

# **CPEN 400Q / EECE 571Q Lecture 12**

## **RSA, order-finding and Shor's algorithm**

Thursday 17 February 2022

# Announcements

- Assignment 3 available sometime soon
  - second-last assignment
  - will be due  $\sim 2$  weeks after reading week

No class or formal office hours during reading week (still available for appointment).

## Last time

We implemented the quantum Fourier transform using a *polynomial* number of gates:

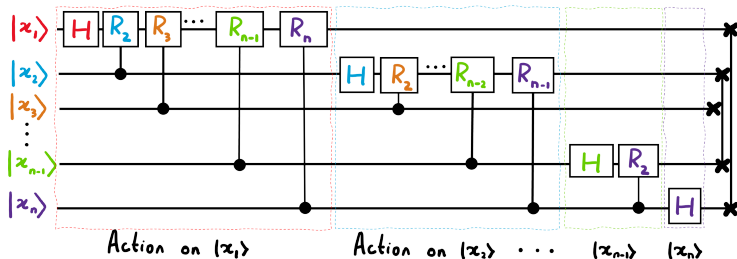


Image credit: Xanadu Quantum Codebook node F.3

## Last time

We used the QFT in the quantum phase estimation subroutine to estimate the eigenvalues of unitary matrices.

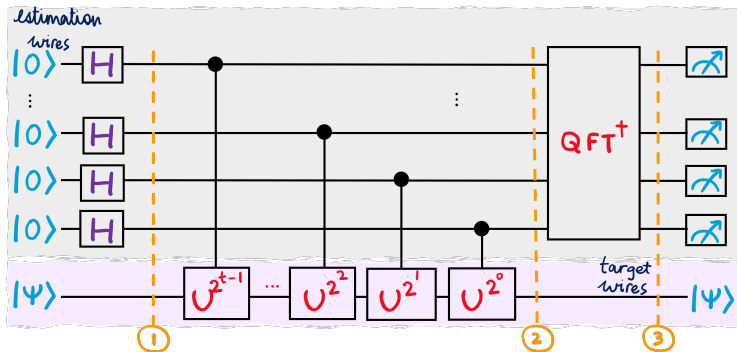


Image credit: Xanadu Quantum Codebook node P.2

- Describe the steps involved in the RSA cryptosystem, and identify the vulnerability to quantum computers
- Use QPE to implement the order finding algorithm
- Implement both classical and quantum components of Shor's algorithm to factor prime numbers

RSA

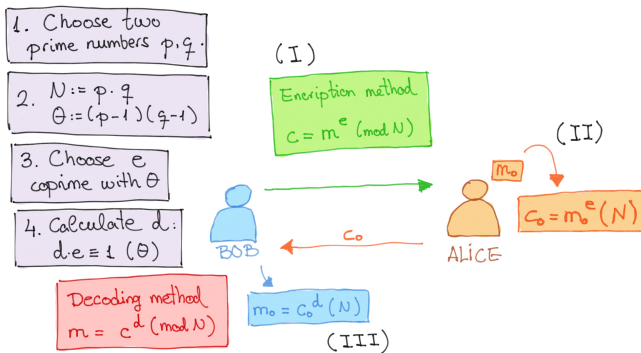
# Public-key cryptosystems

Two different types of cryptosystems:

- Symmetric: the key used to decode is the same as (or can easily be obtained from) the one used to encode
- Asymmetric / public-key: the key used to decode is different than the one used to encode

Both types are used in modern infrastructure and every system has its own advantages/disadvantages, attack vectors, and vulnerabilities.

RSA (Rivest–Shamir–Adleman) is public-key cryptosystem.





# RSA: brief review of number theory concepts

The math behind RSA involves **modular arithmetic** and a few other number-theoretic ideas:

**Greatest common divisor (gcd):**  $\gcd(a, b)$  is the largest integer factor that divides perfectly into both  $a$  and  $b$

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**Modular inverse:** Given a number  $a$  and modulus  $N$ , the inverse of  $a$  is the integer  $b$  such that  $ab \equiv 1 \pmod{N}$ . This exists *only if*  $a$  and  $N$  are co-prime.

