政大資科系

作業系統

Operating System

廖峻鋒

cfliao@nccu.edu.tw

Operating System

Processes

Chun-Feng Liao 廖峻鋒

Department of Computer Science
National Chengchi University

Outline

- Process Structure
- Process Scheduling
- Process Operation
- Process Communication

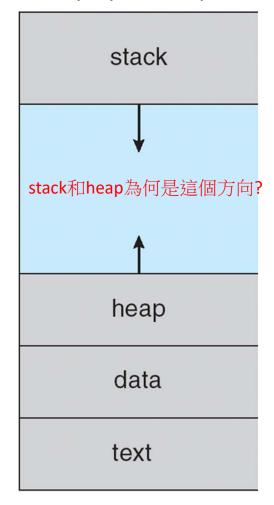
Process and Program

max

0

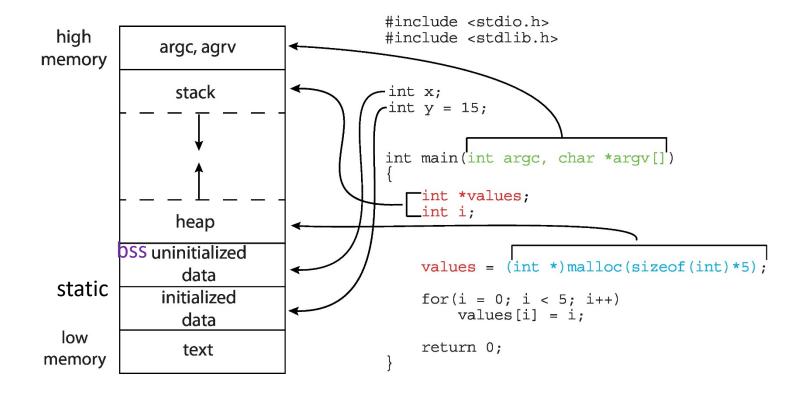
Memory layout of a process

- Process is active
 - A program in execution
- Program is passive
 - A binary file stored in the disk
- Contents
 - Status: PC and other registers' values
 - Text (code)
 - Data (static / global variables)
 - Heap (動態配置的資料型態)
 - Stack (activation records)



The activation record stores the parameters, local variables, return address of a function call

Memory Layout of a C Program

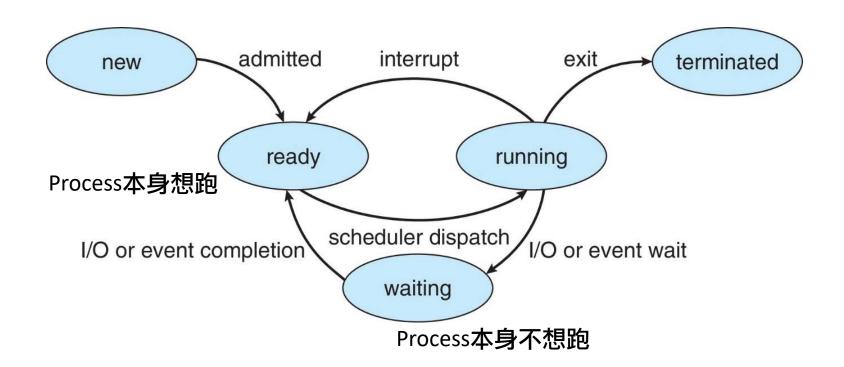


Process state

- New
 - the process is being created
- · Ready (沒在跑,但有意願跑)
 - the process is in the memory waiting to be assigned to a processor
- Running (正在跑)
 - instructions are being executed by CPU
- · Waiting (沒在跑,也沒意願跑)
 - the process is waiting for events to occur
- Terminated
 - the process has finished execution

Process state

- One process is running on a processor at any instant
- Many processes may be ready or waiting



Process Control Block (PCB)

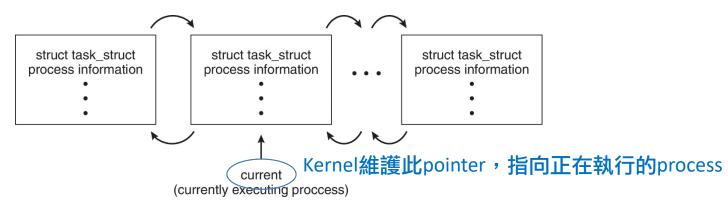
- Kernel中維護的Process資訊,每個Process都有一個PCB
 - Process state
 - Program counter Linux中稱為EIP (instruction pointer)
 - CPU registers
 - CPU scheduling information (e.g. priority)
 - Memory-management information (e.g. base/limit register)
 - I/O status information
 - Accounting information

process state
process number
program counter
registers
memory limits
list of open files

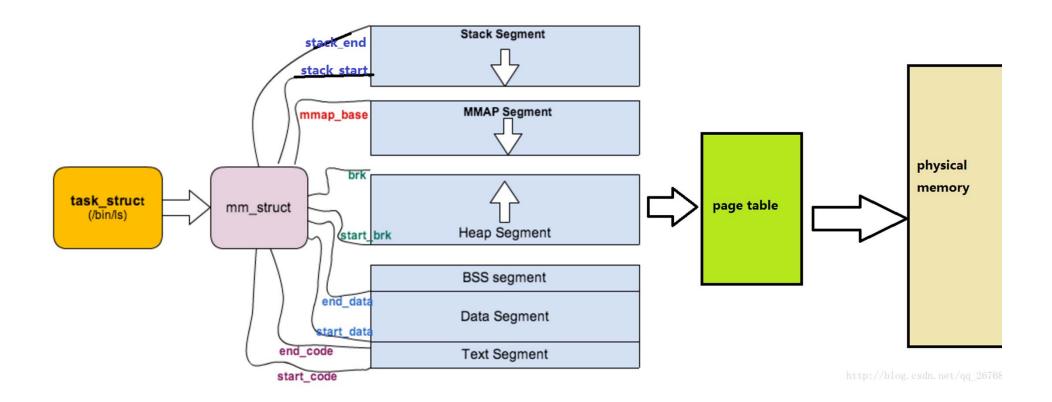
PCB in Linux

Represented by the structure task_struct

```
pid t_pid; /* process identifier */
long state; /* state of the process */
unsigned int time_slice /* scheduling information */
struct task_struct *parent;/* this process's parent */
struct list_head children; /* this process's children */
struct files_struct *files;/* list of open files */
struct mm_struct *mm; /* address space of this process */
#L876) 指向Process實際存在的記憶體位址
```



