Notes of Modern Quantum Chemistry, Szabo & Ostlund

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spatial mol orb – ψ – i,j,k,...spatial basis fxn – ϕ – $\mu,\nu,\lambda,...$ spin orb – χ occ mol orb – a,b,c,...vir mol orb – r,s,t,...exact many-elec wfn – Φ approx many-elec wfn – Ψ exact energy – $\mathscr E$ approx energy – E

1

- 1.1
- 1.2
- 1.3

1.4 N-D Complex Vector Spaces

Suppose

$$\mathcal{O}|a\rangle = |b\rangle \tag{1.1}$$

$$\langle i \mid \mathcal{O} \mid j \rangle = O_{ij} \tag{1.2}$$

def the **adjoint** of \mathcal{O} as \mathcal{O}^{\dagger}

$$\langle a | \mathcal{O}^{\dagger} = \langle b | \tag{1.3}$$

$$\langle i \mid \mathcal{O}^{\dagger} \mid j \rangle = O_{ii}^{*} \tag{1.4}$$

1.4.1 Change of Basis

$$|\alpha\rangle = \sum_{i} |i\rangle \langle i|\alpha\rangle = \sum_{i} |i\rangle U_{i\alpha}$$
 (1.5)

$$|i\rangle = \sum_{\alpha} |\alpha\rangle \langle i|\alpha\rangle = \sum_{\alpha} |\alpha\rangle U_{i\alpha}^*$$
 (1.6)

If i, α are all orthonormal, **U** must be unitary.

$$\Omega_{\alpha\beta} = \langle \alpha \, | \, \mathcal{O} \, | \, \beta \rangle = \dots \sum_{ij} U_{\alpha i}^* O_{ij} U_{j\beta}$$
(1.7)

or

$$\mathbf{\Omega} = \mathbf{U}^{\dagger} \mathbf{O} \mathbf{U} \tag{1.8}$$

2.1 The Electronic Problem

- 2.1.1 Atomic Units
- 2.1.2 The B-O Approximation
- 2.1.3 The Antisymmetry or Pauli Exclusion Principle
- 2.2 Orbitals, Slater Determinants, and Basis Functions
- 2.2.1 Spin Orbitals and Spatial Orbitals
- 2.2.2 Hartree Products
- 2.2.3 Slater Determinants

def

$$|\chi_{i}(\mathbf{x}_{1})\chi_{j}(\mathbf{x}_{2})\cdots\chi_{k}(\mathbf{x}_{N})\rangle \equiv \frac{1}{\sqrt{N!}}\begin{vmatrix} \chi_{i}(\mathbf{x}_{1}) & \chi_{j}(\mathbf{x}_{1}) & \cdots & \chi_{k}(\mathbf{x}_{1}) \\ \chi_{i}(\mathbf{x}_{2}) & \chi_{j}(\mathbf{x}_{2}) & \cdots & \chi_{k}(\mathbf{x}_{2}) \\ \vdots & \vdots & & \vdots \\ \chi_{i}(\mathbf{x}_{N}) & \chi_{j}(\mathbf{x}_{N}) & \cdots & \chi_{k}(\mathbf{x}_{N}) \end{vmatrix}$$
(2.1)

It can be further shortened to

$$|\chi_i\chi_j\cdots\chi_k\rangle$$
 (2.2)

2.2.4 The Hartree-Fock Approximation

2.2.5 The Minimal Basis H_2 Model

gerade, ungerade

2.2.6 Excited Determinants

Suppose the ground state det

$$|\psi_0\rangle = |\chi_1 \cdots \chi_a \cdots \chi_b \cdots \chi_N\rangle \tag{2.3}$$

thus, singly excited det

$$|\psi_a^r\rangle = |\chi_1 \cdots \chi_r \cdots \chi_b \cdots \chi_N\rangle \tag{2.4}$$

$$|\psi_{ab}^{rs}\rangle = |\chi_1 \cdots \chi_r \cdots \chi_s \cdots \chi_N\rangle \tag{2.5}$$

How does program determine what dets can exist? by gerade/ungerade?

