

Natural Language Processing

AIMA Ch 23

Additional Reference

- ▶ [SLP] Speech and Language Processing, Daniel Jurafsky and James H. Martin
 - ▶ 2nd edition, 2008
 - ▶ 3rd edition, Oct. 2019

- ▶ Sequence labeling
 - ▶ [SLP 2nd ed.] Ch 5, 6
 - ▶ [SLP 3rd ed.] Ch 8, 9

- ▶ Parsing
 - ▶ [AIMA] Ch.23
 - ▶ [SLP 2nd ed.] Ch 12, 13, 14
 - ▶ [SLP 3rd ed.] Ch 12, 13, 14, 15



Natural Language Processing

- ▶ Get computers to perform useful and interesting tasks involving human languages.
 - ▶ Understanding
 - ▶ Generation
- ▶ Big applications
 - ▶ Question answering, conversational agents (ChatBot)
 - ▶ Financial document processing
 - ▶ Machine translation
 - ▶ News generation



Levels of NLP Research

Phonetics and phonology	knowledge about linguistic sounds
Morphology	knowledge of the meaningful components of words
Syntax	knowledge of the structural relationships between words
Lexical semantics	knowledge of word meaning
Compositional semantics	knowledge of the meaning of sentences
Pragmatics	knowledge of the relationship of meaning to the goals and intentions of the speaker
Discourse	knowledge about linguistic units larger than a single sentence





Sequence Labeling

Sequence Labeling

- ▶ Problem Definition

- ▶ Known

- ▶ A set of labels $\mathcal{C} = \{c_1, c_2, \dots, c_J\}$

- ▶ Input

- ▶ Sentence $s = \{x^1, x^2, \dots, x^m\}$

- ▶ Output

- ▶ For each word x^i , predict a label $c^i \in \mathcal{C}$



Examples

▶ Part-of-speech tagging

▶ Input

Pierre Vinken , 61 years old , will join ...

▶ Output

NNP NNP , CD NNS JJ , MD VB

NNP = Proper noun, singular

CD = Cardinal number

NNS = Noun, plural

JJ = Adjective

...



Examples

▶ Chinese word segmentation

▶ Input

瓦 里 西 斯 的 船 只 中 ...

▶ Output

B	I	I	E	S	B	E	S	
(瓦	里	西	斯)	(的)	(船	只)	(中)	...

B = beginning of a word

I = inside of a word

E = end of a word

S = single character word



Examples

▶ Named entity recognition

▶ Input

Michael Jeffrey Jordan was born in Brooklyn ...

▶ Output

B-PER	I-PER	E-PER	O	O	O	S-LOC
<u>Michael Jeffrey Jordan</u>						<u>Brooklyn</u>
Person						Location

B = beginning of an entity

I = inside of an entity

E = end of an entity

S = single word entity

O = outside of any entity

-PER = person

-LOC = location

-ORG = organization

...



Examples

▶ Semantic role labeling

▶ Input

The cat loves hats ...

▶ Output

B-ARG0 E-ARG0 S-PRED S-ARG1
The cat ← arg0 loves → arg1 hats

B = beginning of an entity

I = inside of an entity

E = end of an entity

S = single word entity

O = outside of any entity

-PRED = predicate

-ARG0 = agent

-ARG1 = patient

...



The simplest method

- ▶ For each word, predict its most frequent label
 - ▶ 90% accuracy on POS tagging!
 - ▶ Disadvantages:
 1. It does not consider the contextual info
 - ▶ “book a flight” vs. “read a book”
 - ▶ 我骑车差点摔倒，好在我一把把把把住了
 2. It does not consider relations between adjacent labels
 - ▶ In BIOES: "B-I" and "B-E" are OK, but "B-O" and "B-S" are not



Methods

- ▶ Hidden Markov Models (HMM)
- ▶ Max-Entropy Markov Models (MEMM)
- ▶ Conditional Random Fields (CRF)



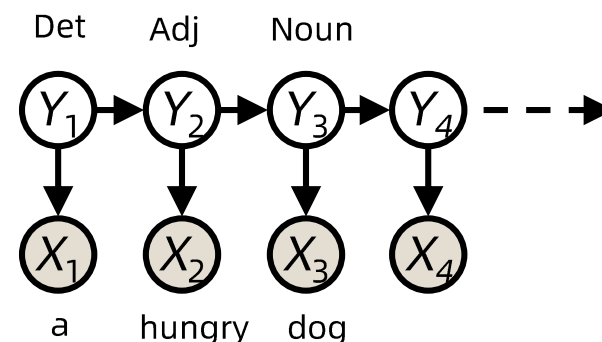
Hidden Markov Model (HMM)

▶ Variables

- ▶ X: word
- ▶ Y: label (hidden state)

▶ Parameters

- ▶ Transition model $P(y_t|y_{t-1})$
- ▶ Emission model $P(x_t|y_t)$
- ▶ Initial distribution $P(y_1)$
 - ▶ Can be seen as transition from $Y_0=\text{START}$ to Y_1
- ▶ Final distribution $P(y_n)$
 - ▶ Can be seen as transition from Y_n to $Y_{n+1}=\text{STOP}$



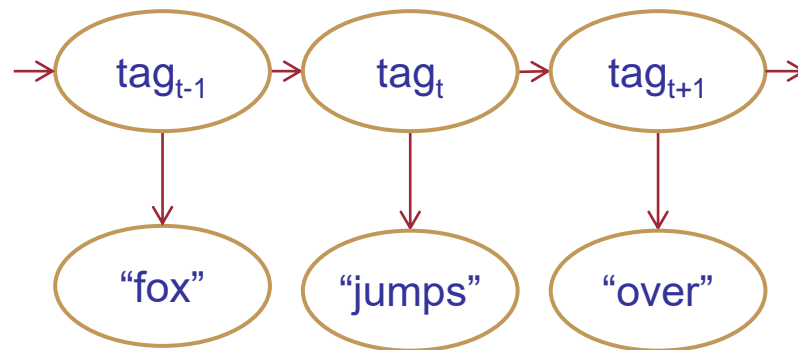
HMM Example

Transition

Y_{t-1}	$P(Y_t Y_{t-1})$			
	N	V	P	...
START	0.5	0.1	0.1	...
N	0.4	0.3	0.1	...
V	0.5	0	0.3	...
P	0.3	0.1	0	...
...

Emission

Y_t	$P(X_t Y_t)$			
	"fox"	"dog"	"run"	...
N	0.02	0.03	0.01	...
V	0	0	0.05	...
P	0	0	0	...
...



HMM Inference

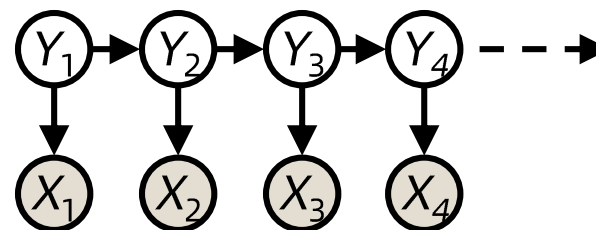
- ▶ Find the most likely label sequence of the input sentence
 - ▶ $\arg \max_{y_{0:t}} P(y_{0:t} | x_{1:t})$
- ▶ Algorithm?
 - ▶ Viterbi algorithm

$$\begin{aligned} \mathbf{m}_{1:t+1} &= \text{VITERBI}(\mathbf{m}_{1:t}, e_{t+1}) \\ &= P(e_{t+1} | X_{t+1}) \max_{x_t} P(X_{t+1} | x_t) \mathbf{m}_{1:t}[x_t] \end{aligned}$$

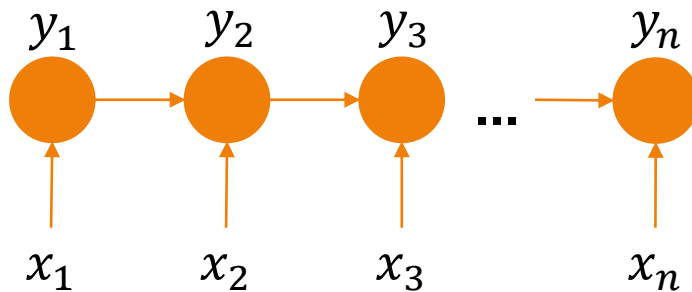


Beyond HMM

- ▶ The simplest method: for each word, predict its most frequent label
 - ▶ Problems:
 - ☹️ 1. It does not consider the contextual info
 - 😊 2. It does not consider relations between adjacent labels
- ▶ HMM handles problem 2, but not 1



Max-Entropy Markov Models (MEMM)



$$P(y_{1:n}|x_{1:n}) = P(y_1|x_1) \prod_{t=2}^n P(y_t|y_{t-1}, x_t)$$

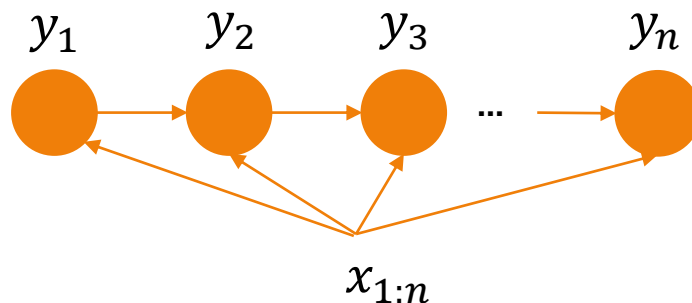
$$P(y_t|y_{t-1}, x_t) = \frac{\exp(W^T f(y_{t-1}, y_t, x_t))}{Z(y_{t-1}, x_t)}$$

Possible features:

- y_{t-1} is B and y_t is E?
- y_{t-1} is B and y_t is O?
- x_t is a noun?
- x_t is capitalized?
- ...



Max-Entropy Markov Models (MEMM)



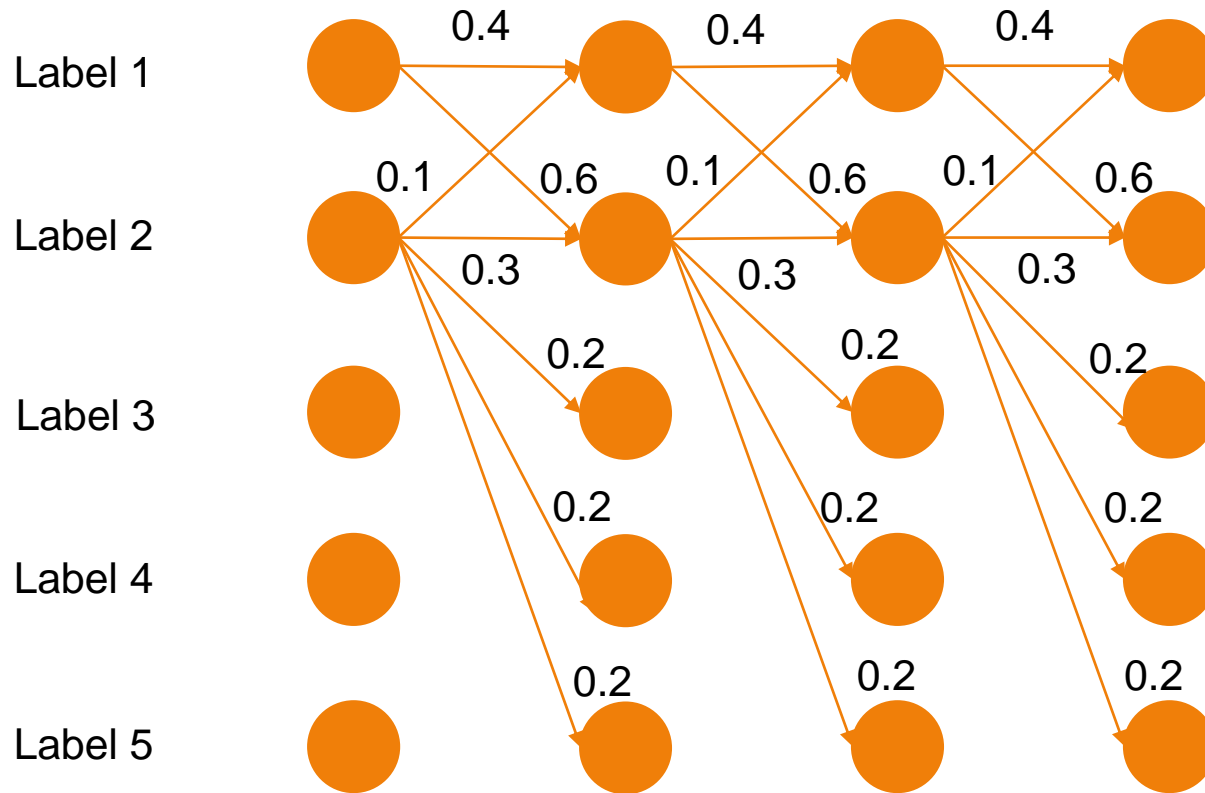
$$P(y_{1:n}|x_{1:n}) = P(y_1|x_{1:n}) \prod_{t=2}^n P(y_t|y_{t-1}, x_{1:n})$$

$$P(y_t|y_{t-1}, x_{1:n}) = \frac{\exp(W^T f(y_{t-1}, y_t, x_{1:n}))}{Z(y_{t-1}, x_{1:n})}$$

