

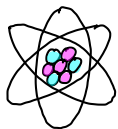
**CPEN 400Q Lecture 14**  
**The variational quantum eigensolver;**  
**introducing QAOA**

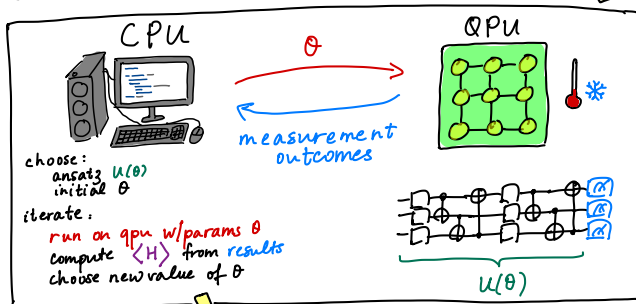
Friday 3 March 2023

# Announcements

- Assignment 2 due 13 March at 23:59
- Updated class schedule:
  - Monday March 6: Zoom (quiz 6 at end)
  - Friday March 10: Zoom
  - Monday March 13: in person *quiz*
  - Friday March 17: pre-recorded “infotainment” lecture about compilation
- Meetings *next week* for project prototypes, on Zoom: choose time on Piazza

# Variational algorithms


$$H = \sum_{pq} h_{pq} a_p^\dagger a_q + \frac{1}{2} \sum_{pqrs} h_{pqrs} a_p^\dagger a_q^\dagger a_r a_s \rightarrow H = \sum_i c_i P_i$$



estimate of relevant  
physical quantity

## Last time

We applied the parameter-shift rule to compute the gradient of a quantum circuit.

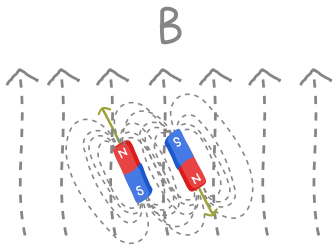
$$\frac{\partial u(\theta)}{\partial \theta_i} = \frac{1}{2} \left[ \text{Circuit with } U(\theta_i + \frac{\pi}{2}) - \text{Circuit with } U(\theta_i - \frac{\pi}{2}) \right]$$

The diagram illustrates the parameter-shift rule for a quantum circuit. It shows the derivative of the expectation value  $u(\theta)$  with respect to a parameter  $\theta_i$  as the difference between two circuit evaluations, scaled by  $\frac{1}{2}$ .

Both circuits start with an initial state  $|0\rangle$  on multiple qubits. The first circuit applies a unitary  $W$ , followed by a unitary  $U(\theta_i + \frac{\pi}{2})$ , then a unitary  $V$ , and finally a measurement. The second circuit is identical except it uses  $U(\theta_i - \frac{\pi}{2})$  instead of  $U(\theta_i + \frac{\pi}{2})$ .

## Last time

We introduced the concept of a Hamiltonian:



$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_{01} = -\alpha Z_0 - \alpha Z_1 + \beta(X_0 X_1 + Y_0 Y_1 + Z_0 Z_1)$$

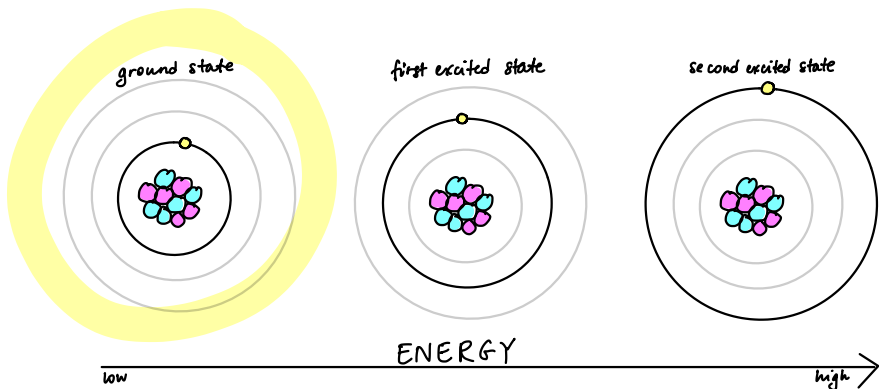
Image credit: Xanadu Quantum Codebook node H.5

