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**PYATB**

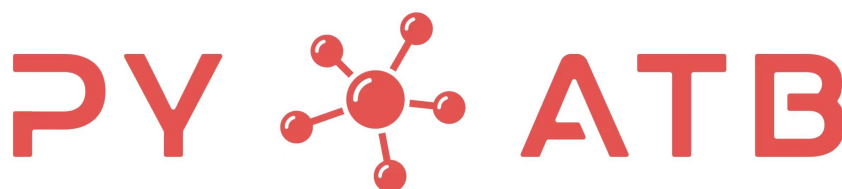
**PYATB**

**Mar 31, 2023**

# QUICK START

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## INTRODUCTION



PYATB (Python ab initio tight binding simulation package) is an open-source software package designed for computing electronic structures and related properties based on the ab initio tight binding Hamiltonian. The Hamiltonian can be directly obtained after conducting self-consistent calculations with first-principles packages using numerical atomic orbital (NAO) bases, such as [ABACUS](#). The package comprises three modules - Bands, Geometric, and Optical, each providing a comprehensive set of tools for analyzing different aspects of a material's electronic structure.

The Bands module enables users to calculate essential properties of band structures, including the partial density of states (PDOS), fat bands, Fermi surfaces, Weyl/Dirac points, and more. Additionally, the band unfolding method is utilized to obtain the energy band spectra of a supercell by projecting the electronic structure of the supercell onto the Brillouin zone of the primitive cell.

In the Geometric module, users have access to tools for computing Berry phase and Berry curvature-related quantities, such as electric polarization, Wilson loops, Chern numbers, anomalous Hall conductivities, and more.

The Optical module offers a range of optical property calculations, including optical conductivity and nonlinear optical responses, such as shift current and Berry curvature dipole.

PYATB is licensed under GPLv3.0, making it freely available for use and modification by the scientific community. The main developers of PYATB are Gan Jin, Hongsheng Pang, Yuyang Ji, Zujian Dai, under the supervision of Prof. Lixin

He at the University of Science and Technology of China.

## 1.1 Capabilities

PYATB provides three major modules: *Bands module*, *Geometric module*, *Optical module*, each with its own set of functions:

- Bands module
  - **Band structure**  
Allows users to calculate the energy bands and wave functions using three different **k**-point modes: k-point, k-line, and k-mesh.
  - **Band unfolding**  
Calculates the spectra weight by unfolding the energy bands of a supercell into the Brillouin zone (BZ) of the primitive cell.
  - **Fermi energy**  
Calculates the Fermi energy at a given temperature.
  - **Fermi surface**  
Plots the Fermi surface.
  - **Find nodes**  
Allows users to search for degenerate points of the energy bands in the BZ within a specified energy window. This function can be used to find the Weyl/Dirac points in Weyl/Dirac semimetals.
  - **DOS and PDOS**  
Calculates the density of states (DOS) and partial density of states (PDOS) of particular orbitals.
  - **Fat band**  
Provides the contribution of each atomic orbital to the electronic wave functions at each **k**-point in the BZ.
  - **Spin texture**  
Plots the spin polarization vector as a function of momentum in the BZ.
- Geometric module
  - **Wilson loop**  
Enables users to calculate the  $\mathbb{Z}_2$  number by tracking the Wannier centers along the Wilson loop.
  - **Electric polarization**  
Evaluates the electric polarization in various directions for non-centrosymmetric materials based on the Berry phase theory.
  - **Berry curvature**  
Computes the Berry curvature in the BZ.
  - **Anomalous Hall conductivity**  
Calculates the anomalous Hall conductivity using Berry curvature.
  - **Chern number**  
Calculates the Chern number of a system for any given **k**-plane.
  - **Chirality**  
Examines the chirality of Weyl points by calculating the Berry curvature on a sphere around the **k** point.
- Optical module

- **JDOS**  
Calculates the joint density of states (JDOS), which characterizes both electronic states and optical transitions.
- **Optical conductivity and dielectric function**  
Calculates the frequency-dependent optical conductivity and dielectric function.
- **Shift current**  
Calculates the shift current conductivity tensor for the bulk photovoltaic effect.
- **Berry curvature dipole**  
Calculates the Berry curvature dipole which leads to the nonlinear anomalous Hall effects.

## 1.2 Methodology

PYATB is based on the ab initio tight binding model, where the parameters of the Hamiltonian are generated directly from the self-consistent calculations using first-principles software based on numerical atomic orbitals (NAO) bases, such as ABACUS.

**NOTE:** ABACUS (Atomic-orbital Based Ab-initio Computation at UStc) is an open-source package based on density functional theory (DFT). For a detailed introduction, please refer to <https://abacus.ustc.edu.cn/>.

In a periodic system, the Kohn–Sham equation at a given  $\mathbf{k}$  point can be written as,

$$H|\Psi_{n\mathbf{k}}\rangle = E_{n\mathbf{k}}|\Psi_{n\mathbf{k}}\rangle.$$

Here  $\Psi_{n\mathbf{k}}$  is the Bloch wave function of the  $n$ -th band, and can be expressed under NAO as,

$$|\Psi_{n\mathbf{k}}\rangle = \frac{1}{\sqrt{N}} \sum_{\mu} C_{n\mu}(\mathbf{k}) \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot\mathbf{R}} |\mathbf{R}\mu\rangle,$$

where  $|\mathbf{R}\mu\rangle \equiv \phi_{\mu}(\mathbf{r} - \tau_{\mu} - \mathbf{R})$  is the  $\mu$ -th atomic orbital, in the  $\mathbf{R}$ -th unit cell, and  $\tau_{\mu}$  denotes the center position of this orbital. The composite index  $\mu = (\alpha, i, \zeta, l, m)$ , where  $\alpha$  is the element type,  $i$  is the index of the atom of each element type,  $\zeta$  is the multiplicity of the radial functions for the angular momentum  $l$ , and  $m$  is the magnetic quantum number. The coefficient of the NAO is given by  $C_{n\mu}(\mathbf{k})$ .

Under the NAO base, the Kohn-Sham equation becomes a eigenvalue problem,

$$H(\mathbf{k})C_n(\mathbf{k}) = E_{n\mathbf{k}}S(\mathbf{k})C_n.$$

where  $H(\mathbf{k})$ ,  $S(\mathbf{k})$  and  $C_n(\mathbf{k})$  are the Hamiltonian matrix, overlap matrix and eigenvectors of the  $n$ -th band, respectively.

To obtain the  $H(\mathbf{k})$  and  $S(\mathbf{k})$ , we first calculate tight binding Hamiltonian in real space via first-principles softwares based on NAOs, such as ABACUS,

$$\begin{aligned} H_{\nu\mu}(\mathbf{R}) &= \langle \mathbf{0}\nu | H | \mathbf{R}\mu \rangle, \\ S_{\nu\mu}(\mathbf{R}) &= \langle \mathbf{0}\nu | \mathbf{R}\mu \rangle. \end{aligned}$$

Once we have the  $H_{\nu\mu}(\mathbf{R})$  and  $S_{\nu\mu}(\mathbf{R})$ , we can obtain the Hamiltonian matrix and the overlap matrix at arbitrary  $\mathbf{k}$  points using the following relation,

$$\begin{aligned} H_{\nu\mu}(\mathbf{k}) &= \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot\mathbf{R}} H_{\nu\mu}(\mathbf{R}), \\ S_{\nu\mu}(\mathbf{k}) &= \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot\mathbf{R}} S_{\nu\mu}(\mathbf{R}), \end{aligned}$$

When solving for the geometric properties of the bands, we also need the dipole matrix between the NAOs, namely:

$$r_{\nu\mu,a}(\mathbf{R}) = \langle \mathbf{0}\nu | r_a | \mathbf{R}\mu \rangle \quad a = x, y, z.$$

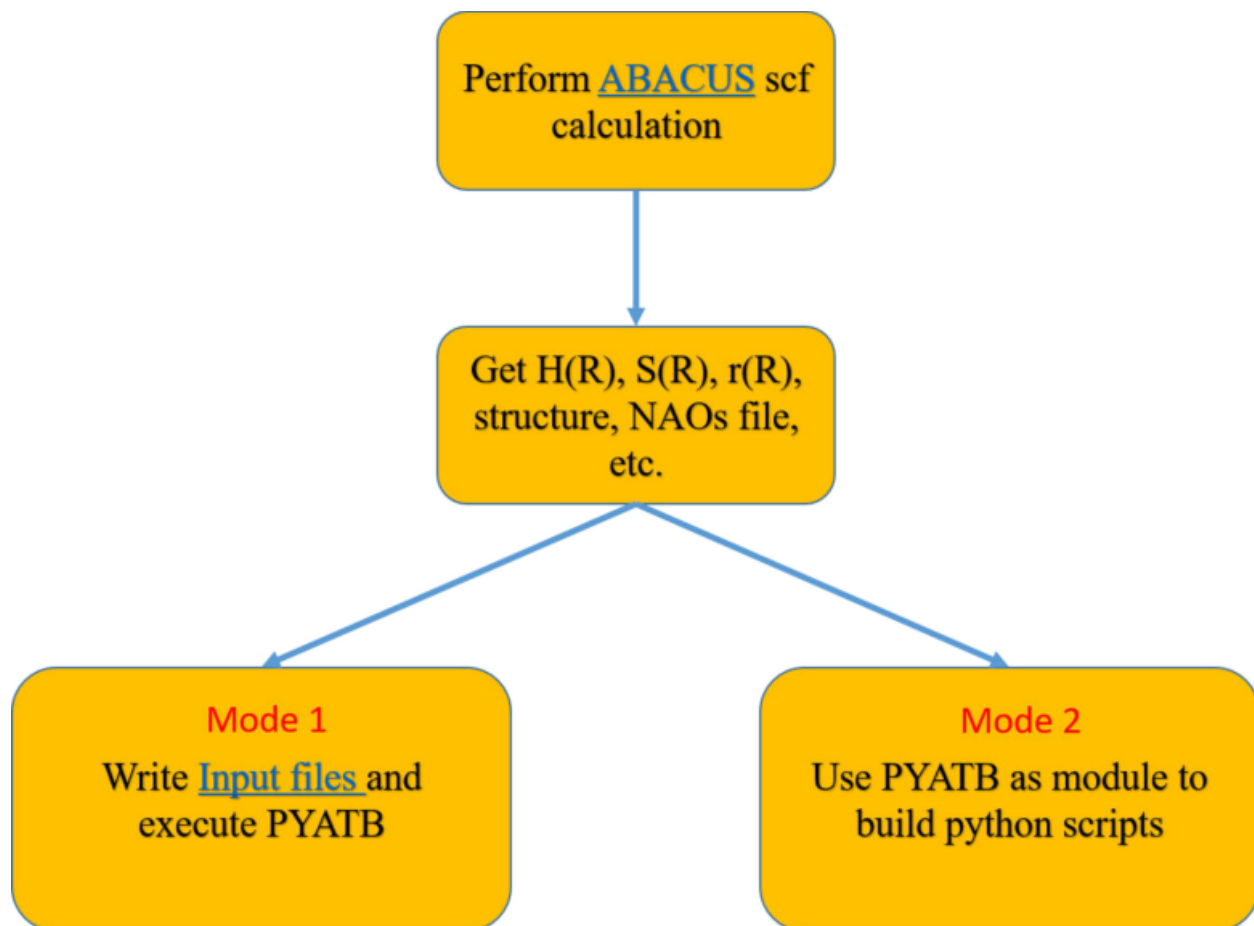
The dipole matrix  $A_{\nu\mu}^R(\mathbf{k})$  can then be obtained by Fourier transform,

$$A_{\nu\mu,a}^R(\mathbf{k}) = \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot\mathbf{R}} r_{\nu\mu,a}(\mathbf{R}).$$

After obtaining the tight binding parameters  $H_{\nu\mu}(\mathbf{R})$ ,  $S_{\nu\mu}(\mathbf{R})$ , and  $r_{\nu\mu,a}(\mathbf{R})$  from the first principles software, the electronic structures and related physical properties can be calculated using PYATB. More description of the methods used in PYATB can be found in Ref. ???