- ▶ MEMM considers both contextual info and relations between adjacent labels!
- ▶ But... MEMM suffers from **label bias problem**



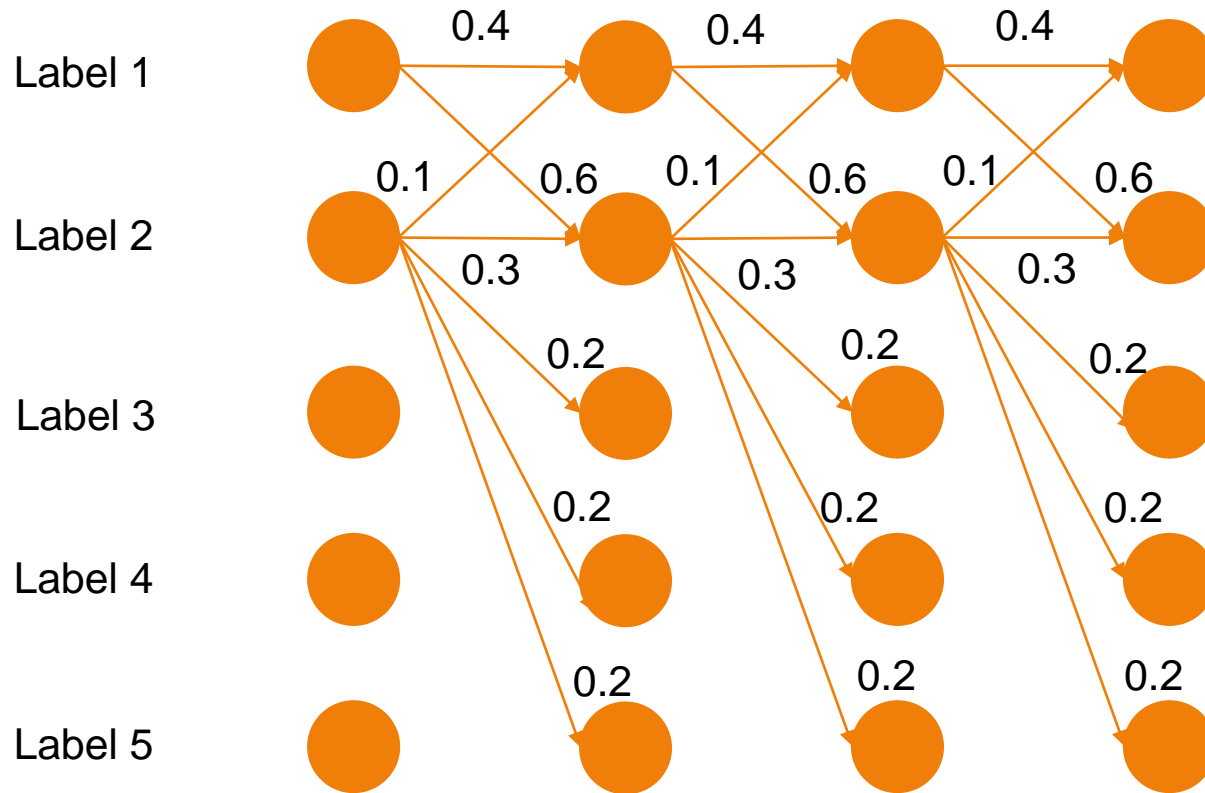
Label Bias Problem



- ▶ What the local transition probabilities say:
 - ▶ Label 1 prefers to go to label 2
 - ▶ Label 2 prefers to stay at label 2



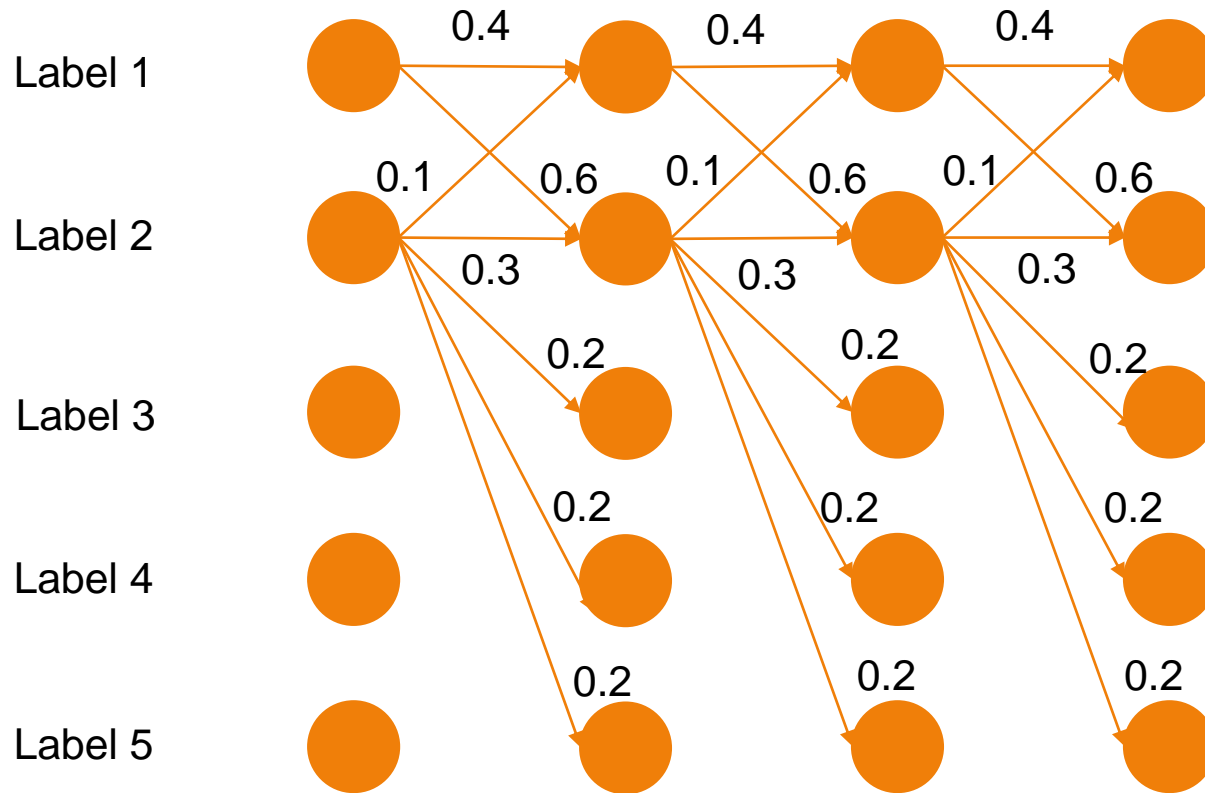
Label Bias Problem



- ▶ $P(1 \rightarrow 1 \rightarrow 1 \rightarrow 1) = 0.4^3 = 0.064$
- ▶ $P(1 \rightarrow 2 \rightarrow 1 \rightarrow 2) = 0.6 * 0.1 * 0.6 = 0.036$

- ▶ $P(2 \rightarrow 2 \rightarrow 2 \rightarrow 2) = 0.3^3 = 0.027$
- ▶ $P(2 \rightarrow 1 \rightarrow 2 \rightarrow 1) = 0.1 * 0.6 * 0.1 = 0.006$

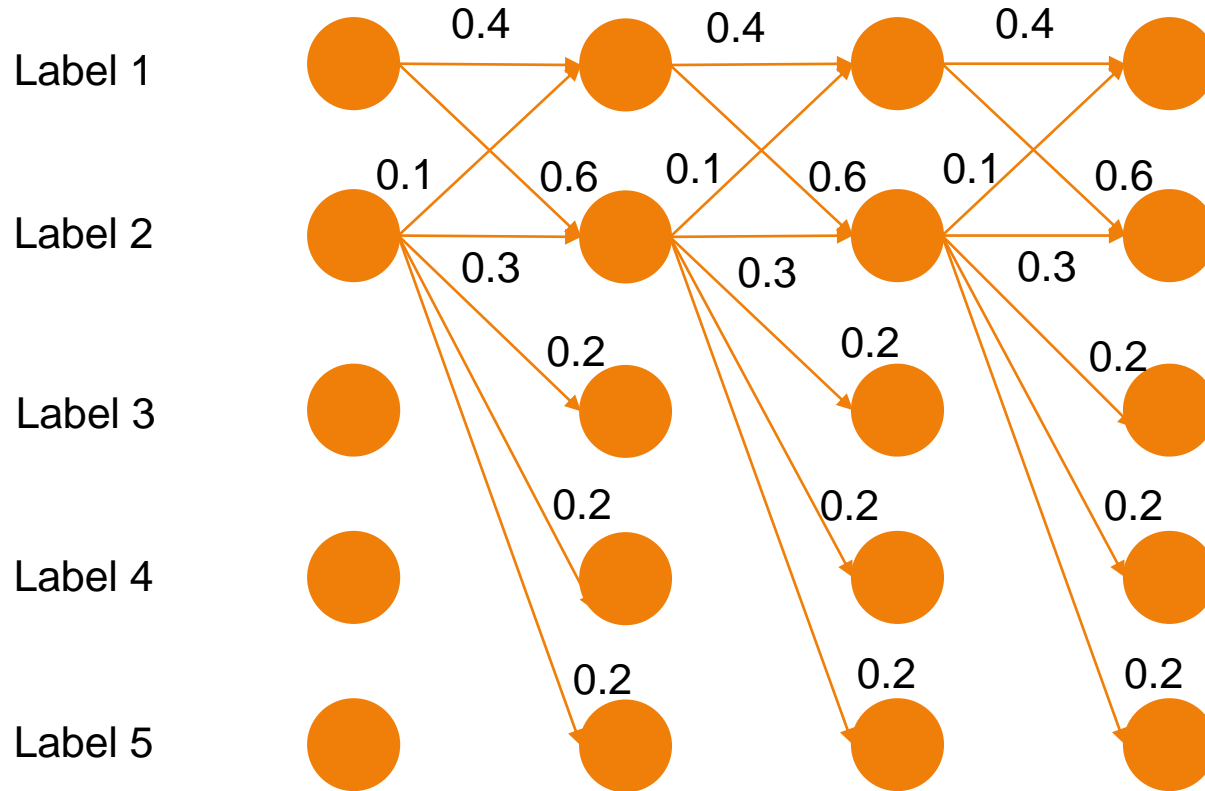
Label Bias Problem



- ▶ Label 1 has only two transitions but label 2 has five
- ▶ Transition probabilities from label 2 are lower



