



# Graphs and Distances

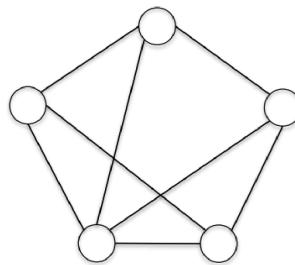
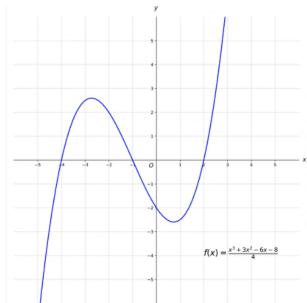
## ► Administrivia (Week 7 – 11)

- **Office hours:** Every Wednesday 1500–1600.
  - CS Sloman Lounge Meeting Room 1
- **Email policy:**
  - All discussions regarding lecture material and related **MUST** be in Slack General channel.
  - Want to discuss privately? Meet me during office hours.
  - *Private communication will only be answered if urgent and not related to course material.*
- **Classroom policy:**
  - **Ask questions.** No question is stupid!
  - **No need to take notes.** Video and (non-annotated) slides will be uploaded.

## ► Aims of this week

- We've seen a lot of trees already
  - Binary (search) tree to quickly search.
  - Heaps to quickly insert an element and find a priority element.
  - Decision trees in the lower bound for comparison sorts
  - DFS and BFS on trees.
- Now: **Graphs!**
- Expect a change in **design approach**:
  - Not worried about low-level implementation of DSs.
  - Focus on how to use them cleverly.
  - Using **pseudocode** instead of Java.

## ► What is a graph?

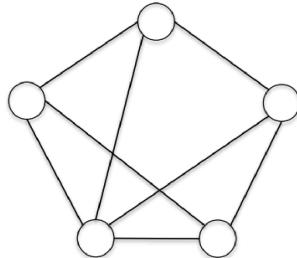


A graph in most of the world

A graph for a computer scientist

## ► What is a graph?

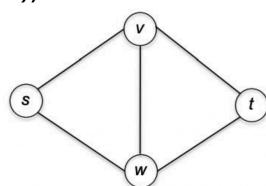
- Objects and their pairwise relationships
- **Node/Vertex:** An object
- **Edge:** Pairwise relationship
- $V = \text{set of vertices}$
- $E = \text{set of edges}$
- $G = (V, E)$  is a graph.



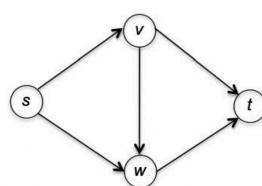
A graph for a computer scientist

## ► Direction?

- **Undirected graph:** Edge corresponds to **unordered pair** of vertices.
  - Edge  $e = (u, v) = (v, u) = \{u, v\}$ .
  - Vertices  $u$  and  $v$  are endpoints.
- **Directed graph:** Each edge is an **ordered pair**.
  - $(u, v) \neq (v, u)$ .
  - For  $(u, v)$ ,  $u$  is the tail and  $v$  is the head of the arc.



(a) An undirected graph



(b) A directed graph

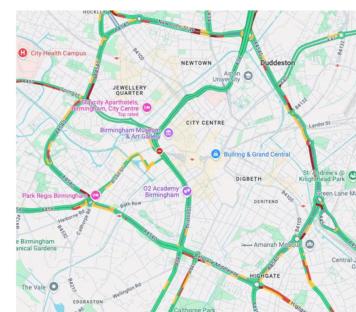
## ► Why should we care?

- **Social network:**
  - Friendship network (undirected) or follower network (directed).
- **Road network:**
  - Google map
- **The Web:**
  - Google search (Pagerank, directed)
- **Precedence constraint:**
  - How to schedule lectures?



A friendship network

Data Structure & Algorithms



A road network

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## ► Sparse vs Dense graph

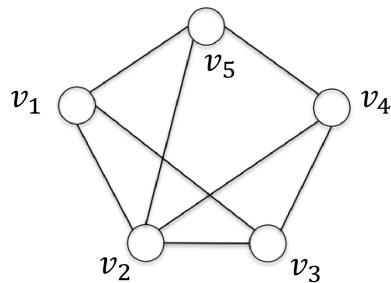
- **Quiz:** Undirected graph  $G = (V, E)$  with no parallel edges.
  - Maximum number of edges?
  - If the graph is connected, minimum number of edges?
- Every pair of vertices  $(u, v)$  can have an edge between them.
  - How many such distinct pairs?  $\frac{n(n-1)}{2} = O(n^2)$ .
- Every vertex must have an edge incident on it.
  - # edges =  $\Omega(n)$ .
- Sparsest connected graph on  $n$  vertices?
  - Tree.

