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# $\mathrm{May}\ 4,\ 2020$

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# 1 Mathematical Review

# 1.1 Linear Algebra

# 1.1.1 3-D Vector Algebra

Ex 1.1

a)

$$\mathcal{O}\mathbf{e}_j = \sum_{i=1}^3 \mathbf{e}_i O_{ij} \tag{1.1.1}$$

$$\mathbf{e}_i \cdot \mathcal{O}\mathbf{e}_j = \mathbf{e}_i \cdot \sum_{i=1}^3 \mathbf{e}_i O_{ij} = O_{ij}$$
(1.1.2)

b)

$$\mathbf{b} = \mathcal{O}\mathbf{a} = \sum_{i=1}^{3} a_i \sum_{j=1}^{3} \mathbf{e}_j O_{ji}$$

$$= \sum_{i=1}^{3} a_j \sum_{i=1}^{3} \mathbf{e}_i O_{ij} = \sum_{i=1}^{3} \mathbf{e}_i \sum_{j=1}^{3} a_j O_{ij}$$
(1.1.3)

thus

$$\mathbf{b}_{i} = \sum_{j=1}^{3} a_{j} O_{ij} \tag{1.1.4}$$

Ex 1.2

$$[\mathbf{A}, \mathbf{B}] = \begin{bmatrix} 0 & -2 & 4 \\ 2 & 0 & 3 \\ -4 & -3 & 0 \end{bmatrix}$$
 (1.1.5)

$$\{\mathbf{A}, \mathbf{B}\} = \begin{bmatrix} 0 & 0 & -2 \\ 0 & -2 & 3 \\ -2 & 3 & -2 \end{bmatrix}$$
 (1.1.6)

# 1.1.2 Matrices

Ex 1.3

$$(AB)_{nk} = \sum_{m}^{M} A_{nm} B_{mk} \tag{1.1.7}$$

$$(AB)_{kn}^{\dagger} = (AB)_{nk}^{*} = \sum_{m}^{M} A_{nm}^{*} B_{mk}^{*} = \sum_{m}^{M} B_{km}^{\dagger} A_{mn}^{\dagger} = (B^{\dagger} A^{\dagger})_{kn}$$
(1.1.8)

thus

$$(\mathbf{A}\mathbf{B})^{\dagger} = \mathbf{B}^{\dagger}\mathbf{A}^{\dagger} \tag{1.1.9}$$

Ex 1.4

a. suppose **A** is  $N \times M$  and **B** is  $M \times N$ 

$$\operatorname{tr} \mathbf{AB} = \sum_{n=1}^{N} (AB)_{nn} = \sum_{n=1}^{N} \sum_{m=1}^{M} A_{nm} B_{mn} = \sum_{m=1}^{M} \sum_{n=1}^{N} B_{mn} A_{nm} = \sum_{m=1}^{M} (BA)_{mm} = \operatorname{tr} \mathbf{BA}$$
 (1.1.10)

b.

$$\mathbf{AB}(\mathbf{AB})^{-1} = \mathbf{1} \tag{1.1.11}$$

$$\mathbf{B}^{-1}\mathbf{A}^{-1}\mathbf{A}\mathbf{B}(\mathbf{A}\mathbf{B})^{-1} = \mathbf{B}^{-1}\mathbf{A}^{-1}\mathbf{1}$$
 (1.1.12)

$$\mathbf{B}^{-1}(\mathbf{A}^{-1}\mathbf{A})\mathbf{B}(\mathbf{A}\mathbf{B})^{-1} = \mathbf{B}^{-1}\mathbf{A}^{-1}$$
(1.1.13)

$$\mathbf{B}^{-1}\mathbf{1}\mathbf{B}(\mathbf{A}\mathbf{B})^{-1} = \mathbf{B}^{-1}\mathbf{A}^{-1} \tag{1.1.14}$$

thus

$$(\mathbf{A}\mathbf{B})^{-1} = \mathbf{B}^{-1}\mathbf{A}^{-1} \tag{1.1.15}$$

C.

$$\mathbf{B} = \mathbf{U}^{\dagger} \mathbf{A} \mathbf{U} \tag{1.1.16}$$

huhhj

$$\mathbf{U}\mathbf{B}\mathbf{U}^{\dagger} = \mathbf{U}\mathbf{U}^{\dagger}\mathbf{A}\mathbf{U}\mathbf{U}^{\dagger} = \mathbf{1}\mathbf{A}\mathbf{1} = \mathbf{A} \tag{1.1.17}$$

d.  $\mathbf{C}$  is Hermitian,  $\mathbf{C}$ .

$$\mathbf{C} = \mathbf{C}^{\dagger} \tag{1.1.18}$$

$$\mathbf{AB} = (\mathbf{AB})^{\dagger} = \mathbf{B}^{\dagger} \mathbf{A}^{\dagger} \tag{1.1.19}$$

Since A, B are Hermitian,

$$\mathbf{A}\mathbf{B} = \mathbf{B}^{\dagger}\mathbf{A}^{\dagger} = \mathbf{B}\mathbf{A} \tag{1.1.20}$$