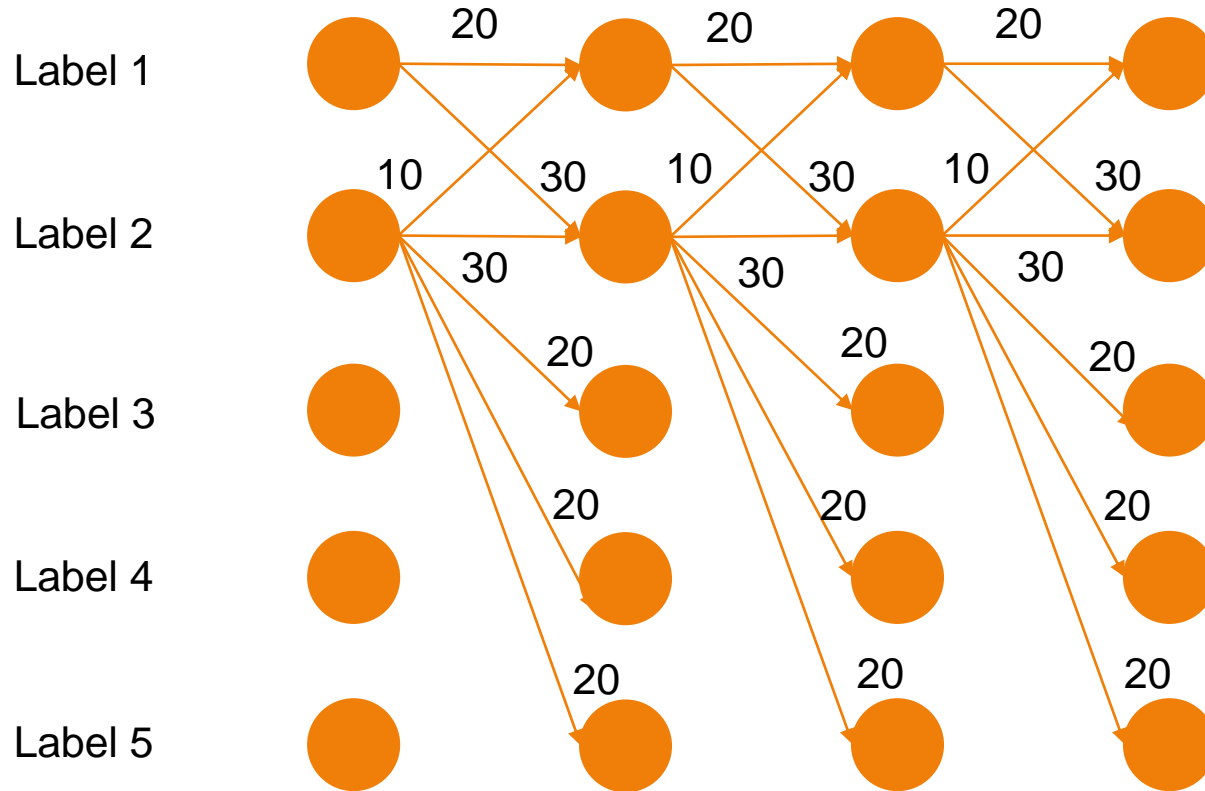
Label Bias Problem



- ▶ Label bias in MEMM
 - ▶ Preference of states with lower number of transitions



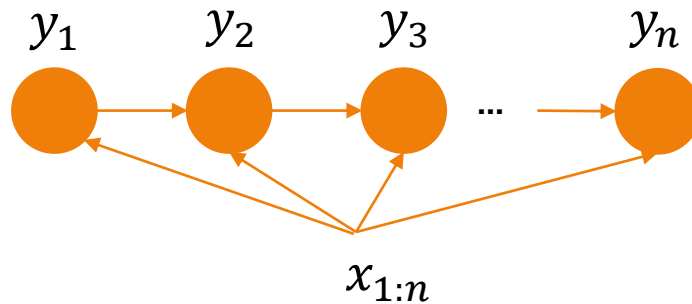
Label Bias Problem



- ▶ Solution
 - ▶ From local probabilities to local potentials



From MEMM to CRF

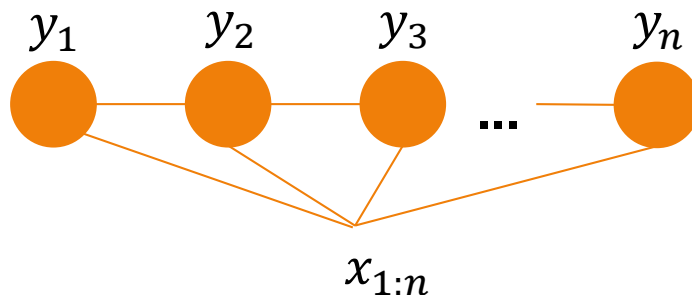


$$P(y_{1:n}|x_{1:n}) = P(y_1|x_{1:n}) \prod_{t=2}^n P(y_t|y_{t-1}, x_{1:n})$$

$$P(y_t|y_{t-1}, x_{1:n}) = \frac{\exp(W^T f(y_{t-1}, y_t, x_{1:n}))}{Z(y_{t-1}, x_{1:n})}$$



From MEMM to CRF



$$P(y_{1:n}|x_{1:n}) = \frac{1}{Z(x_{1:n})} \prod_{t=1}^n \exp(W^T f(y_{t-1}, y_t, x_{1:n}))$$

- ▶ Conditional Random Field (CRF) is an undirected graphical model
 - ▶ Global normalization instead of local normalization
 - ▶ Inference: Viterbi



Summary

- ▶ Sequence labeling
 - ▶ Predict a label for each word of a sentence
 - ▶ Many NLP tasks can be seen as sequence labeling
- ▶ Methods
 - ▶ HMM
 - ▶ MEMM
 - ▶ CRF





Parsing



Formal Grammars



Constituency

- ▶ Constituents

- ▶ Groups of words within sentences can be shown to act as single units.

- ▶ Ex: (The fox)(jumps (over (the dog)))

- ▶ These units form coherent classes

- ▶ Units in the same class behave in similar ways
 - ▶ ...with respect to their *internal* structure
 - ▶ ...and with respect to other (*external*) units in the language
 - ▶ E.g., noun phrases



Constituency

- ▶ For example, it makes sense to say that the following are all *noun phrases* in English...

Harry the Horse
the Broadway coppers
they

a high-class spot such as Mindy's
the reason he comes into the Hot Box
three parties from Brooklyn

- ▶ Why?
 - ▶ Similar internal structures
 - ▶ e.g., determiner + modifier + noun + modifier
 - ▶ They can all precede verbs (external evidence)



Grammars and Constituency

- ▶ Grammar
 - ▶ the set of constituents and the rules that govern how they combine
- ▶ Lots of different theories of grammar
- ▶ Context-free grammars (CFGs)
 - ▶ Also known as: Phrase structure grammars
 - ▶ One of the simplest and most basic grammar formalisms



Context-Free Grammars

- ▶ A context-free grammar has four components
 - ▶ A set Σ of terminals (words)
 - ▶ A set N of nonterminals (phrases)
 - ▶ A start symbol $S \in N$
 - ▶ A set R of production rules
 - ▶ Specifies how a nonterminal can produce a string of terminals and/or nonterminals



Example Grammar

Grammar Rules	Examples
$S \rightarrow NP VP$	I + want a morning flight
$NP \rightarrow$ <i>Pronoun</i> <i>Proper-Noun</i> <i>Det Nominal</i> $Nominal \rightarrow$ <i>Nominal Noun</i> <i>Noun</i>	I Los Angeles a + flight morning + flight flights
$VP \rightarrow$ <i>Verb</i> <i>Verb NP</i> <i>Verb NP PP</i> <i>Verb PP</i>	do want + a flight leave + Boston + in the morning leaving + on Thursday
$PP \rightarrow$ <i>Preposition NP</i>	from + Los Angeles



Example Grammar

Noun → *flights* | *breeze* | *trip* | *morning*
Verb → *is* | *prefer* | *like* | *need* | *want* | *fly*
Adjective → *cheapest* | *non-stop* | *first* | *latest*
 | *other* | *direct*
Pronoun → *me* | *I* | *you* | *it*
Proper-Noun → *Alaska* | *Baltimore* | *Los Angeles*
 | *Chicago* | *United* | *American*
Determiner → *the* | *a* | *an* | *this* | *these* | *that*
Preposition → *from* | *to* | *on* | *near*
Conjunction → *and* | *or* | *but*



Sentence Generation

- ▶ A grammar can be used to generate a string
 - ▶ starting from a string containing only the start symbol S
 - ▶ recursively applying the rules to rewrite the string
 - ▶ until the string contains only terminals
- ▶ The generative process specifies the **grammatical structure (parse tree)** of the string



Example

$S \rightarrow NP VP$

$S \rightarrow Aux NP VP$

$S \rightarrow VP$

$NP \rightarrow Pronoun$

$NP \rightarrow Proper-Noun$

$NP \rightarrow Det Nominal$

$NP \rightarrow Nominal$

$Nominal \rightarrow Noun$

$Nominal \rightarrow Nominal Noun$

$Nominal \rightarrow Nominal PP$

$VP \rightarrow Verb$

$VP \rightarrow Verb NP$

$VP \rightarrow Verb NP PP$

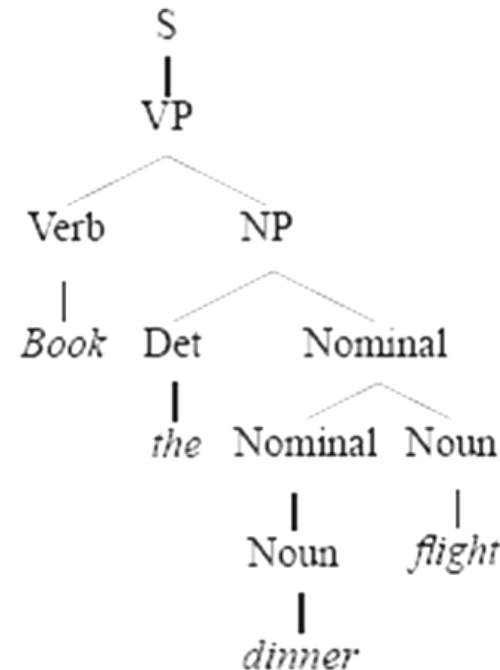
$VP \rightarrow Verb PP$

$VP \rightarrow Verb NP NP$

$VP \rightarrow VP PP$

$PP \rightarrow Preposition NP$

.....