https://github.com/torvalds/linux/blob/master/include/linux/sched.h#L743

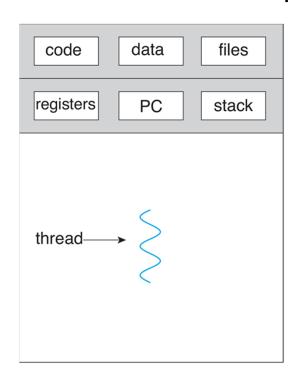


https://github.com/torvalds/linux/blob/master/include/linux/mm_types.h

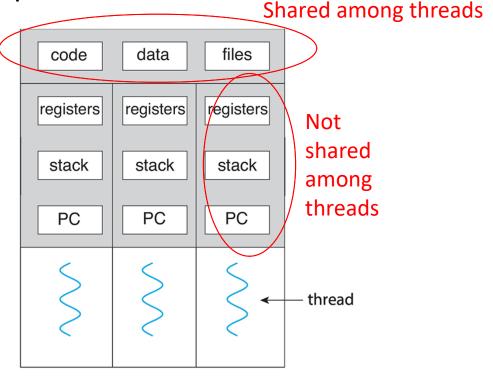
Thread

- A process may have multiple PC and register states
 - Each represent a single thread of execution

Cover more on chapter 4



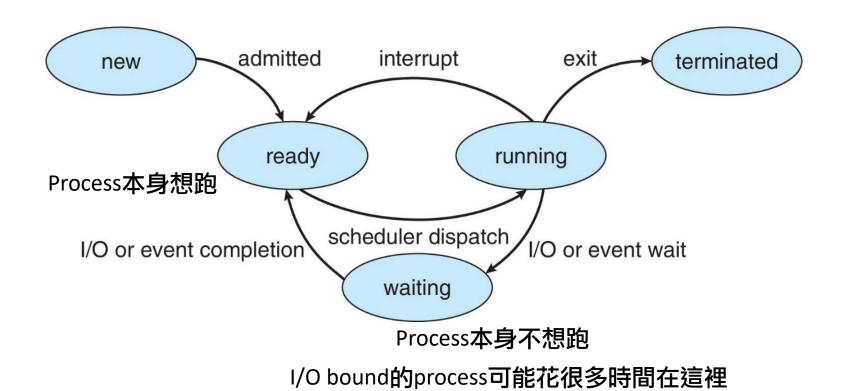
single-threaded process



multithreaded process

Process Scheduling

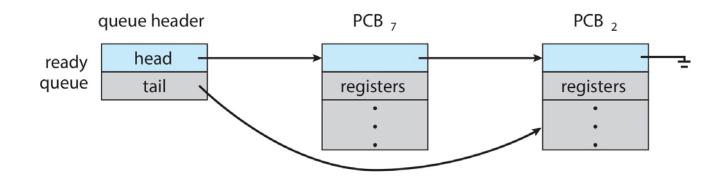
- Multiprogramming
 - CPU runs process at all times to maximize CPU utilization
 - Degree of multiprogramming: how many process in memory
- Time sharing
 - Switch CPU frequently such that users can interact with each program while it is running
- I/O bound vs. CPU bound
 - Most of the process running time spent on I/O or computation

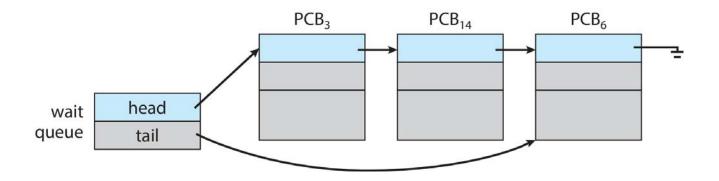


Process Scheduling Queues

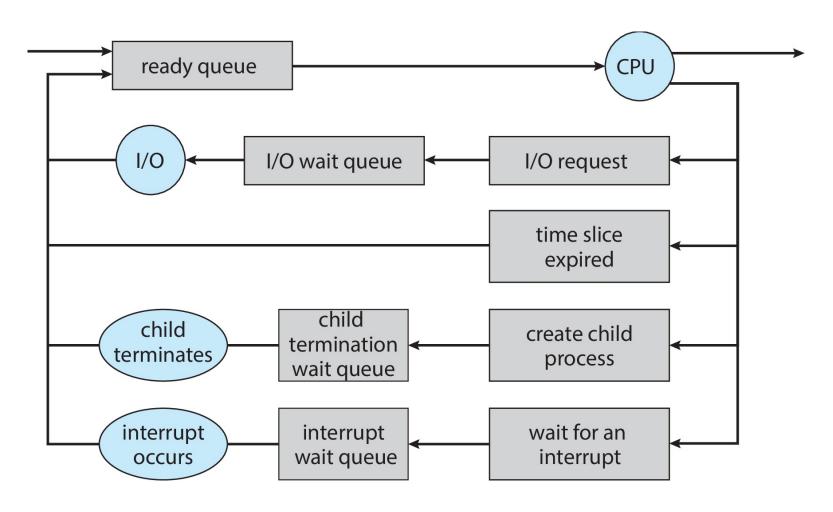
- Processes migrate between the various queues (i.e. switch among states)
 - Job queue (New State) set of all processes in the system
 - Ready queue (Ready State) set of all processes residing in main memory, ready and waiting to execute
 - Device queue (Wait State) set of processes waiting for an I/O device