2.3 Operators and Matrix Elements

2.3.1 Minimal Basis H₂ Matrix Elements

2.3.2 Notations for 1- and 2-Electron Integrals

For spin orb, physicists'

$$\langle ij | kl \rangle = \left\langle \chi_i(1)\chi_j(2) \left| \frac{1}{r_{12}} \right| \chi_k(1)\chi_l(2) \right\rangle$$
 (2.6)

$$\langle ij \parallel kl \rangle = \langle ij \mid kl \rangle - \langle ij \mid lk \rangle$$
 (2.7)

chemists'

$$[ij|kl] = \left\langle \chi_i(1)\chi_j(1) \left| \frac{1}{r_{12}} \right| \chi_k(2)\chi_l(2) \right\rangle$$
 (2.8)

For spatial orb

$$(ij|kl) = \left\langle \psi_i(1)\psi_j(1) \left| \frac{1}{r_{12}} \right| \psi_k(2)\psi_l(2) \right\rangle$$
 (2.9)

2.3.3 General Rules for Matrix Elements

1. $|K\rangle = |\cdots mn \cdots \rangle$

$$\langle K \, | \, \mathcal{H} \, | \, K \rangle = \sum_{m}^{N} \langle m \, | \, h \, | \, m \rangle + \frac{1}{2} \sum_{m}^{N} \sum_{n}^{N} \langle mn \, | | \, mn \rangle = \sum_{m}^{N} [m|h|m] + \frac{1}{2} \sum_{m}^{N} \sum_{n}^{N} ([mm|nn] - [mn|nm])$$
(2.10)

or (Since [mm|mm] - [mm|mm] = 0)

$$\langle K | \mathcal{H} | K \rangle = \sum_{m}^{N} [m|h|m] + \sum_{m}^{N} \sum_{n>m}^{N} ([mm|nn] - [mn|nm])$$
 (2.11)

2. $|K\rangle = |\cdots mn \cdots\rangle, |L\rangle = |\cdots pn \cdots\rangle$

$$\langle K \mid \mathcal{H} \mid L \rangle = \langle m \mid h \mid p \rangle + \sum_{n=1}^{N} \langle mn \parallel pn \rangle = [m|h|p] + \sum_{n=1}^{N} ([mp|nn] - [mn|np]) \qquad (2.12)$$

3.
$$|K\rangle = |\cdots mn \cdots\rangle, |L\rangle = |\cdots pq \cdots\rangle$$

$$\langle K | \mathcal{H} | L\rangle = \langle mn || pq\rangle = [mp|nq] - [mq|np] \tag{2.13}$$

2.4 Second Quantization

2.4.1 Creation and Annihilation Operators and Their Anticommutation Relations

$$a_i^{\dagger} a_i^{\dagger} + a_i^{\dagger} a_i^{\dagger} = 0 \quad a_i a_i + a_i a_i = 0 \tag{2.14}$$

$$\{a_i, a_i^{\dagger}\} \equiv a_i a_i^{\dagger} + a_i^{\dagger} a_i = \delta_{ij} \tag{2.15}$$

2.5 Spin-Adapted Configurations

2.5.1 Spin Operators

total spin

$$\hat{\mathscr{P}} = \sum_{i}^{N} \hat{\mathbf{s}}(i) \tag{2.16}$$

$$\hat{\mathscr{S}}_x = \sum_{i}^{N} \hat{\mathbf{s}}_x(i) \tag{2.17}$$

$$\hat{\mathscr{S}}_{+} = \sum_{i}^{N} \hat{\mathbf{s}}_{+}(i) \tag{2.18}$$

$$\hat{\mathcal{S}}^2 = \hat{\mathcal{S}}_+ \hat{\mathcal{S}}_- - \hat{\mathcal{S}}_z + \hat{\mathcal{S}}_z^2 \tag{2.19}$$

$$\hat{\mathscr{S}}^2 |\Phi\rangle = S(S+1) |\Phi\rangle \tag{2.20}$$

$$\hat{\mathscr{S}}_z |\Phi\rangle = M_S |\Phi\rangle \tag{2.21}$$

$$\hat{\mathscr{S}}_z |ij\cdots k\rangle = \frac{1}{2} (N^\alpha - N^\beta) |ij\cdots k\rangle$$
 (2.22)

