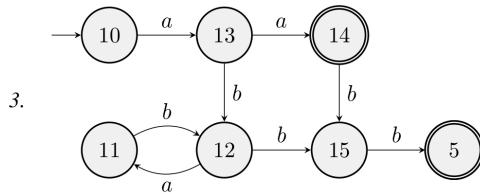
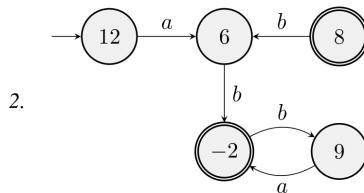
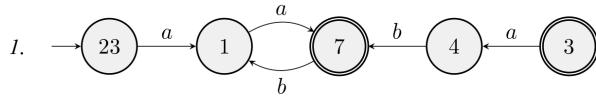




Lecture 3

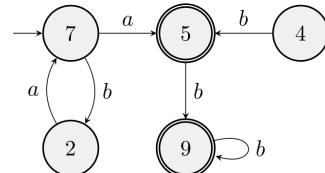
Exercise Sheet 3

Exercise 1. Check which of the following partial DFAs over the alphabet $\Sigma = \{a, b\}$ are equivalent. If they are not equivalent, you should give a word that's accepted by one but not by the other.



Exercise 2. For any string $w = w_1 w_2 \dots w_n$, the **reverse** of w , written w^R , is the string w in reverse order, $w_n \dots w_2 w_1$. For any language L , let $L^R = \{w^R \mid w \in L\}$. Show that if L is regular, so is L^R .¹

Exercise 3. Is the following partial DFA minimal? If not, find an equivalent minimal partial DFA.



Exercise 4. Give a partial DFA over the alphabet $\Sigma = \{\alpha, \beta\}$ for words with at least one α , and another partial DFA over the same alphabet for words with at least two characters. By combining these using pairs of states, obtain a partial DFA for words with at least one α and at least two characters.

Exercise 5. Consider the following language over the alphabet $\Sigma = \{a, b\}$:

$$L = \{w \mid w \text{ contains the same number of } a's \text{ and } b's\}$$

Show that L is not regular.

¹Hint. Use Kleene's theorem.

Exercise 6. Are the following languages over $\Sigma = \{a, b\}$ regular? Why (not)?

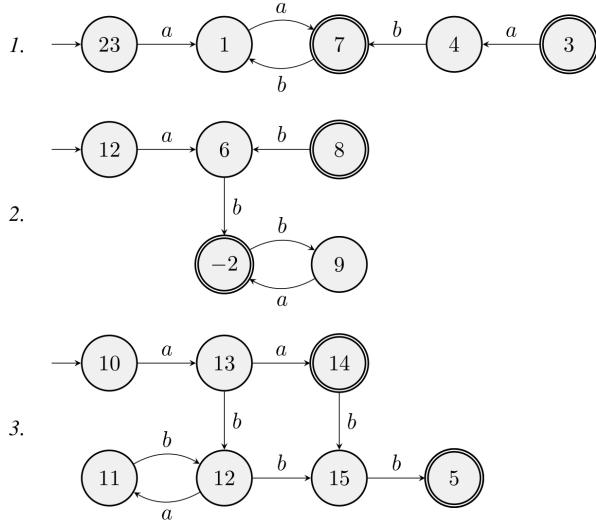
1. $L = \{a^m b^n \mid m > n\}$
2. $L = \{a^m b^n \mid m < n\}$
3. $L = \{w \mid \text{length}(w) \text{ is a square number}\}$

Exercise 7. Let $\Sigma = \{a, b\}$.

1. Let $L_1 = \{a^k u a^k \mid k \geq 1 \text{ and } u \in \Sigma^*\}$. Show that L_1 is regular.
2. Let $L_2 = \{a^k b u a^k \mid k \geq 1 \text{ and } u \in \Sigma^*\}$. Show that L_2 is not regular.

Exercise Sheet 3

Exercise 1. Check which of the following partial DFAs over the alphabet $\Sigma = \{a, b\}$ are equivalent. If they are not equivalent, you should give a word that's accepted by one but not by the other.



Solution The second partial DFA rejects the word aa , which is accepted by the first and third. Hence the second partial DFA is inequivalent to the others. The third partial DFA accepts $abbb$, which is rejected by the first. Hence these two partial DFA are inequivalent as well.

Exercise 2. For any string $w = w_1w_2 \dots w_n$, the **reverse** of w , written w^R , is the string w in reverse order, $w_n \dots w_2w_1$. For any language L , let $L^R = \{w^R \mid w \in L\}$. Show that if L is regular, so is L^R .¹

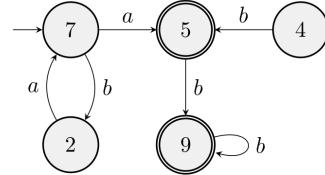
Solution By Kleene's theorem there is a partial DFA A that accepts the language L . Can we, given A , construct a partial DFA that accepts L^R ? The answer is to reverse all transitions in A , and switch initial and accepting states. This may result in an NFA, which is nevertheless equivalent to a regular expression.

