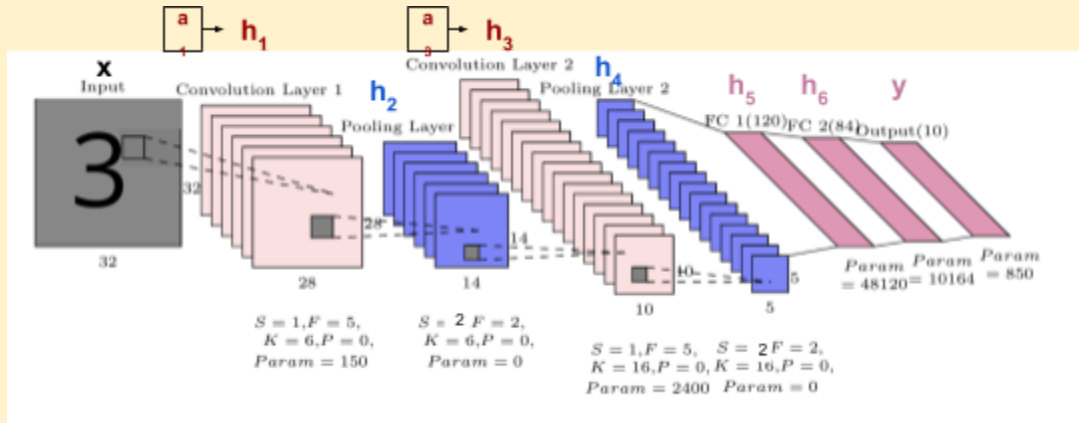


## One Fourth Labs

### Our First Convolutional Neural Network (CNN)

How to use a convolutional neural network for image classification?

1. The following diagram illustrates the configuration and working of a Convolutional Neural Network. It follows the **LeNet** architecture, created by Yann LeCun



2. Let us sequentially break down the various layers in this CNN
3. **Input:**
  - a. The image takes 32x32 pixel inputs.
  - b. There is no depth component because the images are in black & white.
4. **Convolution Layer 1:**
  - a. Here, the filter size  $F = 5$ , and the central cell is the pixel of interest
  - b. Stride length  $S = 1$
  - c. We use a total of 6 filters, i.e.  $K = 6$
  - d. No padding is used, i.e.  $P = 0$
  - e. Each of the filters generate 28x28 output (calculated using  $W_o$ ,  $H_o$  formula).
  - f. Our hidden representation at this layer is  $a_1 = 28 \times 28 \times 6$  ( $D_o = K$ ).
  - g. Non-linearity like tanh or ReLU(preferred for CNN) is applied to  $a_1$  making it  $h_1$
  - h. If we were to proceed as a Fully Connected Network, we would have an extremely large number of parameters ( $32 \times 32 \times 28 \times 28 \times 6 = 4,816,896$  parameters).
  - i. However in this sparsely connected network, each of the 6 filters is of size 5x5x1. So the number of parameters would be much more manageable ( $6 \times 5 \times 5 \times 1 = 150$  parameters).
  - j. This is significantly smaller than in a fully connected network, thereby reducing the chance of overfitting.
  - k. Here, the values  $F$ ,  $S$ ,  $K$ ,  $P$  etc are all counted as hyperparameters.
5. **Max Pooling Layer 1:**
  - a. The hyperparameters are as follows
  - b. Filter size  $F = 2$
  - c. Stride length  $S = 2$
  - d. No. of filters  $K = 6$
  - e. Padding  $P = 0$
  - f. Here, from a 2x2 filter, we select only 1 value. Therefore for a stride of 2, the output dimensions are half of the input( $h_1$ ) dimensions, i.e 14x14
  - g. We apply the max pooling independently to all 6 of the  $h_1$  layers, giving us  $h_2 = 14 \times 14 \times 6$
  - h. No parameters for this layer as we are simply choosing the largest value in the filter and not applying any weights to it.

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### 6. Convolutional Layer 2:

- The hyperparameters are as follows
- Filter size  $F = 5$
- Stride length  $S = 1$
- No. of filters  $K = 16$
- Padding  $P = 0$
- Thus, the filter dimensions are  $5 \times 5 \times 6$
- Here, 16 filters are applied to the input  $h_2$ , thereby giving us an output depth of  $D_o = 16$
- Calculating  $W_o$  and  $H_o$  using the formula, we get  $10 \times 10$
- Our hidden representation at this layer is  $\mathbf{a}_3 = 10 \times 10 \times 16$
- Non-linearity like tanh or ReLU (preferred for CNN) is applied to  $\mathbf{a}_3$  making it  $\mathbf{h}_3$
- The number of parameters for the filters ( $16 \times 5 \times 5 \times 6$ ) is 2400 parameters
- This is much smaller than what we would have had in a fully connected network

### 7. Max Pooling Layer 2:

- The hyperparameters are as follows
- Filter size  $F = 2$
- Stride length  $S = 1$
- No. of filters  $K = 16$
- Padding  $P = 0$
- Here, from a  $2 \times 2$  filter, we select only 1 value. Therefore for a stride of 2, the output dimensions are half of the input ( $h_3$ ) dimensions, i.e.  $14 \times 14$
- We apply the max pooling independently to all 16 of the  $h_1$  layers, giving us  $\mathbf{h}_4 = 5 \times 5 \times 16$
- No params for this layer as we are simply choosing the largest value in the filter.

### 8. Fully connected layer 1:

- Number of neurons: 120
- Input is  $\mathbf{h}_4$  flattened, i.e.  $5 \times 5 \times 16 = 400$
- No. of parameters in  $\mathbf{h}_5 = 120 \times 400 + 120$ -bias = 48120 parameters

### 9. Fully connected layer 2:

- Number of neurons: 84
- Input is number of neurons in  $\mathbf{h}_5 = 120$
- No. of parameters in  $\mathbf{h}_6 = 84 \times 120 + 84$ -bias = 10164 parameters

### 10. Output layer:

- Number of neurons: 10
- Input is number of neurons in  $\mathbf{h}_6 = 84$
- No. of parameters in  $\mathbf{y} = 10 \times 84 + 10$ -bias = 850 parameters

11. Overall, this combination of Convolutional and fully-connected layers is much more efficient than an entirely fully connected network. It has a significantly lower number of parameters but still is able to estimate functions of very high complexity.