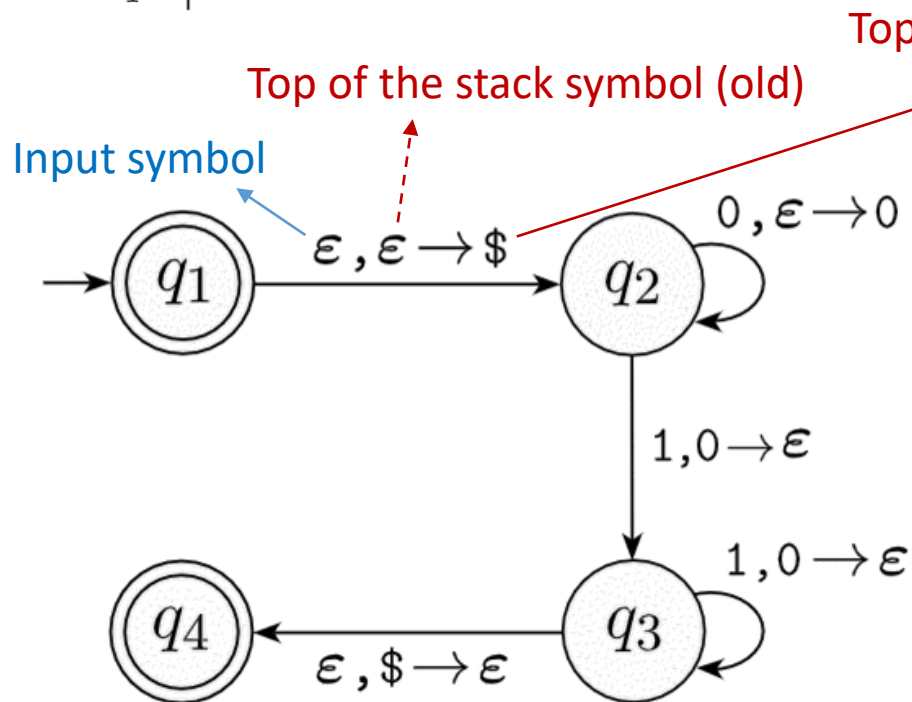


- | | | | | | | | | | |
|--------|----------------|----|------------|-----------------------|----|------------|-----------------------|----|-----------------|
| Input: | 0 | | | 1 | | | ϵ | | |
| Stack: | 0 | \$ | ϵ | 0 | \$ | ϵ | 0 | \$ | ϵ |
| q_1 | | | | | | | | | $\{(q_2, \$)\}$ |
| q_2 | $\{(q_2, 0)\}$ | | | $\{(q_3, \epsilon)\}$ | | | | | |
| q_3 | | | | $\{(q_3, \epsilon)\}$ | | | $\{(q_4, \epsilon)\}$ | | |
| q_4 | | | | | | | | | |

EXAMPLES OF PUSHDOWN AUTOMATA

• Example 1: (cont.)

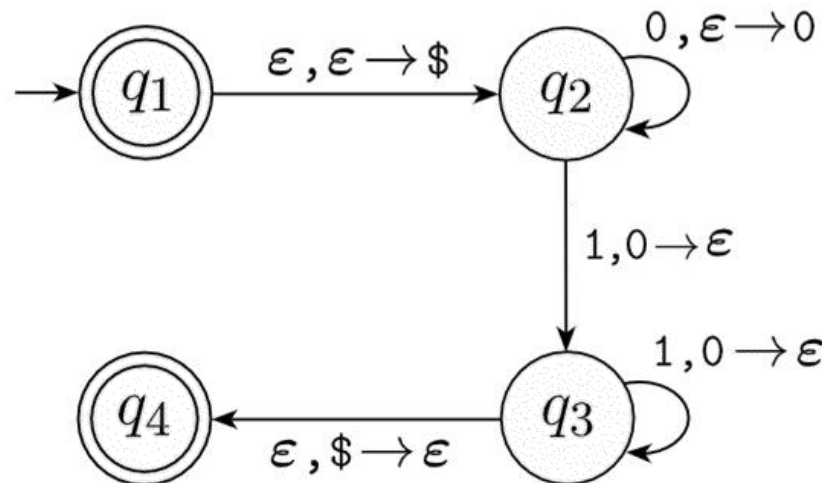
Input:	0			1			ϵ		
Stack:	0	\$	ϵ	0	\$	ϵ	0	\$	ϵ
q_1							$\{(q_2, \$)\}$		
q_2	$\{(q_2, 0)\}$			$\{(q_3, \epsilon)\}$					
q_3				$\{(q_3, \epsilon)\}$			$\{(q_4, \epsilon)\}$		
q_4									



Let $M_1 = (Q, \Sigma, \Gamma, \delta, q_1, F)$, where
 $Q = \{q_1, q_2, q_3, q_4\}$,
 $\Sigma = \{0, 1\}$,
 $\Gamma = \{0, \$\}$,
 $F = \{q_1, q_4\}$,

EXAMPLES OF PUSHDOWN AUTOMATA

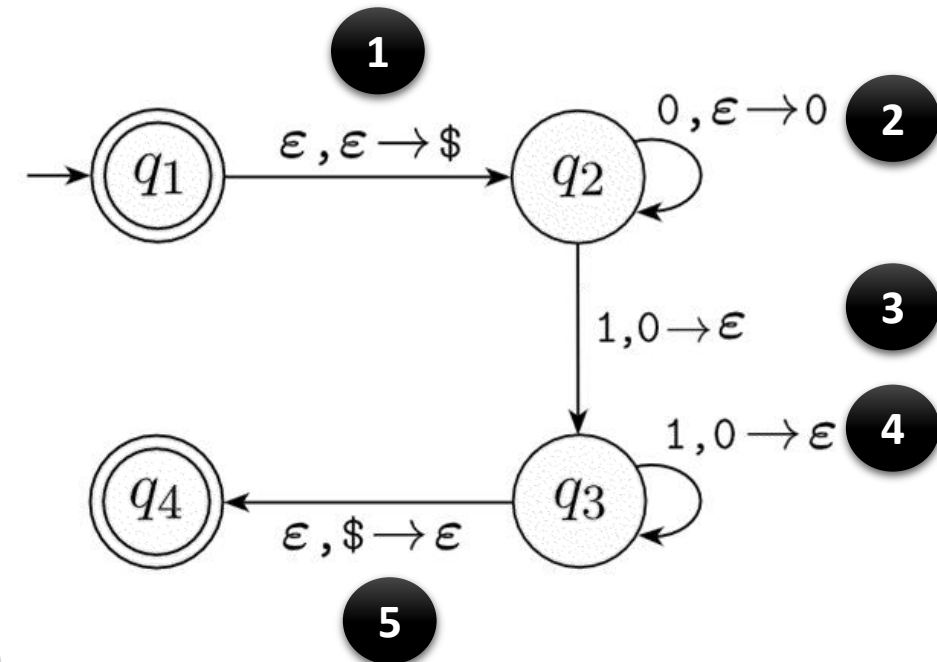
- **Example 1:** (cont.)
- “ $a, b \rightarrow c$ ”: to signify that when the machine is reading an a from the input, it may replace the symbol b on the top of the stack with a c .
- $a = \epsilon$: the machine may make this transition **without reading any symbol from the input**.
- $b = \epsilon$: the machine may make this transition **without reading and popping any symbol from the stack**.
- $c = \epsilon$: the machine **does not write any symbol on the stack** when going along this transition.



EXAMPLES OF PUSHDOWN AUTOMATA

- Example 1: (cont.)

- no explicit mechanism to allow the PDA to test for an empty stack.
- This PDA is able to get the same effect by initially placing a special **symbol \$** on the stack.



- $b = \epsilon$: the machine may make this transition without reading the stack

1. Creating an empty stack
2. If input is **0**, then **push a 0** onto the stack
- 3,4. If input is **1**, then **pop a 0** from the stack and no push
5. The **last input symbol** and **stack is empty** \rightarrow **accept** the string

