

CPEN 400Q Lecture 10

The quantum Fourier transform and quantum phase estimation

Friday 10 February 2023

Announcements

- Literacy assignment 2 available (due after reading week)
- Project details posted (group and paper selection due next Friday)

Last time

We introduced the quantum Fourier transform, and saw how it is the analog of the classical inverse discrete Fourier transform.

$$QFT|x\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle$$

$$QFT = \frac{1}{\sqrt{N}} \begin{pmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \dots & \omega^{N-1} \\ 1 & \omega^2 & \omega^4 & \dots & \omega^{2(N-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{N-1} & \omega^{2(N-1)} & \dots & \omega^{(N-1)(N-1)} \end{pmatrix}$$

where for n qubits, $N = 2^n$, and $\omega = e^{2\pi i/N}$

Last time

We saw the circuits for some special cases.

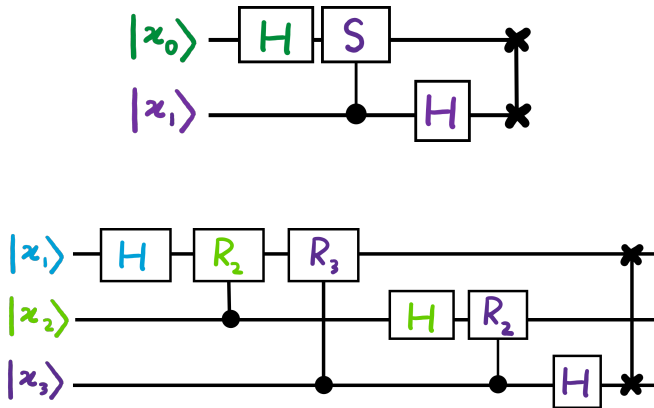


Image credit: Xanadu Quantum Codebook node F.2, F.3

Quantum Fourier transform

I showed you what the general form of the circuit looked like:

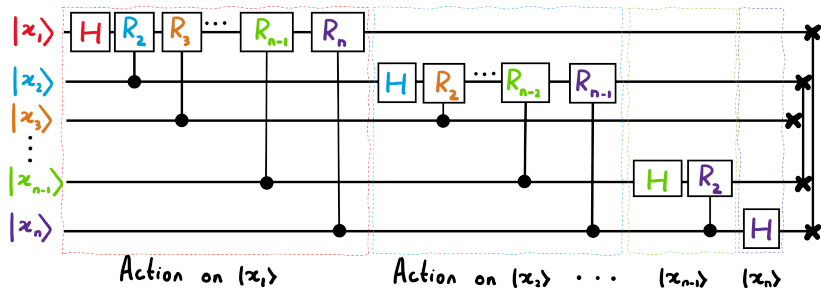


Image credit: Xanadu Quantum Codebook node F.3

- Derive the QFT circuit and implement it in PennyLane
- Describe the phase kickback trick
- Outline the steps of the quantum phase estimation (QPE) subroutine
- Use the QFT to implement QPE

Review: fractional binary notation

$$k = 2^{n-1} \cdot k_1 + 2^{n-2} k_2 + \dots + 2 k_{n-1} + k_n$$

Example: Let $k = k_1 k_2 k_3 k_4 = \underline{0.1001}$. The numerical value of k is

$$\sum_{l=1}^n \frac{k_l}{2^l}$$

$$\begin{aligned} 0.1001 &= \frac{1}{2} + \frac{0}{2^2} + \frac{0}{2^3} + \frac{1}{2^4} \\ &= \frac{1}{2} + \frac{1}{16} \\ &= 0.5625 \end{aligned}$$

We need this for the QFT because in the exponent, we have

$$\frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i x (k/N)} |k\rangle$$

$$\omega = e^{\frac{2\pi i}{N}} \downarrow 2^n$$

and k/N is a fractional value.

A circuit for the QFT

We will show that

$$\frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle$$

can be factorized as:

$$\frac{(|0\rangle + e^{2\pi i 0 \cdot x_n} |1\rangle) \otimes (|0\rangle + e^{2\pi i 0 \cdot x_{n-1} x_n} |1\rangle) \dots \otimes (|0\rangle + e^{2\pi i 0 \cdot x_1 \dots x_n} |1\rangle)}{\sqrt{N}}$$

This form reveals to us the circuit that creates this state!

