

王石嵘

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3 The Hartree-Fock Approximation

3.1 The HF Equations

3.1.1 The Coulomb and Exchange Operators

3.1.2 The Fock Operator

Ex 3.1

$$\left\langle \chi_{i} \left| \hat{f} \left| \chi_{j} \right\rangle = \left\langle \chi_{i}(1) \left| h(1) + \sum_{b} \left[\mathscr{J}_{b}(1) - \mathscr{K}_{b}(1) \right] \right| \chi_{j}(1) \right\rangle$$

$$= \left[i |h| j \right] + \sum_{b \neq j} \left[\left\langle \chi_{i}(1) \chi_{b}(2) \left| \frac{1}{r_{12}} \left| \chi_{b}(2) \chi_{j}(1) \right\rangle - \left\langle \chi_{i}(1) \chi_{b}(2) \left| \frac{1}{r_{12}} \left| \chi_{b}(1) \chi_{j}(2) \right\rangle \right] \right]$$

$$= \left[i |h| j \right] + \sum_{b \neq j} \left(\left[i j |bb \right] - \left[i b |bj \right] \right)$$

$$(3.1.1)$$

Since

$$[ij|jj] - [ij|jj] = 0 (3.1.2)$$

we have

$$\left\langle \chi_{i} \middle| \hat{f} \middle| \chi_{j} \right\rangle = \left\langle i \middle| h \middle| j \right\rangle + \sum_{b} \left(\left\langle ib \middle| jb \right\rangle - \left\langle ib \middle| bj \right\rangle \right)$$
$$= \left\langle i \middle| h \middle| j \right\rangle + \sum_{b} \left\langle ib \middle\| jb \right\rangle \tag{3.1.3}$$

3.2 Derivation of the HF Equations

3.2.1 Functional Variation

3.2.2 Minimization of the Energy of a Single Determinant

Ex 3.2 Take the complex conjugate of

$$\mathscr{L}[\{\chi_{\alpha}\}] = E_0[\{\chi_{\alpha}\}] - \sum_{a}^{N} \sum_{b}^{N} \varepsilon_{ba}([a|b] - \delta_{ab})$$
(3.2.1)

we have

$$\mathscr{L}[\{\chi_{\alpha}\}]^* = E_0[\{\chi_{\alpha}\}]^* - \sum_{a}^{N} \sum_{b}^{N} \varepsilon_{ba}^*([a|b]^* - \delta_{ab}^*)$$
(3.2.2)

i.e.

$$\mathcal{L}[\{\chi_{\alpha}\}] = E_0[\{\chi_{\alpha}\}] - \sum_{a}^{N} \sum_{b}^{N} \varepsilon_{ba}^*([b|a] - \delta_{ab})$$
(3.2.3)

thus

$$\sum_{a}^{N} \sum_{b}^{N} \varepsilon_{ba}([a|b] - \delta_{ab}) = \sum_{a}^{N} \sum_{b}^{N} \varepsilon_{ba}^{*}([b|a] - \delta_{ab}) = \sum_{b}^{N} \sum_{a}^{N} \varepsilon_{ab}^{*}([a|b] - \delta_{ba})$$
(3.2.4)

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$$\varepsilon_{ba} = \varepsilon_{ab}^* \tag{3.2.5}$$

Ex 3.3 :

$$[\delta \chi_a | h | \chi_a] = [\chi_a | h | \delta \chi_a]^* \tag{3.2.6}$$

$$[\chi_a \delta \chi_a | \chi_b \chi_b] = [\delta \chi_a \chi_a | \chi_b \chi_b]^*$$
(3.2.7)

$$[\chi_a \chi_a | \chi_b \delta \chi_b] = [\chi_a \chi_a | \delta \chi_b \chi_b]^* \tag{3.2.8}$$

$$[\chi_a \chi_b | \chi_b \delta \chi_a] = [\chi_b \delta \chi_a | \chi_a \chi_b] = [\delta \chi_a \chi_b | \chi_b \chi_a]^*$$
(3.2.9)

$$[\chi_a \chi_b | \delta \chi_b \chi_a] = [\delta \chi_b \chi_a | \chi_a \chi_b] = [\chi_a \delta \chi_b | \chi_b \chi_a]^*$$
(3.2.10)

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$$\delta E_0 = \sum_{a}^{N} [\delta \chi_a | h | \chi_a] + \frac{1}{2} \sum_{a}^{N} \sum_{b}^{N} ([\delta \chi_a \chi_a | \chi_b \chi_b] + [\chi_a \chi_a | \delta \chi_b \chi_b])$$

$$- \frac{1}{2} \sum_{a}^{N} \sum_{b}^{N} ([\delta \chi_a \chi_b | \chi_b \chi_a] + [\chi_a \chi_b | \delta \chi_b \chi_a]) + \text{complex conjugates}$$
(3.2.11)

while

$$\sum_{a}^{N} \sum_{b}^{N} [\chi_a \chi_a | \delta \chi_b \chi_b] = \sum_{b}^{N} \sum_{a}^{N} [\chi_b \chi_b | \delta \chi_a \chi_a] = \sum_{a}^{N} \sum_{b}^{N} [\delta \chi_a \chi_a | \chi_b \chi_b]$$
(3.2.12)

$$\sum_{a}^{N} \sum_{b}^{N} [\chi_a \chi_b | \delta \chi_b \chi_a] = \sum_{b}^{N} \sum_{a}^{N} [\chi_b \chi_a | \delta \chi_a \chi_b] = \sum_{a}^{N} \sum_{b}^{N} [\delta \chi_a \chi_b | \chi_b \chi_a]$$
(3.2.13)

thus

$$\delta E_0 = \sum_{a}^{N} [\delta \chi_a | h | \chi_a] + \sum_{a}^{N} \sum_{b}^{N} ([\delta \chi_a \chi_a | \chi_b \chi_b] - [\delta \chi_a \chi_b | \chi_b \chi_a]) + \text{complex conjugates}$$
(3.2.14)