- $c =$ on the

EXAMPLES OF PUSHDOWN AUTOMATA

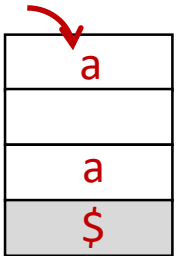
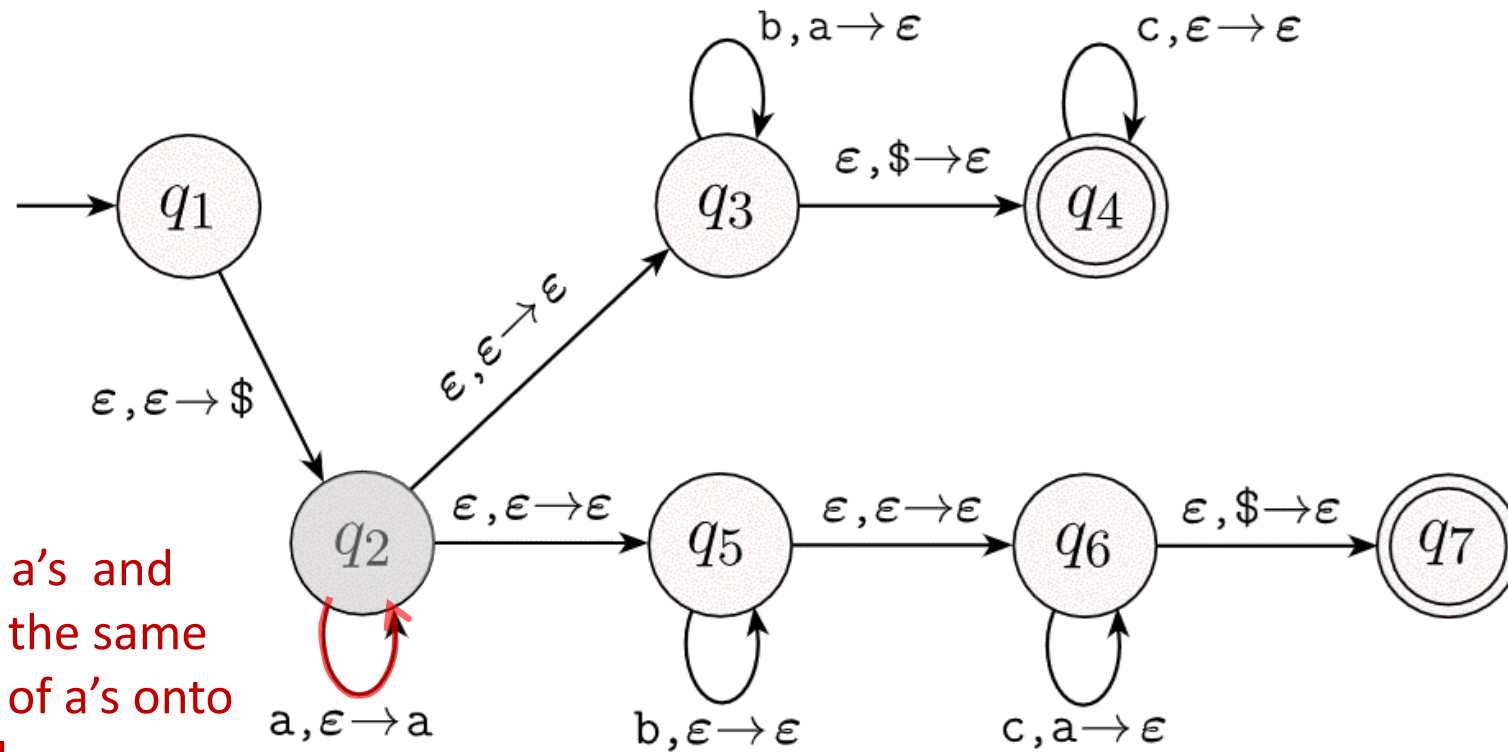
- **Example 3:** Find the PDA that recognizes the language

$$\{a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i = j \text{ or } i = k\}.$$

EXAMPLES OF PUSHDOWN AUTOMATA

- Example 2:** Find the PDA that recognizes the language

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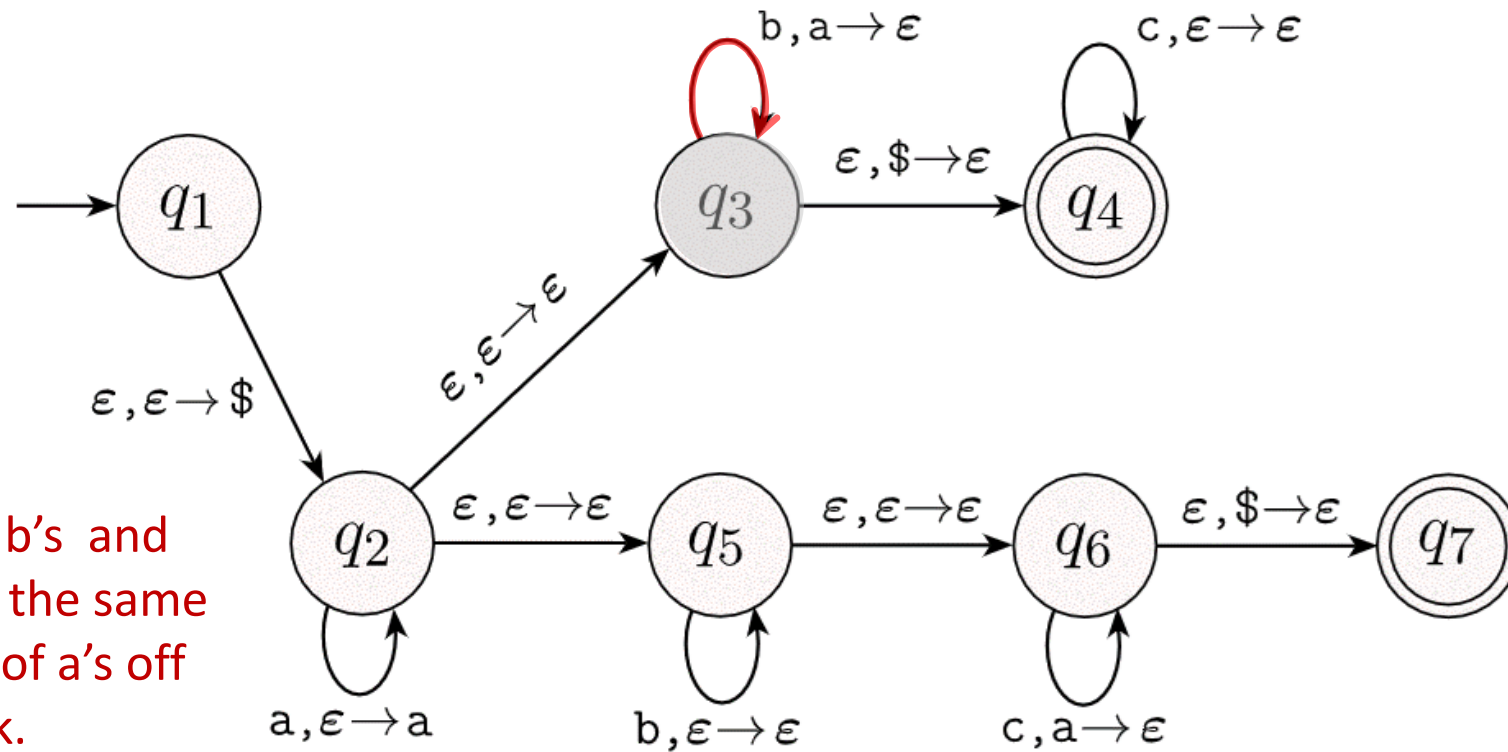


- Reading a's and pushing the same amount of a's onto the stack.

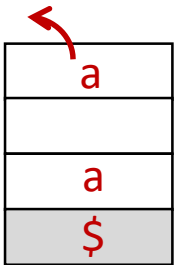
EXAMPLES OF PUSHDOWN AUTOMATA

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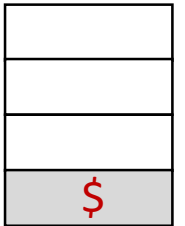
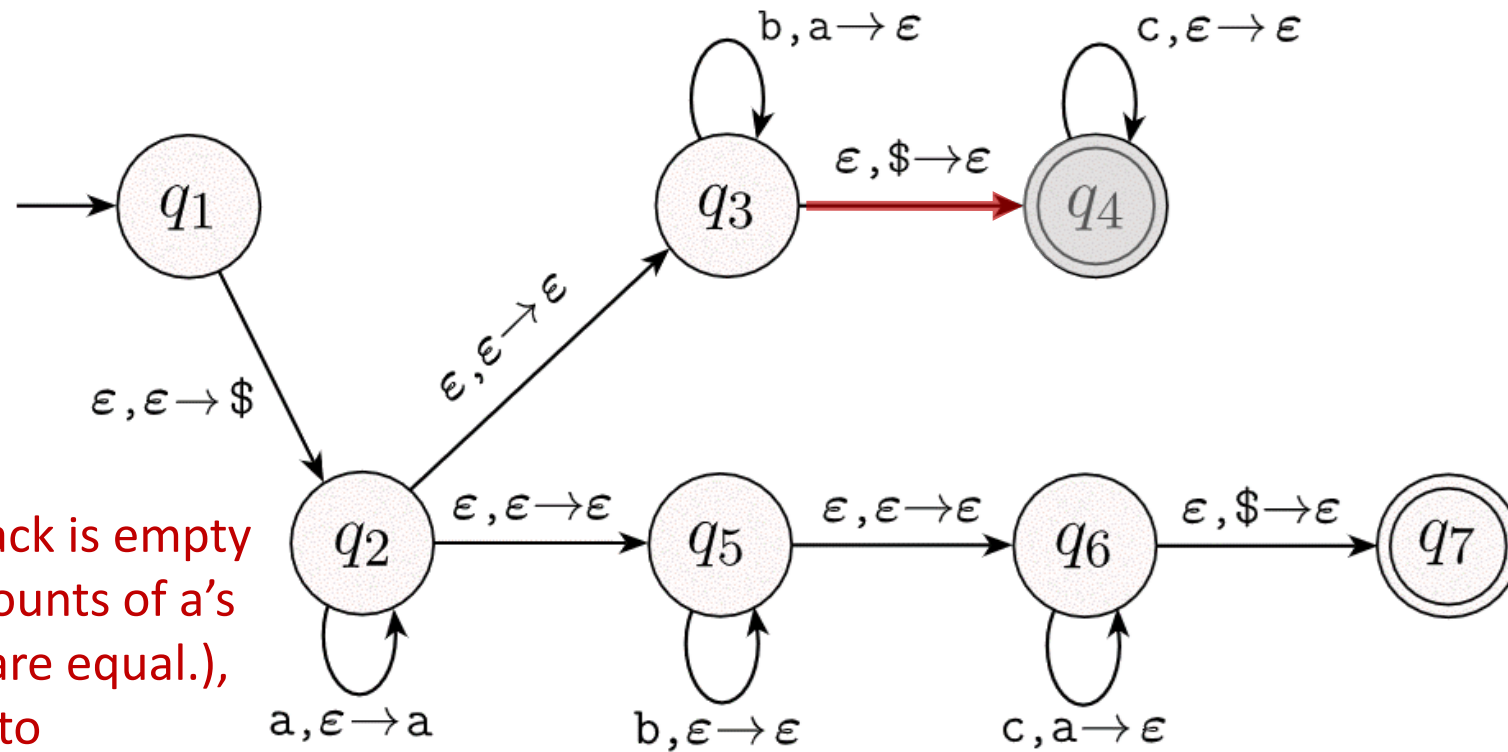
- Reading b's and popping the same amount of a's off the stack.