A circuit for the QFT

We did this last time:

$$\begin{aligned}
 |x\rangle &\rightarrow \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{xk} |k\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i x (k/N)} |k\rangle \\
 &= \frac{1}{\sqrt{N}} \sum_{k_1=0}^1 \cdots \sum_{k_n=0}^1 e^{2\pi i x (\sum_{\ell=1}^n k_\ell 2^{-\ell})} |k_1 \cdots k_n\rangle \quad \text{convert to bits} \\
 &= \frac{1}{\sqrt{N}} \sum_{k_1=0}^1 \cdots \sum_{k_n=0}^1 \bigotimes_{\ell=1}^n e^{2\pi i x k_\ell 2^{-\ell}} |k_\ell\rangle \quad \text{distribute phase across qubits} \\
 &= \frac{1}{\sqrt{N}} \bigotimes_{\ell=1}^n \left(\sum_{k_\ell=0}^1 e^{2\pi i x k_\ell 2^{-\ell}} |k_\ell\rangle \right) \\
 &= \frac{1}{\sqrt{N}} \bigotimes_{\ell=1}^n \left(|0\rangle + e^{2\pi i x 2^{-\ell}} |1\rangle \right) \\
 &= \frac{(|0\rangle + e^{2\pi i 0 \cdot x_n} |1\rangle) (|0\rangle + e^{2\pi i 0 \cdot x_{n-1} x_n} |1\rangle) \cdots (|0\rangle + e^{2\pi i 0 \cdot x_1 \cdots x_n} |1\rangle)}{\sqrt{N}}
 \end{aligned}$$

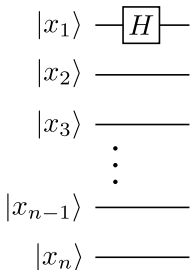
A circuit for the QFT

Starting with the state

$$|x\rangle = |x_1 \cdots x_n\rangle,$$

apply a Hadamard to qubit 1:

$$\frac{1}{\sqrt{2}} \left(|0\rangle + e^{2\pi i 0 \cdot x_1} |1\rangle \right) |x_2 \cdots x_n\rangle$$



$$x_1 = 0: \quad 0 \cdot x_1 = 0 \Rightarrow \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) |x_2 \cdots x_n\rangle$$

$$x_1 = 1: \quad 0 \cdot x_1 = \frac{x_1}{2} \quad e^{2\pi i \cdot \frac{x_1}{2}} = e^{\pi i} = -1 \Rightarrow \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle) |x_2 \cdots x_n\rangle$$

A circuit for the QFT

$$0.x_1x_2\dots x_n = \frac{x_1}{2} + \frac{x_2}{2^2} + \dots + \frac{x_n}{2^n}$$

We are trying to make

$$|x\rangle \rightarrow \frac{(|0\rangle + e^{2\pi i 0.x_n} |1\rangle) (|0\rangle + e^{2\pi i 0.x_{n-1}x_n} |1\rangle) \dots (|0\rangle + e^{2\pi i 0.x_1\dots x_n} |1\rangle)}{\sqrt{N}}$$

Every qubit has a different *phase* on the $|1\rangle$ state.

We need a gate that adds this:

$$R_z = \begin{pmatrix} e^{-i\theta/2} & 0 \\ 0 & e^{i\theta/2} \end{pmatrix} \\ \sim \begin{pmatrix} 1 & 0 \\ 0 & e^{i\theta} \end{pmatrix}$$

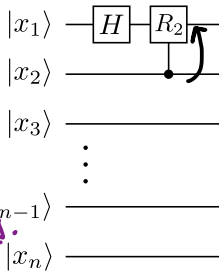
$$R_k = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^k} \end{pmatrix}$$

A circuit for the QFT

Apply controlled R_2 from qubit
 $2 \rightarrow 1$

$$R_2 = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i \frac{1}{2^2}} \end{pmatrix}$$