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**Fermat's little theorem:** if  $p$  prime and  $a$  is an integer, then  $a^p \equiv a \pmod{p}$ . If  $a$  is not divisible by  $p$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .

## Step 1

Choose two *prime numbers*,  $p$  and  $q$ .

## Step 2

Compute:

$$N = p \cdot q$$

$$\theta = (p - 1)(q - 1)$$

## Step 3

Choose a value  $e$  that is *co-prime* with  $\theta$ .

## Step 4

Compute the inverse of  $e \bmod \theta$ , i.e., find  $d$  s.t.

$$d \cdot e \equiv 1 \bmod \theta$$

The *public key* is the pair  $(e, N)$ .

The *private key* is the pair  $(d, N)$ .

## Encoding

Acquire the public key  $(e, N)$  of the party you wish to send something to. To send the message  $m$ , encode it as

$$c = m^e \bmod N$$

## Decoding

If you receive  $c$  and have the private key  $(d, N)$ , decode like so:

$$c^d \bmod N = (m^e)^d \bmod N = m$$

Two cases to consider to understand why this works.

Since  $ed = 1 \bmod \theta$ , there exists integer  $k$  such that  $ed = 1 + k\theta$ .

**Case 1:**  $m$  co-prime with  $N$

$$\begin{aligned}c^e \bmod N &= (m^d)^e \bmod N \\&= m^{1+k\theta} \bmod N \\&= mm^{k\theta} \bmod N\end{aligned}$$

It is a known result in number theory that if  $m$  and  $N$  are co-prime, then  $m^\theta \equiv 1 \bmod N$  where  $\theta = (p-1)(q-1)$ . Thus,

$$\begin{aligned}c^e \bmod N &= mm^{k\theta} \bmod N \\&= m \bmod N\end{aligned}$$



**Case 2:**  $m$  not co-prime with  $N$ 

Then,  $\gcd(m, N) > 1$ . Must be  $p$  or  $q$ , because those are the only two factors of  $N$ .

Suppose  $\gcd(m, N) = p$ . Then,  $p$  also divides  $m$ ,

$$m \equiv 0 \pmod{p}, \quad m^{ed} \equiv 0 \equiv m \pmod{p}$$

But  $q$  does not.  $q$  is prime, so  $\gcd(q, m) = 1$ . So by Fermat's little theorem,

$$m^{(q-1)} \equiv 1 \pmod{q}, \quad m^{(p-1)(q-1)} = m^{\theta} \equiv 1 \pmod{q}$$

**Case 2:**  $m$  not co-prime with  $N$

Again, since  $ed = 1 \bmod \theta$ , there exists  $k$  such that  $ed = 1 + k\theta$ .

$$\begin{aligned} m^{ed} \bmod q &= mm^{k\theta} \bmod q \\ &= m \bmod q \end{aligned}$$

So we have

$$\begin{aligned} m^{ed} &\equiv m \bmod p \\ m^{ed} &\equiv m \bmod q \end{aligned}$$

It follows that

$$m^{ed} \equiv m \bmod N$$

# RSA and factoring

- To decrypt the message, we must learn  $d$
- We know  $e$ , and that  $de \equiv 1 \pmod{\theta}$

But we don't know  $\theta$ ! We have only  $e$ , and  $N$ .

However,  $N$  and  $\theta$  are based on the same two prime numbers:

$$N = p \cdot q$$
$$\theta = (p - 1)(q - 1)$$

So if we can factor  $N = pq$ , then we can decode the message!

The security of RSA relies on the fact that factoring for large numbers is computationally intractable.

# Computational complexity of breaking RSA

Current recommended key ( $N$ ) sizes are 2048- and 4096-bit.