3.2.3 The Canonical HF Equations

3.3 Interpretation of Solutions to the HF Equations

3.3.1 Orbital Energies and Koopmans' Theorem

Ex 3.4

$$f_{ij} = \langle \chi_i | f | \chi_j \rangle = \langle i | h | j \rangle + \sum_b \langle ib | jb \rangle$$
 (3.3.1)

$$f_{ji}^* = \langle \chi_j | f | \chi_i \rangle^* = \langle j | h | i \rangle^* + \sum_b \langle jb \| ib \rangle^*$$

$$= \langle i | h | j \rangle + \sum_b \langle ib \| jb \rangle$$

$$= f_{ij}$$
(3.3.2)

thus the Fock operator is Hermitian.

$$\begin{split} & \operatorname{IP} = ^{N-2} E - E_{0} \\ & = \sum_{a \neq c,d} \langle a \mid h \mid a \rangle + \frac{1}{2} \sum_{a \neq c,d} \sum_{b \neq c,d} \langle ab \parallel ab \rangle - \left[\sum_{a} \langle a \mid h \mid a \rangle + \frac{1}{2} \sum_{a} \sum_{b} \langle ab \parallel ab \rangle \right] \\ & = - \langle c \mid h \mid c \rangle - \langle d \mid h \mid d \rangle - \frac{1}{2} \sum_{a \neq c,d} \langle ac \parallel ac \rangle - \frac{1}{2} \sum_{a \neq c,d} \langle ad \parallel ad \rangle - \frac{1}{2} \sum_{b \neq c,d} \langle cb \parallel cb \rangle - \frac{1}{2} \sum_{b \neq c,d} \langle db \parallel db \rangle - \langle cd \parallel cd \rangle \\ & = - \langle c \mid h \mid c \rangle - \langle d \mid h \mid d \rangle - \sum_{a \neq c,d} \langle ac \parallel ac \rangle - \sum_{a \neq c,d} \langle ad \parallel ad \rangle - \langle cd \parallel cd \rangle \\ & = - \langle c \mid h \mid c \rangle - \langle d \mid h \mid d \rangle - \left(\sum_{a \neq c} \langle ac \parallel ac \rangle - \langle dc \parallel dc \rangle \right) - \left(\sum_{a \neq d} \langle ad \parallel ad \rangle - \langle cd \parallel cd \rangle \right) - \langle cd \parallel cd \rangle \\ & = - \varepsilon_{c} - \varepsilon_{d} + \langle cd \mid cd \rangle - \langle cd \mid dc \rangle \end{split}$$

$${}^{N}E_{0} - {}^{N+1}E^{r} = \sum_{a} \langle a \mid h \mid a \rangle + \frac{1}{2} \sum_{a} \sum_{b} \langle ab \parallel ab \rangle$$

$$- \left[\sum_{a} \langle a \mid h \mid a \rangle + \langle r \mid h \mid r \rangle + \frac{1}{2} \sum_{a} \sum_{b} \langle ab \parallel ab \rangle + \frac{1}{2} \sum_{b} \langle rb \parallel rb \rangle + \frac{1}{2} \sum_{a} \langle ar \parallel ar \rangle \right]$$

$$= - \langle r \mid h \mid r \rangle - \frac{1}{2} \sum_{b} \langle rb \parallel rb \rangle - \frac{1}{2} \sum_{b} \langle br \parallel br \rangle$$

$$= - \langle r \mid h \mid r \rangle - \sum_{b} \langle rb \parallel rb \rangle$$

$$(3.3.4)$$

3.3.2 Brillouin's Theorem

3.3.3 The HF Hamiltonian

Ex 3.7 Suppose \mathcal{H}_0 commutes with \mathcal{P}_n ,

$$\mathcal{H}_{0} |\Psi_{0}\rangle = \mathcal{H}_{0} \frac{1}{\sqrt{N!}} \sum_{n}^{N!} (-1)^{p_{n}} \mathcal{P}_{n} \left\{ \sum_{i}^{N} f(i) \chi_{j}(1) \cdots \chi_{k}(N) \right\}$$

$$= \frac{1}{\sqrt{N!}} \sum_{n}^{N!} (-1)^{p_{n}} \mathcal{P}_{n} \left\{ (\varepsilon_{j} + \cdots + \varepsilon_{k}) \chi_{j}(1) \cdots \chi_{k}(N) \right\}$$

$$= \sum_{n} \varepsilon_{n}$$
(3.3.5)

Now we show \mathcal{H}_0 commutes with \mathcal{P}_n , for example, \mathcal{P}_{ab}

$$\mathscr{P}_{ab}\mathscr{H}_0 = \mathscr{P}_{ab}(\dots + f(a) + \dots + f(b) + \dots) = (\dots + f(b) + \dots + f(a) + \dots) \mathscr{P}_{ab} = \mathscr{H}_0\mathscr{P}_{ab} \quad (3.3.6)$$