$\uparrow e^{2\pi i \cdot \frac{1}{2^2}}$



First qubit picks up a phase:

$$\frac{1}{\sqrt{2}} \left(|0\rangle + e^{2\pi i 0 \cdot x_1} |1\rangle \right) |x_2 \dots x_n\rangle$$

$\underbrace{\hspace{10em}}$

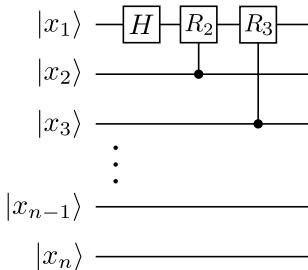
$$C_{-R_2} = \frac{1}{\sqrt{2}} \left(|0\rangle + e^{2\pi i 0 \cdot x_1 x_2} |1\rangle \right) |x_2 \dots x_n\rangle$$

Had. $\left(\frac{x_1}{2} + \frac{x_2}{2^2} \right) - R_2$

A circuit for the QFT

Apply controlled R_3 from qubit
 $3 \rightarrow 1$

$$R_3 = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\frac{\pi i}{2^3}} \end{pmatrix}$$



First qubit picks up another phase:

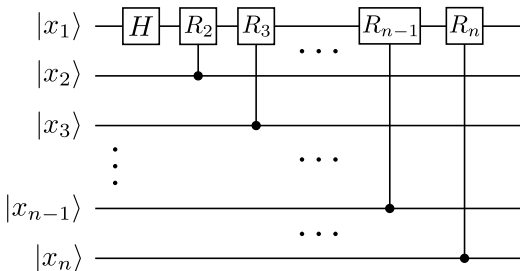
$$\frac{1}{\sqrt{2}} \left(|0\rangle + e^{2\pi i 0 \cdot x_1 x_2} |1\rangle \right) |x_2 \dots x_n\rangle$$

$$\Rightarrow \frac{1}{\sqrt{2}} \left(|0\rangle + e^{2\pi i 0 \cdot x_1 x_2 x_3} |1\rangle \right) |x_2 \dots x_n\rangle$$

A circuit for the QFT

Apply a controlled R_4 from $4 \rightarrow 1$, etc. up to the n -th qubit to get

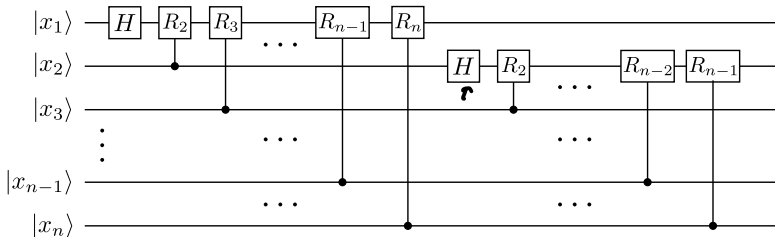
$$\frac{1}{\sqrt{2}} \left(|0\rangle + e^{2\pi i 0 \cdot x_1 x_2 \dots x_n} |1\rangle \right) |x_2 \dots x_n\rangle$$



A circuit for the QFT

Next, do the same thing with the second qubit: apply H , and then controlled rotations from every qubit from 3 to n to get

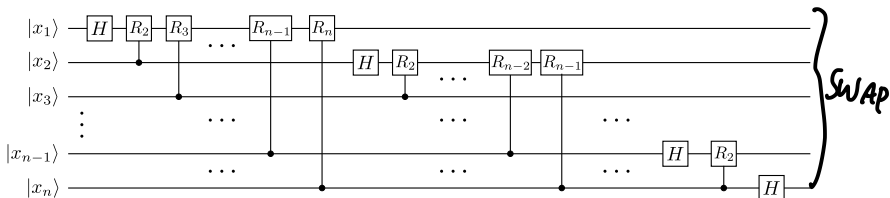
$$\frac{1}{(\sqrt{2})^2} \left(|0\rangle + e^{2\pi i 0 \cdot x_1 x_2 \dots x_n} |1\rangle \right) \left(|0\rangle + e^{2\pi i 0 \cdot x_2 x_3 \dots x_n} |1\rangle \right) |x_3 \dots x_n\rangle$$