2.5.2 Restricted Determinants and Spin-Adapted Configurations

$$|^{1}\Psi_{1}^{2}\rangle = \frac{1}{\sqrt{2}}(|1\bar{2}\rangle + |\bar{1}2\rangle) = \frac{1}{\sqrt{2}}(\psi_{1}(1)\psi_{2}(2)\alpha(1)\beta(2) - \psi_{2}(1)...)$$
 (2.23)

3 The Hartree-Fock Approximation

3.1 The HF Equations

3.1.1 The Coulomb and Exchange Operators

$$\mathscr{J}_b(1) = \int \mathrm{d}x_2 \chi_b^*(2) r_{12}^{-1} \chi_b(2) \tag{3.1}$$

$$\mathscr{K}_b(1) = \int dx_2 \chi_b^*(2) r_{12}^{-1} \hat{\mathscr{P}}_{12} \chi_b(2)$$
(3.2)

$$= \int \mathrm{d}x_2 \chi_b^*(2) r_{12}^{-1} \chi_b(1) \hat{\mathscr{P}}_{12} \tag{3.3}$$

$$\langle \chi_a(1) | \mathcal{J}_b(1) | \chi_a(1) \rangle = J_{ab} \tag{3.4}$$

$$\langle \chi_a(1) \mid \mathcal{K}_b(1) \mid \chi_a(1) \rangle = K_{ab} \tag{3.5}$$

3.1.2 The Fock Operator

3.2 Derivation of the HF Equations

- 3.2.1 Functional Variation
- 3.2.2 Minimization of the Energy of a Single Determinant
- 3.2.3 The Canonical HF Equations

3.3 Interpretation of Solutions to the HF Equations

3.3.1 Orbital Energies and Koopmans' Theorem

$$\varepsilon_{i} = \langle i \mid h \mid i \rangle + \sum_{b} \langle ib \parallel ib \rangle \tag{3.6}$$

$$= \langle i \mid h \mid i \rangle + \sum_{b}^{3} (\langle ib \mid ib \rangle - \langle ib \mid bi \rangle)$$
 (3.7)

Koopmans' Theorem

$$IP = -\varepsilon_a \quad EA = -\varepsilon_r \tag{3.8}$$

Koopmans' EA is often bad.

3.3.2 Brillouin's Theorem

$$\langle \Psi_0 \,|\, \mathcal{H} \,|\, \Psi_a^r \rangle = 0 \tag{3.9}$$

3.3.3 The HF Hamiltonian

$$\mathcal{H}_0 = \sum_{i=1}^{N} f(i) \tag{3.10}$$

3.4 Restricted Closed-shell HF: The Roothaan Equations

3.4.1 Closed-shell HF: Restricted Spin Orbitals

$$E_0 = 2\sum_a h_{aa} + \sum_a \sum_b (2J_{ab} - K_{ab})$$
(3.11)

3.4.2 Introduction of a Basis: The Roothaan Equations

- 3.4.3 The Charge Density
- 3.4.4 Expression for the Fock Matrix
- 3.4.5 Orthogonalization of the Basis

$$\mathbf{X}^{\dagger}\mathbf{S}\mathbf{X} = \mathbf{1} \tag{3.12}$$

S can be diagonalized by unitary matrix U:

$$\mathbf{U}^{\dagger}\mathbf{S}\mathbf{U} = \mathbf{s} \tag{3.13}$$

Symmetric Orthogonalization

$$\mathbf{X} = \mathbf{S}^{-1/2} = \mathbf{U}\mathbf{s}^{-1/2}\mathbf{U}^{\dagger} \tag{3.14}$$

(linear dependence must be removed)

Canonical Orthogonalization

$$\mathbf{X} = \mathbf{U}\mathbf{S}^{-1/2} = \mathbf{U}\mathbf{s}^{-1/2}\mathbf{U}^{\dagger} \tag{3.15}$$

