



Chapter 7



Chapter 5

# Part Two: Chapter 4

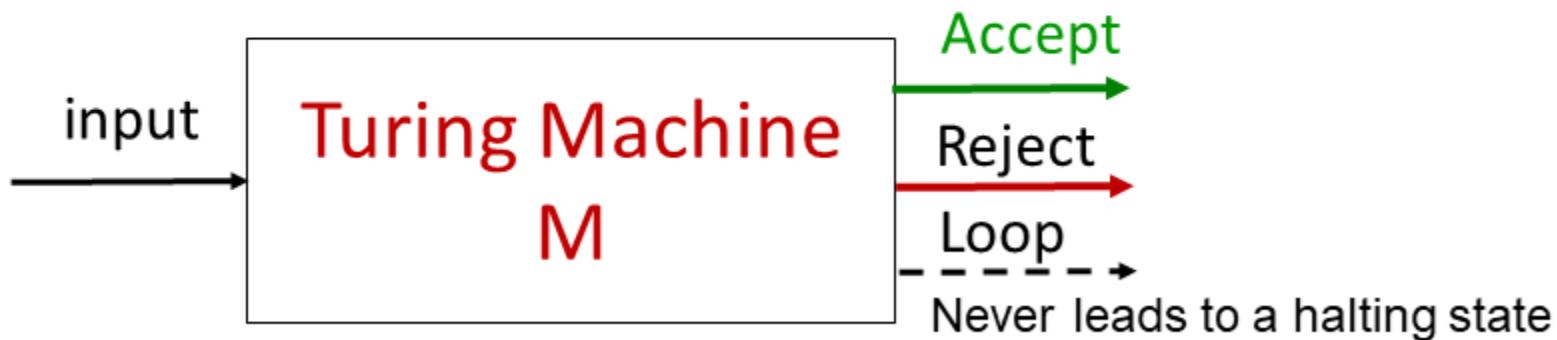
## Decidability



Chapter 4

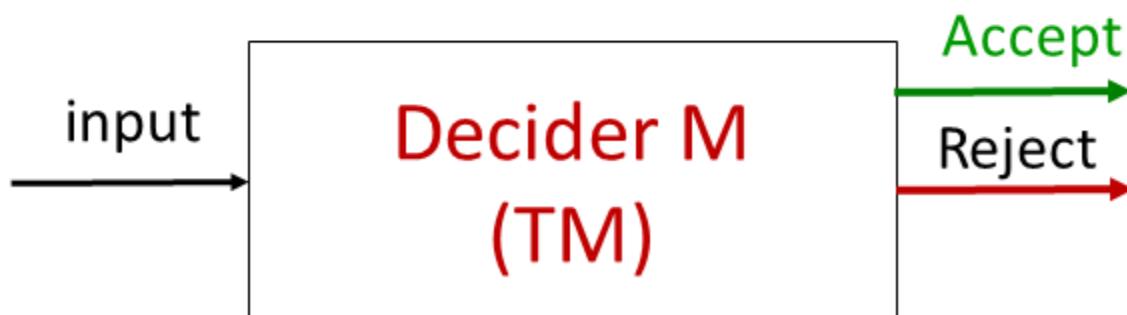
## Recall: Turing-recognizable

- The collection of strings that TM  $M$  accepts is the language of  $M$ , or the language recognized by  $M$ , denoted  $L(M)$ .
- Call a language **Turing-recognizable** if some Turing machine recognizes it.



## Recall: Decider

- we prefer Turing machines that halt on all inputs;
  - such machines never loop.
- These machines are called **deciders** because they always make a decision to **accept or reject**.
- A **decider that recognizes** some language also is said
  - to decide that language.



# DECIDABILITY OVERVIEW

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- Some languages are **not Turing recognizable**.