Book the dinner flight



Sentence Parsing

- ▶ Parsing is the process of taking a string and a grammar and returning one or more parse tree(s) for that string
 - ▶ If no parse tree can be found, then the string does not belong to the language
 - ▶ Parsing algorithms: CYK, Earley, etc.
 - ▶ To be introduced later



Probabilistic Grammars

- ▶ Also called stochastic grammars
- ▶ Each rule is associated with a probability

$$\alpha \rightarrow \beta : P(\alpha \rightarrow \beta | \alpha)$$

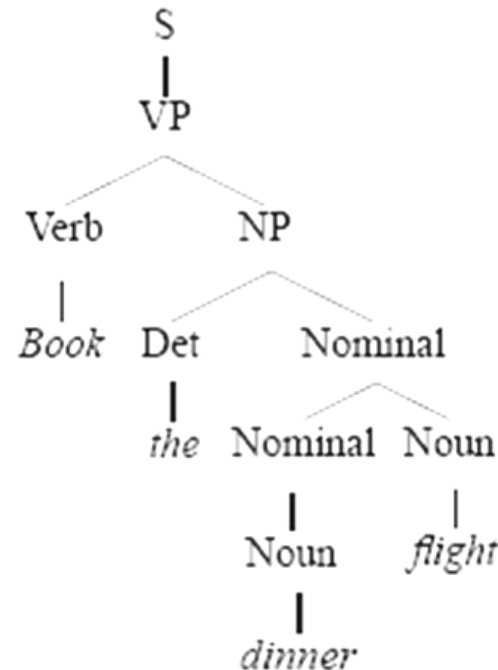
- ▶ The probability of a parse tree is the product of the probabilities of all the rules used in generating the parse tree



Example

$S \rightarrow NP VP$	[.80]
$S \rightarrow Aux NP VP$	[.15]
$S \rightarrow VP$	[.05]
$NP \rightarrow Pronoun$	[.35]
$NP \rightarrow Proper-Noun$	[.30]
$NP \rightarrow Det Nominal$	[.20]
$NP \rightarrow Nominal$	[.15]
$Nominal \rightarrow Noun$	[.75]
$Nominal \rightarrow Nominal Noun$	[.20]
$Nominal \rightarrow Nominal PP$	[.05]
$VP \rightarrow Verb$	[.35]
$VP \rightarrow Verb NP$	[.20]
$VP \rightarrow Verb NP PP$	[.10]
$VP \rightarrow Verb PP$	[.15]
$VP \rightarrow Verb NP NP$	[.05]
$VP \rightarrow VP PP$	[.15]
$PP \rightarrow Preposition NP$	[1.0]

.....



Book the dinner flight

$$P(T) = .05 \times .20 \times .20 \times .20 \times .75 \times .30 \times .60 \times .10 \times .40 = 2.2 \times 10^{-6}$$



Ambiguity

- ▶ A sentence is ambiguous if it has more than one possible parse tree
 - ▶ ...and hence more than one interpretation
- ▶ Examples
 - ▶ Time flies like an arrow.
 - ▶ Astronomers saw stars with ears.



Example

$S \rightarrow NP VP$ 1.0

$PP \rightarrow P NP$ 1.0

$VP \rightarrow V NP$ 0.7

$VP \rightarrow VP PP$ 0.3

$P \rightarrow \textit{with}$ 1.0

$V \rightarrow \textit{saw}$ 1.0

$NP \rightarrow NP PP$ 0.4

$NP \rightarrow \textit{astronomers}$ 0.1

$NP \rightarrow \textit{ears}$ 0.18

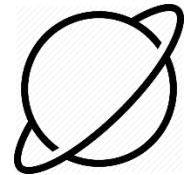
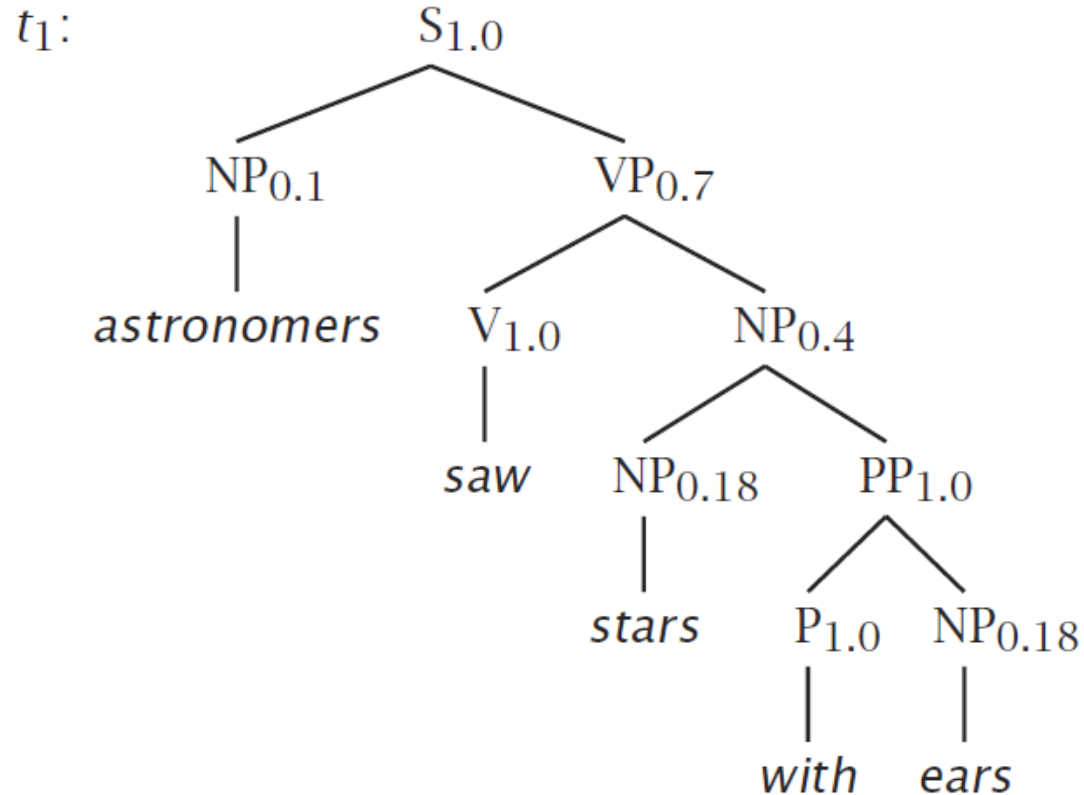
$NP \rightarrow \textit{saw}$ 0.04

$NP \rightarrow \textit{stars}$ 0.18

$NP \rightarrow \textit{telescopes}$ 0.1



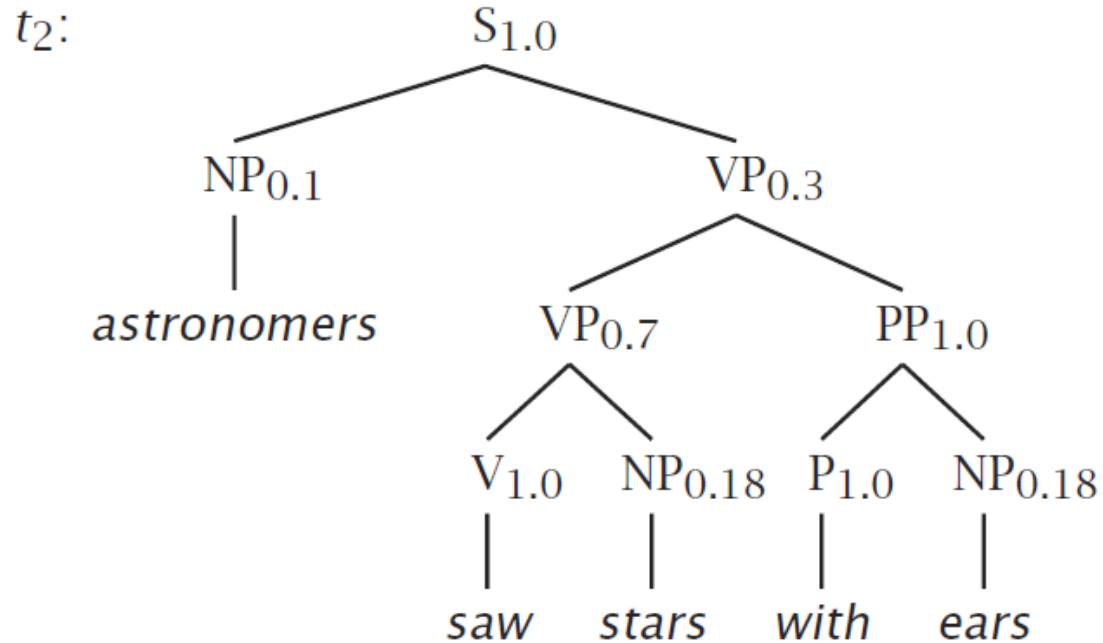
Example



$$\begin{aligned} P(t_1) &= 1.0 \times 0.1 \times 0.7 \times 1.0 \times 0.4 \\ &\quad \times 0.18 \times 1.0 \times 1.0 \times 0.18 \\ &= 0.0009072 \end{aligned}$$



Example



$$\begin{aligned} P(t_2) &= 1.0 \times 0.1 \times 0.3 \times 0.7 \times 1.0 \\ &\quad \times 0.18 \times 1.0 \times 1.0 \times 0.18 \\ &= 0.0006804 \end{aligned}$$

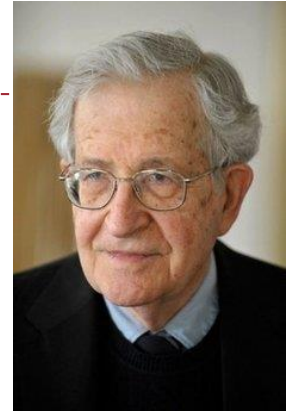


Chomsky Normal Form (CNF)

- ▶ Only two types of production rules in CNF

$$A \rightarrow B C$$

$$A \rightarrow w$$



Noam Chomsky

- ▶ Any arbitrary CFG can be rewritten into CNF automatically
 - ▶ The resulting grammar accepts (and rejects) the same set of strings as the original grammar
 - ▶ But the resulting parse trees are different (i.e., binarized)



Conversion to CNF

- ▶ Eliminate chains of unary productions.
 - ▶ So... $A \rightarrow B, B \rightarrow C$ turns into $A \rightarrow C$
- ▶ Introduce new intermediate non-terminals into the grammar that distribute rules with length > 2 over several rules.
 - ▶ So... $S \rightarrow A B C$ turns into
 - ▶ $S \rightarrow X C$ and
 - ▶ $X \rightarrow A B$
 - ▶ Where X is a symbol that doesn't occur anywhere else in the grammar.



