Ungleichungen und ähnlich verwirrende Konzepte

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Outline

- Local and quantum correlation matrices
 - Local correlation matrices
 - Quantum correlation matrices
 - The relations between quantum correlation and local correlation matrices
- Grothendieck-Tsirelson Theorem
 - Motivation: The Grothendieck-Tsirelson Theorem
 - Grothendieck's Inequality
 - Tsirelson's Theorem
 - Gorthendieck-Tsirelson Theorem

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- Instead of deterministic strategies they can also answer according to the probability distribution of random variables X_i, Y_i
- ullet Their common answer is $\mathbb{E}[X_iY_j]$
- This information can be encoded in an $\mathcal{S} \times \mathcal{T}$ matrix \bigcirc Motivation Quantum

Let $(X_i)_{1 \le i \le m}$ and $(Y_j)_{1 \le j \le n}$ be families of random variables on a common probability space such that $|X_i|, |Y_j| \le 1$ almost surely. Then $A = (a_{ij})$ is the corresponding classical (or local) correlation matrix if

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Lemma

$$\mathsf{LC}_{m,n} = \mathsf{conv}\{\xi \eta^T \,|\, \xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n\}$$

• No matter which probabilistic strategy there is a deterministic one which as at least as good as the one one chooses

 $\mathsf{LC}_{\mathit{m},\mathit{n}} \supset \mathsf{conv}\{\xi\eta^T \,|\, \xi \in \{-1,1\}^\mathit{m}, \eta \in \{-1,1\}^\mathit{n}\}.$

• $\xi \eta^T \in LC_{m,n}$ for all $\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n$ (Choose $X_i \equiv \xi_i, Y_i \equiv \eta_i$)

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- Suffices to show that $LC_{m,n}$ is convex.
- Let $a_{ii}^{(k)} = \mathbb{E}[X_i^{(k)} Y_i^{(k)}]$ for $k \in \{0, 1\}$
- ullet Find $(X_i), (Y_j)$ with $|X_i|, |Y_j| \leq 1$ almost surely such that

$$\beta a_{ij}^{(0)} + (1 - \beta) a_{ij}^{(1)} = \mathbb{E}[X_i Y_j]$$

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• Define a Bernoulli random variable α (which is independent form $X_i^{(k)}, Y_j^{(k)}$) such that $\mathbb{P}(\alpha = 0) = \beta$, $\mathbb{P}(\alpha = 1) = 1 - \beta$ and set $X_i = X_i^{(\alpha)}, Y_j = Y_i^{(\alpha)}$

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- Then

$$\mathbb{E}[X_i Y_j] = \mathbb{E}[X_i^{(\alpha)} Y_j^{(\alpha)} \mathbb{1}_{\{\alpha = 0\}}] + \mathbb{E}[X_i^{(\alpha)} Y_j^{(1)}] \mathbb{1}_{\{\alpha = 1\}}]$$
$$= \beta \mathbb{E}[X_i^{(0)} Y_j^{(0)}] + (1 - \beta) \mathbb{E}[X_i^{(1)} Y_j^{(1)}]$$

• Let $a_{ij} = \mathbb{E}[X_i Y_j]$ for \mathbb{R} -valued random variables $(X_i), (Y_j)$ defined on a common probability space Ω with $|X_i|, |Y_i| \leq 1$ almost surely.

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- Set $X = (X_1, ..., X_m)$ and $Y = (Y_1, ..., Y_n)$, then $X \in [-1, 1]^m$, $Y \in [-1, 1]^n$ almost surely.

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- ullet Define random variables $\lambda_{\xi}^{(\mathcal{X})}:\Omega^m o [0,1]$ such that

$$X(\omega) = \sum_{\xi \in \{-1,1\}^m} \lambda_{\xi}^{(X)}(\omega)\xi$$

almost surely and $\sum_{\xi \in \{-1,1\}^m} \lambda_{\xi}^{(X)}(\omega) = 1$

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• Using the same decomposition for Y we obtain

$$\begin{aligned} a_{ij} &= \mathbb{E}[X_{i}Y_{j}] = \mathbb{E}\Big[\Big(\sum_{\xi \in \{-1,1\}^{m}} \lambda_{\xi}^{(X)} \xi_{i}\Big) \Big(\sum_{\eta \in \{-1,1\}^{n}} \lambda_{\eta}^{(Y)} \eta_{j}\Big)\Big] \\ &= \sum_{\xi \in \{-1,1\}^{m}, \eta \in \{-1,1\}^{n}} \mathbb{E}\Big[\lambda_{\xi}^{(X)} \lambda_{\eta}^{(Y)}\Big] \xi_{i} \eta_{j} \\ &= \Big(\sum_{\xi \in \{-1,1\}^{m}, \eta \in \{-1,1\}^{n}} \mathbb{E}[\lambda_{\xi}^{(X)}] \mathbb{E}[\lambda_{\eta}^{(Y)}]\Big) \xi_{i} \eta_{j} \end{aligned}$$