EXAMPLES OF PUSHDOWN AUTOMATA

- Example 2:** Find the PDA that recognizes the language

$$\{a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i = j \text{ or } i = k\}.$$

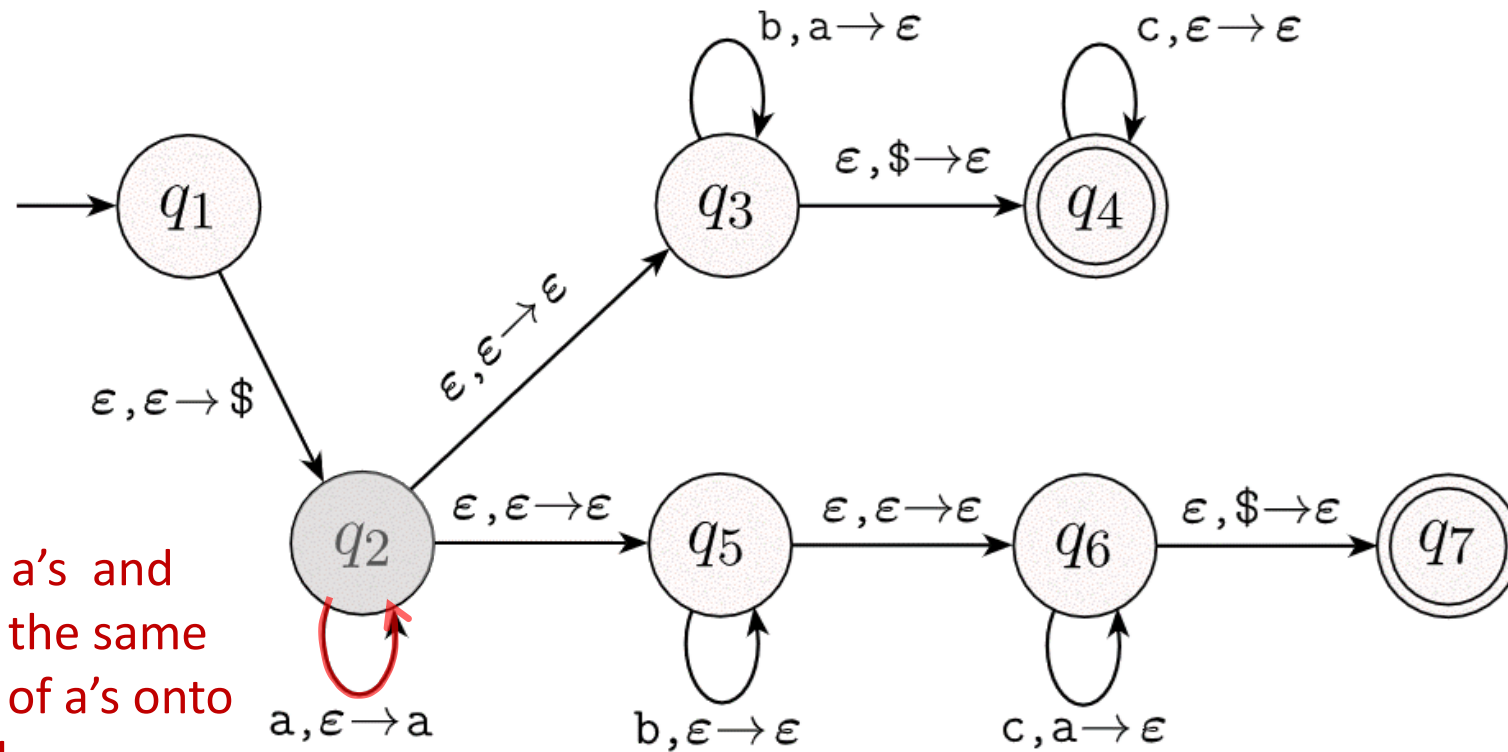


- If the stack is empty (the amounts of a's and b's are equal.), then go to the accept state.

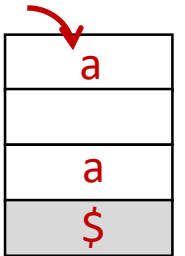
EXAMPLES OF PUSHDOWN AUTOMATA

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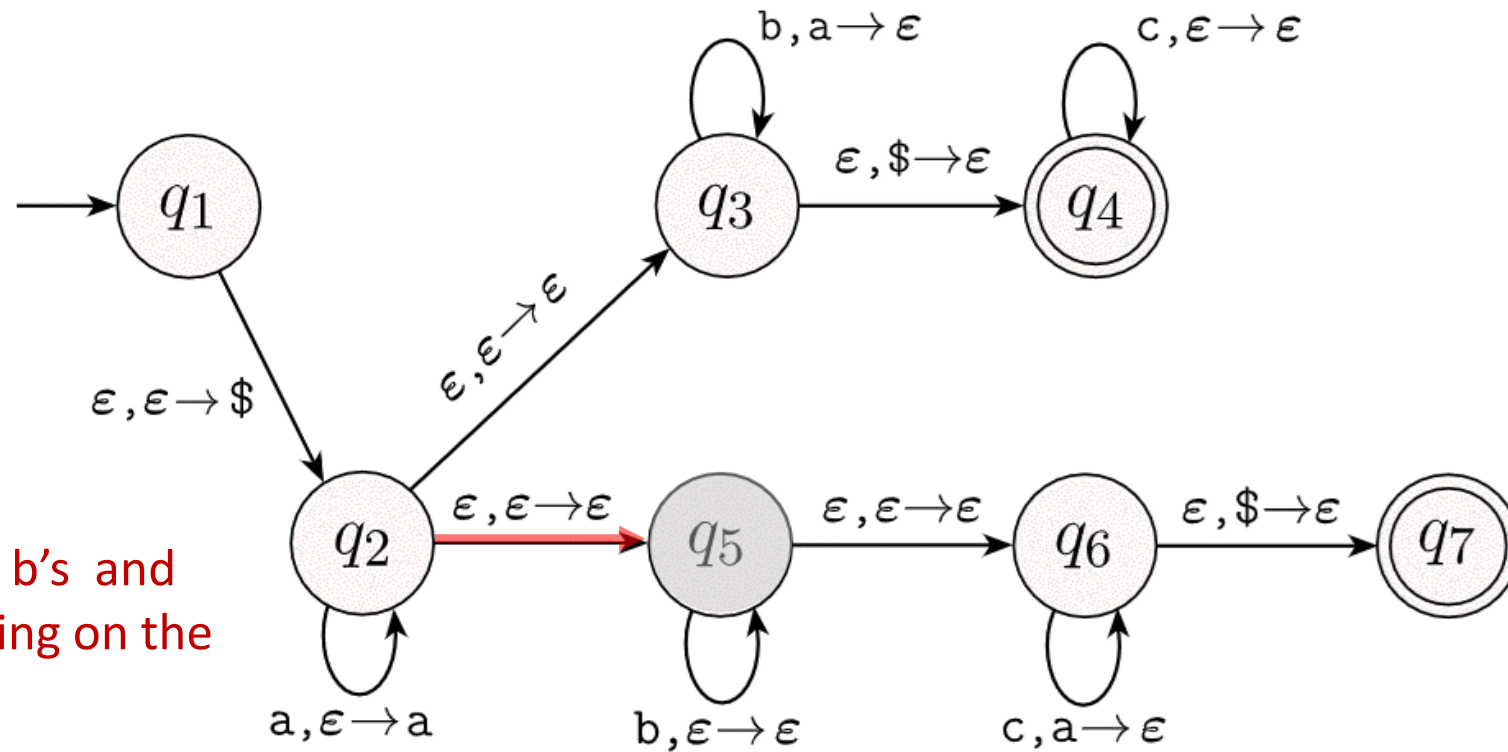
- Reading a's and pushing the same amount of a's onto the stack.