### 1.3 Workflow

The workflow of PYATB involves several key steps. First, one needs to perform self-consistent calculations using ABACUS to generate tight binding Hamiltonian, including  $H_{\nu\mu}(\mathbf{R})$ ,  $S_{\nu\mu}(\mathbf{R})$ , and  $r_{\nu\mu,a}(\mathbf{R})$ . Some of the functions may require crystal structure file and atomic orbital data. Once all the necessary files are obtained, the user can either write an Input file to perform the corresponding function computation using PYATB or write a script utilizing the PYATB module to carry out the calculations.



## INSTALLATION

### 2.1 Download

The latest version of the PYATB package can be obtained from the GitHub repository:

```
git clone https://github.com/jingan-181/pyatb.git
```

### 2.2 Prerequisites

Currently, PYATB is only supported on Linux systems and has not been tested on Windows or Mac systems. To use PYATB, the following prerequisites must be installed:

- Python 3.7 or newer
- NumPy
- SciPy
- mpi4py
- Matplotlib
- C++ compiler
- Intel MKL

### 2.3 Install

You can install PYATB with the following command:

```
python setup.py install --record log
```

To customize the `setup.py` file, you must make changes to the **CXX** and **LAPACK\_DIR** variables in line with your environment. **CXX** denotes the C++ compiler you intend to use, for instance, `icpc` (note that it should not be the `mpi` version). Furthermore, **LAPACK\_DIR** is used to specify the Intel MKL path.

After completing the installation process, you can access the `pyatb` executable and corresponding module, which can be imported using the `import pyatb` command.

To uninstall the software, you simply delete the files generated during the Python installation process. A straightforward way to do this is to execute the following uninstallation command:

```
cat log | xargs rm -rf
```

**NOTE:** Be sure to delete all files related to pyatb. Otherwise it will cause unnecessary impact on the next installation.



## **RUN**

PYATB supports mixed parallelism of OpenMP and MPI, and you need to determine the number of threads and processes to run depending on the actual configuration of your computer.

For example, set the number of threads to 2,

```
export OMP_NUM_THREADS=2
```

and then use 6 processes to run PYATB,

```
mpirun -n 6 pyatb
```

## BRIEF INTRODUCTION OF THE INPUT FILES

### 4.1 Input

The Input file describes the basic information about the system and the parameters required to calculate the function. For a complete list of the input parameters, please consult this [input list](#).

The following is an example of a Input file:

```
INPUT_PARAMETERS
{
    nspin                4
    package              ABACUS
    fermi_energy         9.481194886038594
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route            new-data-rR-tr_SPIN4
    HR_unit              Ry
    rR_unit              Bohr
    max_kpoint_num      8000
}

LATTICE
{
    lattice_constant     1.8897162
    lattice_constant_unit Bohr
    lattice_vector
    -2.069  -3.583614  0.000000
     2.069  -3.583614  0.000000
     0.000   2.389075  9.546667
}

BAND_STRUCTURE
{
    wf_collect           0
    kpoint_mode          line
    kpoint_num           5
    high_symmetry_kpoint
    0.00000  0.00000  0.0000  100  # G
    0.00000  0.00000  0.5000  100  # Z
    0.50000  0.50000  0.0000  100  # F
    0.00000  0.00000  0.0000  100  # G
    0.50000  0.00000  0.0000   1   # L
}
```

The Input file consists of three main sections (**INPUT\_PARAMETERS**, **LATTICE**, **FUNCTION**), each of which is represented by the keyword + { }, namely:

```
KEYWORD
{
    parameter  xx
}
```

The **INPUT\_PARAMETERS** section is utilized to specify public parameters and indicate the HR, SR, and rR files required for the calculation.

The **LATTICE** section outlines lattice information and includes three parameters: lattice\_constant, lattice\_constant\_unit, and lattice\_vector.

The **FUNCTION** section lists the parameters necessary for each calculation function. These parameters differ depending on the specific function being used.

**NOTE:**

- The keyword of the section must be capitalized, and the parameters in the section must be lowercase.
- Each parameter value is provided by specifying the name of the input variable and then putting the value after the name, separated by one or more blank characters(space or tab).
- Any line starting with # or // will be ignored. To ignore a function section, add # in front of the section name. This will cause all parameters within that function section to be ignored.
- Proper case is required to avoid reading errors. For example, eV must not be written as ev, and Bohr must not be written as bohr.

## 4.2 HR, SR, rR

HR, SR, and rR are important input files for PYATB and contain information about the tight binding model, which can be generated by ABACUS.

In the PYATB program, if only the *Bands module* is used, it is enough to provide HR and SR, and specifying rR is not required. However, when the functions of the calculations are related to the *Geometric module* or *Optical module*, the rR file must be specified.

### 4.2.1 How to generate HR, SR, rR files using ABACUS

Run the **scf** calculation of ABACUS normally, specifies the parameters out\_mat\_hs2 and out\_mat\_r in the INPUT file, and three files of sparse format data-HR-sparse\_SPIN0.csr, data-SR-sparse\_ SPIN0.csr, data-rR-sparse.csr (and data-HR-sparse\_SPIN1.csr when nspin is 2), will be generated in OUT\* folder.

**NOTE:**

- nspin=4 and nspin=1, nspin=2 output different files. nspin=4 files contain imaginary numbers, so the correct nspin parameter needs to be strictly specified when executing pyatb programs.

## 4.3 Other file

For the calculation of some functions, structure files and NAOs (numerical atomic orbital bases) files are also required.

- The structure file

This file describes the structural information about the system, e.g., lattice constant, lattice vectors, and positions of the atoms within a unit cell. The structure file format of ABACUS is currently used.

- The NAOs files

This file describes the numerical atomic orbitals used to expand the Hamiltonian. The NAOs file format of ABACUS is currently used.

## FUNCTIONS

## 5.1 BAND\_STRUCTURE

An example of calculating the energy band structure of the topological insulator  $\text{Bi}_2\text{Se}_3$  is provided in the folder `examples/Bi2Se3`.

The Input file is:

```

INPUT_PARAMETERS
{
    nspin                4
    package              ABACUS
    fermi_energy         9.557219691497478
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route             data-rR-sparse.csr
    HR_unit              Ry
    rR_unit              Bohr
    max_kpoint_num       8000
}

LATTICE
{
    lattice_constant     1.8897162
    lattice_constant_unit Bohr
    lattice_vector
    -2.069  -3.583614  0.000000
    2.069   -3.583614  0.000000
    0.000   2.389075  9.546667
}

BAND_STRUCTURE
{
    wf_collect           0
    kpoint_mode          line
    kpoint_num           5
    high_symmetry_kpoint
    0.00000 0.00000 0.0000 100 # G
    0.00000 0.00000 0.5000 100 # Z
    0.50000 0.50000 0.0000 100 # F
    0.00000 0.00000 0.0000 100 # G
    0.50000 0.00000 0.0000 1  # L
}

```

`wf_collect`: Whether to output wave function matrix information. The wave function file stores the expansion coefficients of NAOs.

There are three ways to set k-points: k-point, k-line, and k-mesh, with the keyword `kpoint_mode` used to define the mode. The setting parameters for each mode differ, so please refer to the `INPUT` for detailed instructions. In this example, the `line` mode is used. In this mode, `kpoint_num` specifies the number of high-symmetry points, and `high_symmetry_kpoint` records the direct coordinates of these points and the number of k-points between each pair of high-symmetry points. Each row in the setting consists of four numbers, where the first three indicate the coordinates, and the last number specifies the number of k-points between the given k-point and the next high symmetry point.

After the calculation is done, a number of files will be generated in the `Out/Band_Structure` folder, including `kpt.dat` and `band.dat`. If `kpoint_mode` is set to `line`, the output will also include the energy band diagram and its corresponding drawing script.

## 5.2 BANDUNFOLDING

### 5.2.1 introduction

Band unfolding is a method of projecting the wave function of the supercell to the coupled  $\mathbf{k}$  points in the original unit cell to obtain the spectral function.

The relationships between the lattice vectors of the large cell ( $A$ ) and primitive cell ( $a$ ) are given by

$$\begin{bmatrix} A_1 \\ A_2 \\ A_3 \end{bmatrix} = \begin{bmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ m_{31} & m_{32} & m_{33} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix}$$

Detailed descriptions can be found in [First-principles calculations of the surface states of doped and alloyed topological materials via band unfolding method](#).

### 5.2.2 example

Here is an example located in the `examples/NV` folder that showcases how to calculate the spectral function of the nitrogen-vacancy (NV) center in diamond.

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                1
    package              ABACUS
    fermi_energy         15.46412271260700
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route             data-rR-sparse.csr
    HR_unit              Ry
    rR_unit              Bohr
}

LATTICE
{
    lattice_constant     1.8897162
}
```

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```

    lattice_constant_unit      Bohr
    lattice_vector
    7.13366 0 0 #latvec1
    0 7.13366 0 #latvec2
    0 0 7.13366 #latvec3
}

BANDUNFOLDING
{
    stru_file                  STRU
    ecut                       60
    band_range                 10 250
    m_matrix                   -2 2 2 2 -2 2 2 2 -2
    kpoint_mode                line
    kpoint_num                 5
    high_symmetry_kpoint
    0.500000 0.000000 0.500000 300 # X
    0.500000 0.250000 0.750000 300 # W
    0.500000 0.500000 0.500000 300 # L
    0.000000 0.000000 0.000000 300 # Gamma
    0.500000 0.000000 0.500000 1   # X
}

```

**stru\_file:** The structure file name of the supercell. This file indicates the crystal structure of the supercell and the corresponding orbital file. Make sure that both the structure file and the orbital file exist.