A circuit for the QFT

Do this for all qubits to get that big ugly state from earlier:

$$|x\rangle \rightarrow \frac{(|0\rangle + e^{2\pi i 0 \cdot x_n} |1\rangle) (|0\rangle + e^{2\pi i 0 \cdot x_{n-1} x_n} |1\rangle) \dots (|0\rangle + e^{2\pi i 0 \cdot x_1 \dots x_n} |1\rangle)}{\sqrt{N}}$$

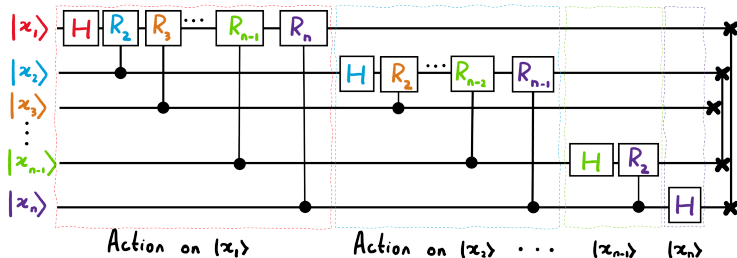


(though note that the order of the qubits is backwards - this is easily fixed with some SWAP gates)

Quantum Fourier transform

Gate counts:

- n Hadamard gates
- $n(n-1)/2$ controlled rotations
- $\lfloor n/2 \rfloor$ SWAP gates if you care about the order

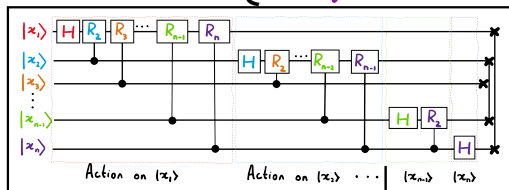


The number of gates is *polynomial* in n !

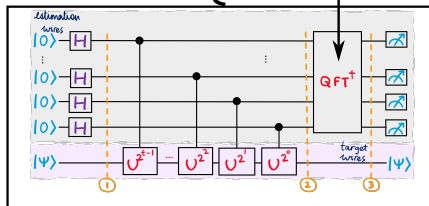
Efficient!

Reminder: where are we going?

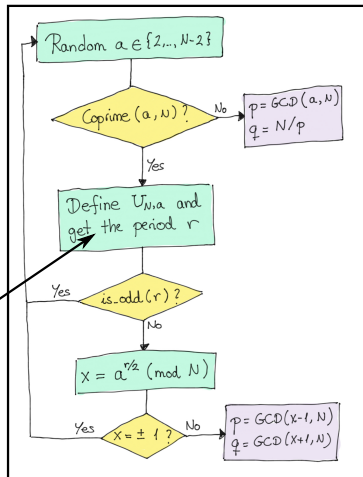
1. QFT ✓



2. QPE



3. Shor



Eigenvalues of unitary matrices

Fun fact: eigenvalues of unitary matrices are complex numbers with magnitude 1.

$$U \leftrightarrow e^{i\theta}$$

properly
normalized
state

Proof: Let λ_k be the eigenvalue associated with eigenvector $|k\rangle$ of a unitary U :

$$U|k\rangle = \lambda_k |k\rangle \quad (1)$$

We can take the conjugate transpose of this equation:

$$(U|k\rangle)^{\dagger} = (\lambda_k |k\rangle)^{\dagger} \Rightarrow \langle k| U^{\dagger} = \lambda_k^* \langle k| \quad (2)$$

Multiply the two sides together:

$$\begin{aligned} (2) \times (1): \quad \langle k| U^{\dagger} U |k\rangle &= \lambda_k^* \langle k| \cdot \lambda_k |k\rangle \\ &= \lambda_k^* \lambda_k \langle k|k\rangle \\ &= |\lambda_k|^2 \cdot 1 \end{aligned}$$

$$1 = |\lambda_k|^2 \Rightarrow \lambda_k = e^{i\theta_k}$$

Eigenvalues of unitary matrices

So we can write

$$\lambda_k = e^{2\pi i \theta_k}$$

$$0, \theta_k, \dots, \theta_k$$

where θ_k is some phase angle such that $|\theta_k| \leq 1$.

