

# Computational complexity

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# Computational complexity

- Notion of computation: numbers juggling while following some rules
- 20<sup>th</sup> century – precise definition
- Diverse physical and mathematical systems: Turing machines, lambda calculus, cellular automata, pointer machines, the game of life, ...
- *Standard* Universal electronic computer capable of executing *any* program



Source:

[https://en.wikipedia.org/wiki/Cellular\\_automaton](https://en.wikipedia.org/wiki/Cellular_automaton) (Gosper's Glider Gun creating "gliders" in the cellular automaton Conway's Game of Life)

# Computational complexity

- Some of the problems appeared to be *uncomputable*
- *Computational efficiency* – how to quantify?

# Computational complexity

- Main objective:
  - Estimate resource (time, memory, communication, randomness, etc.) amount required to solve a problem – computational efficiency.
- Questions examples:
  - Relations between computation problems
  - Worst-case vs average-case
  - Approximation benefit

# Computational efficiency: a simple example

- $a * b$ 
  - Add to  $a$   $b - 1$  times.
  - Grade-school algorithm
- $422 \text{ vs } 3 + 2$

			5	7	7
			4	2	3
		1	7	3	1
	1	1	5	4	
2	3	0	8		
2	4	4	0	7	1

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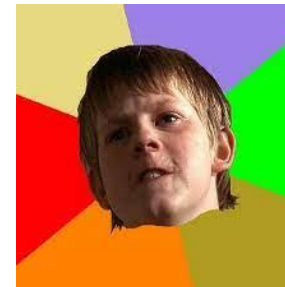
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Quantify efficiency as how number of basic ops scales with inputs

- i.e.  $2n^2$  vs  $n10^{n-1}$ ,  $n$  – num of digits



**VS**



# Matrix multiplication: Ideal case & real-world algorithms

- Lower bound:  $\Omega(n^{\omega+o(1)})$
- $2 \leq \omega \leq 3$

**Even simple problems may have non-obvious algorithms that were not discovered for centuries**

\*details will be covered later in the course when we have all the required tools to properly analyze

- 1969:  $n^{2.807}$  (Strassen)
- 1978  $n^{2.796}$  (Pan)
- 1979  $n^{2.780}$  (Bini, Capovani, Romani)
- 1981  $n^{2.522}$  (Schönhage)
- 1981  $n^{2.517}$  (Romani)
- 1981:  $n^{2.496}$  (Coppersmith, Winograd)
- 2010:  $n^{2.37293}$  (Stothers)
- 2012:  $n^{2.372873}$  (todo)
- 2014:  $n^{2.3728639}$  (Le Gall)
- 2020:  $n^{2.3728596}$  (Williams)
- 2022:  $n^{2.37188}$  (Duan, Wu and Zhou)

# Computational efficiency

- Dinner party:
  - Find the largest subset of guests given a list of pairs who don't get along with each other so that every pair of invitees have a good relationship
- Obvious yet inefficient solution: check all  $2^n$  subsets – *exhaustive search*. (get yourself a datacenter if you want a 70+ person party!)
  - Any better algorithm?



# More questions than answers...

- Can we prove the algorithm we came up with is the best possible?
- Can we replace an exhaustive search with a better alternative?
- Can an algorithm use randomness to speed up computation?
- Can hard problems become easier if we allow errors or approximations on small input subsets?
- Can we use hard problems for constructing cryptographic protocols that are unbreakable?
- Can we use quantum mechanics to build faster computers?
- Can we generate mathematical proofs automatically?

# Types of complexity

- Decompressor:  $D(x) = y : x, y \in \{0,1\}$ , so  $D: \{0,1\} \rightarrow \{0,1\}$
- Kolmogorov complexity of an object is the length of a shortest computer program that produces the object as output:  
$$KS_D(x) = \min\{len(y) \mid D(y) = x\}$$
- *Berry paradox*: наименьшее число, которое нельзя определить фразой из не более, чем тринадцати русских слов
- Shannon's entropy:  $H(x) = \sum_{x \in \chi} p_x \log 1/p_x$ ,  $P(X = x) = p_x$
- Occam's razor or Minimal Description Length (MDL)