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$$= \sum_{\xi \in \{-1,1\}^{m}, \eta \in \{-1,1\}^{n}} \mathbb{E}\Big[\lambda_{\xi}^{(X)} \lambda_{\eta}^{(Y)}\Big] \xi_{i} \eta_{j}$$

$$= \Big(\sum_{\xi \in \{-1,1\}^{m}, \eta \in \{-1,1\}^{n}} \mathbb{E}[\lambda_{\xi}^{(X)}] \mathbb{E}[\lambda_{\eta}^{(Y)}]\Big) \xi_{i} \eta_{j}$$

• Due to $\sum_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \mathbb{E}[\lambda_{\xi}^{(X)}] \mathbb{E}[\lambda_{\eta}^{(Y)}] = 1$ the matrix (a_{ij}) is a convex combination of $\xi \eta^T$, $\xi \in \{-1,1\}^m$, $\eta \in \{-1,1\}^n$

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- Again we can encode this information in a matrix

Let $(X_i)_{1\leq i\leq m}$ and $(Y_j)_{1\leq j\leq n}$ be self-adjoint operators on \mathbb{C}^{d_1} , respectively \mathbb{C}^{d_2} for some positive integers d_1,d_2 , satisfying $\|X_i\|_{\infty},\|Y_j\|_{\infty}\leq 1$. $A=(a_{ij})$ is called quantum correlation matrix if there exists a state on $\mathbb{C}^{d_1}\otimes\mathbb{C}^{d_2}$) such that

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Lemma

$$\mathsf{QC}_{m,n} = \{(\langle x_i, y_j \rangle)_{1 \le 1 \le m, 1 \le j \le n} \, | \, x_i, y_j \in \mathbb{R}^{\min\{m,n\}}, |x_i| \le 1, |y_j| \le 1\},$$

• $a_{ij} = \operatorname{Tr} \rho X_i \otimes Y_j$, sate ρ on a Hilbert space $\mathcal{H} = \mathbb{C}^{d_1} \otimes \mathbb{C}^{d_2}$ and Hermitian operators $(X_i)_{1 \geq m}$, $(Y_j)_{1 \geq n}$ on \mathbb{C}^{d_1} , respectively \mathbb{C}^{d_2} satisfying $\|X_i\|_{\infty}$, $\|Y_i\|_{\infty} \leq 1$

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- Define a positive semidefinite symmetric bilinear form on the space of Hermitian operators on \mathcal{H} by $\beta: \mathcal{H} \times \mathcal{H} \to \mathbb{R}$ where $\beta(S,T) = \text{Re}(\text{Tr } \rho ST)$.

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- Obtain an inner product space $U:=B^{sa}(\mathcal{H})/\ker\beta$ equipped with the inner product

$$\tilde{\beta}([S],[T]) = \beta(S,T).$$

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• Identify $X_i \otimes I, I \otimes Y_j$ with vectors x_i, y_j in U, then

$$\tilde{\beta}(x_i, y_j) = \beta(X_i, Y_j) = Re Tr(\rho X_i \otimes Y_j) = a_{ij}$$



$$\mathsf{QC}_{m,n} \subset \{(\langle x_i, y_j \rangle)_{1 \le 1 \le m, 1 \le j \le n} \, | \, x_i, y_j \in \mathbb{R}^{\min\{m,n\}}, |x_i| \le 1, |y_j| \le 1\}.$$

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• $\beta(X \otimes I, X \otimes I), \beta(I \otimes Y, I \otimes Y) \leq 1$ (this can be shown by using a *Schmidt-decomposition* of ρ and using $\|X_i\|_{\infty}, \|Y_i\|_{\infty} \leq 1$)

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- $\pi(y_j)$ the projection of y_j then $\tilde{\beta}(x_i, \pi(y_j)) = \tilde{\beta}(x_i, y_j)$



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- ullet The vectors have still not the right dimension but again, we can project them onto vectors in \mathbb{R}^m



In order to show

$$\mathsf{QC}_{m,n} \supset \{(\langle x_i, y_j \rangle)_{1 \leq 1 \leq m, 1 \leq j \leq n} \, | \, x_i, y_j \in \mathbb{R}^{\min\{m,n\}}, |x_i| \leq 1, |y_j| \leq 1\}$$

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Proposition

For all $n \ge 1$ there is a subspace of the $2^n \times 2^n$ Hermitian matrices where every vector is the multiple of a unitary matrix.

