

Theorie-III

# Formale Sprachen und Automatentheorie 1+2

## Selbst-Studium

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... Recurrence Relation

1)  $e_n := \#$  edges in a complete graph ( $n := \#$  nodes)

$e_{\dots} := \dots$  ,  $e_n := e_{n-1} \dots$

backward substitution: ...

forward substitution: ...

statement:  $e_n = \dots$

proof: induction on  $n \dots$

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... Recurrence Relation

2)  $d_n :=$  diameter in a grid ( $q_i; n := \#$  nodes,  $\dots := \dots$ )

$d_{\dots} := \dots$  ,  $d_{\dots} := d_{\dots-1} \dots$

backward substitution: ...

forward substitution: ...

statement:  $d_{\dots} = \dots$

proof: induction on  $\dots$

3)  $c_h := \#$  connections in an  $h$ -dimensional hyper-cube

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... Complexity

-  $NP_{TIME} := non(-determ.) polyn. ([det.] exponential)$   
 $[parallel\ time\ compl.: polyn.]$   $[NP_{TIME} \setminus (D)P_{TIME}]$

examples:

- (job-shop) scheduling  $_{1,1}$
- Graph Isomorphism [GIs]  $_{1,1}$
- Hamilton Cycle [HC] (closed path via each node once)

a given yes instance can (determ.) be certified in polyn. time

$(D)P_{TIME} \subseteq NP_{TIME}$   $[ \Rightarrow NP_{TIME} \subsetneq (D)P_{TIME} ]$  !

$(D)P_{TIME} \neq NP_{TIME}$   $[ (D)P_{TIME} \subseteq NP_{TIME} ]$  ?

$[NP_{TIME} \setminus (D)P_{TIME} \neq \emptyset ?]$

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... Complexity

- illustration

- $HCC \in co-NP$  (HC NP-complete)
- $NP \neq co-NP$  ? (HCC  $\notin NP$  ?)
- complem. of NP-complete pr.  $\in NP$  iff  $NP = co-NP$   
 $\notin [?]$   $\neq$
- [if]  $(D)P = NP \xrightarrow{P\ closed\ w.r.t.\ complement} NP = co-NP (-p)$
- contrapositive: ...
- $(D)P \subseteq [Co-(D)P] \subseteq NP \cap co-NP$

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... Languages

• "type 2" grammar

$G := (S, N, \Sigma, P)$

$S \in N := \{non-term.\}, \Sigma := \{term.\}, P := \{[N \ a] \ H \rightarrow z \mid z \in (W \cup \Sigma^*)\}$

variables alphabet := {symbols} Productions

$L(G) := \{w \in \Sigma^* \mid S \xrightarrow{+}_G w\}$  context-free

ex.  $(G): S, N := \{S\}, \Sigma := \{a, b, +, -, (, )\}$

$P := \{S \rightarrow a, S \rightarrow b, S \rightarrow S+S, S \rightarrow S-S, S \rightarrow (S)\}$

$L(G) = \dots$  not "regular", but c.f.

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... Languages

• "type 3" (grammars)

$G := (S, N, \Sigma, P)$

$S \in N := \{non-t.\}, \Sigma := \{t.\}, P := \{[N \ a] \ H \rightarrow z \mid z \in \{e\} \cup \Sigma^{0,1}\}$

$L(G) := \{w \in \Sigma^* \mid S \xrightarrow{+}_G w\}$  right-linear

regular

exercise:  $L_1 =$  all strings over  $\Sigma := \{0,1\}$  with exactly one "0":  $1^*01^*$   
 $L_2 = \{a^k b^l \mid k, l \geq 0\}$

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... Type 3

- illustration

- $L(DFA) = \{p01s \mid p, s \in \Sigma^*\}$ 
  - 01, 010, 1001, 00011  $\in L(DFA)$
  - $\epsilon, 0, 1, 10, 11, 100 \notin L(DFA)$
  - construction  $(q_0, \Sigma, \delta, Q, F)$ 
    - $\Rightarrow q_0$  : start state
    - $\Rightarrow \Sigma := \{0, 1\}$
    - $\Rightarrow \delta$  : {exercise ...}
    - $\delta(q_0, 0) := q_{00}, \delta(q_0, 1) := q_{01}$
    - $\delta(q_{00}, 0) := q_{00}, \delta(q_{00}, 1) := q_{01}$
    - $\delta(q_{01}, 0) := q_{01}, \delta(q_{01}, 1) := q_{01}$
    - $\delta(q_{01}, 0) := q_{01}, \delta(q_{01}, 1) := q_{01}$
    - $\Rightarrow Q := \{q_0, q_{00}, q_{01}\}$
    - $\Rightarrow F := \{q_{01}\}$

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... Type 3

- example ([double] counting modulo 2):

$L(DFA) := \{w \in \Sigma^* \mid w \text{ has even } \# 0. \text{ and even } \# 1.\}$

$\Rightarrow q_0 \neq 0, \dots, \# 1 \dots$  (start state)