**ecut:** Determine the number of projections to the plane wave basis group, the energy unit is Ry.

**band\_range:** Specify the energy band range of band unfolding.

**m\_matrix:** Transformation matrix of supercell and primitive cell lattice vector

The k-point setting is determined according to the structure of the original cell, not the k-point in the supercell. For the k point setting of this function, please refer to the `kpoint_mode` module.

Once the task calculation is finished, three files will be generated in the `Out/Bandunfolding` folder. These files include `kpt.dat` and `spectral_weight.dat`, representing the k-point and spectral function of the original cell, respectively. Additionally, a drawing script called `plot_unfold.py` will also be included.

**NOTE:** When calculating a slab material, it is necessary to remove the thickness of the vacuum layer and make necessary modifications to the crystal vector and atomic positions specified in the `LATTICE` and structure files. Failing to make these modifications accurately can result in inaccurate results.

## 5.3 FERMI\_ENERGY

### 5.3.1 introduction

This function is to calculate the Fermi energy of solid materials given temperature and electronic occupation number. If the system is an insulator, fermi energy is given by the valence band maximum (VBM) .

For each **k** point, the probability of finding an electron in any energy state should obey the Fermi-Dirac distribution. The integration of occupied electrons over the entire Brillouin zone should be the occupation number. Though which, the exact Fermi energy could be attained following Newton interpolation.

$$f(E, E_f, T) = \frac{1}{1 + e^{\left(\frac{E - E_f}{k_B T}\right)}}$$

$$N[E_f] = \int_{BZ} [d\mathbf{k}] \sum_n f(E_n, E_f, T)$$

### 5.3.2 example

You can find an example of how to calculate the Fermi energy of Copper in the `examples/Cu` folder.

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                1
    package              ABACUS
    fermi_energy         Auto
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    HR_unit              Ry
    rR_unit              Bohr
    max_kpoint_num       8000
}

LATTICE
{
    lattice_constant     6.91640
    lattice_constant_unit Bohr
    lattice_vector
    0.50      0.50      0.00
    0.50      0.00      0.50
    0.00      0.50      0.50
}

FERMI_ENERGY
{
    temperature          0
    electron_num         11
    grid                50 50 50
    epsilon              1e-4
}
```

`electron_num`: The number of the electrons in the system. The number of valence electrons, which is the sum of all atomic valence electrons of the system, can be obtained from the self-consistent output of the first-principles software.

`epsilon`: The max tolerable error of Newton interpolation. If two steps of Newton interpolation differs less than this epsilon, the calculation would stop and output the result.

`temperature`: The temperature of the system, unit in K.

After the task calculation is completed, there will be one file in the `Out/Fermi_Energy` folder, namely `fermi_energy.dat`, showing the calculated Fermi energy.



## 5.4 FERMI\_SURFACE

### 5.4.1 introduction

The Fermi surface is a function that calculates the iso-energy surface of a given energy level. If the given energy level is the Fermi energy, then it will plot the Fermi surface.

### 5.4.2 example

You can find an example of how to calculate the Fermi surface of Copper in the `examples/Cu` folder.

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                1
    package              ABACUS
    fermi_energy         Auto
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    HR_unit              Ry
    rR_unit              Bohr
    max_kpoint_num       8000
}

LATTICE
{
    lattice_constant     6.91640
    lattice_constant_unit Bohr
    lattice_vector
    0.50      0.50      0.00
    0.50      0.00      0.50
    0.00      0.50      0.50
}

FERMI_ENERGY
{
    temperature          0
    electron_num         11
    grid                50 50 50
    epsilon              1e-4
}

FERMI_SURFACE
{
    bar                  1e-5
    kpoint_mode          mp
    k_start              0 0 0
    k_vect1              1 0 0
    k_vect2              0 1 0
    k_vect3              0 0 1
    mp_grid              50 50 50
}
```

bar: The max tolerable error bar for the Fermi surface.

**nbands:** If you know the energy band range where the Fermi energy is located, you can set this parameter to speed up the calculation. There are two numbers in total, indicating the range of the energy band. The default value is 0 0, that is, all energy bands are considered.

For the k point setting of this function, please refer to the `kpoint_mode` module.

After the task calculation is completed, there will be two files in the `Out/Fermi_Surface` folder, namely `fermi_surface_kpt.dat` and `plot_fermi_surface.py`, corresponding to the k-point found on the Fermi surface and a plotting script.

**NOTE:** To visualize iso-energy surface at specific energy levels, the `fermi_energy` parameter in `INPUT_PARAMETERS` can be customized.

## 5.5 FIND\_NODES

### 5.5.1 introduction

Find nodes is a method of finding k points with degenerate energy bands in a given energy range. This function can be used to find the Weyl/Dirac points in Weyl/Dirac semimetals.

### 5.5.2 example

An example of how to locate the Weyl point of  $\text{MnSb}_2\text{Te}_4$  is provided in the `examples/MnSb2Te4` folder.

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                4
    package              ABACUS
    fermi_energy          9.9700823666762375
    fermi_energy_unit     eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route             data-rR-sparse.csr
    HR_unit              Ry
    rR_unit              Bohr
}

LATTICE
{
    lattice_constant      1.8897162
    lattice_constant_unit Bohr
    lattice_vector
    4.2885731815169859   -0.0001203117360283   -0.0000592245216563
    2.1441823977387200   3.7140734770500492   -0.0000592245216895
    2.1439936829776851   1.2378353300160352   13.4147375436875418
}

FIND_NODES
{
    energy_range          9.870 10.070
    k_start               0.0 0.0 -0.2
    k_vect1               0.0 0.0 0.0
    k_vect2               0.0 0.0 0.0
}
```

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```

k_vect3          0.0 0.0  0.4
initial_grid      1  1  100
initial_threshold 0.01
adaptive_grid     1  1  20
adaptive_threshold 0.001
}

```

`energy_range`: The energy range in which the program searches for degenerate points, the energy unit is eV.

Set the search space of k points: Selecting a parallel hexahedron in k-space requires an origin (`k_start`) and three vectors (`k_vect1`, `k_vect2`, `k_vect3`) that are not parallel to each other in general. In this example, `k_vect2` and `k_vect3` are zero vectors, so the chosen search space is k-line from (0.0, 0.0, -0.2) to (0.0, 0.0, 0.4).

After the task calculation is completed, there will be three files in the `Out/Find_Nodes` folder, namely `nodes.dat`, `plot_nodes.py` and `nodes.pdf`, corresponding to the degenerate k-point(s) in direct coordinate and a plotting script with its plot.

## 5.6 PDOS

### 5.6.1 introduction

The distribution of electronic states at various energies is characterized by the density of states (DOS), while the partial density of states (PDOS) is a useful tool for analyzing the contribution of individual atomic orbitals to the DOS.

The implementation of PDOS is given by

$$g_{\mu}(E) = \frac{1}{N_{\mathbf{k}}} \sum_{\mathbf{k}} \sum_n \sum_{\nu} C_{n\nu}^*(\mathbf{k}) S_{\nu\mu}(\mathbf{k}) C_{n\mu}(\mathbf{k}) \delta(E - E_{n\mathbf{k}}),$$

where  $C_{n\mu}(\mathbf{k})$  is the coefficient of the NAO.

### 5.6.2 example

An example (refer to folder `examples/Si2`) of calculating the PDOS of the diamond Si is given here.

The Input file is:

```

INPUT_PARAMETERS
{
  nspin          1
  package        ABACUS
  fermi_energy    6.389728305291531
  fermi_energy_unit eV
  HR_route       data-HR-sparse_SPIN0.csr
  SR_route       data-SR-sparse_SPIN0.csr
  rR_route       data-rR-sparse.csr
  HR_unit        Ry
  rR_unit        Bohr
}

LATTICE
{
  lattice_constant 1.8897162
}

```

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```

    lattice_constant_unit    Bohr
    lattice_vector
    0.000000000000    2.715000000000    2.715000000000
    2.715000000000    0.000000000000    2.715000000000
    2.715000000000    2.715000000000    0.000000000000
}

PDOS
{
    stru_file      STRU
    e_range        -5.0 17.0
    de             0.01
    sigma          0.07
    kpoint_mode    mp
    mp_grid        12 12 12
}

```

**stru\_file:** The structure file name of the supercell. This file indicates the crystal structure of the supercell and the corresponding orbital file. Make sure that both the structure file and the orbital file exist.

**e\_range:** Specify the energy range of dos, the unit is eV.

**de:** specifies the energy interval.

**sigma:** Specify the parameters of Gaussian smearing.

For the k point setting of this function, please refer to the `kpoint_mode` module.

After the task calculation is completed, there will be three files in the `Out/PDOS` folder, namely `TDOS.dat` and `PDOS.xml`, `plot_dos.py`. Specify the projected atomic orbital index in the plot script, and then draw the PDOS plot.

## 5.7 FAT\_BAND

### 5.7.1 introduction

A fat band can provide information about the contributions of specific atomic orbitals or groups of orbitals to the electronic bands of a material at given k points.

### 5.7.2 example

In the `examples/Si2` folder, there is an example of how to calculate the fat band of the diamond Si.

The Input file is:

```

INPUT_PARAMETERS
{
    nspin          1
    package         ABACUS
    fermi_energy    6.389728305291531
    fermi_energy_unit eV
    HR_route        data-HR-sparse_SPIN0.csr
    SR_route        data-SR-sparse_SPIN0.csr
    rR_route        data-rR-sparse.csr
    HR_unit         Ry
}

```

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```

    rR_unit          Bohr
}

LATTICE
{
    lattice_constant      1.8897162
    lattice_constant_unit Bohr
    lattice_vector
    0.000000000000  2.715000000000  2.715000000000
    2.715000000000  0.000000000000  2.715000000000
    2.715000000000  2.715000000000  0.000000000000
}

FAT_BAND
{
    band_range          1 8
    stru_file            STRU
    kpoint_mode         line
    kpoint_num          5
    high_symmetry_kpoint
    0.50000  0.50000  0.5000  100  # L
    0.00000  0.00000  0.0000  100  # G
    0.50000  0.00000  0.5000  100  # X
    0.37500 -0.37500  0.0000  100  # K
    0.00000  0.00000  0.0000  1  # G
}

```

**band\_range:** There are two numbers (separated by spaces) to indicate which bands are selected for projection, counting from 1.

**stru\_file:** The structure file name. This file indicates the crystal structure and the corresponding orbital file. Make sure that both the structure file and the orbital file exist.