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For all $n \ge 1$ there is a subspace of the $2^n \times 2^n$ Hermitian matrices where every vector is the multiple of a unitary matrix.

• The proof is based on n-fold tensor products of the Pauli matrices which are the three matrices

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \ Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \ Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

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$$\bullet \ \ X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \ Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \ Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Proof.

Define

$$U_{i} = I^{\otimes (i-1)} \otimes X \otimes Y^{\otimes (n-i)},$$

$$U_{n+i} = I^{\otimes (i-1)} \otimes Z \otimes Y^{\otimes (n-i)}, i = 1, \dots n$$

- U_i 's are anti-commuting traceless Hermitian unitaries, i.e. $U_iU_j=-U_jU_i$ for $i\neq j$ and $U_i^2=I$
- For $X = \sum_{i=1}^{2n} \xi_i U_i$, $Y = \sum_{i=1}^{2n} \eta_i U_i$ we can calculate

$$XY = \sum_{i=1}^{2n} \xi_i \eta_i I + \sum_{1 \le i, j \le \le 2n} \xi_i \eta_j U_i U_j$$

$$= \sum_{i=1}^{2n} \xi_i \eta_i I + \sum_{1 \le i < j \le \le 2n} \xi_i \eta_j U_i U_j - \sum_{1 \le i < j \le \le 2n} U_i U_j = \sum_{i=1}^{2n} \xi_i \eta_i I$$

$$= \langle \xi, \eta \rangle I.$$

• The result follows by setting X = Y.

 $\mathsf{QC}_{m,n} \supset \{(\langle x_i, y_j \rangle)_{1 \le 1 \le m, 1 \le j \le n} \, | \, x_i, y_j \in \mathbb{R}^{\min\{m,n\}}, |x_i| \le 1, |y_j| \le 1\}$

• Let $(x_i)_{1 \leq i \leq m}$, $(y_j)_{1 \leq j \leq n} \subset \mathbb{R}^{\min\{m,n\}}$ such that $|x_i|, |y_j| \leq 1$.

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- Tr $(X_iY_j^T) = d \cdot \langle x_i, y_j \rangle$ and $\|X_i\|_{\infty} \leq 1$ since $X_iX_i^* = |x_i|^2I$ and $|x_i|^2 \leq 1$ (the same holds for Y_j)

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- Let $|\phi\rangle = \frac{1}{\sqrt{d}} \sum_{i=1}^{d} |ii\rangle$ and $\rho = |\phi\rangle \langle \rho|$. Note that we can write ρ as

$$\rho = |\phi\rangle\langle\phi| = \frac{1}{d}\sum_{1 \le k,l \le d} |kk\rangle\langle ll| = \frac{1}{d}\sum_{1 \le k,l \le d} |k\rangle\langle l| \otimes |k\rangle\langle l|$$



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Then

$$\operatorname{Tr}(\rho X_{i} \otimes Y_{j}) = \frac{1}{d} \sum_{1 \leq k, l \leq d} \operatorname{Tr}(|k\rangle \langle l| X_{i} \otimes |k\rangle \langle l| Y_{j})$$

$$= \frac{1}{d} \sum_{1 \leq k, l \leq d} \operatorname{Tr}(|k\rangle \langle l| X_{i}) \operatorname{Tr}(|k\rangle \langle l| Y_{j})$$

$$= \frac{1}{d} \operatorname{Tr} X_{i} Y_{j}^{T} = \langle x_{i}, y_{j} \rangle.$$



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- define vectors $\tilde{x}_i := (\sqrt{\lambda}x_i, \sqrt{1-\lambda}\bar{x}_i), \ \tilde{y}_j := (\sqrt{\lambda}y_j, \sqrt{1-\lambda}\bar{y}_j) \ \text{for} \ \lambda \in [0,1]$

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- it holds $|\tilde{x}_i| \leq \lambda |(x_i, 0)| + (1 \lambda)|(0, \bar{x}_i)| \leq 1$ and $\langle \tilde{x}_i, \tilde{y}_j \rangle = \lambda \langle x_i, y_j \rangle + (1 \lambda) \langle \tilde{x}_i, \tilde{y}_j \rangle$.