Ex 3.8

$$\mathcal{V} = \sum_{i}^{N} \sum_{j>i}^{N} \mathcal{O}_2 - \sum_{i}^{N} \sum_{b}^{N} [\mathcal{G}_b(i) - \mathcal{K}_b(i)]$$
(3.3.7)

thus

$$\langle \Psi_{0} \mid \mathcal{V} \mid \Psi_{0} \rangle = \sum_{i}^{N} \sum_{j>i}^{N} \langle \Psi_{0} \mid \mathscr{O}_{2} \mid \Psi_{0} \rangle - \sum_{i}^{N} \sum_{b}^{N} [\langle \Psi_{0} \mid \mathscr{G}_{b}(i) - \mathscr{K}_{b}(i) \mid \Psi_{0} \rangle]$$

$$= \frac{1}{2} \sum_{a}^{N} \sum_{b}^{N} \langle ab \parallel ab \rangle - \sum_{i}^{N} \sum_{b}^{N} [\langle ib \mid ib \rangle - \langle ib \mid bi \rangle]$$

$$= -\frac{1}{2} \sum_{a}^{N} \sum_{b}^{N} \langle ab \parallel ab \rangle$$
(3.3.8)

3.4 Restricted Closed-shell HF: The Roothaan Equations

3.4.1 Closed-shell HF: Restricted Spin Orbitals

$$\varepsilon_{i} = (i|h|i) + \sum_{b}^{N} (\langle ib | ib \rangle - \langle ib | bi \rangle)
= (i|h|i) + \sum_{c}^{N/2} (\langle ic | ic \rangle - \langle ic | ci \rangle) + \sum_{\bar{c}}^{N/2} (\langle i\bar{c} | i\bar{c} \rangle - \langle i\bar{c} | \bar{c}i \rangle)$$
(3.4.1)

Assume χ_j has α spin, since assuming α or β is identical

$$\varepsilon_{i} = (i|h|i) + \sum_{c}^{N/2} \left[(ic|ic) \langle \alpha | \alpha \rangle \langle \alpha | \alpha \rangle - (ic|ci) \langle \alpha | \alpha \rangle \langle \alpha | \alpha \rangle \right] + \sum_{c}^{N/2} \left[(ic|ic) \langle \alpha | \alpha \rangle \langle \beta | \beta \rangle - (ic|ci) \langle \alpha | \beta \rangle \langle \beta | \alpha \rangle \right]$$

$$= (i|h|i) + \sum_{c}^{N/2} \left[2(ic|ic) - (ic|ci) \right]$$

$$= (i|h|i) + \sum_{c}^{N/2} (2J_{ib} - K_{ib})$$
(3.4.2)

3.4.2 Introduction of a Basis: The Roothaan Equations

Ex 3.10

$$(\mathbf{C}^{\dagger}\mathbf{S}\mathbf{C})_{\mu\nu} = \sum_{i} \sum_{j} C_{\mu i}^{\dagger} S_{ij} C_{j\nu}$$

$$= \sum_{i} \sum_{j} C_{i\mu}^{*} \langle \phi_{i} | \phi_{j} \rangle C_{j\nu}$$

$$= \langle \phi_{\mu} | \phi_{\nu} \rangle$$

$$= \delta_{\mu\nu}$$
(3.4.3)

thus

$$\mathbf{C}^{\dagger}\mathbf{S}\mathbf{C} = \mathbf{1} \tag{3.4.4}$$

3.4.3 The Charge Density

Ex 3.11

$$\rho(\mathbf{r}) = \langle \Psi_0 \mid \hat{\rho}(\mathbf{r}) \mid \Psi_0 \rangle
= \sum_{i}^{N} \frac{1}{N!} \sum_{I}^{N!} \sum_{J}^{N!} (-1)^{p_I} (-1)^{p_J} \int d\mathbf{x}_1 \cdots d\mathbf{x}_N \hat{\mathcal{P}}_I \{ \chi_1(1) \cdots \chi_N(N) \}^* \delta(\mathbf{r}_i - \mathbf{r}) \hat{\mathcal{P}}_J \{ \chi_1(1) \cdots \chi_N(N) \}
(3.4.5)$$

Since $\{\chi_m\}$ are orthogonal,

$$\rho(\mathbf{r}) = \sum_{i}^{N} \frac{1}{N!} \sum_{I}^{N!} \int d\mathbf{x}_{1} \cdots d\mathbf{x}_{N} \hat{\mathscr{P}}_{I} \{\chi_{1}(1) \cdots \chi_{N}(N)\}^{*} \delta(\mathbf{r}_{i} - \mathbf{r}) \hat{\mathscr{P}}_{I} \{\chi_{1}(1) \cdots \chi_{N}(N)\}
= \sum_{i}^{N} \frac{1}{N!} (N - 1)! \sum_{s}^{N} \int d\mathbf{x}_{i} \chi_{s}^{*}(\mathbf{x}_{i}) \delta(\mathbf{r}_{i} - \mathbf{r}) \chi_{s}(\mathbf{x}_{i})
= \sum_{i}^{N} \frac{1}{N} \cdot 2 \sum_{s}^{N/2} \int d\mathbf{r}_{i} \phi_{s}(\mathbf{r}_{i}) \delta(\mathbf{r}_{i} - \mathbf{r}) \phi_{s}(\mathbf{r}_{i})
= \sum_{i}^{N} \frac{2}{N} \sum_{s}^{N/2} \phi_{s}(\mathbf{r}) \phi_{s}(\mathbf{r})
= N \frac{2}{N} \sum_{s}^{N/2} \phi_{s}(\mathbf{r}) \phi_{s}(\mathbf{r})
= 2 \sum_{s}^{N/2} \phi_{s}(\mathbf{r}) \phi_{s}(\mathbf{r})$$
(3.4.6)