\mathcal{L}_1 Grammar	\mathcal{L}_1 in CNF
$S \rightarrow NP VP$	$S \rightarrow NP VP$
$S \rightarrow Aux NP VP$	$S \rightarrow X1 VP$
	$X1 \rightarrow Aux NP$
$S \rightarrow VP$	$S \rightarrow book \mid include \mid prefer$
	$S \rightarrow Verb NP$
	$S \rightarrow X2 PP$
	$S \rightarrow Verb PP$
	$S \rightarrow VP PP$
$NP \rightarrow Pronoun$	$NP \rightarrow I \mid she \mid me$
$NP \rightarrow Proper-Noun$	$NP \rightarrow TWA \mid Houston$
$NP \rightarrow Det Nominal$	$NP \rightarrow Det Nominal$
$Nominal \rightarrow Noun$	$Nominal \rightarrow book \mid flight \mid meal \mid money$
$Nominal \rightarrow Nominal Noun$	$Nominal \rightarrow Nominal Noun$
$Nominal \rightarrow Nominal PP$	$Nominal \rightarrow Nominal PP$
$VP \rightarrow Verb$	$VP \rightarrow book \mid include \mid prefer$
$VP \rightarrow Verb NP$	$VP \rightarrow Verb NP$
$VP \rightarrow Verb NP PP$	$VP \rightarrow X2 PP$
	$X2 \rightarrow Verb NP$
$VP \rightarrow Verb PP$	$VP \rightarrow Verb PP$
$VP \rightarrow VP PP$	$VP \rightarrow VP PP$
$PP \rightarrow Preposition NP$	$PP \rightarrow Preposition NP$



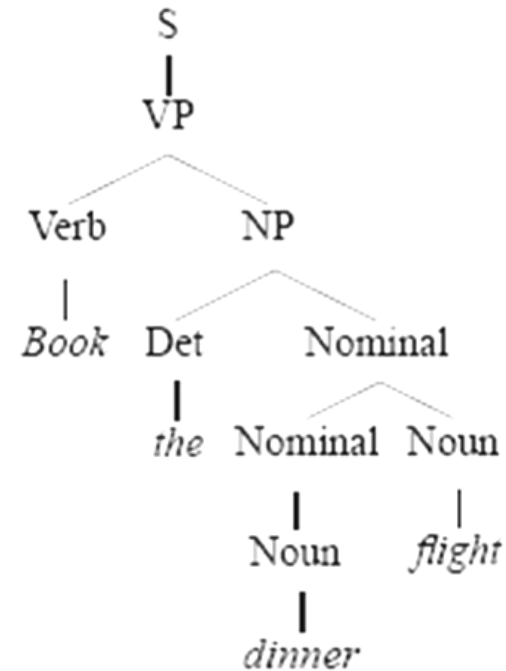
Parsing



Parsing

- ▶ Parsing with CFGs is the task of assigning proper parse trees to input strings

Book the dinner flight



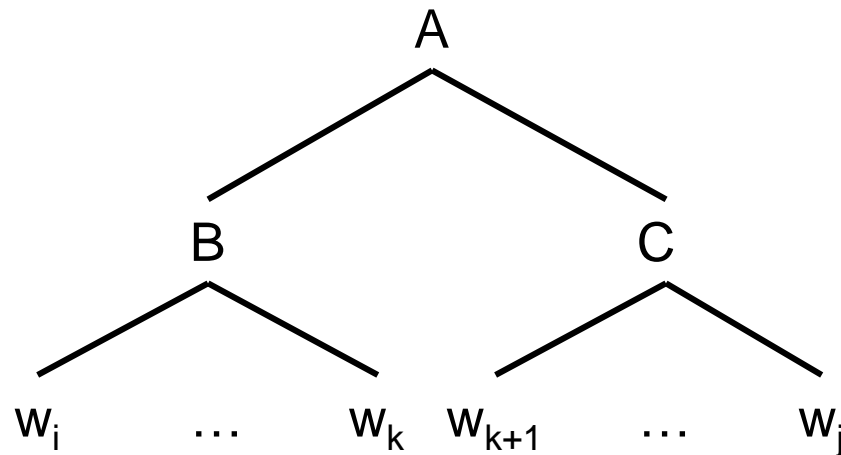
Parsing

- ▶ A brute-force approach
 - ▶ Enumerate all parse trees consistent with the input string
- ▶ Problem
 - ▶ Number of binary trees with n leaves is the Catalan number C_{n-1}
 - ▶ (Exponential growth)



Parsing

- ▶ Dynamic programming
 - ▶ Divide the problem into many sub-problems
 - ▶ Sub-problem: parsing the substring between positions i and j
 - ▶ Solutions to smaller sub-problems are reused in solving larger sub-problems



Cocke–Younger–Kasami Algorithm (CYK)

- ▶ A bottom-up dynamic programming algorithm
- ▶ Applies to CFG in **Chomsky Normal Form (CNF)**
 - ▶ Only two types of production rules

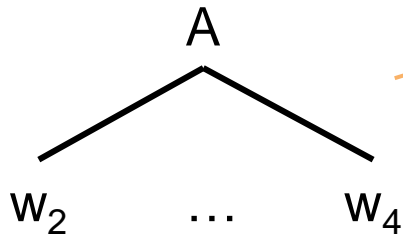
$A \rightarrow B C$

$A \rightarrow w$



CYK

- ▶ Build a table so that a non-terminal A spanning from i to j in the input is placed in cell $[i-1, j]$ in the table.



	1	2	3	4	5
0					
1				A	
2					
3					
4					

- ▶ So a non-terminal spanning an entire string will sit in cell $[0, n]$
 - ▶ Hopefully an S

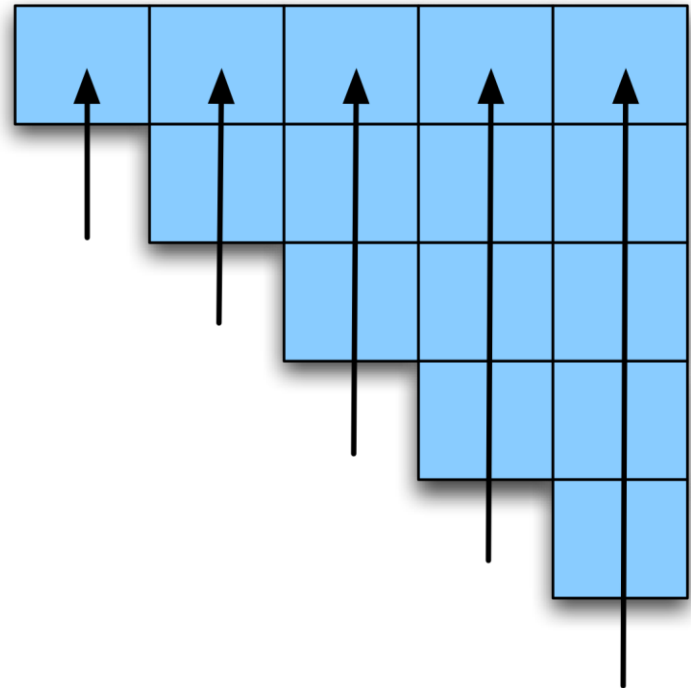


Example

A completed table for input
“Book the flight through
Houston”

<i>Book</i>	<i>the</i>	<i>flight</i>	<i>through</i>	<i>Houston</i>
S, VP, Verb Nominal, Noun [0,1]	[0,2]	S,VP,X2 [0,3]	[0,4]	S,VP,X2 [0,5]
	Det [1,2]	NP [1,3]	[1,4]	NP [1,5]
		Nominal, Noun [2,3]	[2,4]	Nominal [2,5]
			Prep [3,4]	PP [3,5]
				NP, Proper- Noun [4,5]

We fill the table from
bottom up



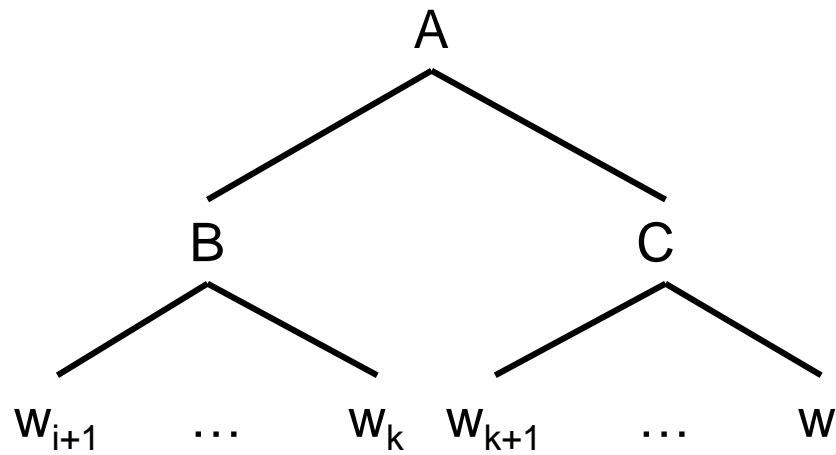
CYK

- ▶ Base case:

- ▶ A is in cell $[i-1, i]$ iff. there exists a rule $A \rightarrow w_i$

- ▶ Recursion:

- ▶ A is in cell $[i, j]$ iff. for some rule $A \rightarrow B C$ there is a B in cell $[i, k]$ and a C in cell $[k, j]$ for some k .



CYK Algorithm

```
function CKY-PARSE(words, grammar) returns table  
  
  for  $j \leftarrow$  from 1 to LENGTH(words) do  
     $table[j-1, j] \leftarrow \{A \mid A \rightarrow words[j] \in grammar\}$   
    for  $i \leftarrow$  from  $j-2$  downto 0 do  
      for  $k \leftarrow i+1$  to  $j-1$  do  
         $table[i, j] \leftarrow table[i, j] \cup$   
           $\{A \mid A \rightarrow BC \in grammar,$   
             $B \in table[i, k],$   
             $C \in table[k, j]\}$ 
```



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0					
1					
2					
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det				
1					
2					
3					
4					



CYK

- *The **flight** includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det				
1		N			
2					
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			
1		N			
2					
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			
1		N			
2			V		
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			
1		N			
2			V		
3				Det	
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			
1		N			
2			V		
3				Det	
4					N



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			
1		N			
2			V		
3				Det	NP
4					N



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			
1		N			
2			V		VP
3				Det	NP
4					N



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			S
1		N			
2			V		VP
3				Det	NP
4					N



CYK Parsing

- ▶ Is that really a parser?
 - ▶ We want a parse tree, not a yes/no answer
- ▶ Simple changes
 - ▶ Add back-pointers so that each state knows where it came from.
 - ▶ After filling the table, recursively retrieve the constituents from the top (i.e., the start symbol) down



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$
- $NP \rightarrow Det N$
- $VP \rightarrow V NP$
- $V \rightarrow \text{includes}$
- $Det \rightarrow \text{the}$
- $Det \rightarrow \text{a}$
- $N \rightarrow \text{meal}$
- $N \rightarrow \text{flight}$

	1	2	3	4	5
0	Det	NP			S
1		N			
2			V		VP
3				Det	NP
4					N

Ambiguity

- NP \rightarrow Det N
- NP \rightarrow N N

	1	2	3	4	5
0	Det N	??			
1		N			
2					
3					
4					



Ambiguity

- NP \rightarrow Det N
- NP \rightarrow N N


	1	2	3	4	5
0	Det N	NP ↑			
1		N			
2					
3					
4					



Ambiguity

- NP \rightarrow Det N
- NP \rightarrow N N

	1	2	3	4	5
0	Det N	NP			
1		N			
2					
3					
4					



Ambiguity

- NP → Det NP
- NP → NP PP

	1	2	3	4	5
0	Det	NP	??		
1		N	NP		
2			PP		
3					
4					

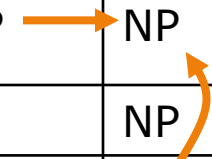


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Ambiguity

- NP → Det NP
- NP → NP PP

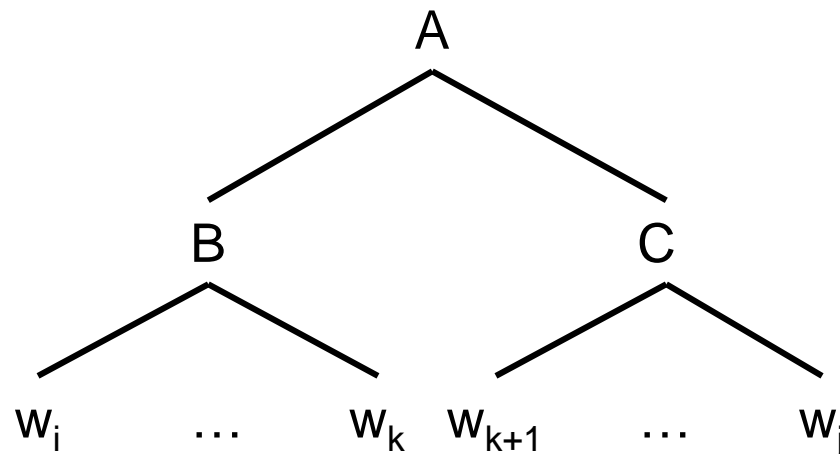
	1	2	3	4	5
0	Det	NP	NP		
1		N	NP		
2			PP		
3					
4					