- Compute the expectation value of a Hamiltonian in PennyLane
- Describe the variational quantum eigensolver algorithm and apply it to compute the ground state energy of a simple physical system
- Describe the underlying ideas of adiabatic quantum computation, and the quantum approximate optimization algorithm

QAOA

# Energy of a physical system

Determining the energy levels of physical systems is relevant for applications of quantum computing like quantum chemistry.

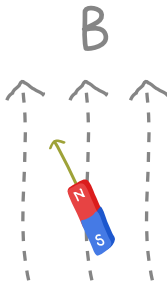


Energy levels allow us to compute useful properties of molecules (e.g., activation energies and reaction rates).

# Hamiltonians

In physics, a special operator called a **Hamiltonian**,  $\hat{H}$ , describes the energy of a system. Hamiltonians are *Hermitian* operators.

Example: a bar magnet in a magnetic field along the  $Z$  direction.

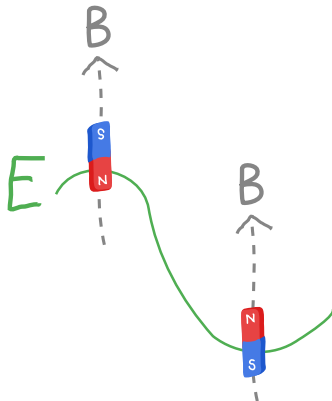


Every orientation of the magnet has an energy associated with it.



# Mathematical description of energy

Bar magnets like to *align* with the external field.



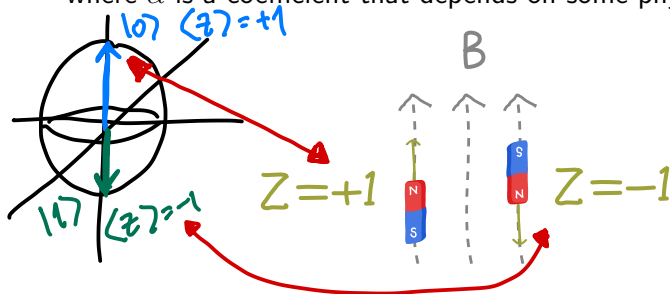
Aligned configuration is the *ground state* (lowest energy state).

# Hamiltonians

There is a *Hamiltonian* that describes the energy of the system:

$$\hat{H} = -\alpha \hat{Z} \quad \langle \hat{H} \rangle = -\alpha \langle \hat{Z} \rangle$$

where  $\alpha$  is a coefficient that depends on some physical values.



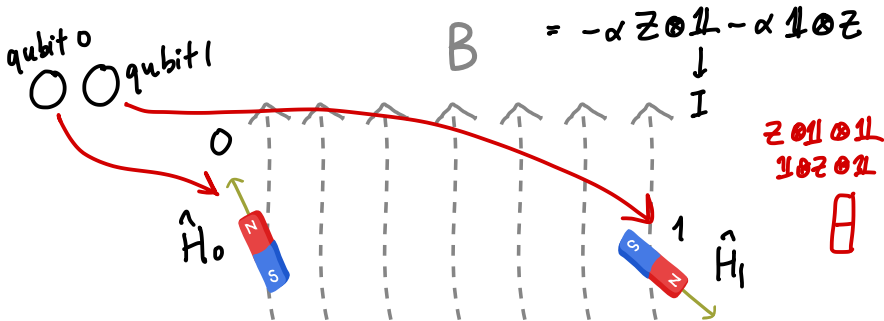
Computing the energy of the system corresponds to *measuring the expectation value of  $\hat{H}$* .