Data Structure & Algorithms

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## ► Graph representation

- **Adjacency list:** Ingredients
  - An array/linked list  $V$  containing vertices.
  - An array/linked list  $E$  containing edges.
  - Each edge  $e$  has a pointer to two of its endpoints.
  - Each vertex  $v$  has a pointer to each of incident edges.



## ► Graph representation

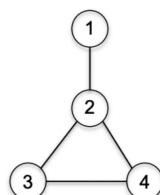
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  - Each edge  $e$  has a pointer to two of its endpoints.
  - Each vertex  $v$  has a pointer to each of incident edges.

- **Quiz:** How much space is required for  $n$  vertices and  $m$  edges?

- $- O(m + n)$

## ► Graph representation

- **Adjacency matrix:** Ingredients
  - A 2D-array/matrix  $A$  of dimension  $n \times n$ .
  - $A_{i,j} = 1$  if and only if there is an edge between vertices  $i$  and  $j$ .



(a) A graph...

$$\begin{matrix} & 1 & 2 & 3 & 4 \\ 1 & \left( \begin{matrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{matrix} \right) \\ 2 & \\ 3 & \\ 4 & \end{matrix}$$

(b) ... and its adjacency matrix

- **Quiz:** How much space is required for  $n$  vertices and  $m$  edges?

- $O(n^2)$

## ► Graph representation: Which one?

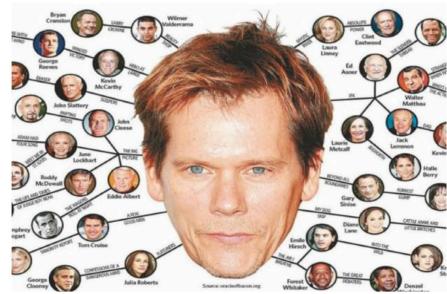
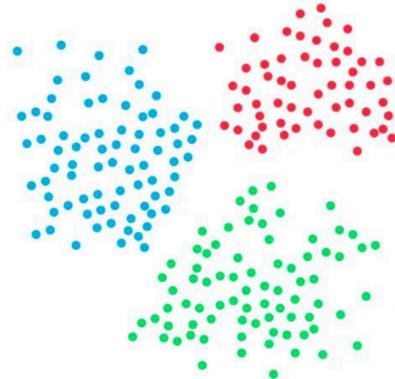
*It depends...*

- **Graph exploration:** Usually adjacency list shines.
  - We will see them soon.
- **Sparse graph**  $m \approx O(n)$ : Usually adjacency list shines.
  - Why?
- **Graph properties using linear algebra:** Usually adjacency matrix shines.
  - We will not see them in this module.

# Graph Search and Exploration

## ► When is it useful?

- **Connectivity:**
  - Network reachability
  - Sudoku/Chess/Puzzle solving
- **Connected components:**
  - Clustering
- **Shortest path:**
  - Erdős number/Bacon number



## ► Generic Graph Exploration

**Input:** An undirected or directed graph  $G = (V, E)$  and a starting vertex  $v$ .

**Goal:** Identify all vertices reachable from  $s$ .

- **First**, if  $G$  is connected,  $s$  can reach every vertex.
  - $G$  is disconnected: How to check? How to find reachable vertices?
- **Strategy**:
  - Start from  $s$  and explore edges connected to what already explored.
  - Avoid exploring anything twice!

## ► Generic Graph Exploration

**Input:** An undirected or directed graph  $G = (V, E)$  and a starting vertex  $v$ .

**Goal:** Identify all vertices reachable from  $s$ .

1. Create sets **Explored** and **Unexplored**.
2. Put  $s$  in **Explored**, every other vertex in **Unexplored**.
3. **while**  $\exists$  edge  $(u, v)$  with  $u \in \text{Explored}$  and  $v \in \text{Unexplored}$ :
  - I. Put  $v \in \text{Explored}$ .

**Quiz:** Suppose you can do (3) in  $O(1)$  time:

- What is the runtime of generic graph exploration?

