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# **Extending MGS-TES Temperature Retrievals in the Martian**

# 2 Atmosphere up to 90 km: Retrieval Approach and Results

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# 9 Abstract

- 10 This paper describes a methodology for performing temperature retrievals in the Martian atmosphere in the 60-90 km altitude range using spectrally integrated 15 μm CO<sub>2</sub> limb emissions 11 12 measured by the Thermal Emission Spectrometer (TES), the infrared spectrometer on-board the 13 Mars Global Surveyor (MGS). We show that a limited number of limb-geometry sequences 14 observed by this instrument are characterized by a high enough signal-to-noise ratio (SNR) to allow 15 extending the upper limit of the retrievals to ~90 km. Using the methodology described in the 16 paper, we have retrieved ~1200 individual temperature profiles from the MGS TES limb observations in the altitude range between 60 and 90 km. The set of retrieved temperature profiles 17 18 is available for download in supplemental materials of this paper. The temperature retrieval 19 uncertainties are mainly caused by noise in the observed radiance, and are estimated to be about 20 3 K at 60 km and below, 4 K at 70 km, 7 K at 80 km, 10 K at 85 km, and 20 K at 90 km. We 21 compare the retrieved profiles to the Martian Year 24 (MY 24) dataset of the Mars Climate 22 Database (MCD) and SPICAM measured temperature profiles for MY 27 and find good qualitative 23 agreement. Quantitatively, our retrieved profiles are in general warmer and demonstrate strong 24 profile-to-profile variability. The warm bias is explained by the selection of high SNR limb scans 25 and can be estimated and taken into account (values in brackets below correspond to corrected 26 TES). Overall, the average difference between the TES-retrieved temperatures and the MCD MY 24 dataset is: 7 (6) K at 60 km, 9 (7) K at 70 km, 10 (5) K at 80 km, and 13 (7) K at 90 km. 27 28 The root-mean-square of the temperature variability caused by gravity waves estimated in this work 29 is 7 K at 60 km, 8 K at 65 km, 11 K at 70 km, 15 K at 75 km, 18 K at 80 km, and 20 K at 85 km.
- 30 Keywords: temperature retrievals, middle atmosphere of Mars, remote sensing.

#### 1. Introduction

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- 32 The TES instrument on the MGS orbiter mapped the atmosphere of Mars for more than three 33 Martian years allowing an unprecedented survey of the present Martian climate. The TES 34 observations have been used to retrieve temperature profiles (Conrath et al. 2000), aerosol optical 35 depth and physical properties (e.g. Pearl et al. 2001; Smith et al. 2001; Smith 2004; Clancy et al. 36 2003; Wolff and Clancy 2003), and water vapor abundance (Smith 2002; 2004). Most of the previously reported temperature retrievals from TES cover the atmosphere up to the ~0.01 mbar 37 38 level, which corresponds to ~60 km altitude. The upper limit for the currently available TES 39 retrievals was set in accordance with the signal-to-noise ratio of the observations used in the 40 constrained linear inversion algorithm applied for temperature retrievals (Conrath et al., 2000). 41 However, in the limb observation mode measurements were performed at higher altitudes, up to 42 ~120 km altitude. In this work we used a forward-fitting temperature retrieval algorithm and 43 applied it to a spectrally integrated CO<sub>2</sub> 15 µm limb radiance to extend the upper altitude of 44 temperature retrievals to 90 km and to provide high-altitude temperature data for Martian Years 45 (MY) 24 and 25. Temperature soundings in the middle atmosphere of Mars are of great 46 significance since they can give an important insight into the dynamics of the region that is linked 47 with convective instabilities and gravity wave (GW) propagation and dissipation (e.g. Heavens et 48 al., 2010 and references therein) and can provide additional constraints on tidal and gravity wave 49 drag needed for modeling work (e.g. Forget et al., 1999; Forbes and Miyahara, 2006; Hartogh et al., 50 2007; McDunn et al., 2010; Medvedev et al., 2011; Bougher et al., 2011).
- 51 The structure of this paper is as follows: Section 2 describes the TES instrument. In Section 3 we 52 discuss the specifics of the CO<sub>2</sub> 15 µm emission in Martian atmosphere, define the integrated 53 radiance that will be used for temperature retrievals, and introduce the radiance profile selection 54 criteria. Section 4 describes the non-LTE code ALI-ARMS (see the Appendix for abbreviations not 55 explained in the text), the temperature retrieval algorithm, and the retrieval uncertainties. In 56 Section 5 we present the retrieved temperature profiles and compare them to averaged temperature 57 profiles from the Mars Climate Database (MCD) dataset (Forget et al., 1999; Lewis et al., 1999; 58 Gonzales-Galindo et al., 2005). A discussion of similarities and differences is also included into

#### 2. TES instrument

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61 MGS began mapping operations from Mars orbit on 1 March 1999 (MY 24, Ls = 104°) and

this section. Finally, we present our conclusions in Section 6.

- 62 provided nearly continuous monitoring of conditions in the Martian atmosphere for over three full
- Martian years. The MGS mission ended on 2 November 2006 when the contact with the spacecraft

64 was lost. The TES instrument (Christensen et al. 2001) systematically measured and monitored the 65 Martian surface and atmosphere throughout all phases of the mission. The imminent failure of a 66 neon bulb required for calibration of the spectrometer forced spectrometer observations to end on 67 31 August 2004 (MY 27; Ls=81°), although observations continued using the TES bolometers. The 68 near-polar solar-locked mapping orbit allowed 12 orbits per day of data at roughly 2:00 AM and 69 2:00 PM local time. A majority of observations were taken in the nadir geometry, but limb 70 observations of the Martian atmosphere were included roughly every 10 degrees of latitude 71 throughout the orbit (both day and night) throughout the mission. Each 8.3 mrad detector field-of-72 view had a projected size of about 13 km at the limb, allowing vertical resolution of just over a 73 scale height. In a limb-geometry sequence, the 3x2 array of TES detectors scanned the atmosphere 74 in overlapping steps from below the surface to about 120 km tangent height above the surface 75 providing vertical sampling of about 1 to 5 km (see more details in (Christensen et al., 2001)). The 76 total number of limb geometry spectra obtained during the mission is about 750,000. The spectral resolution of the TES instrument is 5 cm<sup>-1</sup> and 10 cm<sup>-1</sup>. In this work we used 10 cm<sup>-1</sup> resolution 77 spectra (Fig. 2). We show that a limited number of limb geometry spectra taken during the first two 78 79 Martian years have SNR that allows an extension of temperature retrievals up to 90 km altitude. 80 The selection criteria for these spectra are described in Section 3.2.