The largest key size cracked so far is **829 bits** in 2020. See:  
[https://en.wikipedia.org/wiki/RSA\\_numbers](https://en.wikipedia.org/wiki/RSA_numbers)

Best-known classical algorithm:

## General number field sieve

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From Wikipedia, the free encyclopedia

In **number theory**, the **general number field sieve (GNFS)** is the most **efficient** classical **algorithm** known for **factoring integers** larger than  $10^{100}$ . **Heuristically**, its **complexity** for factoring an integer  $n$  (consisting of  $\lfloor \log_2 n \rfloor + 1$  bits) is of the form

$$\exp\left(\left(\sqrt[3]{\frac{64}{9}} + o(1)\right) (\ln n)^{\frac{1}{3}} (\ln \ln n)^{\frac{2}{3}}\right) = L_n \left[\frac{1}{3}, \sqrt[3]{\frac{64}{9}}\right]$$

# Computational complexity of breaking RSA

On a quantum computer, there exists an algorithm that can help us solve the problem in *polynomial time*.

But it is still going to be a long time before that happens.

RSA-2048	Old estimates				Current estimates			
$p_g$	$n_\ell$	$n_p$	quantum resources	time	$n_\ell$	$n_p$	quantum resources	time
$10^{-3}$	6190	19.20	1.17	1.46	8194	22.27	0.27	0.34
$10^{-5}$	6190	9.66	0.34	0.84	8194	8.70	0.06	0.15

**Table 2.** RSA-2048 security estimates. Here  $n_\ell$  denotes the number of logical qubits,  $n_p$  denotes the number of physical qubits (in millions), time denotes the expected time (in hours) to break the scheme, and *quantum resources* (*quantum resources*) are expressed in units of megaqubitdays. The corresponding classical security parameter is 112 bits.

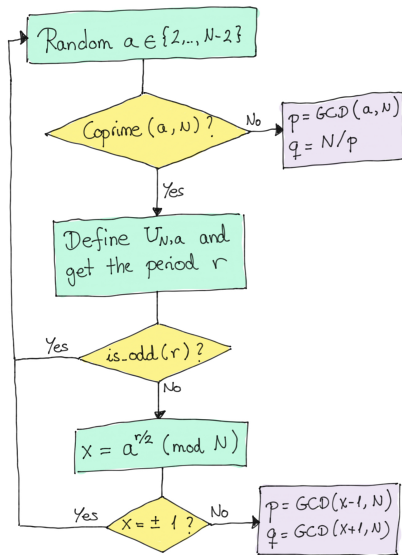
Image: V. Gheorghiu and M. Mosca, *A resource estimation framework for quantum attacks against cryptographic functions - recent developments*. Feb. 15 2021.

# Shor's algorithm

# Overview

Shor's algorithm has both classical and quantum parts.

A quantum computer is used to compute the value of a specific order, which can then be used to extract the values of  $p$ , and  $q$ .



## Non-trivial square roots

What we are ultimately searching for is a *non-trivial square root* of  $N$ , i.e., some  $x \neq \pm 1$  such that

$$x^2 \equiv 1 \pmod{N}.$$

If we find such an  $x$ , then we know

$$x^2 \equiv 1 \pmod{N}$$

$$x^2 - 1 \equiv 0 \pmod{N}$$

$$(x - 1)(x + 1) \equiv 0 \pmod{N}$$

This means that

$$(x - 1)(x + 1) = kN$$

for some integer  $k$ .



## Non-trivial square roots

If

$$(x - 1)(x + 1) = kN = kpq,$$

then  $x - 1$  is a multiple of one of  $p$  or  $q$ , and  $x + 1$  is a multiple of the other. If

$$x - 1 = sp$$

$$x + 1 = tq$$

we can compute the values of  $p$  and  $q$  by finding their *gcd* with  $N$ :

$$p = \gcd(x - 1, N)$$

$$q = \gcd(x + 1, N)$$

But... how do we find such an  $x$ ?