Probabilistic Parsing

- ▶ We have a probabilistic grammar, e.g., PCFG
- ▶ We want to find the parse tree of an input string with the highest probability
- ▶ In cell $[i-1, j]$ of the table, associate each nonterminal A with the probability of the best parse tree rooted at A covering substring from i to j
- ▶ Recursive computation

$$P_{A,i,j} = \max_{B,C,k} P(A \rightarrow BC) \\ \times P_{B,i,k} \times P_{C,k,j}$$



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0					
1					
2					
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4				
1					
2					
3					
4					



CYK

- *The **flight** includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4				
1		N 0.02			
2					
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4	NP .0024			
1		N 0.02			
2					
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4	NP .0024			
1		N 0.02			
2			V .05		
3					
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4	NP .0024			
1		N 0.02			
2			V .05		
3				Det 0.4	
4					



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4	NP .0024			
1		N 0.02			
2			V .05		
3				Det 0.4	
4					N 0.01



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4	NP .0024			
1		N 0.02			
2			V .05		
3				Det 0.4	NP 0.001
4					N 0.01



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow includes$ [.05]
- $Det \rightarrow the$ [.4]
- $Det \rightarrow a$ [.4]
- $N \rightarrow meal$ [.01]
- $N \rightarrow flight$ [.02]

	1	2	3	4	5
0	Det 0.4	NP .0024			
1		N 0.02			
2			V .05		VP .00001
3				Det 0.4	NP 0.001
4					N 0.01



CYK

- *The flight includes a meal.*

Grammar

- $S \rightarrow NP VP$ [.80]
- $NP \rightarrow Det N$ [.30]
- $VP \rightarrow V NP$ [.20]
- $V \rightarrow \text{includes}$ [.05]
- $Det \rightarrow \text{the}$ [.4]
- $Det \rightarrow \text{a}$ [.4]
- $N \rightarrow \text{meal}$ [.01]
- $N \rightarrow \text{flight}$ [.02]

	1	2	3	4	5
0	Det 0.4	NP .0024			S .00000001 92
1		N 0.02			
2			V .05		VP .00001
3				Det 0.4	NP 0.001
4					N 0.01



Ambiguity


- NP \rightarrow Det N [0.7]
- NP \rightarrow N N [0.3]

	1	2	3	4	5
0	Det 0.4 N 0.8				
1		N 0.02			
2					
3					
4					



Ambiguity

- NP \rightarrow Det N [0.7]
- NP \rightarrow N N [0.3]

	1	2	3	4	5
0	Det 0.4 N 0.8	NP .0056 			
1		N 0.02			
2					
3					
4					



Ambiguity

- NP \rightarrow Det N [0.7]
- NP \rightarrow N N [0.3]

	1	2	3	4	5
0	Det 0.4 N 0.8	NP .0048			
1		N 0.02			
2					
3					
4					



Ambiguity

- NP → Det N [0.7]
- NP → N N [0.3]

	1	2	3	4	5
0	Det 0.4 N 0.8	NP .0056 ↑			
1		N 0.02			
2					
3					
4					



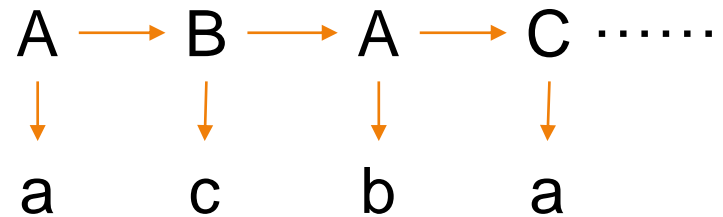
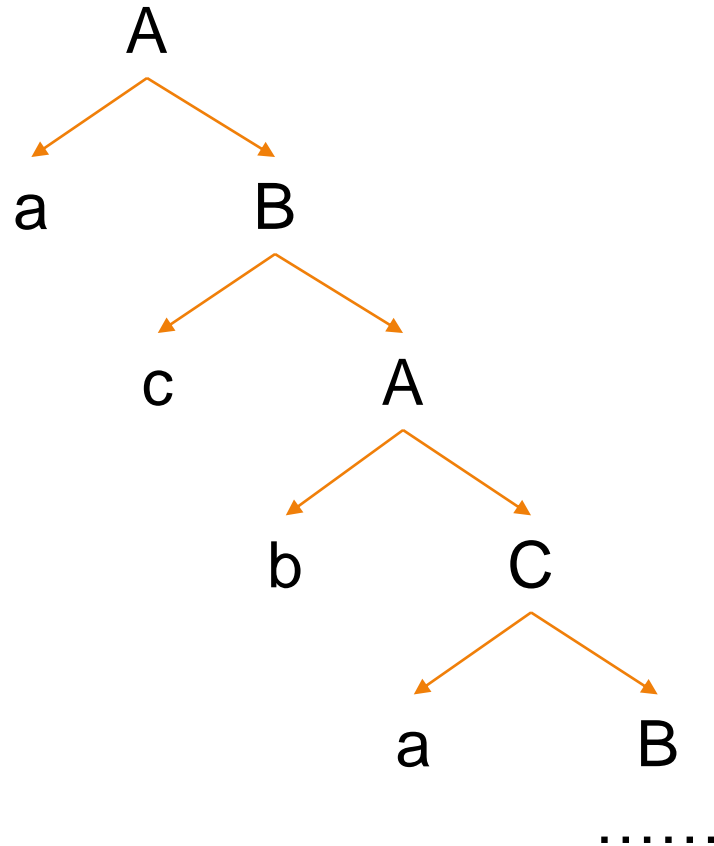


Regular Grammar



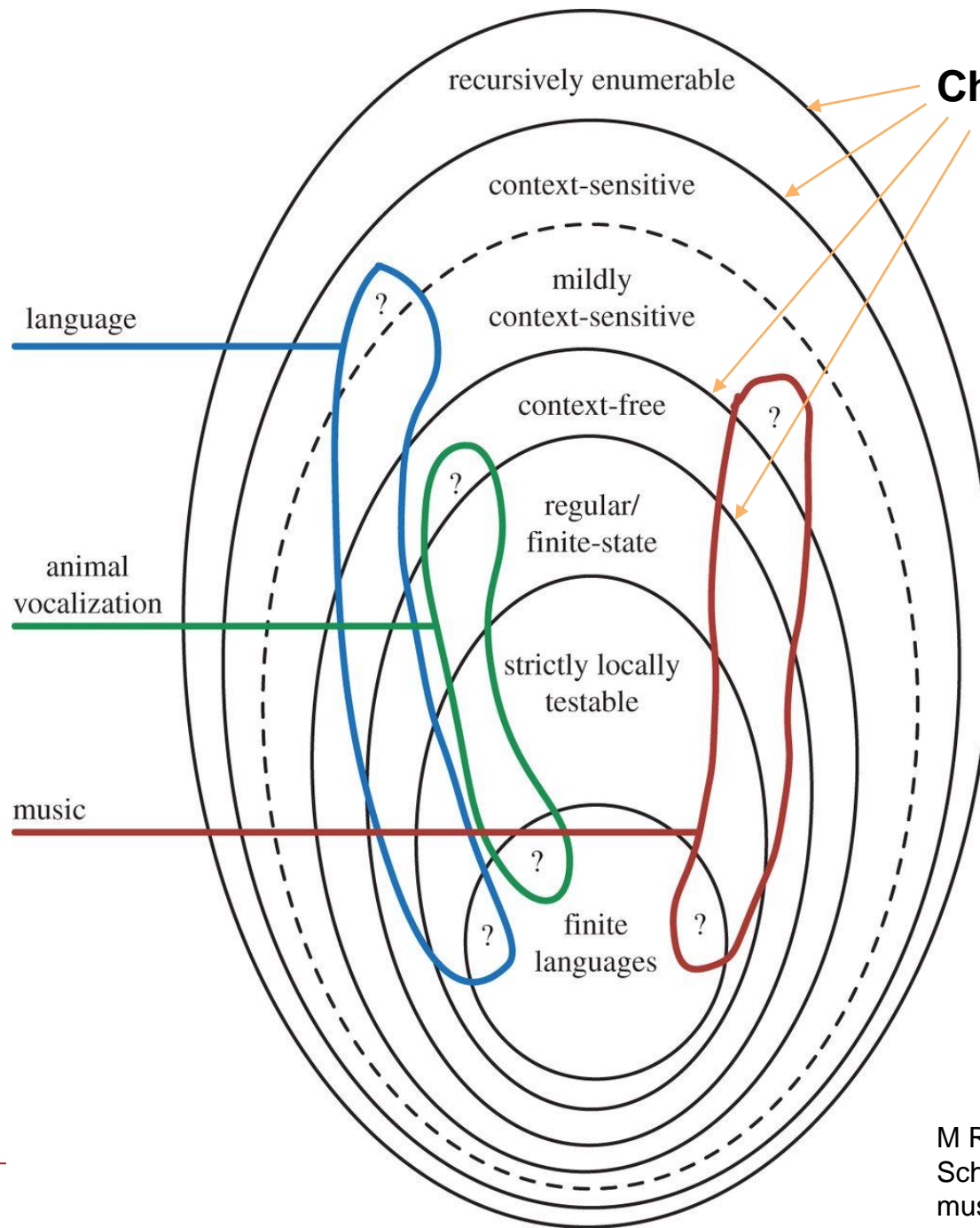
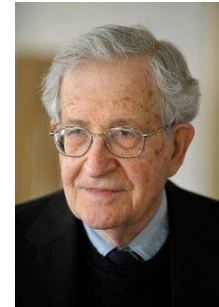
Regular Grammars

- ▶ Production rules are of the form $A \rightarrow aB$ or $A \rightarrow a$



Probabilistic RG = HMM

Chomsky Hierarchy



M Rohrmeier, W Zuidema, G Wiggins, C Scharff. Principles of structure building in music, language and animal song.



