



The impact of aging on the neural networks involved in gaze and emotional processing



Maryam Ziaei^{a,b,*}, Hana Burianová^{a,c}, William von Hippel^b, Natalie C. Ebner^{d,e},
Louise H. Phillips^f, Julie D. Henry^b

^a Centre for Advanced Imaging, University of Queensland, Brisbane, Queensland, Australia

^b School of Psychology, University of Queensland, Brisbane, Queensland, Australia

^c Department of Psychology, Swansea University, Swansea, Wales, UK

^d Department of Psychology, University of Florida, Gainesville, FL, USA

^e Department of Aging and Geriatric Research, Cognitive Aging and Memory Program, Clinical Translational Research Program (CAM-CTRP), Institute on Aging, University of Florida, Gainesville, FL, USA

^f School of Psychology, University of Aberdeen, Scotland, UK

ARTICLE INFO

Article history:

Received 17 February 2016

Received in revised form 21 August 2016

Accepted 29 August 2016

Available online 6 September 2016

Keywords:

Aging
Eye gaze
Emotional expressions
Social cognition
Dedifferentiation
Mentalizing
PLS

ABSTRACT

Normal adult aging is associated with difficulties in processing social cues to emotions such as anger and also altered motivation to focus more on positive than negative information. Gaze direction is an important modifier of the social signals conveyed by an emotion, for example, an angry face looking directly at you is considerably more threatening than an angry face looking away. In the present study we tested the hypothesis that older adults would show less neural differentiation to angry faces with direct and avert gaze compared to younger people, with the opposite prediction for happy faces. Healthy older (65–75 years; mean = 69.75) and younger (17–27 years; mean = 20.65) adults completed a functional magnetic resonance imaging experiment in which they were asked to identify happy and angry expressions displayed either with direct or averted gaze. While younger adults showed neural sensitivity to eye-gaze direction during recognition of angry expressions, older adults showed no effect of eye-gaze direction on neural response. In contrast, older adults showed sensitivity to eye-gaze direction during recognition of happy expressions but younger adults did not. Additionally, brain-behavior correlations were conducted to investigate the relationships between emotion recognition and mentalizing brain network in both age groups. Younger (but not older) adults' social cognitive performance was differentially correlated with activation in 2 brain networks when looking at angry faces with direct compared to averted gaze. These novel findings provide evidence for age-related differences in the neural substrates underlying the capacity to integrate facial affect and eye-gaze cues. The results of this study suggest that age-related differences in integrating facial cues may be related to engagement of the mentalizing network, with potentially important implications for social cognitive functioning in late adulthood.

© 2016 Elsevier Inc. All rights reserved.

1. Introduction

People rely on eye gaze and emotional expressions to form expectations about others' mental states (Graham and Labar, 2012). For example, the personal significance of an angry expression depends on whether it is accompanied by direct or averted gaze. Aging diminishes the ability to process information from eye gaze

(Slessor et al., 2008) and emotional expressions (Ruffman et al., 2008), as well as the integration of these cues (particularly for angry facial expressions; Slessor et al., 2010). Reduced sensitivity to expression and eye-gaze cues may be indicative of underlying structural and functional neural changes in old age and may have potential consequences for social interaction in late adulthood. Emotion recognition constitutes a critical skill in effective social communication particularly for maintaining positive interaction and interpersonal relationships. Thus, any difficulties recognizing emotional expressions and facial cues have the potential to negatively impact a person's capacity to develop and maintain strong social networks, with attendant consequences for health and well-being. Reduced sensitivity to emotional expressions and eye-gaze

* Corresponding author at: Centre for Advanced Imaging, The University of Queensland, St Lucia, Brisbane, Queensland 4072, Australia. Tel.: +61-422 916 363; fax: +61-7 3365 3833.

E-mail addresses: maryamziaei@gmail.com, maryam.ziaei@cai.uq.edu.au (M. Ziaei).

cues may be indicative of age-related changes in the underlying neural correlates involved in social cognitive processing.

There is now evidence that social cognitive processing imposes demands on a large number of different brain regions and their connectivity (see e.g., [Molenberghs et al., 2016](#)). However, it remains important to gain a more complete and nuanced understanding of the functional networks that subserve these processes, as well as how these networks change in the context of normal adult aging. This is because social cognitive difficulties are early and salient features of many clinical disorders, including many common neurodegenerative disorders associated with old age ([Bora et al., 2016](#); [Henry et al., 2016](#); [Kemp et al., 2012](#); [McCade et al., 2011](#)). A better understanding of the neural networks that subserve core aspects of change in social cognitive function in late adulthood could inform differential diagnosis and treatment of social cognitive impairment in this age group.

A number of neuroimaging studies have assessed age-related differences in processing emotional facial expressions (e.g., for a review see [Ziaei and Fischer, 2016](#)). While some studies found age-related decline in neural response to negative facial emotions, including regions of the medial temporal lobe such as the amygdala ([Iidaka et al., 2002](#)) and anterior ventral insula cortex ([Fischer et al., 2005](#)), other studies reported that younger and older adults recruited different brain regions irrespective of emotional valence ([Gunning-Dixon et al., 2003](#)). Direct comparisons between happy and angry expressions revealed 2 main findings ([Ebner et al., 2012](#)). First, greater ventromedial prefrontal cortex (vmPFC) activity was seen during recognition of happy (relative to angry) faces across both age groups. Second, greater dorsomedial PFC (dmPFC) activity in response to angry (relative to happy) faces was more pronounced for older relative to younger adults. Taken together, these findings suggest that older and younger adults differ in the neural networks they recruit when processing emotional expressions, and that for older adults, more cognitive effort may be required to recognize angry (relative to happy) facial expressions. However, whether there are also age differences in the brain networks involved in processing and integrating communicative facial cues (i.e., directed and averted gaze), and in interaction with facial expressions, remains to be established. **It also remains unclear whether age-related neural differences in processing facial affective cues are related to social cognitive functioning, such as theory of mind (TOM) and social behavior.** To our knowledge, our study is the first to examine the age-related changes in the neural networks involved in processing facial communicative cues and their implications for social cognitive performance.

Angry expressions in the context of direct gaze signal immediate threat to the observer. Neural responses to such threatening cues are automatic ([Shepherd, 2010](#)) and reflexive ([Adams et al., 2012](#)). In contrast, angry expressions accompanied by averted gaze signal that the anger is directed toward something else in the environment, thus, less likely to be interpreted as personal threat and may invoke higher-order social cognitive brain regions to determine the intentions of the angry individual ([Pfeiffer et al., 2013](#)). However, because older adults show a lack of sensitivity to eye gaze in angry expressions ([Slessor et al., 2010](#)), they may also show more similar neural patterns when observing angry faces with direct and averted gaze. Additionally, age-related changes in integrating facial cues may reflect a lack of recruitment of mentalizing networks when processing social information. Recruitment of these networks may be most critical when understanding of the mental state of others is required, such as when processing angry faces with averted gaze.

Although happy expressions presented with direct versus averted eye-gaze orientations might convey different meanings, being targeted with happiness is less critical for survival than being targeted with anger. It, therefore, is possible that, at a neural level,

there is less differentiation in the brain regions activated for direct versus averted gaze in happy expressions relative to angry expressions, especially among younger adults. In contrast, given the evidence that older adults are particularly motivated to attend to and process positive information such as happy faces ([Carstensen, 2006](#); [Mather and Carstensen, 2003](#)) compared to their younger counterparts, older adults may show greater neural differentiation when processing happy expressions with different eye-gaze directions which has not been addressed in prior literature.

To fill this research gap, the aim of this study was to identify age differences in the neural substrates involved in processing happy and angry facial expressions with different eye-gaze cues. We predicted that in younger adults, distinct brain substrates would be activated in response to angry expressions with differing gaze cues. For angry faces with direct gaze, activity in the salience network, involved in identifying the most relevant stimuli in the environment and orienting attention toward them in order to adaptively guide behavior ([Barrett and Satpute, 2013](#); [Menon, 2015](#)) should be more prominent. On the other hand, in averted gaze conditions, additional brain networks involved in mentalizing, including regions such as medial prefrontal cortex (mPFC) and superior temporal sulcus (STS) ([Frith and Frith, 2006](#); [Roy et al., 2012](#); [Van Overwalle, 2009](#); [Van Overwalle and Baetens, 2009](#)), should be engaged in decoding intentions. This study is the first to test this prediction and will therefore provide novel insights on how brain networks involved in processing facial features changing in the context of healthy aging. Because older adults showed less distinction between angry direct and averted eye-gaze cues, we hypothesized attenuated neural differentiation between these conditions in older adults.

Consistent with socioemotional selectivity theory ([Carstensen et al., 2003](#)), we expected that older adults might show greater differentiation in processing facial expressions of happiness because of their high motivation to attend to positive stimuli. However, the neural differentiation between direct and averted gaze should be smaller for happy than for angry expressions in younger adults. We expected to observe increased activity in the reward brain network, including regions such as vmPFC ([Kringelbach and Rolls, 2004](#); [O'Doherty et al., 2001](#); [Roy et al., 2012](#)), during recognition of happy expressions.

2. Material and methods

2.1. Participants

Twenty-one healthy older adults (aged 65–75 years; mean [M] = 69.75, standard deviation [SD] = 2.97; 10 females) and 21 healthy younger adults (aged 17–27 years; M = 20.65, SD = 2.66; 10 females) participated in this study. One older and one younger adults were excluded from the analysis due to brain signal loss, leaving 20 participants in each group. Younger adults were undergraduate students at the University of Queensland who were reimbursed with either course credits or \$15 Australian dollar (AUD) per hour. The older adults were community volunteers who were reimbursed with \$20 AUD per hour. Older adults were recruited through advertising in public notice boards of different clubs, libraries, churches, and the University of Queensland's Aging Mind Initiative. All participants were right-handed English speakers who had normal or corrected-to-normal vision using magnetic resonance imaging (MRI) compatible glasses and no history of neurological impairment, psychiatric illnesses, head or heart surgery, or cardiovascular disease. Participants were screened for MRI compatibility as well as claustrophobia, neurological, and psychiatric medication including mood disorder and epilepsy before taking part in this study. Participants took part in 2 separate sessions of testing, the first involving functional MRI (fMRI)

scanning and the second involving behavioral/neuropsychological assessment. The 2 sessions were conducted 3–4 days apart from each other. All participants were provided with written consent forms approved by the Human Research Ethics Committee at the University of Queensland and were debriefed upon the completion of the second session.