# Non-trivial square roots and factoring

It's actually okay to find any *even* power of  $x$  for which this holds:

$$x^r = x^{2r'} = (x^{r'})^2 \equiv 1 \pmod{N}$$

Let's define the function

$$f_{N,a}(m) = a^m \pmod{N}$$

for some integer value  $a$ , and ask the following question: for which  $m$  does

$$f_{N,a}(m) = a^m \equiv 1 \pmod{N}$$

The minimum integer  $m$  for which this holds is the *order* (or period) of  $a$ . If we could *find* this period, and it is an even number, then we can find an  $x$  and factor  $N$ .

## Where is the quantum?

Classically, we wanted to find an  $m$  such that

$$f_{N,a}(m) = a^m \equiv 1 \pmod{N}$$

Let's define a unitary operation that performs

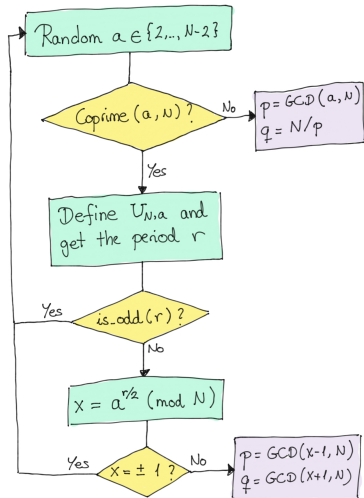
$$U_{N,a}|k\rangle = |ak \pmod{N}\rangle$$

If  $m$  is the period of  $a$ , and we apply  $U_{N,a}$   $m$  times,

$$U_{N,a}^m|k\rangle = |a^m k \pmod{N}\rangle = |k\rangle$$

So  $m$  is also the period of  $U_{N,a}$ ! We can find it efficiently using a quantum computer.

# Shor's algorithm

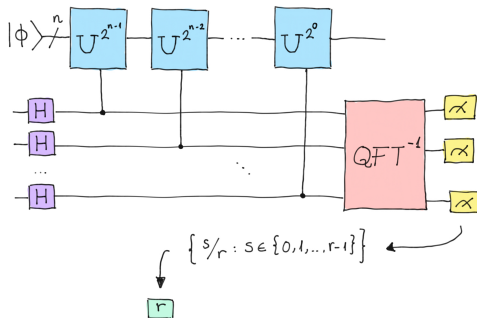


# Order finding on a quantum computer

Let  $U$  be an operator and  $|\phi\rangle$  any state. How do we find the minimum  $r$  such that

$$U^r|\phi\rangle = |\phi\rangle$$

QPE does the trick if we set things up in a clever way:



# Order finding on a quantum computer

Consider the state

$$|\Psi_0\rangle = \frac{1}{\sqrt{r}} (|\phi\rangle + U|\phi\rangle + \dots + U^{r-1}|\phi\rangle)$$

If we apply  $U$  to this:

$$\begin{aligned} U|\Psi_0\rangle &= \frac{1}{\sqrt{r}} (U|\phi\rangle + U^2|\phi\rangle + \dots + U^r|\phi\rangle) \\ &= \frac{1}{\sqrt{r}} (U|\phi\rangle + U^2|\phi\rangle + \dots + |\phi\rangle) \\ &= |\Psi_0\rangle \end{aligned}$$

# Order finding on a quantum computer

Now consider the state

$$|\Psi_1\rangle = \frac{1}{\sqrt{r}} \left( |\phi\rangle + e^{-\frac{2\pi i}{r}} U|\phi\rangle + e^{-2\cdot\frac{2\pi i}{r}} U^2|\phi\rangle + \dots + e^{-(r-1)\cdot\frac{2\pi i}{r}} U^{r-1}|\phi\rangle \right)$$

