

# **CPEN 400Q / EECE 571Q Lecture 11**

## **The quantum Fourier transform and quantum phase estimation**

Tuesday 15 February 2022

# Announcements

- Project group / topic selection due today
- Please upgrade to PennyLane v0.21; new `requirements.txt` file will be included later with Quiz 5 and with Assignment 3.

Quiz 5 after class today.

We introduced the quantum Fourier transform, and saw how it is the analog of the classical inverse discrete Fourier transform.

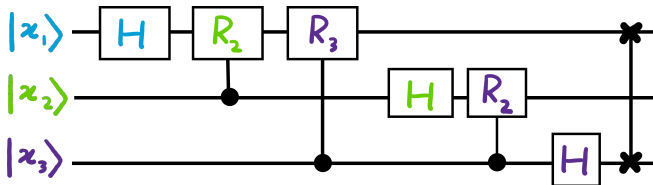
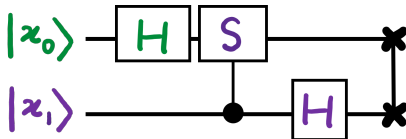
$$QFT|x\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle$$

$$QFT = \frac{1}{\sqrt{N}} \begin{pmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \dots & \omega^{N-1} \\ 1 & \omega^2 & \omega^4 & \dots & \omega^{2(N-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{N-1} & \omega^{2(N-1)} & \dots & \omega^{(N-1)(N-1)} \end{pmatrix}$$

where for  $n$  qubits,  $N = 2^n$ , and  $\omega = e^{2\pi i/N}$

## Last time

We saw the circuits for some special cases. For 1 qubit, it is just the Hadamard. For 2 and 3 qubits:



# Quantum Fourier transform

I showed you what the general form of the circuit looked like:

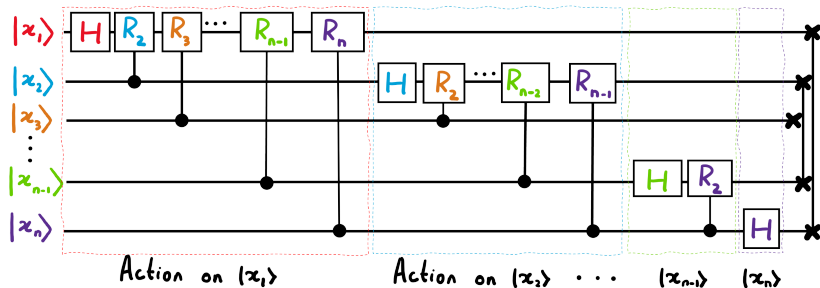


Image credit: Xanadu Quantum Codebook node F.3

- Derive the QFT circuit and implement it in PennyLane
- Describe the steps of the quantum phase estimation (QPE) subroutine
- Use the QFT to implement QPE

## Review: fractional binary notation

### Example

Let  $k = \overset{0}{k_1}k_2k_3k_4 = 0.1001$ . The numerical value of this is:

$$\begin{aligned} 0.1001 &= \frac{1}{2^1} + \frac{0}{2^2} + \frac{0}{2^3} + \frac{1}{2^4} \\ &= \frac{1}{2} + \frac{1}{16} \\ &= 0.5625 \\ &= 0.5625 \end{aligned}$$

We need this for the QFT because in the exponent, we have

$$\frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i x(k/N)} |k\rangle$$

and  $k/N$  is a fractional value.

## A circuit for the QFT

What we are going to show is that

$$\text{QFT}|x\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle$$

can be rewritten in the following factorized form:

$$\frac{(|0\rangle + e^{2\pi i 0.x_n} |1\rangle) (|0\rangle + e^{2\pi i 0.x_{n-1}x_n} |1\rangle) \dots (|0\rangle + e^{2\pi i 0.x_1 \dots x_n} |1\rangle)}{\sqrt{N}}$$

Then, we will see how this form reveals to us the circuit that creates this state!