Ready and Wait Queues





Process Scheduling Diagram



Note:此圖顯示了task移出CPU的四種情況

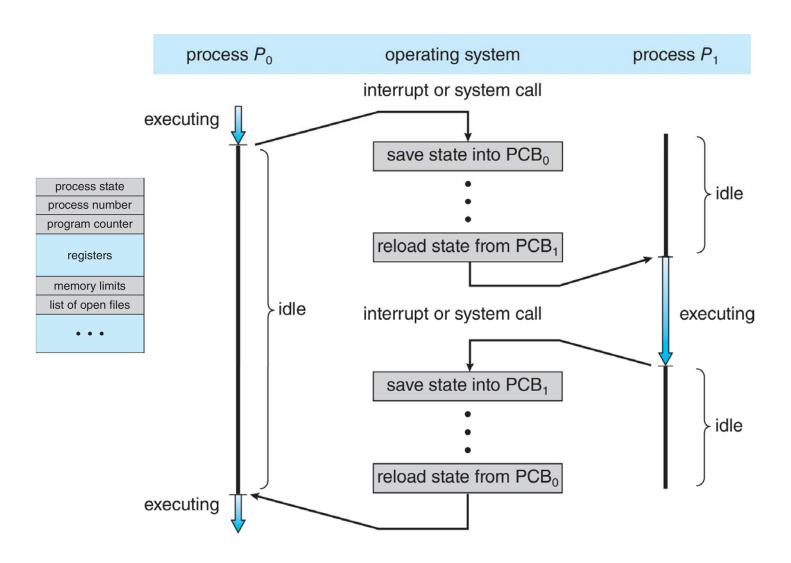
CPU Scheduling

- The role of the CPU scheduler
 - Select among the processes that are in the ready queue and allocate a CPU core to one of them
 - More on chapter 5
- Swapping
 - an intermediate form of scheduling
 - remove a process from memory > reduce the degree of multiprogramming
 - More on chapter 9

Context Switch

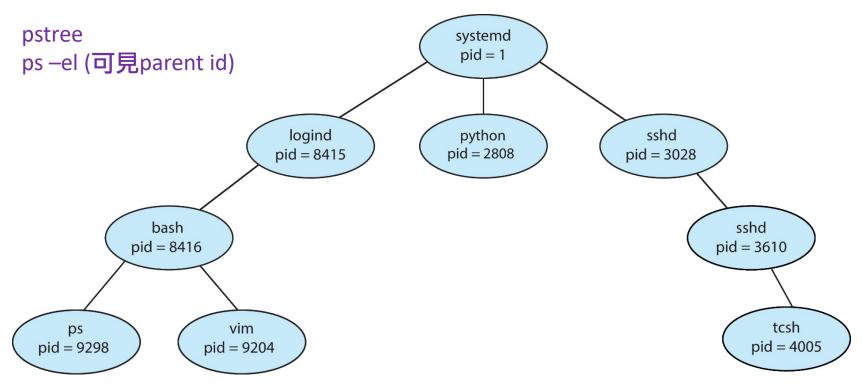
- Context Switch
 - Saves the state of the old process
 - Loads the saved state for the new process
 - Context-switch time is purely overhead
- Switch time (about 1~1000 ms) depends on
 - Memory speed
 - Number of registers
 - Existence of special instructions
 - a single instruction to save/load all registers
- Hardware support
 - Prepare multiple sets of registers
 - A context switch only changes the pointer to the register

Context Switch



Process Tree

- Each process is identified by a unique process identifier (pid)
- Each process can create new child processes

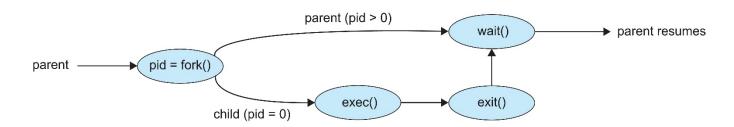


UNIX/Linux Process Creation

- fork system call
 - Create a new (child) process
 - The new process duplicates the address space of its parent
 - Child & Parent execute concurrently after fork
 - Child: return value of fork is 0
 - Parent: return value of fork is PID of the child process
- (child) execlp system call
 - Load a new binary file into memory destroying the old code
- (parent) wait system call
 - The parent waits for one of its child processes to complete