Alternatively, suppose L is recognized by a regexp E . We construct a new regexp E^R that recognizes L^R , by induction:

1. If E is \emptyset or E is ϵ , we set $E^R = E$.
2. If E is a character x of the alphabet, we set E^R to be x .
3. If E is $E_0|E_1$, we set E^R to be $E_0^R|E_1^R$.
4. If E is E_0E_1 , we set E^R to be $E_1^R E_0^R$. (This is the only thing that changes between E and E^R .)
5. If E is $(E_0)^*$, we set E^R to be $(E_0^R)^*$.

¹Hint. Use Kleene's theorem.

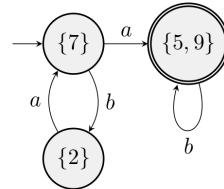
Exercise 3. Is the following partial DFA minimal? If not, find an equivalent minimal partial DFA.



Solution

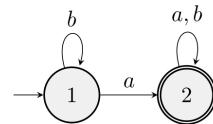
- States 5 and 9 are equivalent: both are accepting words matched by b^* (i.e., ϵ, b, bb, \dots) and rejecting any word containing an a .
- State 4 is unreachable.
- States 2 and 7 are inequivalent to each other, and to 5 (and hence 9).

Removing the unreachable state 4, and collapsing the equivalent states 5 and 9 gives the following partial DFA:

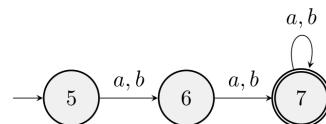


Exercise 4. Give a partial DFA over the alphabet $\Sigma = \{\alpha, \beta\}$ for words with at least one α , and another partial DFA over the same alphabet for words with at least two characters. By combining these using pairs of states, obtain a partial DFA for words with at least one α and at least two characters.

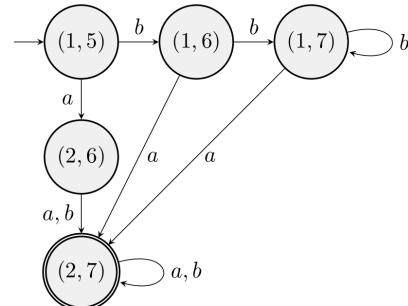
Solution A partial DFA for words with at least one α :



A partial DFA for words with at least two characters:



This yields the following partial DFA for the intersection:



State $(2, 7)$ is accepting because it consists of only accepting states 2 and 7.

Exercise 5. Consider the following language over the alphabet $\Sigma = \{a, b\}$:

$$L = \{w \mid w \text{ contains the same number of } a's \text{ and } b's\}$$

Show that L is not regular.

Solution

- Suppose that we are given a DFA D that recognizes L .
- Consider x_n the state of D reached after reading a^n . State x_n accepts the word b^n , but not the word b^m for $m < n$.
- Hence all x_n are inequivalent to x_m for $m < n$.
- Hence the DFA D has infinitely many different states, a contradiction to its assumed finiteness.

Exercise 6. Are the following languages over $\Sigma = \{a, b\}$ regular? Why (not)?

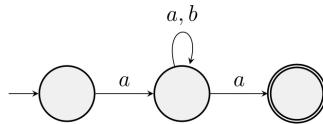
1. $L = \{a^m b^n \mid m > n\}$
2. $L = \{a^m b^n \mid m < n\}$
3. $L = \{w \mid \text{length}(w) \text{ is a square number}\}$

Exercise 7. Let $\Sigma = \{a, b\}$.

1. Let $L_1 = \{a^k u a^k \mid k \geq 1 \text{ and } u \in \Sigma^*\}$. Show that L_1 is regular.
2. Let $L_2 = \{a^k b u a^k \mid k \geq 1 \text{ and } u \in \Sigma^*\}$. Show that L_2 is not regular.

Solution

1. Note that L_1 is equivalently written as $L_1 = \{ava \mid v \in \Sigma^*\}$. (We need at least one a at the beginning and one at the end, the others are absorbed into v .) A partial DFA for this is easy to build:



2. Suppose that we have a DFA accepting this language. For any $n \in \mathbb{N}$, let x_n be the state reached from the initial state after reading $a^n b$. For $m < n$, if we start at x_m and read in a^m we reach an accepting state, but if we start at x_n and read in a^m we reach a rejecting state, so x_m is not equivalent to x_n . Hence there are infinitely many states, contradicting the assumed finiteness of the DFA.