# A circuit for the QFT

Start by rewriting  $k/N$  using fractional binary.

$$\begin{aligned}
 \text{QFT} |x\rangle &= \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle && k, x \in \mathbb{Z} \\
 &&& \omega = e^{2\pi i/N} \\
 &&& N = 2^n \\
 &= \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i x \frac{k}{N}} |k\rangle && \frac{k}{N} = \sum_{\ell=1}^n \frac{k_\ell}{2^\ell} \sim 0.k_1 k_2 \dots \\
 &= \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i x \left( \sum_{\ell=1}^n \frac{k_\ell}{2^\ell} \right)} |k\rangle && |k\rangle = |k_1 k_2 \dots k_n\rangle \\
 &= \frac{1}{\sqrt{N}} \sum_{k_1=0}^1 \dots \sum_{k_n=0}^1 e^{2\pi i x \left( \sum_{\ell=1}^n \frac{k_\ell}{2^\ell} \right)} |k_1 k_2 \dots k_n\rangle
 \end{aligned}$$

# A circuit for the QFT

$\sum_{k_1=0}^1 \prod_{i=1}^n \bigotimes_{i=1}^n$   
 (keeping the last equation from the previous slide)

$$\begin{aligned}
 & \frac{1}{\sqrt{2}} \sum_{k_1=0}^1 \cdots \sum_{k_n=0}^1 e^{2\pi i x \left( \sum_{l=1}^n \frac{k_l}{2^l} \right)} |k_1, k_2 \dots k_n\rangle \\
 &= \frac{1}{\sqrt{2}} \sum_{k_1=0}^1 \cdots \sum_{k_n=0}^1 e^{2\pi i x \frac{k_1}{2^1}} \cdots e^{2\pi i x \frac{k_n}{2^n}} |k_1, \dots k_n\rangle \\
 &= \frac{1}{\sqrt{2}} \sum_{k_1=0}^1 \cdots \sum_{k_n=0}^1 \left( e^{2\pi i x \frac{k_1}{2^1}} |k_1\rangle \right) \otimes \cdots \otimes \left( e^{2\pi i x \frac{k_n}{2^n}} |k_n\rangle \right) \\
 &= \frac{1}{\sqrt{2}} \sum_{k_1=0}^1 \cdots \sum_{k_n=0}^1 \bigotimes_{l=1}^n e^{2\pi i x \frac{k_l}{2^l}} |k_l\rangle \\
 &= \frac{1}{\sqrt{2}} \bigotimes_{l=1}^n \left( \sum_{k_l=0}^1 e^{2\pi i x \frac{k_l}{2^l}} |k_l\rangle \right)
 \end{aligned}$$

# A circuit for the QFT

(keeping the last equation from the previous slide)

$$= \frac{1}{\sqrt{N}} \bigotimes_{\ell=1}^n \left( \sum_{k_{\ell}=0}^1 e^{2\pi i x \frac{k_{\ell}}{2^{\ell}}} |k_{\ell}\rangle \right)$$

$$= \frac{1}{\sqrt{N}} \bigotimes_{\ell=1}^n \left( |0\rangle + e^{2\pi i x \frac{1}{2^{\ell}}} |1\rangle \right)$$

$$= \frac{1}{\sqrt{N}} \bigotimes_{\ell=1}^n \left( |0\rangle + e^{2\pi i 0 \cdot x_n} |1\rangle \right) \cdots \left( |0\rangle + e^{2\pi i 0 \cdot x_1} |1\rangle \right)$$

$$x = \frac{2^{n-1}}{2} x_1 + \frac{2^{n-2}}{2} x_2 + \cdots + \frac{2^0}{2} x_n$$

$$= 2^{n-2} x_1 + 2^{n-3} x_2 + \cdots + \frac{x_n}{2}$$

$$e^{2\pi i (2^{n-2} x_1 + \cdots + \frac{x_n}{2})} \sim e^{2\pi i 0 \cdot x_n}$$

$$= \frac{1}{\sqrt{N}} \left( |0\rangle + e^{2\pi i 0 \cdot x_n} |1\rangle \right) \left( |0\rangle + e^{2\pi i 0 \cdot x_{n-1} x_n} |1\rangle \right) \cdots \left( |0\rangle + e^{2\pi i 0 \cdot x_1 x_2 \cdots x_n} |1\rangle \right)$$