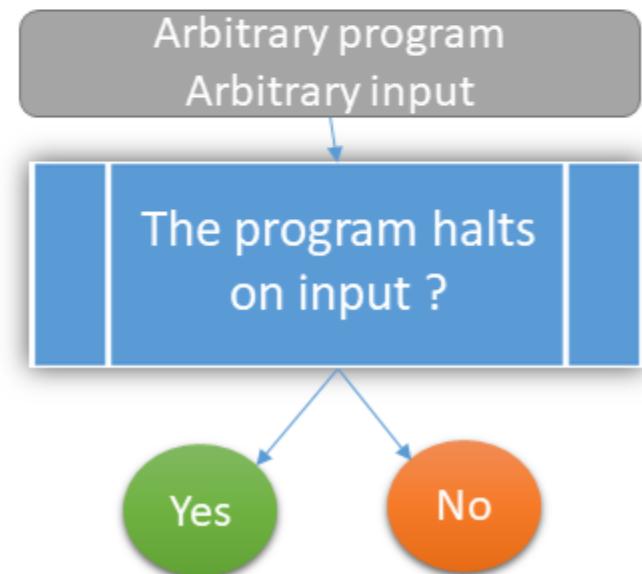
## DECIDABILITY OVERVIEW

- Every question about **regular languages** is **decidable**.
- Some questions about **Context-free languages** are **decidable**,
  - But some are not.
- The “**HALTING PROBLEM**” is not decidable.
- Some **languages** are **not Turing recognizable**.
- Many **questions about Turing Machines** are **not decidable**.

## THE HALTING PROBLEM

- Given a program ....
  - Will it **HALT**?
- Given a Turing machine,
  - Will it **HALT** when run on some particular input string.

In computability theory, the **halting problem** is the **problem** of determining, from a description of an arbitrary computer program and an input, whether the program will finish running (i.e., **halt**) or continue to run forever.



## THE HALTING PROBLEM

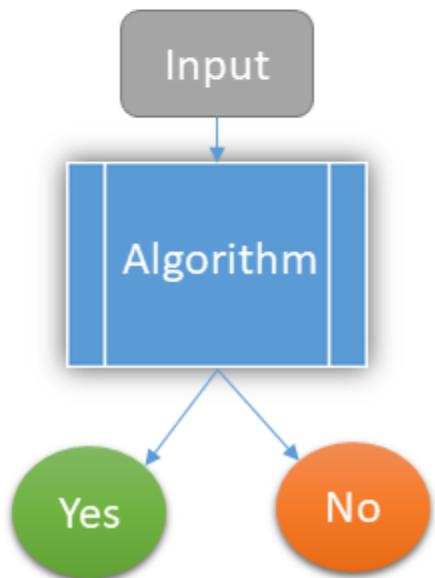
- Given a program ....
  - Will it HALT?
- Given a Turing machine,
  - Will it HALT when run on some particular input string.
- For many programs, we can prove “It always HALT.”
- For many programs, we can prove “It may sometimes LOOP.”
- But for programs in general, We cannot always know.
  - The question is undecidable.

## DECISION PROBLEMS

- We may be interested in following questions:
  - Is a given natural number **prime**?
  - Is an **undirected graph connected**?
  - Does the **computation of TM halt before 10th transition**?

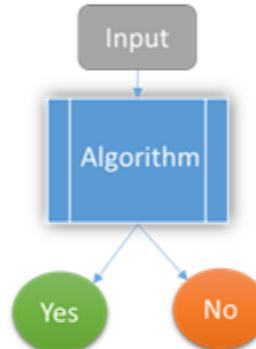
## DECISION PROBLEMS

- We may be interested in following questions:
  - Is a given natural number prime?
  - Is an undirected graph connected?
  - Does the computation of TM halt before 10th transition?
- Each of these general question describe a **decision problem**.
- **Decision problem** = any problem whose **answer** is one bit: “yes” or “no”.



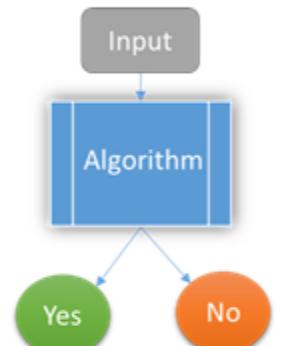
## DECISION PROBLEMS

- A decision problem  $P$  is a set of related questions  $p_i$ , each of which has Yes/no answer. For example:
  - $p_0$ : Is 0 a perfect square?
  - $p_1$ : Is 1 a perfect square?
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  - $p_0$ : Is 0 a perfect square?
  - $p_1$ : Is 1 a perfect square?
  - $p_2$ : Is 2 a perfect square?
- Each of the  $p_i$  is an instance of the problem  $P$ .
- The solution of a decision problem  $P$  is an algorithm that determines the answer of every question  $p_i \in P$ .
- A decision problem is decidable, if it has a solution.