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- Right dimension is obtained by projecting $(\tilde{x}_i)_{1 \leq i \leq m}$, $(\tilde{y}_j)_{1 \leq i \leq n}$ on span $\{x_1, \ldots, x_m\}$ or span $\{y_1, \ldots, y_n\}$, as in the proof before.

The relations between quantum correlation and local correlation matrices

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- Inclusion is strict in general

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$$\mathsf{LC}_{2,2} = \mathsf{conv}\{\pm \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \, \pm \begin{pmatrix} -1 & -1 \\ 1 & 1 \end{pmatrix}, \, \pm \begin{pmatrix} -1 & 1 \\ -1 & 1 \end{pmatrix}, \, \pm \begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix}\}.$$

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• W can also write it as in intersections of halfspaces:

$$\mathsf{LC}_{2,2} = \{ A \in \mathbb{R}^{2 \times 2} \mid -1 \le \mathsf{Tr} \, AM \le 1 \text{ for all } M \in \mathcal{H} \}, \tag{1}$$

where
$$\mathcal{H} = \{\frac{1}{2}\sigma(\begin{pmatrix} -1 & 1 \\ 1 & 1 \end{pmatrix}), \sigma(\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}) \mid \sigma \in \{\mathsf{id}, (1\ 2), (1\ 3), (1\ 4)\}\}.$$



 We will show that the inclusion is strict by showing that both sets yield different values if we maximize in a certain direction

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$$\mathsf{Tr}(AM) = \langle x_1, y_1 \rangle + \langle x_1, y_2 \rangle + \langle x_2, y_1 \rangle - \langle x_2, y_2 \rangle$$

$$= \langle x_1 + x_2, y_1 \rangle + \langle x_1 - x_2, y_2 \rangle \le |x_1 + x_2||y_1| + |x_1 - x_2||y_2|$$

$$\le |x_1 + x_2| + |x_1 - x_2|.$$

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•
$$(|x_1 + x_2| + |x_1 - x_2|)^2 \le 4(|x_1|^2 + |x_2|^2)$$

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- $(|x_1 + x_2| + |x_1 x_2|)^2 \le 4(|x_1|^2 + |x_2|^2)$
- Tr $(AM) \le |x_1 + x_2| + |x_1 x_2| \le 2\sqrt{|x_1|^2 + |x_2|^2} \le 2\sqrt{2}$.

- $\max\{\text{Tr}(AM) | A \in LC_{2,2}\} = 2$
- Recall $QC_{2,2} = \{\langle x_i, y_j \rangle \mid x_1, x_2, y_1, y_2 \in \mathbb{R}^2, |x_i|, |y_j| le1 \}$
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- $(|x_1 + x_2| + |x_1 x_2|)^2 \le 4(|x_1|^2 + |x_2|^2)$
- Tr $(AM) \le |x_1 + x_2| + |x_1 x_2| \le 2\sqrt{|x_1|^2 + |x_2|^2} \le 2\sqrt{2}$.
- Bound is achieved by $A=\frac{1}{\sqrt{2}}\begin{pmatrix}1&1\\1&1\end{pmatrix}$, induced by the vectors $x_1=x_2=\frac{1}{\sqrt{2}}(1,1)$ and $y_1=y_2=(1,0)$



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Theorem (Grothendieck-Tsirelson)

There exists an absolute constant $K \ge 1$ such that, for any positive integers m, n, the following three equivalent conditions hold:

(1) We have the inclusion

$$QC_{m,n} \subset KLC_{m,n}.$$
 (2)

(2) For any $M \in \mathbb{R}^{m \times n}$ and for any ρ, X_i, Y_j verifying the conditions of Definition 4.2.1 we have

$$\sum_{i,j} M_{ij} \operatorname{Tr} \rho(X_i \otimes Y_j) \le K \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \sum_{i,j} M_{ij} \xi_i \eta_j \qquad (3)$$

$$\Leftrightarrow$$

$$\operatorname{Tr} MA^{\top} \le \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \operatorname{Tr} M(\xi \eta^{\top})^{\top}.$$
 (4)

(3) For any $M \in \mathbb{R}^{m \times n}$ and for any (real) Hilbert space vectors x_i, y_j with $|x_i| \leq 1$, $|y_j| \leq 1$ we have

$$\sum_{i,j} M_{i,j} \langle x_i, y_j \rangle \le K \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \operatorname{Tr} \xi^\top M \eta.$$
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Let $x, y \in S^{d-1}$. Let $r \in S^{d-1}$ be a random unit vector chosen from O(d)-invariant probability distribution on the unit sphere. Then

- i, $\mathbb{P}[\operatorname{sign}(\langle x, r \rangle) \neq \operatorname{sign}(\langle y, r \rangle)] = \frac{\operatorname{arccos}(\langle x, y \rangle)}{\pi}$
- ii, $\mathbb{E}[\operatorname{sign}(\langle x, r \rangle) \operatorname{sign}(\langle y, r \rangle)] = \frac{2}{\pi} \arcsin(\langle x, y \rangle)$.