# A circuit for the QFT

Starting with the state

$$|x\rangle = |x_1 \cdots x_n\rangle,$$

apply a Hadamard to qubit 1:

$$\frac{1}{\sqrt{2}} \left( |0\rangle + e^{2\pi i 0 \cdot x_1} |1\rangle \right) |x_2 \cdots x_n\rangle$$

$$|x_1\rangle \text{ --- } \boxed{H} \text{ ---}$$

$$|x_2\rangle \text{ ---}$$

$$|x_3\rangle \text{ ---}$$

$\vdots$

$$|x_{n-1}\rangle \text{ ---}$$

$$|x_n\rangle \text{ ---}$$

# A circuit for the QFT

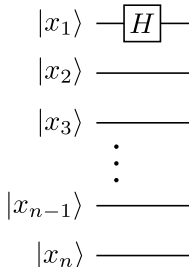
$$\frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i 0 \cdot x_1} |1\rangle) |x_2 \cdots x_n\rangle$$

If  $x_1 = 0$ ,  $e^0 = 1$  and we get the  $|+\rangle$  state.

$$\frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$$

If  $x_1 = 1$ ,  $e^{2\pi i (1/2)} = e^{\pi i} = -1$  and we get the  $|-\rangle$  state.

$$\frac{1}{\sqrt{2}} (|0\rangle - |1\rangle)$$



## A circuit for the QFT

We are trying to make a state that looks like this:

$$|x\rangle \rightarrow \frac{(|0\rangle + e^{2\pi i 0 \cdot x_n} |1\rangle) (|0\rangle + e^{2\pi i 0 \cdot x_{n-1} x_n} |1\rangle) \dots (|0\rangle + e^{2\pi i 0 \cdot x_1 \dots x_n} |1\rangle)}{\sqrt{N}}$$

Every qubit has a different *phase* on the  $|1\rangle$  state. We are going to need some way of creating this.

We define the gate:

$$R_k = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^k} \end{pmatrix}$$

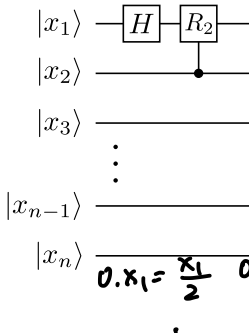
# A circuit for the QFT

Now let's apply a controlled  $R_2$  gate from qubit 2 to qubit 1

$$R_2 = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^2} \end{pmatrix}$$

The first qubit picks up a phase:

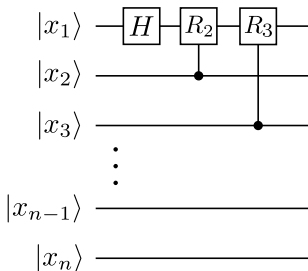
$$\begin{aligned} \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i \cdot 0 \cdot x_1} |1\rangle) |x_2 \dots x_n\rangle &= \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i \cdot 0 \cdot x_1} e^{\frac{2\pi i x_1 x_2}{2^2}} |1\rangle) |x_2 \dots x_n\rangle \\ &= \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i \cdot 0 \cdot x_1 x_2} |1\rangle) |x_2 \dots x_n\rangle \end{aligned}$$



# A circuit for the QFT

Now let's apply a controlled  $R_3$  gate from qubit 3 to qubit 1

$$R_3 = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^3} \end{pmatrix}$$



The first qubit picks up another phase:

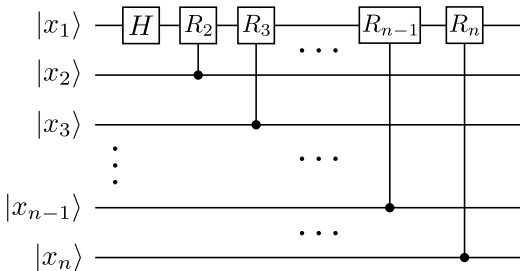
$$\frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i 0 \cdot x_1 x_2} |1\rangle) |x_2 \cdots x_n\rangle \rightarrow \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i 0 \cdot x_1 x_2 x_3} |1\rangle) |x_2 \cdots x_n\rangle$$