## THE ACCEPTANCE PROBLEM FOR FINITE AUTOMATA

- We begin with certain computational problems concerning finite automata.
- We give algorithms for testing
  - whether a finite automaton accepts a string,
  - whether the language of a finite automaton is empty,
  - and whether two finite automata are equivalent.
- For example, the acceptance problem for DFAs of testing whether a particular deterministic finite automaton accepts a given string can be expressed as a language, ADFA.

$$A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}.$$

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## THE ACCEPTANCE PROBLEM FOR DFAs

- The **acceptance problem** for DFAs of testing whether **a particular deterministic finite automaton accepts a given string** can be expressed as a language,  $A_{DFA}$ .

$$A_{DFA} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}.$$

## THE ACCEPTANCE PROBLEM FOR TMs

**Theorem :**  $A_{DFA}$  is a decidable language.

$$A_{DFA} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}.$$

**PROOF IDEA** We simply need to present a TM  $M$  that decides  $A_{DFA}$ .

$M$  = “On input  $\langle B, w \rangle$ , where  $B$  is a DFA and  $w$  is a string:

1. Simulate  $B$  on input  $w$ .
2. If the simulation ends in an accept state, *accept*. If it ends in a nonaccepting state, *reject*.”

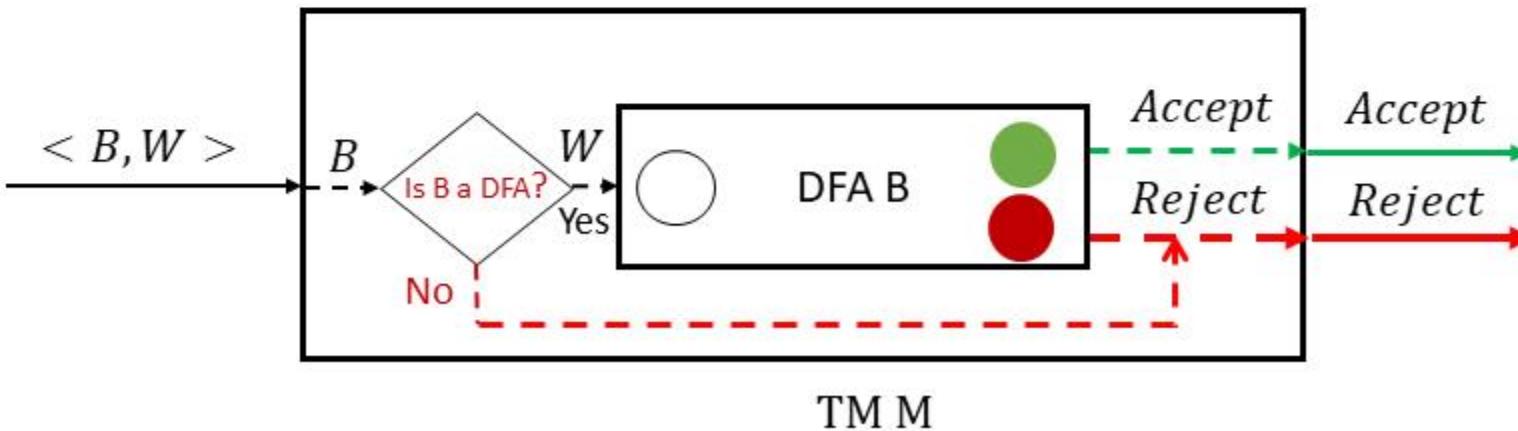
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## THE ACCEPTANCE PROBLEM FOR TMs

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$N$  = “On input  $\langle B, w \rangle$ , where  $B$  is an NFA and  $w$  is a string:

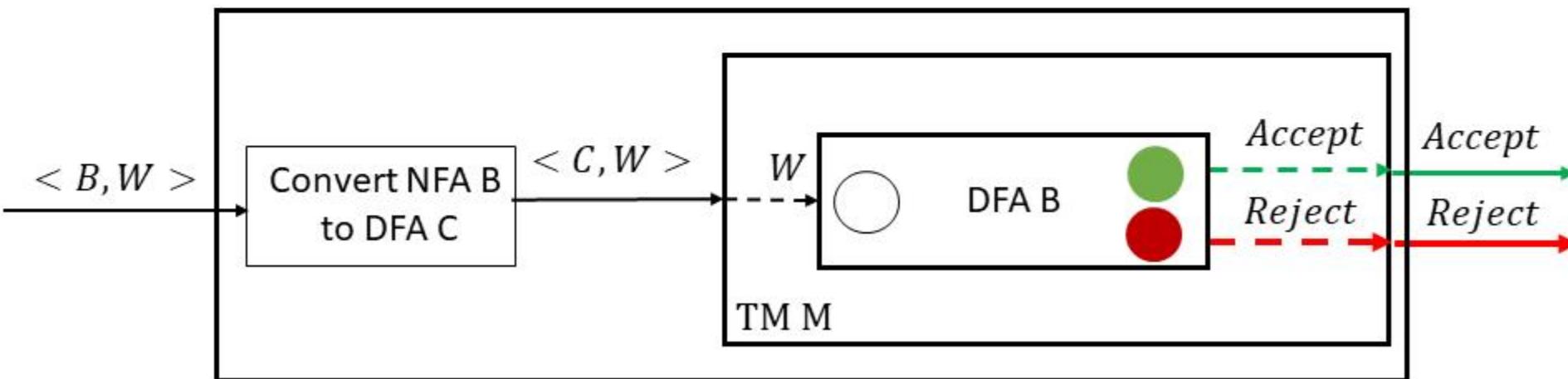
1. Convert NFA  $B$  to an equivalent DFA  $C$ , using the procedure for this conversion given in Theorem 1.39.
2. Run TM  $M$  from Theorem 4.1 on input  $\langle C, w \rangle$ .
3. If  $M$  accepts, accept; otherwise, reject.”

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## THE ACCEPTANCE PROBLEM FOR TMs

**Theorem :**  $A_{REX}$  is a decidable language.

$$A_{REX} = \{\langle R, w \rangle \mid R \text{ is a regular expression that generates string } w\}.$$

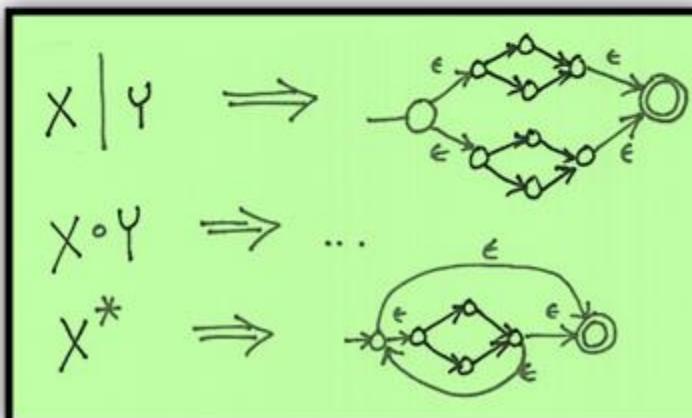
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$P$  = “On input  $\langle R, w \rangle$ , where  $R$  is a regular expression and  $w$  is a string:

1. Convert regular expression  $R$  to an equivalent NFA  $A$  by using the procedure for this conversion given in Theorem 1.54.
2. Run TM  $N$  on input  $\langle A, w \rangle$ .
3. If  $N$  accepts, accept; if  $N$  rejects, reject.”