2.2. Task materials

Angry, happy, and neutral faces (100 for each expression) were drawn from the FACES database (Ebner et al., 2010). Neutral faces were used as control to remove the effects of the visual perception component. All faces were colored, front view, and high quality (300 dots per inch). The presented faces comprised 2 age groups (young posers: 18–31 years and old posers: 69–80 years). The gazes of the posers were photoshopped so that an equal number of direct and averted gazes were used for the scanner task. All faces were categorized into 5 lists, using MATLAB (The MathWorks Inc, MA, USA), according to 4 selection criteria: age of the posers, gender of the posers, gaze direction, and emotional expression. The lists consisted of equal number of male and female posers (30 each), old and young posers (30 each), and emotional expressions (20 for each expression) and were presented in each fMRI run for a total of 5 runs. The order of the runs presented in the scanner was counter-balanced among participants. To control for effects of facial attractiveness on recognition of emotional expressions, faces in each list were also matched based on their attractiveness ratings from an independent study ($M = 41.66$, $SD = 13.08$; Ebner and Johnson, 2010). All the stimuli were presented against a gray background using E-prime software, adjusted to be standardized in size (600×450 pixels) prior to presentation in the MRI scanner.

2.3. Experimental design

The 50-minute scanner session consisted of 2 components: structural MRI and fMRI. Prior to the scanning, participants were provided with verbal and visual instructions about the emotion recognition task and subsequently asked to practice it until they were completely familiarized with the instructions and timing of the task. The reason for training participants prior to the fMRI task was to ensure that the behavioral performance of accurately detecting the faces for both groups was equated, so that any differences at the neural level could not simply be attributed to differences in performance. Faces used in the practice run were different to those used during the main task in the scanner.

In the scanner, participants performed 2 runs of the emotion recognition task (described in the following section), followed by a structural MRI acquisition, followed by another 3 runs of the emotion recognition task. During the emotion recognition task (Fig. 1), each face was presented one at a time for 3.5 seconds, followed by a fixation cross. Presentation of the fixation cross was jittered using 3 time intervals: 0.5 seconds, 1 second, and 1.5 seconds in order to allow for an independent estimation of the blood-oxygen-level dependent response on a trial-by-trial basis. Furthermore, using jittered intertrial intervals can enhance statistical power in the analyses (Huettel et al., 2014). Each of the 5 task runs lasted for 4.5 minutes. Participants were required to indicate, as fast and as accurately as possible, whether each face displayed a happy, angry, or a neutral emotional expression by pressing the relevant button on an MRI-compatible response box—using index finger for either angry or happy, the middle finger for neutral, and the ring finger for either happy or angry (counterbalanced across participants).

2.4. Neuropsychological measures

During the behavioral sessions, all participants were asked to complete a range of background measures assessing executive control, intelligence, emotion recognition, social functioning, personality, empathy, and TOM ability. Descriptive and inferential statistics of background measures are reported in Table 1. Descriptions of the measures are as follow:

2.4.1. National Adult Reading Test

The National Adult Reading Test (Nelson, 1982) is a valid and reliable measure of crystallized intelligence that consists of 50 irregular words. Participants were required to read each word aloud, and their responses were scored by 2 independent coders. Interrater reliability was reported 0.88 (Crawford et al., 1989).

2.4.2. Trail Making Test

The Trail Making Test consists of 2 parts, A and B (Reitan and Wolfson, 1986). In part A, participants were instructed to connect the circled numbers in sequential orders. In part B, they were instructed to alternate between numbers and letters (e.g., 1-A-2-B). This measure provides an index of executive control. Part A predominantly measures visuo-perceptual abilities, whereas part B additionally indexes working memory and mental flexibility. In order to minimize visuo-perceptual demands, we used the B-A index to provide a relatively pure indicator of executive control abilities (Sanchez-Cubillo et al., 2009).

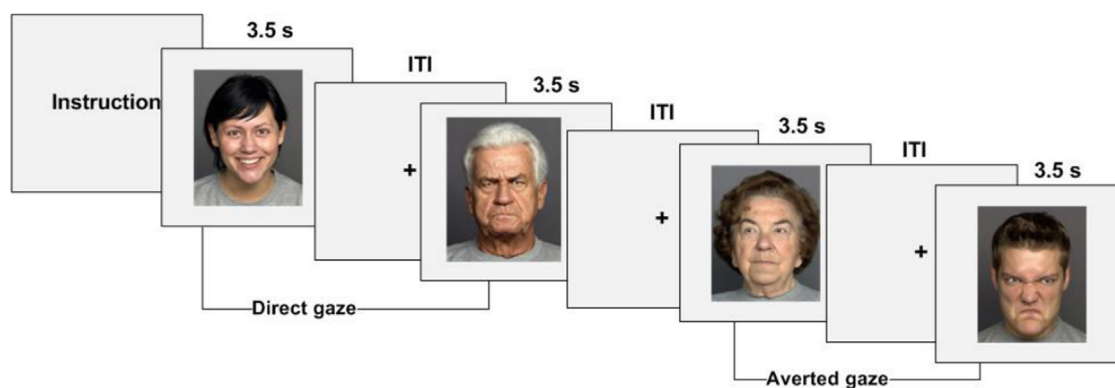


Fig. 1. Example of experimental design. Each trial consisted of a presentation of a face with happy, angry, or neutral expressions for 3.5 seconds. Equal numbers of male and female faces with direct and averted gaze were presented. Fixation crosses were jittered using 0.5 seconds, 1 second, and 1.5 seconds time intervals. In total, the task consisted of 5 runs, 4.5 minutes each. During the task, participants were required to indicate the emotional expressions of each face by using an MRI-compatible response buttons. Abbreviations: ITI, intertrial interval; s, second.

Table 1
Descriptive and inferential statistics for the background cognitive measures

Measure	Younger adults		Older adults		Inferential statistics		
	M	SD	M	SD	t	df	Effect size (Cohen's d)
Age	20.65	2.66	69.75	2.97			
Education (y)	14.26	1.48	15.29	3.00	1.34	38	0.43
NART FSIQ	113.84	3.76	118.33	2.93	4.23**	38	1.37
Trail Making Test							
Trail A in ms	1789.17	667.67	2780.0	635.94	4.74**	37	1.55
Trail B in ms	3758.26	1971.73	5830.19	2103.62	3.20**	38	1.03
B-A index	2031.00	2126.95	3050.19	1763.61	1.63	37	0.53
RMET	27.47	1.94	23.65	5.54	2.69*	35	0.90
Ekman emotion recognition							
Sadness	7.78	1.81	7.71	1.48	0.14	38	0.04
Disgust	7.68	1.56	7.85	1.79	0.32	38	0.10
Happiness	9.60	0.58	9.85	0.35	1.14	38	0.36
Surprise	9.15	1.06	8.66	1.71	1.07	38	0.34
Fear	7.21	2.55	7.57	2.11	0.48	38	0.15
Anger	7.36	1.64	8.00	1.54	1.25	38	0.40
PRSF							
Social inappropriateness	19.73	4.90	17.28	5.44	1.49	38	0.48
Social appropriateness	58.10	6.90	61.57	5.38	1.78	38	0.57
Prejudice	6.84	1.06	6.71	0.90	0.41	38	0.13
Empathy quotient	42.16	10.35	46.57	14.04	1.12	38	0.36
Big Five Inventory							
Extraversion	27.89	6.05	25.61	6.25	1.16	38	0.37
Agreeableness	31.31	3.41	33.33	2.72	2.07*	38	0.67
Conscientiousness	30.78	5.66	36.80	5.17	3.51**	38	1.13
Neuroticism	21.10	6.17	19.05	6.26	1.03	37	0.33
Openness	33.36	6.29	36.76	6.43	1.68	38	0.54

* $p < 0.05$, ** $p < 0.005$.

Key: df, degrees of freedom; M, mean; ms, millisecond; NART FSIQ, National Adult Reading Test Full-Scale Intelligence Quotient; PRSF, Peer-Report Social Functioning Scale; RMET, Reading the Mind in the Eye Test; SD, standard deviation.

2.4.3. Ekman Emotion Recognition Test

The faces used in this experiment were drawn from the “Facial Expressions of Emotion: Stimuli and Test” stimuli set (Young et al., 2002). Sixty black and white images from 6 basic emotional categories: anger, sadness, surprise, happiness, disgust, and fear, were presented for 3.5 seconds. Participants were asked to choose the best label that describes each face and press the respective key on the keyboard. Reaction times and responses were recorded.

2.4.4. Peer-Report Social Functioning Scale

This scale is a peer-report assessment of social functioning (Henry et al., 2009). A 10-item subscale assesses socially inappropriate behavior ($\alpha = 0.87$; e.g., “enquires about potentially embarrassing issues in public” or “comments negatively on someone else’s physical appearance”). A 17-item subscale assesses socially appropriate behavior ($\alpha = 0.92$; e.g., “speaks positively about others” or “lets other people have their say”). A 3-item subscale assesses prejudicial and stereotyping behavior ($\alpha = 0.75$; e.g., “ignores stereotypes when making decision about people”). Participants’ peers provided their responses on a 4-point scale with labels, never, rarely, occasionally, frequently. Higher scores indicate a higher level of socially inappropriate behavior, socially appropriate behavior, or prejudicial behavior on the 3 subscales. Internal consistency reliability was reported high ($\alpha = 0.94$) (Henry et al., 2009).

2.4.5. Big Five Personality Inventory

A 44-item self-report personality inventory was used (John et al., 1991). This test comprises of 5 subscales measuring 5 personality dimensions, including Extraversion ($\alpha = 0.88$; 8 items; e.g., “I am someone who is talkative”), Agreeableness ($\alpha = 0.79$; 9 items; e.g., “I am someone who is helpful and unselfish with others”), Conscientiousness ($\alpha = 0.82$; 9 items; e.g., “I am someone who does a thorough job”), Neuroticism ($\alpha = 0.84$; 8 items; e.g., “I am someone who is depressed, blue”), and Openness ($\alpha = 0.81$; 10

items; e.g., “I am someone who is original, comes up with new ideas”). Participants provided their responses on a scale from 1 (strongly disagree) to 5 (strongly agree) for each item to indicate the extent to which they agreed or disagreed with each statement. The reliability of this test has been estimated to be 0.83 (John and Srivastava, 1999).

2.4.6. Empathy quotient

A 40-item adult version of the empathy quotient developed by Baron-Cohen and Wheelwright (2004) was used in this study. Participants responded to this questionnaire by choosing 1 of the 4-scale response options; strongly agree, slightly agree, slightly disagree, and strongly disagree. On each item, a person can obtain 2, 1, or 0, so the empathy quotient score has a maximum score of 80 and a minimum of 0. High test-retest reliability ($\alpha = 0.83$) was reported (Lawrence et al., 2004).

2.4.7. Reading the Mind in the Eye Test

This is a measure of TOM, which broadly refers to the ability to understand the mental states of others (Baron-Cohen et al., 2001). This test consists of a series of 36 photographs of the eye region of a person’s face. Participants are required to choose which word (out of words) best describes what the person in the picture is feeling or thinking. The Reading the Mind in the Eye Test (RMET) assesses how well people can decode others’ mental states and is one of the best-validated measures of TOM (Henry et al., 2013).