# Hamiltonians

Hamiltonians can be used to describe systems with multiple parts.  
For example, two magnets that are far away have the Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{H}_1 = -\alpha \hat{z}_0 - \alpha \hat{z}_1$$

$$= -\alpha \hat{z} \otimes \mathbb{1} - \alpha \mathbb{1} \otimes \hat{z}$$



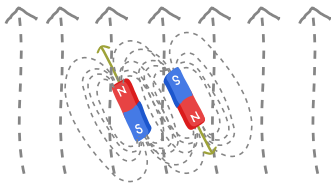
The energy of this system is  $\langle \hat{H} \rangle = -\alpha \langle \hat{z}_0 \rangle - \alpha \langle \hat{z}_1 \rangle$   
ground state:  $-2\alpha$

# Hamiltonians

Two magnets that are close together may have different terms in the Hamiltonian that describe *how they interact*:

$$\hat{H} = \hat{H}_0 + \hat{H}_1 + \hat{H}_{01} = -\alpha z_0 - \alpha z_1 + \beta (x_0 x_1 + y_0 y_1 + z_0 z_1)$$

$\underbrace{\quad\quad\quad}_B \quad \underbrace{x_0 x_1}_{x_0 x_1} \quad + \quad \underbrace{y_0 y_1}_{y_0 y_1}$



# Hamiltonians

More generally, any Hermitian Hamiltonian can be expressed as

$$\hat{H} = \sum_i c_i P_i \quad \begin{array}{l} c_i: \text{real} \\ \text{number} \end{array}$$

*matrix*      *Pauli*

Then, computation of expectation values is linear:

$$\begin{aligned} \langle \hat{H} \rangle &= \langle \Psi | \hat{H} | \Psi \rangle \\ &= \langle \Psi | \left( \sum_i c_i P_i \right) | \Psi \rangle \\ &= \sum_i c_i \underbrace{\langle \Psi | P_i | \Psi \rangle} \\ &= \sum_i c_i \langle P_i \rangle \end{aligned}$$

## Computing the energy of a Hamiltonian

$$\langle Z \rangle: \quad Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \begin{array}{l} \nearrow |0\rangle \leftrightarrow +1 \\ \searrow |1\rangle \leftrightarrow -1 \end{array}$$

Given an arbitrary  $\hat{H}$ , how can we compute its ground state energy?

# Computing the energy of a Hamiltonian

Option: *exact diagonalization* to find its eigenvalues.

$$\hat{H} = \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \xrightarrow{\text{np.linalg.eig}} \{ E_i, |E_i\rangle \}$$

## Computing the energy of a Hamiltonian

Let  $\hat{H}$  be a Hamiltonian and  $|E\rangle$  an eigenstate with energy  $E$ . If

$$U = e^{-i\hat{H}t},$$

$$U|E\rangle = e^{-i\hat{H}t}|E\rangle$$

$$R_Y(\theta) = e^{-i\theta Y}$$

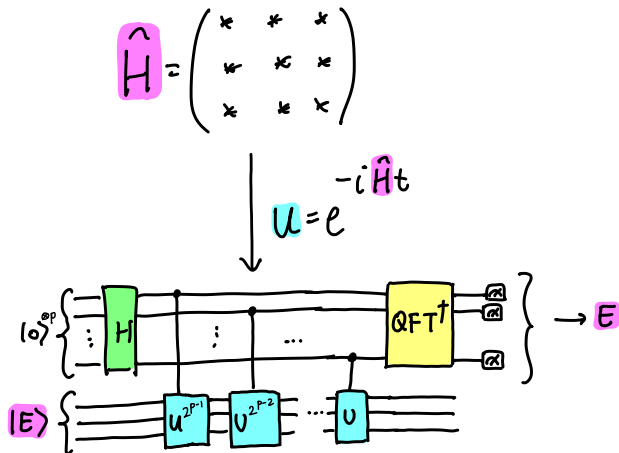
$$\begin{aligned} &= \left( I + (-i\hat{H}t) + \frac{1}{2!}(-i\hat{H}t)^2 + \dots \right) |E\rangle \\ &= \left( |E\rangle + (-it)\hat{H}|E\rangle + \frac{1}{2!}(-it)^2\hat{H}^2|E\rangle + \dots \right) \\ &= \left( |E\rangle + (-it)E|E\rangle + \frac{1}{2!}(-it)^2E^2|E\rangle + \dots \right) \\ &= \left( 1 + (-it)E + \frac{1}{2!}(-it)^2E^2 + \dots \right) |E\rangle \\ &= e^{-iEt}|E\rangle \end{aligned}$$

So  $e^{-iEt}$  is an eigenvalue of  $U$ ... look familiar?