# 81 3. CO<sub>2</sub> 15 μm radiance measured by TES

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82 In the gas phase, carbon dioxide (CO<sub>2</sub>) molecular vibrations involve combinations of symmetric 83 stretch  $(v_1)$ , covalent bond bending  $(v_2)$ , and asymmetric stretch  $(v_3)$  modes. The diagram in Fig. 1 shows the ground state and various excited vibrational levels of the main CO<sub>2</sub> isotope (<sup>16</sup>O<sup>12</sup>C<sup>16</sup>O) 84 up to an energy of 3900 cm<sup>-1</sup>. The vibrational structure of minor isotopes is similar to that of the 85 main isotope and is not shown in Fig. 1. The levels in Fig. 1 are marked in accordance with the 86 Herzberg notation  $v_1v_2^lv_3$  where  $v_1$ ,  $v_2$ , and  $v_3$  denote the number of the corresponding vibrational 87 quanta and the l symbol refers to the orbital quantum number. The 15  $\mu$ m radiance measured by the 88 89 TES instrument and used for temperature retrievals in this study arises from the optical transitions 90 from vibrationally excited states with  $\Delta v_2 = I$ , where  $\Delta v_2$  denotes the change in the  $v_2$  vibrational 91 quanta number.

#### 3.1. $CO_2(v_2)$ vibrational levels populations in the Martian atmosphere

The interpretation of TES 15  $\mu$ m limb radiance profiles requires knowing the populations of the corresponding  $CO_2(v_2)$  vibrational levels at the altitudes of the limb observations. In general, in the lower atmosphere of planets the frequency of inelastic molecular collisions is sufficiently high that these collisions overwhelm other population/depopulation mechanisms of the molecular vibrational

levels. This leads to a local thermodynamic equilibrium (LTE), and the populations follow the Boltzmann distribution governed by the local kinetic temperature. In the middle and upper atmosphere, where the frequency of inelastic collisions is much lower than that at lower altitudes. other processes also influence the vibrational levels population. These include: a) the direct absorption of solar radiance; b) absorption of the radiance coming from the lower atmosphere; c) radiative de-excitations; d) vibrational-translational (V–T) energy exchanges by collisions with molecules and atoms of other atmospheric constituents; and e) collisional vibrational-vibrational (V–V) energy exchange with other molecules. As a result, LTE no longer applies in this altitude region and the populations must be found by solving the self-consistent system of kinetic equation and radiative transfer equation, which express the balance relations between various excitation/deexcitation processes described above. Our calculations show that for the CO<sub>2</sub>(v<sub>2</sub>) vibrational levels in the Martian atmosphere the LTE-breakdown is observed at heights greater than ~80 km and that  $CO_2(v_2)$  populations depend mainly on the balance between processes b(0) - e(0) and the most important rate coefficient that influences the population of  $CO_2(v_2)$  vibrational levels in the upper atmosphere of Mars is k<sub>VT</sub>{CO<sub>2</sub>-O}, the quenching rate coefficient for V-T energy exchange process at CO<sub>2</sub>–O collisions (Feofilov et al, 2011 and references therein). The sensitivity of the retrieval to this coefficient will be discussed below.

# 3.2. TES spectra selection and processing

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In this work we used a spectrally integrated 15 µm CO<sub>2</sub> band radiance in the retrievals to increase SNR and to extend the upper limit of the retrieved temperature profile. In a sense, using the integrated radiance converts the spectrometer to a broadband radiometer like, for example, the SABER instrument (Russell et al., 1999) that was successfully used for temperature retrievals in the Earth's atmosphere up to ~110 km altitude from the 15 µm broadband radiance profiles. Spectra selection for integration and processing was based on two criteria: a limb sequence should a) provide a sufficient number of measured points above 60 km altitude and b) SNR for the integrated signal should be greater than unity up to at least 85 km. The calculations performed in this work show that both during the day and night in the Martian atmosphere, the 15 µm CO<sub>2</sub> band radiance is confined within the 560–770 cm<sup>-1</sup> spectral range. Below we will refer to this range as to "spectral window A". Besides this window, we have also defined windows B (510–560 cm<sup>-1</sup>) and C (770-830 cm<sup>-1</sup>) that were used to estimate the noise and offset in the observed signal. The calculations show that all spectral line intensities in windows B and C are less than 1% of the line intensities in the maximum of the 15 µm manifold. For the purpose of the present work we fit for a second order polynomial using the spectral points in windows B and C, and then subtract the corresponding offset values from the spectral intensities in window A. Examples of the resulting spectra in the 61–89 km altitude interval are shown in Fig. 2a–f. As one can see, the suggested procedure efficiently removes the offsets at all considered altitudes.

The integrated values of the 15-µm radiance in the 560–770 cm<sup>-1</sup> spectral range with offset 133 removed are shown in Fig. 2g. This panel also contains the NER (noise equivalent radiance) value 134 135 estimated from windows B and C. As one can see in Fig. 2g, for a given limb sequence the NER becomes comparable to the integrated signal at ~86 km altitude. The number of measurements for 136 137 which the NER allows retrievals up to 90 km altitude is small compared with the total number of limb measurements. There are two reasons for that. First, the altitude coverage of limb 138 139 measurements differs and not all limb sequences provide valid data points up to 90 km altitude. 140 Second, the increasing noise in TES observations as the mission went on limited the limb scans 141 with "good SNR" up to 90 km to the first two Martian years of the mission. We also note that selecting high SNR scans may introduce a positive offset to the average retrieved temperature 142 143 profile as we will show below in Sect. 5.2. However, the selection routine does not modify any 144 individual temperature profile used in the study nor does it add to the retrieval errors. We will 145 estimate the systematic error caused by the high SNR scan selection in Sect. 5.2. Overall, we have identified 1410 limb sequences that satisfy the SNR and altitude coverage criteria. The 146 147 latitudinal/seasonal distribution of the selected measurements is presented in Fig. 3. As one can see, 148 most of the selected observations correspond to nighttime cases. This needs some explanation. The 149 day-night temperature difference at 85 km altitude due to diurnal thermal tide is ~7 K (McCleese et 150 al., 2008) and the expected 15 µm radiance increase due to that temperature change is ~20%. Another 15–20% increase in 15 µm radiance comes from the redistribution of the absorbed solar 151 152 energy (see Fig. 1). However, this 40% radiance increase is compensated by the higher daytime 153 TES noise level that leads to filtering out more measurements during daytime than during 154 nighttime.

155 All of the selected limb sequences were processed using the retrieval procedure described in the

next section.