*:* .

$$[\mathbf{A}, \mathbf{B}] = \mathbf{A}\mathbf{B} - \mathbf{B}\mathbf{A} = 0 \tag{1.1.21}$$

i.e. A, B commute

e. Since **A** is Hermitian,

$$\mathbf{A} = \mathbf{A}^{\dagger} \tag{1.1.22}$$

thus

$$(\mathbf{A}^{1-})^{\dagger}\mathbf{A} = (\mathbf{A}^{1-})^{\dagger}\mathbf{A}^{\dagger} = (\mathbf{A}\mathbf{A}^{-1})^{\dagger} = \mathbf{1}^{\dagger} = \mathbf{1}$$
 (1.1.23)

thus

$$(\mathbf{A}^{1-})^{\dagger} \mathbf{A} \mathbf{A}^{-1} = \mathbf{A}^{-1} \tag{1.1.24}$$

$$(\mathbf{A}^{1-})^{\dagger} = \mathbf{A}^{-1} \tag{1.1.25}$$

i.e.  $\mathbf{A}^{-1}$ , if it exists, is Hermitian.

f. Suppose

$$\mathbf{A}^{-1} = \begin{pmatrix} x & y \\ z & w \end{pmatrix} \tag{1.1.26}$$

thus

$$\begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \begin{pmatrix} x & y \\ z & w \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
 (1.1.27)

the solution is

$$x = \frac{A_{22}}{A_{11}A_{22} - A_{12}A_{21}}$$

$$y = \frac{-A_{12}}{A_{11}A_{22} - A_{12}A_{21}}$$

$$z = \frac{-A_{21}}{A_{11}A_{22} - A_{12}A_{21}}$$

$$w = \frac{A_{11}}{A_{11}A_{22} - A_{12}A_{21}}$$

$$(1.1.28)$$

$$\mathbf{A}^{-1} = \frac{1}{\det(\mathbf{A})} \begin{pmatrix} A_{22} & -A_{12} \\ -A_{21} & A_{11} \end{pmatrix}$$
 (1.1.29)

#### 1.1.3 Determinants

Ex 1.5 Suppose

$$\mathbf{A} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \tag{1.1.30}$$

1.

$$\begin{vmatrix} 0 & 0 \\ A_{21} & A_{22} \end{vmatrix} = 0 \cdot A_{22} - 0 \cdot A_{21} = 0 \tag{1.1.31}$$

$$\begin{vmatrix} 0 & A_{12} \\ 0 & A_{22} \end{vmatrix} = 0 \cdot A_{22} - 0 \cdot A_{12} = 0 \tag{1.1.32}$$

2.

$$\det(\mathbf{A}) = A_{11}A_{22} - 0 \cdot 0 = A_{11}A_{22} \tag{1.1.33}$$

3.

$$\det(\mathbf{A}) = A_{11}A_{22} - A_{12}A_{21} \tag{1.1.34}$$

$$\begin{vmatrix} A_{21} & A_{22} \\ A_{11} & A_{12} \end{vmatrix} = A_{21}A_{12} - A_{22}A_{11} = -\det(\mathbf{A})$$
(1.1.35)

4.

$$\det(\mathbf{A}^{\dagger})^* = \begin{vmatrix} A_{11}^* & A_{21}^* \\ A_{12}^* & A_{22}^* \end{vmatrix}^* = (A_{11}^* A_{22}^* - A_{21}^* A_{12}^*)^* = A_{11} A_{22} - A_{12} A_{21} = \det(\mathbf{A})$$
 (1.1.36)

5. Suppose  $\mathbf{B} = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$ 

$$\det(\mathbf{AB}) = \begin{vmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{vmatrix} 
= (A_{11}B_{11} + A_{12}B_{21})(A_{21}B_{12} + A_{22}B_{22}) - (A_{11}B_{12} + A_{12}B_{22})(A_{21}B_{11} + A_{22}B_{21}) 
= A_{11}B_{11}A_{21}B_{12} + A_{11}B_{11}A_{22}B_{22} + A_{12}B_{21}A_{21}B_{12} + A_{12}B_{21}A_{22}B_{22} 
- (A_{11}B_{12}A_{21}B_{11} + A_{11}B_{12}A_{22}B_{21} + A_{12}B_{22}A_{21}B_{11} + A_{12}B_{22}A_{22}B_{21}) 
= A_{11}B_{11}A_{22}B_{22} + A_{12}B_{21}A_{21}B_{12} - A_{11}B_{12}A_{22}B_{21} - A_{12}B_{22}A_{21}B_{11}$$
(1.1.37)

$$\det(\mathbf{A}) \det(\mathbf{B}) = (A_{11}A_{22} - A_{12}A_{21})(B_{11}B_{22} - B_{12}B_{21})$$

$$= A_{11}A_{22}B_{11}B_{22} - A_{11}A_{22}B_{12}B_{21} - A_{12}A_{21}B_{11}B_{22} + A_{12}A_{21}B_{12}B_{21}$$

$$= A_{11}B_{11}A_{22}B_{22} + A_{12}B_{21}A_{21}B_{12} - A_{11}B_{12}A_{22}B_{21} - A_{12}B_{22}A_{21}B_{11}$$

$$(1.1.38)$$

∴.