For the k point setting of this function, please refer to the `kpoint_mode` module.

Once the task calculation is finished, you will find four files in the `Out/Fat_Band` folder. These files include `band.dat` and `pband.dat`, `fatband.xml`, and `plot_fatband.py`. They contain valuable information about the original bands, the coefficients of the bands projected onto each atomic orbital (the number of atomic orbitals is equal to the number of basis sets), an XML formatted file of the projected bands, and a script to visualize the fat band.

## 5.8 SPIN\_TEXTURE

### 5.8.1 introduction

Spin texture refers to the spatial distribution of electron spins in momentum space. In PYATB, the spin texture is calculated as follows,

$$\langle \Psi_{n\mathbf{k}} | \hat{\sigma}_i | \Psi_{n\mathbf{k}} \rangle = \sum_{\mu, \nu, s, s'} C_{n, \mu s}^*(\mathbf{k}) S_{\mu\nu, ss'}(\mathbf{k}) \hat{\sigma}_{i, ss'} C_{n, \nu s'}(\mathbf{k}),$$

where  $\hat{\sigma}_i$  are the Pauli matrices, with  $i = x, y, z$ , and  $s = \uparrow, \downarrow$  is the spin index.

## 5.8.2 example

Here, we provide an example of calculating the spin texture of  $\text{Bi}_2\text{Se}_3$  (refer to folder `examples/Bi2Se3`).

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                      4
    package                    ABACUS
    fermi_energy                9.557219691497478
    fermi_energy_unit          eV
    HR_route                    data-HR-sparse_SPIN0.csr
    SR_route                    data-SR-sparse_SPIN0.csr
    rR_route                    data-rR-sparse.csr
    HR_unit                     Ry
    rR_unit                     Bohr
    max_kpoint_num              8000
}

LATTICE
{
    lattice_constant            1.8897162
    lattice_constant_unit       Bohr
    lattice_vector
    -2.069  -3.583614  0.000000
    2.069   -3.583614  0.000000
    0.000   2.389075  9.546667
}

SPIN_TEXTURE
{
    nband                       78
    kpoint_mode                  direct
    kpoint_num                   20
    kpoint_direct_coor
    0.010000  0.000000  0.000000
    0.009511  0.003090  0.000000
    0.008090  0.005878  0.000000
    0.005878  0.008090  0.000000
    0.003090  0.009511  0.000000
    0.000000  0.010000  0.000000
    -0.003090  0.009511  0.000000
    -0.005878  0.008090  0.000000
    -0.008090  0.005878  0.000000
    -0.009511  0.003090  0.000000
    -0.010000  0.000000  0.000000
    -0.009511 -0.003090  0.000000
    -0.008090 -0.005878  0.000000
    -0.005878 -0.008090  0.000000
    -0.003090 -0.009511  0.000000
    -0.000000 -0.010000  0.000000
    0.003090  -0.009511  0.000000
    0.005878  -0.008090  0.000000
    0.008090  -0.005878  0.000000
    0.009511  -0.003090  0.000000
}
```

nband: Denote the band number of which spin texture is calculated.

For the k point setting of this function, please refer to the `kpoint_mode` module.

After the task calculation is completed, there will be three files in the `Out/Spin_Texture` folder, namely `kpt.dat` and `spin_texture.dat`, `plot_spin_texture.py`, corresponding to the k-point and the spin texture, the drawing script.

## 5.9 WILSON\_LOOP

### 5.9.1 introduction

We can determine the Z2 topology number of the topological insulator by Wilson loop. Computing the six time reversal invariant planes, we can obtain the Z2 topology metrics ( $\nu_0, \nu_1, \nu_2, \nu_3$ ). These six planes are  $k_1=0.0, k_1=0.5, k_2=0.0, k_2=0.5, k_3=0.0, k_3=0.5$ , respectively. When selecting a plane, only half of the plane needs to be selected.

$$\begin{aligned}\nu_0 &= Z2(k_i = 0) + Z2(k_i = 0.5) \mod 2 \\ \nu_i &= Z2(k_i = 0.5)\end{aligned}$$

where  $i = 1, 2, 3$  refers to the x, y and z directions.

The Wilson loop is implemented as follows:  $W_n(\mathbf{k}_2) = \frac{i}{2\pi} \int_0^{2\pi} d\mathbf{k}_1 \langle u_{n,\mathbf{k}_1,\mathbf{k}_2} | \partial_{\mathbf{k}_1} | u_{n,\mathbf{k}_1,\mathbf{k}_2} \rangle$ .

### 5.9.2 example

Here is an example of how to calculate the Wilson loop of the topological insulator  $\text{Bi}_2\text{Se}_3$  located in the `examples/Bi2Se3` folder.

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                4
    package               ABACUS
    fermi_energy          9.557219691497478
    fermi_energy_unit     eV
    HR_route              data-HR-sparse_SPIN0.csr
    SR_route              data-SR-sparse_SPIN0.csr
    rR_route              data-rR-sparse.csr
    HR_unit               Ry
    rR_unit               Bohr
    max_kpoint_num        8000
}

LATTICE
{
    lattice_constant      1.8897162
    lattice_constant_unit Bohr
    lattice_vector
    -2.069  -3.583614  0.000000
    2.069   -3.583614  0.000000
    0.000   2.389075  9.546667
}

WILSON_LOOP
{
```

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```

occ_band      78
k_start       0.0  0.0  0.5
k_vect1       1.0  0.0  0.0
k_vect2       0.0  0.5  0.0
nk1           101
nk2           101
}

```

`occ_band`: The number of occupied energy bands of an insulator.

`k_start`: The origin point coordinates used to describe a Brillouin zone plane.

`k_vect1`: The expansion vector is a vector used to define a Brillouin zone plane, and it is also the direction of integration for calculations.

`k_vect2`: The expansion vector is a vector used to define a Brillouin zone plane, and it is also the direction of Wilson loop evolution for calculations.

`nk1`: `k_vect1` is divided into `nk1` k-points.

`nk2`: `k_vect2` is divided into `nk2` k-points.

After the task calculation is completed, there will be two files in the `Out/Wilson_Loop` folder, namely `wilson_loop.dat` and `plot_wl.py`, corresponding to the Wilson loop of each k-point in the `k_vect2` direction and drawing script.

## 5.10 POLARIZATION

### 5.10.1 introduction

We calculate the spontaneous polarization of periodic solids by so-called Modern Theory of Polarization, namely Berry phase theory. The electric polarization  $\mathbf{P}$  is a modulo a quantum  $e\mathbf{R}/V_c$  multi-valued function, corresponding to the following implementation equation:

$$\mathbf{P} = \frac{-e}{(2\pi)^3} \sum_n^{occ} \int_{\text{BZ}} \mathbf{A}_n(\mathbf{k}) d^3k,$$

where  $\mathbf{A}_n(\mathbf{k})$  is the Berry connection of a single band.

### 5.10.2 example

Here, we present an example (located in the `examples/PbTiO3` folder) showcasing the calculation of the polarization of  $\text{PbTiO}_3$ .

The Input file is:

```

INPUT_PARAMETERS
{
  nspin          1
  package        ABACUS
  fermi_energy    13.38267075814371
  fermi_energy_unit eV
  HR_route       data-HR-sparse_SPIN0.csr
  SR_route       data-SR-sparse_SPIN0.csr
}

```

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```

    rR_route          data-rR-sparse.csr
    HR_unit           Ry
    rR_unit           Bohr
}

LATTICE
{
    lattice_constant    7.3699
    lattice_constant_unit Bohr
    lattice_vector
    1.0000000000      0.0000000000      0.0000000000
    0.0000000000      1.0000000000      0.0000000000
    0.0000000000      0.0000000000      1.0000000000
}

POLARIZATION
{
    occ_band          22
    nk1              10
    nk2              10
    nk3              10
    atom_type         3
    stru_file         STRU
    valence_e         14 12 6
}

```

occ\_band: The number of occupied energy bands of an insulator.

nk1: This refers to the number of samples taken in the  $x$  direction of the reciprocal lattice vector  $\mathbf{G}$ .

nk2: This refers to the number of samples taken in the  $y$  direction of the reciprocal lattice vector  $\mathbf{G}$ .

nk3: This refers to the number of samples taken in the  $z$  direction of the reciprocal lattice vector  $\mathbf{G}$ .

stru\_file: Specify the structure file. NAOs files are not required.

atom\_type: The number of element types in the system.

valence\_e: The number of valence electrons per element.

The parameters nk1, nk2, and nk3 correspond to the number of k-points used for the integration in the three lattice directions, and increasing their values leads to a more accurate and convergent result.

After completing the task, polarization.dat appears in the Out/Polarization folder which contains the electric polarization of the three lattice directions.

## 5.11 BERRY\_CURVATURE

### 5.11.1 introduction

Berry curvature is of fundamental importance for understanding some basic properties of solid materials and is essential for the description of the dynamics of Bloch electrons.

The Berry curvature of a single energy band is defined as follows:

$$\Omega_n(\mathbf{k}) = \nabla \times \mathbf{A}_n(\mathbf{k}),$$

where Berry phase  $\mathbf{A}_n(\mathbf{k}) = i\langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} | u_{n\mathbf{k}} \rangle$ ,  $|u_{n\mathbf{k}}\rangle$  is the periodic part of the Bloch wave function.

We calculated the Berry curvature:

$$\Omega_{\alpha\beta}(\mathbf{k}) = \sum_n f_n(\mathbf{k}) \Omega_{n,\alpha\beta}(\mathbf{k}),$$

where  $f_n$  is the Fermi occupation function.

Detailed descriptions can be found in [Calculation of Berry curvature using non-orthogonal atomic orbitals](#).

### 5.11.2 example

An example (refer to folder `example/Fe`) of calculating the Berry curvature of the fcc-Fe is given here.

The Input file is:

```
INPUT_PARAMETERS
{
  nspin                4
  package              ABACUS
  fermi_energy         18.18839115931923
  fermi_energy_unit    eV
  HR_route             data-HR-sparse_SPIN0.csr
  SR_route             data-SR-sparse_SPIN0.csr
  rR_route             data-rR-sparse.csr
  HR_unit              Ry
  rR_unit              Bohr
}

LATTICE
{
  lattice_constant     5.4235
  lattice_constant_unit Bohr
  lattice_vector
    0.5  0.5  0.5
   -0.5  0.5  0.5
   -0.5 -0.5  0.5
}

BERRY_CURVATURE
{
  method                0
  kpoint_mode           line
  kpoint_num            10
  high_symmetry_kpoint
    0.0  0.0  0.0  100 # G
    0.5 -0.5 -0.5  100 # H
    0.75 0.25 -0.25 100 # P
    0.5  0.0 -0.5  100 # N
    0.0  0.0  0.0  100 # G
    0.5  0.5  0.5  100 # H
    0.5  0.0  0.0  100 # N
    0.0  0.0  0.0  100 # G
    0.75 0.25 -0.25 100 # P
    0.5  0.0  0.0   1  # N
}
```

method: Method for calculating Berry curvature. 0 means direct calculation, 1 means calculation by Kubo formula.