## ► Generic Graph Exploration

**How to do:**

3. **while**  $\exists$  edge  $(u, v)$  with  $u \in \text{Explored}$  and  $v \in \text{Unexplored}$ :
  - I. Put  $v \in \text{Explored}$ .

Suppose we explore in layers!

1. Layer 0 contains just  $s$ .
2. Layer 1 contains vertices 1-hop away from  $s$ .
3. ...
4. Layer  $k + 1$  contains vertices 1-hop away from vertices in Layer  $k$ .

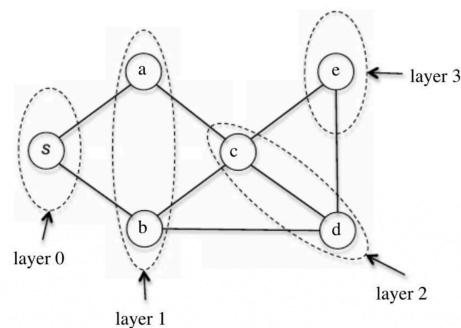
## ► Generic Graph Exploration

**How to do:**

3. **while**  $\exists$  edge  $(u, v)$  with  $u \in \text{Explored}$  and  $v \in \text{Unexplored}$ :
  - I. Put  $v \in \text{Explored}$ .

Suppose we explore in layers!

1. Layer 0 contains just  $s$ .
2. Layer  $k + 1$  contains vertices 1-hop away from vertices in Layer  $k$ .



You have seen this before!

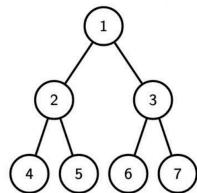
## ► Recall: BFS Exploration

**How to do:**

3. while  $\exists$  edge  $(u, v)$  with  $u \in \text{Explored}$  and  $v \in \text{Unexplored}$ :
  - I. Put  $v \in \text{Explored}$ .

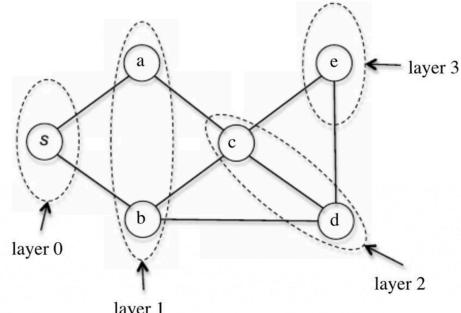
**BFS = Breadth First Search**

Traversing the tree by levels, i.e., all children will be visited before any node on level 2.



This is called **breadth first search (BFS)**.

Data Structure & Algorithms



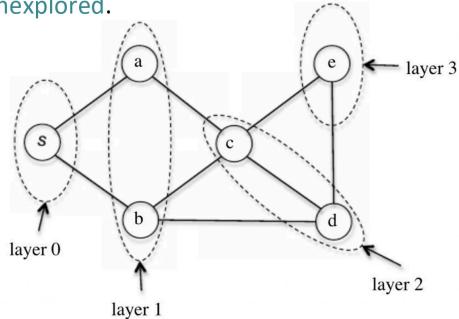
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## ► BFS Exploration

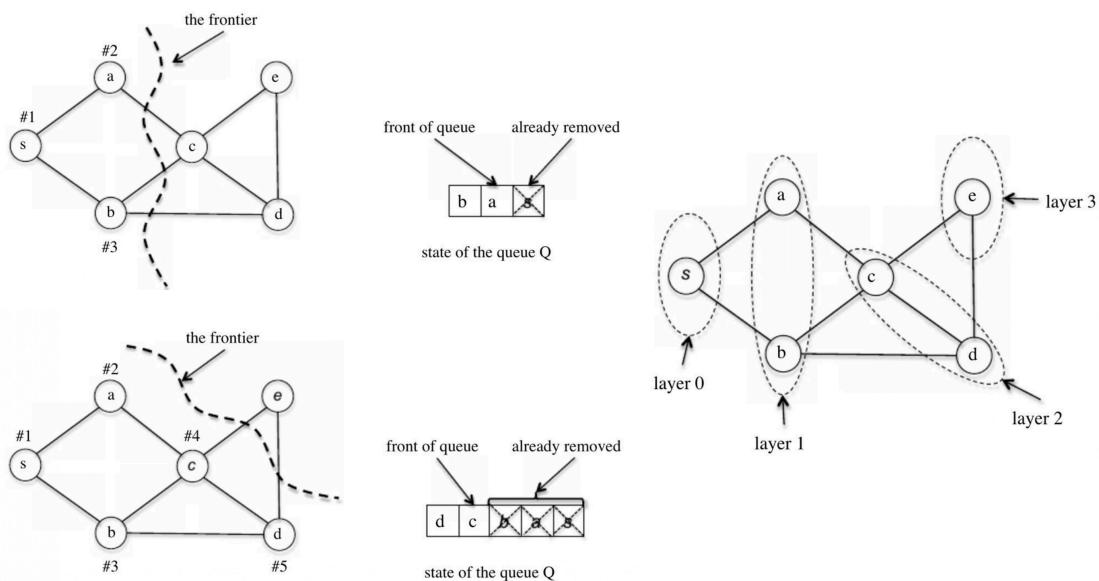
**Input:** An undirected or directed graph  $G = (V, E)$  and a starting vertex  $v$ .