Dependency Grammar

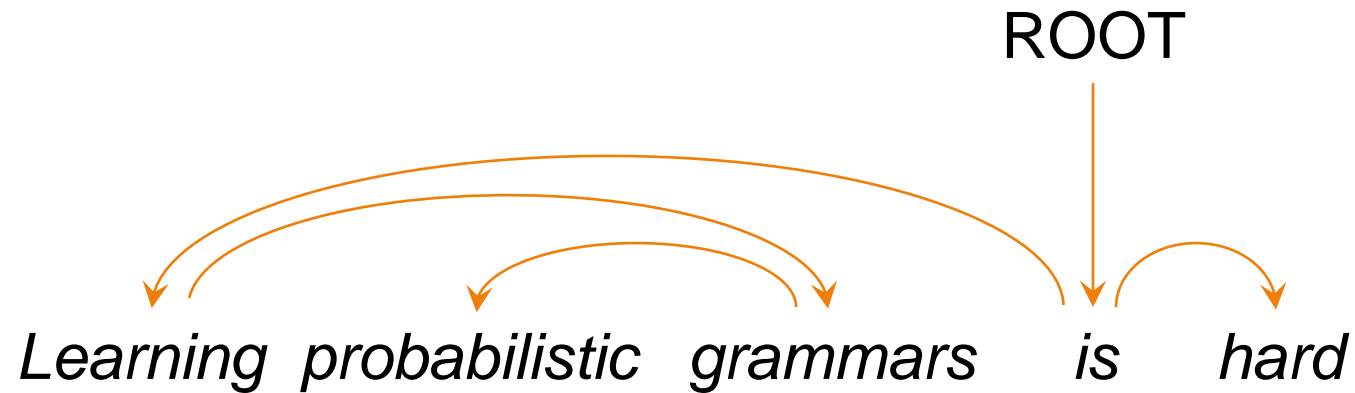


Dependency Grammars

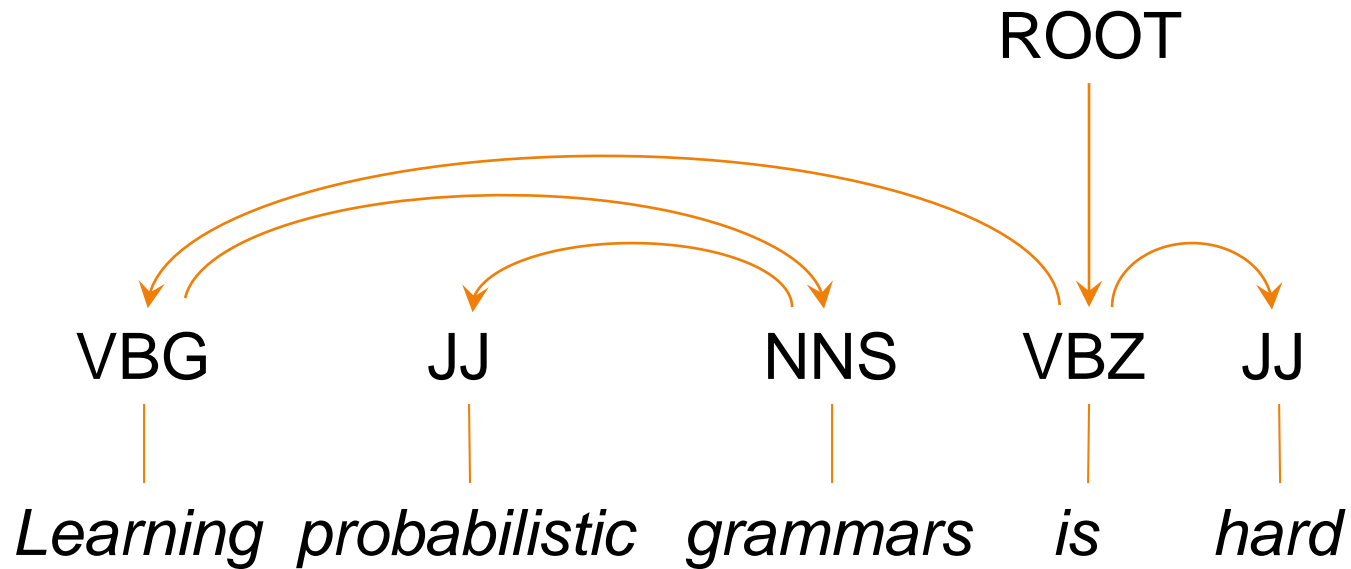
- ▶ CFG focuses on *constituents*.
- ▶ A **dependency grammar** focuses on just binary relations among the words in a sentence
- ▶ A dependency parse is a tree where
 - ▶ the nodes are the words in a sentence
 - ▶ The links between the words represent their dependency relations.
 - ▶ Relations may be typed (labeled)



Dependency Parse



Dependency Parse



Dependency Types

Argument Dependencies	Description
nsubj	nominal subject
csubj	clausal subject
dobj	direct object
iobj	indirect object
pobj	object of preposition
Modifier Dependencies	Description
tmod	temporal modifier
appos	appositional modifier
det	determiner
prep	prepositional modifier



Dependency Parse

▶ Advantages

- ▶ Deals well with free word order languages where the constituent structure is quite fluid
 - ▶ Ex: Czech, Turkish
- ▶ Parsing is much faster than CFG-based parsers
- ▶ Dependency structure often captures the syntactic relations needed by later applications
 - ▶ CFG-based approaches often extract this same information from trees anyway.



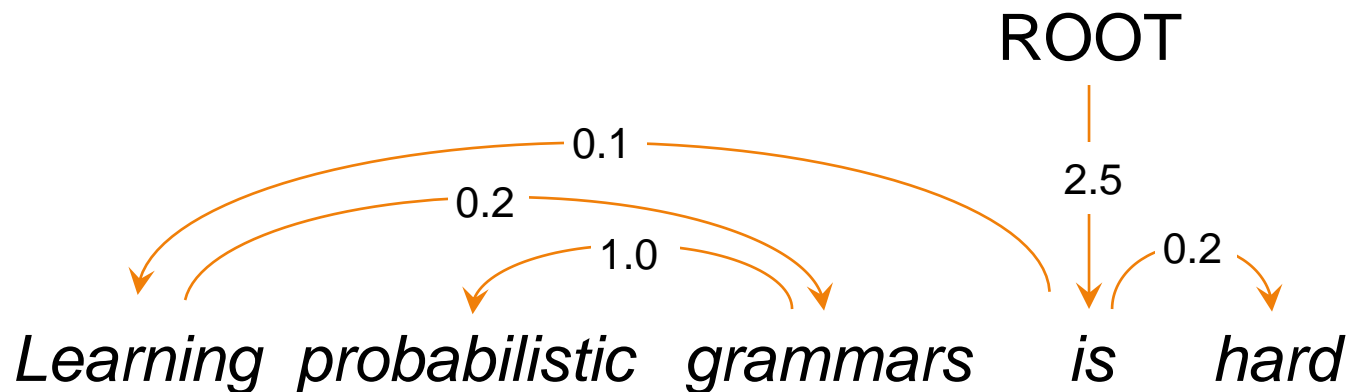
Dependency Parsing

- ▶ Parsing: taking a string and a grammar and returning one or more parse tree(s) for that string
- ▶ There are two modern approaches to dependency parsing
 - ▶ Graph-based approach: finding the (maximum) spanning trees of the complete graph over words
 - ▶ Transition-based (shift-reduce) approach: reading words from left to right and taking a sequence of actions to construct a tree



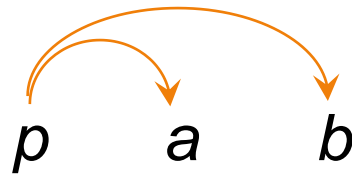
Parse tree scoring

- ▶ Purpose of scoring: resolve ambiguity
- ▶ First-order graph-based parsing
 - ▶ Each arc has a score. The tree score is the sum of arc scores.
 - ▶ An arc score is often computed from features of the two words

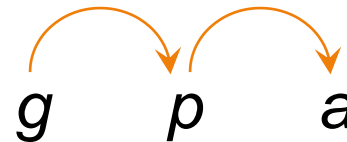


Parse tree scoring

- ▶ Purpose of scoring: resolve ambiguity
- ▶ Second-order graph-based parsing
 - ▶ Each connected pair of arcs has a score. The tree score is the sum of arc-pair scores.



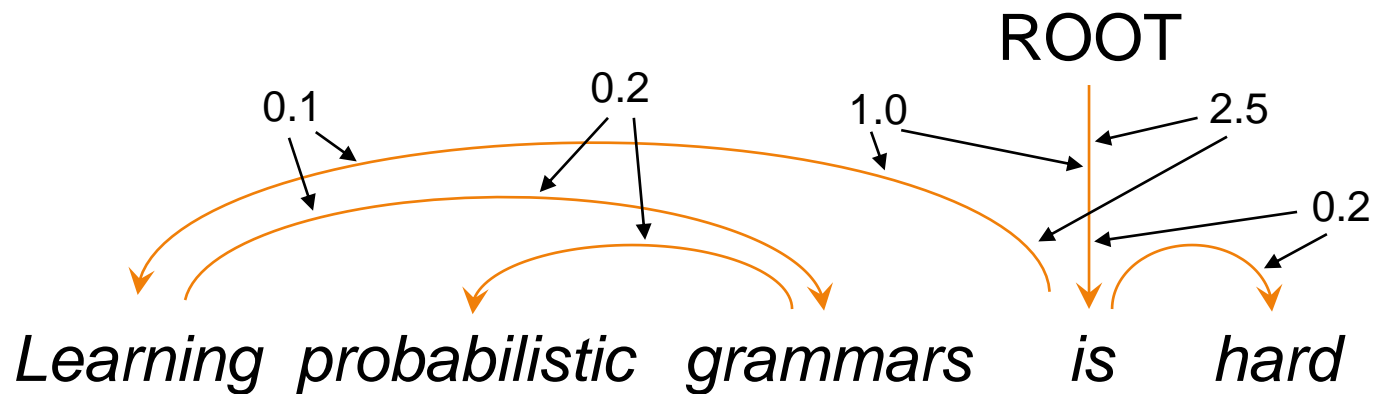
siblings



grandparent

Parse tree scoring

- ▶ Purpose of scoring: resolve ambiguity
- ▶ Second-order graph-based parsing
 - ▶ Each connected pair of arcs has a score. The tree score is the sum of arc-pair scores.