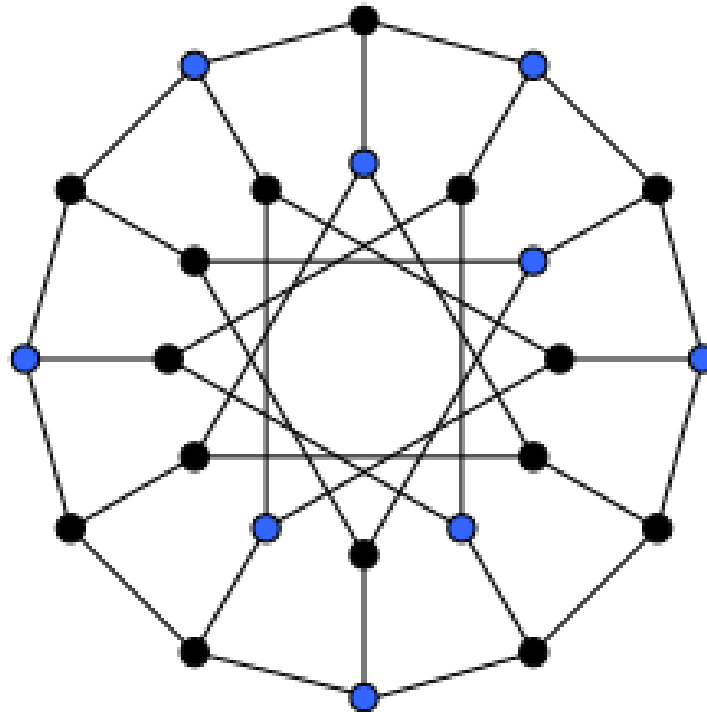


# Notations & representation

- String – finite ordered tuple of elements from alphabet  $S$ .
- $S^n$  - set of  $n$ -length strings over  $S$
- $S^* = \bigcup_{n \geq 0} S^n$
- Binary strings:  $\{0, 1\}^*$
- Functions with string inputs and outputs
- Any input can be encoded with binary strings
- Concat of strings  $a, b$  can be encoded as  $a\#b$ , and then  $1 \rightarrow 11, 0 \rightarrow 01, \# \rightarrow 00$
- $L_f = \{x: f(x) = 1\}$  of  $\{0, 1\}^*$  - decision problem/language (example?)

# Notations & representation

- $L_f = \{x: f(x) = 1\}$  of  $\{0, 1\}^*$  - decision problem/language
- $ISet = \{(G, k): \exists S \subseteq V_G: |S| \geq k \text{ \& \; } \forall u, v \in S, \overline{uv} \notin E_G\}$  (independent set – a set of vertices no two of which are adjacent).



# Big-Oh quick recap

- $f, g: \mathbb{N} \rightarrow \mathbb{N}$ 
  - $f = O(g)$  if there's  $c: f(n) \leq cg(n)$
  - $f = \Omega(g)$  if  $g = O(f)$
  - $f = \Theta(g)$  if both  $f = O(g)$  &  $f = \Omega(g)$
  - $f = o(g)$  if  $\forall \varepsilon > 0 \exists n : f(n) \leq \varepsilon g(n)$
  - $f = \omega(g)$  if  $g = o(f)$
- Example:  $f(n) = f(n - 1) + 5$