EXAMPLES OF PUSHDOWN AUTOMATA

- Example 2:** Find the PDA that recognizes the language

$$\{a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i = j \text{ or } i = k\}.$$



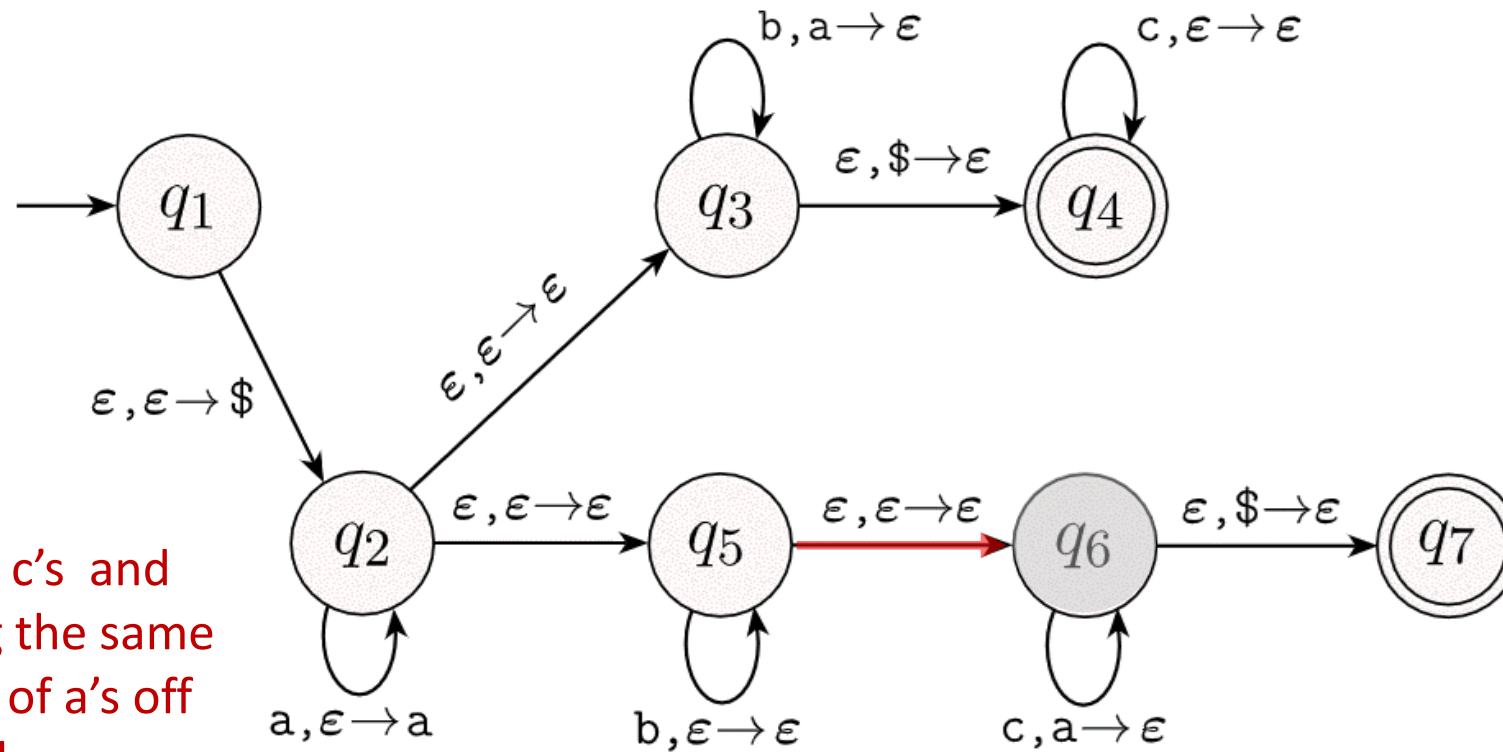
- Reading b's and do nothing on the stack.

a
a
\$

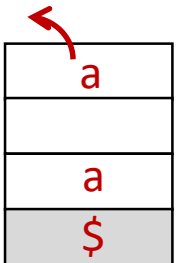
EXAMPLES OF PUSHDOWN AUTOMATA

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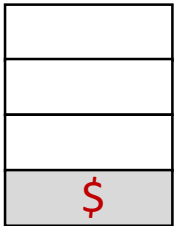
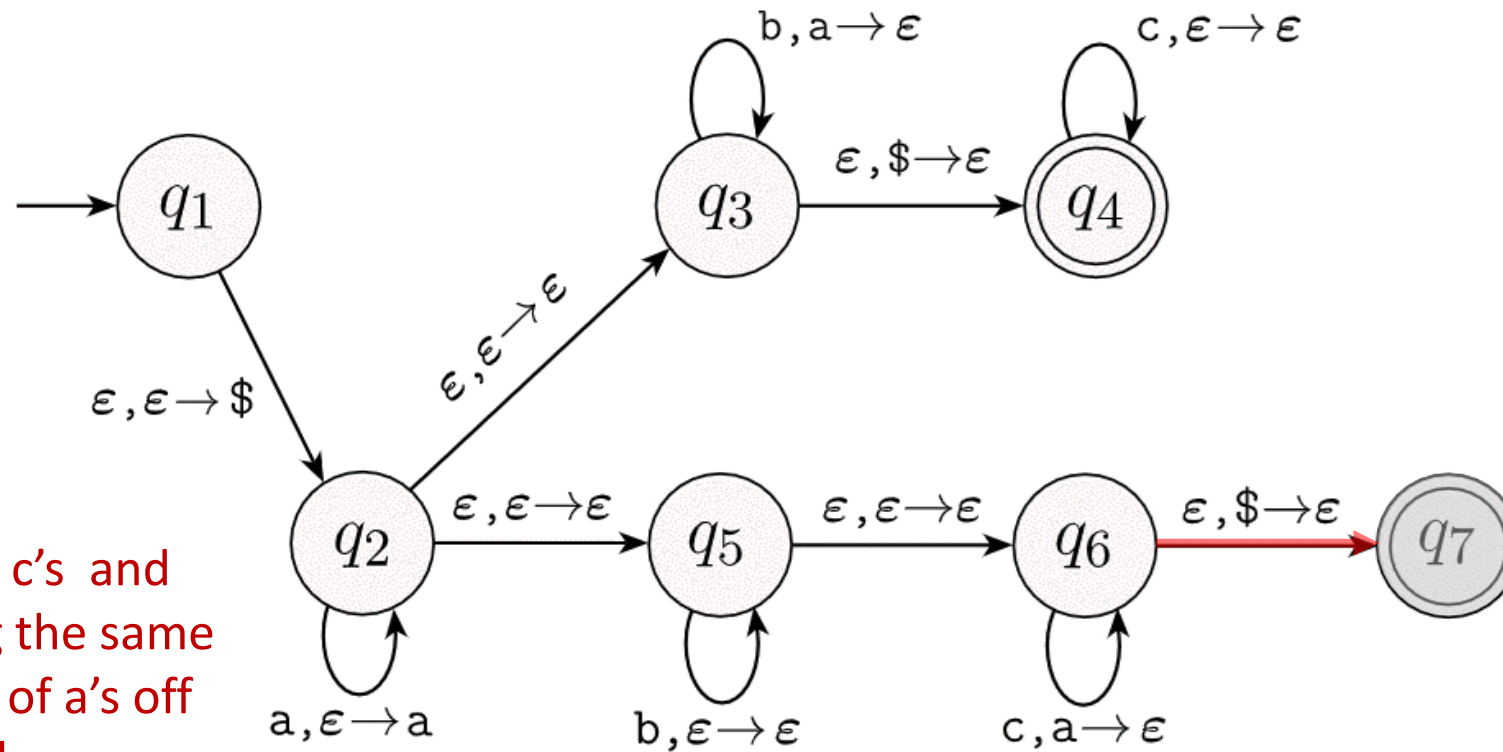
- Reading c 's and popping the same amount of a 's off the stack.