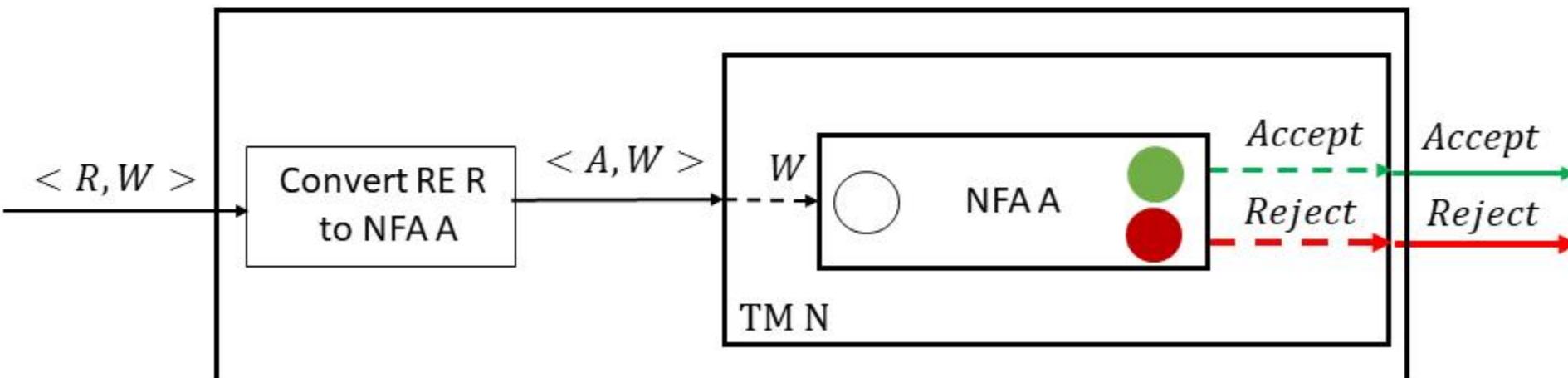


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## EMPTINESS PROBLEM

- In the preceding three theorems we had to determine
  - whether a finite automaton accepts a particular string.
  - (**acceptance problem**)
- Now we turn to a different kind of problem concerning finite automata:
  - **emptiness testing** for the **language of a finite automaton**.
  - (**emptiness problem**)
-