# Computation model

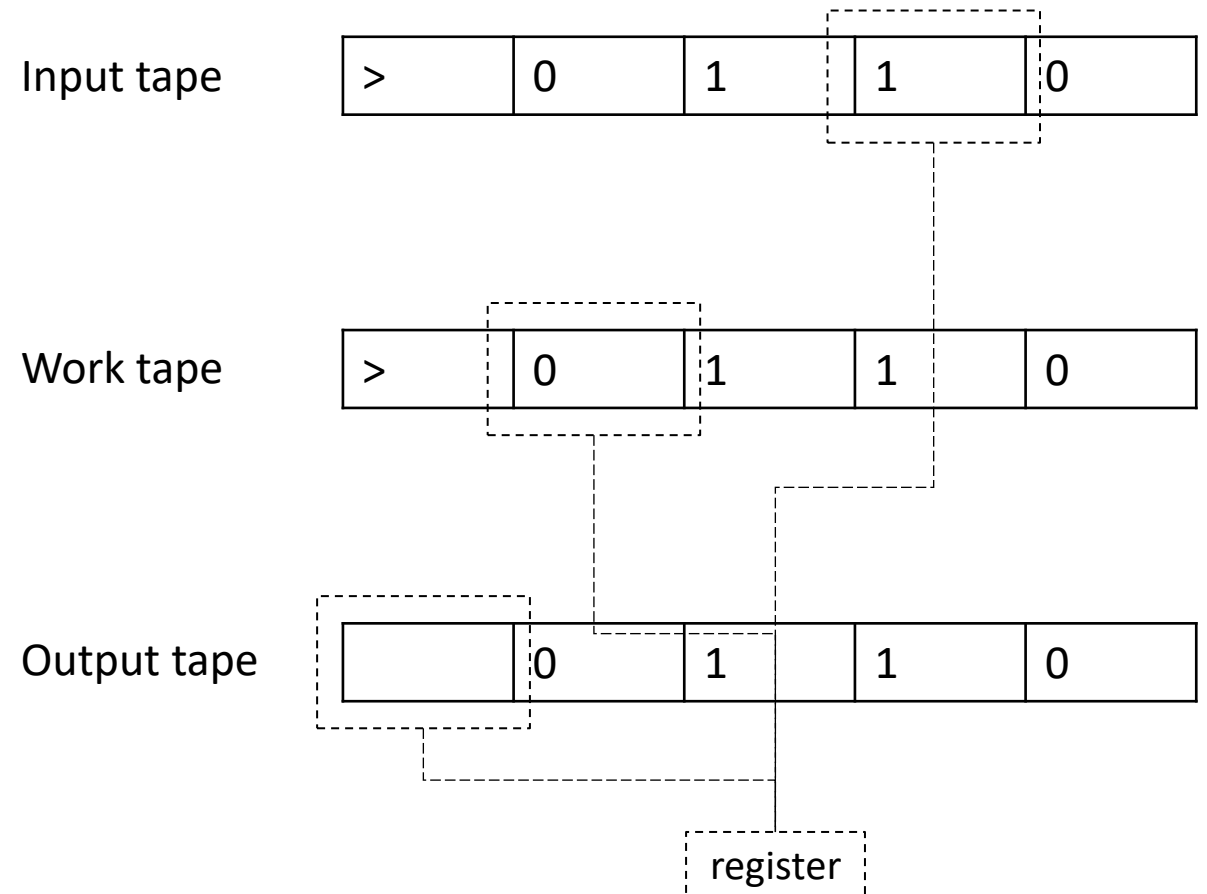
- Computation – numbers manipulation under some rules using a *scratch pad* with intermediate results
- Mathematical model?
- Turing machine

# Turing machine

- Have a function  $f: \{0,1\}^* \rightarrow \{0,1\}$ .  
An **algorithm** – set of *fixed* rules:

- Read input bit
- Read a bit/symbol from scratch pad (allows greater alphabet)
- Write to scratch pad
- Stop and output 0/1 or next rule

- Running time – number of basic ops, asymptotic  $T(n)$
- Universal Turing machine  $U$ , works in  $O(T(|x|)\log(T(|x|)))$



# Turing machine

- Scratch pad = k-tape (1 input, work, 1 output), each has its own *head*, stores alphabet  $\Gamma = \{\triangleright, \square, 0, 1\}$
- Register for states ( $Q$ , finite)
- Transition function  $\delta$

IF			THEN			
Input symbol read	Work/out tape symbol read	Current state	Move input head	New work/out tape symbol	Move work/out tape	New state
A	B	Q	Right	B'	Left	Q'



# Turing machine

- $M$  – Turing machine,  $T: \mathbb{N} \rightarrow \mathbb{N}$
- $M$  computes  $f$  if:
  - For every  $\{0, 1\}^*$  and  $M$  initialized to start config  $M$  *halts*
  - $f(x)$  written to output tape
- $M$  computes  $f$  in  $T(n)$  time if computation on every  $x$  requires  $T(|x|)$  at most
- PAL example
- Time constructible  $(n, n \log n, n^2)$ :
  - $T(n) \geq n$
  - $\exists M, \text{ computes } x \rightarrow T(x)_{\text{binary}} \text{ in } T(n)$

# Turing machine: important notes

- The set of rules is fixed and remains the same for all inputs
- Execution time – asymptotic number of steps required for an algorithm to reach a “finished” state
- Any machine can have a string *description* (for invalid machines we can set an empty MT). This means that a machine can be an input to another machine.

*Machine  $\approx$  algorithm*

# Turing machine: robustness

- Turing machines (TM) do not change efficiency properties on its structure changes
- By alphabet: assume TM  $M$  with alphabet  $\Gamma$ , a computable  $f$  is computable in  $4 \log|\Gamma| T(n)$  using  $\Gamma = \{\triangleright, \square, 0, 1\}$
- Single tape can simulate  $k$ -tape in  $5kT(n)^2$
- How to simulate a bidirectional work tape machine?