# A circuit for the QFT

We can apply a controlled  $R_4$  from the fourth qubit, etc. up to the  $n$ -th qubit to get

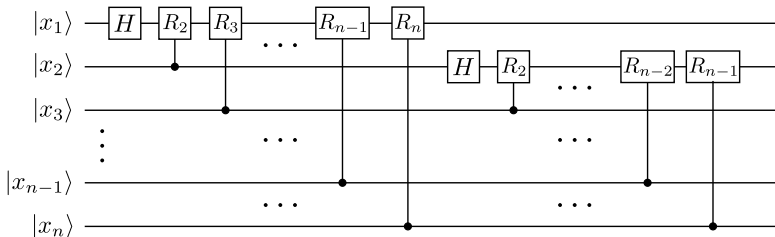
$$\frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i 0.x_1 x_2 \dots x_n} |1\rangle) |x_2 \dots x_n\rangle$$



# A circuit for the QFT

Next, ignore the first qubit and do the same thing with the second qubit: apply  $H$ , and then controlled rotations from every qubit from 3 to  $n$  to get

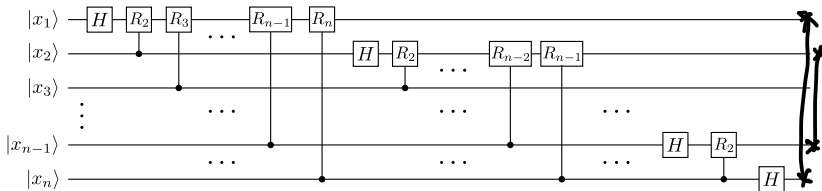
$$\frac{1}{\sqrt{2}^2} (|0\rangle + e^{2\pi i 0.x_1 x_2 \dots x_n} |1\rangle) (|0\rangle + e^{2\pi i 0.x_2 \dots x_n} |1\rangle) |x_3 \dots x_n\rangle$$



## A circuit for the QFT

If we do this for all qubits, we get something similar to that big ugly state from earlier:

$$|x\rangle \rightarrow \frac{(|0\rangle + e^{2\pi i 0.x_1 \dots x_n} |1\rangle) \dots (|0\rangle + e^{2\pi i 0.x_{n-1} x_n} |1\rangle) (|0\rangle + e^{2\pi i 0.x_n} |1\rangle)}{\sqrt{N}}$$

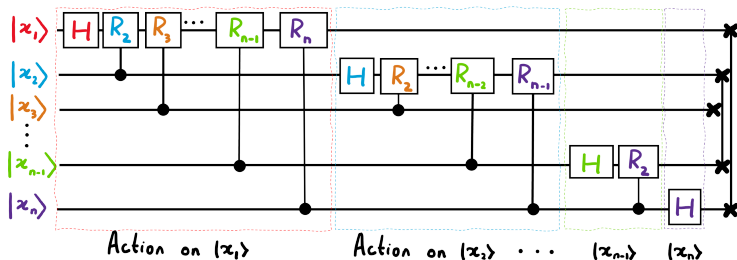


This is almost what we want: the order of the qubits is backwards. This is easily fixed with some SWAP gates.

# Quantum Fourier transform

So the QFT can be implemented using:

- $n$  Hadamard gates
- $n(n-1)/2$  controlled rotations
- $\lfloor n/2 \rfloor$  SWAP gates if you care about the order



The number of gates is *polynomial in  $n$* , so this can be implemented efficiently on a quantum computer! Let's try it...

# Quantum phase estimation

# Eigenvalues of unitary matrices

Fun fact: eigenvalues of unitary matrices are complex numbers with magnitude 1.

Proof: Let  $\lambda_k$  be the eigenvalue associated with eigenvector  $|k\rangle$  of a unitary  $U$ :

$$U|k\rangle \rightarrow U|k\rangle = \lambda_k |k\rangle$$

$$|\lambda_k| = 1$$

We can take the conjugate transpose of this equation:

$$\langle k|U^\dagger = \langle k|\lambda_k^*$$

Multiply the two sides together:

$$\langle k| \underbrace{U^\dagger U}_{=I} |k\rangle = \langle k| \underbrace{\lambda_k^* \lambda_k}_{=|\lambda_k|^2} |k\rangle$$

$$\langle k|k\rangle = |\lambda_k|^2 \langle k|k\rangle$$

$$1 = |\lambda_k|^2$$

# Eigenvalues of unitary matrices

So we can write

$$\lambda_k = e^{2\pi i \theta_k}$$

where  $\theta_k$  is some phase angle such that  $|\theta_k| \leq 1$ .