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# 4. ALI-ARMS research code and retrieval algorithm

# 158 4.1. ALI-ARMS research code

All of the calculations performed in this work were made using the ALI-ARMS computer code (see Kutepov et al., 1998; Gusev, 2003; Gusev and Kutepov, 2003; and references therein) that solves the multi-level problem using the Accelerated Lambda Iteration (ALI) technique developed for calculating non-LTE populations of atomic and ionic levels in stellar atmospheres (Rybicki and

Hummer, 1991). The code iteratively solves a set of statistical equilibrium equations and the

164 radiative transfer equation. The algorithm efficiency is ensured by the ALI technique, which avoids 165 the expensive radiative transfer calculations for the photons trapped in the optically thick cores of 166 spectral lines. The ALI-ARMS model was successfully applied by Kaufmann et al. (2002; 2003) 167 and Gusev et al. (2006) to the non-LTE diagnostics of spectral observations of the Earth's limb 168 from the CRISTA instrument (Offermann et al., 1999; Grossmann et al., 2002). Kutepov et al. 169 (2006) used ALI-ARMS to validate temperature retrievals from the 15 µm CO<sub>2</sub> emissions measured by the SABER instrument onboard the terrestrial TIMED satellite. This code was also 170 171 used for the H<sub>2</sub>O non-LTE model validation (Feofilov et al., 2009) and for the k<sub>VT</sub>{CO<sub>2</sub>-O} rate 172 coefficient estimate from the atmospheric observations (Feofilov et al., 2011 and references 173 therein). 174 In this work we used the CO<sub>2</sub> non-LTE model developed in our group (Gusev, 2003; Kutepov et al., 2006). The full model includes 350 vibrational levels of 7 CO<sub>2</sub> isotopes but as Gusev (2003) 175 176 showed, for most of the applications it is enough to include five CO<sub>2</sub> isotopes, 60 vibrational levels, 177 and 20,000 optical transitions from the HITRAN 2004 database (Rothman et al. 2005) so we used 178 this optimized model The retrieval method implemented in the ALI-ARMS code is similar to the 179 iterative onion-peel technique using the relaxation method described in Gordley and Russell (1981) 180 for LTE. We will describe here the algorithm for non-LTE temperature retrieval but this approach 181 is applicable also for trace gas retrievals. The process starts with the initial temperature profile 182 combined with pressure, VMRs of CO<sub>2</sub> and atomic oxygen distributions taken from the MCD. The 183 non-LTE populations are estimated and used for monochromatic limb radiance calculations. These 184 radiances are integrated for each limb path and an integrated profile is convolved with the TES 185 field of view. The resulting simulated radiance I is compared to the measured radiance at each tangent height, and the temperature profile is iterated using the following relaxation scheme: 186  $T_{i+1} = T_i + (I_{meas} - I_i)/(\partial I/\partial T)$  where  $T_{i+1}$  and  $T_i$  are the temperature values at the i+1-th and i-th 187 188 iterations, respectively,  $I_{meas}$  is the integrated limb radiance measured by TES (see Section 3.2) 189 above),  $I_i$  is the simulated limb radiance at the *i*-th iteration, and  $(\partial I/\partial T)$  is the numerically 190 calculated derivative of the radiance produced by the forward model with respect to temperature. 191 Finally, a new temperature profile is produced, pressure profile is adjusted using the hydrostatic 192 law, the new non-LTE populations of CO<sub>2</sub> molecular levels are calculated, and the radiance is 193 simulated again. The iterations are repeated until the differences between the simulated and 194 measured radiances become equal to or smaller than the radiance noise in the observed integrated 195 limb radiance. It is important to note that if iteration is allowed to proceed indefinitely, then it will 196 converge to an "undesirable exact solution" or quickly is getting unstable (Houghton et al, 1984, 197 p.148). However, a convergence analysis demonstrates that broad-scale features converge rapidly,

and the fine-scale features (often associated with the signal noise) converge slowly, so the reasonable "physical solution" may be found if the iteration is stopped at the right point (see below).

201 In this work we ran the retrievals up to 100 km altitude even though the estimates show that the 202 upper limit for SNR=1 in TES temperature retrievals is 90 km. This was done deliberately. Even 203 though the individual radiance values in 90-100 km layer are comparable to NER, the integrated 204 radiance of the layer has SNR larger than 1 and, therefore, still carries the information about the 205 area. Temperature values retrieved in this layer combined with the model temperature profile above 206 ensure proper radiance add-on for the line-of-sight of the instrument in limb observation mode. 207 These temperatures cannot be considered as true ones since they compensate for the difference 208 between the model atmosphere and the real one above 100 km. However, as a result of using the 209 90–100 km layer the temperature retrievals below are less affected by the uncertainties of the 210 atmosphere above (see the self-consistency tests in the next section).

### 4.2. Self-consistent retrievals, convergence of the method, and retrieval uncertainties

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212 To illustrate the convergence of the method we have performed a self-consistent retrieval using 10 213 Martian atmospheric profiles corresponding to seasons and latitudes shown in Fig. 25 and Fig. 28 214 of (Kleinböhl et al., 2009). We have selected three representative cases for demonstrational purposes. Atmosphere #1 corresponds to tropical case (latitude =  $0^{\circ}$ ) and the atmospheres #2 and 215 216 #3 represent high latitudes in the Northern and Southern hemispheres, respectively (latitude =  $80^{\circ}$ N and  $80^{\circ}$ S) for L<sub>s</sub>= $330-340^{\circ}$ . Temperature distributions up to 0.01 Pa level were 217 218 taken from (Kleinböhl et al., 2009) and supplemented with the MCD atmospheric profiles available 219 used for download at http://johnson.lmd.jussieu.fr:8080/las/servlets/dataset. We 220 pressure/temperature, CO<sub>2</sub>, and O VMR profiles covering the 0–200 km altitude range. To simulate 221 the GW-induced temperature variations we combined the average temperature deviations for the 222 corresponding models from (Kleinböhl et al., 2009) with the temperature variations estimated from the RMS wind values from (Medvedev et al., 2011) above 0.01 Pa level assuming the 223 224 proportionality of these parameters (Medvedev, private communication, 2012). As a result, we obtained the temperature RMS profile  $\langle \Delta T(z) \rangle$  up to the turbopause altitude. Then we "generated" 225 a GW for each test atmosphere by combining two harmonics with random wavelengths and phases 226 227 (17 and 25 km for the examples shown in Fig. 4). The GW amplitude was modulated by  $\langle \Delta T(z) \rangle \cdot \sqrt{2}$  producing waves with up to ±35 K amplitude at 85–100 km. The "random" pattern of 228 229 the wave was kept the same for all cases to simplify tracing noise induced effects in retrieved 230 temperatures. The obtained waves were applied to the corresponding average temperature