$$\det(\mathbf{A})\det(\mathbf{B}) = \det(\mathbf{A}\mathbf{B}) \tag{1.1.39}$$

Ex 1.6

6. If two rows (e.g. ith and jth) are equal

i.e.

$$\det(\mathbf{A}) = -\det(\mathbf{A}) \tag{1.1.41}$$

$$\det(\mathbf{A}) = 0 \tag{1.1.42}$$

7. From Ex 1.5.5, we have

$$\det(\mathbf{A})\det(\mathbf{A}^{-1}) = \det(\mathbf{1}) = 1 \tag{1.1.43}$$

thus

$$\det(\mathbf{A}^{-1}) = \det(\mathbf{A})^{-1} \tag{1.1.44}$$

8.

$$\mathbf{A}\mathbf{A}^{\dagger} = \mathbf{1} \Rightarrow \det(\mathbf{A})\det(\mathbf{A}^{\dagger}) = \det(\mathbf{1}) = 1 \tag{1.1.45}$$

From Ex 1.5.4, we have

$$\det(\mathbf{A})\det(\mathbf{A})^* = 1 \tag{1.1.46}$$

9. From Ex 1.5.5, we get

$$\det(\mathbf{U}^{\dagger})\det(\mathbf{O})\det(\mathbf{U}) = \det(\mathbf{\Omega}) \tag{1.1.47}$$

and

$$\det(\mathbf{U}^{\dagger})\det(\mathbf{U}) = \det(\mathbf{1}) = 1 \tag{1.1.48}$$

∴.

$$\det(\mathbf{O}) = \det(\mathbf{\Omega}) \tag{1.1.49}$$

Ex 1.7 If  $det(\mathbf{A}) \neq 0$ , thus  $\mathbf{A}^{-1}$  exists, we have

$$\mathbf{A}^{-1}\mathbf{A}\mathbf{c} = \mathbf{0} \Rightarrow \mathbf{c} = \mathbf{0} \tag{1.1.50}$$

#### 1.1.4 N-D Complex Vector Spaces

#### 1.1.5 Change of Basis

Ex 1.8

$$\Omega_{\alpha\beta} = \sum_{ij} U_{\alpha i}^{\dagger} O_{ij} U_{j\beta} \tag{1.1.51}$$

gives

$$\operatorname{tr} \mathbf{\Omega} = \sum_{\alpha} \Omega_{\alpha\alpha} = \sum_{\alpha} \sum_{ij} U_{\alpha i}^{\dagger} O_{ij} U_{j\alpha}$$

$$= \sum_{ij} O_{ij} \sum_{\alpha} U_{j\alpha} U_{\alpha i}^{\dagger} = \sum_{ij} O_{ij} \delta_{ji} = \operatorname{tr} \mathbf{0}$$
(1.1.52)

#### 1.1.6 The Eigenvalue Problem

Ex 1.9

$$\mathbf{OU} = \mathbf{U}\boldsymbol{\omega} \Rightarrow \mathbf{O}(\mathbf{c}^1 \quad \mathbf{c}^2 \quad \cdots \quad \mathbf{c}^N) = \begin{pmatrix} \omega_1 \mathbf{c}_1 & \omega_2 \mathbf{c}_2 & \cdots & \omega_N \mathbf{c}_N \end{pmatrix}$$
(1.1.53)

thus

$$\mathbf{O}\mathbf{c}^{\alpha} = \omega_{\alpha}\mathbf{c}^{\alpha} \tag{1.1.54}$$

Ex 1.10

$$\begin{cases}
O_{11} - \omega + O_{12}c = 0 \\
O_{21} + (O_{22} - \omega)c = 0
\end{cases}$$
(1.1.55)

$$(O_{11} - \omega)(O_{22} - \omega) - O_{21}O_{12} = 0 \tag{1.1.56}$$

$$\omega^2 - (O_{11} + O_{22})\omega + O_{11}O_{22} - O_{21}O_{12} = 0$$
(1.1.57)

$$\begin{cases}
\omega_1 = \frac{1}{2} \left( O_{11} + O_{22} - \sqrt{(O_{11} - O_{22})^2 + 4O_{21}O_{12}} \right) \\
\omega_2 = \frac{1}{2} \left( O_{11} + O_{22} + \sqrt{(O_{11} - O_{22})^2 + 4O_{21}O_{12}} \right)
\end{cases}$$
(1.1.58)

Ex 1.11

a)

$$\begin{vmatrix} 3 - \omega & 1 \\ 1 & 3 - \omega \end{vmatrix} = 0 \Rightarrow (3 - \omega)^2 - 1 = 0$$
 (1.1.59)