2.5. Image acquisition and analysis

Functional images were acquired using a 3T Siemens scanner equipped with a 32-channel head coil. The acquisition of functional data was achieved by using a whole-brain T2*-weighted echoplanar image sequence (93 interleaved slices, repetition time [TR] = 3000 ms, echo time = 45 ms, flip angle = 90°, field of

view = 192 mm, voxel size = 2 mm³). High-resolution T1-weighted images were acquired with an magnetization prepared rapid gradient-echo sequence (126 slice with 1-mm thickness, TR = 1900 ms, echo time = 2.3 ms, inversion time = 900 ms, field of view = 230 mm, voxel size = 0.9 mm³, flip angle = 90°). The tasks were presented to participants on a computer screen through a mirror mounted on top of the head coil. Participants were using earplugs and cushions inside the head coil to dampen the noise and minimize the head movement.

For functional analysis, images were preprocessed with Statistical Parametric Mapping Software (SPM8; <http://www.fil.ion.ucl.ac.uk/spm>) implemented in MATLAB 2010b. Following the realignment to a mean image for head-motion correction, the images were segmented to gray matter and white matter and then spatially normalized into a standard stereotaxic space with a voxel size of 2 mm³ using the Montreal Neurological Institute template and finally spatially smoothed with a 6-mm Gaussian Kernel. Data were examined for artifacts, such as ghosting in the initial stages, and individual time series were checked for motion artifact. Trials with more than 1-mm movement were excluded from analyses.

The imaging data were analyzed using a multivariate analytical technique partial least square (PLS) analysis (McIntosh et al., 1996, 2004; for a detailed tutorial and review of PLS, see Krishnan et al., 2011), as implemented in PLS software running on MATLAB 2010b. PLS decomposes all images into a set of patterns that capture the greatest amount of covariance in the data, rather than making assumptions about conditions or imposing contrasts for each pattern. PLS analysis uses singular value decomposition of a single matrix that contains all participants' data to find a set of orthogonal latent variables (LVs), which represent linear combinations of the original variables. Therefore, PLS enables one to differentiate the degree of contribution of different brain regions associated with task demands, behavioral or anatomical covariates, or functional seed activity.

The first LV usually accounts for the largest covariance of the data, with progressively smaller amount of covariance for subsequent LVs. Each LV delineates cohesive patterns of brain activity related to experimental conditions. Additionally, brain scores are calculated as the dot product of a participant's image volume of each LV. The brain score reflects how strongly each participant contributes to the pattern expressed in each LV. Therefore, each LV consists of a singular image of voxel saliences (i.e., a spatiotemporal pattern of brain activity), a singular profile of task saliences (i.e., a set of weights that indicate how brain activity in the singular image is related to the experimental conditions, functional seeds, or behavioral/anatomical covariates), and a singular value (i.e., the amount of covariance accounted for by the LV). Given that the task was event related, the analysis was conducted on the 15-second period (5 TRs), starting at the onset of each face to account for the duration of the blood-oxygen-level dependent response. Activity at each time point in the analysis was normalized to activity in the first TR. As the activation patterns identified by PLS and corresponding brain responses are done in a single mathematical step, there is no need for multiple comparison correction (McIntosh et al., 2004).

The statistical significance of each LV was assessed using a permutation test, which determines the probability of a singular value from 500 random reordering and resampling (McIntosh et al., 1996). In addition to the permutation test, to determine the reliability of the saliences for each brain voxel, a standard error of each voxel's salience on each LV was estimated by 100 bootstrap resampling steps (Efron and Tibshirani, 1985). Peak voxels with a bootstrap ratio (i.e., salience/standard error) > 3.0 were considered to be reliable, as this approximates $p < 0.01$ (Sampson et al., 1989). In the present study, we used task PLS and brain-behavior PLS, to

examine the whole-brain activity pattern for processing each emotional category as a function of eye gaze and to assess the link between performance in the emotion recognition task and TOM ability.

The procedure of the fMRI analysis was 2-fold. First, our main aim was to examine the impact of age on whole-brain activity during the labeling of emotional faces presented with different eye-gaze direction. We conducted whole-brain analyses of brain activity during angry and happy conditions, which were compared between the 2 age groups. Neutral faces were included in the experimental design as a control condition to remove the effects of the visual perception component (for a review see Sabatinelli et al., 2011). However, the ambiguity of neutral faces may lead to uncertainty and heightened vigilance, which in turn may increase amygdala activation (Blasi et al., 2009) and may be evaluated as more negative in some situations (Lee et al., 2008). Therefore, all analyses were conducted only on happy and angry facial expressions in order to avoid activation confounds due to the presence of neutral pictures. In order to demonstrate the robustness of brain responses to happy and angry expressions, additional analyses including neutral conditions were also conducted. The results are reported in the Supplementary Fig. 1. Overall, the brain pattern responses to happy and angry expressions did not change as a result of including neutral expressions in the whole-brain analyses. For the whole-brain analyses, all voxel activities for both age groups were included in the analyses for the 4 experimental conditions; angry direct, angry averted, happy direct, and happy averted. However, for simplification and greater visual clarity, conditions are illustrated separately in Figs. 3 and 4.

Second, given that our ability to understand and respond to emotional cues in the environment is an integral part of our social cognitive ability, we examined the relationship between the recognition of facial cues and TOM performance. To explore any age-related differences in integrating facial cues in relation to social cognitive abilities, we conducted a brain-behavior analysis, examining the relationship between the neural activation involved in gaze and emotion processes and the TOM performance, the scores obtained on the RMET. For angry expressions, we included the accuracy of behavioral performance in the scanner task and correlated them with TOM scores. Because accuracy for recognition of happy expressions was at ceiling for both age groups, TOM scores were correlated with the brain activity in the 2 happy experimental conditions without including the behavioral performance accuracy from the emotion recognition task. For the brain-behavior analyses, all voxel activities for both age groups, accuracy from the emotion recognition task, and behavioral scores from the TOM task were included in the analyses for the 2 angry conditions (Fig. 5). For simplification, however, we depicted the age groups in separate figures (Fig. 6). For happy expressions, all voxel activities for both age groups as well as scores from the TOM task were included in the analyses. These results are illustrated in Fig. 6.

Reaction times and accuracies during the emotion recognition task were subjected to mixed-model analyses of variance with age group as the between-subjects factor and emotional expression and eye-gaze orientation as the within-subjects factors.

3. Results

The descriptive and inferential statistics of all background measures were reported in Table 1. Older adults scored above the recommended cutoff of 27 on a widely-used dementia screen ($M = 28.38$, $SD = 1.28$), the Mini-Mental State Examination (Folstein et al., 1975). Significant difference between both age groups in the TOM scores measured by RMET was also found as reported in Table 1.

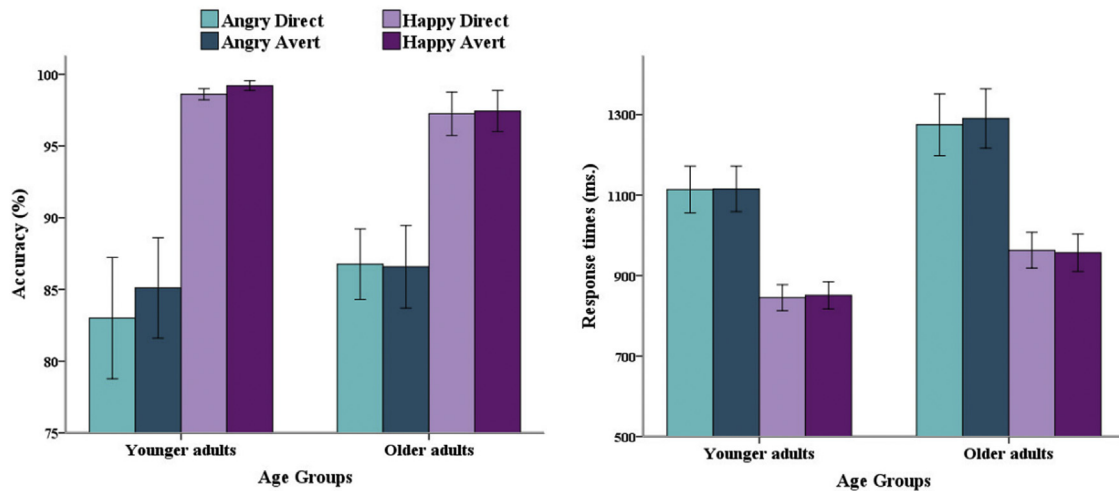


Fig. 2. Behavioral results from emotion recognition task. Recognition of happy expressions was faster and more accurate relative to angry expressions for both age groups. However, there were no significant differences between avert or direct gaze in accuracy rates and response times in either of the age groups.

3.1. Emotion identification performance

A 2 (gaze direction: direct, averted) by 2 (emotional expression: angry, happy) by 2 (age group: young, older) repeated-measures analysis of variance with response accuracy as the dependent measure showed that there was a significant main effect of emotional expression, $F(1, 38) = 34.85$, $p < 0.01$, $\eta_p^2 = 0.47$. This reflected greater accuracy in recognizing happy relative to angry facial expressions in both age groups (Fig. 2 and Table 1). No other main effects or interactions were significant (all $F_s < 1$).

A similar analysis on response times of accurate responses revealed a main effect of emotional expressions, $F(1, 37) = 87.68$, $p < 0.01$, $\eta_p^2 = 0.70$, with faster responses for happy relative to angry facial expressions (Fig. 2 and Table 1). None of the other main or interaction effects were significant (all $F_s < 1$).

3.2. Whole-brain analyses

The whole-brain responses for angry and happy expressions for both age groups are reported in this section. First, the findings for

angry expressions and then happy expressions findings for both age groups will be presented.

3.2.1. Angry expressions

The results from the whole-brain analyses showed 2 significant and distinct LVs for recognition of angry expressions as a function of eye gaze among younger but not older adults. The first LV accounted for 33% of the covariance in the data and included brain regions such as inferior frontal gyrus (IFG), anterior cingulate cortex (ACC), inferior parietal lobule (IPL), posterior cingulate cortex (PCC), and amygdala. This pattern of brain activation in young adults was found only for angry expressions with averted gaze. In contrast, older adults recruited these regions during recognition of angry expressions with both direct and averted gaze (Fig. 3A and Table 2). LV2, which accounted for 25% of the covariance in the data, yielded a pattern that was related to the recognition of angry expressions with direct gaze only among younger adults. This pattern included insula and medial prefrontal gyrus, the main nodes of the salience network (Menon, 2015). In older adults, there was no reliable recruitment of these regions in any of the conditions (Fig. 3B and