6·10<sup>-12</sup>cm<sup>3</sup>s<sup>-1</sup>, the value that is typically retrieved from the atmospheric observations on Earth 232 (Sharma and Wintersteiner, 1990; Ratkowski et al., 1994; Gusev et al., 2006; Feofilov et al., 2011). 233 First, we calculated the non-LTE populations of the CO<sub>2</sub> vibrational levels at all heights and then 234 235 calculated the limb radiance for each spectral line in window A and at each tangent height. After 236 that, the radiance was spectrally integrated, convolved with the vertical field of view (FOV) of the TES instrument, and the resulting integrated 15  $\mu$ m radiance formed the reference profile  $I_{ref}(z)$ 237 for the corresponding atmospheric model. Three retrievals were performed for each of the test 238 239 atmospheres: two noise-free retrievals that started from temperature profiles that were 25 K warmer 240 and colder than the original one, and one retrieval starting from the 25 K warmer profile with noise 241 added to the reference radiance. The noise was estimated from real TES spectra for the corresponding season and locations using spectral information in windows B and C. The 242 243 hydrostatic adjustment of the pressure-temperature profile was performed at each of the iterations 244 as it is done in the standard ALI-ARMS temperature retrieval process. It is convenient to define an 245 additional convergence criterion for the retrieval process as a weighted relative radiance deviation in the 60–90 km altitude range:  $(\Delta I_i/I)_{R.M.S.} = \left[\sum_{id=60}^{90} ((I_{ref}^{id} - I_i^{id})/I_{ref}^{id})^2/(90-60+1)\right]^{1/2}$  where  $I_{ref}^{id}$  is 246 a "reference" limb radiance for tangent height id and  $I_i^{id}$  is the radiance simulated at the i-th 247 iteration for the same tangent height. We remind here that in real retrievals the reference radiance 248 profile  $I_{ref}(z)$  is substituted with measured radiance profile  $I_{meas}(z)$ . The behavior of  $(\Delta I/I)_{R.M.S.}$ 249 250 for all three test atmospheres is shown in Fig. 4a. As one can see, noise-free retrievals demonstrate rapid convergence up to the 6-7th iteration. After that the convergence continues but at a slower 251 252 rate. The corresponding temperature profiles obtained at the 11th iteration are close to the original 253 profile (see the temperature deviation from the original profile in Fig. 4b-d). However, further 254 iterations do not lead to better agreement between the retrieved and original profiles. This is 255 explained by a) large vertical FOV of the instrument that "mixes" the effects of different heights; 256 b) hydrostatic adjustment that redistributes the changes occurring in the lower part to the whole 257 atmosphere. Overall, the uncertainties caused by the retrieval methodology are within  $\pm 4K$  limits 258 for all three test atmospheres. One can trace the GW-induced temperature variation pattern (beating 259 frequency) in the residuals in Fig. 4b–d but its magnitude is less than 1 K at heights up to 90 km. 260 Naturally one should expect larger errors in retrieving GWs with a wavelength shorter than doubled 261 value of FOV. Adding noise complicates the situation. The convergence becomes slower (see the 262 corresponding solid lines with circles in Fig. 4a) and there is almost no improvement in  $(\Delta I/I)_{R,M,S}$  after the 6th iteration (the NER level is reached). Solid lines with circles in Fig 4b-d 263

distributions. The top of the atmosphere (TOA) was set at 200 km, and k<sub>VT</sub>{CO<sub>2</sub>-O} was set to

show the discrepancy between the retrieved temperature profile and the reference one for the 6th iteration. Note the similarity of the response of all three retrievals to adding the same noise pattern to the reference radiance profile.

Another important factor that might affect the retrievals is the uncertainty of the atmospheric profile below and above the retrieval area. The lower part may affect the retrieval through hydrostatics while the upper part may change the absorption and emission along the line of sight in the limb observation mode. To estimate these effects we performed a set of retrievals for the same three model Martian atmospheres. For simplicity, we demonstrate them on noise-free, GW-free retrievals starting from the original temperature distributions. For these tests we only changed the temperature profiles above and below the retrieval area by  $\pm 20 \text{ K}$  and  $\pm 5 \text{ K}$ , respectively. All the other combinations (0 K change below 50km, ±20 K above 100 km and so on) have demonstrated smaller effects on the retrieval and are not shown here. Figure 5 demonstrates that ±5 K changes below 50 km altitude do not significantly affect the retrievals in 50-90 km area (overall offset caused by these changes is less than  $\pm 1$  K). On the other hand,  $\pm 20$  K modification of temperature profile above 100 km leads to ±6 K offsets at 90 km altitude for Atmosphere #3 and smaller offsets for other two test atmospheres.

Changing k<sub>VT</sub>{CO<sub>2</sub>-O} to 1.5·10<sup>-12</sup>cm<sup>3</sup>s<sup>-1</sup>, the value obtained in laboratory measurements (Pollock et al., 1993; Khvorostovskaya et al., 2002; Castle et al., 2006; Huestis et al., 2008) modifies the retrieved profile in the entire range of the retrieval. This is explained by the non-LTE effects in CO<sub>2</sub>: reducing the collisional rate coefficient leads to less efficient thermalizing of the vibrational levels that become more populated by radiance coming from the warmer and denser layers below. This leads to overestimating the radiance and, therefore, to underestimating the temperature. The temperature uncertainty caused by the k<sub>VT</sub>{CO<sub>2</sub>-O} change from "atmospheric" to "laboratory" value is about 3 K above 65 km. Finally, "removing" the 110–200 km part of the atmosphere (TOA 110 in Fig. 5) doesn't significantly affect the 60–90 km retrieval area since missing radiance is compensated in the retrieval process by increasing the temperature in the 90–100 km layer. However, lowering TOA introduces positive offset so we opted not to lower TOA. Overall, running the retrievals with random noise profiles and summing up all the uncertainties listed in this section provides the following estimate for the uncertainty of the single profile retrieval: 3 K at 60 km, 4 K at 75 km, 7 K at 80 km, and 10 K at 85 km altitude.