# Computing the energy of a Hamiltonian

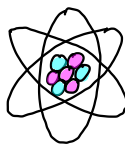
Option: *quantum phase estimation*.

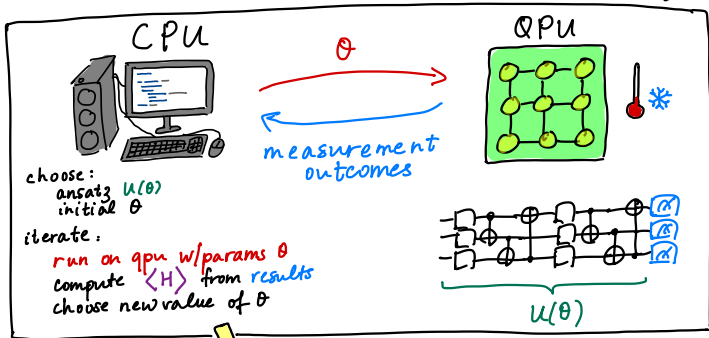


But this is not practical on near-term quantum devices.

# Computing the energy of a Hamiltonian

Option: *variational quantum eigensolver (VQE)*.


$$\rightarrow H = \sum_{pq} h_{pq} a_p^\dagger a_q + \frac{1}{2} \sum_{pqrs} h_{pqrs} a_p^\dagger a_q^\dagger a_r a_s \rightarrow H = \sum_i c_i P_i$$



estimate of relevant  
physical quantity

# Variational quantum eigensolver (VQE)

The VQE is a variational algorithm that works by:

- training a circuit to prepare the ground state of a system
- measuring the expectation value of the Hamiltonian to compute the energy

The optimization works because of the *variational principle*. If  $E_g$  is the ground state energy, then

$$E = \langle \hat{H} \rangle = \langle \Psi | \hat{H} | \Psi \rangle \geq E_g$$

for any other state  $|\psi\rangle$ .

Suppose we want to *learn* the ground state energy of


$$\hat{H} = 0.5Z \otimes Z + 1.5X \otimes X$$

Since this is a small system, we can work it out directly.

$$E_0 = -2, \quad E_1 = -1, \quad E_2 = 1, \quad E_3 = 2$$

$$|E_0\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -1 \\ 1 \\ 0 \end{pmatrix}, \quad |E_1\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \quad |E_2\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -1 \\ -1 \\ 0 \end{pmatrix}, \quad |E_3\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 0 \\ 0 \\ -1 \end{pmatrix}.$$

We are lucky here: we know that the ground state is

$$|E_0\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -1 \\ 1 \\ 0 \end{pmatrix}$$
Two red arrows originate from the vector components in the equation above. One arrow starts from the '-1' in the second row and points down to the coefficient 'alpha' in the state '|01>' of the equation below. The other arrow starts from the '1' in the third row and points down to the coefficient 'beta' in the state '|10>' of the equation below.

So we need a parametrized circuit  $U(\theta)$  that can produce

$$U(\theta)|00\rangle = \alpha|01\rangle + \beta|10\rangle$$

## Hands-on with VQE

$$|\psi\rangle = a|0\rangle + b|1\rangle \quad |a|^2 + |b|^2 = 1$$

We can make a very tailored ansatz with just a single parameter here. Let

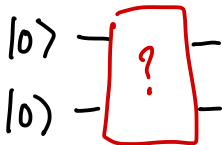
$$U(\theta)|00\rangle = \alpha|01\rangle + \beta|10\rangle$$