What if we want to *learn* an unknown θ_k ?

Eigenvalues of unitary matrices

Idea: apply U to the relevant eigenvector, because that's "what makes the phase come out".


$$U|k\rangle = e^{2\pi i\theta_k} |k\rangle$$

...but this is an unobservable *global* phase!

We have to do something different: eigenvalue estimation, or **quantum phase estimation** (QPE).

Quantum phase estimation

Given a unitary U and one of its eigenvectors $|k\rangle$, estimate the value of θ_k such that

$$U|k\rangle = e^{2\pi i\theta_k}|k\rangle$$


Must determine:

- How to design a circuit that extracts the θ_k
- To what precision can we estimate it
- What to do if we don't know a $|k\rangle$ in advance

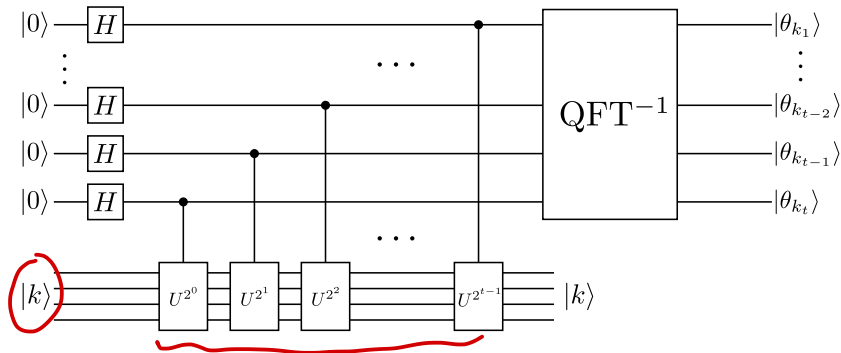
(You will explore the last two in your homework!)

Quantum phase estimation

Let U be an n -qubit unitary; $|k\rangle$ is an n -qubit eigenstate.

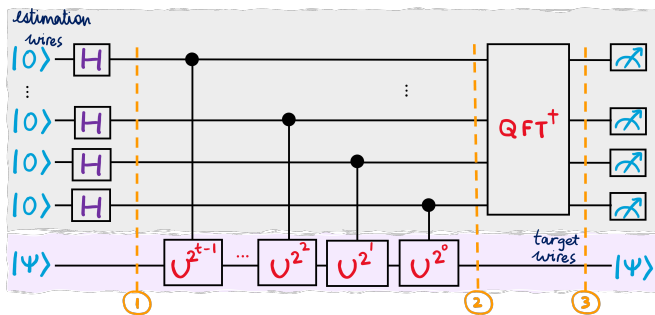
Assume θ_k can be represented *exactly* using t bits:

$$\theta_k = 0.\theta_{k_1} \cdots \theta_{k_t}$$



Quantum phase estimation

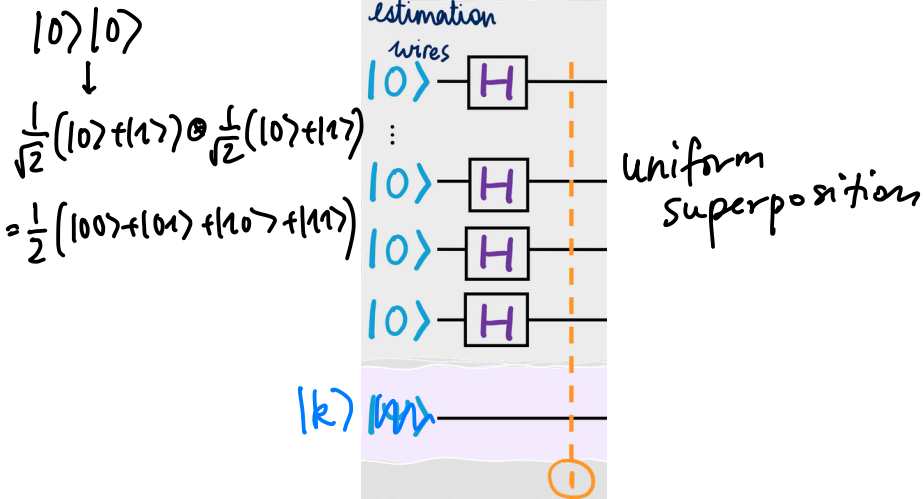
You may see this version too:



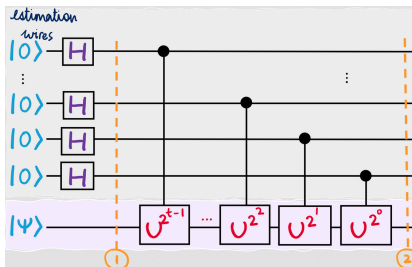
Let's analyze the state at points 1, 2, and 3 above.

Image credit: Xanadu Quantum Codebook node P.2

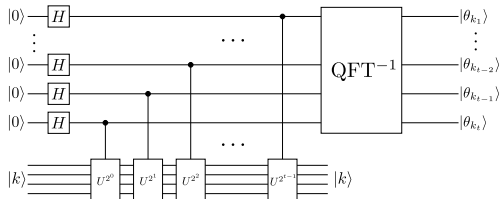
Quantum phase estimation: step 1



Quantum phase estimation: step 2



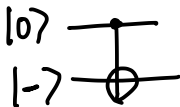
We apply U to $|k\rangle$; how does the phase get to the top register?



Phase kickback

The secret lies in something called *phase kickback*.

What happens when we apply a CNOT to the following state?



$$|0\rangle \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) = |0\rangle |-\rangle$$

$$\text{CNOT} \left(|0\rangle \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \right) = |0\rangle \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

A quantum circuit diagram with two horizontal lines representing qubits. The top line starts with the label $|0\rangle$ and has a control dot connected to a target circle on the bottom line. The bottom line starts with the label $|-\rangle$. The diagram is part of an equation showing the result of applying a CNOT gate to the state $|0\rangle \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$.

We stopped here.

What happens when we apply a CNOT to this state?

$$|1\rangle \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \quad \begin{array}{c} |1\rangle \\ |-\rangle \end{array} \begin{array}{c} \text{---} \bullet \text{---} \\ \text{---} \oplus \text{---} \end{array}$$

↓ CNOT

$$\begin{aligned} \text{CNOT} \left(|1\rangle \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \right) &= |1\rangle \left(\frac{|1\rangle - |0\rangle}{\sqrt{2}} \right) = |1\rangle (-|-\rangle) \\ &= (-|1\rangle) |-\rangle \end{aligned}$$

It looks like we've changed the phase of the second qubit.

Phase kickback

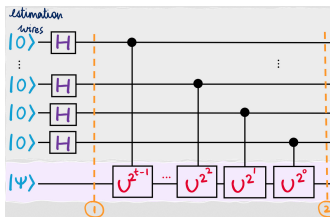
The math doesn't care which qubit a global phase is attached to.

$$CNOT \left(|1\rangle \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \right) = (-|1\rangle) \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

Seems the *target* qubit has done something to the *control* qubit!

We say that the phase has been “kicked back” from the second qubit to the first.

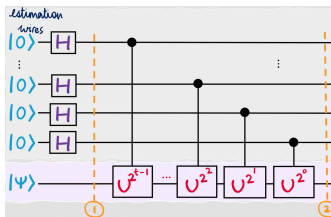
Quantum phase estimation: step 2



Consider the top-most qubit:

$$\begin{aligned}
 (CU)^{2^{t-1}} \left(\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)|+\rangle^{\otimes t-1}|k\rangle \right) &= (CU)^{2^{t-1}} \left(\frac{1}{\sqrt{2}}|0\rangle|+\rangle^{\otimes t-1}|k\rangle \right) \\
 &\quad + (CU)^{2^{t-1}} \left(\frac{1}{\sqrt{2}}|1\rangle|+\rangle^{\otimes t-1}|k\rangle \right) \\
 &= \left(\frac{1}{\sqrt{2}}|0\rangle|+\rangle^{\otimes t-1}|k\rangle \right) \\
 &\quad + \left(\frac{1}{\sqrt{2}}|1\rangle|+\rangle^{\otimes t-1}(e^{2\pi i \theta_k})^{2^{t-1}}|k\rangle \right)
 \end{aligned}$$