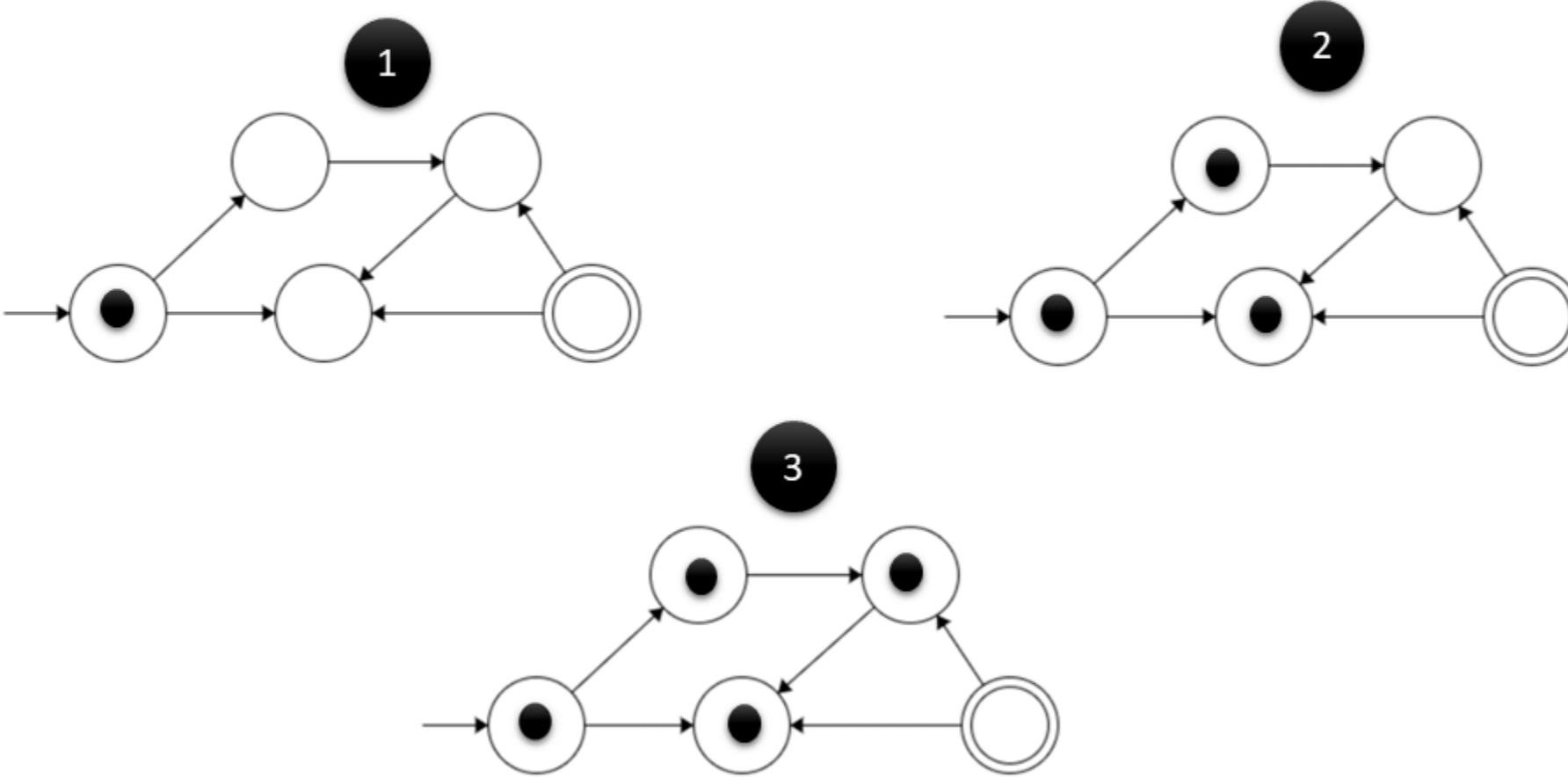
## DECIDABILITY

**Theorem :**  $E_{DFA}$  is a decidable language.

$$E_{DFA} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}.$$

## DECIDABILITY

Marking Algorithm:



- Mark any state that has a transition coming into it from any state that is already marked.

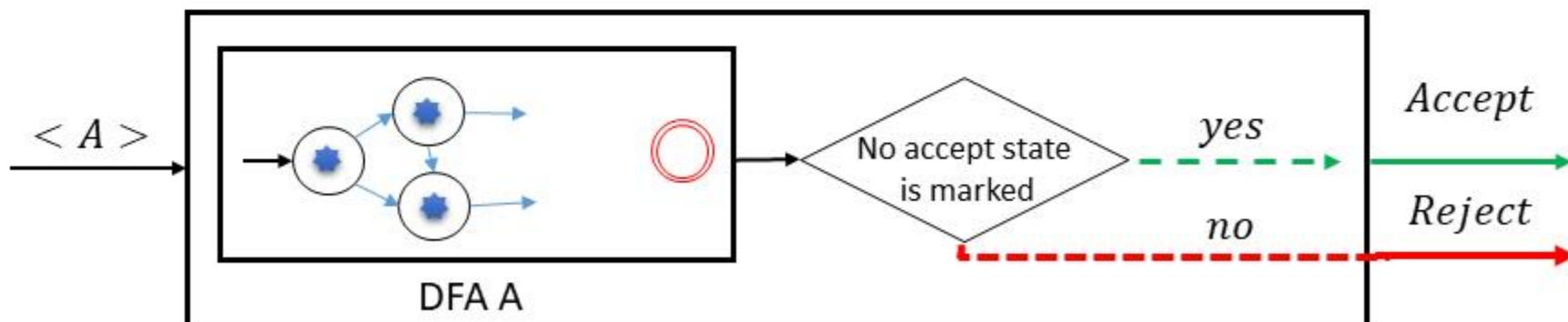
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$T$  = “On input  $\langle A \rangle$ , where  $A$  is a DFA:

1. Mark the start state of  $A$ .
2. Repeat until no new states get marked:
  3. Mark any state that has a transition coming into it from any state that is already marked.
  4. If no accept state is marked, *accept*; otherwise, *reject*.”



## DECIDABILITY

**Theorem :**  $EQ_{DFA}$  is a decidable language.

$$EQ_{DFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}.$$

## DECIDABILITY

**Theorem :**  $EQ_{DFA}$  is a decidable language.

**Proof:**

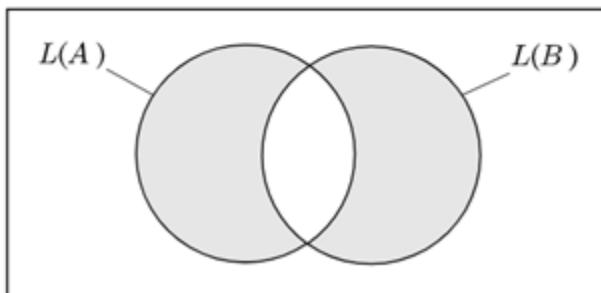
- We know that  $E_{DFA}$  is a decidable language.