What if we want to *learn* an unknown  $\theta_k$ ?  
~

# Eigenvalues of unitary matrices

Idea: apply  $U$  to the relevant eigenvector, because that's "what makes the phase come out".

$$U |k\rangle = \underbrace{e^{2\pi i \theta_k}}_{e^{2\pi i \theta_k}} |k\rangle$$

...but this is an unobservable *global* phase!

We have to do something different: eigenvalue estimation, or **quantum phase estimation** (QPE).



# Quantum phase estimation

Given a unitary  $U$  and one of its eigenvectors  $|k\rangle$ , estimate the value of  $\theta_k$  such that

$$\underbrace{U|k\rangle = e^{2\pi i\theta_k}|k\rangle}$$

Must determine:

- ★ ■ How to design a circuit that extracts the  $\theta_k$
- To what precision can we estimate it
- What to do if we don't know a  $\underbrace{|k\rangle}$  in advance

*(You will explore the last two in your homework!)*

# Quantum phase estimation

Let  $U$  be an  $n$ -qubit unitary; therefore  $|k\rangle$  is an  $n$ -qubit state.

Assume for now that  $\theta_k$  can be represented *exactly* using  $t$  bits in *fractional binary*:

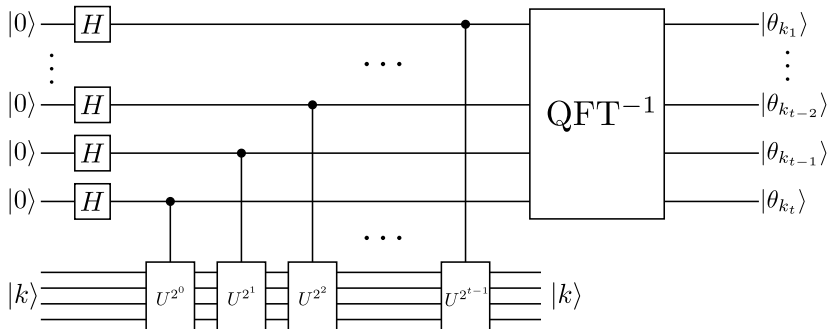
$$\theta_k = 0.\theta_{k_1} \cdots \theta_{k_t}$$

Fact: We can construct a circuit with  $n + t$  qubits that recover the value of  $\theta_k$  exactly by:

1. Preparing  $n$  qubits in state  $|k\rangle$
2. Applying controlled applications of  $U$  to those qubits in a special way
3. Applying the inverse QFT

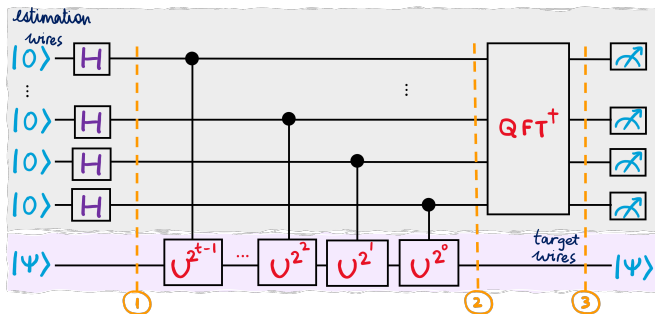
# Quantum phase estimation

This is one version of the circuit:



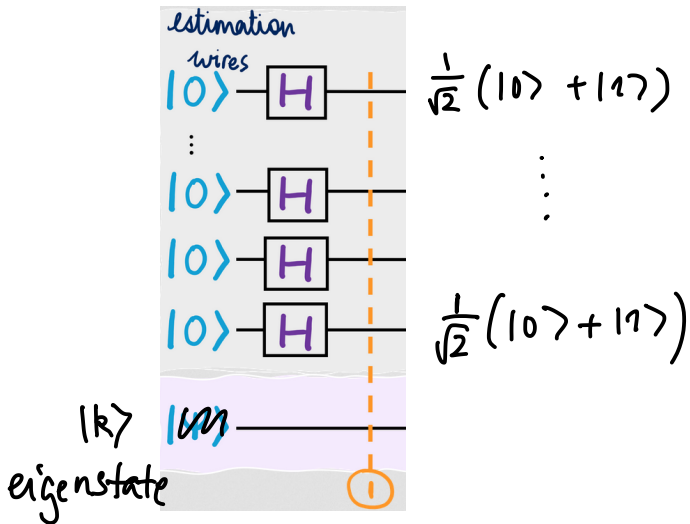
# Quantum phase estimation

The order of the controlled operations is irrelevant though, so you may see this too:



Why does this work? Let's analyze the state at points 1, 2, and 3 above.

# Quantum phase estimation: step 1



## Quantum phase estimation: step 2

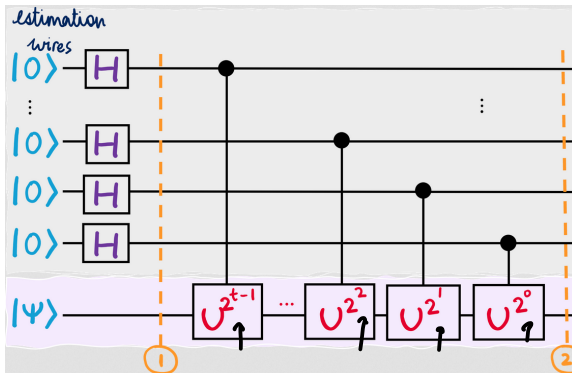
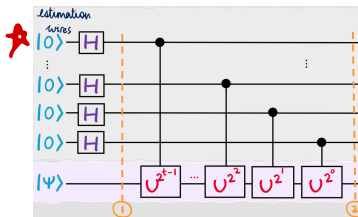


Image credit: Xanadu Quantum Codebook node P.2

## Quantum phase estimation: step 2

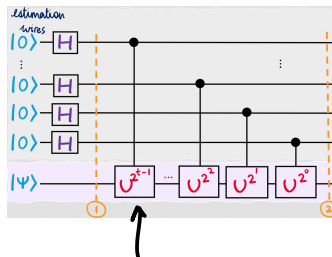


$$U|k\rangle = e^{2\pi i \theta_k} |k\rangle$$

Consider the top-most qubit:

$$\begin{aligned}
 (CU)^{t-1} \left[ \underbrace{\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)}_I |+\rangle^{\otimes t-1} |k\rangle \right] &= \frac{1}{\sqrt{2}} |0\rangle |+\rangle^{\otimes t-1} |k\rangle \\
 &\quad + \frac{1}{\sqrt{2}} |1\rangle |+\rangle^{\otimes t-1} (U)^{2^{t-1}} |k\rangle \\
 &= \frac{1}{\sqrt{2}} |0\rangle |+\rangle^{\otimes t-1} |k\rangle \\
 &\quad + \frac{1}{\sqrt{2}} |1\rangle |+\rangle^{\otimes t-1} \left( e^{2\pi i \theta_k} \right)^{2^{t-1}} |k\rangle
 \end{aligned}$$