Ex 3.12 From Ex 3.10, we have

$$\mathbf{C}^{\dagger}\mathbf{S}\mathbf{C} = \mathbf{1} \tag{3.4.7}$$

i.e.

$$\sum_{i}^{K} \sum_{j}^{K} C_{i\mu}^{*} S_{ij} C_{j\nu} = \delta_{\mu\nu}$$
 (3.4.8)

thus

$$(\mathbf{PSP})_{\mu\sigma} = \sum_{\nu}^{K} \sum_{\lambda}^{K} P_{\mu\nu} S_{\nu\lambda} P_{\lambda\sigma}$$

$$= 4 \sum_{\nu}^{K} \sum_{\lambda}^{K} \sum_{a}^{N/2} C_{\mu a} C_{\nu a}^{*} S_{\nu\lambda} \sum_{b}^{N/2} C_{\lambda b} C_{\sigma b}^{*}$$

$$= 4 \sum_{a}^{N/2} \sum_{b}^{N/2} C_{\mu a} \left(\sum_{\nu}^{K} \sum_{\lambda}^{K} C_{\nu a}^{*} S_{\nu\lambda} C_{\lambda b} \right) C_{\sigma b}^{*}$$

$$= 4 \sum_{a}^{N/2} \sum_{b}^{N/2} C_{\mu a} \delta_{ab} C_{\sigma b}^{*}$$

$$= 4 \sum_{a}^{N/2} C_{\mu a} C_{\sigma a}^{*}$$

$$= 2 P_{\mu\sigma}$$
(3.4.9)

thus

$$\mathbf{PSP} = 2\mathbf{P} \tag{3.4.10}$$

Ex 3.13 Eq. 3.122 shows

$$f(\mathbf{r}_1) = h(\mathbf{r}_1) + \sum_{a}^{N/2} \int d\mathbf{r}_2 \psi_a^*(\mathbf{r}_2) (2 - \hat{\mathscr{P}}_{12}) r_{12}^{-1} \psi_a(\mathbf{r}_2)$$
(3.4.11)

thus

$$f(\mathbf{r}_{1}) = h(\mathbf{r}_{1}) + \sum_{a}^{N/2} \int d\mathbf{r}_{2} \sum_{\sigma} C_{\sigma a}^{*} \phi_{\sigma}^{*}(\mathbf{r}_{2}) (2 - \hat{\mathscr{P}}_{12}) r_{12}^{-1} \sum_{\lambda} C_{\lambda a} \phi_{\lambda}(\mathbf{r}_{2})$$

$$= h(\mathbf{r}_{1}) + \sum_{\sigma} \sum_{\lambda} \left(\sum_{a}^{N/2} C_{\sigma a}^{*} C_{\lambda a} \right) \int d\mathbf{r}_{2} \phi_{\sigma}^{*}(\mathbf{r}_{2}) (2 - \hat{\mathscr{P}}_{12}) r_{12}^{-1} \phi_{\lambda}(\mathbf{r}_{2})$$

$$= h(\mathbf{r}_{1}) + \frac{1}{2} \sum_{\sigma,\lambda} P_{\lambda \sigma} \int d\mathbf{r}_{2} \phi_{\sigma}^{*}(\mathbf{r}_{2}) (2 - \hat{\mathscr{P}}_{12}) r_{12}^{-1} \phi_{\lambda}(\mathbf{r}_{2})$$

$$(3.4.12)$$

3.4.4 Expression for the Fock Matrix

Ex 3.14 In expression $(\mu\nu|\lambda\sigma)$, there are three interchangeable pairs, i.e. $\mu \leftrightarrow \nu$, $\lambda \leftrightarrow \sigma$, and $\mu\nu \leftrightarrow \lambda\sigma$. Thus $(\mu\nu|\lambda\sigma)$ has an 8-fold symmetry. Similarly, $(\mu\mu|\lambda\sigma)$, $(\mu\nu|\mu\nu)$, $(\mu\mu|\sigma\sigma)$ has 2-fold symmetry, and $(\mu\mu|\mu\nu)$, $(\mu\mu|\mu\mu)$ has 1-fold symmetry.

Therefore, the number of unique 2e integrals is

expression	number	K = 100
${(\mu\nu \lambda\sigma)}$	K(K-1)(K-2)(K-3)/8	11763675
$(\mu\mu \lambda\sigma)$	K(K-1)(K-2)/2	485100
$(\mu\nu \mu\lambda)$	K(K-1)(K-2)/2	485100
$(\mu u \mu u)$	K(K-1)/2	4950
$(\mu\mu \sigma\sigma)$	K(K-1)/2	4950
$(\mu\mu \mu u)$	K(K-1)	9900
$\mu\mu \mu\mu$	K	100

thus the total number is 12753775.

3.4.5 Orthogonalization of the Basis

Ex 3.15 ∵

$$\mathbf{U}^{\dagger}\mathbf{S}\mathbf{U} = \mathbf{s} \tag{3.4.13}$$

: .

$$\mathbf{SU} = \mathbf{Us} \tag{3.4.14}$$

i.e.

$$\sum_{\nu} S_{\mu\nu} U_{\nu i} = U_{\mu i} s_i \tag{3.4.15}$$

thus

$$\sum_{\mu} U_{\mu i}^* \sum_{\nu} S_{\mu \nu} U_{\nu i} = \sum_{\mu} U_{\mu i}^* U_{\mu i} s_i \tag{3.4.16}$$

$$\sum_{\mu} \sum_{\nu} U_{\mu i}^* \langle \phi_{\mu} | \phi_{\nu} \rangle U_{\nu i} = s_i \sum_{\mu} |U_{\mu i}|^2$$
(3.4.17)

