# **Large-scale Data Systems**

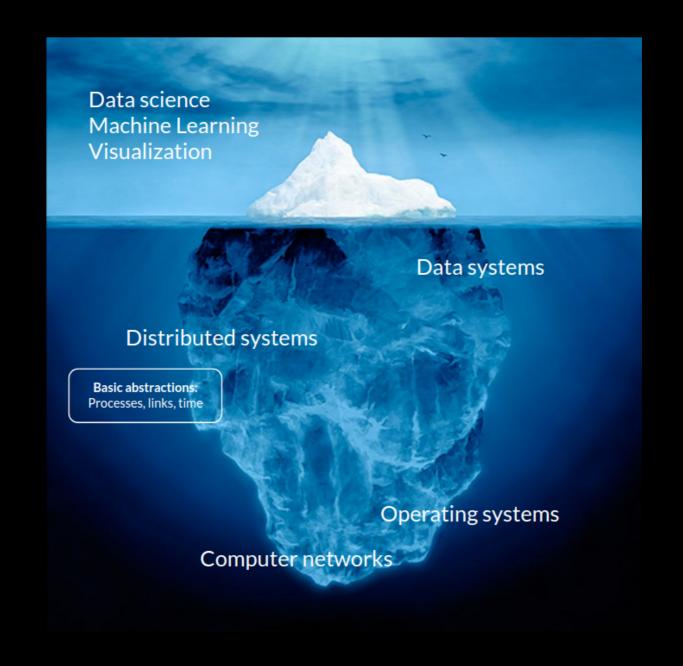
Lecture 2: Basic distributed abstractions

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# **Today**

- Define basic abstractions that capture the fundamental characteristics of distributed systems:
  - Process abstractions
  - Link abstractions
  - Timing abstractions
- A distributed system model = a combination of the three categories of abstractions.



# Why distributed abstractions?

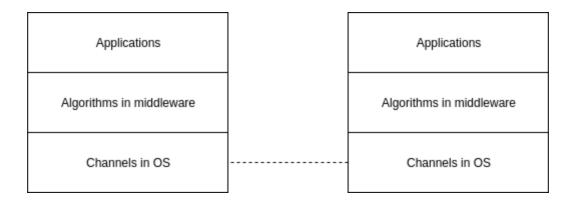
Reliable distributed applications need underlying services stronger than transport protocols (e.g., TCP or UDP).



"All problems in computer science can be solved by another level of indirection" - David Wheeler.

## **Distributed abstractions**

- Core of any distributed system is a set of distributed algorithms.
- Implemented as a middleware between network (OS) and the application.



# Network protocols are not enough

- Communication
  - Reliability guarantees (e.g. with TCP) are only offered for one-toone communication (client-server).
  - How to do group communication?
- High-level services
  - Sometimes one-to-many communication is not enough.
  - Need reliable higher-level services.
- Strategy: build complex distributed systems in a bottom-up fashion, from simpler ones.

#### High level services:

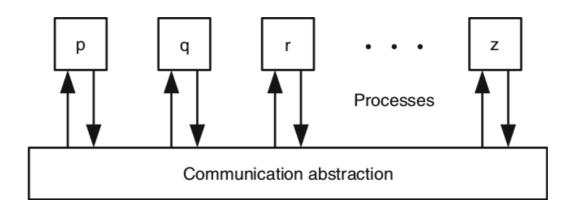
shared memory consensus atomic commit group membership

#### Group communication:

reliable broadcast causal order broadcast total order broadcast terminating reliable broadcast

# **Distributed computation**

# Distributed algorithms



- A distributed algorithm is a distributed collection  $\Pi=\{p,q,r,...\}$  of N processes implemented by identical automata.
- The automaton at a process regulates the way the process executes its computation steps.
- Processes jointly implement the application.
  - Need for coordination.