# Quantum phase estimation: step 2

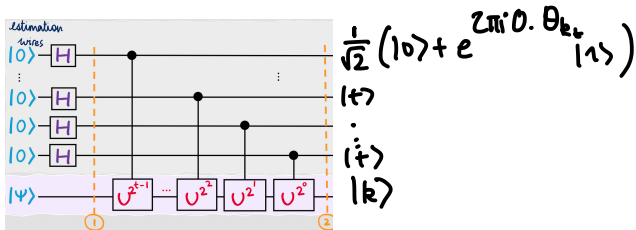


Use phase kickback

$$\begin{aligned}
 & \frac{1}{\sqrt{2}} |0\rangle \underbrace{|+\rangle^{\otimes t-1} |k\rangle}_{\text{phase kickback}} + \frac{1}{\sqrt{2}} \left( e^{2\pi i \theta_k} \right)^{2^{t-1}} |1\rangle \underbrace{|+\rangle^{\otimes t-1} |k\rangle}_{\text{phase kickback}} \\
 &= \frac{1}{\sqrt{2}} \left( |0\rangle + \left( e^{2\pi i \theta_k} \right)^{2^{t-1}} |1\rangle \right) |+\rangle^{\otimes t-1} |k\rangle \\
 &= \frac{1}{\sqrt{2}} (|0\rangle + (e^{2\pi i \theta_k})^{2^{t-1}} |1\rangle) |+\rangle^{\otimes t-1} |k\rangle
 \end{aligned}$$



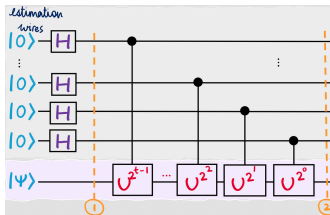
## Quantum phase estimation: step 2



What is happening in the exponent?

$$\begin{aligned}
 (e^{2\pi i \theta_k})^{2^{t-1}} &= e^{2\pi i \theta_k \cdot 2^{t-1}} \\
 &= e^{2\pi i \left( \frac{\theta_{k_1}}{2} + \frac{\theta_k}{2^2} + \dots + \frac{\theta_{k_t}}{2^t} \right) \cdot 2^{t-1}} \\
 &= e^{2\pi i \left( 2^{t-2} \cdot \theta_{k_1} + 2^{t-3} \theta_k + \dots + \frac{\theta_{k_t}}{2} \right)} \\
 &= e^{2\pi i \frac{\theta_{k_t}}{2}} \\
 &= e^{2\pi i 0 \cdot \theta_{k_t}}
 \end{aligned}$$

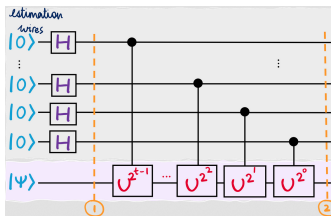
## Quantum phase estimation: step 2



So we have the combined state:

$$\frac{1}{\sqrt{2}}(|0\rangle + (e^{2\pi i \theta_k})^{2^{t-1}} |1\rangle) |+\rangle^{\otimes t-1} |k\rangle = \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_t}} |1\rangle) |+\rangle^{\otimes t-1} |k\rangle$$

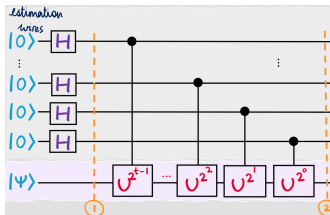
## Quantum phase estimation: step 2



Let's do the second-last qubit (ignore what happens to others for now):

$$(CU)^2 \left( |+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) |+\rangle |k\rangle \right) = |+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i \theta_k \cdot 2} |1\rangle) |+\rangle |k\rangle$$

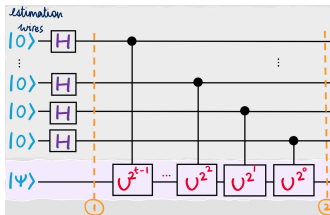
## Quantum phase estimation: step 2



Again check the exponent...

$$\begin{aligned}(e^{2\pi i \theta_k})^2 &= e^{2\pi i \theta_k \cdot 2} \\&= e^{2\pi i (\frac{\theta_{k1}}{2^1} + \frac{\theta_{k2}}{2^2} + \dots + \frac{\theta_{kt}}{2^t}) \cdot 2} \\&= e^{2\pi i (\theta_{k1} + \frac{\theta_{k2}}{2} + \dots + \frac{\theta_{kt}}{2^{t-1}})} \\&= e^{2\pi i 0.\theta_{k2} \dots \theta_{kt}}\end{aligned}$$