#### 5. Temperature retrievals from TES measurements

# 5.1. Input data and retrieval procedure

All the retrievals performed in this study utilized the retrieval algorithm described in Section 4. For each of the 1410 integrated TES radiance profiles (see Section 3.2 and Fig. 3) the initial guess atmospheric profile was assembled from pressure/temperature profiles in the 10-60 km altitude range retrieved by the TES team using nadir geometry observations (Conrath et al. 2000; Smith 2004), and from pressure/temperature, CO<sub>2</sub> and O VMRs taken from the MCD in the 60–200 km interval. The retrieval was performed over the 50–100 km range. The upper 10 km layer of this interval was used to damp the effects of uncertainties in the upper atmosphere (see Section 4.2) and is included in the retrieved temperature dataset only for quality control purposes (the larger the gradient in the 90–100 km layer, the lager the difference between the model atmospheric profile above 100 km, and the real one). The 50-60 km interval was included in the retrieval to allow for temperature profile adjustment in this area due to changes in the upper part. The retrieval procedure was described in Sect. 4.1. For the majority of the profiles the  $(\Delta I/I)_{R,M,S}$  calculated for 60–90 km altitude range reached the value calculated with the corresponding NER (estimated using windows B and C) between the 7th and 10th iteration. Approximately 14% of the processed profiles were filtered out due to poor convergence (compare small filled and large empty circles and triangles in Fig. 3).

In the next section we present 1214 temperature profiles that satisfied these convergence criteria.

# 5.2. Retrieved temperature profiles

- The complete set of individual temperature profile retrievals is available for download as an archive
- at http://cua-nasa-gsfc.info/feofilov/TES HighAlt.zip or in the supplemental materials of the online
- version of the paper. For a description of the dataset see the file "readme.txt" inside the archive. In
- 317 this work we present some examples of individual retrievals and compare the averaged profiles
- with those from the MCD (using the standard MY 24 dust and average flux scenario) and with
- 319 temperature distributions obtained from stellar occultation observations by the SPICAM instrument
- discussed in (Forget et al., 2009).
- 321 Three examples of individual temperature profiles retrieved from integrated TES radiance are
- shown in Fig. 6a-c. Below ~55 km the retrievals match the initial temperature profile. Above
- 323 60 km, the retrieved temperature profiles differ from the original MCD profile and, in general,
- 324 individual temperature retrievals show much higher variability than the model profiles. This may be
- 325 explained by gravity waves in the Martian atmosphere, which are not present in the averaged model
- 326 dataset.

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- 327 Lack of temperature measurements in the Martian atmosphere for the period considered in the
- 328 study hinders a direct profile-by-profile comparison with other instruments. Therefore, we compare

329 the TES temperature retrievals with the MCD MY 24 simulations for the corresponding seasons 330 and with the SPICAM temperature for MY 27. For the MCD comparison we used the zonally and 331 seasonally (with a 30° step over L<sub>s</sub>) TES-retrieved temperatures. MCD temperature distributions 332 were averaged over the same period and for the same latitudinal belt and local time. For SPICAM 333 comparison we took the MY 27 dataset described in (Forget et al., 2009), which were retrieved for 334 top temperature of 175 K (top temperature value does not affect the SPICAM retrievals below 335 110 km). It is important to note here that these retrievals do not depend on non-LTE effects since 336 the SPICAM instrument observes the atmosphere in occultation mode and its high spectral resolution allows tracking only the transitions from the ground state whose population can be 337 338 considered to be equal to the total CO<sub>2</sub> density. 339 Figure 7 shows six panels which correspond to six latitudinal/seasonal "boxes" defined in Fig. 3. In this figure we compare MGS-TES retrievals for MY 24 with MCD simulated profiles and SPICAM 340 measured temperature distributions for MY 27. Figure 8 demonstrates the MY 24 and MY 25 341 temperature retrieval comparisons with MCD temperatures. The profiles are marked in the figure 342 captions with L<sub>s</sub> counted from the year the mission started (MY 24) so that L<sub>s</sub>=390° corresponds to 343 MY 25,  $L_s$ =30°. The SPICAM distributions in Fig. 7 are shown down to ~60 km, the altitude below 344 345 which the airborne dust leads to overestimating the retrieved temperatures (Quemeralis et al., 2006; Forget et al., 2009). The bins for the TES data correspond to SPICAM bins. Error bars for SPICAM 346 347 in the 60-90 km layer are almost constant and are equal to  $\pm$  10K. They are not shown for the 348 readability of the figure. Lines with empty circles in Fig. 7 and Fig. 8 show standard variability of 349 TES-retrieved temperatures in the considered bin. Error bars for individual TES temperature 350 retrievals are given at the end of Sect. 4.2. The number of averaged TES profiles is indicated in 351 figure caption. 352 As one can see, there is a qualitative agreement between the TES, SPICAM, and the MCD 353 temperature profiles. However, the absolute temperature values differ. In general, MGS retrieves 354 higher than MCD and SPICAM retrieves lower temperatures than MCD above ~80 km. An analysis of our entire retrieval set in comparison to the MCD profiles for the same seasons and locations 355 356 gives the following values for the offset: 7 K at 60 km, 8 K at 65 km, 9 K at 70 km, 10 K at 75 km, 357 10 K at 80 km, 11 K at 85 km, and 13 K at 90 km. One can also note large variability for TES-358 retrieved temperature distributions. This is expected since our TES retrievals represent individual 359 profiles while the MCD profiles do not represent GW variability. The increase of TES profiles 360 variability with altitude can be explained by the growth of GW amplitudes in the Martian 361 atmosphere (Fritts et al., 2006). Accounting for the noise-induced variability, one obtains the 362 following values for the RMS of vertical temperature variability caused by GW: 7 K at 60 km, 8 K

at 65 km, 11 K at 70 km, 15 K at 75 km, 18 K at 80 km, and 20 K at 85 km. This is comparable with the RMS of GW amplitude inferred from MCS observations and wind RMS (see Sect. 4.2).

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Let us consider possible reasons for the positive temperature offset. First, there might be deviations of the real atmospheric conditions for a given period from that modeled by the GCM and measured by SPICAM 3MY later. It is known that there were at least two major dust storm events during the considered time period (Smith, 2004): one at L<sub>s</sub>=225° and one at L<sub>s</sub>=260° that might be the cause for large temperature deviation shown in Fig. 7d. Second, the retrieval algorithm and non-LTE model uncertainties may be partially responsible for the offset (see Sect. 4.2). Third, the offset may be caused by radiance calibration issues like the vertical field of view wing shape or by the spectra selection procedure (see Sect. 3.2). A radiance leak from below would be barely noticeable if the vertical radiance gradients are low. However, if an area with low temperatures and pressures is observed then the contributions of lower layers become important (Rezac, 2011). And last but not least, positive offset in our TES retrievals can be linked with the limb sequence selection criteria described in Sect. 3.2 since using a selecting procedure that discards the observations with low SNR may filter out the low temperature cases that are characterized by low radiance values. We consider this as the most probable source of the observed systematic offset. To estimate its value we performed the following study. For each of the time periods and locations shown in Fig. 7 we have built a distribution of SNR maximal height using all available TES data. The maxima of these distributions are centered at individual heights H<sub>SNR=1</sub> that are lower than 85 km SNR=1 cutoff used for scans selection. The typical values of H<sub>SNR=1</sub> are about 70 km and the difference between H<sub>SNR=1</sub> and 85 km can be used for characterizing the temperature offset introduced by our scan selection criteria. Calculations performed with the help of ALI-ARMS show that the temperature change required to compensate for low SNR at 85 km depends on the atmospheric scenario and varies from 2 K to 4 K per 1 km of ( $85 - H_{SNR=1}$ ) difference. The corresponding values are given in Fig. 7 and Fig. 8 legends. We assume that the temperature profile variability is caused mainly by gravity waves that penetrate these heights in Martian atmosphere (Medvedev et al., 2011 and references therein) and that the scan selection routine only picks up "positive" waves with respect to average temperature profile. Therefore, we assigned the estimated temperature offset to 85 km with a linear decrease down to 50 km altitude. Solid curves with triangles in Fig. 7 and Fig. 8 show the average temperature distributions compensated for scan selection effects.