↓ make real      ↘ make real

Since we know our ground state has real-valued amplitudes, write

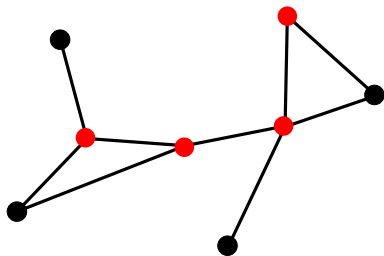
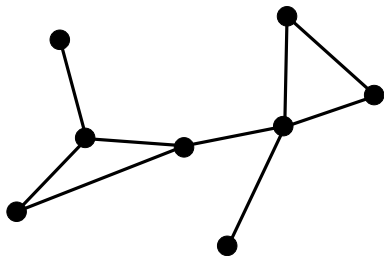
$$U(\theta)|00\rangle = \cos\theta/2|01\rangle + \sin\theta/2|10\rangle$$

What circuit makes states like this?



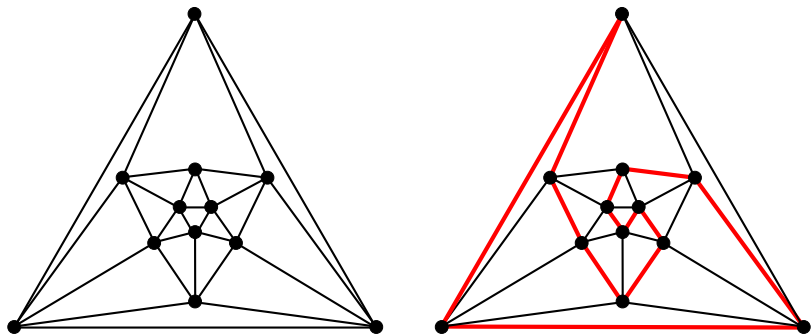
We will start here Monday

Example: Given a graph  $G = (V, E)$ , what is the *smallest number of vertices* you can colour such that every edge in the graph is attached to at least one coloured vertex?



# Combinatorial optimization

Example: Given a graph, can we find a path through it that visits every node *exactly once* and returns to the starting point?



(In graph theory terms, can we find a *Hamiltonian cycle*?)



# Combinatorial optimization

Example: You have the opportunity to purchase 100 units of stocks from a fixed list of assets. You know the average returns of each stock, and their covariances.

Stock	Avg. return
AAA	3.44 %
BBB	2.21 %
CCC	-0.28 %
⋮	⋮

Cov.	AAA	BBB	...
AAA	0.0038	0.002	...
BBB	0.002	-0.006	...
CCC	0.014	-0.0008	...
⋮	⋮	⋮	⋮

Suppose you're restricted to buying no more than 5 of any stock.

*Which stocks, and how many of each, should you purchase, to maximize your profits?*

# Adiabatic quantum computing (AQC)

The structure of a classical optimization problem is something like:

$$\min_{\vec{x}} \text{cost}(\vec{x}) \quad \text{subject to constraints}(\vec{x})$$

where  $\vec{x}$  is a multi-dimensional vector of parameters in the problem space.

# Adiabatic quantum computing (AQC)

The structure of a classical optimization problem is something like:

$$\min_{\vec{x}} \text{cost}(\vec{x}) \quad \text{subject to constraints}(\vec{x})$$

where  $\vec{x}$  is a multi-dimensional vector of parameters in the problem space.

In a physical context, optimization can be interpreted as an energy minimization problem.

Optimization	Physical system
$\vec{x}$	State of the system
$\text{cost}(\vec{x})$	Hamiltonian
Optimum $\vec{x}^*$	Ground state
$\text{cost}(\vec{x}^*)$	Ground state energy

# Adiabatic quantum computing (AQC)

Recall that every unitary  $U$  is directly related to a Hermitian Hamiltonian  $H$  under the correspondence

$$U = e^{-iHt}$$

We know that we can use gate model QC to *simulate* the evolution of a Hamiltonian.

Instead of simulating the Hamiltonians, **adiabatic quantum computing** works with them directly to perform computations. It is generally used to solve **optimization problems**.