Suppose

$$\phi_i' = \sum_{\nu} U_{\nu i} \phi_{\nu} \tag{3.4.18}$$

thus

$$\langle \phi_i' | \phi_i' \rangle = s_i \sum_{\mu} |U_{\mu i}|^2 \tag{3.4.19}$$

. .

$$\langle \phi_i' | \phi_i' \rangle > 0 \qquad |U_{\mu i}|^2 > 0$$
 (3.4.20)

: .

$$s_i > 0 \tag{3.4.21}$$

Ex 3.16

• (3.174)

Since (ϕ, ϕ', ψ) are row vectors)

$$\psi = \phi \mathbf{C} \tag{3.4.22}$$

$$\psi = \phi' \mathbf{C}' = \phi \mathbf{X} \mathbf{C}' \tag{3.4.23}$$

we have

$$\mathbf{C} = \mathbf{XC'} \tag{3.4.24}$$

i.e.

$$\mathbf{C}' = \mathbf{X}^{-1}\mathbf{C} \tag{3.4.25}$$

• (3.177)

$$F'_{\mu\nu} = \langle \phi'_{\mu} \mid f \mid \phi'_{\nu} \rangle$$

$$= \left\langle \sum_{i} \phi_{i} X_{i\mu} \mid f \mid \sum_{j} \phi_{j} X_{j\nu} \right\rangle$$

$$= \sum_{i} \sum_{j} X_{i\mu}^{*} X_{j\nu} \langle \phi_{i} \mid f \mid \phi_{j} \rangle$$

$$= \sum_{i} \sum_{j} X_{i\mu}^{*} F_{ij} X_{j\nu}$$
(3.4.26)

i.e.

$$\mathbf{F}' = \mathbf{X}^{\dagger} \mathbf{F} \mathbf{X} \tag{3.4.27}$$

3.4.6 The SCF Procedure

3.4.7 Expectation Values and Population Analysis

Ex 3.17 From (3.148) in the textbook, we get

$$F_{\mu\nu} = H_{\mu\nu}^{\text{core}} + G_{\mu\nu} = H_{\mu\nu}^{\text{core}} + \sum_{a}^{N/2} [2(\mu\nu|aa) - (\mu a|a\nu)]$$
 (3.4.28)

thus

$$E_{0} = \sum_{a}^{N/2} [2h_{aa} + \sum_{b}^{N/2} (2J_{ab} - K_{ab})]$$

$$= 2\sum_{a}^{N/2} (a|h|a) + \sum_{a}^{N/2} \sum_{b}^{N/2} [2(aa|bb) - (ab|ba)]$$

$$= 2\sum_{a}^{N/2} \sum_{\mu} \sum_{\nu} C_{\mu a}^{*} C_{\nu a} (\mu|h|\nu) + \sum_{a}^{N/2} \sum_{b}^{N/2} \left[2\sum_{\mu} \sum_{\nu} C_{\mu a}^{*} C_{\nu a} (\mu\nu|bb) - \sum_{\mu} \sum_{\nu} C_{\mu a}^{*} C_{\nu a} (\mu b|b\nu) \right]$$

$$= \sum_{\mu} \sum_{\nu} P_{\nu \mu} H_{\mu \nu}^{\text{core}} + \frac{1}{2} \sum_{b}^{N/2} \sum_{\mu} \sum_{\nu} [2P_{\nu \mu} (\mu\nu|bb) - P_{\nu \mu} (\mu b|b\nu)]$$

$$= \sum_{\mu} \sum_{\nu} P_{\nu \mu} [H_{\mu \nu}^{\text{core}} + \frac{1}{2} G_{\mu \nu}]$$

$$= \frac{1}{2} \sum_{\mu} \sum_{\nu} P_{\nu \mu} [H_{\mu \nu}^{\text{core}} + F_{\mu \nu}]$$

$$(3.4.29)$$

Ex 3.18 For symmetrically orthogonalized basis,

$$\mathbf{C}' = \mathbf{S}^{1/2}\mathbf{C} \tag{3.4.30}$$

thus

$$P'_{\mu\nu} = 2\sum_{a}^{N/2} C'_{\mu a} C'^*_{\nu a}$$

$$= 2\sum_{a}^{N/2} \sum_{i} S^{1/2}_{\mu i} C_{ia} \sum_{j} S^{1/2*}_{\nu j} C^*_{ja}$$

$$= \sum_{i} \sum_{j} S^{1/2}_{\mu i} \left(2\sum_{a}^{N/2} C_{ia} C^*_{ja} \right) S^{1/2*}_{\nu j}$$

$$= \sum_{i} \sum_{j} S^{1/2}_{\mu i} P_{ij} S^{1/2*}_{\nu j}$$

$$= \sum_{i} \sum_{j} S^{1/2}_{\mu i} P_{ij} S^{1/2}_{j\nu}$$
(3.4.31)

i.e.

$$\mathbf{P}' = \mathbf{S}^{1/2} \mathbf{P} \mathbf{S}^{1/2} \tag{3.4.32}$$

thus

$$\sum_{\mu} (\mathbf{S}^{1/2} \mathbf{P} \mathbf{S}^{1/2})_{\mu\mu} = \sum_{\mu} \mathbf{P}'_{\mu\mu}$$
 (3.4.33)