Eigenvalues

$$\omega_1 = 2 \quad \omega_2 = 4 \tag{1.1.60}$$

Eigenvectors

$$\mathbf{c}^1 = \begin{pmatrix} 1 \\ -1 \end{pmatrix} \quad \mathbf{c}^2 = \begin{pmatrix} 1 \\ 1 \end{pmatrix} \tag{1.1.61}$$

$$\begin{vmatrix} 3 - \omega & 1 \\ 1 & 2 - \omega \end{vmatrix} = 0 \Rightarrow (3 - \omega)(2 - \omega) - 1 = 0$$
 (1.1.62)

Eigenvalues

$$\omega_1 = \frac{5 + \sqrt{5}}{2} \quad \omega_2 = \frac{5 - \sqrt{5}}{2} \tag{1.1.63}$$

Eigenvectors

$$\mathbf{c}^{1} = \begin{pmatrix} \frac{1}{2} (1 + \sqrt{5}) \\ 1 \end{pmatrix} \quad \mathbf{c}^{2} = \begin{pmatrix} \frac{1}{2} (1 - \sqrt{5}) \\ 1 \end{pmatrix}$$
 (1.1.64)

b)

$$\theta_0 = \frac{1}{2} \tan^{-1} \frac{2O_{12}}{O_{11} - O_{12}} \tag{1.1.65}$$

for  $\mathbf{A}$ 

$$\theta_0 = \frac{1}{2} \tan^{-1} \frac{2 \times 1}{3 - 3} = \frac{\pi}{4} \tag{1.1.66}$$

Eigenvalues

$$\omega_1 = 2 \quad \omega_2 = 4 \tag{1.1.67}$$

Eigenvectors

$$\mathbf{c}^{1} = \begin{pmatrix} \sqrt{2}/2 \\ -\sqrt{2}/2 \end{pmatrix} \quad \mathbf{c}^{2} = \begin{pmatrix} \sqrt{2}/2 \\ \sqrt{2}/2 \end{pmatrix} \tag{1.1.68}$$

for  ${\bf B}$ 

$$\theta_0 = \frac{1}{2} \tan^{-1} \frac{2 \times 1}{3 - 2} = \frac{1}{2} \tan^{-1} 2 \tag{1.1.69}$$

Eigenvalues

$$\omega_1 = \frac{10}{5 + \sqrt{5}} = \frac{5 - \sqrt{5}}{2} \quad \omega_2 = \frac{10}{5 - \sqrt{5}} = \frac{5 + \sqrt{5}}{2}$$
(1.1.70)

Eigenvectors

$$\mathbf{c}^{1} = \begin{pmatrix} \sqrt{\frac{\sqrt{5} + 5}{10}} \\ \sqrt{\frac{2}{\sqrt{5} + 5}} \end{pmatrix} = \sqrt{\frac{2}{\sqrt{5} + 5}} \begin{pmatrix} \frac{1 + \sqrt{5}}{2} \\ 1 \end{pmatrix}$$
 (1.1.71)

$$\mathbf{c}^{2} = \begin{pmatrix} \sqrt{\frac{2}{\sqrt{5} + 5}} \\ -\sqrt{\frac{\sqrt{5} + 5}{10}} \end{pmatrix} = -\sqrt{\frac{\sqrt{5} + 5}{10}} \begin{pmatrix} \frac{1 - \sqrt{5}}{2} \\ 1 \end{pmatrix}$$
 (1.1.72)

Details are in chap1-1.nb

# 1.1.7 Functions of Matrices

Ex 1.12

a.

$$\mathbf{A}^n = \mathbf{U}\mathbf{a}^n\mathbf{U}^\dagger \tag{1.1.73}$$

$$\det(\mathbf{A}^{n}) = \det(\mathbf{U}) \det(\mathbf{a}^{n}) \det(\mathbf{U}^{\dagger}) = \det(\mathbf{U}) \det(\mathbf{U}^{\dagger}) \begin{vmatrix} a_{1}^{n} & & \\ & a_{2}^{n} & \\ & & \ddots & \\ & & & a_{N}^{n} \end{vmatrix} = a_{1}^{n} a_{2}^{n} \cdots a_{N}^{n} \qquad (1.1.74)$$

b. From 1.4.a, we have

$$\operatorname{tr} \mathbf{A}^{n} = \operatorname{tr} (\mathbf{U} \mathbf{a}^{n} \mathbf{U}^{\dagger}) = \operatorname{tr} (\mathbf{U} \mathbf{U}^{\dagger} \mathbf{a}^{n}) = \operatorname{tr} (\mathbf{a}^{n}) = \sum_{\alpha=1}^{N} a_{\alpha}^{n}$$
(1.1.75)

C.