Dependency Grammar vs. CFG

DG vs. CFG

- ▶ Dependency grammars are a subclass of CFGs

$a \rightarrow b$

$a \rightarrow c$

$d \leftarrow a$

$e \leftarrow a$



$A \rightarrow AB$

$A \rightarrow AC$

$A \rightarrow DA$

$A \rightarrow EA$

$A \rightarrow a$

$B \rightarrow b$

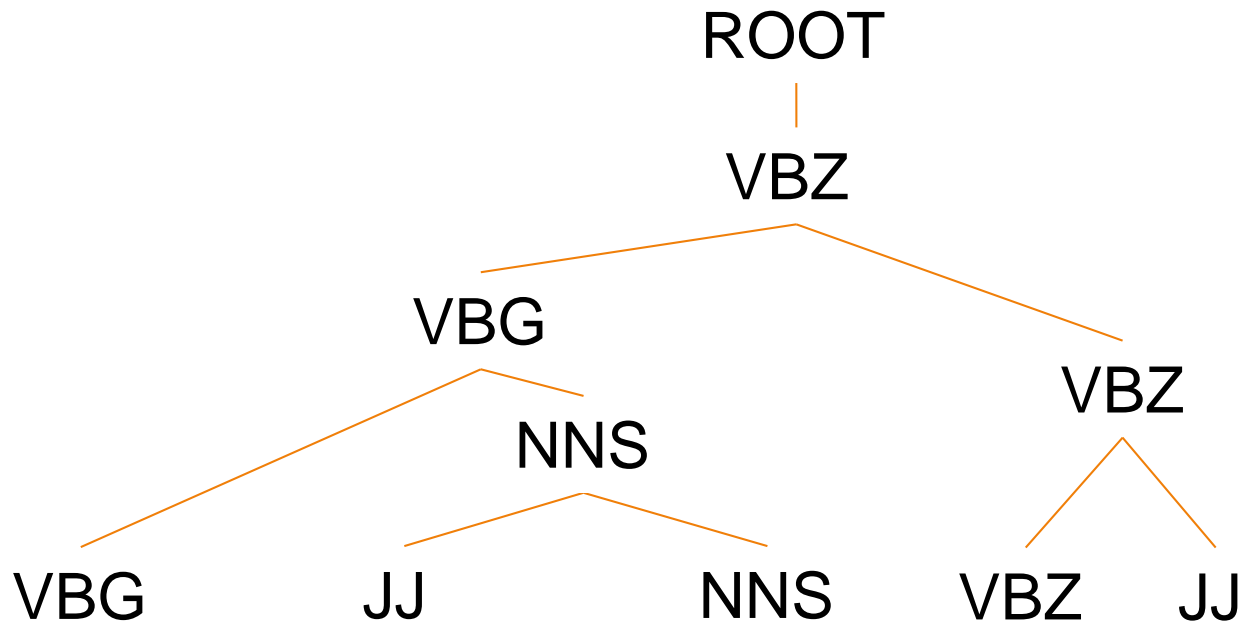
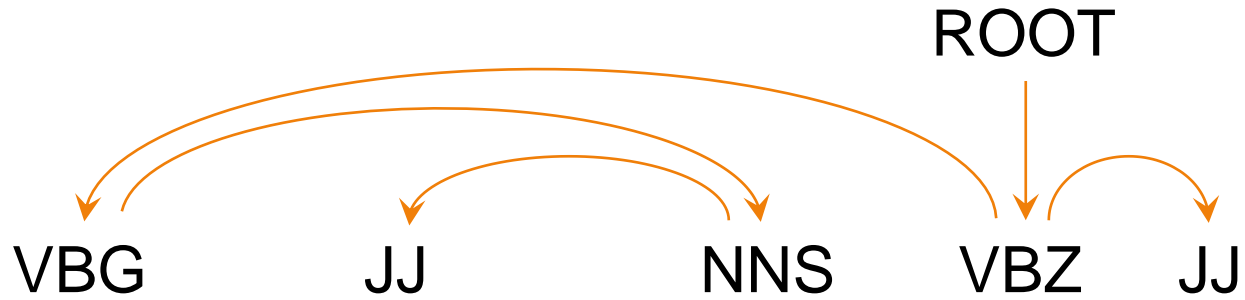
$C \rightarrow c$

$D \rightarrow d$

$E \rightarrow e$

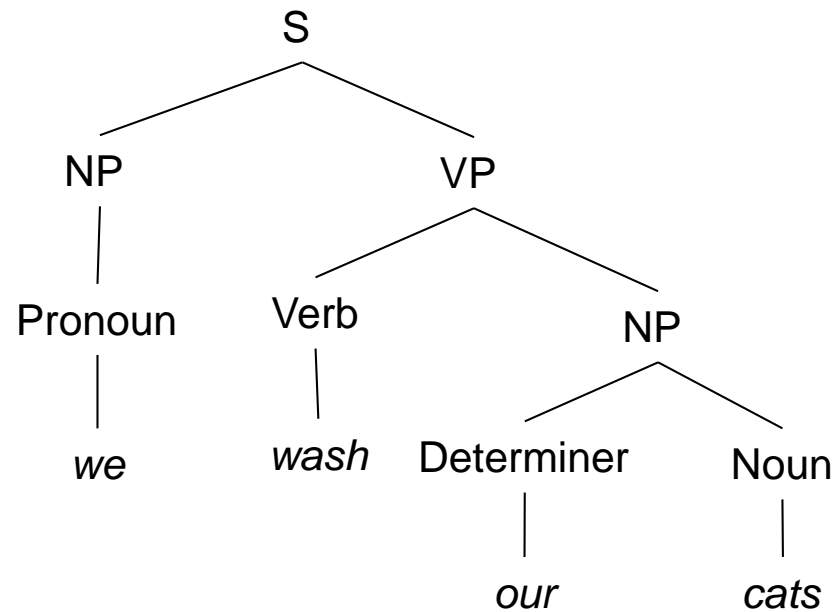


DG vs. CFG



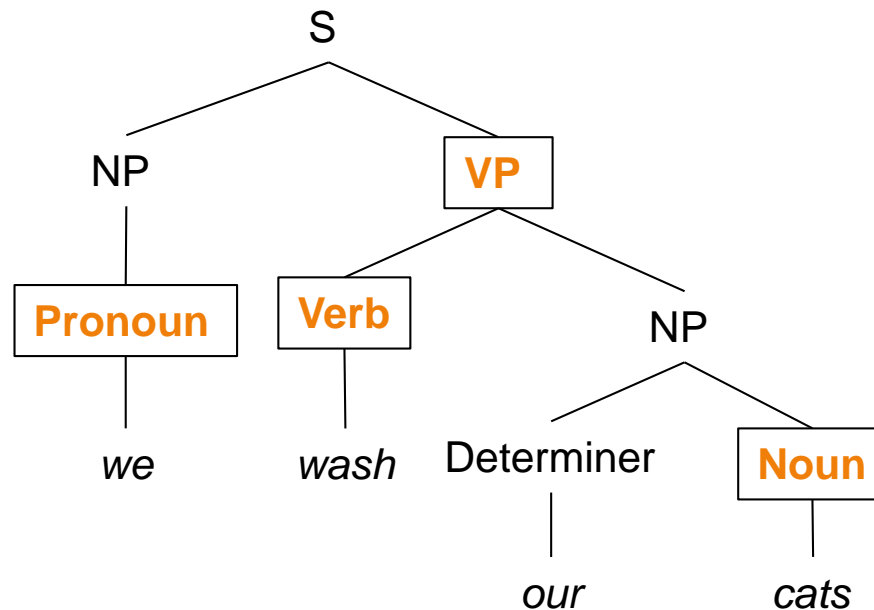
Conversion

- ▶ From a constituent tree to a dependency tree
 - ▶ Constituent tree



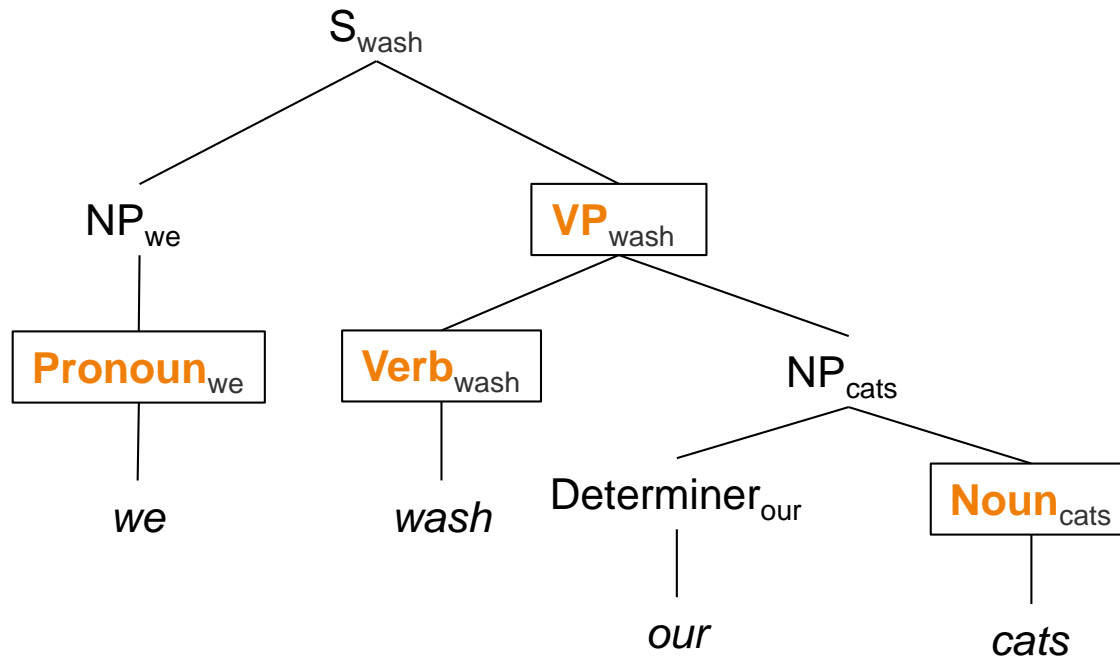
Conversion

- ▶ From a constituent tree to a dependency tree
 - ▶ Constituent tree with heads



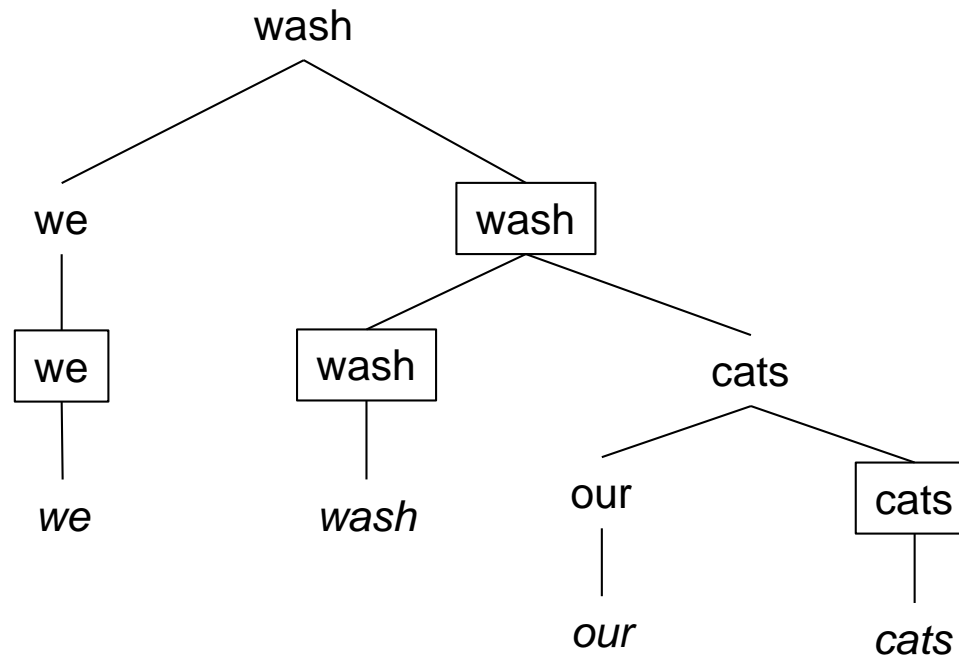
Conversion

- ▶ From a constituent tree to a dependency tree
 - ▶ Constituent tree with heads, lexicalized



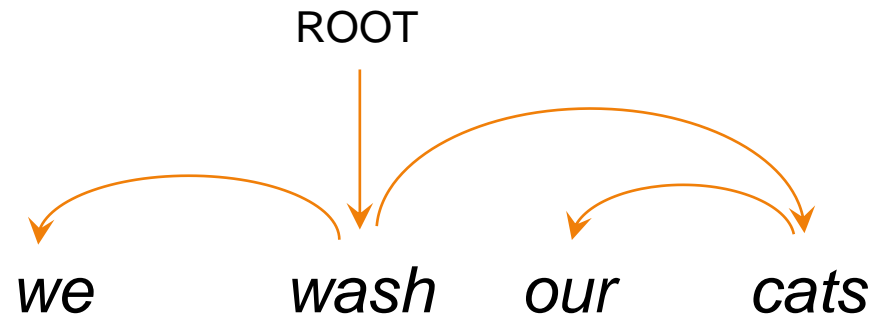
Conversion

- ▶ From a constituent tree to a dependency tree
 - ▶ Constituent tree with heads, lexicalized



Conversion

- ▶ From a constituent tree to a dependency tree
 - ▶ Dependency tree



Summary

- ▶ Grammars
 - ▶ (Probabilistic) context-free grammars
 - ▶ Regular grammars
 - ▶ Dependency grammars
- ▶ Parsing
 - ▶ CYK