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- Single tape can simulate  $k$ -tape in  $5kT(n)^2$
- Bidirectional tape can be simulated with unidirectional with  $\Gamma^2$  alphabet in  $4T(n)$ 
  - What would be the slowdown?

# Universal Turing machine

- $\exists \text{TM } U: \forall x, a \in \{0,1\}^* U(x, a) = M_a(x)$ , halts in  $CT \log T$
- Machine description as input
- 3-tape, simplest alphabet transformation

Input tape

>	0	1	1	0	1	0	1		
---	---	---	---	---	---	---	---	--	--

M work tape simulation

--	--	--	--	--	--	--	--	--	--

M description

--	--	--	--	--	--	--	--	--	--

Current state of M

--	--	--	--	--	--	--	--	--	--

Output tape

>	0	1	1	0	1	0	1		
---	---	---	---	---	---	---	---	--	--

# Undecidable functions

- UC:  $\{0,1\}^* \rightarrow \{0, 1\}$ :
  - $UC :: \forall a \in \{0,1\}^* \text{ if } M_a(a) = 1 \text{ then } UC(a) = 0, \text{ and } 1 \text{ otherwise}$
- Diagonalization

MT description  $\{0,1\}^*$

	0	1	a
0	$M_a(a)$ $\rightarrow 1 - M_a(a)$	*	$M_0(a)$
1	*	...	*
a	*	*	...

Input parameter

# Halting problem

- HALT:  $\langle a, x \rangle$ , 1 if  $M_a$  halts on  $x$ , 0 otherwise
- HALT is not computable by any TM
- Reduction:
  - $M_{UC}$  on input  $a$  runs  $M_{HALT}(a, a)$ 
    - If result is 0 ( $M_a$  doesn't halt on  $a$ ), then  $M_{UC}$  outputs 1
    - Otherwise  $M_{UC}$  runs universal TM to compute  $b = M_a(a)$ , if  $b = 1$   $M_{UC}$  outputs 0, and vice versa
  - As  $M_{HALT}(a, a)$  outputs  $HALT(a, a)$  in finite number of steps  $M_{UC}(a)$  outputs  $UC(a)$
- UC is reducible to HALT + Gödel's theorem

# Class P

- Complexity class – set of functions that can be computed within resource bounds
- Machine decides language  $L \subseteq \{0,1\}^*$  if computes  $f_L: \{0,1\}^* \rightarrow \{0,1\}$ ;
  - $f_L(x) = 1 \leftrightarrow x \in L$
- DTIME:  $T: \mathbb{N} \rightarrow \mathbb{N}$ ,  $L$  is in DTIME( $T(n)$ ) if  $\exists M$  that decides  $L$  and runs in  $cT(n)$
- $P = \bigcup_{c \geq 1} \text{DTIME}(n^c)$  -- note:  $\text{DTIME}(n^{100})$  is also in P. In practice if a problem is in P there is an algorithm with  $\sim n^5$  complexity
- $\text{ISet} \in P?$



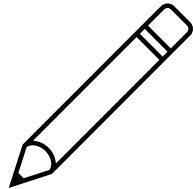
# Other model?

- **Church-Turing (CT) thesis:** every physically realizable device can be simulated with a TM

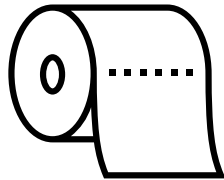
\*lives forever



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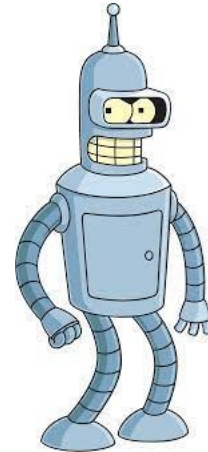
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\*infinite

Cannot outperform

Universal Turing machine



# Few notes

- Worst-case exact computation is too strict for practical purposes (usually addressed by a sort of mean complexity)
- Physics:
  - Precision (int vs float)
  - Randomness (BPP – P analogue)
  - Quantum mechanics (BQP)
- Decision problems are too limited

# Resources

- Computational Complexity: A Modern Approach  
(<https://theory.cs.princeton.edu/complexity/book.pdf>)
- Колмогоровская сложность и алгоритмическая случайность  
(<https://www.mccme.ru/free-books/shen/kolmbok.pdf>)

# Backup