# LSTMs, Seq-to-Seq models and Attention

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# RNNs and gradient issues

"Back in my college days at France, I was living and Paris and was speaking French at the university."

- $h_t = \sigma(Linear((x_t, h_{t-1})), \quad \sigma$  Activation
- Vanishing and Exploding gradients issues(same as very deep feedforward nets).

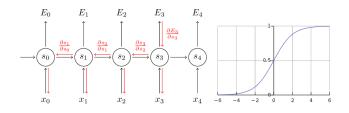


Figure: Back-prop on RNNs and Sigmoid activations.

# LSTM - Long Short Term Memory Nets

- Forget Gate :  $f_t = \sigma(W_f[h_{t-1}, x_t] + b_f)$
- Input Gate :  $i_t = \sigma(W_i[h_{t-1}, x_t] + b_i)$
- Current Cell State (Context) :  $\hat{C}_t = \sigma(W_c[h_{t-1}, x_t] + b_c)$
- Cell State(Context) :  $C_t = f_t * C_{t-1} + i_t * \hat{C}_t$
- Output Gate :  $o_t = \sigma(W_o[h_{t-1}, x_t] + b_o)$
- Hidden Layer Update :  $h_t = o_t * tanh(C_t)$

h is overall state of what we have seen so far. C is selective memory of the past. Crudely think of it as a dairy of events (h) vs. what your memory remembers from these events (C).

# Seq to Seq and Attention

## Bi-lingual volunteers please

- Read both these sentences once from left to right and try to translate them without looking at source.
- ② Do the same as step 1 and the second time, you are allowed to peep at certain phrases while translating.
  - Sebastien lived in France.
  - Back in Sebastien's days at France, he lived in the city of Paris, a city
    of great beauty and filled with love and beautiful art, and he spoke
    French, a language with great history and the national language of
    France.

- Machine Translation Overview
- 2 Sequence-to-Sequence Learning
- 3 Sequence-to-Sequence with Attention
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- Open Research Questions

#### Pure Encoder-Decoder Network

Input (sentence, image, etc.)



Fixed-Size Encoder (MLP, RNN, CNN)

 $\mathsf{Encoder}(\mathsf{input}) \in \mathbb{R}^D$ 



Decoder

Decoder(Encoder(input))

#### Pure Encoder-Decoder Network

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Decoder(Encoder(input))

Source sentence:  $\mathbf{x} = [x_1, \dots, x_T]$ Target sentence:  $\mathbf{y} = [y_1, \dots, y_L]$ 

- $h_t = \text{RNN}(x_t, h_{t-1})$  (Encoder RNN)
- $h_T = \text{Last hidden state of RNN encoder (summary of source)}$
- $q_i = RNN(y_l, q_{i-1})$  (Decoder RNN)
- $p(y_i|y_{\leq i}, \mathbf{x}) = \operatorname{softmax}(\operatorname{MLP}([q_i, h_T]))$
- Training: word-level maximum likelihood

$$\underset{\theta}{\arg\max} \sum_{i=1}^{L} \log p(y_i|y_{\leq i}, \mathbf{x})$$