Process Creation

- An UNIX example
 - fork() system call creates new process
 - execlp() system call used after a fork() to replace the process' memory space with a new program
 - Parent process calls wait() for the child to terminate



```
int main()
          pid_t child_pid;
          child_pid = fork();
          if (child_pid < 0) { /* error occurred */</pre>
                    fprintf(stderr, "Fork Failed\n");
                     return 1;
          else if (child_pid == 0) { /* child process */
                     printf("I am the child %d\n", child_pid);
                    execlp("/bin/ls","ls",NULL);
          else { /* parent process */
                    /* parent will wait for the child to complete */
                     printf("I am the parent of %d\n",child_pid);
                    wait(NULL);
                     printf("Child Complete\n");
  return 0;
```

```
int main()
                              Parent process
                               child_pid == 1234
         pid_t child_pid;
         child_pid = fork();
         if (child_pid < 0) { /* error occurred */
                   fprintf(stderr, "Fork Failed\n");
                   return 1;
         else if (child pid == 0) { /* child process *)
                   printf("I am the child %d\n", child_pid);
                   execlp("/bin/ls","ls",NULL);
         else { /* parent process */
                   /* parent will wait for the child to complete */
                   printf("I am the parent of %d\n",child_pid);
                   wait(NULL);
                   printf("Child Complete\n");
  return 0;
```

```
int main()
                               Child process
         pid_t child_pid;
                               child_pid == 0
          child pid = fork();
          if (child pid < 0) { /* error occurred */
                   fprintf(stderr, "Fork Failed\n");
                    return 1;
          else if (child_pid == 0) { /* child process */
                   printf("I am the child %d\n", child pid);
                   execlp("/bin/ls","ls",NULL);
         else { /* parent process */
                   /* parent will wait for the child to complete */
                   printf("I am the parent of %d\n",child_pid);
                   wait(NULL);
                   printf("Child Complete\n");
  return 0;
```

```
int main()
                                Parent process
          pid_t child_pid;
                                child_pid == 1234
          child_pid = fork();
          if (child_pid < 0) { /* error occurred */</pre>
                    fprintf(stderr, "Fork Failed\n");
                    return 1;
          else if (child_pid == 0) { /* child process */
                     printf("I am the child %d\n", child_pid);
                    execlp("/bin/ls","ls",NULL);
          else { /* parent process */
                    /* parent will wait for the child to complete */
                     printf("I am the parent of %d\n",child_pid);
                   √wait(NULL);
                    printf("Child Complete\n");
  return 0;
```

```
int main()
                                Child process
         pid t child pid;
                                child_pid == 0
         child_pid = fork();
          if (child_pid < 0) { /* error occurred */</pre>
                    fprintf(stderr, "Fork Failed\n");
                    return 1;
          else if (child_pid == 0) { /* child process */
                    printf("I am the child %d\n", child pid);
                                                                   Load a new binary file
                   vexeclp("/bin/ls","ls",NULL);
                                                                   into memory –
                                                                   destroying the old code
                                  問題: 會不會輸出test?
          else { /* parent process */
                                       A:不會, code section被置換了
                    /* parent will wait for the child to complete */
                    printf("I am the parent of %d\n",child_pid);
                    wait(NULL);
                    printf("Child Complete\n");
  return 0;
```

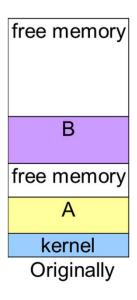
```
int main()
                                Parent process
          pid_t child_pid;
                                child_pid == 1234
          child_pid = fork();
          if (child_pid < 0) { /* error occurred */</pre>
                    fprintf(stderr, "Fork Failed\n");
                    return 1;
          else if (child_pid == 0) { /* child process */
                     printf("I am the child %d\n", child_pid);
                    execlp("/bin/ls","ls",NULL);
          else { /* parent process */
                    /* parent will wait for the child to complete */
                     printf("I am the parent of %d\n",child_pid);
                    wait(NULL);
                    printf("Child Complete\n");
  return 0;
```

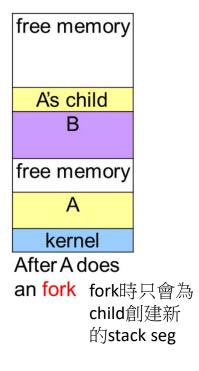
Parent and Child Process

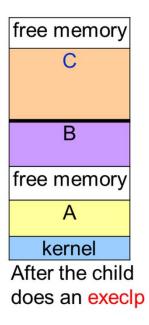
- Resource sharing: 3 modes
 - Parent and child processes share all resources
 - Child process shares subset of parent's resources
 - Parent and child share no resources
- Execution: 2 modes
 - 非同步 Parent and children execute concurrently
 - 同步 Parent waits until children terminate
- Address space: 2 modes
 - Child duplicate the parent
 - Child has a program loaded into it (execlp)

UNIX/Linux Process Creation

- Memory space of fork():
 - Old implementation: A's child is an exact copy of parent
 - Current implementation: use copy-on-write technique to store differences in A's child address space







Process Termination

- Terminate when the last statement is executed or exit()
 - All resources of the process, including physical & virtual memory, open files, I/O buffers, are deallocated
- Parent may terminate execution of children processes by specifying its PID (abort)
 - Child has exceeded allocated resources
 - Task assigned to child is no longer required
- Cascading termination (some systems enforce this)
 - killing (exiting) parent → killing (exiting) all its children

Process Termination

- Cascading termination
 - If a process terminates, then all its children must also be terminated
- Wait for child process to terminate

```
pid = wait(&status);
```

- Zombie (child早死)
 - Child process ends before the parent calls wait()
 - Child process 資源被回收,但PCB仍在
- Orphan (parent早死)

Ps. 在parent calls wait或parent結束後,pcb就會被回收

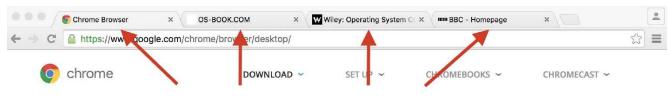
- Parent terminated without calling wait(), leaving child alone
- 換parent: Linux systemd can be the parent of all process
 - call wait() periodically to collect the orphan child
 - Also allows other process to be the parent of the orphan child

Android Process Hierarchy

- In android, the system maintains an importance hierarchy of processes
 - Terminate based on the importance of processes
- Importance hierarchy
 - Foreground process
 - Visible process
 - Service process (apparent to the user; ex: music)
 - Background process
 - Empty process

Chrome Browser

- Browsers with single process
 - If one web site causes trouble, entire browser can hang or crash
- Chrome is multi-process; 3 different types of processes:
 - Browser process manages user interface, disk and network I/O
 - Renderer process renders web pages, deals with HTML,
 JavaScript. A new renderer created for each website opened
 - Runs in sandbox restricting disk and network I/O, minimizing effect of security exploits
 - Plug-in process for each type of plug-in



Each tab represents a separate process.