# **Event-driven programming**

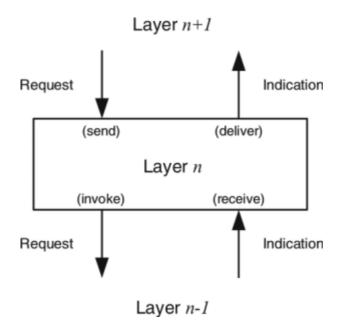
- Every process consists of modules or components.
  - Modules may exist in multiple instances.
  - Every instance has a unique identifier and is characterized by a set of properties.
- Asynchronous events represent communication or control flow between components.
  - Each component is constructed as a state-machine whose transitions are triggered by the reception of events.
  - Events carry information (sender, message, etc)

# Reactive programming model

```
upon event \langle co_1, Event_1 | att_1^1, att_1^2, \dots \rangle do do something; trigger \langle co_2, Event_2 | att_2^1, att_2^2, \dots \rangle; // send some event upon event \langle co_1, Event_3 | att_3^1, att_3^2, \dots \rangle do do something else; trigger \langle co_2, Event_4 | att_4^1, att_4^2, \dots \rangle; // send some other event
```

Effectively, a distributed algorithm is described by a set of event handlers.

# Layered modular architecture



- Components can be composed locally to build software stacks.
  - The top of the stack is the application layer.
  - The bottom of the stack the transport or network layer.
- Distributed programming abstraction layers are typically in the middle.
- We assume that every process executes the code triggered by events in a mutually exclusive way, without concurrently processing  $\geq 2$  events.

## **Execution**

# Modules of the process internal computation (receive) (send) incoming message outgoing message

- The execution of a distributed algorithm is a sequence of steps executed by its processes.
- A process step consists in
  - o receiving a message from another process,
  - executing a local computation,
  - sending a message to some process.
- Local messages between components are treated as local computation.
- We assume deterministic process steps (with respect to the message received and the local state prior to executing a step).

# **Example: Job handler**

# Module 1.1: Interface and properties of a job handler

#### Module:

Name: JobHandler, instance jh.

#### **Events:**

**Request:**  $\langle jh, Submit \mid job \rangle$ : Requests a job to be processed.

**Indication:**  $\langle jh, Confirm \mid job \rangle$ : Confirms that the given job has been (or will be) processed.

#### **Properties:**

JH1: Guaranteed response: Every submitted job is eventually confirmed.

# Algorithm 1.1: Synchronous Job Handler

## **Implements:**

 ${\bf JobHandler,\ instance\ } jh.$ 

**upon event**  $\langle jh, Submit | job \rangle$  **do** process(job); **trigger**  $\langle jh, Confirm | job \rangle$ ;

## Algorithm 1.2: Asynchronous Job Handler

```
Implements:
    JobHandler, instance jh.

upon event ⟨ jh, Init ⟩ do
    buffer := ∅;

upon event ⟨ jh, Submit | job ⟩ do
    buffer := buffer ∪ {job};
    trigger ⟨ jh, Confirm | job ⟩;

upon buffer ≠ ∅ do
    job := selectjob(buffer);
    process(job);
    buffer := buffer \ {job};
```

# Module 1.2: Interface and properties of a job transformation and processing abstraction Module:

Name: TransformationHandler, instance th.

#### **Events:**

**Request:**  $\langle th, Submit \mid job \rangle$ : Submits a job for transformation and for processing.

**Indication:**  $\langle th, Confirm \mid job \rangle$ : Confirms that the given job has been (or will be) transformed and processed.

**Indication:**  $\langle th, Error \mid job \rangle$ : Indicates that the transformation of the given job failed.

#### **Properties:**

**TH1:** Guaranteed response: Every submitted job is eventually confirmed or its transformation fails.

**TH2:** Soundness: A submitted job whose transformation fails is not processed.

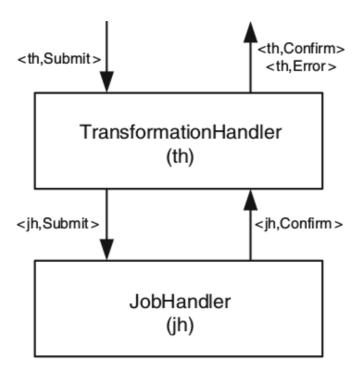


Figure 1.3: A stack of job-transformation and job-handler modules

## Algorithm 1.3: Job-Transformation by Buffering

#### **Implements:**

TransformationHandler, instance th.

```
Uses:
      JobHandler, instance jh.
upon event \langle th, Init \rangle do
     top := 1;
     bottom := 1;
     handling := FALSE;
     buffer := [\bot]^M;
upon event \langle th, Submit \mid job \rangle do
     if bottom + M = top then
           trigger \langle th, Error \mid job \rangle;
     else
           buffer[top \mod M + 1] := job;
           top := top + 1;
           trigger \langle th, Confirm \mid job \rangle;
upon bottom < top \land handling = FALSE do
     job := buffer[bottom \mod M + 1];
     bottom := bottom + 1;
     handling := TRUE;
     trigger \langle jh, Submit \mid job \rangle;
upon event \langle jh, Confirm \mid job \rangle do
     handling := FALSE;
```