`occ_band`: The number of occupied energy bands of an insulator. When this value is not set, it will be determined according to the Fermi energy.

For the k point setting of this function, please refer to the `kpoint_mode` module.

Upon completion of the task calculation, two files will be generated in the `Out/Berry_Curvature` directory: `kpt.dat` and `berry_curvature.dat`. These files respectively contain the k-point coordinates and the total Berry curvature for each k-point.

## 5.12 AHC

### 5.12.1 introduction

The dc anomalous Hall conductivity (AHC) is simply given as the Brillouin zone integral of the Berry curvature of occupying energy bands,

$$\sigma_{xy} = -\frac{e^2}{\hbar} \sum_n^{\text{occ}} \int_{\text{BZ}} \frac{d\mathbf{k}}{(2\pi)^3} f_n(\mathbf{k}) \Omega_{n,z}(\mathbf{k}).$$

### 5.12.2 example

An example (refer to folder `example/Fe`) of calculating the AHC of the fcc-Fe is given here.

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                4
    package              ABACUS
    fermi_energy         18.18839115931923
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route             data-rR-sparse.csr
    HR_unit              Ry
    rR_unit              Bohr
}

LATTICE
{
    lattice_constant     5.4235
    lattice_constant_unit Bohr
    lattice_vector
    0.5  0.5  0.5
    -0.5 0.5  0.5
    -0.5 -0.5 0.5
}

AHC
{
    integrate_mode        Grid
    integrate_grid        100 100 100
    adaptive_grid         20 20 20
    adaptive_grid_threshold 100
}
```

`integrate_mode`: Specifies the mode of integration, which can be grid integration and adaptive integration.

`integrate_grid`: Specifies a uniform grid for grid integration.

`adaptive_grid`: Specifies the grid for adaptive densification.

`adaptive_grid_threshold`: Specifies the cut-off value of adaptive densification, the unit is <sup>2</sup>.

Once the calculation is complete, the resulting file `ahc.dat` will be generated in the `Out/AHC` directory.

## 5.13 CHERN\_NUMBER

### 5.13.1 introduction

Chern number is a topological invariant used to explain the quantized Hall conductivity. The Chern invariant is the total Berry flux in the 2D Brillouin zone,

$$n = \frac{1}{2\pi} \oint_S \mathbf{\Omega} \cdot d\mathbf{S}$$

where  $n$  is an integer,  $\mathbf{S}$  is any closed 2D manifold,  $\mathbf{\Omega}$  is total Berry curvature (flux).

To calculate the Chern number, you must first select a closed 2D surface in the Brillouin.

### 5.13.2 example

An example (refer to folder `examples/MnBi2Te4-weyl`) of calculating Chern number of the Weyl semimetal  $\text{MnBi}_2\text{Te}_4$  is given here.

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                4
    package              ABACUS
    fermi_energy         9.2309138700265265
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route             data-rR-sparse.csr
    HR_unit              Ry
    rR_unit              Bohr
}

LATTICE
{
    lattice_constant     1.8897162
    lattice_constant_unit Bohr
    lattice_vector
    4.3773399999000002  0.0000000000000000  0.0000000000000000
    2.1886700000000001  3.7908876409999999  0.0000000000000000
    2.1886700000000001  1.2636292099999999  13.7730333333000008
}

CHERN_NUMBER
{
```

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```

method          0
occ_band        109
integrate_mode  Grid
integrate_grid  100 100 1
adaptive_grid   20 20 1
adaptive_grid_threshold 100
k_start         0 0 0
k_vect1         1 0 0
k_vect2         0 1 0
}

```

**method:** Method for calculating berry curvature. 0 means direct calculation, 1 means calculation by Kubo formula.

**occ\_band:** The number of occupied energy bands of an insulator. When this value is not set, it will be determined according to the Fermi energy.

To determine a plane of k-space requires an origin (**k\_start**) and two vectors that are not parallel to each other (**k\_vect1**, **k\_vect2**).

**k\_start:** The origin point coordinates used to describe a Brillouin zone plane.

**k\_vect1:** The expansion vector used to describe a Brillouin zone plane.

**k\_vect2:** The expansion vector used to describe a Brillouin zone plane.

For k-point integration, please refer to the [Setting of integration] section.

After the task calculation is completed, the `chern_number.dat` file will appear in the `Out/Chern_Num` folder which contains the Chern number specific results.

## 5.14 CHIRALITY

### 5.14.1 introduction

Examines the chirality of Weyl points by calculating the Berry curvature on a sphere around the **k** point.

### 5.14.2 example

An example is provided in the `examples/MnSb2Te4` directory to investigate the chirality of the Weyl point in  $\text{MnSb}_2\text{Te}_4$ .

The Input file is:

```

INPUT_PARAMETERS
{
  nspin          4
  package        ABACUS
  fermi_energy   9.9700823666762375
  fermi_energy_unit eV
  HR_route       data-HR-sparse_SPIN0.csr
  SR_route       data-SR-sparse_SPIN0.csr
  rR_route       data-rR-sparse.csr
  HR_unit        Ry
  rR_unit        Bohr
}

```

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```

LATTICE
{
  lattice_constant      1.8897162
  lattice_constant_unit Bohr
  lattice_vector
    4.2885731815169859 -0.0001203117360283 -0.0000592245216563
    2.1441823977387200  3.7140734770500492 -0.0000592245216895
    2.1439936829776851  1.2378353300160352 13.4147375436875418
}

CHIRALITY
{
  k_vect      0.0000 0.0000 -0.0538
  radius      0.02
  point_num   100
}

```

k\_vect: The k-point direct coordinates need to be calculated.

radius: The radius of the integrating sphere. The unit is  $\text{\AA}$ .

point\_num: The number of k-points that are uniformly sampled on a spherical surface.

The `chirality.dat` file that record the results are in the `Out/Chirality` folder.

## 5.15 JDOS

### 5.15.1 introduction

Joint density of states (JDOS) is used to describe the density of states of electrons excited from the valence band to the conduction band, which relates to the absorption spectrum and the dielectric function of system.

The implementation of JDOS per crystal cell is given by

$$D_{joint}(\omega) = \frac{V_c}{\hbar} \int \frac{d^3k}{(2\pi)^3} \sum_{n,m} f_{nm} \delta(\omega_{mn} - \omega).$$

where  $V_c$  is the cell volume,  $f_{nm} = f_n - f_m$  and  $\hbar\omega_{mn} = E_m - E_n$  are differences between occupation factors and band energies, respectively.

Currently JDOS is only used to calculate insulators and semiconductors.

### 5.15.2 example

An example of calculating the JDOS of a perovskite  $\text{CsPbI}_3$  is presented here (refer to folder `examples/CsPbI3`).

The Input file is:

```

INPUT_PARAMETERS
{
  nspin      1
  package    ABACUS
  fermi_energy 5.508975304340945
}

```

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```

    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route             data-rR-sparse.csr
    HR_unit              Ry
    rR_unit              Bohr
}

LATTICE
{
    lattice_constant      1.8897261258369282
    lattice_constant_unit Bohr
    lattice_vector
        6.2894000000      0.0000000000      0.0000000000
        0.0000000000      6.2894000000      0.0000000000
        0.0000000000      0.0000000000      6.2894000000
}

JDOS
{
    occ_band             37
    omega                0.5  10
    domega               0.01
    eta                  0.2
    grid                 30 30 30
}

```

occ\_band: Specifies the occupied energy band of the system. Currently, only insulator or semiconductor materials can be calculated.

omega: Specifies the photon energy, the unit is eV.

domega: Specifies the energy interval of the omega.

eta: Specify the parameters of Gaussian smearing.

grid: Specifies the uniform k-point grid used to calculate the JDOS.

Once the task calculation is finished, two files will be generated in the Out/JDOS folder: JDOS.dat and plot\_jdos.py. The former contains the JDOS data, while the latter is a script used for plotting the JDOS.

## 5.16 OPTICAL\_CONDUCTIVITY

### 5.16.1 introduction

The frequency-dependent optical conductivity expressed by the Kubo-Greenwood formula can be formulated as

$$\sigma_{\alpha\beta}(\hbar\omega) = -\frac{ie^2\hbar}{NV_{\text{cell}}} \sum_{\mathbf{k}} \sum_{n,m} \left( \frac{f_{n\mathbf{k}} - f_{m\mathbf{k}}}{E_{n\mathbf{k}} - E_{m\mathbf{k}}} \right) \frac{\langle \psi_{n\mathbf{k}} | v_{\alpha} | \psi_{m\mathbf{k}} \rangle \langle \psi_{m\mathbf{k}} | v_{\beta} | \psi_{n\mathbf{k}} \rangle}{\hbar\omega + E_{n\mathbf{k}} - E_{m\mathbf{k}} + i\eta}.$$

The imaginary part of the dielectric function is

$$\epsilon_i^{\alpha\beta}(\omega) = -\frac{e^2\pi}{\epsilon_0\hbar} \int \frac{d\mathbf{k}}{(2\pi)^3} \sum_{nm} f_{nm} r_{nm}^{\alpha} r_{mn}^{\beta} \delta(\omega_{mn} - \omega),$$

The real part of the dielectric function is obtained by the Kramer-Kronig transformation,

$$\epsilon_r^{\alpha\beta}(\omega) = \delta_{\alpha\beta} + \frac{2}{\pi} \mathbf{P} \int_0^\infty d\omega' \frac{\omega' \epsilon_i^{\alpha\beta}(\omega')}{\omega'^2 - \omega^2}.$$

The linear optical spectrum can be calculated through the dielectric function, such as refractive index  $n(\omega)$ , extinction coefficient  $\kappa(\omega)$ , absorption coefficient  $\alpha(\omega)$ , energy-loss function  $L(\omega)$ , reflectivity  $R(\omega)$ :

$$\begin{aligned} n(\omega) &= \left[ \frac{\sqrt{\epsilon_1^2 + \epsilon_2^2} + \epsilon_1}{2} \right]^{\frac{1}{2}} \\ \kappa(\omega) &= \left[ \frac{\sqrt{\epsilon_1^2 + \epsilon_2^2} - \epsilon_1}{2} \right]^{\frac{1}{2}} \\ \alpha(\omega) &= \frac{\sqrt{2}\omega}{c} \left[ \sqrt{\epsilon_1^2 + \epsilon_2^2} - \epsilon_1 \right]^{\frac{1}{2}} \\ L(\omega) &= \text{Im} \left( \frac{-1}{\epsilon(\omega)} \right) = \frac{\epsilon_2}{\epsilon_1^2 + \epsilon_2^2} \\ R(\omega) &= \frac{(n-1)^2 + k^2}{(n+1)^2 + k^2} \end{aligned}$$

### 5.16.2 example

Here, we provide an example (located in the `examples/Si` folder) demonstrating the calculation of the optical conductivity and dielectric function for diamond Si.

The Input file is:

```
INPUT_PARAMETERS
{
  nspin                1
  package              ABACUS
  fermi_energy         6.389728305291531
  fermi_energy_unit    eV
  HR_route             data-HR-sparse_SPIN0.csr
  SR_route             data-SR-sparse_SPIN0.csr
  rR_route             data-rR-sparse.csr
  HR_unit              Ry
  rR_unit              Bohr
}

LATTICE
{
  lattice_constant      1.8897162
  lattice_constant_unit Bohr
  lattice_vector
  0.000000000000  2.715000000000  2.715000000000
  2.715000000000  0.000000000000  2.715000000000
  2.715000000000  2.715000000000  0.000000000000
}

OPTICAL_CONDUCTIVITY
{
  occ_band            4
  omega              0  10
}
```

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(continued from previous page)

```

domega      0.01
eta          0.1
grid        50 50 50
}

```

`occ_band`: Used to specify the occupied energy band of an insulator or semiconductor. Currently this function can only calculate insulators or semiconductors.

`omega`: Specifies the photon energy, the unit is eV.

`domega`: Specifies the energy interval of the omega.

`eta`: Specify the parameters of Gaussian smearing.

`grid`: Specifies the uniform k-point grid used to calculate the optical conductivity.

After completing the task, five main files are generated in the `Out/Optical_Conductivity` folder, namely `optical_conductivity_real_part.dat`, `optical_conductivity_imag_part.dat`, `dielectric_function_real_part.dat`, `dielectric_function_imag_part.dat` and `plot_optical.py`.

## 5.17 SHIFT\_CURRENT

### 5.17.1 introduction

The shift current is an intrinsic contribution to the bulk photovoltaic effect (BPVE). It describes the photocurrent generated by light illumination on homogeneous non-centrosymmetric crystals. The shift current is a second-order optical response. It can be expressed as a DC current, generated by a monochromatic photoelectric field  $\mathbf{E}(t) = \mathbf{E}(\omega)e^{i\omega t} + \mathbf{E}(-\omega)e^{-i\omega t}$ , where

$$J^a = 2\sigma^{abc}(0; \omega, -\omega)E_b(\omega)E_c(-\omega).$$

Here,  $a, b, c = x, y, z$ , and  $\sigma^{abc}(0; \omega, -\omega)$  is the shift current tensor,

$$\sigma^{abc}(0; \omega, -\omega) = \frac{\pi e^3}{\hbar^2} \int \frac{d\mathbf{k}}{8\pi^3} \sum_{n,m} f_{nm} \text{Im} [I_{mn}^{abc} + I_{mn}^{acb}] \delta(\omega_{mn} - \omega)$$

where  $I_{mn}^{abc} = r_{mn}^b r_{nm;a}^c$ ,  $r_{nm}^a$  is the inter-band dipole matrix, and  $r_{nm;a}^b$  is the generalized derivative of the dipole matrix.

Detailed descriptions can be found in [Second-order optical response in semiconductors](#).

### 5.17.2 example

Here, we provide an example of calculating the shift current conductivity of the monolayer WS<sub>2</sub> (refer to folder `examples/WS2`).

The Input file is:

```

INPUT_PARAMETERS
{
  nspin          1
  package        ABACUS
}

```

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```

fermi_energy          0.3484302262859574
fermi_energy_unit     eV
HR_route              data-HR-sparse_SPIN0.csr
SR_route              data-SR-sparse_SPIN0.csr
rR_route              data-rR-sparse.csr
HR_unit               Ry
rR_unit               Bohr
}

LATTICE
{
  lattice_constant     1.8897162
  lattice_constant_unit Bohr
  lattice_vector
  3.183820900165      0.0      0.0
-1.591910450082      2.757269780643      0.0
  0.0      0.0      20.086904001384
}

SHIFT_CURRENT
{
  occ_band             13
  omega                0 4
  domega              0.01
  smearing_method      1
  eta                  0.1
  grid                1000 1000 1
}

```

`occ_band`: Used to specify the occupied energy band of an insulator or semiconductor. Currently this function can only calculate insulators or semiconductors.

`omega`: Specifies the photon energy, the unit is eV.

`domega`: Specifies the energy interval of the omega.

`eta`: Specify the parameters of Gaussian smearing.

`grid`: Specifies the uniform k-point grid used to calculate the shift current.

Upon completion of the task, two essential files are generated in the `Out/Shift_Current` folder. These files include `shift_current.dat` and `plot_shift_current.py`.

## 5.18 BERRY\_CURVATURE\_DIPOLE

### 5.18.1 introduction

In a system with time-reversal symmetry, the Berry curvature is an odd function of  $\mathbf{k}$ , i.e.,  $\Omega_a(\mathbf{k}) = -\Omega_a(-\mathbf{k})$ . As a result, the integration of the Berry curvature over the BZ is zero. However, if the system lacks a inversion symmetry, a higher-order nonlinear AHC can arise. More specifically,  $j_a^0 = \chi_{abc} E_b(\omega) E_c(-\omega)$  and  $j_a^{2\omega} = \chi_{abc} E_b(\omega) E_c(\omega)$ , describe a rectified current and the second harmonic, respectively, whereas  $\omega$  is the driving frequency. The coefficient  $\chi_{abc}$  is given by

$$\chi_{abc} = -\varepsilon_{adc} \frac{e^3 \tau}{2(1 + i\omega\tau)} D_{bd}.$$

where

$$D_{ab} = \int_k f_0 \left( \frac{\partial \Omega_b}{\partial k_a} \right)$$

is called the Berry curvature dipole.

In PYATB, the Berry curvature dipole at a given temperature  $T$  and chemical potential  $\mu$  is calculated using the following formula:

$$D_{ab}(\mu, T) = - \int \frac{\partial f_0(E, \mu, T)}{\partial E} D_{ab}(E) dE.$$

## 5.18.2 example

Here, we provide an example of calculating the Berry curvature dipole of the trigonal Te (refer to folder `examples/Te`).

The Input file is:

```
INPUT_PARAMETERS
{
    nspin                4
    package              ABACUS
    fermi_energy         9.574476774876963
    fermi_energy_unit    eV
    HR_route             data-HR-sparse_SPIN0.csr
    SR_route             data-SR-sparse_SPIN0.csr
    rR_route             data-rR-sparse.csr
    HR_unit              Ry
    rR_unit              Bohr
    max_kpoint_num       28000
}

LATTICE
{
    lattice_constant     1.8897162
    lattice_constant_unit Bohr
    lattice_vector
    2.22   -3.84515   0.000
    2.22    3.84515   0.000
    0.00    0.00000   5.910
}

BERRY_CURVATURE_DIPOLE
{
    omega                9.474  10.074
    domega              0.001
    integrate_mode       Grid
    integrate_grid       400 400 400
    adaptive_grid        20 20 20
    adaptive_grid_threshold 20000
}
```

omega: To set the energy range for the Berry curvature dipole, you can adjust it based on the Fermi energy level. the unit is eV.

domega: Specifies the energy interval of the omega.

For k-point integration, please refer to the [Setting of integration] section.

Once the task has been finished, three crucial files are produced in the `Out/Berry_Curvature_Dipole` directory. These files consist of `bcd_step2.dat`, `kpoint_list`, and `plot_bcd.py`. The first file stores the Berry curvature dipole's magnitude, while the second file records the refined k-point coordinates. The third file contains the script used for generating the visualization of the dipole.

## DETAILED INTRODUCTION OF THE INPUT FILES

### 6.1 Full List of INPUT Keywords

- *INPUT\_PARAMETERS*  
*nspin | package | fermi\_energy | fermi\_energy\_unit | HR\_route | SR\_route | rR\_route | binary | HR\_unit | rR\_unit | max\_kpoint\_num | sparse\_format*
- *LATTICE*  
*lattice\_constant | lattice\_constant\_unit | lattice\_vector*
- *BAND\_STRUCTURE*  
*wf\_collect | kpoint\_mode*
- *BANDUNFOLDING*  
*stru\_file | ecut | band\_range | m\_matrix | kpoint\_mode*
- *FERMI\_ENERGY*  
*temperature | electron\_num | grid | epsilon |*
- *FERMI\_SURFACE*  
*bar | nbands | kpoint\_mode*
- *FIND\_NODES*  
*energy\_range | k\_start | k\_vect1 | k\_vect2 | k\_vect3 | initial\_grid | initial\_threshold | adaptive\_gridadaptive\_threshold | kpoint\_mode*
- *PDOS*  
*stru\_file | e\_range | de | sigma | kpoint\_mode*
- *FAT\_BAND*  
*band\_range | stru\_file | kpoint\_mode*
- *SPIN\_TEXTURE*  
*nband | kpoint\_mode*
- *WILSON\_LOOP*  
*occ\_band | k\_start | k\_vect1 | k\_vect2 | nk1 | nk2*
- *POLARIZATION*  
*occ\_band | nk1 | nk2 | nk3 | atom\_type | stru\_file | valence\_e*

- *BERRY\_CURVATURE*  
*method | occ\_band | kpoint\_mode*
- *AHC*  
*method | integrate\_mode*
- *CHERN\_NUMBER*  
*method | occ\_band | k\_start | k\_vect1 | k\_vect2 | integrate\_mode*
- *CHIRALITY*  
*method | k\_vect | radius | point\_num*
- *JDOS*  
*occ\_band | omega | domega | eta | grid*
- *OPTICAL\_CONDUCTIVITY*  
*occ\_band | omega | domega | eta | grid*
- *SHIFT\_CURRENT*  
*occ\_band | omega | domega | smearing\_method | eta | grid | method*
- *BERRY\_CURVATURE\_DIPOLE*  
*omega | domega | integrate\_mode*

#### Setting of *k* points

- When *kpoint\_mode* is 'mp'  
*mp\_grid | k\_start | k\_vect1 | k\_vect2 | k\_vect3*
- When *kpoint\_mode* is 'line'  
*kpoint\_num | high\_symmetry\_kpoint*
- When *kpoint\_mode* is 'direct'  
*kpoint\_num | kpoint\_direct\_coor*

#### Setting of integration

- When *integrate\_mode* is 'Grid'  
*integrate\_grid | adaptive\_grid | adaptive\_grid\_threshold*
- When *integrate\_mode* is 'Adaptive'  
*relative\_error | absolute\_error | initial\_grid*

### 6.1.1 INPUT\_PARAMETERS

#### nspin {#input\_nspin}

- **Type:** Integer
- **Description:** Indicates the spin component of the wave function, related to the structure of the HR file.
  - 1: regardless of spin.
  - 2: the wave function is divided into two groups, one group is all up and one group is all down.