$$\mathbf{U}^{\dagger}(\omega \mathbf{1} - \mathbf{A})\mathbf{U} = \omega \mathbf{1} - \mathbf{a} \tag{1.1.76}$$

$$(\omega \mathbf{1} - \mathbf{A})^{-1} = [(\mathbf{U}(\omega \mathbf{1} - \mathbf{a})\mathbf{U}^{\dagger}]^{-1} = \mathbf{U}(\omega \mathbf{1} - \mathbf{a})^{-1}\mathbf{U}^{\dagger}$$
(1.1.77)

while

$$(\omega \mathbf{1} - \mathbf{a})^{-1} = \begin{pmatrix} \omega - a_1 & & & \\ & \omega - a_2 & & \\ & & \ddots & \\ & & \omega - a_N \end{pmatrix}^{-1} = \begin{pmatrix} \frac{1}{\omega - a_1} & & & \\ & \frac{1}{\omega - a_2} & & \\ & & \ddots & \\ & & & \frac{1}{\omega - a_N} \end{pmatrix}$$
(1.1.78)

thus

$$\mathbf{G}(\omega) = (\omega \mathbf{1} - \mathbf{A})^{-1} = \mathbf{U} \begin{pmatrix} \frac{1}{\omega - a_1} & & & \\ & \frac{1}{\omega - a_2} & & \\ & & \ddots & \\ & & & \frac{1}{\omega - a_N} \end{pmatrix} \mathbf{U}^{\dagger}$$
(1.1.79)

$$\mathbf{G}(\omega)_{ij} = \sum_{\alpha} U_{i\alpha} \frac{1}{\omega - a_{\alpha}} U_{\alpha j}^{\dagger} = \sum_{\alpha} \frac{U_{i\alpha} U_{j\alpha}^{*}}{\omega - a_{\alpha}}$$
(1.1.80)

Since  $U_{i\alpha} = \langle i \mid \alpha \rangle$ ,  $U_{\alpha j}^{\dagger} = U_{j\alpha}^* = \langle \alpha \mid j \rangle$ 

$$\mathbf{G}(\omega)_{ij} = \sum_{\alpha} \frac{\langle i \mid \alpha \rangle \langle \alpha \mid j \rangle}{\omega - a_{\alpha}}$$
(1.1.81)

Ex 1.13 The eigenvalues and eigenvectors of A are

$$\omega_1 = a - b \quad \omega_2 = a + b \tag{1.1.82}$$

$$\mathbf{c}^1 = \begin{pmatrix} 1 \\ -1 \end{pmatrix} \quad \mathbf{c}^2 = \begin{pmatrix} 1 \\ 1 \end{pmatrix} \tag{1.1.83}$$

$$\mathbf{A} = \mathbf{U}\mathbf{a}\mathbf{U}^{\dagger} = \frac{\sqrt{2}}{2} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} a+b & 0 \\ 0 & a-b \end{pmatrix} \frac{\sqrt{2}}{2} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$
(1.1.84)

$$f(\mathbf{A}) = \mathbf{U}f(\mathbf{a})\mathbf{U}^{\dagger} = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} f(a+b) & 0 \\ 0 & f(a-b) \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$= \frac{1}{2} \begin{pmatrix} f(a+b) & f(a-b) \\ f(a+b) & -f(a-b) \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$= \frac{1}{2} \begin{pmatrix} f(a+b) + f(a-b) & f(a+b) - f(a-b) \\ f(a+b) - f(a-b) & f(a+b) + f(a-b) \end{pmatrix}$$
(1.1.85)

# 1.2 Orthogonal Functions, Eigenfunctions, and Operators

Ex 1.14

$$\int_{-\infty}^{\infty} \mathrm{d}x a(x) \delta(x) = \lim_{\varepsilon \to 0} \int_{-\varepsilon}^{\varepsilon} \mathrm{d}x a(x) \frac{1}{2\varepsilon} = \lim_{\varepsilon \to 0} \frac{1}{2\varepsilon} \int_{-\varepsilon}^{\varepsilon} \mathrm{d}x a(x) \xrightarrow{\underline{\text{L'Hôpital}}} \lim_{\varepsilon \to 0} \frac{a(\varepsilon) - [-a(-\varepsilon)]}{2} = a(0)$$

$$(1.2.1)$$

Ex 1.15

$$\int dx \psi_j^*(x) \mathcal{O} \psi_i(x) = \int dx \psi_j^*(x) \sum_k \psi_k(x) O_{ki} = \sum_k O_{ki} \int dx \psi_j^*(x) \psi_k(x)$$

$$= \sum_k O_{ki} \delta_{jk} = O_{ji}$$
(1.2.2)

In bra-ket notation, (1) becomes

$$\mathcal{O}|i\rangle = \sum_{j} |j\rangle \langle j| \mathcal{O}|i\rangle \tag{1.2.3}$$

which is identical to Eq.(1.55) in the textbook.