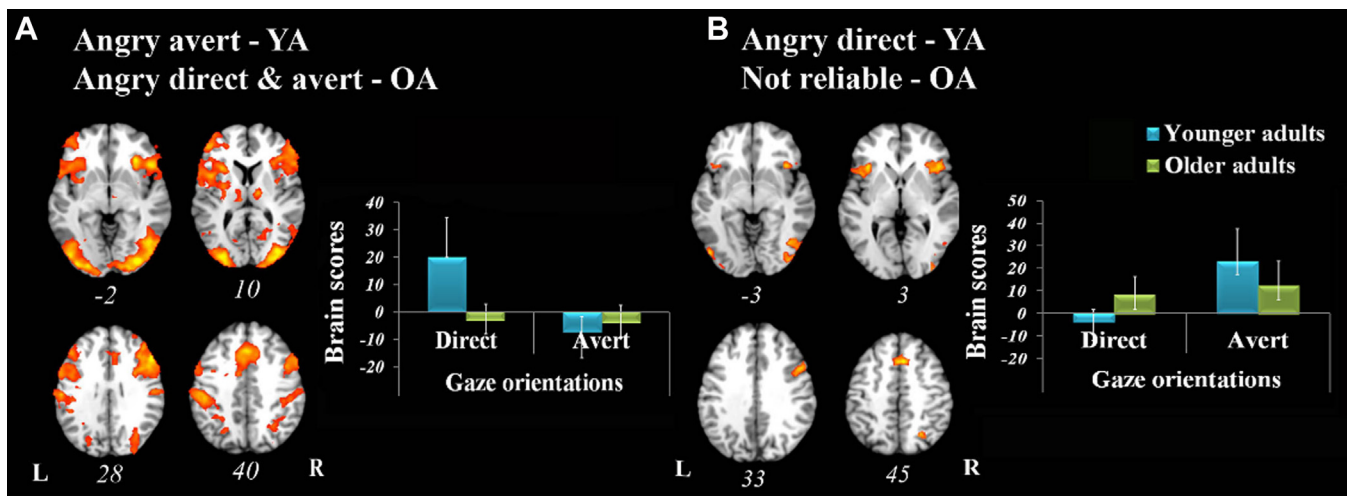


Fig. 3. Whole-brain results for angry expressions. Patterns of whole-brain activity during the recognition of angry expressions with averted gaze among younger adults (YA) and both eye-gaze directions among older adults (OA) (A), angry expressions with direct gaze among YA, without any reliable effects among OA (B), relative to other conditions (derived from LV1 and 2). Error bars denote 95% confidence intervals for the correlations calculated from the bootstrap procedure. All reported regions have BSR ≥ 3 and cluster size ≥ 100 voxels. All the analyses were conducted by including both age groups. In order to simplify the visuals of the findings in the figures, the results are presented separately for each age group and condition. Abbreviations: BSR, bootstrap ratio; L, left hemisphere; LV, latent variable; OA, older adults; R, right hemisphere; YA, younger adults.

Table 2
Peak coordinates for clusters from whole-brain analyses for angry facial expressions

Regions	Hem	BA	MNI coordinates [X Y Z]	BSR
Angry averted gaze—younger adults				
Angry direct and averted gaze—older adults				
Middle frontal gyrus	R	9	[51 26 27]	7.77
	L	9	[−58 8 26]	5.10
Inferior frontal gyrus	R	45/47	[46 22 −1]	6.77
	L	45/47	[−44 20 −6]	5.13
	L	46/10	[−43 45 10]	5.17
Anterior cingulate cortex	R	32	[0 24 41]	6.86
Insula	L	13	[−31 17 10]	5.42
	R	13	[46 21 −1]	6.63
Postcentral gyrus	L	3	[−36 −24 55]	5.81
	R	2	[54 −14 29]	4.60
Inferior parietal lobule	L	40	[−45 −26 41]	6.03
	R	40	[47 −27 41]	4.06
Posterior cingulate cortex	R	31	[32 −68 30]	4.28
Precuneus	R	7	[36 −52 48]	5.55
	L	7	[−26 −54 50]	2.97
Fusiform gyrus	R	37	[48 −64 −9]	6.83
	L	37	[−39 −67 −9]	4.40
Cerebellum	L		[−9 −76 −22]	6.60
	R		[9 −74 −22]	5.03
Middle occipital gyrus	R	18	[32 −82 3]	7.80
	L	18	[−34 −88 3]	7.44
Occipital gyrus	R	19	[32 −77 −9]	5.72
	L	19	[−34 −75 −9]	5.73
Thalamus	R		[14 −12 9]	5.07
	L		[−9 −20 9]	3.80
Amygdala	R		[23 −7 −13]	4.97
Angry direct gaze—younger adults				
Insula	R	13	[42 22 4]	4.51
	L	13	[−34 22 4]	4.83
Medial prefrontal gyrus	R	6	[6 20 46]	5.82
Precentral gyrus	R	9	[43 12 30]	3.94

Key: BA, Brodmann area; BSR, bootstrap ratio; Hem, hemisphere; L, left; MNI, Montreal Neurological Institute; R, right; x coordinate, right/left; y coordinate, anterior/posterior; z coordinate, superior/inferior.

Table 2). These results indicate that social cognitive brain regions, such as mPFC, IPL, STS, and amygdala (Frith and Frith, 2006; Van Overwalle, 2009), were engaged among younger adults during recognition of expressions in which the understanding of the intention of expresser was required, that is angry expressions with averted gaze. The salience network, including insula, medial prefrontal gyrus, in contrast, was activated in young participants when recognizing angry expressions with possible threatening signals to the self, that is, direct gaze. These data further demonstrate that at the neural level, younger, but not older adults, are differentiating between angry facial expressions with direct versus averted gaze.

3.2.2. Happy expressions

During the recognition of happy expressions, results from the whole-brain analyses revealed 2 LVs. The first LV included brain regions such as ACC, PCC, precuneus, angular gyrus, middle temporal gyrus (MTG), and hippocampus—known as major nodes of the default mode network (DMN; Buckner et al., 2008; Raichle et al., 2001). These brain regions were engaged by older adults for happy facial expressions with direct gaze. In contrast, younger adults recruited these regions for happy expressions with both direct and averted gaze (Fig. 4A and Table 3). LV2 yielded a network of brain regions including medial and middle PFC, ACC, MTG, superior temporal gyrus (STG), PCC, and precuneus. These regions were engaged by older adults for happy expression with averted gaze and by younger adults for both direct and averted gaze (Fig. 4B and Table 3). Overall, the whole-brain analyses for happy expressions indicate that older, but not younger adults, recruit 2 orthogonal networks during recognition of happy expressions as a function of

eye gaze while younger adults showed no sensitivity in their neural activity patterns to the eye-gaze orientations in happy faces.

To summarize the results so far: younger adults showed the predicted differential brain activation to angry faces with direct and averted gaze, while older adults showed no such differentiation. In contrast, older adults were sensitive to gaze direction when processing happy faces, while younger adults were not.

3.3. Brain-behavior analyses

Lack of sensitivity to eye-gaze cues during recognition of angry expressions might have consequences for social cognitive abilities. Thus, if the capacity to integrate facial cues declines in late adulthood, such age-related changes might be related to the differential engagement of mentalizing or social cognitive brain regions. Brain-behavior analyses were conducted to assess the correlation between brain activity during the angry and happy recognition conditions with TOM scores obtained on the RMET (administered outside the scanner).

3.3.1. Angry expressions

Accuracy scores from the angry conditions in the emotion recognition task were included in the brain-behavior analysis with the scores from the TOM task for both age groups in one single analysis. Brain-behavior analyses focused on angry expressions revealed 1 significant LV, which accounted for 36% of the covariance in the data and yielded 2 patterns of brain activity. The first of these patterns included superior, middle, and inferior PFC regions as well as insula. This network subserved recognition of angry expressions with averted gaze among younger adults and correlated positively with TOM scores and accuracy during the recognition of angry averted gazes. That is, those younger adults who performed better on the TOM task and the recognition of angry averted gazes engaged the frontal brain regions to a larger extent than those young adults with poorer performance (Fig. 5A and Table 4). The second brain pattern mainly included posterior brain regions such as PCC, precuneus, cuneus, middle occipital gyrus, inferior temporal gyrus, MTG as well as mPFC and caudate. This network subserved the recognition of angry expressions with direct gaze among younger adults and was correlated positively with TOM scores and accuracy during recognition of angry faces with direct gaze. In other words, younger adults who obtained higher scores on the TOM task and were better at recognizing angry faces with direct gaze recruited a set of posterior brain regions when processing angry direct gaze faces than those younger adults with poorer performance (Fig. 5A and Table 4).

In older adults group, brain-behavior analyses revealed 2 important findings. First, activity of the posterior brain regions (i.e., PCC, precuneus, cuneus, middle occipital gyrus, inferior temporal gyrus, MTG as well as medial PFC and caudate) was correlated with TOM scores during recognition of angry expressions, irrespective of eye-gaze directions. Moreover, these regions were only correlated with TOM scores and not with accuracy of recognizing angry faces. In other words, there were no reliable associations between TOM scores and task performance in the scanner among older adults. Older adults who obtained higher scores on the TOM task engaged only the posterior areas while recognizing angry expressions with both direct and averted eye-gaze orientation. However, activity in these regions was not related to older adults' behavioral performance in the recognition of angry expressions in the scanner (Fig. 5B and Table 4).

3.3.2. Happy expressions

For the happy conditions, accuracy scores were at ceiling, thus, only the correlations between TOM scores and brain activation

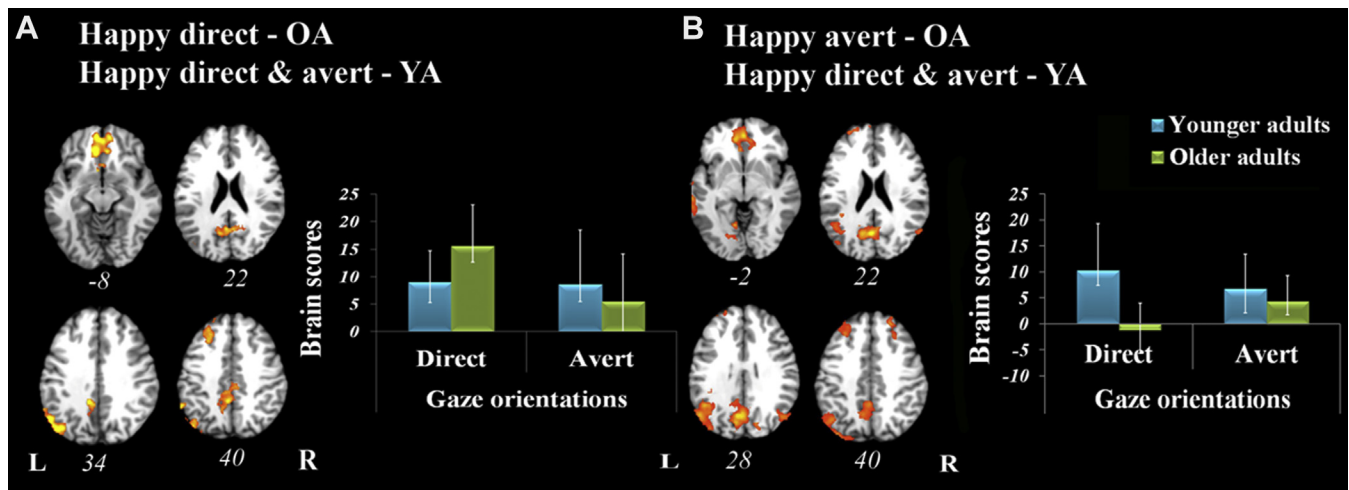


Fig. 4. Whole-brain results for happy expressions. Pattern of whole-brain activity during the recognition of happy expressions with direct gaze among OA and both eye-gaze directions among YA (A) and happy expressions with avert gaze among OA and both eye-gaze directions among YA (B), relative to the other conditions (derived from LV1 and 2). Error bars denote 95% confidence intervals for the correlations calculated from the bootstrap procedure. All reported regions have BSR ≥ 3 and cluster size ≥ 100 voxels. All the analyses were conducted by including both age groups. In order to simplify the visuals of the findings in the figures, the results are presented separately for each age group and condition. Abbreviations: BSR, bootstrap ratio; L, left hemisphere; OA, older adults; R, right hemisphere; YA, younger adults.

during the recognition of happy expressions were considered for both age groups. The corresponding analyses for happy expressions revealed one significant LV. This LV accounted for 32% of the covariance in the data and yielded a set of regions that were activated during the recognition of happy averted gaze among older adults and positively correlated with TOM scores. This network included superior, middle, and inferior PFC, ACC, STG, IPL, and precuneus regions. Older adults who obtained higher scores on the TOM task recruited these brain areas when they were recognizing happy expressions displayed with averted gaze more than with direct gaze and more than younger adults (Fig. 6 and Table 5).