EXAMPLES OF PUSHDOWN AUTOMATA

- Example 2:** Find the PDA that recognizes the language

$$\{a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i = j \text{ or } i = k\}.$$



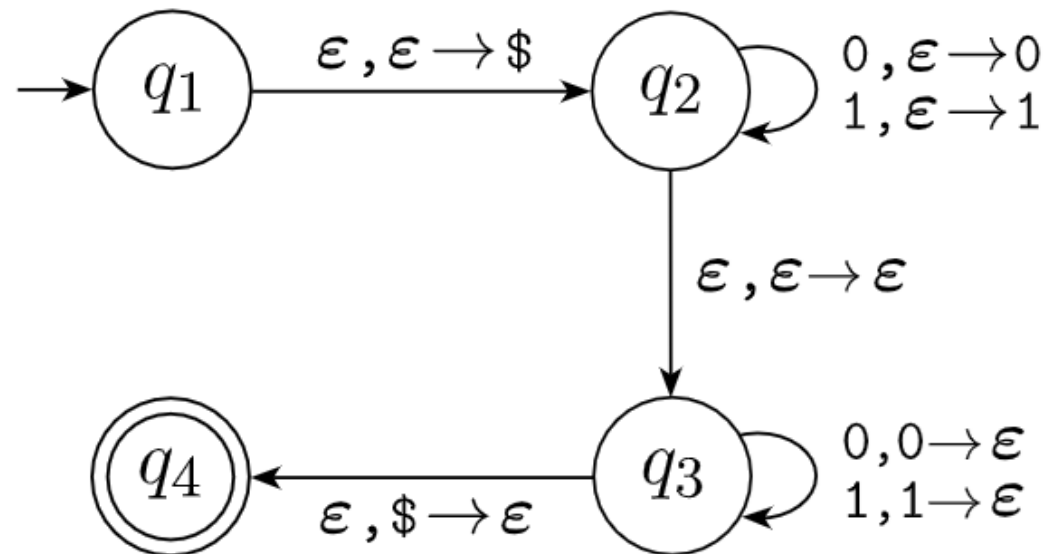
- Reading c 's and popping the same amount of a 's off the stack.

EXAMPLES OF PUSHDOWN AUTOMATA

- Example 3:** Find the PDA that recognizes the language

$$\{ww^R \mid w \in \{0,1\}^*\}$$

NOLEMON | NOMELON
 ←-----→



EQUIVALENCE OF PDA WITH CFG

- **THEOREM:** A language is context free if and only if some pushdown automaton recognizes it.
- **Part1:** If a language is **context free**, then some **pushdown automaton** recognizes it.
- **Part2:** If a **pushdown automaton** recognizes some language, then it is **context free**.

EQUIVALENCE OF PDA WITH CFG

- **Part1:** If a language is **context free**, then some **pushdown automaton** recognizes it.
- **PROOF IDEA:**
- Let A be a **CFL**, Then **A has a CFG G**, generating it.
- Using the following example we show how to **convert G into an equivalent PDA, P**.
- **Example:** Grammar **G**

$$\begin{aligned} S &\rightarrow BS|A && : R1, R2 \\ A &\rightarrow 2A|\epsilon && : R3, R4 \\ B &\rightarrow BB1|0 && : R5, R6 \end{aligned}$$

Using **left-most derivation** for generating **001** will be :

$$\begin{array}{ccccccc} S \Rightarrow BS \Rightarrow BB1S \Rightarrow 0B1S \Rightarrow 001S \Rightarrow 001A \Rightarrow 001 \\ R1 \quad R5 \quad \quad R6 \quad \quad R6 \quad \quad R2 \quad \quad R4 \end{array}$$

EQUIVALENCE OF PDA WITH CFG

- **PROOF IDEA:** (cont.)
- In general form all of **intermediate string** in the derivation for generating a string will be in form

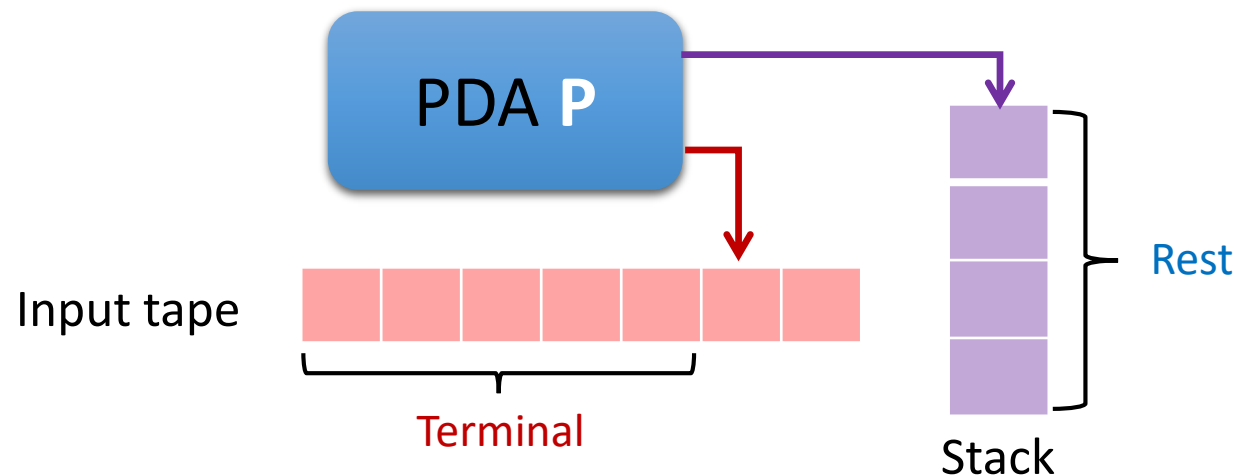
000110010101SA01SSA00SSAA0

Terminal

Rest

(contains terminals and nonterminals)

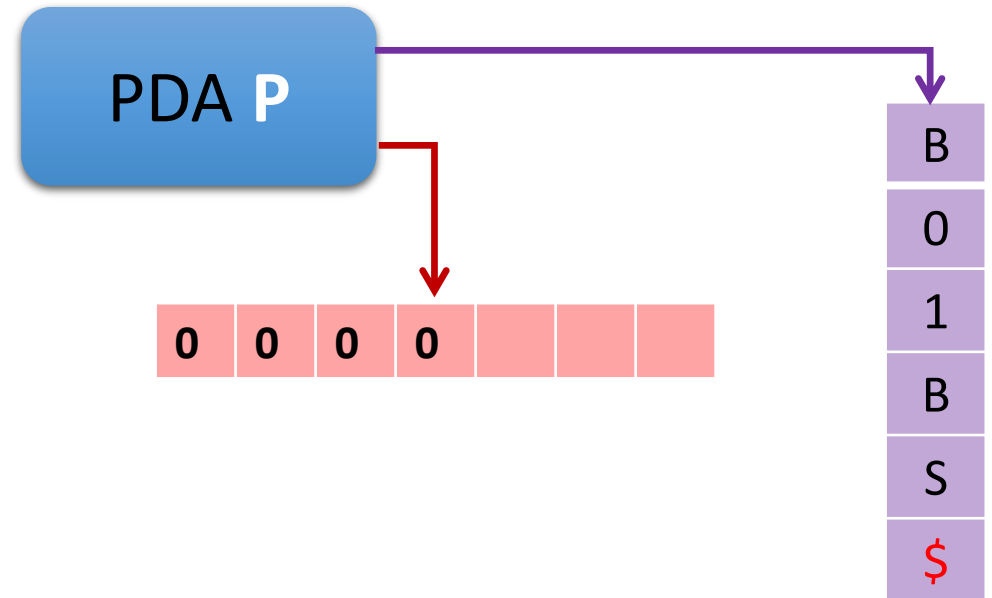
- The **PDA P** will work by accepting its input **w**, if G generates that input,
 - by determining whether **there is a derivation** for **w**. (left-most deriv.)



EQUIVALENCE OF PDA WITH CFG

- PROOF IDEA: (cont.)
- Let's see how we can store a an **intermediate string** (sentential form) in the derivation in a pushdown automaton.