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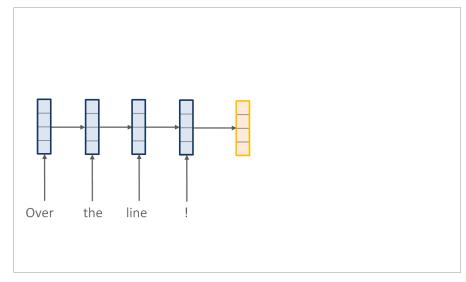
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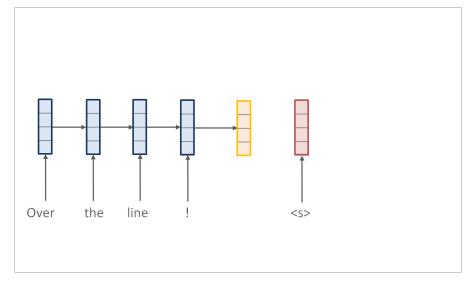
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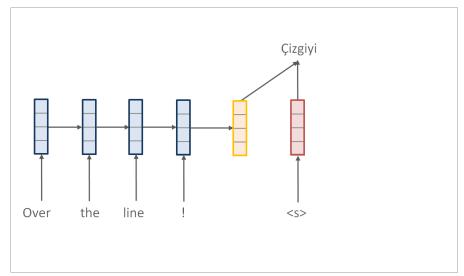
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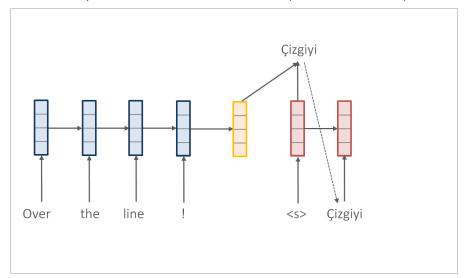
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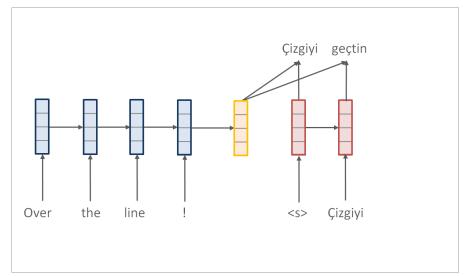
	Exa	mple:	Neural	Machine	Translation	(Sutskever	et al., 2014	4)
Ove	r	the	line	!				

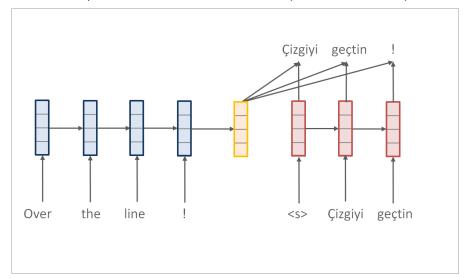


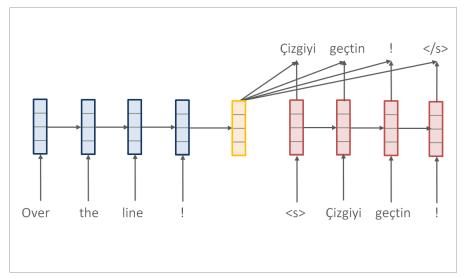


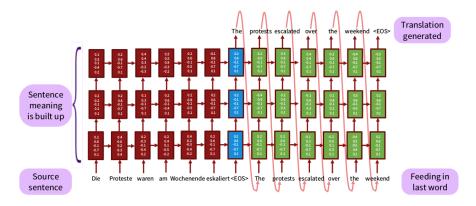












#### Communication Bottleneck

All input information communicated through fixed-size hidden vector.

### Encoder(input)

- Training: All gradients have to flow through single bottleneck.
- Test: All input encoded in single vector.

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Input (sentence, image, etc.)



 $Memory-Bank\ Encoder\ (MLP,\ RNN,\ CNN)$ 

$$\mathsf{Encoder}(\mathsf{input}) = x_1, x_2, \dots, x_T$$



Attention Distribution Annotation Function
"where" "what"



Context Vector ("soft selection")



Input (sentence, image, etc.)