Ex 1.16 With bra-ket notation,

$$\mathcal{O}\sum_{i=1}^{\infty} c_i |i\rangle = \omega \sum_{i=1}^{\infty} c_i |i\rangle$$
(1.2.4)

Multiply by  $\langle j |$ 

$$\sum_{i=1}^{\infty} c_i \langle j | \mathcal{O} | i \rangle = \omega \sum_{i=1}^{\infty} c_i \langle j | i \rangle = \omega c_j$$
(1.2.5)

i.e.

$$\sum_{i=1}^{\infty} O_{ji} c_i = \omega c_j \tag{1.2.6}$$

$$\mathbf{Oc} = \omega \mathbf{c} \tag{1.2.7}$$

It's similar to prove that without bra-ket notation.

Ex 1.17

a.

$$\int dx \langle i|x| \langle x|j\rangle = \langle i|j\rangle = \delta_{ij}$$
(1.2.8)

i.e.

$$\int \mathrm{d}x \psi_i^*(x) \Psi_j(x) = \delta_{ij} \tag{1.2.9}$$

b.

$$\sum_{i=1}^{\infty} \langle x | i \rangle \langle i | x' \rangle = \langle x | x' \rangle = \delta(x - x')$$
(1.2.10)

thus

$$\sum_{i=1}^{\infty} \psi_i^*(x)\psi_i(x') = \sum_{i=1}^{\infty} \langle x | i \rangle \langle i | x' \rangle = \delta(x - x')$$
(1.2.11)

c.

$$\int dx \langle x' | x \rangle \langle x | a \rangle = \langle x' | a \rangle \tag{1.2.12}$$

thus

$$\int dx \delta(x' - x)a(x) = a(x') \tag{1.2.13}$$

i.e.

$$\int dx' \delta(x - x') a(x') = a(x)$$
(1.2.14)

d.

$$\langle x' \mid \mathcal{O} \mid a \rangle = \int dx \, \langle x' \mid \mathcal{O} \mid x \rangle \, \langle x \mid a \rangle = \langle x' \mid b \rangle \tag{1.2.15}$$

*:* .

$$\mathcal{O}a(x') = \int \mathrm{d}x O(x', x) a(x) = b(x') \tag{1.2.16}$$

i.e.

$$b(x) = \mathcal{O}a(x) = \int dx' O(x, x') a(x')$$
(1.2.17)

e.

$$O(x, x') = \langle x \mid \mathcal{O} \mid x' \rangle = \langle x \mid \left( \sum_{i} |i\rangle \langle i| \right) \mathcal{O}\left( \sum_{j} |j\rangle \langle j| \right) |x'\rangle$$

$$= \sum_{ij} \langle x \mid i\rangle \langle i \mid \mathcal{O} \mid j\rangle \langle j \mid x'\rangle$$

$$= \sum_{ij} \psi_{i}(x) O_{ij} \psi_{j}^{*}(x')$$
(1.2.18)

# 1.3 The Variation Method

#### 1.3.1 The Variation Principle

# Ex 1.18

$$\mathscr{E} = \frac{\left\langle \tilde{\Phi} \middle| -\frac{1}{2} \frac{d^{2}}{dx^{2}} - \delta(x) \middle| \tilde{\Phi} \right\rangle}{\left\langle \tilde{\Phi} \middle| \tilde{\Phi} \right\rangle} = \frac{N^{2} \int_{-\infty}^{\infty} dx \, e^{-\alpha x^{2}} \left[ -\frac{1}{2} (-2\alpha + 4\alpha^{2} x^{2}) - \delta(x) \right] e^{-\alpha x^{2}}}{N^{2} \int_{-\infty}^{\infty} dx \, e^{-2\alpha x^{2}}}$$

$$= \frac{\alpha \frac{\pi^{1/2}}{(2\alpha)^{1/2}} - 2\alpha^{2} \frac{2\pi^{1/2}}{4(2\alpha)^{3/2}} - 1}{\frac{\pi^{1/2}}{(2\alpha)^{1/2}}}$$

$$= \frac{\alpha \pi^{1/2} - \alpha^{2} \frac{\pi^{1/2}}{(2\alpha)} - (2\alpha)^{1/2}}{\pi^{1/2}}$$

$$= \alpha - \frac{1}{2}\alpha - \frac{(2\alpha)^{1/2}}{\pi^{1/2}}$$

$$= \frac{1}{2}\alpha - \frac{(2\alpha)^{1/2}}{\pi^{1/2}}$$

$$= \frac{1}{2}\alpha - \frac{(2\alpha)^{1/2}}{\pi^{1/2}}$$

Let  $\frac{\mathrm{d}\mathscr{E}}{\mathrm{d}\alpha} = 0$ , we have

$$\frac{1}{2} - \frac{1}{(2\pi\alpha)^{1/2}} = 0 \Rightarrow \alpha = \frac{2}{\pi}$$
 (1.3.2)

$$\mathcal{E}_{min} = -\frac{1}{\pi} \tag{1.3.3}$$

Ex 1.19

$$\mathcal{E} = \frac{\left\langle \tilde{\Phi} \middle| -\frac{1}{2} \nabla^2 - \frac{1}{r} \middle| \tilde{\Phi} \right\rangle}{\left\langle \tilde{\Phi} \middle| \tilde{\Phi} \right\rangle} = \frac{N^2 \cdot 4\pi \int_{-\infty}^{\infty} r^2 dr \, e^{-\alpha r^2} \left[ -\frac{1}{2} (4\alpha^2 r^2 - 6\alpha) - \frac{1}{r} \right] e^{-\alpha r^2}}{N^2 \cdot 4\pi \int_{-\infty}^{\infty} r^2 dr \, e^{-2\alpha r^2}}$$