If we apply  $U$  to this:

$$\begin{aligned} U|\Psi_1\rangle &= \frac{1}{\sqrt{r}} \left( U|\phi\rangle + e^{-\frac{2\pi i}{r}} U^2|\phi\rangle + \dots + e^{-(r-1)\cdot\frac{2\pi i}{r}} U^r|\phi\rangle \right) \\ &= \frac{1}{\sqrt{r}} \left( U|\phi\rangle + e^{-\frac{2\pi i}{r}} U^2|\phi\rangle + \dots + e^{\frac{2\pi i}{r}} |\phi\rangle \right) \\ &= e^{\frac{2\pi i}{r}} \frac{1}{\sqrt{r}} \left( e^{-\frac{2\pi i}{r}} U|\phi\rangle + e^{-2\frac{2\pi i}{r}} U^2|\phi\rangle + \dots + |\phi\rangle \right) \\ &= e^{\frac{2\pi i}{r}} |\Psi_1\rangle \end{aligned}$$

## Order finding on a quantum computer

This generalizes to  $|\Psi_s\rangle$

$$|\Psi_s\rangle = \frac{1}{\sqrt{r}}(|\phi\rangle + e^{-s\frac{2\pi i}{r}} U|\phi\rangle + e^{-2s\frac{2\pi i}{r}} U^2|\phi\rangle \\ + \dots + e^{-(r-1)s\frac{2\pi i}{r}} U^{r-1}|\phi\rangle)$$

It has eigenvalue

$$U|\Psi_s\rangle = e^{\frac{2\pi i s}{r}} |\Psi_s\rangle$$

Idea: if we can create *any* one of these  $|\Psi_s\rangle$ , we could run QPE and get an estimate for  $s/r$ , and then recover  $r$ .



# Order finding on a quantum computer

Problem: to construct any  $|\psi_s\rangle$ , we would need to know  $r$  in advance!

Solution: construct the uniform superposition of all of them.

$$|\Psi\rangle = \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1} |\psi_s\rangle$$

But what does this equal?

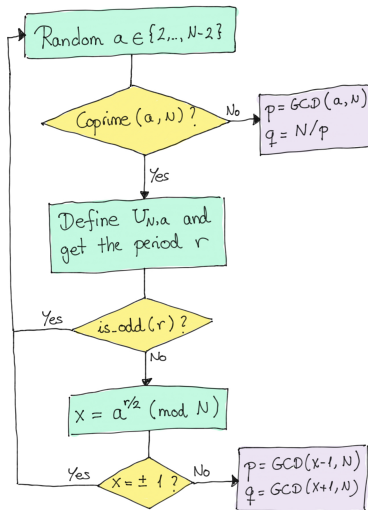
# Order finding on a quantum computer

The superposition of all  $|\Psi_s\rangle$  is just our original state  $|\phi\rangle$ !

$$\begin{aligned}
 |\Psi\rangle &= \frac{1}{\sqrt{r}} \left( |\Psi_0\rangle + |\Psi_1\rangle + \dots + |\Psi_{r-1}\rangle \right) \\
 &= \frac{1}{\sqrt{r}} \cdot \left( \frac{1}{\sqrt{r}} (|\phi\rangle + e^{\frac{-2\pi i}{r}} U|\phi\rangle + \dots + e^{\frac{-2\pi i(r-1)}{r}} U^{r-1}|\phi\rangle) \right. \\
 &\quad \left. + \frac{1}{\sqrt{r}} (|\phi\rangle + e^{\frac{-2\pi i}{r}} U|\phi\rangle + \dots + e^{\frac{-2\pi i(r-1)}{r}} U^{r-1}|\phi\rangle) \right. \\
 &\quad \left. + \dots + \frac{1}{\sqrt{r}} (|\phi\rangle + e^{\frac{-2\pi i(r-1)}{r}} U|\phi\rangle + \dots + e^{\frac{-2\pi i(r-1)}{r}} U^{r-1}|\phi\rangle) \right) \\
 &\quad \underbrace{\phantom{\frac{1}{\sqrt{r}} \cdot \left( \frac{1}{\sqrt{r}} (|\phi\rangle + e^{\frac{-2\pi i}{r}} U|\phi\rangle + \dots + e^{\frac{-2\pi i(r-1)}{r}} U^{r-1}|\phi\rangle) \right.}}_{r} \\
 &= \frac{1}{\sqrt{r}} \cdot \frac{1}{\sqrt{r}} \cdot r |\phi\rangle = \boxed{|\phi\rangle}
 \end{aligned}$$

If we run QPE, the output phase we get will be  $s/r$  for one of these states.