# Liveness and safety

- Implementing a distributed programming abstraction requires satisfying its correctness in all possible executions of the algorithm.
  - i.e., in all possible interleaving of steps.
- Correctness of an abstraction is expressed in terms of liveness and safety properties.
  - Safety: properties that state that nothing bad ever happens.
    - A safety property is a property such that, whenever it is violated in some execution E of an algorithm, there is a prefix E' of E such that the property will be violated in any extension of E'.
  - Liveness: properties that state something good eventually happens.
    - A liveness property is a property such that for any prefix E' of E, there exists an extension of E' for which the property is satisfied.
- Any property can be expressed as the conjunction of safety property and a liveness property.

# **Example 1: Traffic lights at an intersection**

- Safety: only one direction should have a green light.
- Liveness: every direction should eventually get a green light.



# **Example 2: TCP**

- Safety: messages are not duplicated and received in the order they were sent.
- Liveness: messages are not lost.
  - $\circ \ \ \, \text{i.e., messages are eventually delivered.}$

# **Assumptions**

- In our abstraction of a distributed system, we need to specify the assumptions needed for the algorithm to be correct.
- A distributed system model includes assumptions on:
  - failure behavior of processes and channels
  - timing behavior of processes and channels

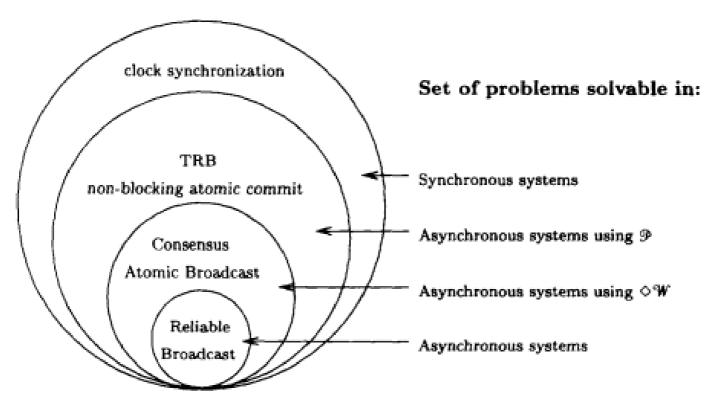


Fig. 9. Problem solvability in different distributed computing models.

Together, these assumptions define sets of solvable problems.

# **Process abstractions**

# **Process failures**

- Processes may fail in four different ways:
  - Crash-stop
  - Omissions
  - Crash-recovery
  - Byzantine / arbitrary
- Processes that do not fail in an execution are correct.

# **Crash-stop failures**

- A process stops taking steps.
  - Not sending messages.
  - Not receiving messages.
- We assume the crash-stop process abstraction by default.
  - Hence, do not recover.
  - [Q] Does this mean that processes are not allowed to recover?

## **Omission failures**

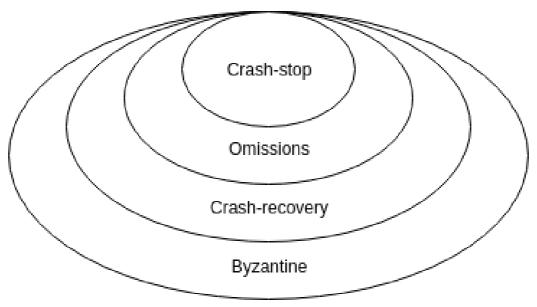
- Process omits sending or receiving messages.
  - Send omission: A process omits to send a message it has to send according to its algorithm.
  - Receive omission: A process fails to receive a message that was sent to it.
- Often, omission failures are due to buffer overflows.
- With omission failures, a process deviates from its algorithm by dropping messages that should have been exchanged with other processes.