$$= \frac{-2\alpha^2 \frac{24\pi^{1/2}}{64(2\alpha)^{5/2}} + 3\alpha \frac{2\pi^{1/2}}{8(2\alpha)^{3/2}} - \frac{1}{2(2\alpha)}}{\frac{2\pi^{1/2}}{8(2\alpha)^{3/2}}}$$

$$= -2\alpha^2 \frac{12}{8(2\alpha)} + 3\alpha - \frac{2(2\alpha)^{1/2}}{\pi^{1/2}}$$

$$= \frac{3}{2}\alpha - \frac{2(2\alpha)^{1/2}}{\pi^{1/2}}$$

$$= \frac{3}{2}\alpha - \frac{2(2\alpha)^{1/2}}{\pi^{1/2}}$$
(1.3.4)

Let  $\frac{\mathrm{d}\mathscr{E}}{\mathrm{d}r} = 0$ ,

$$\frac{3}{2} - \frac{2}{\sqrt{2\pi\alpha}} = 0 \Rightarrow \alpha = \frac{8}{9\pi} \tag{1.3.5}$$

$$\mathscr{E}_{min} = \frac{4}{3\pi} - \frac{8}{3\pi} = -\frac{4}{3\pi} \tag{1.3.6}$$

Ex 1.20

$$\omega(\theta) = \mathbf{c}^{\dagger} \mathbf{O} \mathbf{c} = (\cos \theta - \sin \theta) \begin{pmatrix} O_{11} \cos \theta + O_{12} \sin \theta \\ O_{12} \cos \theta + O_{22} \sin \theta \end{pmatrix}$$

$$= O_{11} \cos^{2} \theta + 2O_{12} \cos \theta \sin \theta + O_{22} \sin^{2} \theta$$

$$(1.3.7)$$

Let  $\frac{d\omega}{d\theta} = 0$ , thus

$$O_{11}(-2\cos\theta\sin\theta) + O_{12} \cdot 2\cos 2\theta + O_{22} \cdot 2\sin\theta\cos\theta = 0$$
 (1.3.8)

$$(O_{22} - O_{11})\sin 2\theta + 2O_{12}\cos 2\theta = 0 (1.3.9)$$

$$\theta = \frac{1}{2} \arctan \frac{2O_{12}}{O_{11} - O_{22}} \tag{1.3.10}$$

$$\omega = O_{11}\cos^2\theta + O_{12}\sin 2\theta + O_{22}\sin^2\theta \tag{1.3.11}$$

which are exactly the results in Eq. (1.105) and Eq. (1.106a) in the textbook. We get the result because the trial vector  $\mathbf{c}$  is the exact eigenvector of  $\mathbf{0}$ .

# 1.3.2 The Linear Variational Problem

Ex 1.21

a.

$$\left\langle \tilde{\Phi}' \middle| \tilde{\Phi}' \right\rangle = 1 = \sum_{\alpha\beta} \left\langle \tilde{\Phi}' \middle| \Phi_{\alpha} \right\rangle \left\langle \Phi_{\alpha} \middle| \Phi_{\beta} \right\rangle \left\langle \Phi_{\beta} \middle| \tilde{\Phi}' \right\rangle \tag{1.3.12}$$

Since  $\left\langle \tilde{\Phi}' \middle| \Phi_0 \right\rangle = 0$ , we have

$$\sum_{\alpha=1}^{\infty} \sum_{\beta=1}^{\infty} \left\langle \tilde{\Phi}' \middle| \Phi_{\alpha} \right\rangle \left\langle \Phi_{\alpha} \middle| \Phi_{\beta} \right\rangle \left\langle \Phi_{\beta} \middle| \tilde{\Phi}' \right\rangle = 1 \tag{1.3.13}$$

$$\sum_{\alpha=1}^{\infty} \sum_{\beta=1}^{\infty} \left\langle \tilde{\Phi}' \middle| \Phi_{\alpha} \right\rangle \delta_{\alpha\beta} \left\langle \Phi_{\beta} \middle| \tilde{\Phi}' \right\rangle = 1 \tag{1.3.14}$$

$$\sum_{\alpha=1}^{\infty} \left\langle \tilde{\Phi}' \middle| \Phi_{\alpha} \right\rangle \left\langle \Phi_{\alpha} \middle| \tilde{\Phi}' \right\rangle = 1 \tag{1.3.15}$$

$$\sum_{\alpha=1}^{\infty} \left| \left\langle \Phi_{\alpha} \left| \tilde{\Phi}' \right\rangle \right|^2 = 1 \tag{1.3.16}$$