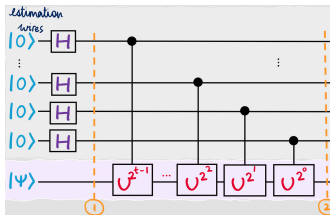
## Quantum phase estimation: step 2



So we have the combined state:

$$|+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}} (|0\rangle + (e^{2\pi i \theta_k})^2 |1\rangle) |+\rangle |k\rangle = |+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i 0.\theta_{k_2} \dots \theta_{k_t}} |1\rangle) |+\rangle |k\rangle$$

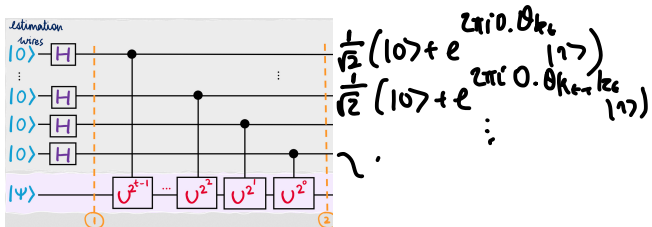
## Quantum phase estimation: step 2



Can show in the same way that for the last qubit

$$|+\rangle^{\otimes t-1} \frac{1}{\sqrt{2}}(|0\rangle + (e^{2\pi i \theta_k})|1\rangle)|k\rangle = |+\rangle^{\otimes t-1} \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_1} \dots \theta_{k_t}}|1\rangle)|k\rangle$$

## Quantum phase estimation: step 2



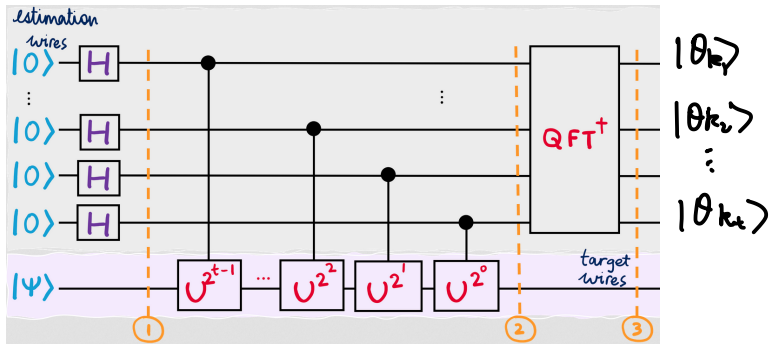
After step 2, we have the state

$$\frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0 \cdot \theta_{k_t}} |1\rangle) \cdots \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0 \cdot \theta_{k_2} \cdots \theta_{k_t}} |1\rangle) \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0 \cdot \theta_{k_1} \cdots \theta_{k_t}} |1\rangle) |k\rangle$$

Should look familiar!

$$\approx \text{QFT} | \underbrace{\theta_{k_1} \cdots \theta_{k_t}} \rangle$$

## Quantum phase estimation: step 3



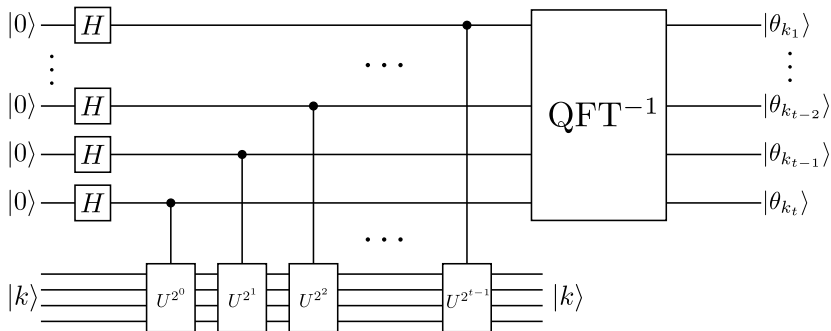
Last step is to apply the *inverse* QFT to recover the state...

Image credit: Xanadu Quantum Codebook node P.2



## Quantum phase estimation: step 3

We can then measure to learn the numerical value of  $\theta_k$ .



Let's implement it.

# Next time

## Content:

- Starting with Shor's algorithm

## Action items:

1. E-mail me your project team and paper selection by end of day

## Recommended reading:

- Codebook nodes F.1-F.3, P.1-P.4
- Nielsen & Chuang 5.1, 5.2