Proof.

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- If x and y are linearly dependent, then
 - if x = y: $arccos(\langle x, y \rangle) = arccos(1) = 0$.

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 - if x = -y: $arccos(\langle x, y \rangle) = arccos(-1) = \pi$.

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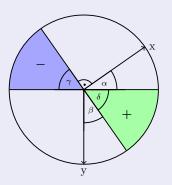
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 - if x = y: $arccos(\langle x, y \rangle) = arccos(1) = 0$.
 - if x = -y: $arccos(\langle x, y \rangle) = arccos(-1) = \pi$.
- If x and y are linearly independent, then
 - project r orthogonally on span $\{x,y\}$ which gives us a vector s with $\langle x,r\rangle=\langle x,s\rangle$ and $\langle y,r\rangle=\langle y,s\rangle$.

Let $x, y \in S^{d-1}$. Let $r \in S^{d-1}$ be a random unit vector chosen from O(d)-invariant probability distribution on the unit sphere. Then

- i, $\mathbb{P}[\operatorname{sign}(\langle x, r \rangle) \neq \operatorname{sign}(\langle y, r \rangle)] = \frac{\operatorname{arccos}(\langle x, y \rangle)}{r}$
- ii, $\mathbb{E}[\operatorname{sign}(\langle x, r \rangle) \operatorname{sign}(\langle y, r \rangle)] = \frac{2}{\pi} \arcsin(\langle x, y \rangle)$.

- If x and y are linearly dependent, then
 - if x = y: $arccos(\langle x, y \rangle) = arccos(1) = 0$.
 - if x = -y: $arccos(\langle x, y \rangle) = arccos(-1) = \pi$.
- If x and y are linearly independent, then
 - project r orthogonally on span $\{x, y\}$ which gives us a vector s with $\langle x, r \rangle = \langle x, s \rangle$ and $\langle y, r \rangle = \langle y, s \rangle$,
 - be the normalized vector n := s/|s| is uniformly distributed on the intersection of the unit sphere and span $\{x,y\}$ by the O(d)-invariance of the probability distribution.

Calculation of the probability that the signs of the scalar products $\langle x, n \rangle$ and $\langle y, n \rangle$ are unlike:



$$\mathbb{P}[\mathsf{sign}(\langle x, \textit{n} \rangle) \neq \mathsf{sign}(\langle y, \textit{n} \rangle)] = 2 \frac{\mathsf{arccos}(\langle x, y \rangle)}{2\pi} = \frac{\mathsf{arccos}(\langle x, y \rangle)}{\pi}$$

We conclude with the proof of the second part of Lemma 5:

$$\begin{split} \mathbb{E}[\mathsf{sign}(\langle x, r \rangle) \, \mathsf{sign}(\langle y, r \rangle)] \\ &= 1 - 2 \frac{\mathsf{arccos}(\langle x, y \rangle)}{\pi} \\ &= \frac{2}{\pi} \, \mathsf{arcsin}(\langle x, y \rangle), \end{split}$$

because
$$\arcsin(t) + \arccos(t) = \pi/2$$
.



Let $x_1,\ldots,x_m,y_1,\ldots,y_n\in S^{m+n-1}$ be given. Furthermore, let $r\in S^{n+m-1}$ be a random unit vector chosen form the O(n+m-1)-invariant probability distribution on the unit sphere. Then there are $x_1',\ldots,x_m',y_1',\ldots,y_n'\in S^{m+n-1}$ so that

$$\mathbb{E}[\operatorname{sign}(\langle x_i', r \rangle) \operatorname{sign}(\langle y_j', r \rangle)] = \beta \langle x_i, y_j \rangle, \tag{6}$$

with
$$\beta = \frac{2}{\pi} \ln(1 + \sqrt{2})$$
.