**Goal:** Identify all vertices reachable from  $s$ .

1. Create sets **Explored** and **Unexplored**, and  $Q =$  a queue initialised with  $s$ .
2. Put  $s$  in **Explored**, every other vertex in **Unexplored**.
3. **while**  $Q$  is not empty
  - a)  $v = \text{Dequeue}(Q)$ .
  - b) **for each** edge  $(v, w)$ :
    - If  $w \in \text{Unexplored}$ :
      - $w \in \text{Explored}$
      - $\text{Enqueue}(w)$



## ► BFS Exploration in action



## ► BFS Exploration Analysis

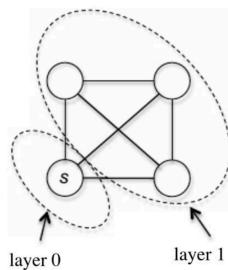
1. Create sets **Explored** and **Unexplored**, and  $Q$  = a queue initialised with  $s$ .
2. Put  $s$  in **Explored**, every other vertex in **Unexplored**. **Runtime of init:**  $O(n)$
3. **while**  $Q$  is not empty
  - a)  $v = \text{Dequeue}(Q)$ .
  - b) **for each** edge  $(v, w)$ :
    - If  $w \in \text{Unexplored}$ :
      - $w \in \text{Explored}$
      - Enqueue( $w$ )

**How many times an edge  $(u, v)$  can be used?**

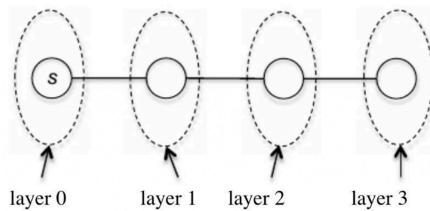
- Once when  $u$  is dequeued.
- Once when  $v$  is dequeued. **Runtime of for loop:**  $O(m)$

## ► BFS Exploration: Quiz

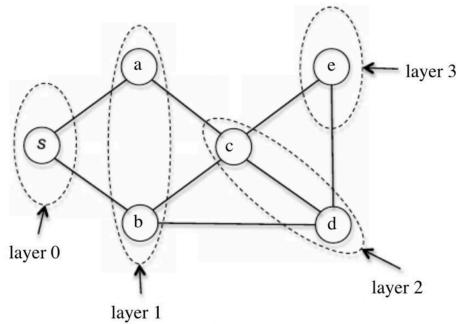
- Consider a complete graph  $G$ . How many layers?



- Consider a path graph  $G$  with  $s$  in one end. How many layers?



## ► BFS Exploration: Hop-distance



**What does Layer  $k$  mean?**

- $v \in \text{Layer } k \Rightarrow$  You can reach  $v$  from  $s$  by visiting  $k$  vertices.
- In other words:  $v$  is hop-distance  $k$  away from  $s$ .

A BFS tree is hidden in BFS exploration: Broadcast tree.

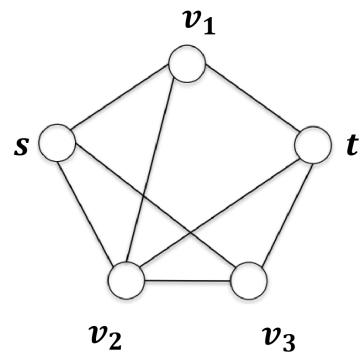
Can you find it?

Can you find more than one?