## DECIDABILITY

Theorem :  $EQ_{DFA}$  is a decidable language.

Proof:

- We know that  $E_{DFA}$  is a decidable language.
- We construct a new DFA  $C$  from  $A$  and  $B$ ,
  - where  $C$  accepts only those strings that are accepted by either  $A$  or  $B$  but not by both.



$$L(C) = \left( L(A) \cap \overline{L(B)} \right) \cup \left( \overline{L(A)} \cap L(B) \right).$$

symmetric difference of  $L(A)$  and  $L(B)$

## DECIDABILITY

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- We construct a new DFA  $C$  from  $A$  and  $B$ ,
  - where  $C$  accepts only those strings that are accepted by either  $A$  or  $B$  but not by both.
- Thus, if  $A$  and  $B$  recognize the same language,
  - $C$  will accept nothing.

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## DECIDABILITY

**Theorem :**  $EQ_{DFA}$  is a decidable language.

**Proof(cont.):**

- $L(C) = \emptyset$  iff  $L(A) = L(B)$ .

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**Proof(cont.):**

- $L(C) = \emptyset$  iff  $L(A) = L(B)$ .
- We can **construct**  $C$  from  $A$  and  $B$ .
  - (the class of regular languages are closed under complement, union, and intersection)

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- These **constructions** are **algorithms**
  - that can be **carried out by** Turing machines.

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- We can construct  $C$  from  $A$  and  $B$ .
  - (the class of regular languages are closed under complement, union, and intersection)
- These constructions are algorithms that can be carried out by Turing machines.
- Once we have constructed  $C$ ,
  - we can test whether  $L(C)$  is empty.
    - If it is empty,  $L(A)$  and  $L(B)$  must be equal.

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$F$  = “On input  $\langle A, B \rangle$ , where  $A$  and  $B$  are DFAs:

1. Construct DFA  $C$  as described.
2. Run TM  $T$  from Theorem 4.4 on input  $\langle C \rangle$ .
3. If  $T$  accepts, *accept*. If  $T$  rejects, *reject*.”

$T$  = “On input  $\langle A \rangle$ , where  $A$  is a DFA:

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## THE ACCEPTANCE PROBLEM FOR TMs :CFG

**Theorem :**  $A_{CFG}$  is a decidable language.

$$A_{CFG} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}.$$

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- We want to determine whether  $G$  generates  $w$ .
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- Approach 1: use  $G$  to **go through all derivations** to determine whether **any** is a derivation of  $w$ .
  - It is not a good idea!!
    - If  $G$  does **not generate  $w$** , this **algorithm** would never halt.
    - The **Turing machine** will be a **recognizer**, but **not a decider**.

## DECIDABILITY

Theorem :  $A_{CFG}$  is a decidable language.

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Proof (cont.):

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  - In Problem 2.26 (Textbook) :
    - if  $G$  is in Chomsky normal form, any derivation of  $w$  has  $2n-1$  steps, where  $n$  is the length of  $w$ .

## DECIDABILITY

Proof (cont.):

- Example: Consider the following Chomsky normal form (For simplicity we didn't follow the first rule in this example.)

$$\begin{array}{l} S \rightarrow SS \\ S \rightarrow a \end{array}$$

- At each step, the length grows by exactly 1
  - $S \Rightarrow SS \Rightarrow SSS \Rightarrow SSSS \Rightarrow SSSSS$  (N-1 steps for length N)
  - Plus 1 additional step for each terminal symbol
  - $\Rightarrow aSSSS \Rightarrow aaSSS \Rightarrow aaaSS \Rightarrow aaaaS \Rightarrow aaaaa$  ( N steps for length N)
- Every derivation has  $2N-1$  steps.

## DECIDABILITY

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Proof (cont.):

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    - if  $G$  is in Chomsky normal form, any derivation of  $w$  has  $2n-1$  steps, where  $n$  is the length of  $w$ .
    - In that case, checking only derivations with  $2n-1$  steps to determine whether  $G$  generates  $w$  would be sufficient.

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**Proof (cont.):**

- The TM  $S$  for  $A_{CFG}$  follows.