Inter-process Communication

- IPC
 - Exchanging data among multiple processes
 - Shared memory; message passing
- Cooperating process
 - Share data with other processes
- Purposes
 - information sharing
 - computation speedup (viable only in multi-core CPUs)
 - modularity

Consumer & Producer Problem

 Producer process produces information that is consumed by a consumer process

```
    A buffer as the shared memory

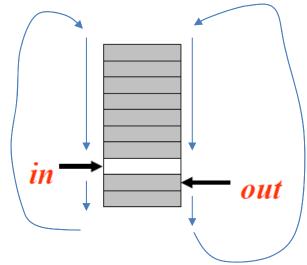
    The buffer is a circular array with size BUFFER SIZE

                                                           out
    – first free: in
                                #define BUFFER_SIZE 10
                                typedef struct {
    - first available: out
                                } item:
    - empty: in == out
                                item buffer[BUFFER_SIZE];
                                int in = 0;
    - full:
                                int out = 0:
    (in+1) % BUFFER SIZE == out
```

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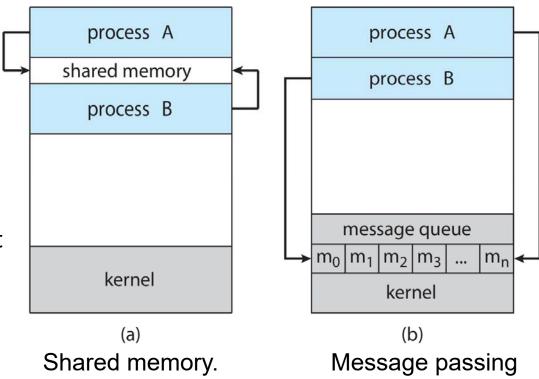
Shared-Memory Solution

```
/*producer*/
while (1) {
                              the buffer is full
   while (((in + 1) \% BUFFER_SIZE) == out)
       ; //wait if buffer is full
   buffer[in] = nextProduced;
   in = (in + 1) \% BUFFER_SIZE;
          "in" only modified by producer
/*consumer*/
while (1) {
   while (in == out); //wait if buffer is empty
   nextConsumed = buffer[out];
   out = (out + 1) % BUFFER_SIZE;
          "out" only modified by consumer
```



Communication Methods

- Shared memory:
 - Require more careful user synchronization
 - Implemented by memory access: faster speed
 - 不需要OS支援
- Message passing:
 - No sync issues: more efficient for small data
 - Use send/recv (sys call) to transmit messages
 - slower than shared memory
 - 需要OS支援



Shared Memory

- Processes are responsible for
 - Establishing a region of shared memory
 - The hosting process creates a shared-memory region resides in the address space of the process
 - The communicating processes must agree to remove memory access constraint from OS
 - Determining the form of the data and the location
 - Ensuring data are not written simultaneously by processes
 - i.e. critical section problem
 - OS不介入,通訊二方要自己實現

Examples of IPC Systems - POSIX

See https://chmodcommand.com/

- POSIX Shared Memory
 - Process first creates shared memory segment
 shm_fd = shm_open(name, O_CREAT | O_RDWR, 0666);
 int
 - Set the size of the object
 ftruncate(shm fd, 4096);
 - Use mmap() to memory-map a file pointer to the shared memory object
 - Reading and writing to shared memory is done by using the pointer returned by mmap().



https://github.com/greggagne/osc10e/blob/master/ch3/shm-posix-producer.c

```
int main()
                                               IPC POSIX Producer
/* the size (in bytes) of shared memory object */
const int SIZE = 4096;
/* name of the shared memory object */
const char *name = "OS";
/* strings written to shared memory */
const char *message_0 = "Hello";
const char *message_1 = "World!";
/* shared memory file descriptor */
int shm_fd:
/* pointer to shared memory obect */
void *ptr;
   /* create the shared memory object */
   shm_fd = shm_open(name, O_CREAT | O_RDWR, 0666);
   /* configure the size of the shared memory object */
   ftruncate(shm_fd, SIZE);
   /* memory map the shared memory object */
   ptr = mmap(0, SIZE, PROT_WRITE, MAP_SHARED, shm_fd, 0);
   /* write to the shared memory object */
   sprintf(ptr,"%s",message_0);
   ptr += strlen(message_0);
                                       使用mmap回傳的指標來寫入共享記憶體
   sprintf(ptr,"%s",message_1);
   ptr += strlen(message_1);
   return 0;
```

https://github.com/greggagne/osc10e/blob/master/ch3/shm-posix-producer.c

IPC POSIX Consumer

```
#include <stdio.h>
#include <stdlib.h>
#include <fcntl.h>
#include <sys/shm.h>
#include <sys/stat.h>
int main()
/* the size (in bytes) of shared memory object */
const int SIZE = 4096;
/* name of the shared memory object */
const char *name = "OS";
/* shared memory file descriptor */
int shm_fd;
/* pointer to shared memory obect */
void *ptr;
   /* open the shared memory object */
   shm_fd = shm_open(name, O_RDONLY, 0666);
   /* memory map the shared memory object */
   ptr = mmap(0, SIZE, PROT_READ, MAP_SHARED, shm_fd, 0);
   /* read from the shared memory object */
   printf("%s",(char *)ptr);
   /* remove the shared memory object */ 此敍述執行後, shared memory立即失效
   shm_unlink(name);
                                                  https://github.com/greggagne/osc10e/blob/m
   return 0:
                                                  aster/ch3/shm-posix-consumer.c
```