Suppose s has m small values, we make a truncated $K \times (K - m)$ matrix

$$\tilde{\mathbf{X}} = \dots \tag{3.16}$$

thus

$$\phi'_{\mu} = \sum_{\nu}^{K} \phi_{\nu} \tilde{X}_{\nu\mu} \qquad \mu = 1, 2, \cdots, K - m$$
 (3.17)

However, calculate 2e integrals in transformed matrix is very time-consuming. Since

$$\phi' = \phi \mathbf{X} \tag{3.18}$$

$$\psi = \phi \mathbf{C} \tag{3.19}$$

we have

$$\psi = \phi' \mathbf{X}^{-1} \mathbf{C} \tag{3.20}$$

Let

$$\mathbf{C}' = \mathbf{X}^{-1}\mathbf{C} \qquad or \ \mathbf{C} = \mathbf{X}\mathbf{C}' \tag{3.21}$$

thus

$$\mathbf{FXC'} = \mathbf{SXC'}\boldsymbol{\varepsilon} \tag{3.22}$$

$$(\mathbf{X}^{\dagger}\mathbf{F}\mathbf{X})\mathbf{C}' = (\mathbf{X}^{\dagger}\mathbf{S}\mathbf{X})\mathbf{C}'\boldsymbol{\varepsilon} = \mathbf{C}'\boldsymbol{\varepsilon}$$
(3.23)

def:

$$\mathbf{F}' = \mathbf{X}^{\dagger} \mathbf{F} \mathbf{X} \tag{3.24}$$

3.4.6 The SCF Procedure

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I think \mathbf{C}' should be $(K-m) \times K$.

3.4.7 Expectation Values and Population Analysis

$$N = \sum_{\mu} \sum_{\nu} P_{\mu\nu} S_{\mu\nu} = \operatorname{tr} \mathbf{PS}$$
 (3.25)

Mulliken:

$$q_A = Z_A - \sum_{\mu \in A} (\mathbf{PS})_{\mu\mu} \tag{3.26}$$

Löwin:

$$q_A = Z_A - \sum_{\mu \in A} (\mathbf{S}^{1/2} \mathbf{P} \mathbf{S}^{1/2})_{\mu\mu}$$
 (3.27)

3.5 Model Calculations on H_2 and HeH^+

3.5.1 The 1s Minimal STO-3G Basis Set

$$\alpha = \alpha_{(\zeta = 1.0)} \times \zeta^2 \tag{3.28}$$

3.5.2 STO-3G H₂

3.5.3 An SCF Calculation on STO-3G HeH⁺

3.6 Polyatomic Basis Sets

3.6.1 Contracted Gaussian Functions

Notation: (pGTO)/[cGTO] (ignore $p_x, p_y, ...$)

$$\begin{array}{ccc} {\rm STO\text{-}3G} & (6s3p/3s)/[2s1p/1s] \\ 4\text{-}31G & (8s4p/4s)/[3s2p/2s] \\ {\rm T.\ Dunning\ (JCP\ 1970)} & (9s5p/4s)/[3s2p/2s] \\ 6\text{-}31G^*\ (sph) & (10s4p1d)/[3s2p1d/2s] \\ 6\text{-}31G^{**}\ (sph) & (10s4p1d/4s1p)/[3s2p1d/2s1p] \end{array}$$

- 3.6.2 Minimal Basis Sets: STO-3G
- Double Zeta Basis Sets: 4-31G
- 3.6.4 Polarized Basis Sets: 6-31G* and 6-31G**

What's D polarized basis?