3.5 Model Calculations on H₂ and HeH⁺

3.5.1 The 1s Minimal STO-3G Basis Set

Ex 3.19

$$\phi_{1s}^{GF}(\alpha, \mathbf{r} - \mathbf{R}_{A})\phi_{1s}^{GF}(\alpha, \mathbf{r} - \mathbf{R}_{B}) = \left(\frac{2\alpha}{\pi}\right)^{3/4} e^{-\alpha|\mathbf{r} - \mathbf{R}_{A}|^{2}} \left(\frac{2\beta}{\pi}\right)^{3/4} e^{-\beta|\mathbf{r} - \mathbf{R}_{B}|^{2}}$$

$$= \left(\frac{2\alpha}{\pi}\right)^{3/4} \left(\frac{2\beta}{\pi}\right)^{3/4} e^{-\alpha|\mathbf{r} - \mathbf{R}_{A}|^{2} - \beta|\mathbf{r} - \mathbf{R}_{B}|^{2}}$$

$$= \left(\frac{2\alpha}{\pi}\right)^{3/4} \left(\frac{2\beta}{\pi}\right)^{3/4} \exp\left(-\left[(\alpha + \beta)|\mathbf{r}|^{2} - 2\mathbf{r} \cdot (\alpha\mathbf{R}_{A} + \beta\mathbf{R}_{B}) + \alpha|\mathbf{R}_{A}|^{2} + \beta|\mathbf{R}_{B}|^{2}\right]\right)$$

$$(3.5.1)$$

Let

$$p = \alpha + \beta$$
 $\mathbf{R}_P = \frac{\alpha \mathbf{R}_A + \beta \mathbf{R}_B}{\alpha + \beta}$ (3.5.2)

we have

$$\phi_{1s}^{GF}(\alpha, \mathbf{r} - \mathbf{R}_A)\phi_{1s}^{GF}(\alpha, \mathbf{r} - \mathbf{R}_B) = \left(\frac{2\alpha}{\pi} \frac{\beta}{\pi}\right)^{3/4} \exp\left(-\left[p|\mathbf{r}|^2 - 2\mathbf{r} \cdot (p\mathbf{R}_P) + \alpha|\mathbf{R}_A|^2 + \beta|\mathbf{R}_B|^2\right]\right)$$

$$= \left(\frac{2\alpha}{\pi} \frac{2\beta}{\pi}\right)^{3/4} \exp\left(-\left[p|\mathbf{r} - \mathbf{R}_P|^2 - p|\mathbf{R}_P|^2 + \alpha|\mathbf{R}_A|^2 + \beta|\mathbf{R}_B|^2\right]\right)$$

$$= \left(\frac{2\alpha\beta/p}{\pi}\right)^{3/4} \left(\frac{2p}{\pi}\right)^{3/4} e^{-p|\mathbf{r} - \mathbf{R}_P|^2} \exp\left(p|\mathbf{R}_P|^2 - \alpha|\mathbf{R}_A|^2 - \beta|\mathbf{R}_B|^2\right)$$
(3.5.3)

Let

$$\phi_{1s}^{GF}(\alpha, \mathbf{r} - \mathbf{R}_A)\phi_{1s}^{GF}(\alpha, \mathbf{r} - \mathbf{R}_B) = K_{AB} \left(\frac{2p}{\pi}\right)^{3/4} e^{-p|\mathbf{r} - \mathbf{R}_P|^2}$$
(3.5.4)

thus

$$K_{AB} = \left(\frac{2\alpha\beta/p}{\pi}\right)^{3/4} \exp\left(p|\mathbf{R}_{P}|^{2} - \alpha|\mathbf{R}_{A}|^{2} - \beta|\mathbf{R}_{B}|^{2}\right)$$

$$= \left(\frac{2\alpha\beta/p}{\pi}\right)^{3/4} \exp\left(\frac{1}{p}(\alpha^{2}|\mathbf{R}_{A}|^{2} + \beta^{2}|\mathbf{R}_{B}|^{2} + 2\alpha\beta\mathbf{R}_{A} \cdot \mathbf{R}_{B}) - \alpha|\mathbf{R}_{A}|^{2} - \beta|\mathbf{R}_{B}|^{2}\right)$$

$$= \left(\frac{2\alpha\beta/p}{\pi}\right)^{3/4} \exp\left(\frac{1}{p}\left(\alpha^{2}|\mathbf{R}_{A}|^{2} + \beta^{2}|\mathbf{R}_{B}|^{2} + 2\alpha\beta\mathbf{R}_{A} \cdot \mathbf{R}_{B} - p\alpha|\mathbf{R}_{A}|^{2} - p\beta|\mathbf{R}_{B}|^{2}\right)\right)$$

$$= \left(\frac{2\alpha\beta/p}{\pi}\right)^{3/4} \exp\left(\frac{1}{p}\left(-\alpha\beta|\mathbf{R}_{A}|^{2} - \alpha\beta|\mathbf{R}_{B}|^{2} + 2\alpha\beta\mathbf{R}_{A} \cdot \mathbf{R}_{B}\right)\right)$$

$$= \left(\frac{2\alpha\beta}{p\pi}\right)^{3/4} \exp\left(-\frac{\alpha\beta}{p}|\mathbf{R}_{A} - \mathbf{R}_{B}|^{2}\right)$$
(3.5.5)

Ex 3.20 At r = 0,

$$\phi_{1s}^{\text{CGF}}(\zeta = 1.0, \text{STO-1G}) = 0.267656$$
 (3.5.6)

$$\phi_{1s}^{\text{CGF}}(\zeta = 1.0, \text{STO-2G}) = 0.389383$$
 (3.5.7)

$$\phi_{1s}^{\text{CGF}}(\zeta = 1.0, \text{STO-3G}) = 0.454\,986$$
 (3.5.8)

while

$$\phi_{1s}^{SF}(\zeta = 1.0) = \frac{1}{\sqrt{\pi}} = 0.56419 \tag{3.5.9}$$

3.5.2 STO-3G H_2

Ex 3.21

$$\phi_{1s}^{CGF}(\zeta = 1.0, STO-1G) = \phi_{1s}^{GF}(0.270950)$$
 (3.5.10)

