

Ungleichungen und ähnlich verwirrende Konzepte

Coffee

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1 Grothendieck-Tsirelson Theorem

- Motivation: The Grothendieck-Tsirelson Theorem
- Grothendieck's Inequality
- Tsirelson's Theorem
- Gorthendieck-Tsirelson Theorem

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Theorem (Grothendieck-Tsirelson)

There exists an absolute constant $K \geq 1$ such that, for any positive integers m, n , the following three equivalent conditions hold:

(1) We have the inclusion

$$\text{QC}_{m,n} \subset K \text{LC}_{m,n}. \quad (1)$$

(2) For any $M \in \mathbb{R}^{m \times n}$ and for any ρ, X_i, Y_j verifying the conditions of Definition 4.2.1 we have

$$\sum_{i,j} M_{ij} \text{Tr} \rho(X_i \otimes Y_j) \leq K \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \sum_{i,j} M_{ij} \xi_i \eta_j \quad (2)$$

$$\Leftrightarrow \text{Tr} M A^\top \leq \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \text{Tr} M (\xi \eta^\top)^\top. \quad (3)$$

(3) For any $M \in \mathbb{R}^{m \times n}$ and for any (real) Hilbert space vectors x_i, y_j with $|x_i| \leq 1, |y_j| \leq 1$ we have

$$\sum_{i,j} M_{i,j} \langle x_i, y_j \rangle \leq K \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \text{Tr} \xi^\top M \eta. \quad (4)$$

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- **Grothendieck's Inequality**
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Lemma (Grothendieck's identity)

Let $x, y \in \mathbb{R}^d$ be unit vectors. Let $r \in \mathbb{R}^d$ be a random unit vector chosen from $O(d)$ -invariant probability distribution on the unit sphere. Then

- i, $\mathbb{P}[\text{sign}(\langle x, r \rangle) \neq \text{sign}(\langle y, r \rangle)] = \frac{\arccos(\langle x, y \rangle)}{\pi}$
- ii, $\mathbb{E}[\text{sign}(\langle x, r \rangle) \text{sign}(\langle y, r \rangle)] = \frac{2}{\pi} \arcsin(\langle x, y \rangle)$.

Proof.

- if x and y are linearly dependent, then

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- if x and y are linearly independent, then
 - ▶ project r orthogonally on $\text{span}\{x, y\}$ which gives us a vector s with $\langle x, r \rangle = \langle x, s \rangle$ and $\langle y, r \rangle = \langle y, s \rangle$

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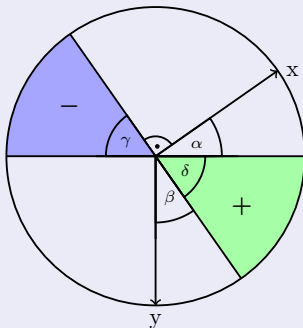
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 - ▶ the normalized vector $n := s/\|s\|$ is uniformly distributed on the intersection of the unit sphere and $\text{span}\{x, y\}$ by the $O(d)$ -invariance of the probability distribution

Proof (cont.).

Calculation of the probability that the signs of the scalar products $\langle x, n \rangle$ and $\langle y, n \rangle$ are unlike:



$$\mathbb{P}[\text{sign}(\langle x, n \rangle) \neq \text{sign}(\langle y, n \rangle)] = 2 \frac{\frac{\pi}{2} + \alpha}{2\pi} = \frac{\arccos(\langle x, y \rangle)}{\pi}$$

Proof (cont.).

We conclude with the proof of the second part of Lemma 1:

$$\begin{aligned}\mathbb{E}[\text{sign}(\langle x, r \rangle) \text{sign}(\langle y, r \rangle)] &= 1 \cdot \mathbb{P}[\text{sign}(\langle x, r \rangle) = \text{sign}(\langle y, r \rangle)] - 1 \cdot \mathbb{P}[\text{sign}(\langle x, r \rangle) \neq \text{sign}(\langle y, r \rangle)] \\ &= 1 - 2\mathbb{P}[\text{sign}(\langle x, r \rangle) \neq \text{sign}(\langle y, r \rangle)] \\ &= 1 - 2 \frac{\arccos(\langle x, y \rangle)}{\pi} \\ &= \frac{2}{\pi} \arcsin(\langle x, y \rangle),\end{aligned}$$

because $\arcsin(t) + \arccos(t) = \pi/2$. □

Lemma (Krivine's trick)

Let $x_1, \dots, x_m, y_1, \dots, y_n \in S^{m+n-1}$ be given. Furthermore, let $r \in \mathbb{R}^d$ be a random unit vector chosen from the $O(d)$ -invariant probability distribution on the unit sphere. Then there are $x'_1, \dots, x'_m, y'_1, \dots, y'_n \in S^{m+n-1}$ so that

$$\mathbb{E}[\text{sign}(\langle x'_i, r \rangle) \text{sign}(\langle y'_j, r \rangle)] = \beta \langle x_i, y_j \rangle, \quad (5)$$

with $\beta = \frac{2}{\pi} \ln(1 + \sqrt{2})$.