Cartesian: xx, yy, zz, xy, yz, xzSpherical: $3z^2 - r^2, x^2 - y^2, xy, yz, zx$, $(r^2 \text{ is removed})$

Some Illustrative Closed-shell Calculations

- Total Energies
- 3.7.2 Ionization Potentials
- **Equilibrium Geometries**
- 3.7.4 Population Analysis and Dipole Moments

Unrestricted Open-shell HF: The Pople-Nesbet Equations

Open-shell HF: Unrestricted Spin Orbitals

$$f^{\alpha}(1) = h(1) + \sum_{a}^{N_{\alpha}} \left[J_a^{\alpha}(1) - K_a^{\alpha}(1) \right] + \sum_{a}^{N_{\beta}} J_a^{\beta}(1)$$
 (3.29)

$$E_0 = \sum_{a} h_{aa} + \frac{1}{2} \sum_{a}^{N_{\alpha}} \sum_{b}^{N_{\alpha}} (J_{ab}^{\alpha\alpha} - K_{ab}^{\alpha\alpha}) + \frac{1}{2} \sum_{a}^{N_{\beta}} \sum_{b}^{N_{\beta}} (J_{ab}^{\beta\beta} - K_{ab}^{\beta\beta}) + \sum_{a}^{N_{\alpha}} \sum_{b}^{N_{\beta}} J_{ab}^{\alpha\beta}$$
(3.30)

3.8.2 Introduction of a Basis: The Pople-Nesbet Equations

$$\mathbf{F}^{\alpha}\mathbf{C}^{\alpha} = \mathbf{S}\mathbf{C}^{\alpha}\boldsymbol{\varepsilon}^{\alpha} \tag{3.31}$$

$$\mathbf{F}^{\beta}\mathbf{C}^{\beta} = \mathbf{S}\mathbf{C}^{\beta}\boldsymbol{\varepsilon}^{\beta} \tag{3.32}$$

3.8.3 Unrestricted Density Matrices

spin density

$$\rho^{S}(\mathbf{r}) = \rho^{\alpha}(\mathbf{r}) - \rho^{\beta}(\mathbf{r}) \tag{3.33}$$

$$\mathbf{P}^S = \mathbf{P}^\alpha - \mathbf{P}^\beta \tag{3.34}$$

3.8.4 Expression for the Fock Matrices

$$F_{\mu\nu}^{\alpha} = H_{\mu\nu}^{\text{core}} + \sum_{\lambda} \sum_{\sigma} \left[P_{\lambda\sigma}^{T}(\mu\nu|\sigma\lambda) - P_{\lambda\sigma}^{\alpha}(\mu\lambda|\sigma\nu) \right]$$
 (3.35)

$$F_{\mu\nu}^{\beta} = H_{\mu\nu}^{\text{core}} + \sum_{\lambda} \sum_{\sigma} \left[P_{\lambda\sigma}^{T}(\mu\nu|\sigma\lambda) - P_{\lambda\sigma}^{\beta}(\mu\lambda|\sigma\nu) \right]$$
 (3.36)

3.8.5 Solution of the Unrestricted SCF Equations

3.8.6 Illustrative Unrestricted Calculations

4 Configuration Interaction

4.1 Multiconfigurational Wave Functions and the Structure of Full CI Matrix

1.

$$\langle \Psi_0 \,|\, \mathcal{H} \,|\, S \rangle = 0 \tag{4.1}$$

- 2. Slater dets which differ by > 2 spin orbitals are zero. Non-zero blocks are sparse.
- 3. Single excitations have a very small effect on the ground state energy, but not small for dipole and excited states.

4.1.1 Intermediate Normalization and an Expression for the Correlation Energy

Intermediate Normalization:

$$|\Phi_0\rangle = |\Psi_0\rangle + \dots \tag{4.2}$$

thus

$$\langle \Psi_0 \, | \, \Phi_0 \rangle = 0 \tag{4.3}$$

thus

$$E_{\text{corr}} = \langle \Psi_0 | \mathcal{H} - E_0 | \Phi_0 \rangle = \sum_{c < d.t < u} c_{cd}^{tu} \langle \Psi_0 | \mathcal{H} | \Psi_{cd}^{tu} \rangle$$

$$(4.4)$$

4.2 Doubly Exited CI

$$\sum_{c < d, t < u} c_{cd}^{tu} \left\langle \Psi_0 \middle| \mathcal{H} \middle| \Psi_{cd}^{tu} \right\rangle = E_{\text{corr}}$$
(4.5)