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- $q_i = RNN(y_l, q_{i-1})$  (Decoder RNN)
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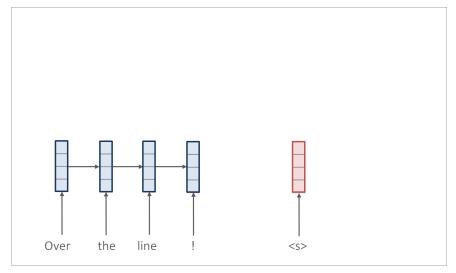
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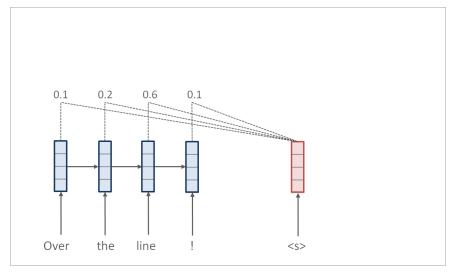
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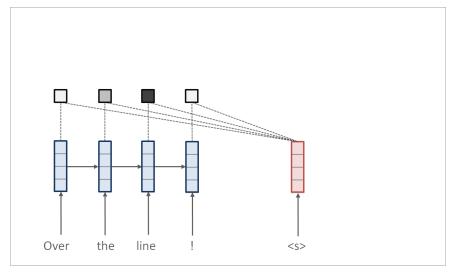
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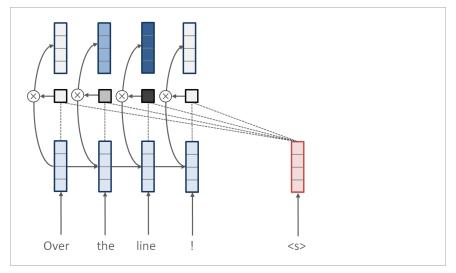
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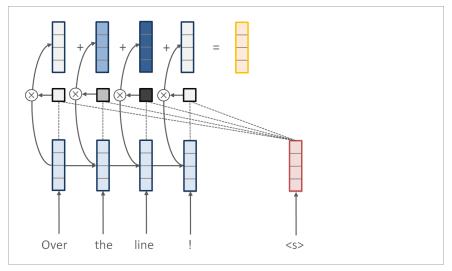
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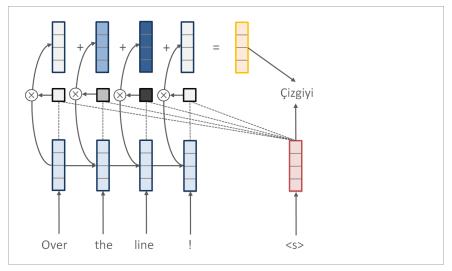


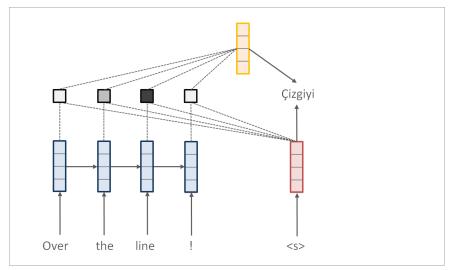


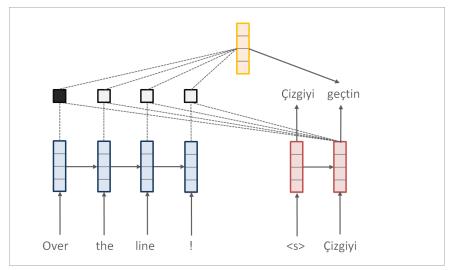


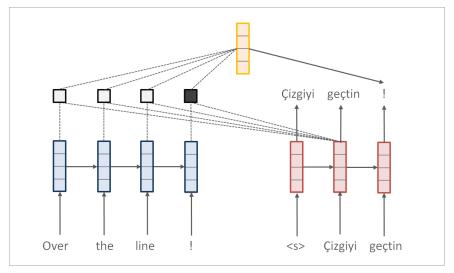


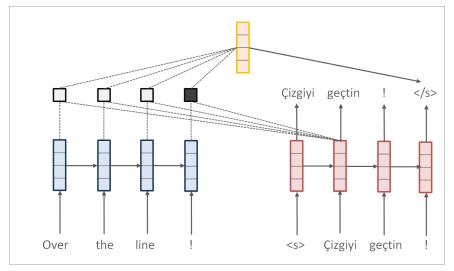












#### Attention Networks: Notation

$$x_1,\dots,x_T$$
 Memory bank 
$$q$$
 Query 
$$z$$
 Memory selection ("where") 
$$p(z=i\,|\,x,q;\theta)$$
 Attention distribution 
$$f(x,z)$$
 Annotation function ("what") 
$$c=\mathbb{E}_z[f(x,z)]$$
 Context vector ("soft selection")

## End-to-End Requirements

- $\textbf{ 0} \ \ \text{Need to compute attention distribution} \ \ p(z=i\,|\,x,q;\theta)$
- 2 Need to backpropagate to learn parameters 6