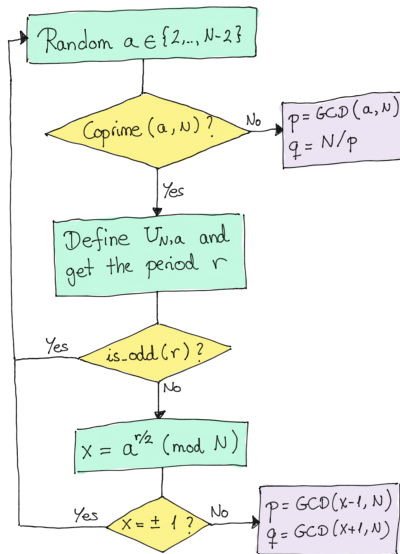
# Shor's algorithm



Is this really efficient?

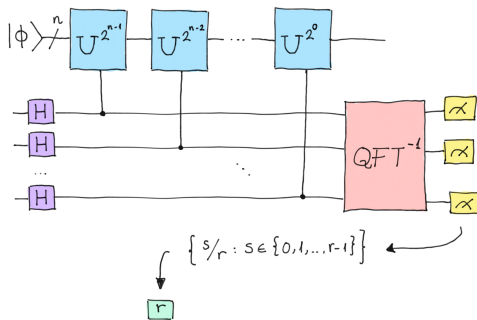
**GCD:** polynomial w/Euclid's algorithm

**Modular exponentiation:** can use exponentiation by squaring, other methods to reduce number of operations and memory required



# Is this really efficient?

Quantum part: let  $L = \lceil \log_2 N \rceil$ .



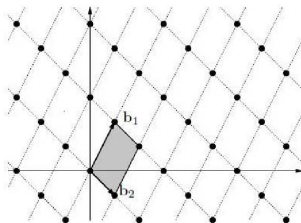
**QFT:** polynomial in number of qubits  $O(L^2)$

**Controlled- $U$  gates:** implemented using something called *modular exponentiation* in  $O(L^3)$  gates.

# What can / should we do about this?

RSA will not be cracked tomorrow: needs far more quantum resources than we have today. BUT, it's only a matter of time. We need to use this time wisely to re-tool our infrastructure. One option: **post-quantum cryptography**.

**Figure 2** A 2-dimensional lattice generated by the basis  $B = [b_1, b_2]$



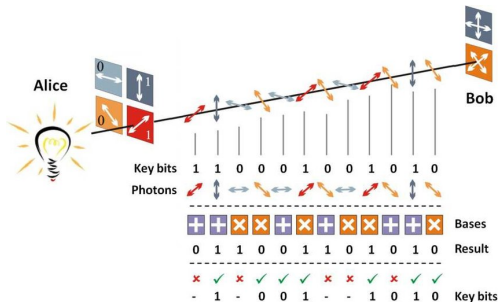
The LWE problem was formally defined by Regev (2005). In

Use hard problems that *don't* have (known) efficient quantum solutions.

Image credit: G. Zhang, J. Qin. *Lattice-based threshold cryptography and its applications in distributed cloud computing*. Int. J. High Perform. Comput. Netw. 2015

# What can / should we do about this?

Symmetric crypto remains largely secure; use **quantum key distribution** to perform key exchange for it rather than RSA.



Theoretically secure, but can be challenging to implement, and other potential attack vectors such as hardware.

Image credit: Carrasco-Casado, Marmol, Denisenko. (2016) *Free-Space Quantum Key Distribution*. Optical Wireless Communications - An Emerging Technology (pp.589-607)

# Next time

## Content:

- Moving onto variational algorithms (rest of the course)

## Action items:

1. Start working on prototype implementation for project

## Recommended reading:

- Codebook nodes S.1-S.5
- Nielsen & Chuang 5.3, Appendix A.5