# Adiabatic quantum computing (AQC)

1. Design a cost Hamiltonian whose ground state represents the solution to our optimization problem
2. Prepare a system in the ground state of an easy-to-prepare mixer Hamiltonian
3. Perform adiabatic evolution to transform the system from the ground state of mixer Hamiltonian to the ground state of the cost Hamiltonian, which is our solution

# The adiabatic theorem

Why would we want to do this?

## Theorem:

*"A physical system remains in its instantaneous eigenstate if a given perturbation is acting on it slowly enough and if there is a gap between the eigenvalue and the rest of the Hamiltonian's spectrum."*

## What we can take from this:

If we initialize a system in the lowest energy state and perturb it slowly enough, it will remain in the lowest energy state (with respect to the changed system)

# Adiabatic quantum computing (AQC)

Let  $H_m$  be a **mixer Hamiltonian** whose ground state can be easily prepared.

Let  $H_c$  be a **cost Hamiltonian** whose ground state represents the solution to a problem of interest.

Adiabatic evolution is expressed mathematically as the function

$$H(s) = A(s)H_m + B(s)H_c$$

The parameter  $s$  is representative of time;  $s$  goes from 0 to 1;  $A(s)$  decreases to 0 with time and  $B(s)$  increases from 0.

# Quantum annealing

D-Wave makes **quantum annealers**: these are a physical implementation of AQC for a limited set of Hamiltonians.



Image credit:

[www.dwavesys.com/tutorials/background-reading-series/introduction-d-wave-quantum-hardware](http://www.dwavesys.com/tutorials/background-reading-series/introduction-d-wave-quantum-hardware)



# Quantum approximate optimization algorithm (QAOA)

QAOA is a gate-model algorithm that can obtain approximate solutions to combinatorial optimization problems.

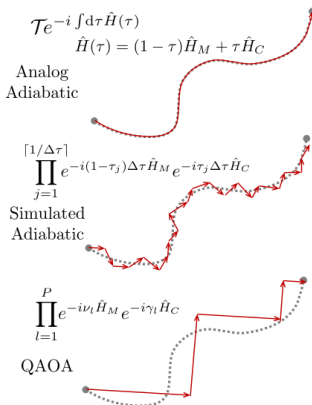
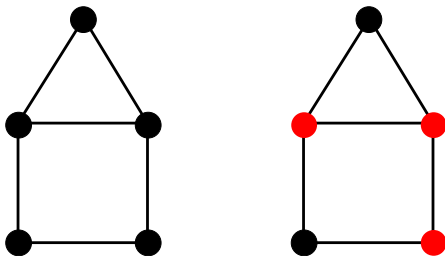


Image credit: G. Verdon, M. Broughton, J. Biamonte. *A quantum algorithm to train neural networks using low-depth circuits*. <https://arxiv.org/abs/1712.05304>

## Motivating example: vertex cover

How do we turn an optimization problem for some graph into a Hamiltonian?

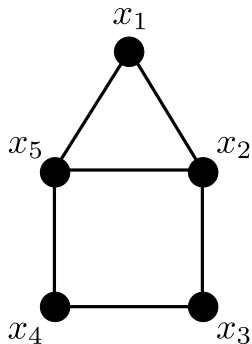
Consider the problem of vertex cover of a graph  $G = (V, E)$ .



First, define a cost function that is minimized when the colouring is optimal. Then, map it to a Hamiltonian.

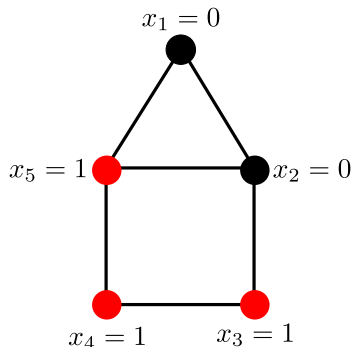
## Motivating example: vertex cover

Whether or not a vertex is coloured is a *binary variable*.