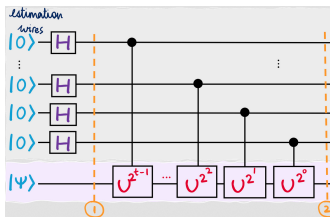
Quantum phase estimation: step 2



Use phase kickback

$$\begin{aligned}
 & \left(\frac{1}{\sqrt{2}} |0\rangle |+\rangle^{\otimes t-1} |k\rangle \right) + \left(\frac{1}{\sqrt{2}} |1\rangle |+\rangle^{\otimes t-1} (e^{2\pi i \theta_k})^{2^{t-1}} |k\rangle \right) \\
 &= \left(\frac{1}{\sqrt{2}} |0\rangle |+\rangle^{\otimes t-1} |k\rangle \right) + \left(\frac{1}{\sqrt{2}} (e^{2\pi i \theta_k})^{2^{t-1}} |1\rangle |+\rangle^{\otimes t-1} |k\rangle \right) \\
 &= \frac{1}{\sqrt{2}} (|0\rangle + (e^{2\pi i \theta_k})^{2^{t-1}} |1\rangle) |+\rangle^{\otimes t-1} |k\rangle
 \end{aligned}$$

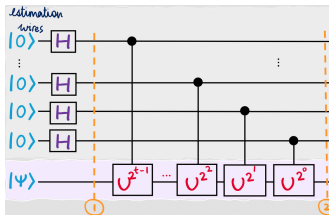
Quantum phase estimation: step 2



What is happening in the exponent?

$$\begin{aligned}(e^{2\pi i \theta_k})^{2^{t-1}} &= e^{2\pi i \theta_k \cdot 2^{t-1}} \\&= e^{2\pi i (\frac{\theta_{k_1}}{2^1} + \frac{\theta_{k_2}}{2^2} + \dots + \frac{\theta_{k_t}}{2^t}) \cdot 2^{t-1}} \\&= e^{2\pi i (2^{t-2} \theta_{k_1} + 2^{t-3} \theta_{k_2} + \dots + \frac{\theta_{k_t}}{2})} \\&= e^{2\pi i \frac{\theta_{k_t}}{2}} \\&= e^{2\pi i 0.\theta_{k_t}}\end{aligned}$$

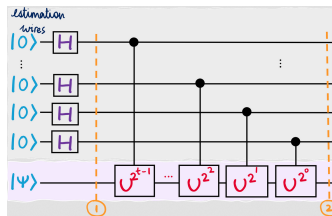
Quantum phase estimation: step 2



So we have the combined state:

$$\frac{1}{\sqrt{2}}(|0\rangle + (e^{2\pi i \theta_k})^{2^{t-1}} |1\rangle) |+\rangle^{\otimes t-1} |k\rangle = \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_t}} |1\rangle) |+\rangle^{\otimes t-1} |k\rangle$$

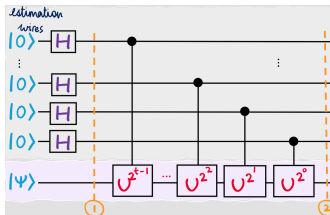
Quantum phase estimation: step 2



Let's do the second-last qubit (ignore what happens to others for now):

$$(CU)^2 \left(|+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) |+\rangle |k\rangle \right) = |+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i \theta_k \cdot 2} |1\rangle) |+\rangle |k\rangle$$

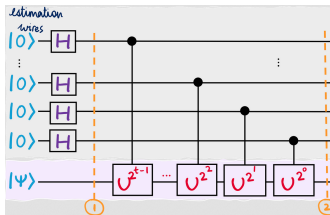
Quantum phase estimation: step 2



Again check the exponent...

$$\begin{aligned}(e^{2\pi i \theta_k})^2 &= e^{2\pi i \theta_k \cdot 2} \\ &= e^{2\pi i (\frac{\theta_{k1}}{2^1} + \frac{\theta_{k2}}{2^2} + \dots + \frac{\theta_{kt}}{2^t}) \cdot 2} \\ &= e^{2\pi i (\theta_{k1} + \frac{\theta_{k2}}{2} + \dots + \frac{\theta_{kt}}{2^{t-1}})} \\ &= e^{2\pi i 0.\theta_{k2} \dots \theta_{kt}}\end{aligned}$$