Table 3
Peak coordinates for clusters from whole-brain analyses for happy expressions

Regions	Hem	BA	MNI coordinates [X Y Z]	BSR
Happy direct gaze—older adults				
Happy direct and averted gaze—younger adults				
Anterior cingulate cortex	L	24	[−7 36 −8]	4.83
	L	10	[−3 63 8]	3.91
Supramarginal gyrus	L	40	[−58 −50 38]	3.83
Angular gyrus	L	39	[−44 −73 38]	3.64
Middle temporal gyrus	L	21	[−54 −8 −19]	3.63
Posterior cingulate cortex	L	31	[−2 −32 44]	4.45
	L	30	[−12 −56 20]	4.23
Precuneus	R	7	[32 −66 39]	3.89
	L	23	[−4 −58 16]	4.38
Middle occipital gyrus	R	19	[36 −87 12]	4.48
Hippocampus	R		[35 −35 −9]	3.79
Happy averted gaze—older adults				
Happy direct and averted gaze—younger adults				
Medial prefrontal cortex	R	9	[4 60 13]	4.42
Anterior cingulate cortex	R	24	[2 28 −14]	4.69
	R	32	[0 46 −5]	5.91
Middle frontal gyrus	L	8	[−25 35 43]	3.81
	R	8	[27 30 43]	4.11
Middle temporal gyrus	R	39	[50 −66 26]	3.88
Superior temporal gyrus	L	22/42	[−64 −31 6]	5.07
	L	39	[−47 −54 26]	4.39
Posterior cingulate cortex	L	31	[−2 −45 43]	3.84
Precuneus	L	31/23	[−2 −64 25]	5.42
Cerebellum	L		[−11 −57 −5]	4.61

Key: BA, Brodmann area; BSR, bootstrap ratio; Hem, hemisphere; L, left; MNI, Montreal Neurological Institute; R, right; x coordinate, right/left; y coordinate, anterior/posterior; z coordinate, superior/inferior.

4. Discussion

The present results provide novel insights into the neural substrates underlying age-related differences in integrating facial affect and eye-gaze cues. First, the whole-brain results showed that, in contrast to younger adults, **older adults' brain activity was not modulated by eye-gaze direction during the recognition of angry expressions**. Second, the brain-behavior results showed that the ability to integrate angry expression and gaze cues was related to TOM ability, for younger, but not older adults. TOM ability was differentially correlated with 2 distinct networks of brain regions activated as a function of eye-gaze direction in the presence of an angry expression. The brain-behavior correlations indicated that older adults' lack of neural sensitivity to eye gaze with angry expressions was related to decreased recruitment of the main nodes of the mentalizing network, such as mPFC, STS, and amygdala (Frith and Frith, 2006; Molenberghs et al., 2016; Van Overwalle, 2009) in situations in which interpreting the intentions of the expresser is important; angry expressions with averted gaze.

In keeping with previous research indicating that older adults are more motivated to process positive facial expressions, older, but not younger, adults' brain activity was modulated by eye-gaze direction during the recognition of happy expressions by recruiting the major nodes of the DMN, such as vmPFC, PCC, and precuneus (Buckner et al., 2008; Raichle et al., 2001). For happy expressions, older adults recruited the mentalizing brain regions for averted gaze conditions more than in the direct gaze condition, as well as relative to their younger counterparts. This finding is in line with the results from the whole-brain analyses and might reflect well-documented motivational changes away from negative and toward positive information shown to occur with age (Carstensen, 2006).

4.1. Eye-gaze modulation to angry expression

Younger adults recruited areas of a more localized brain network including insula and anterior cingulate regions—major nodes of a salience network—during recognition of angry expression with direct relative to averted gaze. The engagement of the salience network is in line with the notion that angry expressions with

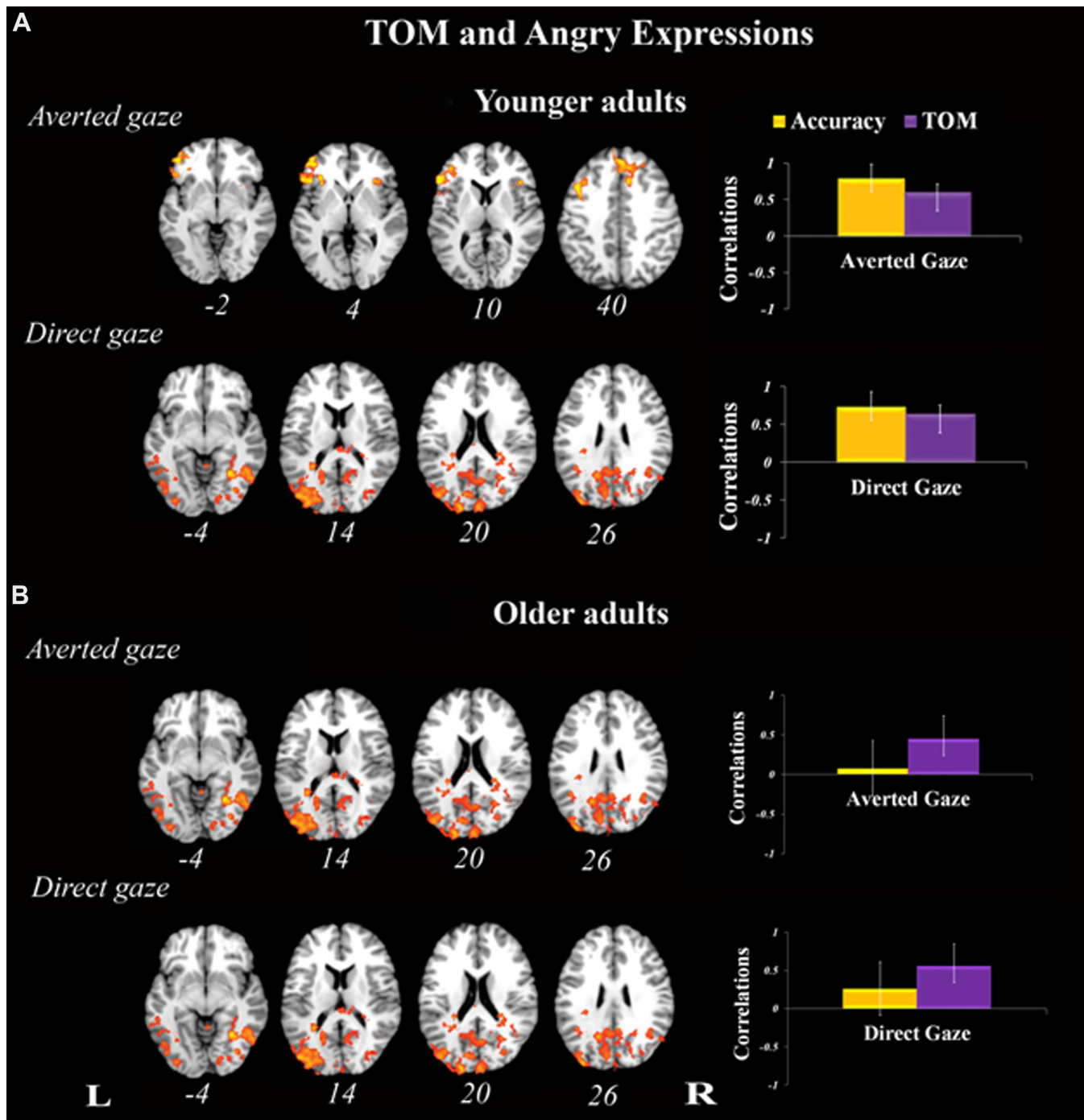


Fig. 5. Brain-behavior results for angry expressions and theory of mind measure. (A) Left panel: a pattern of whole-brain activity during recognition of angry expressions with averted gaze (top row) and direct gaze (bottom row) that correlated with scores on theory of mind (TOM) as measured by the Reading the Mind in the Eye Test (RMET) among younger adults. Right panel: correlations between TOM scores and performance on the scanner task during recognition of angry expressions. (B) Left panel: a pattern of whole-brain activity during recognition of angry expressions that correlated with TOM scores among older adults during angry expressions with averted gaze (top row) and direct gaze (bottom row). Right panel: correlations between TOM scores and performance on the scanner task during recognition of angry expressions. The brain activity presented depicted in the direct gaze condition for younger adults and direct and averted gaze for older adults are identical. Error bars denote 95% confidence intervals for the correlations calculated from the bootstrap procedure. All reported regions have BSR ≥ 3 and cluster size ≥ 100 voxels. All the analyses were conducted by including both age groups. In order to simplify the visuals of the findings in the figures, the results are presented separately for each age group and condition. Abbreviations: L, left hemisphere; R, right hemisphere; TOM, theory of mind.

direct gaze are considered to be more self-relevant (N'Diaye et al., 2009; Sander et al., 2005, 2007) and important for survival. Therefore, a less distributed neural activation and fewer executive control regions should be required to recognize angry expressions with direct gaze. On the other hand, younger adults recruited a more distributed network of regions, including more frontoparietal

regions during averted gaze. Because angry expressions with averted gaze convey ambiguous signals to the observer (Adams et al., 2003; Adams and Kleck, 2005), it was anticipated that angry expressions with averted gaze would impose greater demands on cognitive operations such as executive control and core social cognitive brain regions to understand the mental states of the

Table 4

Peak coordinates for clusters from brain-behavior analyses for angry expressions and Reading the Mind in the Eye Test (RMET) performance

Regions	Hem	BA	MNI coordinates [X Y Z]	BSR
Angry averted—younger adults				
Superior frontal gyrus	R	8	[0 42 41]	3.92
	L	6	[−3 14 59]	4.41
Middle frontal gyrus	L	8/9	[−44 14 43]	5.39
Inferior frontal gyrus	L	45	[−51 27 6]	4.50
Middle frontal gyrus	L	10/46	[−40 44 4]	4.15
Insula	L	13	[−32 22 4]	4.77
Angry direct—younger adults				
Angry direct and averted—older adults				
Medial prefrontal gyrus		6	[3 7 61]	4.70
Inferior temporal gyrus	R	37	[50 −54 −4]	4.51
	R	19	[29 −56 −4]	5.23
Middle temporal gyrus	L	39	[−41 −79 20]	4.61
	L	22	[−49 −49 0]	5.49
Middle occipital gyrus	L	19	[−34 087 12]	5.77
Posterior cingulate cortex	L	30	[−18 −62 15]	3.87
	R	31	[0 −50 33]	5.05
Precuneus	R	7	[26 −63 33]	4.66
	L	31/7	[−12 −64 33]	3.50
Cuneus	R	7	[23 −71 38]	3.75
	L	7	[−24 −81 38]	4.34
Thalamus	R		[0 −27 15]	3.23
Caudate	R		[25 −33 15]	3.75
	L		[−26 −35 20]	4.38

Key: BA, Brodmann area; BSR, bootstrap ratio; Hem, hemisphere; L, left; MNI, Montreal Neurological Institute; R, right; x coordinate, right/left; y coordinate, anterior/posterior; z coordinate, superior/inferior.

expresser. The whole-brain results therefore aligned with our predictions that 2 different networks of brain regions should be involved among young adults for processing angry expressions, 1 involved in encoding threatening signals with direct gaze and 1 engaged social cognitive processes when the expressions were presented with averted gaze. It has to be noted that the 2 LVs yield 2 brain patterns that are orthogonal to each other, suggesting that the 2 brain patterns revealed for the angry direct and averted conditions are meaningfully different from each other.