Definition (The k-th tensor product)

The k-th tensor product of \mathbb{R}^n with orthonormal basis e_1, \ldots, e_n is denoted by $(\mathbb{R}^n)^{\otimes k}$ and it is a Euclidean vector space of dimension n^k with othonormal basis $e_{i_k} \otimes \cdots \otimes e_{i_k}$, $i_l \in \{1, \ldots, n\}$. In particular

$$\langle e_{i_1} \otimes \cdots \otimes e_{i_k}, e_{j_1} \otimes \cdots \otimes e_{j_k} \rangle = \prod_{l=1}^{K} \langle e_{i_l}, e_{j_l} \rangle$$

$$= \begin{cases} 1 & , \text{ if } i_l = j_l \text{ for all } l = 1, \dots, n, \\ 0 & , \text{ otherwise,} \end{cases}$$
(7)

and for $v \in \mathbb{R}^n$ with $v = v_1 e_1 + \dots + v_n e_n$ we define $v^{\otimes k} \in (\mathbb{R}^n)^{\otimes k}$ by

$$v^{\otimes k} := (v_1 e_1 + \dots + v_n e_n) \otimes \dots \otimes (v_1 e_1 + \dots + v_n e_n)$$

$$= \sum_{i_1, \dots, i_k} v_{i_1} \cdots v_{i_k} e_{i_1} \otimes \dots \otimes e_{i_k}.$$
(8)

Thus, for $v, w \in \mathbb{R}^n$

$$\langle v^{\otimes k}, w^{\otimes k} \rangle = \langle v, w \rangle^k. \tag{9}$$

Let $x_1,\ldots,x_m,y_1,\ldots,y_n\in S^{m+n-1}$ be given. Furthermore, let $r\in S^{n+m-1}$ be a random unit vector chosen form the O(n+m-1)-invariant probability distribution on the unit sphere. Then there are $x_1',\ldots,x_m',y_1',\ldots,y_n'\in S^{m+n-1}$ so that

$$\mathbb{E}[\operatorname{sign}(\langle x_i', r \rangle) \operatorname{sign}(\langle y_j', r \rangle)] = \beta \langle x_i, y_j \rangle, \tag{10}$$

with $\beta = \frac{2}{\pi} \ln(1 + \sqrt{2})$.

Proof of Krivine's trick.

• Define $E: [-1, +1] \to [-1, +1]$ by $E(t) = \frac{2}{\pi} \arcsin(t)$.

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Proof of Krivine's trick.

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- $E(\langle x_i', y_j' \rangle) = \mathbb{E}[\operatorname{sign}(\langle x_i', r \rangle) \operatorname{sign}(\langle y_j', r \rangle)] \stackrel{!}{=} \beta \langle x_i, y_j \rangle$ by Grothendieck's identity.

Let $x_1,\ldots,x_m,y_1,\ldots,y_n\in S^{m+n-1}$ be given. Furthermore, let $r\in S^{n+m-1}$ be a random unit vector chosen form the O(n+m-1)-invariant probability distribution on the unit sphere. Then there are $x_1',\ldots,x_m',y_1',\ldots,y_n'\in S^{m+n-1}$ so that

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- Idea: To find β, x'_i, y'_i invert E:

$$E^{-1}(t) = \sin(\pi/2 \cdot t) = \sum_{k=0}^{\infty} \underbrace{\frac{(-1)^{2k+1}}{(2k+1)!} \left(\frac{\pi}{2}\right)^{2k+1}}_{\text{--gard}} t^{2k+1},$$

• Define the infinite-dimensional Hilbert space

$$H = \bigoplus_{n=0}^{\infty} (\mathbb{R}^{m+n})^{\otimes 2k+1}.$$
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• Define $\tilde{x}_i, \tilde{y}_i \in H$, i = 1, ..., m, j = 1, ..., n componentwise:

$$(\tilde{x}_i)_k = \operatorname{sign}(g_{2k+1}) \sqrt{|g_{2k+1}|\beta^{2k+1}} x_i^{\otimes 2k+1}$$
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Then

$$\begin{split} \langle \tilde{x}_i, \tilde{y}_j \rangle &= \sum_{k=0}^{\infty} g_{2k+1} \beta^{2k+1} \langle x_i^{\otimes 2k+1}, y_j^{\otimes 2k+1} \rangle \\ &= \sum_{k=0}^{\infty} g_{2k+1} \beta^{2k+1} \langle x_i, y_j \rangle^{2k+1} \\ &= E^{-1} (\beta \langle x_i, y_i \rangle). \end{split}$$