Message-Passing System

- Enable processes to communicate without sharing the same address space
 - Communicating via a queue (pipe) provided by OS
- IPC facility provides two operations:
 - Send(message) message size fixed or variable (P.128中)
 - Receive(message)
- To communicate, processes need to
 - Establish a communication link (pipe)
 - Exchange a message via send/receive

Message-Passing System

- Three issues
 - Direct or indirect communication (naming)
 - Synchronous or asynchronous communication
 - Automatic or explicit buffering

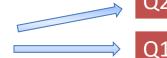
Direct communication



- Processes must name each other explicitly:
 - Send (P, message) send a message to proc P
 - Receive (Q, message) receive a message from process Q
- Properties of communication link
 - Links are established automatically among processes
 - One-to-One relationship between links and processes
- Drawback
 - The communicating parties are hard coded

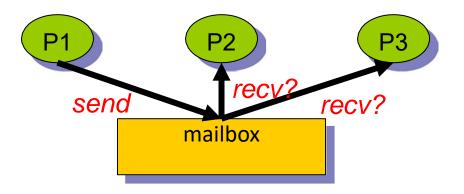
Indirect communication

- Messages are exchanged via mailboxes (ports)
 - Each mailbox has a unique ID
 - Processes can communicate if they share a mailbox
 - Send (A, message) send a message to mailbox A
 - Receive (A, message) receive a message from mailbox A
- Properties of communication link
 - Link established only if processes share a common mailbox
 - Many-to-Many relationship between links and processes
 - Link may be unidirectional or bi-directional
 - Mailbox can be owned either by OS or processes



Indirect communication

Which will receive the message sent by P1?



Solutions

- Allow a link to be associated with at most two processes
- Allow only one process at a time to execute a receive operation
- Allow the system to select the receiver

Synchronization

- Different send/recv sync combinations are possible
- Synchronous messaging passing (blocking)
 - Blocking send: sender is blocked until the message is received by receiver or by the mailbox
 - Blocking receive: receiver is blocked until the message is available
- Asynchronous messaging passing (non-blocking)
 - Non-blocking send: sender sends the message and resumes operation
 - Non-blocking receive: receiver receives a valid message or a null

Queue Buffering

- Buffer
 - Queue of messages attached to the link
- Implementation alternatives
 - Zero capacity: blocking send/receive
 - Bounded capacity: if full, sender will be blocked
 - Unbounded capacity: sender never blocks

Pipes

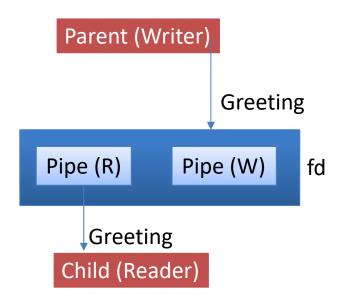
- A conduit (導管) allowing two processes to communicate
- Issues:
 - Is communication unidirectional or bidirectional?
 - In the case of two-way communication, is it half or full-duplex?
 - Must there <u>exist a relationship</u> (i.e., *parent-child*) between the communicating processes?
 - Can the pipes be used over a network?
- Ordinary pipes (parent-child)
 - A parent process creates a pipe
 - Uses the pipe to communicate with a child process

Named pipes

can be accessed without a parent-child relationship

Ordinary Pipes

- Feature
 - Ordinary pipes are unidirectional
 - Require parent-child relationship between communicating processes
- Producer writes to one end
 - the write-end of the pipe
- Consumer reads from the other end
 - the read-end of the pipe



```
#include <sys/types.h>
   #include <stdio.h>
   #include <string.h>
   #include <unistd.h>
   #define BUFFER_SIZE 25
   #define READ_END 0
   #define WRITE_END 1
   int main(void)
     char write_msg[BUFFER_SIZE] = "Greetings";
     char read_msg[BUFFER_SIZE];
     int fd[2];
     pid_t pid;
  Parent (Writer)
                      Greeting
   ×
Pipe (R)
                 Pipe (W)
                                fd
    Greeting
  Child (Reader)
```

```
/* create the pipe */
         if (pipe(fd) == -1)
            fprintf(stderr, "Pipe failed");
            return 1;
         /* fork a child process */
                                                                   Child
         pid = fork();
Parent<sup>if</sup>
                 < 0) { /* error occurred */
                htf(stderr, "Fork Failed");
                rn 1;
         if (pid > 0) { /* parent process */
            /* close the unused end of the pipe */
            close(fd[READ_END]);
            /* write to the pipe */
           write(fd[WRITE_END], write_msg, strlen(write_msg)+1);
            /* close the write end of the pipe */
            close(fd[WRITE_END]);
         else { /* child process */
            /* close the unused end of the pipe */
           close(fd[WRITE_END]);
            /* read from the pipe */
            read(fd[READ_END], read_msg, BUFFER_SIZE);
            printf("read %s",read_msg);
            /* close the read end of the pipe */
            close(fd[READ_END]);
         return 0;
                                                                    55
```