Similarly,

$$\left\langle \tilde{\Phi}' \left| \mathcal{H} \right| \tilde{\Phi}' \right\rangle = \sum_{\alpha\beta} \left\langle \tilde{\Phi}' \left| \Phi_{\alpha} \right\rangle \left\langle \Phi_{\alpha} \left| \mathcal{H} \right| \Phi_{\beta} \right\rangle \left\langle \Phi_{\beta} \left| \tilde{\Phi}' \right\rangle = \sum_{\alpha=1}^{\infty} \sum_{\beta=1}^{\infty} \left\langle \tilde{\Phi}' \left| \Phi_{\alpha} \right\rangle \left\langle \Phi_{\alpha} \left| \mathcal{H} \right| \Phi_{\beta} \right\rangle \left\langle \Phi_{\beta} \left| \tilde{\Phi}' \right\rangle \right\rangle \right\rangle$$

$$(1.3.17)$$

From Eq. (1.170) from the textbook, we get

$$\langle \Phi_{\alpha} | \mathcal{H} | \Phi_{\beta} \rangle = \mathcal{E}_{\alpha} \delta_{\alpha\beta} \tag{1.3.18}$$

thus

$$\left\langle \tilde{\Phi}' \left| \mathcal{H} \left| \tilde{\Phi}' \right\rangle = \sum_{\alpha=1}^{\infty} \left| \left\langle \Phi_{\alpha} \left| \tilde{\Phi}' \right\rangle \right|^{2} \mathscr{E}_{\alpha} \ge \sum_{\alpha=1}^{\infty} \left| \left\langle \Phi_{\alpha} \left| \tilde{\Phi}' \right\rangle \right|^{2} \mathscr{E}_{1} = \mathscr{E}_{1} \right.$$

$$(1.3.19)$$

b.

$$\left\langle \tilde{\Phi}' \middle| \tilde{\Phi}' \right\rangle = 1 = \left( x^* \left\langle \tilde{\Phi}_0 \middle| + y^* \left\langle \tilde{\Phi}_1 \middle| \right) \left( x \middle| \tilde{\Phi}_0 \right\rangle + y \middle| \tilde{\Phi}_1 \right\rangle \right) = \left| x \middle|^2 + \left| y \middle|^2$$
 (1.3.20)

C.

$$\left\langle \tilde{\Phi}' \left| \mathcal{H} \left| \tilde{\Phi}' \right\rangle = |x|^2 \left\langle \tilde{\Phi}_0 \left| \mathcal{H} \left| \tilde{\Phi}_0 \right\rangle + |y|^2 \left\langle \tilde{\Phi}_1 \left| \mathcal{H} \left| \tilde{\Phi}_1 \right\rangle + x^* y \left\langle \tilde{\Phi}_0 \left| \mathcal{H} \left| \tilde{\Phi}_1 \right\rangle + x y^* \left\langle \tilde{\Phi}_1 \left| \mathcal{H} \right| \tilde{\Phi}_0 \right\rangle \right.\right.\right.\right.$$

$$= E_1 - |x|^2 (E_1 - E_0)$$

$$(1.3.21)$$

thus

$$\mathscr{E}_1 \le \left\langle \tilde{\Phi}' \middle| \mathscr{H} \middle| \tilde{\Phi}' \right\rangle \le E_1 - |x|^2 (E_1 - E_1) = E_1 \tag{1.3.22}$$

Ex 1.22

$$\begin{split} H_{11} &= \langle 1s \, | \, \mathcal{H} \, | \, 1s \rangle = -\frac{1}{2} + F \, \langle 1s \, | \, r \cos \theta \, | \, 1s \rangle = -\frac{1}{2} \\ H_{12} &= H_{21} = \langle 1s \, | \, \mathcal{H} \, | \, 2p_z \rangle = 0 + F \, \langle 1s \, | \, r \cos \theta \, | \, 2p_z \rangle = \frac{512\sqrt{2}\pi}{243} F \\ H_{22} &= \langle 2p_z \, | \, \mathcal{H} \, | \, 2p_z \rangle = -\frac{1}{8} + F \, \langle 2p_z \, | \, r \cos \theta \, | \, 2p_z \rangle = -\frac{1}{8} \end{split} \tag{1.3.23}$$

Suppose  $\mathbf{c} = \begin{pmatrix} \cos p \\ \sin p \end{pmatrix}$ ,

$$p = \frac{1}{2}\arctan\frac{2H_{12}}{H_{11} - H_{22}} = -\frac{1}{2}\tan^{-1}\left(\frac{2048\sqrt{2}F}{729}\right)$$
(1.3.24)

thus

$$\mathscr{E}(F) = H_{11}\cos^2 p + H_{12}\sin 2p + H_{22}\sin^2 p = -\frac{1}{2} - \frac{262144}{177147}F^2 + \mathcal{O}(F^3)$$
 (1.3.25)

٠.

$$\alpha = 2 \times \frac{262144}{177147} = 2.96 \tag{1.3.26}$$