#### Attention Networks: Notation

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 Memory bank  $q$  Query  $z$  Memory selection ("where")  $p(z=i\,|\,x,q;\theta)$  Attention distribution  $f(x,z)$  Annotation function ("what")  $c=\mathbb{E}_z[f(x,z)]$  Context vector ("soft selection")

## End-to-End Requirements:

- $\textbf{ 0} \ \ \text{Need to compute attention distribution} \ \ p(z=i\,|\,x,q;\theta)$
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$$\begin{array}{cccc} x_1,\dots,x_T & \text{Memory bank} & \text{Source RNN hidden states} \\ q & \text{Query} & \text{Decoder hidden state} \\ z & \text{Memory selection} & \text{Source position } \{1,\dots,T\} \\ p(z=i\,|\,x,q;\theta) & \text{Attention distribution} & \text{softmax}(x_i^\top q) \\ f(x,z) & \text{Annotation function} & \text{Memory at time } z\text{, i.e. } x_z \\ c = \mathbb{E}_z[f(x,z)] & \text{Context vector} & \sum_{i=1}^T p(z=i\,|\,x,q)x_i \end{array}$$

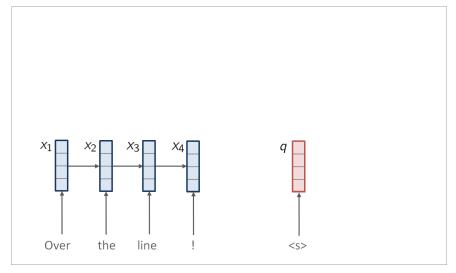
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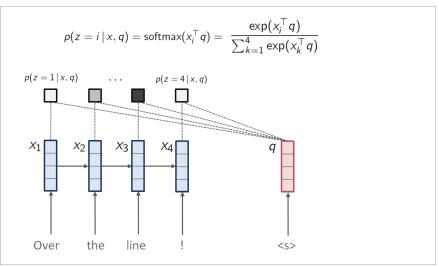
- ① Need to compute attention  $p(z = i \mid x, q; \theta)$   $\implies$  softmax function
- Need to backpropagate to learn parameters (
   Backprop through softmax function

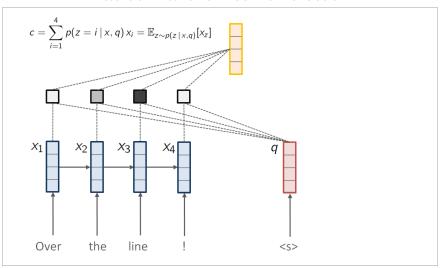
$x_1,\ldots,x_T$	Memory bank	Source RNN hidden states
q	Query	Decoder hidden state
z	Memory selection	Source position $\{1,\ldots,T\}$
$p(z=i x,q;\theta)$	Attention distribution	$\operatorname{softmax}(x_i^\top q)$
f(x,z)	Annotation function	Memory at time $z$ , i.e. $x_z$
$c = \mathbb{E}_z[f(x,z)]$	Context vector	$\sum_{i=1}^{T} p(z=i \mid x, q) x_i$

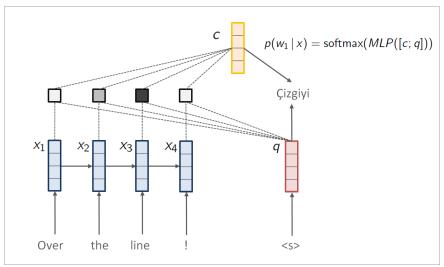
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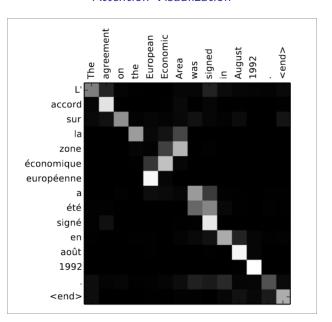








## Attention Visualization



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Xu, K., Ba, J., Kiros, R., Cho, K., Courville, A., Salakhutdinov, R., Zemel, R., and Bengio, Y. (2015). Show, Attend and Tell: Neural Image Caption Generation with Visual Attention. In *Proceedings of ICML*.