$S \xRightarrow{*} 0000B01BS \Rightarrow \dots$

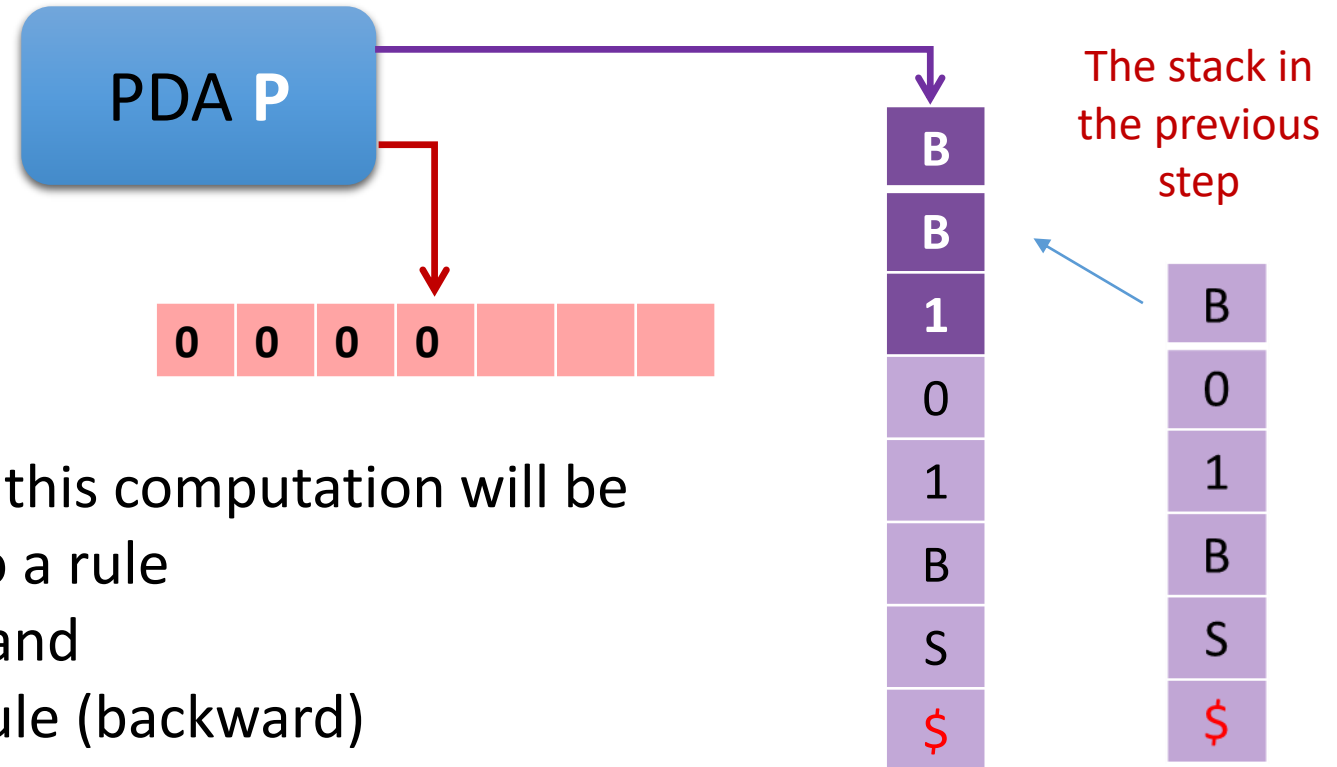


EQUIVALENCE OF PDA WITH CFG

- PROOF IDEA: (cont.)
- At each step of the derivation, we expand the leftmost nonterminal using the rules.

$S \xRightarrow{*} 0000B01BS \Rightarrow \dots$

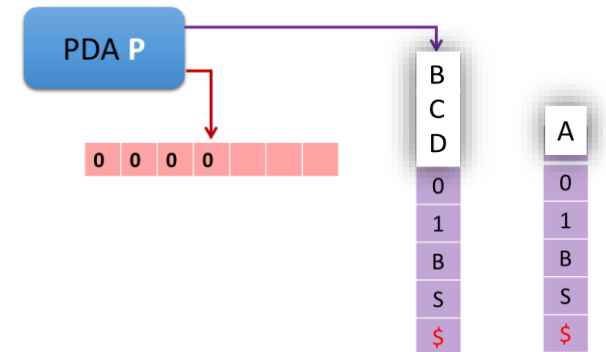
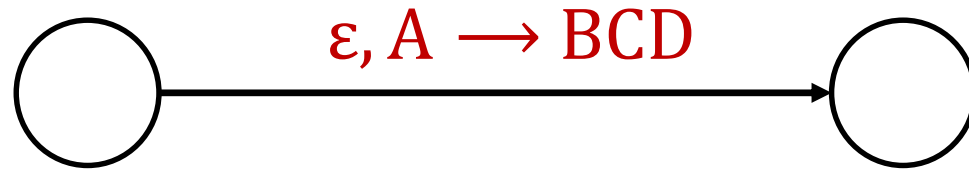
Rule: $B \rightarrow BB1$



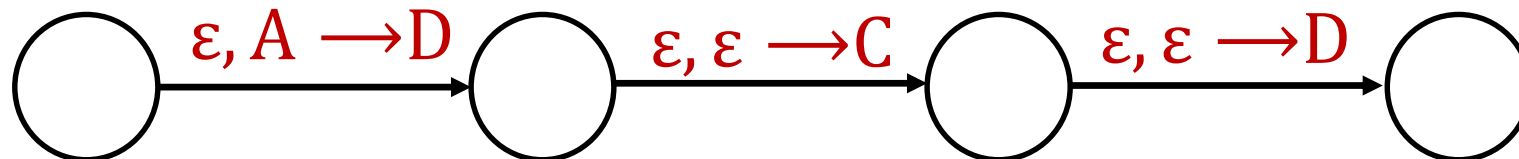
- so the steps that we follow in this computation will be
 - We match the stack top to a rule
 - **Pop** the top of the stacks and
 - **push** the left side of the rule (backward)
- Since PDA is nondeterministic, all rules at the same time will be examined.

EQUIVALENCE OF PDA WITH CFG

- PROOF IDEA: (cont.)
- How can we add the following rule in a PDA?
- **Rule:** $A \rightarrow BCD$ where A,B,C, and D are nonterminals

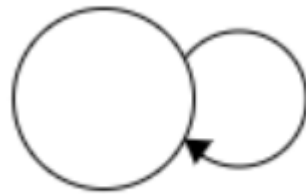
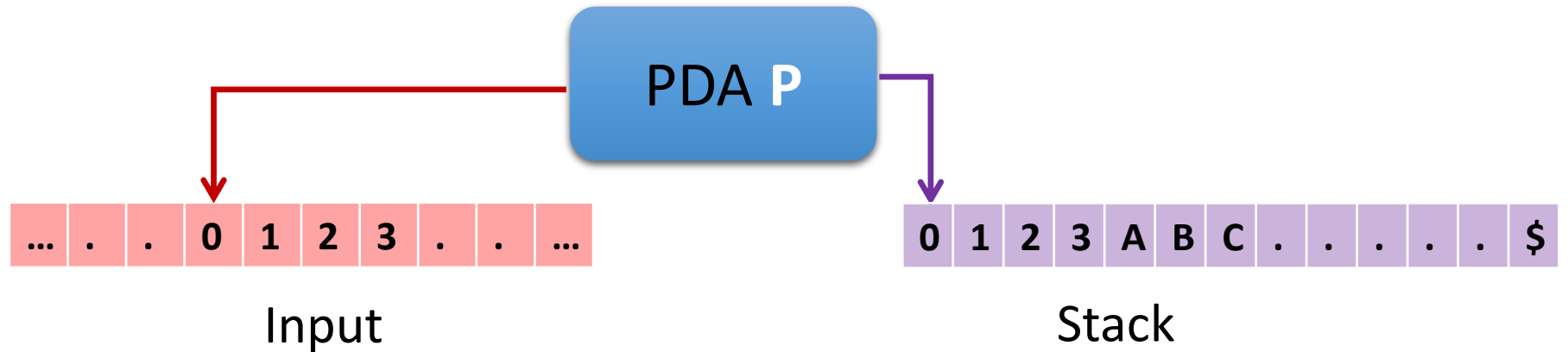


- We are **not allowed** to push multiple symbols.



EQUIVALENCE OF PDA WITH CFG

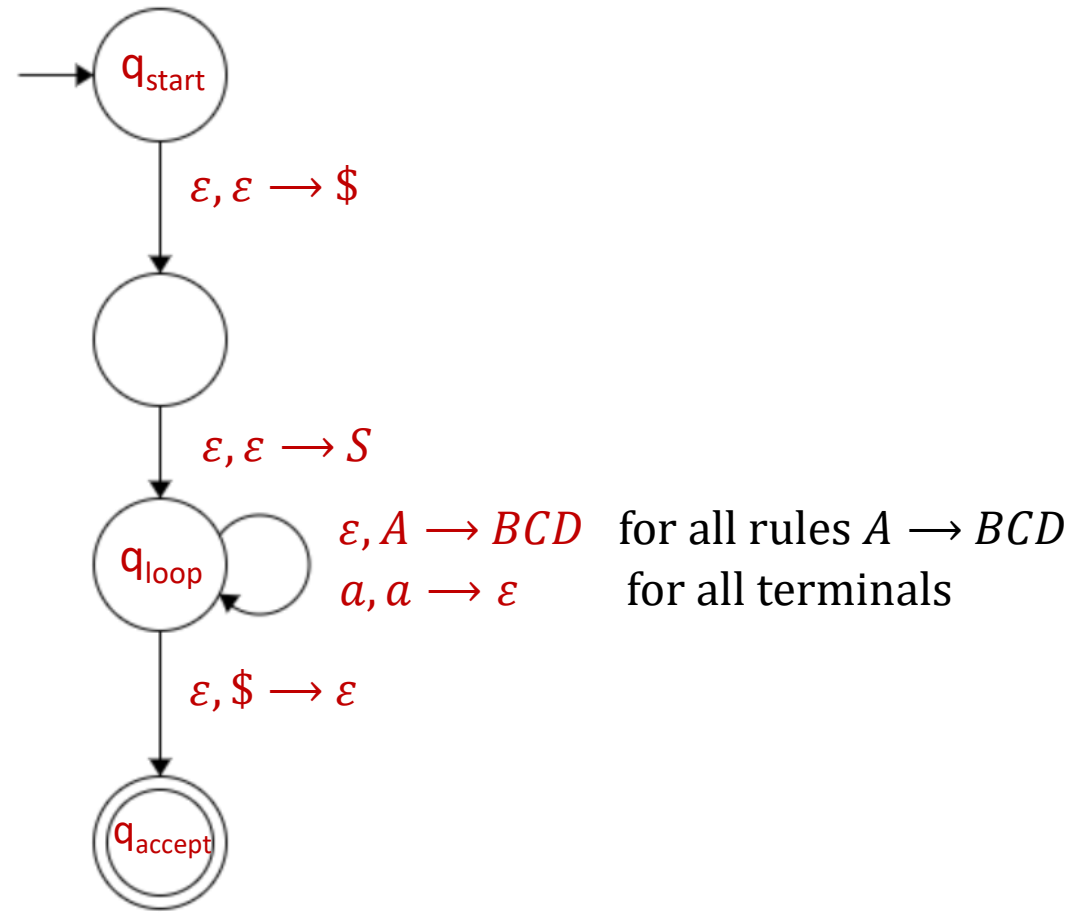
- PROOF IDEA: (cont.)
- What will be the **transition** if there is a **terminal** on top of the stack?