Definition (The k -th tensor product)

The k -th tensor product of \mathbb{R}^n with orthonormal basis e_1, \dots, e_n is denoted by $(\mathbb{R}^n)^{\otimes k}$ and it is a Euclidean vector space of dimension n^k with orthonormal basis $e_{i_1} \otimes \dots \otimes e_{i_k}$, $i_j \in \{1, \dots, n\}$. In particular

$$\begin{aligned} \langle e_{i_1} \otimes \dots \otimes e_{i_k}, e_{j_1} \otimes \dots \otimes e_{j_k} \rangle &= \prod_{l=1}^k \langle e_{i_l}, e_{j_l} \rangle \\ &= \begin{cases} 1 & , \text{ if } i_l = j_l \text{ for all } l = 1, \dots, k, \\ 0 & , \text{ otherwise,} \end{cases} \end{aligned} \quad (6)$$

and for $v \in \mathbb{R}^n$ with $v = v_1 e_1 + \dots + v_n e_n$ we define $v^{\otimes k} \in (\mathbb{R}^n)^{\otimes k}$ by

$$\begin{aligned} v^{\otimes k} &:= (v_1 e_1 + \dots + v_n e_n) \otimes \dots \otimes (v_1 e_1 + \dots + v_n e_n) \\ &= \sum_{i_1, \dots, i_k} v_{i_1} \dots v_{i_k} e_{i_1} \otimes \dots \otimes e_{i_k}. \end{aligned} \quad (7)$$

Thus, for $v, w \in \mathbb{R}^n$

$$\langle v^{\otimes k}, w^{\otimes k} \rangle = \langle v, w \rangle^k. \quad (8)$$

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- idea: To find β, x'_i, y'_j invert E :

$$E^{-1}(t) = \sin(\pi/2 \cdot t) = \sum_{k=0}^{\infty} \underbrace{\frac{(-1)^{2k+1}}{(2k+1)!} \left(\frac{\pi}{2}\right)^{2k+1}}_{=: g_{2k+1}} t^{2k+1}$$

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- define the infinite-dimensional Hilbert space

$$H = \bigoplus_{r=0}^{\infty} (\mathbb{R}^{m+n})^{\otimes 2k+1}. \quad (9)$$

Proof (cont.).

- define $\tilde{x}_i, \tilde{y}_j \in H$, $i = 1, \dots, m, j = 1, \dots, n$ componentwise:

$$(\tilde{x}_i)_k = \text{sign}(g_{2k+1}) \sqrt{|g_{2k+1}| \beta^{2k+1}} x_i^{\otimes 2k+1} \quad (10)$$

$$(\tilde{y}_j)_k = \sqrt{|g_{2k+1}| \beta^{2k+1}} y_j^{\otimes 2k+1} \quad (11)$$

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- then

$$\begin{aligned} \langle \tilde{x}_i, \tilde{y}_j \rangle &= \sum_{k=0}^{\infty} g_{2k+1} \beta^{2k+1} \langle x_i^{\otimes 2k+1}, y_j^{\otimes 2k+1} \rangle \\ &= \sum_{k=0}^{\infty} g_{2k+1} \beta^{2k+1} \langle x_i, y_j \rangle^{2k+1} \\ &= E^{-1}(\beta \langle x_i, y_j \rangle). \end{aligned}$$

Proof (cont.).