Older adults, on the other hand, recruited a distributed and large-scale pattern of brain activity, during the recognition of angry expressions irrespective of the eye gaze. This finding provides support for the age-related neural dedifferentiation hypothesis, whereby older adults show reduced distinctiveness of neural representation in domain-specific areas (Li et al., 2001).

Table 5

Peak coordinates for clusters from brain-behavior analyses for happy expressions and Reading the Mind in the Eye Test (RMET) performance

Regions	Hem	BA	MNI coordinates [X Y Z]	BSR
Happy averted gaze—older adults				
Superior frontal gyrus	R	10	[28 60 5]	5.11
	L	10	[−21 54 18]	7.10
Anterior cingulate cortex	L	32	[−4 41 9]	4.42
Middle frontal gyrus	R	6/8	[44 15 41]	5.32
Insula	L	13	[−56 −36 24]	4.06
Inferior frontal gyrus	L	9	[−57 12 24]	5.11
	L	45/47	[−36 27 −4]	6.55
Postcentral gyrus	L	3	[−44 −17 44]	4.74
Precentral gyrus	L	4/6	[−47 −4 49]	5.10
Cingulate gyrus	L	23	[−4 −11 33]	4.07
Superior temporal gyrus	R	39	[44 −48 27]	6.63
	L	39	[−42 −56 25]	4.63
Inferior parietal lobule	L	40	[−54 −23 31]	5.14
	R	40	[52 −48 35]	7.01
Angular gyrus	L	39	[−44 −66 33]	5.11
Precuneus	R	31	[22 −64 27]	4.62
	L	31	[−4 −60 25]	4.44
Cuneus	R	18	[0 −87 25]	4.24
Cerebellum	L		[−4 −62 −4]	4.84

Key: BA, Brodmann area; BSR, bootstrap ratio; Hem, hemisphere; L, left; MNI, Montreal Neurological Institute; R, right; x coordinate, right/left; y coordinate, anterior/posterior; z coordinate, superior/inferior.

Dedifferentiation has been evidenced in a variety of cognitive tasks in late adulthood, including memory processing (Carp et al., 2010, 2011; St-Laurent et al., 2011), visual perception (Park et al., 2004), as well as cognitive load-dependent processes (Burianová et al., 2015; Grady, 2008). The pattern of dedifferentiation among older adults in the present study suggests that they might exert greater executive control than needed when processing angry expressions (Dirnberger et al., 2010). The lack of specificity in recruiting brain networks for angry expressions with different eye gaze among older cohorts is also consistent with behavioral findings showing less distinction between interpreting angry expressions with direct and averted gaze (Slessor et al., 2010). This finding may reflect a neural inefficiency in older adults processing threatening stimuli. This pattern of response may be related to the greater cognitive control resources older adults recruit while processing angry expressions or the regulatory effort they employ during processing of these emotions (Ebner et al., 2012).

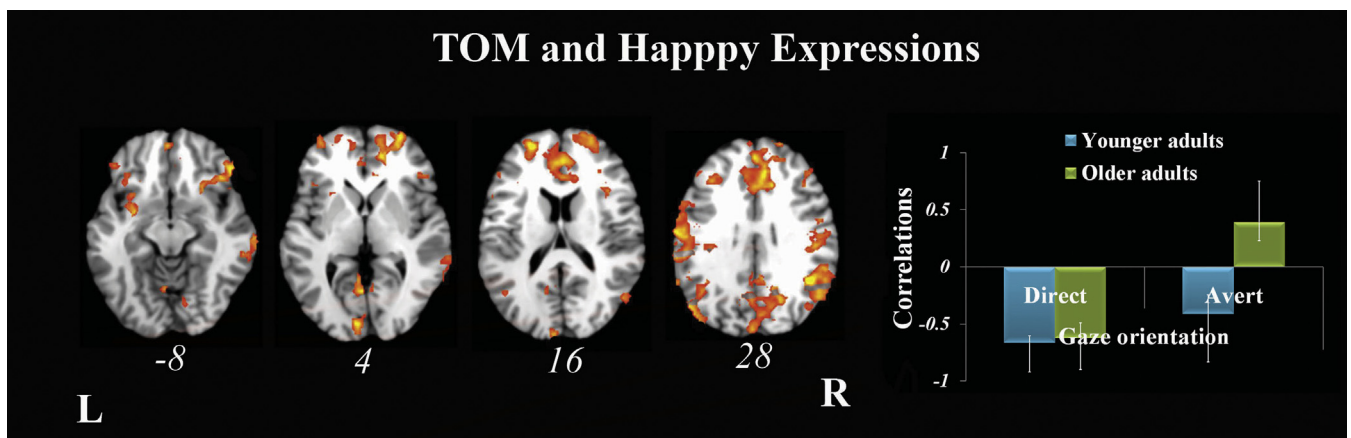


Fig. 6. Brain-behavior results for happy expressions and theory of mind (TOM) measure. Left panel: a pattern of whole-brain activity during recognition of happy expressions with averted gaze that positively correlated with the TOM scores measured by Reading the Mind in the Eye Test (RMET) among older adults. This analysis was conducted by including both age groups. Right panel: correlations between TOM scores and performance on the scanner task during recognition of happy expressions. All reported regions have BSR ≥ 3 and cluster size ≥ 100 voxels. Abbreviations: L, left hemisphere; R, right hemisphere.

In the present study, we found no age difference in behavioral performance on the emotion recognition task. However, given that the task is not demanding in general and all our older adult participants were high functioning as indicated by their performance on the background cognitive assessments, behavioral differences were not anticipated. It is also important to note that all participants were trained and practiced the task prior to the fMRI session. The reason for training participants prior to the fMRI task was in fact to try and ensure that the performance of the groups for accurate detecting the faces was equated, so that any differences at the neural level could not simply be attributed to differences in performance. Therefore, the relatively low demands of the task, the high functioning nature of the older adult cohort, as well as the training procedure used likely all contributed to the 2 groups' similar behavioral performance.

4.2. Angry expressions and TOM

Younger adults who were better at recognizing angry faces with averted gaze and who also obtained higher scores on the measure of TOM obtained from RMET, showed greater recruitment of the anterior PFC regions, such as medial PFC and IFG. In revealing a correlation between activity in mPFC, TOM scores, and accuracy in emotion recognition in the present data suggests that the recognition of angry expressions with averted gaze (relative to direct gaze) may impose greater social cognitive demands relying on mPFC and IFG as key regions of the mentalizing network (Schurz et al., 2014). Task-related activation differences also emerged, whereby angry expressions with averted gaze engaged anterior brain regions and angry expression with direct gaze engaged more posterior regions, supporting functional specialization of the mentalizing network (Schurz et al., 2014).

In contrast to their younger counterparts, older adults showed no reliable association between task performance and TOM scores. In addition, older adults' TOM capacity was only correlated with activity in the posterior parts of the mentalizing network, such as the parietal region, when they were making explicit judgments about emotional expression of angry faces in the scanner task. The absence of any association between anterior PFC and TOM scores in older adults is consistent with the results of Moran et al. (2012), who also found age-related decline in recruitment of dorsal mPFC during various social cognitive tasks. The age-related decline in integrating facial cues during recognition of angry expressions could potentially be associated with older adults' difficulties in reorienting social attention or higher-order mentalizing processes during averted gaze in angry faces, as this was the condition that imposed greatest demands on social cognitive ability. It is possible that the extent of age-related differences in neural regions is predictive of behavioral age differences in processing and implicitly responding to basic facial cues as well as social cognitive tasks.

4.3. Eye-gaze modulation to happy expression

For happy expressions, whole-brain analyses revealed that older adults recruited 2 networks of brain regions as a function of direct versus averted gaze. This gaze-dependent differentiation was unique to older adults, as no neural modulation was found for younger adults in response to eye-gaze direction. Older adults' greater sensitivity to eye gaze when processing happy expressions coupled with older adult's insensitivity to eye-gaze cues when processing angry expressions, align with findings on the positivity effect in aging (Reed and Carstensen, 2012; Reed et al., 2014), showing age-related biases in attention, memory, and decision-making toward positive emotional information (Brassen et al., 2011; Mather and Carstensen, 2003, 2005; Ziaei et al., 2015).

Specifically, the current data showing greater sensitivity to happy expressions with different eye gaze cues may most parsimoniously be explained in terms of the well-documented motivational shifts seen in late compared to young adulthood. One of the ways in which this motivational shift is argued to manifest is via an age-related positivity effect, whereby older adults exhibit greater attention toward and memory for positive relative to negatively valenced information (Reed et al., 2014). The present study indicates that older adults' attentional bias may also be reflected at the neural level, via the recruitment of differential neural substrates toward positive expressions with different social communicative cues. As noted, this neural sensitivity was not evident for negative facial expressions. It has to be noted that depending on this region's functional network, mPFC could be involved in mentalizing, self-referential, pain, reward, or social cognitive processing (Roy et al., 2012). Although much remained to be addressed about the role of the vmPFC in each of these domains, the functional network connected to this region seems to be essential in understanding the processes that this region subserves for any particular task.

In line with the dedifferentiation hypothesis, in the present study, older adults' brain activity was indistinguishable for direct and averted eye gaze. That is, older adults recruited the same, single network for both angry direct and averted gaze conditions. However, recognition of happy expressions is presumably easier for older adults and/or they are more motivated to process these expressions in general (consistent with socioemotional selectivity theory). Therefore, as a result of greater availability of cognitive resources while processing happy expressions, older adults are able to differentiate between direct and averted gaze for happy expressions. Taken together, the dedifferentiation explanation for angry expressions is not in contradiction with the findings for happy expressions, as angry and happy conditions may differ in their relative cognitive demands.