Since $\alpha = \alpha_{(\zeta=1.0)} \times \zeta^2$,

$$\phi_{1s}^{\text{CGF}}(\zeta = 1.24, \text{STO-1G}) = \phi_{1s}^{\text{GF}}(0.416613)$$
 (3.5.11)

thus

$$S_{12} = K_{AB} \left(\frac{2 \cdot 2\alpha}{\pi}\right)^{3/4} \int d\mathbf{r} \, e^{-2\alpha |\mathbf{r} - \mathbf{R}_P|^2}$$

$$= \left(\frac{2\alpha}{2\pi}\right)^{3/4} e^{-\frac{\alpha}{2}R^2} \left(\frac{2 \cdot 2\alpha}{\pi}\right)^{3/4} \int d\mathbf{r} \, e^{-2\alpha |\mathbf{r} - \mathbf{R}_A|^2}$$

$$= \left(\frac{2\alpha}{\pi}\right)^{3/2} e^{-\frac{\alpha}{2}R^2} 4\pi \int dr r^2 e^{-2\alpha r^2}$$

$$= \left(\frac{2\alpha}{\pi}\right)^{3/2} e^{-\frac{\alpha}{2}R^2} 4\pi \frac{\sqrt{\pi}}{8\sqrt{2}\alpha^{3/2}}$$

$$= e^{-\frac{\alpha}{2}R^2}$$
(3.5.12)

At R = 1.4, $\alpha = 0.416613$,

$$S_{12} = 0.6648 \tag{3.5.13}$$

Ex 3.22 Let

$$\psi_1 = c_1(\phi_1 + \phi_2) \qquad \psi_2 = c_2(\phi_1 - \phi_2) \tag{3.5.14}$$

$$1 = \langle \phi_1 | \psi_1 \rangle = c_1^2 (S_{11} + S_{12} + S_{21} + S_{22})$$

= $c_1^2 (2 + 2S_{12})$ (3.5.15)

∴.

$$c_1 = [2(1+S_{12})]^{-1/2} (3.5.16)$$

$$1 = \langle \phi_2 | \psi_2 \rangle = c_2^2 (S_{11} - S_{12} - S_{21} + S_{22})$$

= $c_2^2 (2 - 2S_{12})$ (3.5.17)

: .

$$c_2 = [2(1 - S_{12})]^{-1/2} (3.5.18)$$

Ex 3.23 Suppose

$$\psi_1 = c_1(\phi_1 + \phi_2) \qquad \psi_2 = c_2(\phi_1 - \phi_2)$$
 (3.5.19)

thus

$$\mathbf{H}^{\text{core}}\mathbf{C} = \mathbf{SC}\boldsymbol{\varepsilon} \tag{3.5.20}$$

$$\begin{pmatrix} H_{11}^{\text{core}} & H_{12}^{\text{core}} \\ H_{21}^{\text{core}} & H_{22}^{\text{core}} \end{pmatrix} \begin{pmatrix} c_1 & c_2 \\ c_1 & -c_2 \end{pmatrix} = \begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix} \begin{pmatrix} c_1 & c_2 \\ c_1 & -c_2 \end{pmatrix} \begin{pmatrix} \varepsilon_1 & 0 \\ 0 & \varepsilon_2 \end{pmatrix}$$
 (3.5.21)

$$\begin{pmatrix} (H_{11}^{\text{core}} + H_{12}^{\text{core}})c_1 & (H_{11}^{\text{core}} - H_{12}^{\text{core}})c_2 \\ (H_{21}^{\text{core}} + H_{22}^{\text{core}})c_1 & (H_{21}^{\text{core}} - H_{22}^{\text{core}})c_2 \end{pmatrix} = \begin{pmatrix} (S_{11} + S_{12})c_1\varepsilon_1 & (S_{11} - S_{12})c_2\varepsilon_2 \\ (S_{21} + S_{22})c_1\varepsilon_1 & (S_{21} - S_{22})c_2\varepsilon_2 \end{pmatrix}$$
(3.5.22)

∴.

$$\begin{cases} \varepsilon_1 = (H_{11}^{\text{core}} + H_{12}^{\text{core}})/(1 + S_{12}) \\ \varepsilon_2 = (H_{11}^{\text{core}} - H_{12}^{\text{core}})/(1 - S_{12}) \end{cases}$$
(3.5.23)

$$\varepsilon_1 = (-1.1204 - 0.9584)/(1 + 0.6593) = -1.2528$$
 (3.5.24)

$$\varepsilon_2 = (-1.1204 + 0.9584)/(1 - 0.6593) = -0.4755$$
 (3.5.25)

Ex 3.26

Ex 3.27

3.5.3 An SCF Calculation on STO-3G HeH⁺

Ex 3.28

$$\mathbf{X}_{\text{Schmidt}}^{\dagger} \mathbf{S} \mathbf{X}_{\text{Schmidt}} = \begin{pmatrix} 1 & 0 \\ -S_{12}/\sqrt{1 - S_{12}^2} & 1/\sqrt{1 - S_{12}^2} \end{pmatrix} \begin{pmatrix} 1 & S_{12} \\ S_{12} & 1 \end{pmatrix} \begin{pmatrix} 1 & -S_{12}/\sqrt{1 - S_{12}^2} \\ 0 & 1/\sqrt{1 - S_{12}^2} \end{pmatrix}$$

$$= \begin{pmatrix} 1 & S_{12} \\ 0 & \sqrt{1 - S_{12}^2} \end{pmatrix} \begin{pmatrix} 1 & -S_{12}/\sqrt{1 - S_{12}^2} \\ 0 & 1/\sqrt{1 - S_{12}^2} \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
(3.5.26)

thus the Schmidt transformation produces orthonormal basis.