As one can see, in 11 cases out of 12 shown in Fig. 7 and Fig. 8 the suggested correction leads to better agreement between the MCD and TES retrievals above ~60 km and the averaged temperature offset reduces to 6 K at 60 km, 7 K at 65 km, 7 K at 70 km, 6 K at 75 km, 5 K at 80 km, 6 K at 85 km, and 7 K at 90 km.

#### 6. Conclusion

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398 We have described a non-LTE model and algorithm that is applied to retrieve temperature profiles in the Martian atmosphere using the 15 µm limb geometry CO<sub>2</sub> emissions measured by the TES 399 instrument. We used integrated limb radiance in the 560–770 cm<sup>-1</sup> spectral range to increase the 400 401 SNR and to extend the retrievals up to ~90 km altitude. We showed that the temperature retrieval 402 algorithm provides a stable solution. The combined uncertainty of the temperature retrieval due to 403 noise, non-LTE model uncertainties, vertical FOV averaging, and algorithm properties for a single retrieval is estimated to be 3 K at 60 km and below, 4 K at 70 km, 7 K at 80 km, 10 K at 85 km, 404 405 and 20 K at 90 km altitude. Overall, ~1200 individual limb sequences have been selected, 406 processed, and provided for download. The temperature profiles retrieved from TES at 60 – 90 km 407 altitude were compared to corresponding profiles obtained from the Mars Climate Database and 408 from the SPICAM observations. In general, a good qualitative agreement between the datasets is 409 observed. A positive offset of the TES retrieved temperatures with respect to the MCD 410 temperatures was found that varies from 7 K at 60 km to 13 K at 90 km. We explain this offset both 411 by natural atmospheric variability and by the filtering out of some of the low temperature profiles in our signal selection routine. Compensating for the high SNR scan selection reduces the 412 difference between TES and MCD to 6 K at 60 km, 7 K at 65 km, 7 K at 70 km, 6 K at 75 km, 5 K 413 at 80 km, 6 K at 85 km, and 7 K at 90 km. The RMS of the gravity wave amplitude estimated from 414 the TES retrievals performed in this work is 7 K at 60 km, 8 K at 65 km, 11 K at 70 km, 15 K at 415 75 km, 18 K at 80 km, and 20 K at 85 km. In summary, this study extends the limits of the already 416 417 highly capable TES instrument, and validates the general concepts built in to the Martian GCMs.

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#### 423 Appendix: Abbreviations used in text

- 424 ALI-ARMS: Accelerated Lambda Iterations for Atmospheric Radiation and Molecular Spectra
- 425 CRISTA: CRyogenic Infrared Spectrometers and Telescopes for the Atmosphere
- 426 FOV: field of view
- 427 GCM: General Circulation Model
- 428 GW: Gravity Wave

- 429 HITRAN: HIgh-resolution TRANsmission molecular absorption database
- 430 LTE: Local Thermodynamic Equilibrium
- 431 MGS: Mars Global Surveyor
- 432 MY 24: Martian Year 24
- 433 NER: Noise Equivalent Radiance
- 434 RMS: Root Mean Square
- 435 SABER: Sounding of the Atmosphere using Broadband Emission Radiometry
- 436 SNR: Signal to Noise Ratio
- 437 TOA: Top of the Atmosphere
- 438 TES: Thermal Emission Spectrometer
- 439 TIMED: Thermosphere Ionosphere Mesosphere Energetics and Dynamics
- 440 VMR: Volume Mixing Ratio
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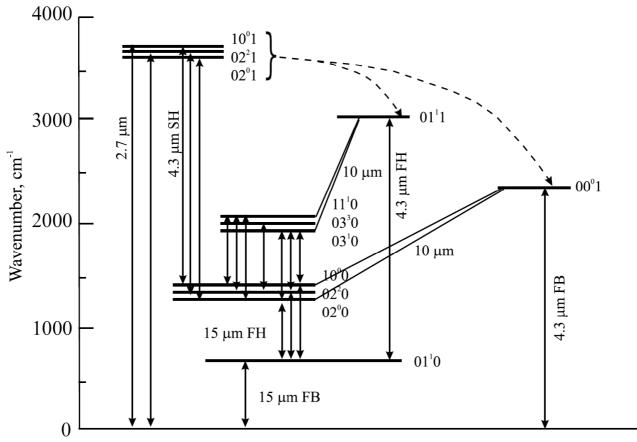


Fig. 1. Scheme of vibrational level and major energy exchange processes for CO<sub>2</sub> and its isotopes. Solid lines: radiative transitions, dashed lines: V–V energy transfer from solar pumped levels. V–T processes are not shown. FB: fundamental band; FH: first hot band; SH: second hot band.