• Hence, β is defined by the condition that the vectors $\tilde{x}_1, \ldots, \tilde{x}_m, \tilde{y}_1, \ldots, \tilde{y}_n$ are unit vectors:

$$1 = \langle \tilde{x}_i, \tilde{x}_i \rangle = \langle \tilde{y}_j, \tilde{y}_j \rangle = \sum_{k=0}^{\infty} \frac{1}{(2k+1)!} \left(\frac{\pi}{2}\right)^{2k+1} \beta^{2k+1} = \sinh(\frac{\pi}{2}\beta)$$

$$\Leftrightarrow \qquad \beta = \frac{2}{\pi} \operatorname{arcsinh}(1) = \frac{2}{\pi} \ln(1+\sqrt{2})$$

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- Problem: $\tilde{x}_1, \dots, \tilde{x}_m, \tilde{y}_1, \dots, \tilde{y}_n$ are infinite-dimensional
- ullet Solution: the positive definite and symmetric Gram matrix G

$$G = \begin{pmatrix} \langle \tilde{x}_{1}, \tilde{x}_{1} \rangle & \cdots & \langle \tilde{x}_{1}, \tilde{x}_{m} \rangle & \langle \tilde{x}_{1}, \tilde{y}_{1} \rangle & \cdots & \langle \tilde{x}_{1}, \tilde{y}_{n} \rangle \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \langle \tilde{x}_{m}, \tilde{x}_{1} \rangle & \cdots & \langle \tilde{x}_{m}, \tilde{x}_{m} \rangle & \langle \tilde{x}_{m}, \tilde{y}_{1} \rangle & \cdots & \langle \tilde{x}_{m}, \tilde{y}_{n} \rangle \\ \langle \tilde{y}_{1}, \tilde{x}_{1} \rangle & \cdots & \langle \tilde{y}_{1}, \tilde{x}_{m} \rangle & \langle \tilde{y}_{1}, \tilde{y}_{1} \rangle & \cdots & \langle \tilde{y}_{1}, \tilde{y}_{n} \rangle \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \langle \tilde{y}_{n}, \tilde{x}_{1} \rangle & \cdots & \langle \tilde{y}_{n}, \tilde{x}_{m} \rangle & \langle \tilde{y}_{n}, \tilde{y}_{1} \rangle & \cdots & \langle \tilde{y}_{n}, \tilde{y}_{n} \rangle \end{pmatrix}$$

$$(14)$$

• Due to the properties of G we can decompose G via a real orthogonal matrix Q with columns that are the eigenvectors of G and a real diagonal matrix Λ having the eigenvalues of G on the diagonal, thus

$$G = Q\Lambda Q^{\top} = \underbrace{(Q\Lambda^{1/2})^{\top}(Q\Lambda^{1/2})}_{=:A}.$$
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 (15)

• The columns of A are the vectors $x_1', \ldots, x_m', y_1', \ldots, y_n' \in S^{m+n-1}$ we are looking for.

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Definition

For $M \in \mathbb{R}^{m \times n}$ define the quadratic program

$$||M||_{\infty \to 1} = \max \left\{ \sum_{i=1}^{m} \sum_{j=1}^{n} M_{ij} \xi_{i} \eta_{j} : \xi_{i}^{2} = 1, i = 1, \dots, m, \eta_{j}^{2} = 1, j = 1, \dots, n \right\}$$

$$= \max \left\{ \operatorname{Tr} M \eta \xi^{\top} : \xi \in \{-1, 1\}^{m}, \eta \in \{-1, 1\}^{n} \right\}. \tag{16}$$

Definition

The SDP relaxation of $||M||_{\infty \to 1}$ is given via:

$$\mathsf{sdp}_{\infty o 1}(M) = \max \sum_{i=1}^m \sum_{j=1}^n M_{ij} \langle x_i, y_j \rangle$$
 $x_i, y_j \in \mathbb{R}^{m+n}$ $|x_i| = 1, i = 1, \dots, m$ $|y_i| = 1, j = 1, \dots, n$

Theorem (Grothendieck's inequality)

There exists a constant K such that for all $M \in \mathbb{R}^{m \times n}$:

$$||M||_{\infty \to 1} \le \operatorname{sdp}_{\infty \to 1}(M) \le K||M||_{\infty \to 1}. \tag{17}$$

Proof.