 $0,0 \rightarrow \varepsilon$
 $1,1 \rightarrow \varepsilon$
 $2,2 \rightarrow \varepsilon$
 $3,3 \rightarrow \varepsilon$

For all symbols in alphabet.
(nonterminals)

EQUIVALENCE OF PDA WITH CFG

- PROOF IDEA: (cont.)
- The Final PDA

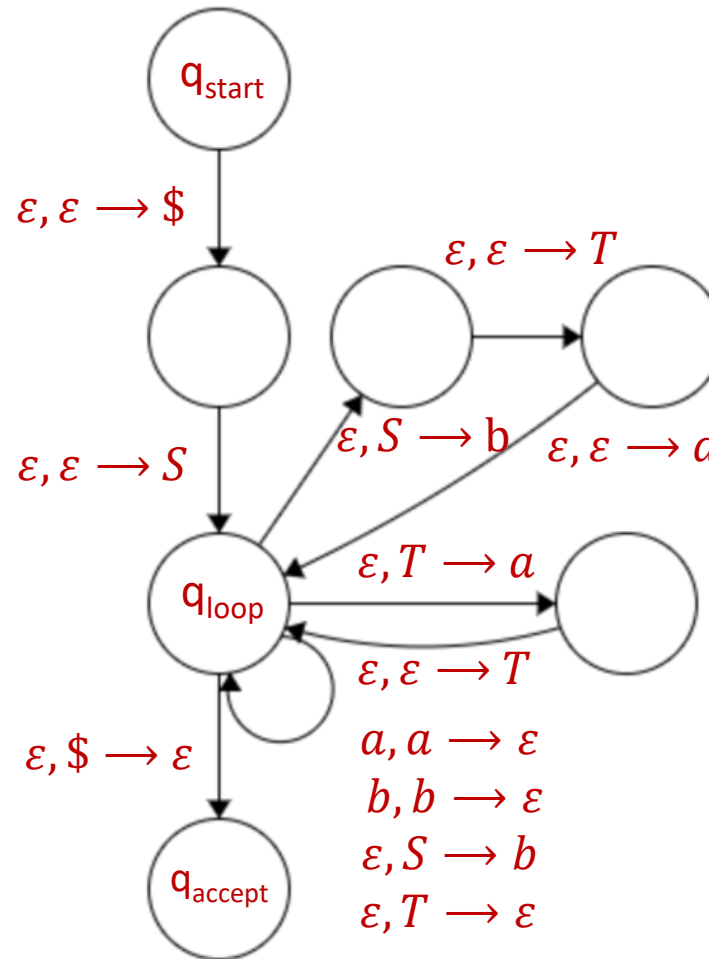


EQUIVALENCE OF PDA WITH CFG

- **Example:** construct aPDA P1 from the following CFG G.

$$S \rightarrow aTb|b$$

$$T \rightarrow Ta|\varepsilon$$

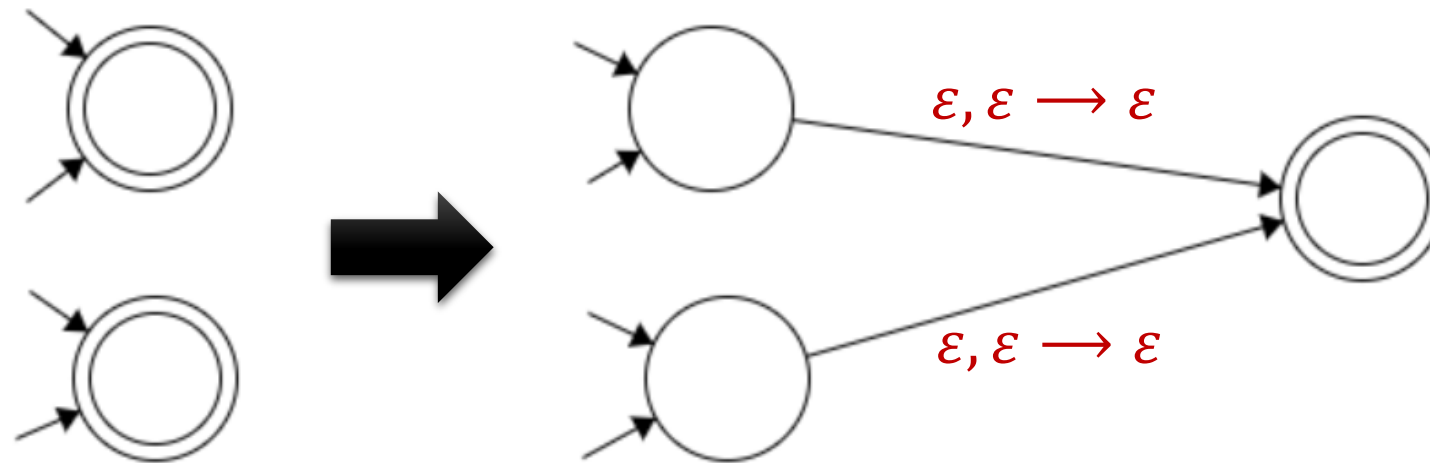


EQUIVALENCE OF PDA WITH CFG

- **Part2:** If a **pushdown automaton** recognizes some language, then it is **context free**.
- **Proof idea:**
 - We have a PDA **P**, and we want to make a CFG **G** that generates all the strings that **P** accepts.
 - **G** should **generate a string** if that string causes the **PDA to go from its start state to an accept state**.
- **Steps:**
 - **Step 1:** simplify the PDA
 - **Step2:** Building CFG

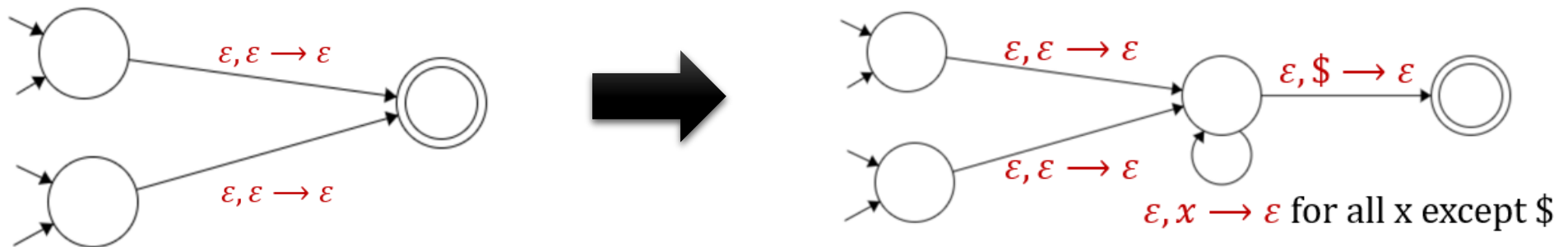
EQUIVALENCE OF PDA WITH CFG

- **Proof idea: (cont.)**
- **Step1:** Simplification by modifying P as below to give it the following three features:
 1. It has a single accept state, q_{accept} .



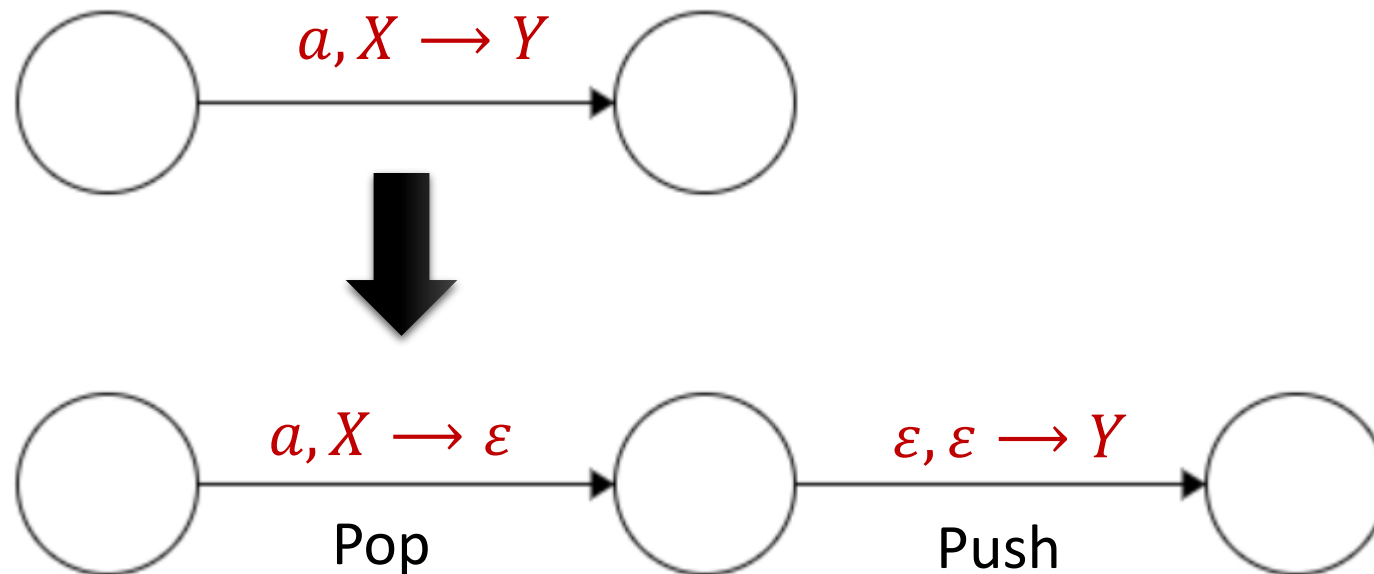
EQUIVALENCE OF PDA WITH CFG

- **Proof idea: (cont.)**
- **Step1:** Simplification by modifying P as below to give it the following three features:
 2. It **empties its stack** before accepting.