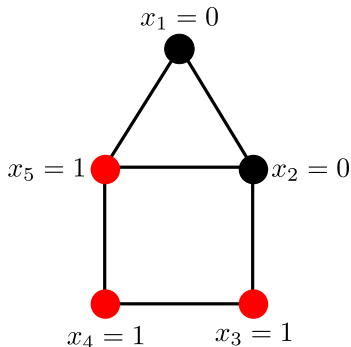
## Motivating example: vertex cover

Let's assign coloured vertices to have value 1, and un-coloured 0.



Now that we have our variables, how do we come up with a minimizable cost function that represents the problem?

## Motivating example: vertex cover

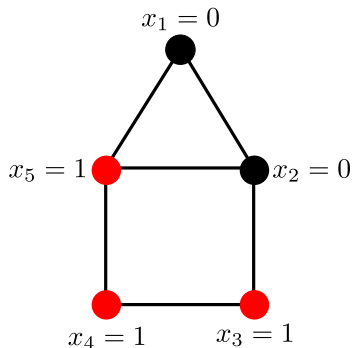


We need every edge to be next to a coloured vertex.

Design a function on two vertices that is minimized when colouring is valid, and maximized if it's not.

$$f(x_i, x_j) = ??$$

## Motivating example: vertex cover



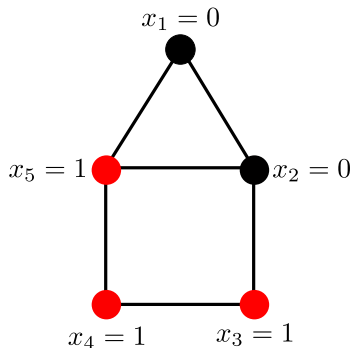
Consider for each edge  $ij$  the function

$$f(x_i, x_j) = (1 - x_i)(1 - x_j)$$

The possible values are:

$$f(x_i, x_j) = \begin{cases} 0 & \text{if } x_i = x_j = 1 \\ 0 & \text{if } x_i = 1 \text{ or } x_j = 1 \\ 1 & \text{if } x_i = x_j = 0 \end{cases}$$

## Motivating example: vertex cover



Then in an optimal colouring,

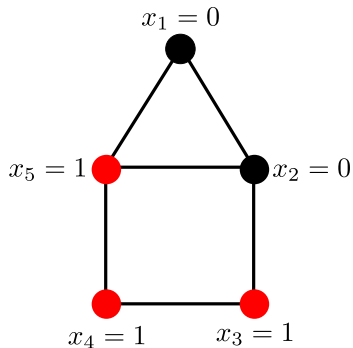
$$f(x_i, x_j) = (1 - x_i)(1 - x_j) = 0$$

for all edges  $ij \in E$ .

So we can write

$$\min_{\vec{x}} \sum_{ij \in E} (1 - x_i)(1 - x_j)$$

## Motivating example: vertex cover



However recall that we also want to colour the fewest vertices. The cost should also depend on the number of coloured vertices.

Solution: add to our cost

$$\sum_{i \in V} x_i$$



## Motivating example: vertex cover

The full cost function is then

$$\min_{\vec{x}} \left( \sum_{ij \in E} (1 - x_i)(1 - x_j) + \sum_{i \in V} x_i \right)$$

1. How do we turn this into a Hamiltonian?
2. How do we find its minimum energy / configuration on a quantum computer?

Find g.s. energy of deuteron!

Content:

- Solving combinatorial optimization problems with QAOA

Action items:

1. Technical assignment 2
2. Work on prototype implementation for project

Recommended reading (PennyLane demos):

- [https://pennylane.ai/qml/demos/tutorial\\_vqe.html](https://pennylane.ai/qml/demos/tutorial_vqe.html)
- [https://pennylane.ai/qml/demos/tutorial\\_qaoa\\_intro.html](https://pennylane.ai/qml/demos/tutorial_qaoa_intro.html)
- [https://pennylane.ai/qml/demos/tutorial\\_qaoa\\_maxcut.html](https://pennylane.ai/qml/demos/tutorial_qaoa_maxcut.html)