Use the following approximation algorithm with randomized rounding:

Algorithm 1: Approximation algorithm with randomized rounding for $\|M\|_{\infty \to 1}$

- 1. Solve $\operatorname{sdp}_{\infty \to 1}(M)$. Let $x_1, \dots, x_m, y_1, \dots, y_n \in S^{m+n-1}$ be the optimal unit vectors.
- 2. Apply Krivine's trick (Lemma 8) and use vectors x_i, y_j to create new unit vectors $x_1', \ldots, x_m', y_1', \ldots, y_n' \in S^{m+n-1}$.
- 3. Choose $r \in S^{m+n-1}$ randomly.
- 4. Round: $\xi_i = \operatorname{sign}(\langle x_i', r \rangle)$ $\eta_i = \operatorname{sign}(\langle y_i', r \rangle)$

Expected quality of the outcome:

$$\begin{split} \|M\|_{\infty \to 1} &\geq \mathbb{E}\left[\sum_{i=1}^{m} \sum_{j=1}^{n} M_{ij} \xi_{i} \eta_{j}\right] \\ &= \sum_{i=1}^{m} \sum_{j=1}^{n} M_{ij} \mathbb{E}[\operatorname{sign}(\langle x_{i}', r \rangle) \operatorname{sign}(\langle y_{j}', r \rangle)] \\ &= \sum_{i=1}^{m} \sum_{j=1}^{n} M_{ij} \beta \langle x_{i}, y_{j} \rangle \\ &= \beta \operatorname{sdp}_{\infty \to 1}(M), \end{split}$$

where the last equality follows by Krivine's trick with $\beta=\frac{2\ln(1+\sqrt{2})}{\pi}$, thus $K<\beta^{-1}$.

- Local and quantum correlation matrices
 - Local correlation matrices
 - Quantum correlation matrices
 - The relations between quantum correlation and local correlation matrices

- Grothendieck-Tsirelson Theorem
 - Motivation: The Grothendieck-Tsirelson Theorem
 - Grothendieck's Inequality
 - Tsirelson's Theorem
 - Gorthendieck-Tsirelson Theorem

Theorem (Tsirelson)

(Hard direction) For all positive integers n, r and any x_1, \ldots, x_n , $y_1, \ldots, y_n \in S^{r-1}$, there exists a positive integer d := d(r), a state $|\psi\rangle \in \mathbb{C}^d \otimes \mathbb{C}^d$ and $\{-1,1\}$ -observables $F_1, \ldots, F_n, G_1, \ldots, G_n \in O(\mathbb{C}^d)$, such that for every $i,j \in \{1,\ldots,n\}$, we have

$$\langle \psi | F_i \otimes G_j | \psi \rangle = \langle x_i, y_j \rangle.$$
 (18)

Moreover, $d \leq 2^{\lceil r/2 \rceil}$.

(Easy direction) Conversely, for all positive integers n, d, state $|\psi\rangle \in \mathbb{C}^d \otimes \mathbb{C}^d$ and $\{-1, 1\}$ -observables $F_1, \ldots, F_n, G_1, \ldots, G_n \in O(\mathbb{C}^d)$, there exist a positive integer r := r(d) and $x_1, \ldots, x_n, y_1, \ldots, y_n \in S^{r-1}$ such that for every $i, j \in \{1, \ldots, n\}$, we have

$$\langle x_i, y_j \rangle = \langle \psi | F_i \otimes G_j | \psi \rangle.$$
 (19)

Moreover, $r < 2d^2$.



Since

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Theorem (Grothendieck-Tsirelson)

There exists an absolute constant $K \geq 1$ such that, for any positive integers m, n, the following three equivalent conditions hold:

(1) We have the inclusion

$$QC_{m,n} \subset KLC_{m,n}. \tag{20}$$

(2) For any $M \in \mathbb{R}^{m \times n}$ and for any ρ, X_i, Y_i verifying the conditions of Definition 4.2.1 we have

$$\sum_{i,j} M_{ij} \operatorname{Tr} \rho(X_i \otimes Y_j) \le K \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \sum_{i,j} M_{ij} \xi_i \eta_j$$
 (21)

$$\Leftrightarrow$$

$$\operatorname{Tr} MA^{\top} \leq \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \operatorname{Tr} M(\xi \eta^{\top})^{\top}.$$
 (22)

(3) For any $M \in \mathbb{R}^{m \times n}$ and for any (real) Hilbert space vectors x_i , y_i with $|x_i| \leq 1$, $|y_i| \leq 1$ we have

$$\sum_{i:i} M_{ij} \langle x_i, y_j \rangle \le K \max_{\xi \in \{-1, 1\}^m, \eta \in \{-1, 1\}^n} \operatorname{Tr} \xi^\top M \eta. \tag{23}$$

Proof.

Since (23) is a direct consequence of Grothendieck's inequality the only thing left to prove is the equivalence between (1)-(3). The equivalence of (3) and (2) (the Tsirelson's bound) is a consequence of either the proof of Lemma ?? or Tsirelsons Theorem (Theorem 1).