$$\langle \Psi_{ab}^{rs} | \mathcal{H} | \Psi_0 \rangle + \sum_{c < d, t < u} c_{cd}^{tu} \langle \Psi_{ab}^{rs} | \mathcal{H} - E_0 | \Psi_{cd}^{tu} \rangle = c_{ab}^{rs} E_{\text{corr}}$$

$$(4.6)$$

def

$$B_{rasb} = \langle \Psi_{ab}^{rs} | \mathcal{H} | \Psi_0 \rangle \tag{4.7}$$

$$D_{rasb,tcud} = \left\langle \Psi_{ab}^{rs} \middle| \mathcal{H} - E_0 \middle| \Psi_{cd}^{tu} \right\rangle \tag{4.8}$$

$$c_{rasb} = c_{ab}^{rs} \tag{4.9}$$

thus

$$\begin{pmatrix} 0 & \mathbf{B}^{\dagger} \\ \mathbf{B} & \mathbf{D} \end{pmatrix} \begin{pmatrix} 1 \\ \mathbf{c} \end{pmatrix} = E_{\text{corr}} \begin{pmatrix} 1 \\ \mathbf{c} \end{pmatrix}$$
 (4.10)

then diagonalize.

Another way:

$$E_{\text{corr}} = -\mathbf{B}^{\dagger} (\mathbf{D} - E_{\text{corr}} \mathbf{1})^{-1} \mathbf{B}$$
(4.11)

solve it iteratively.

4.3 Some Illustrative Calculations

4.4 Natural Orbitals and the 1-Particle Reduced DM

reduced density function

$$\rho(\mathbf{x}_1) = N \int d\mathbf{x}_2 \cdots d\mathbf{x}_N \Phi^*(\mathbf{x}_1, \cdots, \mathbf{x}_N) \Phi(\mathbf{x}_1, \cdots, \mathbf{x}_N)$$
(4.12)

1st-order reduced DM, or 1-P reduced DM $\,$

$$\gamma(\mathbf{x}_1, \mathbf{x}_1') = N \int d\mathbf{x}_2 \cdots d\mathbf{x}_N \Phi^*(\mathbf{x}_1, \cdots, \mathbf{x}_N) \Phi(\mathbf{x}_1', \cdots, \mathbf{x}_N)$$
(4.13)

$$\gamma(\mathbf{x}_1, \mathbf{x}_1') = \sum_{ij} \chi_i(\mathbf{x}_1) \gamma_{ij} \chi_j^*(\mathbf{x}_1')$$
(4.14)

def an orthonormal basis $\{\eta_i\}$ (natural spin orb),

$$\eta_i = \sum_k \chi_k U_{ki} \tag{4.15}$$

$$\gamma(\mathbf{x}_1, \mathbf{x}_1') = \sum_{kl} \eta_k(\mathbf{x}_1) (\mathbf{U}^{\dagger} \boldsymbol{\gamma} \mathbf{U})_{kl} \eta_l^*(\mathbf{x}_1')$$
(4.16)

def

$$\lambda = \mathbf{U}^{\dagger} \gamma \mathbf{U} \tag{4.17}$$

find a U to make λ diagonal, thus

$$\gamma(\mathbf{x}_1, \mathbf{x}_1') = \sum_{i} \lambda_i \eta_i(\mathbf{x}_1) \eta_j^*(\mathbf{x}_1')$$
(4.18)

 λ_i is called the occupation number of the natural spin orbs.

4.5 The MCSCF and the GVB Methods

4.6 Truncated CI and the Size-consistency Problem

Truncated CI is not size-consistent.

5 Pair and Coupled-pair Theories

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- 5.1.1 Invariance under Unitary Transformations: An Example
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- 6.1 RS Perturbation Theory
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- 6.2.2 Diagrammatic Perturbation Theory for N States
- 6.2.3 Summation of Diagrams
- 6.3 Orbital Perturbation Theory: One-Particle Perturbations
- 6.4 Diagrammatic Representation of Orbital Perturbation Theory
- 6.5 Perturbation Expansion of the Correlation Energy