$S$  = “On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:

1. Convert  $G$  to an equivalent grammar in Chomsky normal form.
2. List all derivations with  $2n - 1$  steps, where  $n$  is the length of  $w$ ; except if  $n = 0$ , then instead list all derivations with one step.
3. If any of these derivations generate  $w$ , *accept*; if not, *reject*.”

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3. If any of these derivations generate  $w$ , *accept*; if not, *reject*.“

- The **algorithm** in TM  $S$  is very **inefficient**
- But the **efficiency** is **not our concern** here.

## DECIDABILITY IN PDAs

- Recall that we have given procedures for converting back and forth between CFGs and PDAs.
- Hence everything we say about the decidability of problems concerning CFGs applies equally well to PDAs.

## DECIDABILITY in CFGs

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Proof :

- In order to determine whether the **language of a grammar is empty**,
  - we **need to test** whether **the start variable can generate a string of terminals**.

$$S \xrightarrow{*} (\text{string of terminals})$$

- **Approach:** Marking algorithm

## DECIDABILITY in CFGs

Proof (cont.):

- **Marking algorithm Example:**
- Consider the following grammar. Which nonterminal can generate a string of terminals? (Nonterminals: A,B, C and D   Terminals: **a, b, c and d**)
  - $S \rightarrow ABCD$
  - $A \rightarrow BCA$
  - $A \rightarrow abc$
  - $B \rightarrow CA$
  - $B \rightarrow AB$
  - $B \rightarrow BBd$
  - $C \rightarrow CB$
  - $C \rightarrow dd$
  - $D \rightarrow DD$
  - $D \rightarrow BD$
  - $D \rightarrow DC$

## DECIDABILITY in CFGs

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## DECIDABILITY in CFGs

Proof (cont.):

- **Marking algorithm Example:**
- Consider the following grammar. Which nonterminal can generate a string of terminals? (Nonterminals: A,B, and C   Terminals: a, b, c and d)
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Since D doesn't generate any string,  
then S cannot generate any string.

## DECIDABILITY

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**Proof (cont.) :**

$R$  = “On input  $\langle G \rangle$ , where  $G$  is a CFG:

1. Mark all terminal symbols in  $G$ .
2. Repeat until no new variables get marked:
  3. Mark any variable  $A$  where  $G$  has a rule  $A \rightarrow U_1 U_2 \dots U_k$  and each symbol  $U_1, \dots, U_k$  has already been marked.
  4. If the start variable is not marked, *accept*; otherwise, *reject*.”

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Is  $EQ_{CFG}$  a decidable language ?

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- Because  $E_{CFG}$  also is decidable, can we use a similar strategy to prove that  $EQ_{CFG}$  is decidable?
- NO. something is wrong with this idea!
- The **class of context-free languages** is not closed under **complementation** or **intersection**.
- In fact,  $EQ_{CFG}$  is not decidable. (the prove is presented in Chapter 5)

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- Let  $A$  be a CFL.
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  - The PDA for  $A$  may be **nondeterministic**
    - convert it into a **nondeterministic TM**
    - any nondeterministic TM can be converted into an **equivalent deterministic TM**.
  - **But, some branches** of the PDA's computation **may go on forever**
  - **The simulating TM** then would also have some **non-halting branches** in its computation, and so the **TM would not be a decider**.

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**Theorem :** Every context-free language is a decidable language.

**Proof (cont.) :**

- Approach2 :
- Let  $G$  be a CFG for  $A$  and design a TM  $M_G$  that decides  $A$ .
- We build a copy of  $G$  into  $M_G$ . It works as follows.

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$M_G$  = “On input  $w$ :

1. Run TM  $S$  on input  $\langle G, w \rangle$ .
2. If this machine accepts, *accept*; if it rejects, *reject*.”

$S$  = “On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:

1. Convert  $G$  to an equivalent grammar in Chomsky normal form.
2. List all derivations with  $2n - 1$  steps, where  $n$  is the length of  $w$ ; except if  $n = 0$ , then instead list all derivations with one step.
3. If any of these derivations generate  $w$ , *accept*; if not, *reject*.”

## THE RELATIONSHIP AMONG THE FOUR MAIN CLASSES OF LANGUAGES