4.4. Happy expressions and TOM

Additionally, the brain regions involved during recognition of happy expressions and correlated with TOM scores support the motivational shift toward positive information. Brain areas mainly included the parietal lobes, mPFC, PCC, and STG—the main nodes of DMN (Buckner et al., 2008; Raichle et al., 2001). Previous studies found increased activity of vmPFC during happy relative to angry expressions (Ebner et al., 2012). There is an overlap between the coordinates they reported with the mPFC region found in this study. Activity of this region is thought to reflect affective responses to cues which may be associated with reward (Roy et al., 2012) and lower cognitive demand. In other words, happy expressions seem to be more easily accessible and require lower cognitive demand, which consequently engage DMN more than angry expressions. Furthermore, engagement of DMN during recognition of happy expressions is consistent with TOM studies that reveal activity of DMN components. One of the subregions of this network, the mPFC, is activated when “thinking about the complex interactions among people that are conceived of as being social, interactive, and emotive like oneself” (Buckner et al., 2008, p. 24). The correlations identified between DMN activity and TOM scores during recognition of happy expressions, therefore suggest that older adults may be motivated to engage in social cognitive processing when the facial cues are of particular interest to them (i.e., depict positive affective states), and consequently, they will notice the facial cues expressed with happy facial expressions more than angry expressions.

One potential mechanism that may contribute to the observed age differences are the well-documented changes in neural structural integrity seen in late adulthood (for reviews see Grady, 2012;

Li et al., 2001). Several studies have now shown important links between gray- and white-matter structural integrity and functional brain activity (Burianová et al., 2015; de Chastelaine et al., 2011; Legon et al., 2015; Persson et al., 2006; Zhu et al., 2015). Therefore, it is possible that structural changes underlie the age-related differences processing emotional expressions identified in the present study. Future studies are needed to test this possibility and investigate how age-related structural changes in main nodes of emotional processing, such as the amygdala, and white matter tracks between the amygdala and PFC, such as uncinate fasciculus, are related to brain activity when processing emotional expressions.

Finally, 2 methodological limitations of this study need to be acknowledged. First, most of the neuroimaging literature focused on emotion processing used 3 emotions, angry, happy, and neutral expressions which limit inferences about potential age-related changes for other emotions. Future neuroimaging studies should also include other emotional expressions such as fear and disgust to advance insights into the nature and magnitude of age-related change in the neural networks that subserve these critical social cognitive operations. Second, the perceptual properties of the happy faces and, in particular, the fact that teeth were visible, could have influenced the findings of this study. This is because visible teeth have been shown to create an advantage in detecting happy faces in visual search paradigms (Horstmann and Ansorge, 2009) and may, therefore, have facilitated faster response times for happy expressions in both age groups. Thus, while an important consideration in this study was to use stimuli that represent natural emotional expressions as closely as possible, further investigation is now needed to examine the contribution of specific perceptual features, such as teeth, in integrating facial cues among both age groups.

Nevertheless, these caveats aside, the present study is the first to provide evidence that the brain networks that subserve the recognition of angry expressions are modulated by eye-gaze direction for younger but not older adults. For happy expressions, the reverse pattern of neural specificity emerged, with older (but not younger) adults showing neural sensitivity to eye-gaze direction. These results are consistent with the broader gerontological literature that shows that there are motivational shifts toward positive emotional information in late adulthood. Moreover, the pattern of brain-behavior correlations showed that 2 networks of brain regions were differentially correlated with TOM abilities and the ability to recognize angry expressions as a function of eye gaze but only among younger adults. Taken together, these findings provide novel insights into the underlying brain networks involved in processing socially communicative signals. Given that a core feature of many psychiatric, neurological, and neurodegenerative illnesses is impaired social cognitive abilities (Henry et al., 2016; Poletti et al., 2012; Sprong et al., 2007; Stewart et al., 2016; Yu and Wu, 2013), in the long term, this information has the potential to be used as biomarkers for early diagnosis of many clinical disorders.

Disclosure statement

The authors have no conflicts of interest to disclose.

Acknowledgements

The authors would like to express their appreciation to Gillian Slessor for her helpful suggestions to the design of the experiment and to the fMRI lab members at the Centre for Advanced Imaging for their valuable comments on the results. The authors also thank Anne-Louise Bint for her help during data collection, thank the participants for their time, and acknowledge the practical support

provided by the imaging staff at the Centre for Advanced Imaging. This work was supported by Australian Research Council Discovery Project grants (DP1093234 and DP150100302).

Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.neurobiolaging.2016.08.026>.

References

- Adams Jr., R.B., Franklin Jr., R.G., Kveraga, K., Ambady, N., Kleck, R.E., Whalen, P.J., Hadjikhani, N., Nelson, A.J., 2012. Amygdala responses to averted vs direct gaze fear vary as a function of presentation speed. *Soc. Cogn. Affect. Neurosci.* 7, 568–577.
- Adams Jr., R.B., Gordon, H.L., Baird, A.A., Ambady, N., Kleck, R.E., 2003. Effect of gaze on amygdala sensitivity to anger and fear faces. *Science* 300, 1536.
- Adams Jr., R.B., Kleck, R.E., 2005. Effects of direct and averted gaze on the perception of facially communicated emotion. *Emotion* 5, 3–11.
- Baron-Cohen, S., Wheelwright, S., 2004. The empathy quotient: an investigation of adults with Asperger syndrome or high functioning autism, and normal sex differences. *J. Autism Dev. Disord.* 34, 163–175.
- Baron-Cohen, S., Wheelwright, S., Hill, J., Raste, Y., Plumb, I., 2001. The “Reading the Mind in the Eyes” test revised version: a study with normal adults, and adults with Asperger syndrome or high-functioning autism. *J. Child Psychol. Psychiatry* 42, 241–251.
- Barrett, L.F., Satpute, A.B., 2013. Large-scale brain networks in affective and social neuroscience: towards an integrative functional architecture of the brain. *Curr. Opin. Neurobiol.* 23, 361–372.
- Blasi, G., Hariri, A.R., Alce, G., Taurisano, P., Sambataro, F., Das, S., Bertolino, A., Weinberger, D.R., Mattay, V.S., 2009. Preferential amygdala reactivity to the negative assessment of neutral faces. *Biol. Psychiatry* 66, 847–853.
- Bora, E., Velakoulis, D., Walterfang, M., 2016. Meta-analysis of facial emotion recognition in behavioral variant frontotemporal dementia: comparison with Alzheimer disease and healthy controls. *J. Geriatr. Psychiatry Neurol.* 29, 205–211.
- Brassen, S., Gamer, M., Buchel, C., 2011. Anterior cingulate activation is related to a positivity bias and emotional stability in successful aging. *Biol. Psychiatry* 70, 131–137.
- Buckner, R.L., Andrews-Hanna, J.R., Schacter, D.L., 2008. The brain's default network: anatomy, function, and relevance to disease. *Ann. N. Y. Acad. Sci.* 1124, 1–38.
- Burianová, H., Marstaller, L., Choupan, J., Sepehrband, F., Ziaei, M., Reutens, D., 2015. The relation of structural integrity and task-related functional connectivity in the aging brain. *Neurobiol. Aging* 36, 2830–2837.
- Carp, J., Gmeindl, L., Reuter-Lorenz, P.A., 2010. Age differences in the neural representation of working memory revealed by multi-voxel pattern analysis. *Front. Hum. Neurosci.* 4.
- Carp, J., Park, J., Polk, T.A., Park, D.C., 2011. Age differences in neural distinctiveness revealed by multi-voxel pattern analysis. *Neuroimage* 56, 736–743.
- Carstensen, L.L., 2006. The influence of a sense of time on human development. *Science* 312, 1913–1915.
- Carstensen, L.L., Fung, H.H., Charles, S.T., 2003. Socioemotional Selectivity Theory and the regulation of emotion in the second half of the life. *Motiv. Emot.* 27, 103–123.
- Crawford, J.R., Parker, D., Stewart, L., Besson, J., Lacey, G., 1989. Prediction of WAIS IQ with the National adult reading test: cross-validation and extension. *Br. J. Clin. Psychol.* 28, 267–273.
- de Chastelaine, M., Wang, T.H., Minton, B., Muftuler, L.T., Rugg, M.D., 2011. The effects of age, memory performance, and callosal integrity on the neural correlates of successful associative encoding. *Cereb. Cortex* 21, 2166–2176.
- Dimberger, G., Lang, W., Lindinger, G., 2010. Differential effects of age and executive functions on the resolution of the contingent negative variation: a reexamination of the frontal aging theory. *Age* 32, 323–335.
- Ebner, N.C., Johnson, M.K., 2010. Age-group differences in interference from young and older emotional faces. *Cogn. Emot.* 24, 1095–1116.
- Ebner, N.C., Johnson, M.K., Fischer, H., 2012. Neural mechanisms of reading facial emotions in young and older adults. *Front. Psychol.* 3, 223.
- Ebner, N.C., Riediger, M., Lindenberger, U., 2010. FACES—a database of facial expressions in young, middle-aged, and older women and men: development and validation. *Behav. Res. Methods* 42, 351–362.
- Efron, B., Tibshirani, R., 1985. The bootstrap method for assessing statistical accuracy. *Behaviormetrika* 12, 1–35.
- Fischer, H., Sandblom, J., Gavazzini, J., Fransson, P., Wright, C.I., Backman, L., 2005. Age-differential patterns of brain activation during perception of angry faces. *Neurosci. Lett.* 386, 99–104.
- Folstein, M.F., Folstein, S.E., McHugh, P.R., 1975. “Mini-Mental State”: a practical method for grading the cognitive state of patients for the clinician. *J. Psychiatr. Res.* 12, 189–198.
- Frith, C.D., Frith, U., 2006. The neural basis of mentalizing. *Neuron* 50, 531–534.
- Grady, C., 2012. The cognitive neuroscience of ageing. *Nat. Rev. Neurosci.* 13, 491–505.