# **Crash-recovery failures**

- A process might crash.
  - It stops taking steps, not receiving and sending messages.
- It may recover after crashing.
  - The process emits a < Recovery > event upon recovery.
- Access to stable storage:
  - May read/write (expensive) to permanent storage device.
  - Storage survives crashes.
  - E.g., save state to storage, crash, recover, read saved state, ...
- A failure is different in the crash-recovery abstraction:
  - A process is faulty in an execution if
    - It crashes and never recovers, or
    - It crashes and recovers infinitely often.
  - Hence, a correct process may crash and recover.

# **Byzantine failures**

- A process may behave arbitrarily.
  - Sending messages not specified by its algorithm.
  - Updating its state as not specified by its algorithm.
- Might behave maliciously, attacking the system.
  - Several malicious nodes might collude.

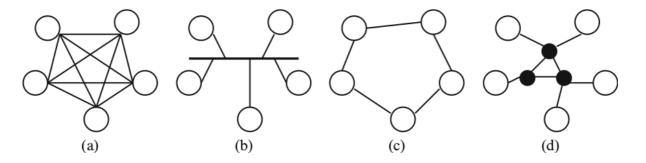


Fault-tolerance hierarchy

# **Communication abstractions**

# Links

- Every process may logically communicate with every other process (a).
- The physical implementation may differ (b-d).



# **Link failures**

- Fair-loss links
  - Channel delivers any message sent, with non-zero probability.
- Stubborn links
  - Channel delivers any message sent infinitely many times.
  - Can be implemented using fair-loss links.
- Perfect links (reliable)
  - Channel delivers any message sent exactly once.
  - Can be implemented using stubborn links.
  - By default, we assume the perfect links abstraction.

## **Exercice**

What abstraction do UDP and TCP implement?

# Stubborn links (sl)

#### Module:

Name: StubbornPointToPointLinks, instance sl.

#### **Events:**

**Request:**  $\langle sl, Send \mid q, m \rangle$ : Requests to send message m to process q.

**Indication:**  $\langle sl, Deliver \mid p, m \rangle$ : Delivers message m sent by process p.

## **Properties:**

**SL1:** Stubborn delivery: If a correct process p sends a message m once to a correct process q, then q delivers m an infinite number of times.

**SL2:** No creation: If some process q delivers a message m with sender p, then m was previously sent to q by process p.

## **Exercice**

Which property is safety/liveness/neither?

# Perfect links (pl)

#### Module:

Name: PerfectPointToPointLinks, instance pl.

#### **Events:**

**Request:**  $\langle pl, Send \mid q, m \rangle$ : Requests to send message m to process q.

**Indication:**  $\langle pl, Deliver | p, m \rangle$ : Delivers message m sent by process p.

## **Properties:**

**PL1:** Reliable delivery: If a correct process p sends a message m to a correct process q, then q eventually delivers m.

PL2: No duplication: No message is delivered by a process more than once.

**PL3:** No creation: If some process q delivers a message m with sender p, then m was previously sent to q by process p.

## **Exercice**

Which property is safety/liveness/neither?

#### **Implements:**

PerfectPointToPointLinks, instance pl.

#### Uses:

StubbornPointToPointLinks, instance sl.

## **Exercice**

How does TCP efficiently maintain its delivered log?

### Correctness of pl

- PL1. Reliable delivery
  - Guaranteed by the Stubborn link abstraction. (The Stubborn link will deliver the message an infinite number of times.)
- PL2. No duplication
  - Guaranteed by the log mechanism.
- PL3. No creation
  - Guaranteed by the Stubborn link abstraction.

# **Timing abstractions**

### Timing assumptions

- Timing assumptions correspond to the behavior of processes and links with respect to the passage of time. They relate to
  - different processing speeds of processes;
  - different speeds of messages (channels).
- Three basic types of system:
  - Asynchronous system
  - Synchronous system
  - Partially synchronous system

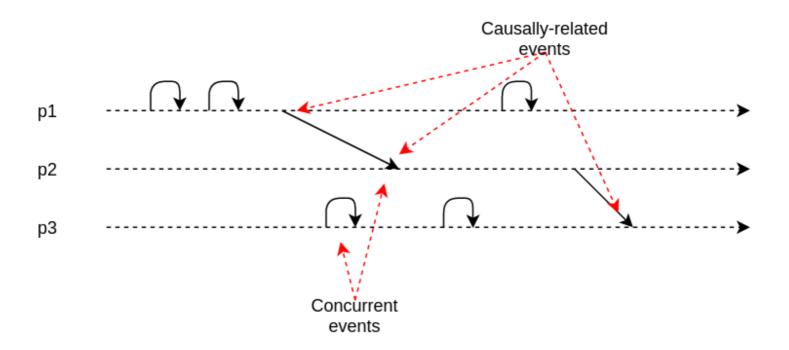
## **Asynchronous systems**

- No timing assumptions on processes and links.
  - Processes do not have access to any sort of physical clock.
  - Processing time may vary arbitrarily.
  - No bound on transmission time.
- But causality between events can still be determined.
  - How?