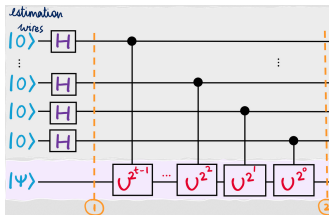
Quantum phase estimation: step 2



So we have the combined state:

$$|+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}}(|0\rangle + (e^{2\pi i \theta_k})^2 |1\rangle) |+\rangle |k\rangle = |+\rangle^{\otimes t-2} \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_2} \dots \theta_{k_t}} |1\rangle) |+\rangle |k\rangle$$

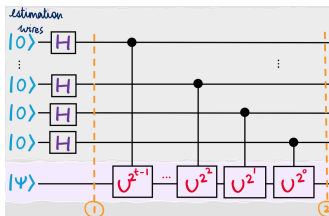
Quantum phase estimation: step 2



Can show in the same way that for the last qubit

$$|+\rangle^{\otimes t-1} \frac{1}{\sqrt{2}}(|0\rangle + (e^{2\pi i \theta_k})|1\rangle)|k\rangle = |+\rangle^{\otimes t-1} \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_1} \dots \theta_{k_t}}|1\rangle)|k\rangle$$

Quantum phase estimation: step 2

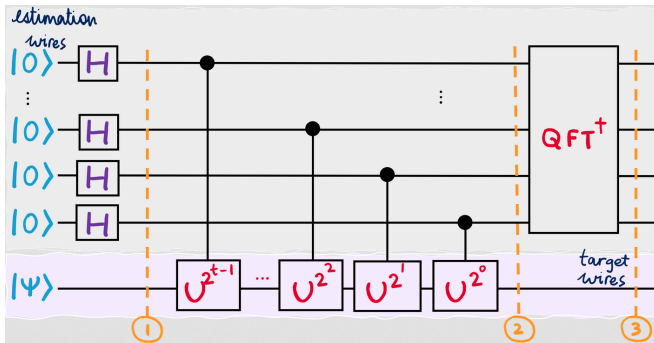


After step 2, we have the state

$$\frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_t}} |1\rangle) \cdots \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_2} \cdots \theta_{k_t}} |1\rangle) \frac{1}{\sqrt{2}}(|0\rangle + e^{2\pi i 0.\theta_{k_1} \cdots \theta_{k_t}} |1\rangle) |k\rangle$$

Should look familiar!

Quantum phase estimation: step 3

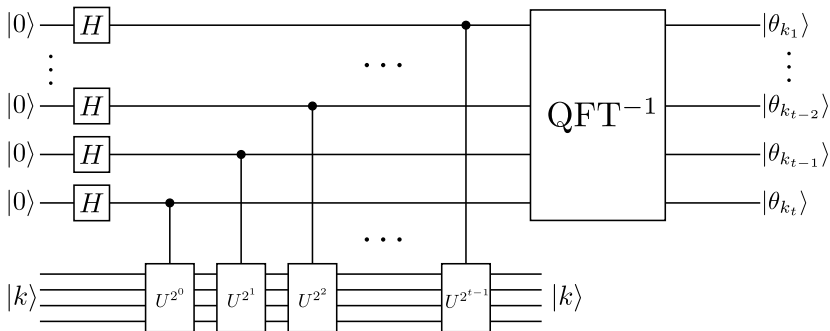


Last step is to apply the *inverse* QFT to recover the state...

Image credit: Xanadu Quantum Codebook node P.2

Quantum phase estimation: step 3

We can then measure to learn the numerical value of θ_k .



Let's implement it.

Next time

Content:

- Quiz 5 on Monday
- Continuing with QPE
- Moving towards Shor's algorithm

Action items:

1. Choose project group and paper
2. Literacy assignment 2

Recommended reading:

- Codebook nodes F.1-F.3, P.1-P.4
- Nielsen & Chuang 5.1, 5.2