- 4: the wave function has both up and down components.

- **Default:** No default value

#### **package {#input\_package}**

- **Type:** String
- **Description:** Indicates data sources for HR, SR, rR.
- **Default:** ABACUS

#### **fermi\_energy {#input\_fermi\_energy}**

- **Type:** Real
- **Description:** Indicates the Fermi energy of the system. When set to Auto, the FERMI\_ENERGY function needs to be added.
- **Default:** Auto

#### **fermi\_energy\_unit {#input\_fermi\_energy\_unit}**

- **Type:** String
- **Description:** The unit of Fermi. Can be set to Ry, eV.
- **Default:** eV

#### **HR\_route {#input\_HR\_route}**

- **Type:** String
- **Description:** Path to HR matrix file. When nspin=2, two sets of paths need to be provided.
- **Default:** No default value

#### **SR\_route {#input\_SR\_route}**

- **Type:** String
- **Description:** Path to the SR matrix file.
- **Default:** No default value

#### **rR\_route {#input\_rR\_route}**

- **Type:** String
- **Description:** Path to the rR matrix file.
- **Default:** No default value

**binary {#input\_binary}**

- **Type:** Boolean
- **Description:** Whether HR, SR, and rR files are binary files.
- **Default:** 0

**HR\_unit {#input\_HR\_unit}**

- **Type:** String
- **Description:** The unit of HR. Can be set to Ry, eV.
- **Default:** Ry

**rR\_unit {#input\_rR\_unit}**

- **Type:** String
- **Description:** The unit of rR. Can be set to Bohr, Angstrom.
- **Default:** Bohr

**max\_kpoint\_num {#input\_max\_kpoint\_num}**

- **Type:** Integer
- **Description:** The upper limit of the number of k points stored in the memory during program calculation, which is used to control the memory consumption during calculation.
- **Default:** 8000

**sparse\_format {#input\_sparse\_format}**

- **Type:** Boolean
- **Description:** Whether HR, SR, rR matrices are stored in memory is sparse storage.
- **Default:** 0

**6.1.2 LATTICE****lattice\_constant {#lattice\_lattice\_constant}**

- **Type:** Real
- **Description:** The lattice constant of the system.
- **Default:** No default value



**lattice\_constant\_unit {#lattice\_lattice\_constant\_unit}**

- **Type:** String
- **Description:** The unit of the lattice constant. Can be set to Bohr, Angstrom.
- **Default:** Bohr

**lattice\_vector {#lattice\_lattice\_vector}**

- **Type:** Real
- **Description:** The 3 lattice vectors of the system. Each lattice vector is a row, with a total of 3 rows and 9 parameters.
- **Default:** No default value

**6.1.3 BAND\_STRUCTURE****wf\_collect {#bandstructure\_wf\_collect}**

- **Type:** Boolean
- **Description:** Whether to output wave function matrix information.
- **Default:** No default value

**kpoint\_mode {#bandstructure\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point. See *Setting of k points*
- **Default:** No default value

**6.1.4 BANDUNFOLDING****stru\_file {#bandunfolding\_stru\_file}**

- **Type:** String
- **Description:** Specify the strucutre file path.
- **Default:** No default value

**ecut {#bandunfolding\_ecut}**

- **Type:** Real
- **Description:** Used to determine the number of plane wave basis sets. Unit is Ry.
- **Default:** 10

**band\_range {#bandunfolding\_band\_range}**

- **Type:** Integer
- **Description:** Specifies the range of supercell energy band index within which the energy bands will be calculated. There are two parameters, representing the starting band index and the end band index, the index counts from 1.
- **Default:** No default value

**m\_matrix {#bandunfolding\_m\_matrix}**

- **Type:** Real
- **Description:** The lattice vector transformation matrix between the supercell and the primitive cell, with 9 parameters, is written on the same line.
- **Default:** No default value

**kpoint\_mode {#bandunfolding\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point of unitcell. See *Setting of k points*
- **Default:** No default value

**6.1.5 FERMI\_ENERGY****temperature {#fermienergy\_temperature}**

- **Type:** Real
- **Description:** temperature. The unit is K
- **Default:** 0

**electron\_num {#fermienergy\_electron\_num}**

- **Type:** Integer
- **Description:** The total number of electrons in the system.
- **Default:** No default value

**grid {#fermienergy\_grid}**

- **Type:** Integer
- **Description:** The grid to use for Newton interpolation. There are three parameters.
- **Default:** 10 10 10

**epsilon {#fermienergy\_epsilon}**

- **Type:** Real
- **Description:** Newton interpolation parameters, absolute accuracy.
- **Default:** 0.001

**6.1.6 FERMI\_SURFACE****bar {#fermisurface\_bar}**

- **Type:** Real
- **Description:** The max tolerable error bar for the Fermi surface
- **Default:** No default value

**nbands {#fermisurface\_nbands}**

- **Type:** Integer
- **Description:** If you know the energy band range where the Fermi energy is located, you can set this parameter to speed up the calculation. There are two numbers in total, indicating the range of the energy band. The default value is 0 0, that is, all energy bands are considered.
- **Default:** 0 0

**kpoint\_mode {#fermisurface\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point. See *Setting of k points*
- **Default:** No default value

**6.1.7 FIND\_NODES****energy\_range {#findnodes\_energy\_range}**

- **Type:** Integer
- **Description:** The energy range in which the program searches for degenerate points, the energy unit is eV.
- **Default:** No default value

**k\_start {#findnodes\_k\_start}**

- **Type:** Real
- **Description:** The origin point coordinates used to describe a Brillouin zone plane.
- **Default:** 0.0 0.0 0.0

**k\_vect1 {#findnodes\_k\_vect1}**

- **Type:** Real
- **Description:** The expansion vector used to describe a Brillouin zone plane.
- **Default:** 1.0 0.0 0.0

**k\_vect2 {#findnodes\_k\_vect2}**

- **Type:** Real
- **Description:** The expansion vector used to describe a Brillouin zone plane.
- **Default:** 0.0 1.0 0.0

**k\_vect3 {#findnodes\_k\_vect3}**

- **Type:** Real
- **Description:** The expansion vector used to describe a Brillouin zone plane.
- **Default:** 0.0 0.0 1.0

**initial\_grid {#findnodes\_initial\_grid}**

- **Type:** Integer
- **Description:** Set the initial grid for searching degenerate k points. There are three parameters.
- **Default:** 10 10 10

**initial\_threshold {#findnodes\_initial\_threshold}**

- **Type:** Real
- **Description:** The energy unit is eV. In the initial grid, only the k-points whose band differences are less than the threshold can enter the search for the next round of degenerate points.
- **Default:** 0.1

**adaptive\_grid {#findnodes\_adaptive\_grid}**

- **Type:** Integer
- **Description:** The refined grid will refine the k-points that reach the initial\_threshold in the initial grid. There are three parameters.
- **Default:** 20 20 20

**adaptive\_threshold {#findnodes\_adaptive\_threshold}**

- **Type:** Real
- **Description:** The minimum difference considered in independent bands, the energy unit is eV. This means if the band gap is below this bar, it will be recognized as degenerate bands.
- **Default:** 0.001

**kpoint\_mode {#findnodes\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point. See *Setting of k points*
- **Default:** No default value

**6.1.8 PDOS****stru\_file {#pdos\_stru\_file}**

- **Type:** String
- **Description:** The structure file name. This file records the structure of the lattice, the types of elements, and the atomic orbitals used. Make sure that both the structure file and the orbital file exist.
- **Default:** No default value

**e\_range {#pdos\_e\_range}**

- **Type:** Real
- **Description:** The range of energy E. There are two parameters, indicating the starting point and the ending point.
- **Default:** No default value

**de {#pdos\_de}**

- **Type:** Real
- **Description:** The interval dE for the energy E.
- **Default:** 0.01

**sigma {#pdos\_sigma}**

- **Type:** Real
- **Description:** Parameters for gauss smearing.
- **Default:** 0.001

**kpoint\_mode {#pdos\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point. See *Setting of k points*
- **Default:** No default value

**6.1.9 FAT\_BAND****band\_range {#fatband\_band\_range}**

- **Type:** Integer
- **Description:** There are two numbers (separated by spaces) to indicate which bands are selected for projection, counting from 1.
- **Default:** No default value

**stru\_file {#fatband\_stru\_file}**

- **Type:** String
- **Description:** The structure file name. This file indicates the crystal structure and the corresponding orbital file. Make sure that both the structure file and the orbital file exist.
- **Default:** No default value

**kpoint\_mode {#fatband\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point of unitcell. See *Setting of k points*
- **Default:** No default value

**6.1.10 SPIN\_TEXTURE****nband {#spintexture\_nband}**

- **Type:** Integer
- **Description:** A band index. (Band index counts from 1)
- **Default:** No default value

**kpoint\_mode {#spintexture\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point. See *Setting of k points*
- **Default:** No default value

**6.1.11 WILSON\_LOOP****occ\_band {#wilsonloop\_occ\_band}**

- **Type:** Integer
- **Description:** The number of occupied energy bands of an insulator.
- **Default:** No default value

**k\_start {#wilsonloop\_k\_start}**

- **Type:** Real
- **Description:** The origin point coordinates used to describe a Brillouin zone plane.
- **Default:** 0.0 0.0 0.0

**k\_vect1 {#wilsonloop\_k\_vect1}**

- **Type:** Real
- **Description:** The expansion vector is a vector used to define a Brillouin zone plane, and it is also the direction of integration for calculations.
- **Default:** 1.0 0.0 0.0

**k\_vect2 {#wilsonloop\_k\_vect2}**

- **Type:** Real
- **Description:** The expansion vector is a vector used to define a Brillouin zone plane, and it is also the direction of Wilson loop evolution for calculations.
- **Default:** 0.0 1.0 0.0

**nk1 {#wilsonloop\_nk1}**

- **Type:** Integer
- **Description:** k\_vect1 is divided into nk1 k-points.
- **Default:** 100

**nk2 {#wilsonloop\_nk2}**

- **Type:** Integer
- **Description:**  $k_{\text{vect}2}$  is divided into nk2 k-points.
- **Default:** 100

**6.1.12 POLARIZATION****occ\_band {#polarization\_occ\_band}**

- **Type:** Integer
- **Description:** The number of occupied energy bands of an insulator.
- **Default:** No default value