#### Causal order

The happened-before relation  $e_1 \to e_2$  denotes that  $e_1$  may have caused  $e_2$ . It is true in the following cases:

- FIFO order:  $e_1$  and  $e_2$  occurred at the same process p and  $e_1$  occurred  $e_2$ ;
- Network order:  $e_1$  corresponds to the transmission of m at a process p and  $e_2$  corresponds to its reception at a process q;
- Transitivity: if  $e_1 o e'$  and  $e' o e_2$ , then  $e_1 o e_2$ .



### Similarity of executions

- $\bullet$  The view of p in E , denoted  $E \, | \, p$  is the subsequence of process steps in E restricted to those of p
- ullet Two executions E and F are similar w.r.t. to p if E|p=F|p.
- Two executions E and F are similar if E|p=F|p for all processes p.

### **Computation theorem**

If two executions E and F have the same collection of events and their causal order is preserved, then E and F are similar executions.

### **Logical clocks**

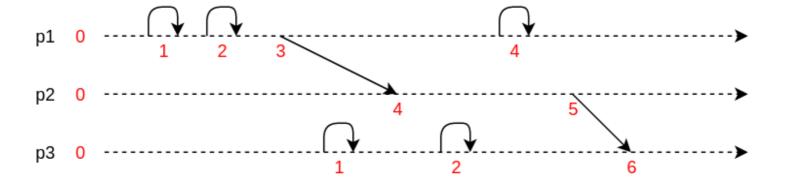
In an asynchronous distributed system, the passage of time can be measured with logical clocks:

- Each process has a local logical clock  $l_p$ , initially set a 0.
- Whenever an event occurs locally at p or when a process sends a message, p increments its logical clock.

$$\circ$$
  $l_p := l_p + 1$ 

- When p sends a message event m, it timestamps the message with its current logical time,  $t(m) := l_p$ .
- When p receives a message event m with timestamp t(m), p updates its logical clock.

$$\circ \ l_p := \max(l_p, t(m)) + 1$$



### **Clock consistency condition**

Logical clocks capture cause-effect relations:

$$e_1 
ightarrow e_2 \Rightarrow t(e_1) < t(e_2)$$

- If  $e_1$  is the cause of  $e_2$ , then  $t(e_1) < t(e_2)$ .
  - Can you prove it?
- But not necessarily the opposite:
  - $\circ \ t(e_1) < t(e_2)$  does not imply  $e_1 o e_2$ .
  - $\circ$   $e_1$  and  $e_2$  may be logically concurrent.

#### **Vector clocks**

Vector clocks fix this issue by making it possible to tell when two events cannot be causally related, i.e. when they are concurrent.

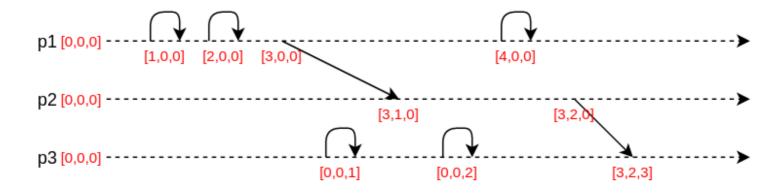
- ullet Each process p maintains a vector  $V_p$  of N clocks, initially set at  $V_p[i] = 0 \ orall i$  .
- Whenever an event occurs locally at p or when a process sends a message, p increments the p-th element of its vector clock.

$$V_p[p] := V_p[p] + 1$$

- ullet When p sends a message event m, it piggybacks its vector clock as  $V_m := V_p$  .
- When p receives a message event m with the vector clock  $V_m$ , p updates its vector clock.

$$\circ \ V_p[p] := V_p[p] + 1$$

$$\circ \ V_p[i] := \max(V_p[i], V_m[i])$$
 , for  $i 
eq p$  .