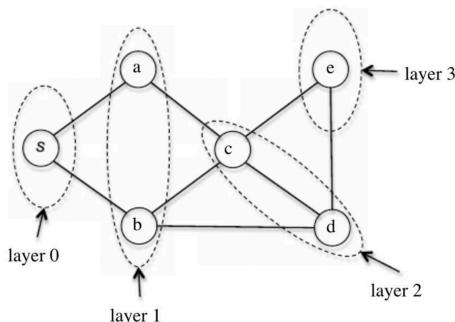
## Graph Distance

## ► Distance in graphs

- Consider two vertices  $s, t$ .
- Multiple paths connecting  $s$  and  $t$ .
  - $s, v_1, t$ .
  - $s, v_2, v_3, t$
  - $s, v_1, v_2, v_3, t$ , etc.
- $\text{dist}(s, t) = \text{length of the shortest path}$ .
  - Length of  $s, v_1, t = 2$ .
- Same as hop-distance for **unweighted graphs!**



## ► Single-source shortest path (SSSP)



### Observe:

- BFS exploration from  $s$  ensures that:
  - $v \in \text{Layer } k \Rightarrow \text{dist}(s, v) = k$ .
- BFS correctly computes shortest path from  $s$  to every other vertex.
- **Think:** The graph can be directed as well! BFS does not care.

## ► BFS on directed graph: What changes?

1. Create sets **Explored** and **Unexplored**, and  $Q$  = a queue initialised with  $s$ .
2. Put  $s$  in **Explored**, every other vertex in **Unexplored**. **Runtime of init:**  $O(n)$
3. **while**  $Q$  is not empty
  - a)  $v = \text{Dequeue}(Q)$ .
  - b) **for each** edge arc  $(v, w)$ :
    - If  $w \in \text{Unexplored}$ :
      - $w \in \text{Explored}$
      - Enqueue( $w$ )

**How many times an edge  $(u, v)$  can be used?**

- Once when  $u$  is dequeued.
- ~~Once when  $v$  is dequeued.~~ **Runtime of for loop:**  $O(m)$

## ► The Waterloo of BFS: Weighted graphs

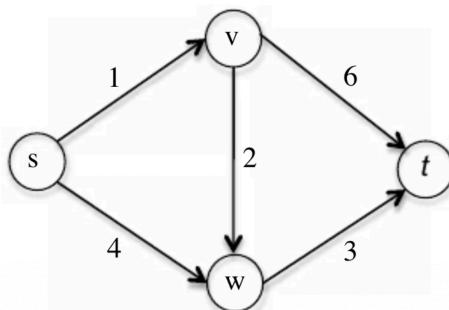
**What is a weighted graph?**

- $G = (V, E)$  with  $n$  vertices and  $m$  edges/arcs
- Each edge  $e$  has a weight/length  $\ell_e$ .

**What is distance now?**

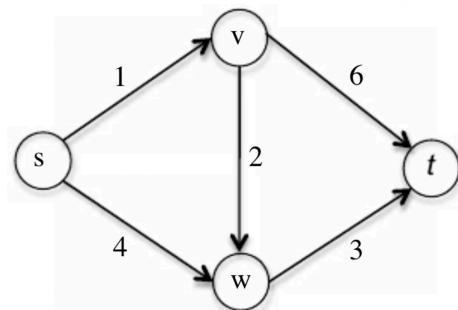
- Length of a path  $P$  = ~~number of edges~~ Total weight of the edges in  $P$

**Run BFS from  $s$  and see what happens!**

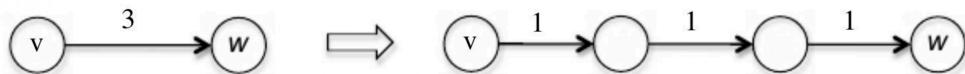


## ► The Waterloo of BFS: Weighted graphs

Run BFS from  $s$  and see what happens!



**Possible fix:** Replace an edge  $e$  with  $\ell_e$  unweighted edges in series and run BFS.

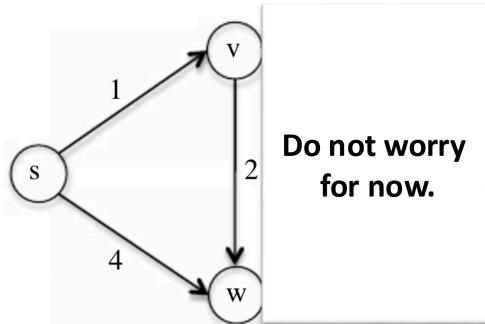


What goes wrong?