$\Rightarrow q_1 \neq 0. \text{ even}, \# 1. \text{ odd}$

$\Rightarrow q_2 \neq 0. \text{ odd}, \# 1. \text{ even}$

$\Rightarrow q_3 \neq 0. \text{ odd}, \# 1. \text{ odd}$

$DFA: (q_0, \{0,1\}, \delta, \{q_0, q_1, q_2, q_3\}, \{ \dots \})$

exercise: transition diagram/graph and table

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... Type 3

- transition diagram/graph

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... Type 3

- illustration:  $w_0 := 1001, w_1 := 010; w_i \in L(\text{DFA})?$

»  $\delta(q_0, 1001) =$

$$\delta(\delta(q_0, 100), 1) =$$

$$\delta(\delta(\delta(q_0, 10), 0), 1) =$$

$$\delta(\delta(\delta(\delta(q_0, 1), 0), 0), 1) =$$

$$\delta(\delta(\delta(\delta(\delta(q_0, 1), 0), 1), 0), 0), 1) =$$

$$\delta(\delta(\delta(\delta(q_0, 1), 0), 1), 0), 1) =$$

$$\delta(\delta(\delta(q_1, 0), 1), 1) = \delta(\delta(q_3, 0), 1) = \delta(q_1, 1) = q_0 \in F$$

$\rightarrow w_0 \in L(\text{DFA})$

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... Type 3

»  $\delta(q_0, 010) =$

$$\delta(\delta(q_0, 01), 0) =$$

$$\delta(\delta(\delta(q_0, 0), 1), 0) =$$

$$\delta(\delta(\delta(\delta(q_0, 1), 0), 1), 0) =$$

$$\delta(\delta(\delta(q_2, 1), 0) = \delta(q_3, 0) = q_1 \notin F$$

$\rightarrow w_1 \notin L(\text{DFA})$

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... Type 3

application: game design ("nest – food")

$\Sigma := \{r, l\}$

$|\{q_0, q_1, q_2\}| = 3$

exercise: minimization

$L(A_{nr}) :=$

$|\{q_0, q_1\}| = 2 \leq 3$

$L(A_m) = L(A_{nr})$

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... Type 2

-  $L(\text{DPDA}) \subset L(\text{NPDA})$

- ex.: reversal:  $L_r := \{w := w_p r(w_p) \mid w_p \in \Sigma^*\}$   
( $\Rightarrow |w| = 2k, k \in \mathbb{N} (= 0, 1, 2, 3, \dots)$ ); reversal  $\rightarrow$  palindrome  $\rightarrow r$ .)
- corresponding grammar  $G_p = (S, N, \Sigma, P)$   
 $S \in N := \{ \dots \}, \Sigma := \{0, 1\},$   
 $P := \{S \rightarrow \epsilon \mid \dots\}$   
finite
- source of non-determinism: no prefix property

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... Type 2

- ex.: mirror:  $\Sigma_m := \Sigma \cup \{m_\Sigma\}$

$L_m := \{w := w_p m r(w_p) \mid m \in \Sigma_m \setminus \Sigma, w_p \in \Sigma^*\}$   
( $\Rightarrow |w| = 2k+1, k \in \mathbb{N} (= 0, 1, 2, 3, \dots)$ ); mirror  $\rightarrow \neg \text{rev}, r. \rightarrow \neg m.$ )

prefix-free  $L(\text{DPDA})$

- no guessing concerning the centre
- the middle ("mirror"  $\odot$ ) is known
- $L_m$  not regular ( $\neg$  type-3)
- prefix property

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... Introduction

- reduction of PCP to DCF

- given
  - $X, Y$
  - $G_1, G_2$
  - $I \cap \Sigma := \emptyset$
- define
  - $\Sigma' := \Sigma \cup I$
  - $G_1 := (S_1, \{S_1\}, \Sigma', P_1), P_1 := \{S_1 \rightarrow x_i S_i \mid x_i \neq \epsilon\}$
  - $G_2 := (S_2, \{S_2\}, \Sigma', P_2), P_2 := \{S_2 \rightarrow y_j S_j \mid y_j \neq \epsilon\}$

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4.3.1.2.1 Notions

- set  $S$ : collection of unique elements
- cardinality, cardinal number  $|S|$ 
  - $S$  finite : # elements
  - $S$  infinite : order of infinity
- power set:  $\wp(S) := 2^S := \{s \mid s \subseteq S\}$   
cardinality:  $|\wp(S)| = |2^S| = 2^{|S|} > |S|$   
finite

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4.3.1.2.2 Order of Infinity

- $|A| = |B|$  iff  $\exists$  bijection  $f: A \rightarrow B$
- denumerable (countably infinite) set  $S_d$   
 $|S_d| = |\mathbb{N}| =: \aleph_0 (= \infty)$  [bijection with  $\mathbb{N}$ ]
- every infinite set contains a countably infinite subset
- $A$  infinite iff  $\exists S \subset A$  with  $|S| = |A|$
- youtube.com/watch?v=zeCCMOHVS3w

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