Named Pipes

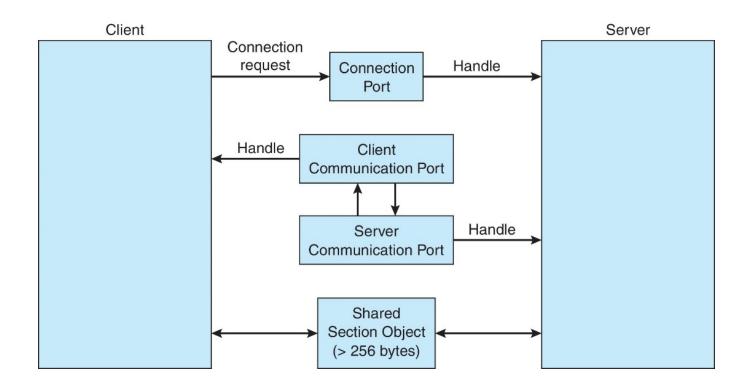
- More powerful than ordinary pipes
 - Communication is bidirectional
 - No parent-child relationship is necessary
 - Several processes can use the named pipe
- Provided on both UNIX and Windows systems
 - UNIX only supports half-duplex
 - Windows supports full-duplex

https://github.com/3p3r/node-named-pipe mkfifo

Windows ALPC

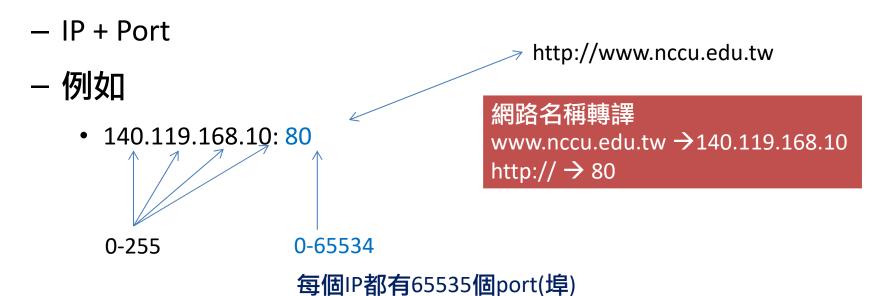
- ALPC
 - Advanced Local Procedure Call
- Steps
 - Server publishes a connection port publicly
 - Client sends a request to the connection port
 - Server setup a private channel (contains 2 communication ports)
 - Client obtain the handle of the communication port from server
 - Messaging
 - Small messages: (<= 256 bytes) directly via communication ports
 - Big messages: section object (shared memory)
 - Huge messages: direct memory access via specific API

Windows ALPC



Socket

- 網路通訊程式
 - 位於二台不同電腦的程式互相傳送訊息
- Internet上每台電腦至少有一個固定位址



IP網路通訊程式基礎

- 不同Port代表不同服務
- Well-known port

- 80: HTTP

- 443: HTTPS

- 21: FTP

- 22: SSH

- 23: Telnet



IP網路通訊程式基礎

- 特殊位址
 - $-127.0.0.1 \rightarrow localhost$
 - ・指向自己
 - 同一台電腦不同port間也可以通訊

127.0.0.1: 12345 →

127.0.0.1: 8080

- -192.168.
 - 虛擬位址 (只在LAN中有效)
- -239.
 - 群播 (multicast)

Socket Server

```
let net = require('net');
let server = net.createServer(function(s) {
                                                               設定編碼為字串
          s.setEncoding('utf8'); -
         s.on('data', function(data) {
                   console.log(s.remoteAddress);
                   console.log(s.remotePort);
                   console.log(data);
         });
});
server.listen(1337);
                                                         Port 1337
```

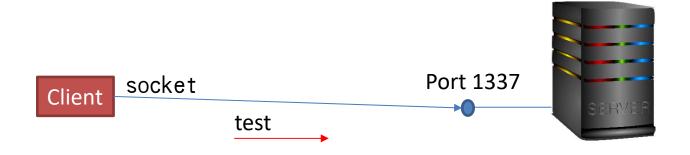
若沒有設定編碼為字串,則傳入的data會是Buffer物件若是如此,則要使用data. toString(),資料才能正常顯示。

Socket Client

```
let net = require('net');

let client = new net.Socket();

client.connect(1337, '127.0.0.1', function () {
    console.log('connected');
    client.write('test', () => console.log('written'));
    }
);
```



Remote Procedure Call (RPC)

Motivation

- A type of the direct communication mechanism
- Calling procedure on a remote host "as if" calling a local procedure

 (Saif and Greaves, 2001)
- Warning: unaware of "remoting" is considered harmful
- Approach
 - Communication between caller & callee can be hidden by using a proxied procedure-call mechanism