## ► SSSP on weighted graph

**Notation:**  $len(v) = dist(s, v)$ .

- Start with  $len(s) = 0$  and  $len(v), len(w) = \infty$ .
- Look at outgoing arcs from  $s$ .
  - Set  $len(v) = 1, len(w) = 4$ .
- Look at outgoing arcs from  $v$ .
  - Shorter path to  $w$ .
  - Change  $len(w) = 3$ .
- ...



How do we formalise this?

## ► Dijkstra's algorithm for SSSP

**Input:** A weighted directed graph  $G = (V, E)$  and a starting vertex  $s$ .

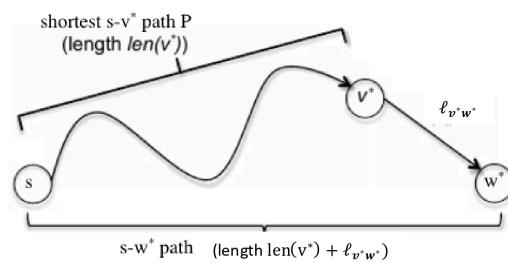
**Goal:** Identify distance  $dist(s, v) = len(v)$  all vertices  $v$  reachable from  $s$ .

- **Set**  $X = \{s\}$ ,  $len(s) = 0$ ,  $len(v) = \infty$  for all  $v \neq s$ .
- **while**  $\exists$  arc  $(v, w)$  with  $v \in X, w \notin X$ , **do**:
  - **Find the arc  $(a, b)$  with minimum  $len(a) + \ell_{ab}$ .**
  - **Add  $b$  to  $X$ .** May take  $O(m)$  time
  - **Set  $len(b) = len(a) + \ell_{ab}$ .** Total runtime:  $O(mn)$

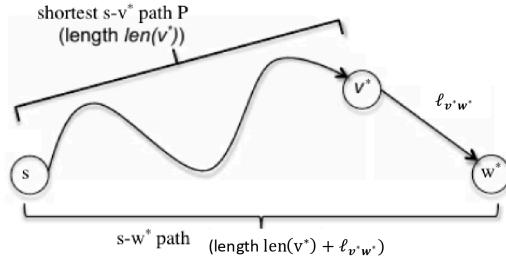
## ► Dijkstra's algorithm: Why does it work?

- **Set**  $X = \{s\}$ ,  $len(s) = 0$ ,  $len(v) = \infty$  for all  $v \neq s$ .
- **while**  $\exists$  arc  $(v, w)$  with  $v \in X, w \notin X$ , **do**:
  - **Find the arc  $(a, b)$  with minimum  $len(a) + \ell_{ab}$ .**
  - **Add  $b$  to  $X$ .**
  - **Set  $len(b) = len(a) + \ell_{ab}$ .**

- Consider a path  $P$  from  $s$  to  $w$ . Say this is the shortest path.
- Now consider any  $v$  in that path.
- **Observe:**  $P(s, v)$  is the shortest path from  $s$  to  $v$ .



## ► Dijkstra's algorithm: Induction



**Induction Hypothesis:**  $\text{len}(v) = \text{dist}(s, v)$  for the first  $k - 1$  vertices added to  $X$ .

**To Show:**  $\text{len}(w) = \text{dist}(s, w)$ .  $\Rightarrow \text{len}(w) \geq \text{dist}(s, w)$  and  $\text{len}(w) \leq \text{dist}(s, w)$ .

$\text{len}(w) \geq \text{dist}(s, w)$

Easy! Show a path

Non-trivial!

- When arc  $(v, w)$  was chosen,  $v \in X$ .
- Take path  $P(s, v)$  and add arc  $(v, w)$ . This is a path from  $s$  to  $w$ .
- By definition, length of this path  $\geq \text{dist}(s, w)$ .

## ► Dijkstra's algorithm: Induction

**Induction Hypothesis:**  $\text{len}(v) = \text{dist}(s, v)$  for the first  $k - 1$  vertices added to  $X$ .

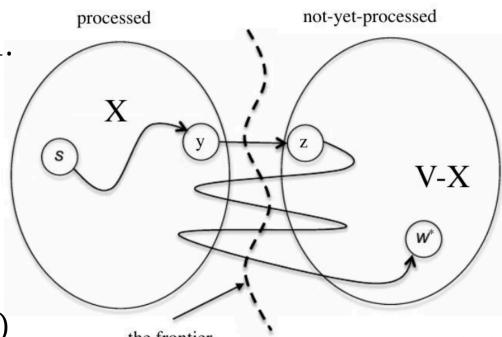
**To Show:**  $\text{len}(w) \leq \text{dist}(s, w)$ .