### **Comparing vector clocks**

- $egin{aligned} ullet V_p &= V_q \ &\circ \ ext{iff} \, orall i \, V_p[i] = V_q[i]. \end{aligned}$
- $\begin{array}{c} \bullet \ \, V_p \leq V_q \\ \\ \circ \ \, \text{iff} \, \forall i \, V_p[i] \leq V_q[i]. \end{array}$
- $\bullet \ \, V_p < V_q \\ \\ \circ \ \, \text{iff} \, V_p \leq V_q \, \text{AND} \, \exists j \, V_p[j] < V_q[j]$
- ullet  $V_p$  and  $V_q$  are logically concurrent.
  - $\circ \ \ \text{iff NOT} \, V_p \leq V_q \, \text{AND NOT} \, V_q \leq V_p$

## Synchronous systems

#### Assumption of three properties:

- Synchronous computation
  - Known upper bound on the process computation delay.
- Synchronous communication
  - Known upper bound on message transmission delay.
- Synchronous physical clocks
  - Processes have access to a local physical clock;
  - Known upper bound on clock drift and clock skew.

#### **Exercice**

Why studying synchronous systems? What services can be provided?

## Partially synchronous systems

A partially synchronous system is a system that is synchronous most of the time.

- There are periods where the timing assumptions of a synchronous system do not hold.
- But the distributed algorithm will have a long enough time window where everything behaves nicely, so that it can achieve its goal.

#### **Exercice**

Are there such systems?

### **Failure detection**

- It is tedious to model (partial) synchrony.
- Timing assumptions are mostly needed to detect failures.
  - Heartbeats, timeouts, etc.
- We define failure detector abstractions to encapsulate timing assumptions:
  - Black box giving suspicions regarding node failures;
  - Accuracy of suspicions depends on model strength.

### Implementation of failure detectors

A typical implementation is the following:

- Periodically exchange hearbeat messages;
- Timeout based on worst case message round trip;
- If timeout, then suspect node;
- If reception of a message from a suspected node, revise suspicion and increase timeout.

## Perfect detector ( $\mathcal{P}$ )

Assuming a crash-stop process abstraction, the perfect detector encapsulates the timing assumptions of a synchronous system.

#### Module:

Name: PerfectFailureDetector, instance  $\mathcal{P}$ .

#### **Events:**

**Indication:**  $\langle \mathcal{P}, Crash \mid p \rangle$ : Detects that process p has crashed.

#### **Properties:**

**PFD1:** Strong completeness: Eventually, every process that crashes is permanently detected by every correct process.

**PFD2:** Strong accuracy: If a process p is detected by any process, then p has crashed.

#### **Exercice**

Which property is safety/liveness/neither?

```
Implements:
      PerfectFailureDetector, instance \mathcal{P}.
Uses:
      PerfectPointToPointLinks, instance pl.
upon event \langle \mathcal{P}, Init \rangle do
      alive := \Pi;
      detected := \emptyset;
      starttimer(\Delta);
upon event ( Timeout ) do
      for all p \in \Pi do
            if (p \notin alive) \land (p \notin detected) then
                   detected := detected \cup \{p\};
                   trigger \langle \mathcal{P}, Crash \mid p \rangle;
            trigger \langle pl, Send \mid p, [HEARTBEATREQUEST] \rangle;
      alive := \emptyset;
      starttimer(\Delta);
upon event \langle pl, Deliver | q, [HEARTBEATREQUEST] \rangle do
      trigger \langle pl, Send \mid q, [HEARTBEATREPLY] \rangle;
upon event \langle pl, Deliver | p, [HEARTBEATREPLY] \rangle do
      alive := alive \cup \{p\};
```

#### Correctness

We assume a synchronous system:

- The transmission delay is bounded by some known constant.
- Local processing is negligible.
- The timeout delay  $\Delta$  is chosen to be large enough such that
  - every process has enough time to send a heartbeat message to all,
  - every heartbeat message has enough time to be delivered,
  - the correct destination processes have enough time to process the heartbeat and to send a reply,
  - the replies have enough time to reach the original sender and to be processed.

#### • PFD1. Strong completeness

 $\circ$  A crashed process p stops replying to heartbeat messages, and no process will deliver its messages. Every correct process will thus eventually detect the crash of p.