**nk1 {#polarization\_nk1}**

- **Type:** Integer
- **Description:** The number of samples in the x direction of reciprocal lattice vector  $\mathbf{G}$ .
- **Default:** No default value

**nk2 {#polarization\_nk2}**

- **Type:** Integer
- **Description:** The number of samples in the y direction of reciprocal lattice vector  $\mathbf{G}$ .
- **Default:** No default value

**nk3 {#polarization\_nk3}**

- **Type:** Integer
- **Description:** The number of samples in the z direction of reciprocal lattice vector  $\mathbf{G}$ .
- **Default:** No default value

**atom\_type {#polarization\_atom\_type}**

- **Type:** Integer
- **Description:** The number of element types in the system.
- **Default:** No default value



**stru\_file {#polarization\_stru\_file}**

- **Type:** String
- **Description:** Specify the strcutre file. NAOs files are not required.
- **Default:** No default value

**valence\_e {#polarization\_valence\_e}**

- **Type:** Integer
- **Description:** The number of valence electrons per element.
- **Default:** No default value

**6.1.13 BERRY\_CURVATURE****method {#berrycurvature\_method}**

- **Type:** Integer
- **Description:** Method for calculating berry curvature. 0 means direct calculation, 1 means calculation by Kubo formula.
- **Default:** 0

**occ\_band {#berrycurvature\_occ\_band}**

- **Type:** Integer
- **Description:** The number of occupied energy bands of an insulator. When this value is not set, it will be determined according to the Fermi energy.
- **Default:** -1

**kpoint\_mode {#berrycurvature\_kpoint\_mode}**

- **Type:** String
- **Description:** Used to set the k point. See *Setting of k points*
- **Default:** No default value

**6.1.14 AHC****method {#ahc\_method}**

- **Type:** Integer
- **Description:** Method for calculating berry curvature. 0 means direct calculation, 1 means calculation by Kubo formula.
- **Default:** 0

**integrate\_mode {#ahc\_integrate\_mode}**

- **Type:** String
- **Description:** Used for integration settings. See *Setting of integration*.
- **Default:** No default value

**6.1.15 CHERN\_NUMBER****method {#chernnumber\_method}**

- **Type:** Integer
- **Description:** Method for calculating berry curvature. 0 means direct calculation, 1 means calculation by Kubo formula.
- **Default:** 0

**occ\_band {#chernnumber\_occ\_band}**

- **Type:** Integer
- **Description:** The number of occupied energy bands of an insulator. When this value is not set, it will be determined according to the Fermi energy.
- **Default:** -1

**k\_start {#chernnumber\_k\_start}**

- **Type:** Real
- **Description:** The origin point coordinates used to describe a Brillouin zone plane.
- **Default:** 0.0 0.0 0.0

**k\_vect1 {#chernnumber\_k\_vect1}**

- **Type:** Real
- **Description:** The expansion vector used to describe a Brillouin zone plane.
- **Default:** 1.0 0.0 0.0

**k\_vect2 {#chernnumber\_k\_vect2}**

- **Type:** Real
- **Description:** The expansion vector used to describe a Brillouin zone plane.
- **Default:** 0.0 1.0 0.0

**integrate\_mode {#chernnumber\_integrate\_mode}**

- **Type:** String
- **Description:** Used for integration settings. See *Setting of integration*.
- **Default:** No default value

**6.1.16 CHIRALITY****method {#chirality\_method}**

- **Type:** Integer
- **Description:** Method for calculating berry curvature. 0 means direct calculation, 1 means calculation by Kubo formula.
- **Default:** 0

**k\_vect {#chirality\_k\_vect}**

- **Type:** Real
- **Description:** The k-point coordinates need to be calculated. There are three parameters to represent the coordinates.
- **Default:** No default value

**radius {#chirality\_radius}**

- **Type:** Real
- **Description:** The radius of the integrating sphere. The unit is  $\text{\AA}$ .
- **Default:** No default value

**point\_num {#chirality\_point\_num}**

- **Type:** Integer
- **Description:** The number of k-points that are uniformly sampled on a spherical surface.
- **Default:** No default value

**6.1.17 JDOS****occ\_band {#jdos\_occ\_band}**

- **Type:** Integer
- **Description:** Specifies the occupied energy band of the system. Currently, only insulator or semiconductor materials can be calculated.
- **Default:** No default value

**omega {#jdos\_omega}**

- **Type:** Real
- **Description:** Specifies the photon energy, the unit is eV. There are two parameters, indicating the starting point and the ending point.
- **Default:** No default value

**domega {#jdos\_domega}**

- **Type:** Real
- **Description:** The energy interval of  $\omega$ .
- **Default:** No default value

**eta {#jdos\_eta}**

- **Type:** Real
- **Description:** Specify the parameters of Gaussian smearing.
- **Default:** 0.01

**grid {#jdos\_grid}**

- **Type:** Integer
- **Description:** The grid for integration. There are 3 parameters in total.
- **Default:** No default value

**6.1.18 OPTICAL\_CONDUCTIVITY****occ\_band {#opticalconductivity\_occ\_band}**

- **Type:** Integer
- **Description:** Used to specify the occupied energy band of an insulator or semiconductor. Currently this function can only calculate insulators or semiconductors.
- **Default:** No default value

**omega {#opticalconductivity\_omega}**

- **Type:** Real
- **Description:** The range of  $\omega$ . There are two parameters, indicating the starting point and the ending point. Unit is eV.
- **Default:** No default value

**domega {#opticalconductivity\_domega}**

- **Type:** Real
- **Description:** The energy interval of  $\omega$ .
- **Default:** No default value

**eta {#opticalconductivity\_eta}**

- **Type:** Real
- **Description:** Parameters for triangular smearing.
- **Default:** 0.01

**grid {#opticalconductivity\_grid}**

- **Type:** Integer
- **Description:** The grid for integration. There are 3 parameters in total.
- **Default:** No default value

**6.1.19 SHIFT\_CURRENT****occ\_band {#shiftcurrent\_occ\_band}**

- **Type:** Integer
- **Description:** Used to specify the occupied energy band of an insulator or semiconductor. Currently this function can only calculate insulators or semiconductors.
- **Default:** No default value

**omega {#shiftcurrent\_omega}**

- **Type:** Real
- **Description:** The range of  $\omega$ . There are two parameters, indicating the starting point and the ending point. Unit is eV.
- **Default:** No default value

**domega {#shiftcurrent\_domega}**

- **Type:** Real
- **Description:** The energy interval of  $\omega$ .
- **Default:** No default value

**smearing\_method {#shiftcurrent\_smearing\_method}**

- **Type:** Integer
- **Description:** The method of smearing. 0: no smearing. 1: Gaussian smearing. 2: adaptive smearing.
- **Default:** 1

**eta {#shiftcurrent\_eta}**

- **Type:** Real
- **Description:** Specify the parameters of Gaussian smearing.
- **Default:** 0.01

**grid {#shiftcurrent\_grid}**

- **Type:** Integer
- **Description:** The grid for integration. There are 3 parameters in total.
- **Default:** No default value

**method {#shiftcurrent\_method}**

- **Type:** Integer
- **Description:** Specify the method to calculate the shift current. 0 represents calculation using the Sternheimer equation, 1 represents the first order partial derivative calculation.
- **Default:** 1

**6.1.20 BERRY\_CURVATURE\_DIPOLE****omega {#berrycurvedipole\_omega}**

- **Type:** Real
- **Description:** To set the energy range for the Berry curvature dipole, you can adjust it based on the Fermi energy level. The unit is eV. There are two parameters.
- **Default:** No default value

**domega {#berrycurvedipole\_domega}**

- **Type:** Real
- **Description:** Specifies the energy interval of the omega.
- **Default:** No default value

**integrate\_mode {#berrycurvaturesdipole\_integrate\_mode}**

- **Type:** String
- **Description:** Used for integration settings. See *Setting of integration*. Since the integration is of a tensor, only 'Grid' integrate\_mode is available.
- **Default:** No default value

## 6.2 Setting of k points

As long as the kpoint\_mode parameter exists in FUNCTIONS, the following setting methods are to be followed.

### 6.2.1 When kpoint\_mode is 'mp'

**mp\_grid**

- **Type:** Integer
- **Description:** The grid dividing the Brillouin zone. There are three parameters to divide the three-dimensional Brillouin zone.
- **Default:** No default value

**k\_start**

- **Type:** Real
- **Description:** The origin point coordinates of the Brillouin zone.
- **Default:** 0.0 0.0 0.0

**k\_vect1**

- **Type:** Real
- **Description:** Expanded vector of the Brillouin zone.
- **Default:** 1.0 0.0 0.0

**k\_vect2**

- **Type:** Real
- **Description:** Expanded vector of the Brillouin zone.
- **Default:** 0.0 1.0 0.0

### k\_vect3

- **Type:** Real
- **Description:** Expanded vector of the Brillouin zone.
- **Default:** 0.0 0.0 1.0

## 6.2.2 When kpoint\_mode is 'line'

### kpoint\_num

- **Type:** Integer
- **Description:** The number of high symmetry points.
- **Default:** No default value

### high\_symmetry\_kpoint

- **Type:** Real
- **Description:** Fractional coordinates of high symmetry points and line densities of corresponding k-lines. The first three parameters are the fractional coordinates of the high symmetry points, and the fourth parameter is the line density.
- **Default:** No default value

## 6.2.3 When kpoint\_mode is 'direct'

### kpoint\_num

- **Type:** Integer
- **Description:** the number of k points.
- **Default:** No default value

### kpoint\_direct\_coor

- **Type:** Real
- **Description:** Fractional coordinates of the k point.
- **Default:** No default value



## 6.3 Setting of integration

As long as the `integrate_mode` parameter exists in `FUNCTIONS`, the following setting methods are followed.

### 6.3.1 When `integrate_mode` is 'Grid'

#### `integrate_grid`

- **Type:** Integer
- **Description:** Low precision grid for integration. There are three parameters.
- **Default:** 4 4 4

#### `adaptive_grid`

- **Type:** Integer
- **Description:** High precision grid for integration. There are three parameters.
- **Default:** 4 4 4

#### `adaptive_grid_threshold`

- **Type:** Real
- **Description:** If the value of a k point is greater than this value, then the k point will be adapted.
- **Default:** 50.0

### 6.3.2 When `integrate_mode` is 'Adaptive'

#### `relative_error`

- **Type:** Real
- **Description:** The relative error of the adaptive integral.
- **Default:** 1e-6

#### `absolute_error`

- **Type:** Real
- **Description:** The absolute error of the adaptive integral.
- **Default:** 0.1

**initial\_grid**

- **Type:** Real
- **Description:** The initial grid for adaptive integration. There are three parameters.
- **Default:** 1 1 1