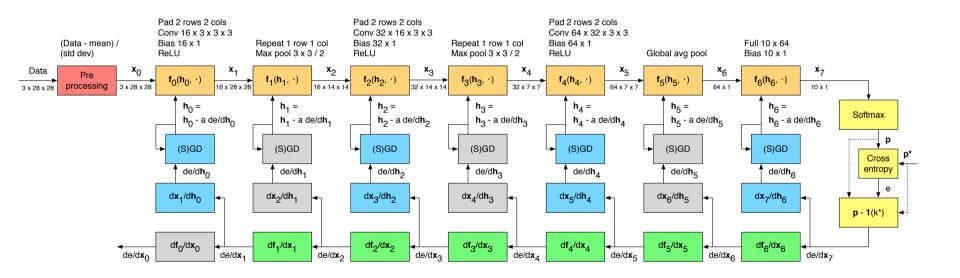
# Test Study Guide

Arthur J. Redfern axr180074@utdallas.edu Sep 26, 2018

# **Example Network**



### Introduction

- Information extraction framework (data to information)
  - Pre processing
  - Feature extraction
  - Prediction
  - Post processing
- Pre and post processing
  - Tend to be application dependent
- Feature extraction and prediction
  - Can use CNNs for feature extraction and prediction for many applications
  - Use machine learning to learn CNN parameters from data

### Linear Algebra

#### CNN style 2D convolution

- With an input size of N<sub>i</sub> x L<sub>r</sub> x L<sub>c</sub>
- With an input 0 pad of  $(F_r 1)$  rows and  $(F_c 1)$  cols
- With a filter size of N<sub>0</sub> x N<sub>1</sub> x F<sub>r</sub> x F<sub>c</sub>
- What is the output size (note zero padding of F 1)?
- What is the equivalent matrix problem size?
- How many MACs not taking advantage of 0s in pad?

$$N_0 \times L_r \times L_c$$

$$M_{BLAS} = N_o$$
,  $N_{BLAS} = L_r L_c$ ,  $K_{BLAS} = N_i F_r F_c$ 

$$N_0 N_i F_r F_c L_r L_c$$

#### Matrix vector multiplication

- With an input size of N<sub>i</sub> x 1
- With a filter size of N<sub>o</sub> x N<sub>i</sub>
- What is the output size?
- Can you use an inner product to write output m?
- How many weights?

$$N_o \times 1$$
  
< $H(m, :)^T$ ,  $x>$ , strength  $||H(m, :)||_2$ , alignment  $\theta$   
 $N_o N_i$ 

### Calculus

#### Gradient

- Scalar function of multiple variables
- Partial derivative with respect to each variable
- Points in direction of maximum change of function
- $\nabla f(\mathbf{x}) = [(\partial f/\partial x_0) (\partial f/\partial x_1) \dots (\partial f/\partial x_{K-1})]^T$
- Compute the gradient of the error with respect to the final output

#### • Error gradient propagation

•	How do we propagate?	Reverse mode automatic differentiation / chain rule from calculus
•	What does it do?	Constructs a graph that propagates the error gradient from the end to the beginning
•	How does it work?	$\partial e/\partial \mathbf{x}_{\perp} = (\partial \mathbf{x}_{\perp \perp}/\partial \mathbf{x}_{\perp}) (\partial e/\partial \mathbf{x}_{\perp \perp}) = (\partial f_{\perp}/\partial \mathbf{x}_{\perp}) (\partial e/\partial \mathbf{x}_{\perp \perp})$

#### Parameter update

<ul><li>How do we update?</li></ul>	Gradient descent (later, many variants)
<ul><li>What does it do?</li></ul>	Update the parameters in a small step in the opposite direction of the error gradient
<ul><li>How does it work?</li></ul>	$\partial e/\partial \mathbf{h}_{d} = (\partial \mathbf{x}_{d+1}/\partial \mathbf{h}_{d}) (\partial e/\partial \mathbf{x}_{d+1}), \mathbf{h}_{d} \leftarrow \mathbf{h}_{d} - \alpha \partial e/\partial \mathbf{h}_{d}$

# **Probability**

#### Input normalization

- Assume the input **X** has mean  $\mu$  and std dev  $\sigma$
- How can you normalize to 0 mean unit variance?

- What does soft max do?
- What does cross entropy do?
- What is the simple error gradient formula?

#### Feature map compression

- Assume feature map elements are independent
- And all have the same PMF and 8 bit quantization
- $p_x(0) = 0.5$ ,  $p_x(!=0) = 0.5 / 255$
- What is the entropy bound for compression?

$$X \leftarrow (X - \mu) / \sigma$$

Converts network output to a ~ PMF

Divergence between network PMF and true PMF for a 1 hot input

 $\mathbf{p} - \mathbf{1}(\mathbf{k}^*)$  where  $\mathbf{p}$  is the network PMF and  $\mathbf{k}^*$  is the correct class

H(X) = 
$$-(0.5 \log_2(0.5)) - ((255) (0.5/255) \log_2(0.5/255))$$
  
~ 5 bits per element

### **Algorithms**

#### Pooling

• What does it do? Reduces the spatial resolution of the feature maps

• What else? Increases the receptive field size

#### Sequential comparison sort

- For unknown input require O(N log2(N)) comparisons
- Can you outline a short proof of this bound?
- · Proof outline
  - There are N! possible arrangements of a sequence of length N
  - View the arrangements as a random variable X(s)
    - The probability of each arrangement is 1/N!
    - Uniform probability mass function with support of size N!
  - The entropy (information) of a realization of this random variable
    - $H(X(s)) = -\Sigma (1/N!) \log_2(1/N!) = \log_2(N!)$
  - Each comparison in a comparison sort gives at most 1 bit of information
  - To reduce the entropy to 0 with C comparisons need  $log_2(N!) C \le 0$ 
    - $C \ge \log_2(N!) \approx O(N \log_2(N))$  via Stirling's approximation

### Design

#### Tail

• What is a common tail design?

64 x 3 x 7 x 7 / 2 conv, 3 x 3 / 2 max pool

#### Body

- How is CNN convolution commonly split?
- Why?
- How does a residual building block work?
- Why?

Standard convolution (spatial), 1x1 CNN convolution (channel)

Save computation and memory, still get spatial and channel mixing

 $x_{d+1} = f_d(x_d) = x_d + h_d(x_d)$ 

Error gradient propagates as identity + perturbation, allows deeper nets

#### Head

- What is a common head design?
- · What is assumed in this?

Global avg pool, matrix vector mult, bias add, soft max or arg max Output classes are linearly (affine) separable from features

#### Receptive field size

- What is the receptive field size at x<sub>5</sub>?
- How was that calculated?

((1+2)\*2+2+2)\*2+2+2=24 pixels in the original input Start at end with 1, filter adds F – 1 and down sampling multiplies