- Recall:  $X = \text{all processed vertices till } k - 1$ .
  - This means,  $v \in X$ .
- Consider the shortest path  $Q$  from  $s$  to  $w$ .
- $(y, z)$ : First time  $Q$  crosses frontier.

$$\text{Length}(Q) = \text{dist}(s, y) + \ell_{yz} + \text{Length}(Q_{zw})$$

$$\geq 0$$

$$\geq \text{dist}(s, y) + \ell_{yz} \geq \text{dist}(s, v) + \ell_{vw} = \text{len}(w).$$



## ► Dijkstra's algorithm: Faster!

**Input:** A weighted directed graph  $G = (V, E)$  and a starting vertex  $s$ .

**Goal:** Identify distance  $dist(s, v) = \text{len}(v)$  all vertices  $v$  reachable from  $s$ .

- Set  $X = \{s\}$ ,  $d(s) = 0$ ,  $d(v) = \infty$  for all  $v \neq s$ .
  - while  $\exists$  arc  $(v, w)$  with  $v \in X$ ,  $w \notin X$ , do:    Loop runs  $O(n)$  times
    - Find the arc  $(a, b)$  with minimum  $\text{len}(a) + \ell_{ab}$ .
    - Add  $b$  to  $X$ .
    - Set  $\text{len}(b) = \text{len}(a) + \ell_{ab}$ .

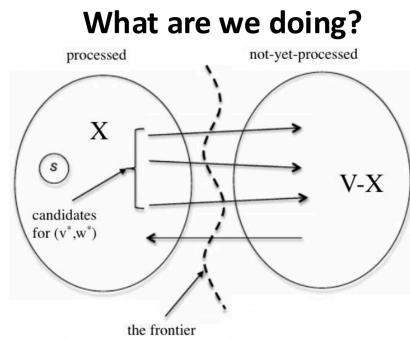
Can we use any nice data-structure?

► Dijkstra's algorithm:  $O((m + n)\log m)$

- Set  $X = \{s\}$ ,  $d(s) = 0$ ,  $d(v) = \infty$  for all  $v \neq s$ .
  - while  $\exists$  arc  $(v, w)$  with  $v \in X, w \notin X$ , do:
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    - Add  $b$  to  $X$ .
    - Set  $len(b) = len(a) + \ell_{ab}$ .

## Attempt 1: Store arcs+scores in a Min-heap

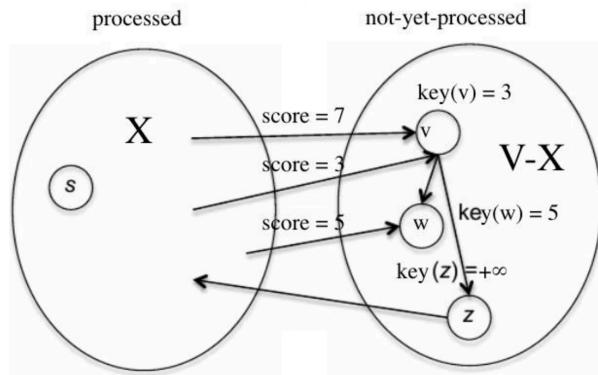
- When  $b \in X$ :
    - Remove arcs with head  $b$ .
    - Add arcs with tail  $b$ .
  - Remove more than just the min.
  - Each arc is inserted once and de-



## ► Dijkstra's algorithm: Min-heap of vertices

- Consider  $\text{key}$  of a vertex  $v \in V - X$ :

$$\text{key}(v) = \min_{(u,v) \in E: u \in X} \text{len}(u) + \ell_{uv}.$$



## ► Dijkstra's algorithm: Min-heap of vertices

- Consider  $\text{key}$  of a vertex  $v \in V - X$ :