- Grady, C.L., 2008. Cognitive neuroscience of aging. *Ann. N. Y. Acad. Sci.* 1124, 127–144.
- Graham, R., Labar, K.S., 2012. Neurocognitive mechanisms of gaze-expression interactions in face processing and social attention. *Neuropsychologia* 50, 553–566.
- Gunning-Dixon, F.M., Gur, R.C., Perkins, A.C., Schroeder, L., Turner, T., Turetsky, B.I., Chan, R.M., Loughhead, J.W., Alsop, D.C., Maldjian, J., Gur, R.E., 2003. Age-related differences in brain activation during emotional face processing. *Neurobiol. Aging* 24, 285–295.
- Henry, J.D., Phillips, L.H., Ruffman, T., Bailey, P.E., 2013. A meta-analytic review of age differences in theory of mind. *Psychol. Aging* 28, 826–839.
- Henry, J.D., von Hippel, W., Baynes, K., 2009. Social inappropriateness, executive control, and aging. *Psychol. Aging* 24, 239–244.
- Henry, J.D., von Hippel, W., Molenberghs, P., Lee, T., Sachdev, P.S., 2016. Clinical assessment of social cognitive function in neurological disorders. *Nat. Rev. Neurol.* 12, 28–39.
- Horstmann, G., Ansorge, U., 2009. Visual search for facial expressions of emotions: a comparison of dynamic and static faces. *Emotion* 9, 29–38.
- Huettel, S.A., Song, A.W., McCarthy, G., 2014. *Functional Magnetic Resonance Imaging*, Vol. 3.
- Iidaka, T., Okada, T., Murata, T., Omori, M., Kosaka, H., Sadato, N., Yonekura, Y., 2002. Age-related differences in the medial temporal lobe responses to emotional faces as revealed by fMRI. *Hippocampus* 12, 352–362.
- John, O.P., Donahue, E.M., Kentle, R.L., 1991. The Big Five Inventory—Versions 4a and 54. University of California, Berkeley, Institute of Personality and Social Research, Berkeley, CA.
- John, O.P., Srivastava, S., 1999. The Big Five trait taxonomy: history, measurement, and theoretical perspectives. *Handb. Personal. Theor. Res.* 2, 102–138.
- Kemp, J., Despres, O., Sellal, F., Dufour, A., 2012. Theory of Mind in normal ageing and neurodegenerative pathologies. *Ageing Res. Rev.* 11, 199–219.
- Kringelbach, M.L., Rolls, E.T., 2004. The functional neuroanatomy of the human orbitofrontal cortex: evidence from neuroimaging and neuropsychology. *Prog. Neurobiol.* 72, 341–372.
- Krishnan, A., Williams, L.J., McIntosh, A.R., Abdi, H., 2011. Partial Least Squares (PLS) methods for neuroimaging: a tutorial and review. *Neuroimage* 56, 455–475.
- Lawrence, E., Shaw, P., Baker, D., Baron-Cohen, S., David, A., 2004. Measuring empathy: reliability and validity of the Empathy Quotient. *Psychol. Med.* 34, 911–920.
- Lee, E., Kang, J.I., Park, I.H., Kim, J.J., An, S.K., 2008. Is a neutral face really evaluated as being emotionally neutral? *Psychiatry Res.* 157, 77–85.
- Legon, W., Punzell, S., Dowlati, E., Adams, S.E., Stiles, A.B., Moran, R.J., 2015. Altered prefrontal excitation/inhibition balance and prefrontal output: markers of aging in human memory networks. *Cereb. Cortex* 25, 1–12.
- Li, S.-C., Lindenberger, U., Sikström, S., 2001. Aging cognition: from neuro-modulation to representation. *Trends Cogn. Sci.* 5, 479–486.
- Mather, M., Carstensen, L.L., 2003. Aging and attentional biases for emotional faces. *Psychol. Sci.* 14, 409–415.
- Mather, M., Carstensen, L.L., 2005. Aging and motivated cognition: the positivity effect in attention and memory. *Trends Cogn. Sci.* 9, 496–502.
- McCade, D., Savage, G., Naismith, S.L., 2011. Review of emotion recognition in mild cognitive impairment. *Dement. Geriatr. Cogn. Disord.* 32, 257–266.
- McIntosh, A.R., Bookstein, F.L., Haxby, J.V., Grady, C.L., 1996. Spatial pattern analysis of functional brain images using partial least squares. *Neuroimage* 3, 143–157.
- McIntosh, A.R., Chau, W.K., Protzner, A.B., 2004. Spatiotemporal analysis of event-related fMRI data using partial least squares. *Neuroimage* 23, 764–775.
- Menon, V., 2015. Salience network. In: Toga, A.W. (Ed.), *Brain Mapping: An Encyclopedic Reference*, Vol. 2. Academic Press: Elsevier, London, pp. 597–611.
- Molenberghs, P., Johnson, H., Henry, J.D., Mattingley, J.B., 2016. Understanding the minds of others: a neuroimaging meta-analysis. *Neurosci. Biobehav. Rev.* 65, 276–291.
- Moran, J.M., Jolly, E., Mitchell, J.P., 2012. Social-cognitive deficits in normal aging. *J. Neurosci.* 32, 5553–5561.
- N'Diaye, K., Sander, D., Vuilleumier, P., 2009. Self-relevance processing in the human amygdala: gaze direction, facial expression, and emotion intensity. *Emotion* 9, 798–806.
- Nelson, H.E., 1982. National Adult Reading Test (NART): Test Manual. NFER Nelson, Windsor, UK.
- O'Doherty, J., Kringelbach, M.L., Rolls, E.T., Hornak, J., Andrews, C., 2001. Abstract reward and punishment representations in the human orbitofrontal cortex. *Nat. Neurosci.* 4, 95–102.
- Park, D.C., Polk, T.A., Park, R., Minear, M., Savage, A., Smith, M.R., 2004. Aging reduces neural specialization in ventral visual cortex. *Proc. Natl. Acad. Sci. U. S. A.* 101, 13091–13095.
- Persson, J., Nyberg, L., Lind, J., Larsson, A., Nilsson, L.-G., Ingvar, M., Buckner, R.L., 2006. Structure–function correlates of cognitive decline in aging. *Cereb. Cortex* 16, 907–915.
- Pfeiffer, U.J., Vogeley, K., Schilbach, L., 2013. From gaze cueing to dual eye-tracking: novel approaches to investigate the neural correlates of gaze in social interaction. *Neurosci. Biobehav. Rev.* 37, 2516–2528.
- Poletti, M., Enrici, I., Adenzato, M., 2012. Cognitive and affective Theory of Mind in neurodegenerative diseases: neuropsychological, neuroanatomical and neurochemical levels. *Neurosci. Biobehav. Rev.* 36, 2147–2164.
- Raichle, M.E., MacLeod, A.M., Snyder, A.Z., Powers, W.J., Gusnard, D.A., Shulman, G.L., 2001. A default mode of brain function. *PNAS* 98, 676–682.
- Reed, A.E., Carstensen, L.L., 2012. The theory behind the age-related positivity effect. *Front. Psychol.* 3, 339.
- Reed, A.E., Chan, L., Mikels, J.A., 2014. Meta-analysis of the age-related positivity effect: age differences in preferences for positive over negative information. *Psychol. Aging* 29, 1–15.
- Reitan, R.M., Wolfson, D., 1986. The Halstead-Reitan neuropsychological test battery and aging. *Clin. Gerontol.* 5, 39–61.
- Roy, M., Shohamy, D., Wager, T.D., 2012. Ventromedial prefrontal-subcortical systems and the generation of affective meaning. *Trends Cogn. Sci.* 16, 147–156.
- Ruffman, T., Henry, J.D., Livingstone, V., Phillips, L.H., 2008. A meta-analytic review of emotion recognition and aging: implications for neuropsychological models of aging. *Neurosci. Biobehav. Rev.* 32, 863–881.
- Sabatinelli, D., Fortune, E.E., Li, Q., Siddiqui, A., Krafft, C., Oliver, W.T., Beck, S., Jeffries, J., 2011. Emotional perception: meta-analyses of face and natural scene processing. *Neuroimage* 54, 2524–2533.
- Sampson, P.D., Streissguth, A.P., Barr, H.M., Bookstein, F.L., 1989. Neurobehavioral effects of prenatal alcohol: Part II. Partial Least Squares analysis. *Neurotoxicol. Teratol.* 11, 477–491.
- Sanchez-Cubillo, I., Perianez, J.A., Adrover-Roig, D., Rodriguez-Sanchez, J.M., Rios-Lago, M., Tirapu, J., Barcelo, F., 2009. Construct validity of the Trail Making Test: role of task-switching, working memory, inhibition/interference control, and visuospatial abilities. *J. Int. Neuropsychol. Soc.* 15, 438–450.
- Sander, D., Grandjean, D., Kaiser, S., Wehrle, T., Scherer, K.R., 2007. Interaction effects of perceived gaze direction and dynamic facial expression: evidence for appraisal theories of emotion. *Eur. J. Cogn. Psychol.* 19, 470–480.
- Sander, D., Grandjean, D., Scherer, K.R., 2005. A systems approach to appraisal mechanisms in emotion. *Neural Netw.* 18, 317–352.
- Schurz, M., Radua, J., Aichhorn, M., Richlan, F., Perner, J., 2014. Fractionating theory of mind: a meta-analysis of functional brain imaging studies. *Neurosci. Biobehav. Rev.* 42, 9–34.
- Shepherd, S.V., 2010. Following gaze: gaze-following behavior as a window into social cognition. *Front. Integr. Neurosci.* 4, 5.
- Slessor, G., Phillips, L.H., Bull, R., 2008. Age-related declines in basic social perception: evidence from tasks assessing eye-gaze processing. *Psychol. Aging* 23, 812–822.
- Slessor, G., Phillips, L.H., Bull, R., 2010. Age-related changes in the integration of gaze direction and facial expressions of emotion. *Emotion* 10, 555–562.
- Sprong, M., Schothorst, P., Vos, E., Hox, J., Van Engeland, H., 2007. Theory of mind in schizophrenia meta-analysis. *Br. J. Psychiatry* 191, 5–13.
- St-Laurent, M., Abdi, H., Burianová, H., Grady, C.L., 2011. Influence of aging on the neural correlates of autobiographical, episodic, and semantic memory retrieval. *J. Cogn. Neurosci.* 23, 4150–4163.
- Stewart, E., Catroppa, C., Lah, S., 2016. Theory of mind in patients with epilepsy: a systematic review and meta-analysis. *Neuropsychol. Rev.* 26, 3–24.
- Van Overwalle, F., 2009. Social cognition and the brain: a meta-analysis. *Hum. Brain Mapp.* 30, 829–858.
- Van Overwalle, F., Baetens, K., 2009. Understanding others' actions and goals by mirror and mentalizing systems: a meta-analysis. *Neuroimage* 48, 564–584.
- Young, A.W., Perrett, D.I., Calder, A.J., Sprengelmeyer, R., Ekman, P., 2002. *Facial Expression of Emotion: Stimuli and Tests (FEEST)*. Thames Valley Test, Suffolk, UK.
- Yu, R.L., Wu, R.M., 2013. Social brain dysfunctions in patients with Parkinson's disease: a review of theory of mind studies. *Transl. Neurodegener.* 2, 7.
- Zhu, Z., Johnson, N.F., Kim, C., Gold, B.T., 2015. Reduced frontal cortex efficiency is associated with lower white matter integrity in aging. *Cereb. Cortex* 25, 138–146.
- Ziaei, M., Fischer, H., 2016. Emotion and aging: the impact of emotion on attention, memory, and face recognition in late adulthood. In: Absher, J.R., Cloutier, J. (Eds.), *Neuroimaging Personality, Social Cognition, and Character*. Academic Press: Elsevier, San Diego, pp. 259–278.
- Ziaei, M., von Hippel, W., Henry, J.D., Becker, S.I., 2015. Are age effects in positivity influenced by the valence of distractors? *PLoS One* 10, e0137604.