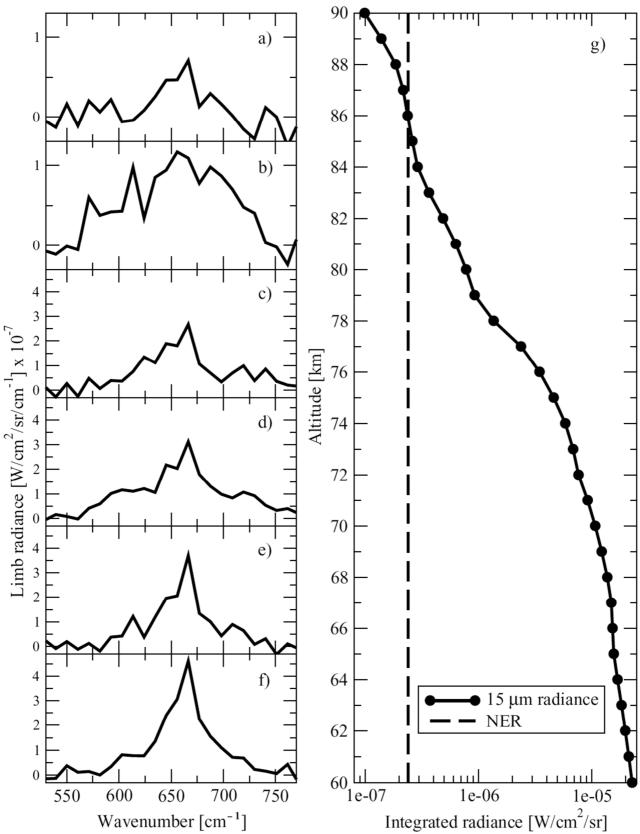


Fig. 2. MGS TES spectra over  $530-770 \text{ cm}^{-1}$  at: a) 88.6 km; b) 82.6 km; c) 75.6 km; d) 71.4 km; e) 68.2 km; f) 60.8 km. Panel g) shows the  $15 \mu \text{m}$  radiance integrated over the  $560-772 \text{ cm}^{-1}$  spectral range. Noise equivalent radiance (NER) was estimated from the points outside the integration interval:  $508-560 \text{ cm}^{-1}$  and  $772-825 \text{ cm}^{-1}$ .

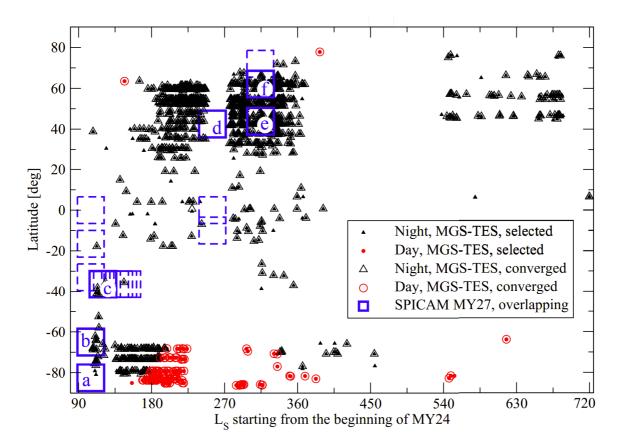


Fig. 3. Seasonal and latitudinal coverage of the MGS TES limb sequences used in this work. Circles: daytime observations. Triangles: nighttime observations. Filled small circles and triangles: observations satisfying the selection criteria. Empty big circles and triangles: observations for which the retrieval algorithm converged. Small rectangles with dashed outline: SPICAM observations for MY27; rectangles with solid outline and a letter: SPICAM observations for MY27 overlapping with TES retrievals and selected for intercomparison in Fig. 8.

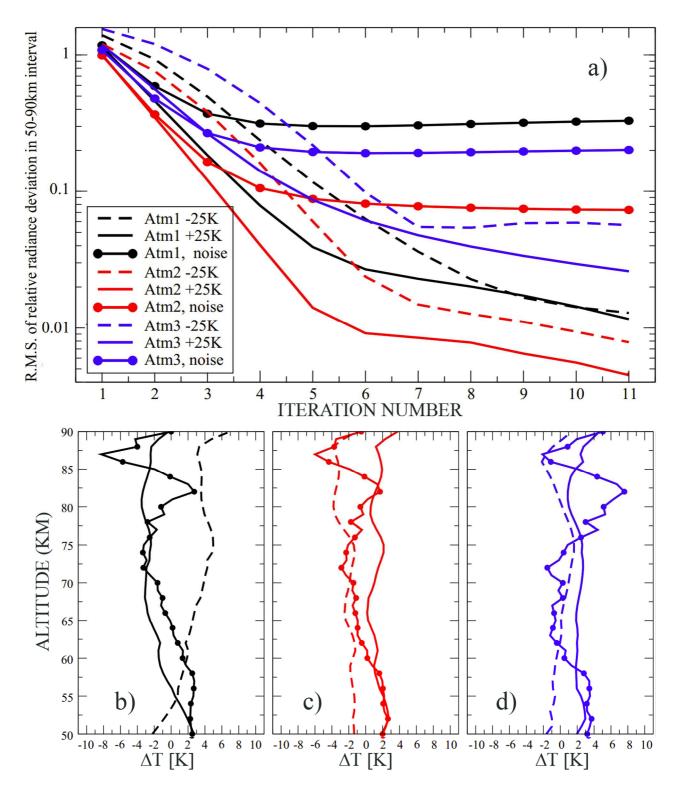


Fig. 4. Self-consistent retrievals for the temperature profiles measured by MCS (Kleinböhl et al., 2009) with GW-perturbation applied: a) convergence of the method for the noise-free retrievals (solid and dashed curves) and retrievals with added noise (solid lines with circles); b)—d): temperature errors for the retrievals starting with 25 K warmer/colder temperature profile (solid and dashed curves, respectively), and for the 25 K warmer temperature profile with added noise.

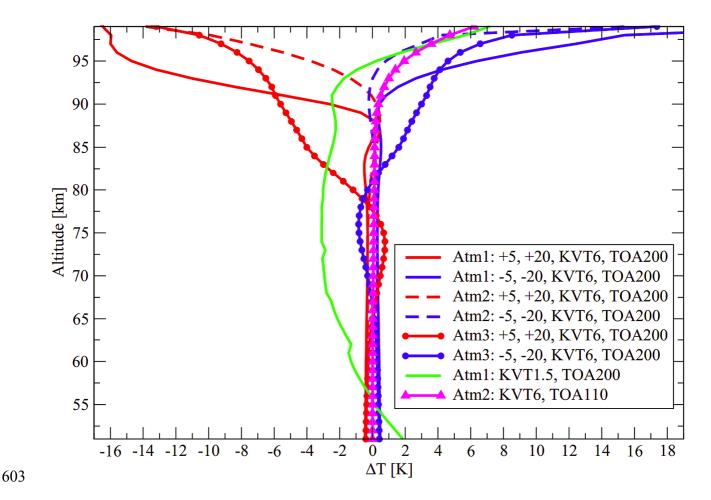


Fig. 5. Sensitivity of temperature retrievals to changes in temperature profile below and above the retrieval range, to  $k_{VT}\{CO_2\text{-}O\}$  variations, and to top of atmosphere changes. The retrieved temperature profiles are compared to the "reference" one: no modification of temperature profile, top of atmosphere (TOA) at 200 km,  $k_{VT}\{CO_2\text{-}O\}=6\cdot10^{-12}\text{cm}^3\text{s}^{-1}$ . The reference profile was used to obtain reference 15 µm  $CO_2$  radiance profile. Test atmospheres #1,2,3 are described in text. The first value in the legend refers to temperature profile change below 50 km altitude while the second value represents temperature profile shift above 100 km. KVT1.5 corresponds to retrieval performed with  $k_{VT}\{CO_2\text{-}O\}=1.5\cdot10^{-12}\text{cm}^3\text{s}^{-1}$ .