MPPT:

$$E_0^{(0)} = \sum_a \varepsilon_a \tag{6.1}$$

$$E_0^{(1)} = -\frac{1}{2} \sum_{ab} \langle ab \, || \, ab \rangle \tag{6.2}$$

$$E_0^{(2)} = \sum_{a < b, r < s} \frac{\left| \langle ab \parallel rs \rangle \right|^2}{\varepsilon_a + \varepsilon_b - \varepsilon_r - \varepsilon_s}$$
(6.3)

- 6.6 The N-dependence of the RS Perturbation Expansion
- 6.7 Diagrammatic Representation of the Perturbation Expansion of the Correlation Energy
- 6.7.1 Hugenholtz Diagrams
 - H1. numerator $\rightarrow \prod_{\text{dot}} \langle in, in \parallel out, out \rangle$
 - H2. denominator $\rightarrow \prod_{\text{adjacent dots}} \left(\sum \varepsilon_{\text{holes}} \sum \varepsilon_{\text{particles}} \right)$
 - H3. sign $\rightarrow (-1)^{h+l}$ (h: # of holes; l: # of closed loops)
 - H5. weight factor 2^{-k} (k: # of equivalent line pairs)

- 6.7.2 Goldstone Diagrams
- 6.7.3 Summation of Diagrams
- 6.7.4 What Is the Linked-Cluster Theorem?
- 6.8 Some Illustrative Calculations

7 The 1-Particle Many-body Green's Function

7.1 Green's Function in Single-Particle Systems

Solve Eq for ${\bf a}$

$$(E\mathbf{1} - \mathbf{H}_0)\mathbf{a} = \mathbf{b} \to \mathbf{G}_0(E) = (E\mathbf{1} - \mathbf{H}_0)^{-1}$$
 (7.1)

$$(E - \mathcal{H}_0)a(x) = b(x) \rightarrow G_0(x, x', E) = \sum_{\alpha} \frac{\psi_{\alpha}(x)\psi_{\alpha}^*(x')}{E - E_{\alpha}^{(0)}}$$
 (7.2)

7.2 The 1-Particle Many-body Green's Function

HF Green's function

$$G_0(\mathbf{x}, \mathbf{x}', E) = \sum_i \frac{\chi_i(\mathbf{x})\chi_i^*(\mathbf{x}')}{E - \varepsilon_i}$$
(7.3)

$$[G_0(E)]_{ij} = \frac{\delta_{ij}}{E - \varepsilon_i} \tag{7.4}$$

i.e.

$$\mathbf{G}_0(E) = (E\mathbf{1} - \boldsymbol{\varepsilon})^{-1} \tag{7.5}$$

7.2.1 The Self-Energy

Dyson equation

$$\mathbf{G}(E) = \mathbf{G}_0(E) + \mathbf{G}_0(E)\mathbf{\Sigma}(E)\mathbf{G}(E)$$
(7.6)

- 7.2.2 The Solution of the Dyson Equation
- 7.3 Application of the Formalism to H_2 and HeH^+
- A Integral Evaluation with 1s Primitive Gaussians
- B 2-Electron Self-consistent-field Program
- C Analytic Derivative Methods and Geometry Optimization
- C.1 Introduction
- C.2 General Considerations

$$E(\mathbf{X}_1) = E(\mathbf{X}) + \mathbf{q}^{\dagger} \mathbf{f}(\mathbf{X}) + \frac{1}{2} \mathbf{q}^{\dagger} \mathbf{H}(\mathbf{X}) \mathbf{q} + \cdots$$
 (C.1)

where

$$\mathbf{q} = \mathbf{X}_1 - \mathbf{X}$$
 $f_i = \frac{\partial E(\mathbf{X})}{\partial X_i}$ $H_{ij} = \frac{\partial^2 E(\mathbf{X})}{\partial X_i \partial X_j}$ (C.2)

$$f(X_1) = f(X) + H(X)q$$
 (C.3)

Let
$$\mathbf{X}_1 = \mathbf{X}_e$$

$$\mathbf{f}(\mathbf{X}) = -\mathbf{H}(\mathbf{X})\mathbf{q} \tag{C.4}$$

i.e.