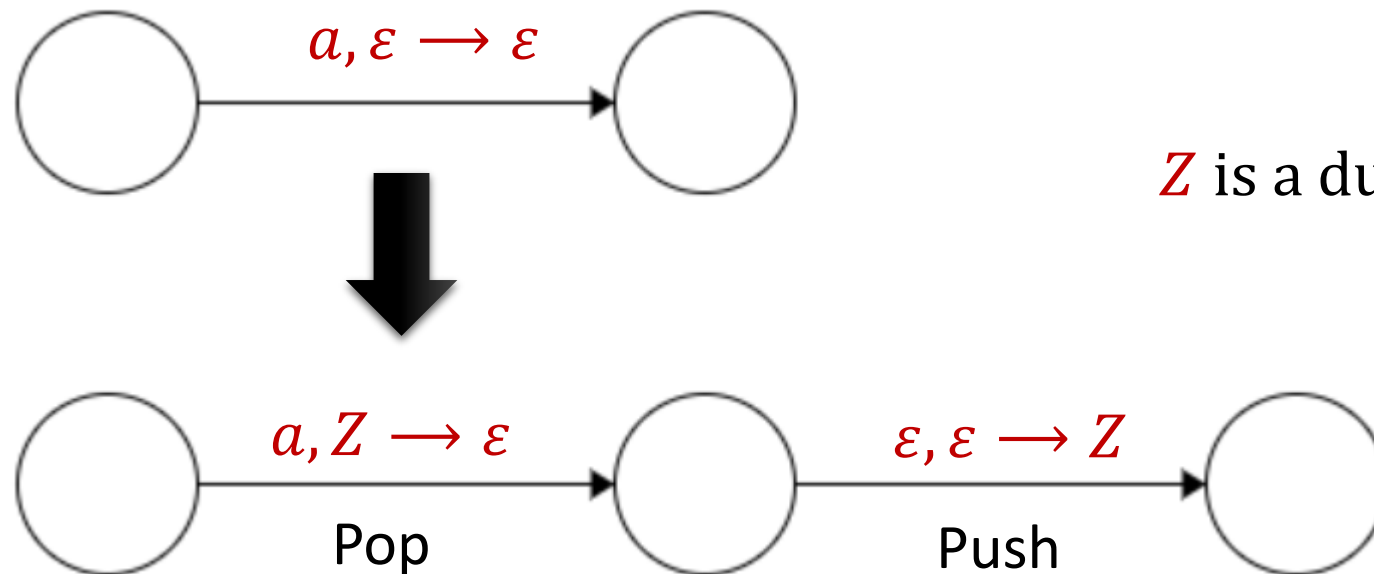
EQUIVALENCE OF PDA WITH CFG

- **Proof idea: (cont.)**
- **Step1:** Simplification by modifying P as below to give it the following three features:
 3. Each transition either **pushes a symbol** onto the stack (a push move) or **pops** one off the stack (a pop move), but it **does not do both** at the same time.



EQUIVALENCE OF PDA WITH CFG

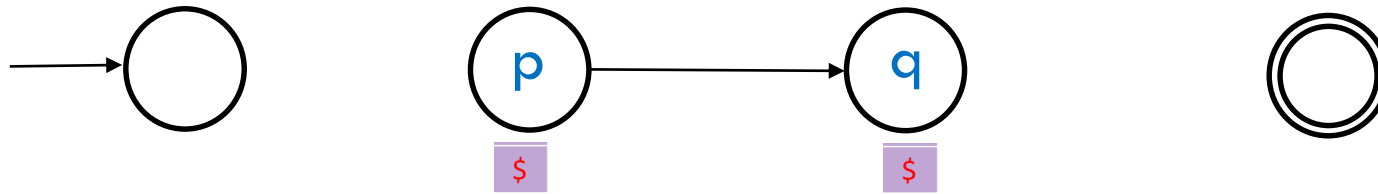
- **Proof idea: (cont.)**
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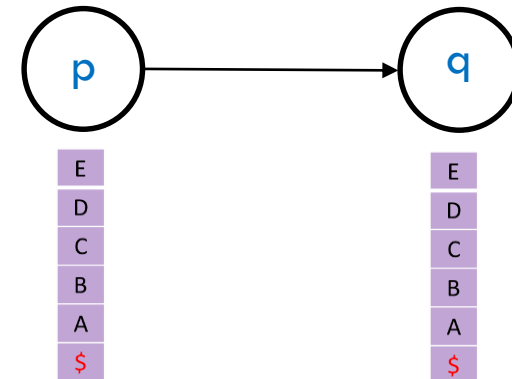
Z is a dummy symbol.

EQUIVALENCE OF PDA WITH CFG

- Proof idea: (Cont.)
- Step2: Building CFG
- For **each pair of states p and q** in P , the grammar will have a **variable A_{pq}** .
 - This variable **generates all the strings** that can take P from p with an empty stack to q with an empty stack.



- such strings can also take P from p to q , regardless of the stack contents at p , leaving the stack at q in the same condition as it was at p .

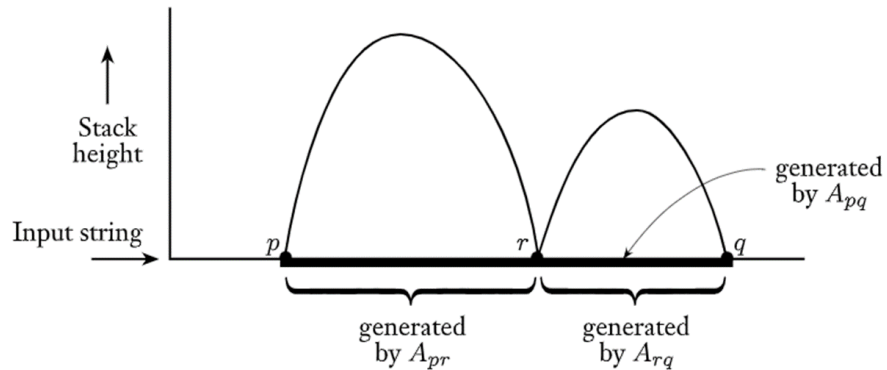


EQUIVALENCE OF PDA WITH CFG

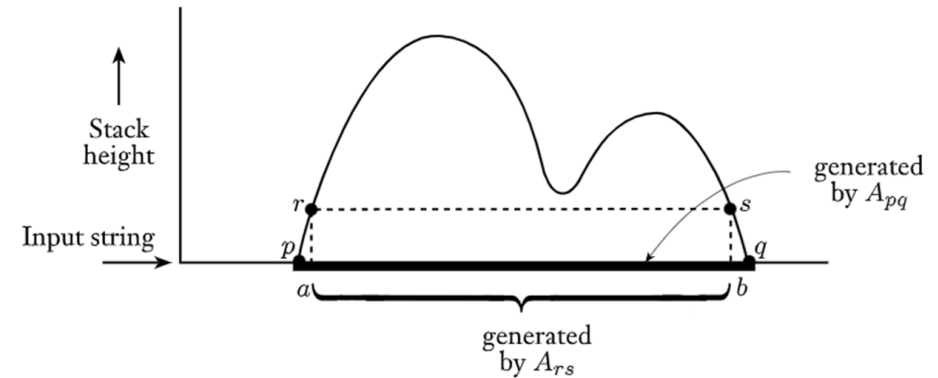
- **Proof idea: (Cont.)**
- **Step2:**
- To design G , we must understand how P operates on the strings generated by A_{pq} .
 - For any such string x , P 's **first move** on x must be a **push**,
 - Since stack is empty.
 - Similarly, the **last move** on x must be a **pop**.
 - Since the stack should be empty.

EQUIVALENCE OF PDA WITH CFG

- Proof idea: (Cont.)
- **Step2:**
- Two possibilities occur during P's computation on x.
- Either the symbol popped at the end is the symbol that was pushed at the beginning, or not.



$$A_{pq} \rightarrow A_{pr} A_{rq}$$



$$A_{pq} \rightarrow a A_{rs} b$$