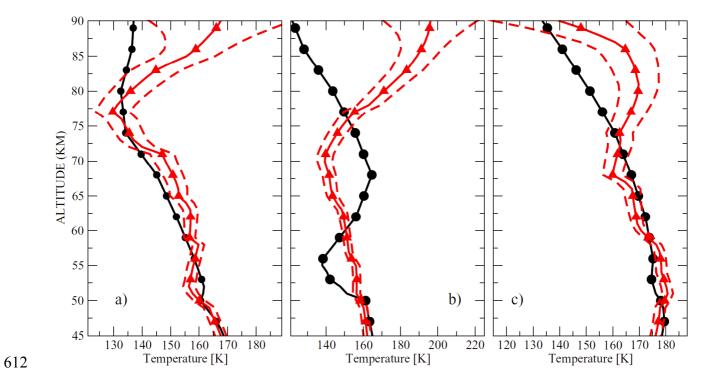


Fig. 6. Temperature retrieval examples: a)  $L_s=110^\circ$ , lat=62S; b)  $L_s=135^\circ$ , lat=6S; c)  $L_s=180^\circ$ , lat=59N. Curves with circles: initial temperature profile (TES below 50 km; MCD above 50 km). Curves with triangles: temperature retrievals performed in this work. Dashed lines: error bars for temperature retrievals.

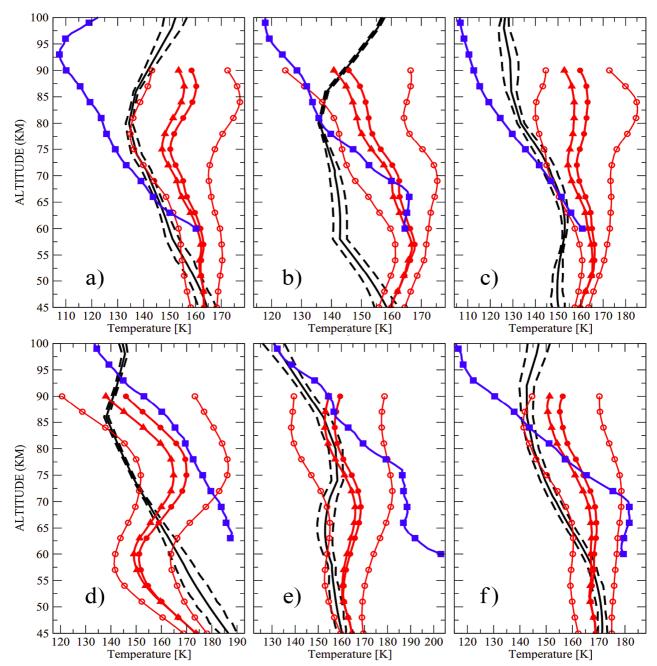


Fig. 7. Comparing TES temperatures (this work) with SPICAM MY27 temperature distributions (Forget et al., 2009) for areas a)–f) defined in Fig. 3 and with MCD simulations. Solid lines: MCD; dashed lines: MCD standard deviations for given latitude and season; lines with filled circles: averaged TES retrievals (this work); lines with empty circles: TES profiles standard deviations (see text and Fig. 6 for TES error bars); lines with triangles: TES profile corrected for high SNR scan selection (see text); lines with filled squares: SPICAM retrievals (Forget et al., 2009): a)  $L_s = 90-120^\circ$ , lat= 60S-70S, 9 profiles, 5 K compensation for high SNR selection; b)  $L_s = 90-120^\circ$ , lat=70S-80S, 7 profiles, 5 K; c)  $L_s = 110-130^\circ$ , lat = 31S-41S, 4 profiles, 7 K; d)  $L_s = 240-270^\circ$ , lat = 30N-55N, 23 profiles, 8 K; e)  $L_s = 309-319^\circ$ , lat = 30N-55N, 43 profiles, 5 K; f)  $L_s = 309-319^\circ$ , lat = 57N-67N, 9 profiles, 5 K.

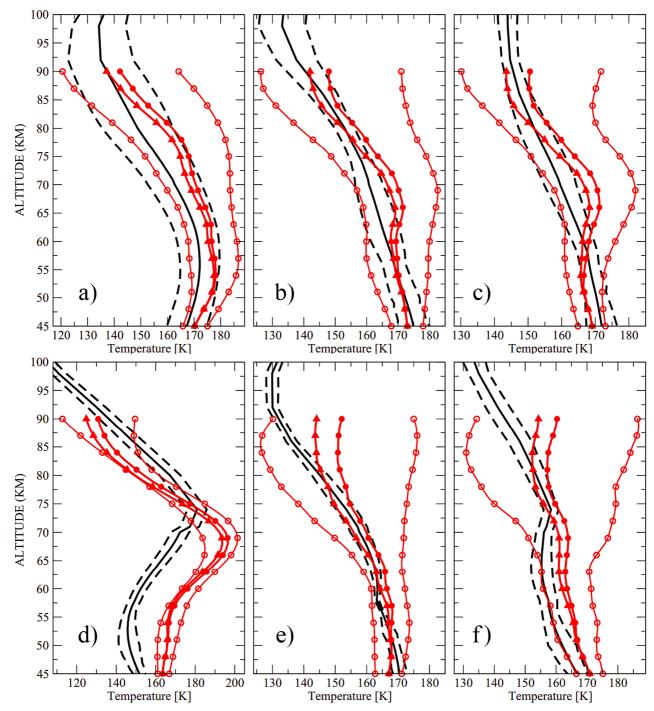


Fig. 8. Same as in Fig. 7 but only for TES versus MCD profiles comparison: a)  $L_s$ =165–195°, lat = 65–75S, 49 profiles, 5 K compensation for high SNR selection; b)  $L_s$ = 180–225°, lat = 50–65N, 150 profiles, 6 K; c)  $L_s$ =285–315°, lat = 55–65N, 25 profiles, 7 K; d)  $L_s$ = 345–375°, lat = 20S–20N, 5 profiles, 6 K; e)  $L_s$ = 345–375°, lat = 55–65N, 16 profiles, 8 K; f)  $L_s$ = 650–685, lat = 40–55N, 14 profiles, 6 K.