$$\mathbf{q} = -\mathbf{H}^{-1}(\mathbf{X})\mathbf{f}(\mathbf{X}) \tag{C.5}$$

C.3 Analytic Derivatives

for HF

$$\frac{\partial E}{\partial X_A} = \sum_{\mu\nu} P_{\nu\mu} \frac{\partial H_{\mu\nu}^{\text{core}}}{\partial X_A} + \frac{1}{2} \sum_{\mu\nu\lambda\sigma} P_{\nu\mu} P_{\lambda\sigma} \frac{\partial(\mu\nu||\sigma\lambda)}{\partial X_A} + \frac{\partial V_{NN}}{\partial X_A} + \sum_{\mu\nu} \frac{\partial P_{\nu\mu}}{\partial X_A} H_{\mu\nu}^{\text{core}} + \sum_{\mu\nu\lambda\sigma} \frac{\partial P_{\nu\mu}}{\partial X_A} P_{\lambda\sigma}(\mu\nu||\sigma\lambda)$$

$$= \dots$$

$$= \sum_{\mu\nu} P_{\nu\mu} \frac{\partial H_{\mu\nu}^{\text{core}}}{\partial X_A} + \frac{1}{2} \sum_{\mu\nu\lambda\sigma} P_{\nu\mu} P_{\lambda\sigma} \frac{\partial(\mu\nu||\sigma\lambda)}{\partial X_A} + \frac{\partial V_{NN}}{\partial X_A}$$

$$- \sum_{\mu\nu} Q_{\nu\mu} \frac{\partial S_{\mu\nu}}{\partial X_A} \tag{C.6}$$

where

$$Q_{\nu\mu} = 2\sum_{a} \varepsilon_a C_{\mu a} C_{\nu a} \tag{C.7}$$

C.4 Optimization Techniques

C.5 Some Optimization Algorithms

Nelder-Mead Optimization

```
find(h)
while(true):
    X* = (1+a) Xbar - a X[h]
    if Emin < E* < E[h] :
        update(X[h], X*)
    elif E* < Emin:
        X** = v X* + (1-v) Xbar
    if E** < Emin:
        update(X[h], X**)
    else:
        update(X[h], X*)
else:
    X** = b X[h] + (1-b) Xbar</pre>
```

Quasi-Newton Optimization

Murtagh-Sargent

$$\mathbf{q}_n = -\alpha_{n-1} \mathbf{G}_{n-1} \mathbf{f}_{n-1} \tag{C.8}$$

1. Set $\alpha_0 = 1$ and $\mathbf{G_0} = \mathbf{1}$. While $(E_1 > E_0)$ set $\alpha_0 \leftarrow \alpha_0/2$

2.

$$\mathbf{U}_{k} = -\alpha_{k-1}\mathbf{G}_{k-1}\mathbf{f}_{k-1} - \mathbf{G}_{k-1}(\mathbf{f}_{k} - \mathbf{f}_{k-1}) = -\mathbf{G}_{k-1}[\mathbf{f}_{k} + \mathbf{f}_{k-1}(\alpha_{k-1} - 1)] \quad (C.9)$$

$$a_k^{-1} = \mathbf{U}_k^{\dagger} \mathbf{d}_k = \mathbf{U}_k^{\dagger} (\mathbf{f}_k - \mathbf{f}_{k-1}) \tag{C.10}$$

$$T_k = \mathbf{U}_k^{\dagger} \mathbf{U}_k \tag{C.11}$$

if $a_k^{-1} < 10^{-5} T_k$ or $a_k \mathbf{U}_k^{\dagger} \mathbf{f}_{k-1} > 10^{-5}$, goto step 1 else

$$\mathbf{G}_k = \mathbf{G}_{k-1} + a_k \mathbf{U}_k \mathbf{U}_k^{\dagger} \tag{C.12}$$

$$\alpha_k = 1 \tag{C.13}$$

3.

$$\mathbf{q}_k = -\alpha_{k-1} \mathbf{G}_{k-1} \mathbf{f}_{k-1} \tag{C.14}$$

(C.15)

C.6 Transition States

C.7 Constrained Variation

D Molecular Integrals for H_2 as a Function of Bond Length