#### • PFD2. Strong accuracy

- $\circ$  The crash of p is detected by some other process q only if q does not deliver a message from p before the timeout period.
- This happens only if p has indeed crashed, because the algorithm makes sure p must have sent a
  message otherwise and the synchrony assumptions imply that the message should have been
  delivered before the timeout period.

## Eventually perfect detector ( $\diamond \mathcal{P}$ )

The eventually perfect detector encapsulates the timing assumptions of a partially synchronous system.

#### Module:

Name: EventuallyPerfectFailureDetector, instance  $\Diamond \mathcal{P}$ .

#### **Events:**

**Indication:**  $\langle \diamond \mathcal{P}, Suspect \mid p \rangle$ : Notifies that process p is suspected to have crashed.

**Indication:**  $\langle \diamond \mathcal{P}, Restore \mid p \rangle$ : Notifies that process p is not suspected anymore.

#### **Properties:**

**EPFD1:** Strong completeness: Eventually, every process that crashes is permanently suspected by every correct process.

**EPFD2:** Eventual strong accuracy: Eventually, no correct process is suspected by any correct process.

```
Implements:
                                                                                upon event \langle pl, Deliver | q, [HEARTBEATREQUEST] \rangle do
      EventuallyPerfectFailureDetector, instance \Diamond \mathcal{P}.
                                                                                      trigger \langle pl, Send \mid q, [HEARTBEATREPLY] \rangle;
Uses:
                                                                                upon event \langle pl, Deliver | p, [HEARTBEATREPLY] \rangle do
      PerfectPointToPointLinks, instance pl.
                                                                                      alive := alive \cup \{p\};
upon event \langle \diamond \mathcal{P}, Init \rangle do
      alive := \Pi:
      suspected := \emptyset;
      delay := \Delta;
      starttimer(delay);
upon event ( Timeout ) do
      if alive \cap suspected \neq \emptyset then
            delay := delay + \Delta;
      for all p \in \Pi do
            if (p \notin alive) \land (p \notin suspected) then
                   suspected := suspected \cup \{p\};
                   trigger \langle \diamond \mathcal{P}, Suspect \mid p \rangle;
            else if (p \in alive) \land (p \in suspected) then
                   suspected := suspected \setminus \{p\};
                   trigger \langle \diamond \mathcal{P}, Restore \mid p \rangle;
            trigger \langle pl, Send \mid p, [HEARTBEATREQUEST] \rangle;
      alive := \emptyset;
      starttimer(delay);
```

#### **Exercice**

Show that this implementation is correct.

## Leader election (le)

- Failure detection captures failure behavior.
  - Detects failed processes.
- Leader election is an abstraction that also captures failure behavior.
  - Detects correct nodes.
  - But a single and same for all, called the leader.
- If the current leader crashes, a new leader should be elected.

#### Module:

Name: LeaderElection, instance le.

#### **Events:**

**Indication:**  $\langle le, Leader | p \rangle$ : Indicates that process p is elected as leader.

#### **Properties:**

**LE1:** Eventual detection: Either there is no correct process, or some correct process is eventually elected as the leader.

**LE2:** Accuracy: If a process is leader, then all previously elected leaders have crashed.

#### **Implements:**

LeaderElection, instance le.

#### Uses:

PerfectFailureDetector, instance  $\mathcal{P}$ .

```
upon event ⟨ le, Init ⟩ do
    suspected := ∅;
    leader := ⊥;

upon event ⟨ P, Crash | p ⟩ do
    suspected := suspected ∪ {p};

upon leader ≠ maxrank(Π \ suspected) do
    leader := maxrank(Π \ suspected);
    trigger ⟨ le, Leader | leader ⟩;
```

#### **Exercice**

- Show that this implementation is correct.
- Is *le* a failure detector?

# Distributed system models

## Distributed system models

We define a distributed system model as the combination of (i) a process abstraction, (ii) a link abstraction, and (iii) a failure detector abstraction.

- Fail-stop (synchronous)
  - Crash-stop process abstraction
  - Perfect links
  - Perfect failure detector
- Fail-silent (asynchronous)
  - Crash-stop process abstraction
  - Perfect links

- Fail-noisy (partially synchronous)
  - Crash-stop process abstraction
  - Perfect links
  - Eventually perfect failure detector
- Fail-recovery
  - Crash-stop process abstraction
  - Stubborn links

The fail-stop distributed system model substantially simplifies the design of distributed algorithms.

The end.

### References

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