Call remote

Request

Call local procedure

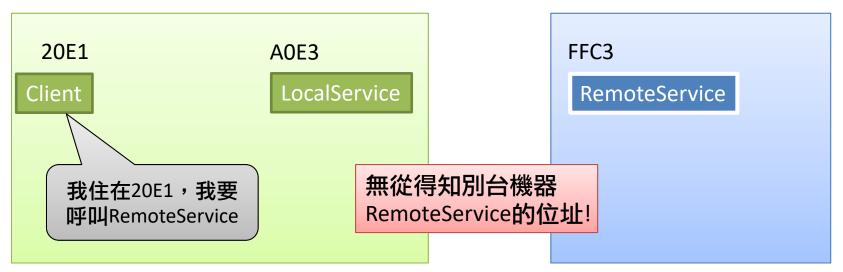
procedure

Return

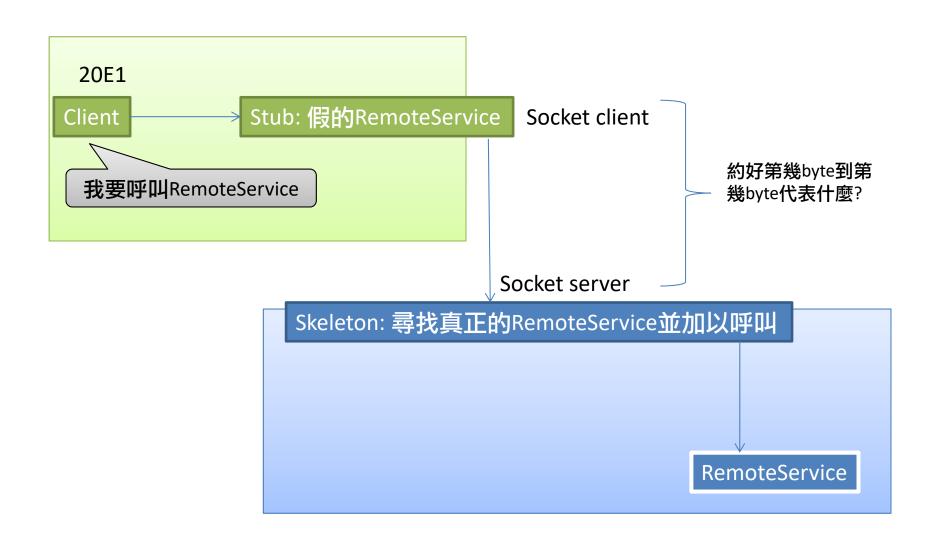
from call

Remote Procedure Calls





Remote Procedure Calls



Serialization (Marshalling) 序列化

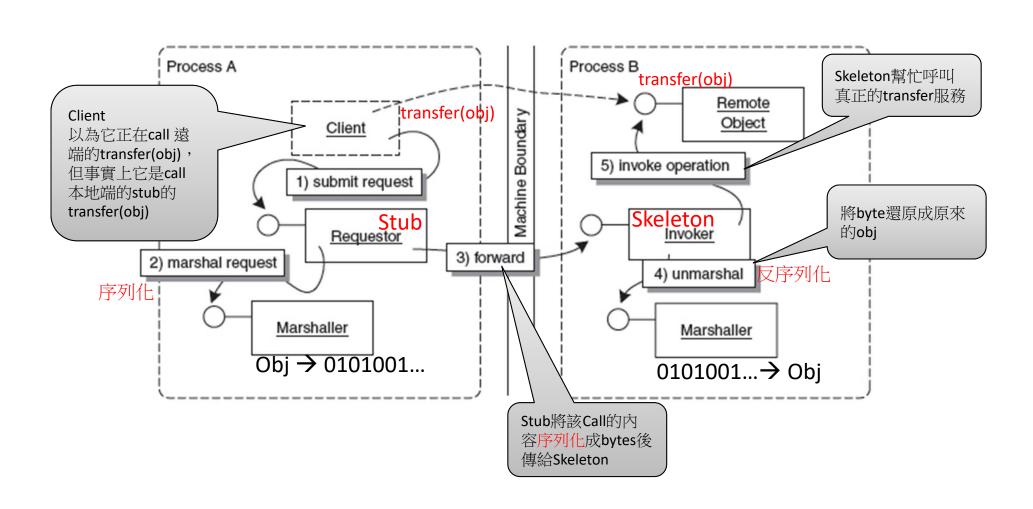
• 參數如何傳送?

- Client and server machines may have different data representations
 - E.g., byte ordering
- Serialization序列化: transforming a value into a sequence of bytes
 - Client and server have to agree on the same encoding standard

- 議題

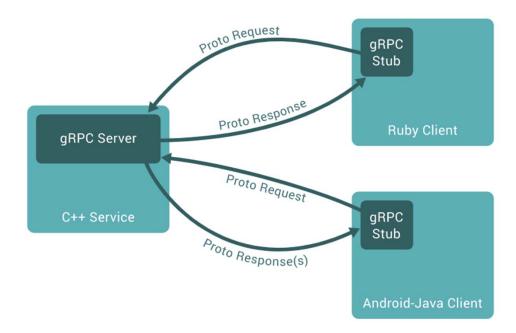
- How are basic data values represented (integers, floats, characters)
- How are complex data values represented (arrays, unions)

呼叫遠端函式(物件)的架構



Case: gRPC

- A modern open source high performance RPC framework that can run in any environment
 - Efficiently connect services in and across data centers
 - Technology stack
 - HTTP 2
 - Protocol Buffers



gRPC in the Realworld

- gRPC has been widely adopted for building microservices and cloud native applications
- Netflix
 - Initially using in-house RESTful solution on HTTP/1.1
 - With the adoption of gRPC, Netflix has seen a massive boost in developer productivity
 - Creating a client, which could take up to two to three weeks, takes a matter of minutes with gRPC.

gRPC in the Realworld

Dropbox

- Dropbox runs hundreds of polyglot microservices, which exchange millions of requests per second
- Initial solution
 - A homegrown RPC framework with a custom protocol for manual serialization
 - Apache Thrift
 - Legacy HTTP/1.1-based RPC framework + protobuf
- New solution: Courier
 - A gRPC-based RPC framework
 - A customized solution to meet specific requirements like authentication, authorization, service discovery, service statistics, event logging, and tracing tools

A Server and a Client based on gRPC

ProductInfo Service Definition ProductInfo.proto Generate Client stub Generate Server Skeleton Product Info Protocol Buffer over HTTP/2 GRPC Stub Go Go Go

Service Definition

```
// The greeting service definition.
service Greeter {
 // Sends a greeting
 rpc SayHello (HelloRequest) returns (HelloReply) {}
// The request message containing the user's name.
message HelloRequest {
 string name = 1;
// The response message containing the greetings
message HelloReply {
 string message = 1;
```

課後閱讀(會考)

- P115 手持裝置的Multitasking
- P135 Mach Messaging Passing: Task Self port的用途
- P136 什麼是Bootstrap server tasks can look up the port in this registry and obtain rights for sending messages to the port
- P136 Mach Message Passing如何傳送大檔?
- P138 ALPC是什麼? 作用為何?
- P139 ALPC為什麼需要section object?
- P151 Android中提供什麼支援來開發RPC?

Q&A