- hence, β is defined by the condition that the vectors $\tilde{x}_i, \dots, \tilde{x}_m, \tilde{y}_1, \dots, \tilde{y}_n$ are unit vectors:

$$1 = \langle \tilde{x}_i, \tilde{x}_i \rangle = \langle \tilde{y}_j, \tilde{y}_j \rangle = \sum_{k=0}^{\infty} \frac{1}{(2k+1)!} \left(\frac{\pi}{2}\right)^{2k+1} \beta^{2k+1} = \sinh\left(\frac{\pi}{2}\beta\right)$$
$$\Leftrightarrow \quad \beta = \frac{2}{\pi} \operatorname{arcsinh}(1) = \frac{2}{\pi} \ln(1 + \sqrt{2})$$

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- problem: $\tilde{x}_1, \dots, \tilde{x}_m, \tilde{y}_1, \dots, \tilde{y}_n$ are infinite-dimensional
- solution: the positive definite and symmetric Gram matrix G

$$G = \begin{pmatrix} \langle \tilde{x}_1, \tilde{x}_1 \rangle & \cdots & \langle \tilde{x}_1, \tilde{x}_m \rangle & \langle \tilde{x}_1, \tilde{y}_1 \rangle & \cdots & \langle \tilde{x}_1, \tilde{y}_n \rangle \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \langle \tilde{x}_m, \tilde{x}_1 \rangle & \cdots & \langle \tilde{x}_m, \tilde{x}_m \rangle & \langle \tilde{x}_m, \tilde{y}_1 \rangle & \cdots & \langle \tilde{x}_m, \tilde{y}_n \rangle \\ \langle \tilde{y}_1, \tilde{x}_1 \rangle & \cdots & \langle \tilde{y}_1, \tilde{x}_m \rangle & \langle \tilde{y}_1, \tilde{y}_1 \rangle & \cdots & \langle \tilde{y}_1, \tilde{y}_n \rangle \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \langle \tilde{y}_n, \tilde{x}_1 \rangle & \cdots & \langle \tilde{y}_n, \tilde{x}_m \rangle & \langle \tilde{y}_n, \tilde{y}_1 \rangle & \cdots & \langle \tilde{y}_n, \tilde{y}_n \rangle \end{pmatrix} \quad (12)$$

Proof (cont.).

- due to the properties of G we can decompose G via a real orthogonal matrix Q with columns that are the eigenvectors of G and a real diagonal matrix Λ having the eigenvalues of G on the diagonal, thus

$$G = Q\Lambda Q^T = \underbrace{(Q\Lambda^{1/2})^T}_{=:A} (Q\Lambda^{1/2}) \quad (13)$$



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- the columns of A are the vectors $x'_1, \dots, x'_m, y'_1, \dots, y'_n \in S^{m+n-1}$ we are looking for



Definition

For $M \in \mathbb{R}^{m \times n}$ define the quadratic program

$$\begin{aligned}\|M\|_{\infty \rightarrow 1} &= \max \left\{ \sum_{i=1}^m \sum_{j=1}^n M_{ij} \xi_i \eta_j : \xi_i^2 = 1, i = 1, \dots, m, \eta_j^2 = 1, j = 1, \dots, n \right\} \\ &= \max \{ \text{Tr } M \eta \xi^\top : \xi \in \{-1, 1\}^m, \eta \in \{-1, 1\}^n \}.\end{aligned}\quad (14)$$

Definition

The SDP relaxation of $\|M\|_{\infty \rightarrow 1}$ is given via:

$$\begin{aligned}\text{sdp}_{\infty \rightarrow 1}(M) &= \max \sum_{i=1}^m \sum_{j=1}^n M_{ij} \langle x_i, y_j \rangle \\ &\quad x_i, y_j \in \mathbb{R}^{m+n} \\ &\quad \|x_i\| = 1, i = 1, \dots, m \\ &\quad \|y_j\| = 1, j = 1, \dots, n\end{aligned}$$

Theorem (Grothendieck's inequality)

There exists a constant K such that for all $M \in \mathbb{R}^{m \times n}$:

$$\|M\|_{\infty \rightarrow 1} \leq \text{sdp}_{\infty \rightarrow 1}(M) \leq K \|M\|_{\infty \rightarrow 1}. \quad (15)$$

Proof.

Use the following approximation algorithm with randomized rounding:

Algorithm 1: Approximation algorithm with randomized rounding for $\|M\|_{\infty \rightarrow 1}$

1. Solve $\text{sdp}_{\infty \rightarrow 1}(M)$. Let $x_1, \dots, x_m, y_1, \dots, y_n \in S^{m+n-1}$ be the optimal unit vectors
2. Apply Krivine's trick (Lemma 2) and use vectors x_i, y_j to create new unit vectors $x'_1, \dots, x'_m, y'_1, \dots, y'_n \in S^{m+n-1}$.
3. Choose $r \in S^{m+n-1}$ randomly
4. Round: $u_i = \text{sign}(\langle x'_i, r \rangle)$
 $v_j = \text{sign}(\langle y'_j, r \rangle)$

Proof (cont.).