$$\text{key}(v) = \min_{(u,v) \in E: u \in X} \text{len}(u) + \ell_{uv}.$$

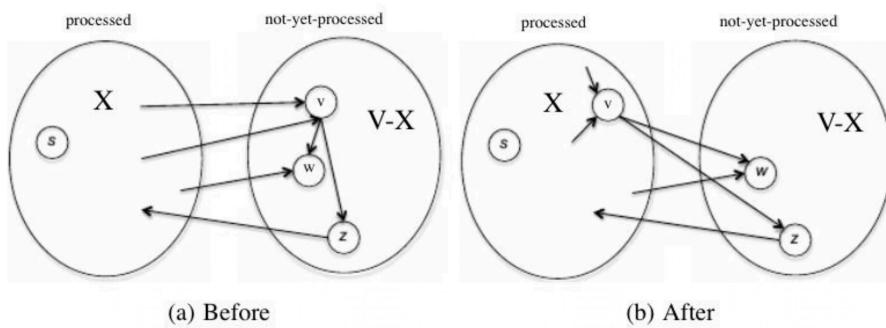
- Suppose we consider  $v^* \in V - X$  with minimum  $\text{key}$  and

$$\text{key}(v^*) = \text{len}(u^*) + \ell_{u^*v^*}.$$

- Do you see?
  - $(u^*, v^*)$  is the arc with lowest score crossing the frontier.
  - Absorb  $v^*$  in  $X$ .
- But now the  $\text{key}$  values are altered! How to fix?
  - Which  $\text{key}$  values are altered?

## ► Dijkstra's algorithm: Min-heap of vertices

- But now the *key* values are altered! How to fix?
  - Which *key* values are altered?



- Only update *key* for out-neighbours  $w \in V - X$  of  $v \in X$ .

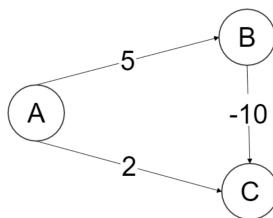
## ► Dijkstra's algorithm: $O((m + n)\log n)$

- Set  $X = \emptyset, H =$  empty min-heap.
- Set  $\text{key}(s) = 0$ , and for all  $v \neq s$ , set  $\text{key}(v) = \infty$ .
- Insert all vertices in  $H$ . Initialisation
- while  $H$  is not empty, do:
  - $w = \text{ExtractMin}(H)$ . **Total # ExtractMin =  $O(n)$**
  - Add  $w$  to  $X$ . Set  $\text{len}(w) = \text{key}(w)$ . **Distance calculation**
  - for every edge  $(w, y)$  with  $y \in V - X$ , do:
    - Delete  $y$  from  $H$ . **Total # delete and updates:  $O(m)$**
    - Update  $\text{key}(y) = \min\{\text{key}(y), \text{len}(w) + \ell_{wy}\}$ .
    - Insert  $y$  to  $H$ . **Key fixing**

## ► Dijkstra's algorithm: Negative weight?

- **Quiz:**  $G$  has some negative edge weights.  $G$  has no cycles.
  - Dijkstra might loop forever.
  - Impossible to run Dijkstra.
  - Dijkstra will always halt, but can be incorrect for some vertices.
  - Dijkstra will always halt, and will be correct for all vertices.

**Bellman-Ford  $O(mn)$**



## ► Dijkstra's algorithm: Negative cycle?

- **Quiz:**  $G$  has a negative cycle  $C$ .  $G$  has a path from  $s \rightarrow C$ .
  - Dijkstra might loop forever.
  - Impossible to run Dijkstra.
  - Dijkstra will always halt, but can be incorrect for some vertices.
  - Dijkstra will always halt, and will be correct for all vertices.

### Negative-Weight Single-Source Shortest Paths in Near-linear Time

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#### Abstract

We present a randomized algorithm that computes single-source shortest paths (SSSP) in  $O(m \log^{\omega}(n) \log W)$  time when edge weights are integral and can be negative.<sup>1</sup> This essentially resolves the classic negative-weight SSSP problem. The previous bounds are  $\tilde{O}((m+n^{1.5}) \log W)$  [BLNPSSW FOCS'20] and  $m^{1/3+o(1)} \log W$  [JMV FOCS'20]. Near-linear time algorithms were known previously only for the special case of planar directed graphs [Fakcharoenphol and Rao FOCS'06].

In contrast to all recent developments that rely on sophisticated continuous optimization methods and dynamic algorithms, our algorithm is simple: it requires only a simple graph decomposition and elementary combinatorial tools. In fact, ours is the first combinatorial algorithm for negative-weight SSSP to break through the classic  $\tilde{O}(m\sqrt{n} \log W)$  bound from over three decades ago [Gabow and Tarjan STOC'89].

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