Expected quality of the outcome:

$$\begin{aligned}\|M\|_{\infty \rightarrow 1} &\geq \mathbb{E} \left[\sum_{i=1}^m \sum_{j=1}^n M_{ij} u_i v_j \right] \\ &= \sum_{i=1}^m \sum_{j=1}^n M_{ij} \mathbb{E}[\text{sign}(\langle x'_i, r \rangle) \text{sign}(\langle y'_j, r \rangle)] \\ &= \sum_{i=1}^m \sum_{j=1}^n M_{ij} \beta \langle x_i, y_j \rangle \\ &= \beta \text{sdp}_{\infty \rightarrow 1}(M),\end{aligned}$$

where the last equality follows by Krivine's trick with $\beta = \frac{2 \ln(1+\sqrt{2})}{\pi}$, thus $K \leq \beta^{-1}$.

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Theorem (Tsirelson)

(Hard direction) For all positive integers n, r and any $x_1, \dots, x_n, y_1, \dots, y_n \in S^r$, there exists a positive integer $d := d(r)$, a state $|\psi\rangle \in \mathbb{C}^d \otimes \mathbb{C}^d$ and $\{-1, 1\}$ -observables $F_1, \dots, F_n, G_1, \dots, G_n \in O(\mathbb{C}^d)$, such that for every $i, j \in \{1, \dots, n\}$, we have

$$\langle \psi | F_i \otimes G_j | \psi \rangle = \langle x_i, y_j \rangle. \quad (16)$$

Moreover, $d \leq 2^{\lceil r/2 \rceil}$.

(Easy direction) Conversely, for all positive integers n, d , state $|\psi\rangle \in \mathbb{C}^d \otimes \mathbb{C}^d$ and $\{-1, 1\}$ -observables $F_1, \dots, F_n, G_1, \dots, G_n \in O(\mathbb{C}^d)$, there exist a positive integer $r := r(d)$ and $x_1, \dots, x_n, y_1, \dots, y_n \in S^r$ such that for every $i, j \in \{1, \dots, n\}$, we have

$$\langle x_i, y_j \rangle = \langle \psi | F_i \otimes G_j | \psi \rangle. \quad (17)$$

Moreover, $r \leq 2d^2$.

Since

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Theorem (Grothendieck-Tsirelson)

There exists an absolute constant $K \geq 1$ such that, for any positive integers m, n , the following three equivalent conditions hold:

(1) We have the inclusion

$$\text{QC}_{m,n} \subset \text{KLC}_{m,n}. \quad (18)$$

(2) For any $M \in \mathbb{R}^{m \times n}$ and for any ρ, X_i, Y_j verifying the conditions of Definition 4.2.1 we have

$$\sum_{i,j} M_{ij} \text{Tr } \rho(X_i \otimes Y_j) \leq K \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \sum_{i,j} M_{ij} \xi_i \eta_j \quad (19)$$

$$\Leftrightarrow \text{Tr } M A^\top \leq \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \text{Tr } M (\xi \eta^\top)^\top. \quad (20)$$

(3) For any $M \in \mathbb{R}^{m \times n}$ and for any (real) Hilbert space vectors x_i, y_j with $|x_i| \leq 1, |y_j| \leq 1$ we have

$$\sum_{i,j} M_{i,j} \langle x_i, y_j \rangle \leq K \max_{\xi \in \{-1,1\}^m, \eta \in \{-1,1\}^n} \text{Tr } \xi^\top M \eta. \quad (21)$$

Proof.

Since (21) is a direct consequence of Grothendieck's inequality the only thing left to prove is the equivalence between (1)-(3). The equivalence of (3) and (2) (the Tsirelson's bound) is a consequence of either the proof of Lemma ?? or Tsirelson's Theorem (Theorem 1).

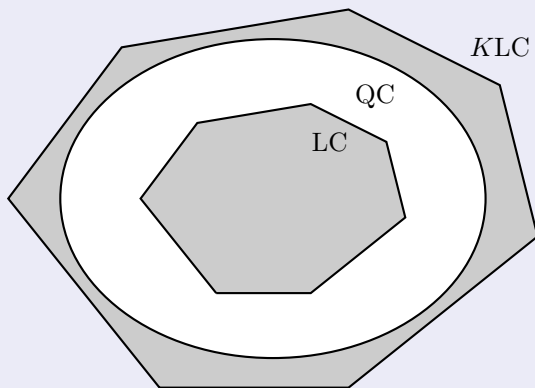


Figure: Visualization