Ex 3.29

$$E_0(R \to \infty) = \frac{1}{2} \sum_{\mu} \sum_{\nu} P_{\nu\mu}(R \to \infty) [2H_{\mu\nu}^{\text{core}} + G_{\mu\nu}]$$
 (3.5.27)

where

$$P_{\nu\mu}(R \to \infty) = \begin{pmatrix} 2 & 0\\ 0 & 0 \end{pmatrix} \tag{3.5.28}$$

$$G_{\mu\nu} = \sum_{\lambda} \sum_{\sigma} P_{\lambda\sigma}(R \to \infty) \left[(\mu\nu|\sigma\lambda) - \frac{1}{2} (\mu\lambda|\sigma\nu) \right]$$
$$= 2 \left[(\mu\nu|\phi_1\phi_1) - \frac{1}{2} (\mu\phi_1|\phi_1\nu) \right]$$
(3.5.29)

thus

$$E_{0}(R \to \infty) = \frac{1}{2} \sum_{\mu} \sum_{\nu} P_{\nu\mu}(R \to \infty) [2H_{\mu\nu}^{\text{core}} + G_{\mu\nu}]$$

$$= \frac{1}{2} \times 2[2H_{11}^{\text{core}} + G_{11}]$$

$$= 2(T_{11} + V_{11}^{1}) + 2 \left[(\phi_{1}\phi_{1}|\phi_{1}\phi_{1}) - \frac{1}{2}(\phi_{1}\phi_{1}|\phi_{1}\phi_{1}) \right]$$

$$= 2T_{11} + 2V_{11}^{1} + (\phi_{1}\phi_{1}|\phi_{1}\phi_{1})$$
(3.5.30)

3.6 Polyatomic Basis Sets

3.6.1 Contracted Gaussian Functions

3.6.2 Minimal Basis Sets: STO-3G

3.6.3 Double Zeta Basis Sets: 4-31G

Ex 3.30 The outer basis function

$$\phi_{1s}^{"}(\mathbf{r}) = g_{1s}(0.298073, \mathbf{r}) \tag{3.6.1}$$

The inner basis function

$$\phi_{1s}'(\mathbf{r}) = N[0.46954g_{1s}(1.242567, \mathbf{r}) + 0.15457g_{1s}(5.782948, \mathbf{r}) + 0.02373g_{1s}(38.47497, \mathbf{r})]$$
(3.6.2)

Renormalize it, we get

$$N = 1.689 \tag{3.6.3}$$

thus

$$\phi_{1s}'(\mathbf{r}) = 0.79330g_{1s}(1.242567, \mathbf{r}) + 0.26115g_{1s}(5.782948, \mathbf{r}) + 0.04009g_{1s}(38.47497, \mathbf{r})$$
(3.6.4)

3.6.4 Polarized Basis Sets: 6-31G* and 6-31G**

Ex 3.31

	С	Н	total
STO-3G	5	1	36
4-31G	9	2	66
6-31G* (Cartesian)	15	2	102
6-31G** (Cartesian)	15	5	120

3.7 Some Illustrative Closed-shell Calculations

3.7.1 Total Energies

Ex 3.32 Reaction I

basis	$\Delta E/$ a.u.	$\Delta E/(\mathrm{kcal/mol})$	
STO-3G	-0.061	-38.28	
4-31G	-0.069	-43.30	
6-31G*	-0.045	-28.24	exoergic
6-31G**	-0.055	-34.51	
HF-limit	-0.051	-32.00	

Reaction II

-	basis	$\Delta E/$ a.u.	$\Delta E/(\text{kcal/mol})$	
	STO-3G	0.186	116.72	endoergic
	4-31G	-0.114	-71.54	
	6-31G*	-0.088	-55.22	
	6-31G**	-0.095	-59.61	exoergic
	HF-limit	-0.097	-60.87	

The contribution of zero-point vibrations to the energy change of reaction I would be $-0.37 \,\text{kcal/mol}$, to the energy change of reaction II would be $17.78 \,\text{kcal/mol}$. Thus the effect of zero-point vibrations should not be ignored.

3.7.2 Ionization Potentials

3.7.3 Equilibrium Geometries

3.7.4 Population Analysis and Dipole Moments

3.8 Unrestricted Open-shell HF: The Pople-Nesbet Equations

3.8.1 Open-shell HF: Unrestricted Spin Orbitals

$$f^{\alpha}(1) = \int d\omega_{1}\alpha^{*}(\omega_{1}) \left[h(1) + \sum_{a} \int d\mathbf{x}_{2}\chi_{a}^{*}(2)r_{12}^{-1}(1 - \hat{\mathscr{P}}_{12})\chi_{a}(2) \right] \alpha(\omega_{1})$$

$$= h(1) + \sum_{a}^{N_{\alpha}} \left[\int d\omega_{1}\alpha^{*}(\omega_{1}) \int d\mathbf{x}_{2}\chi_{a}^{*}(2)r_{12}^{-1}\chi_{a}(2)\alpha(\omega_{1}) - \int d\omega_{1}\alpha^{*}(\omega_{1}) \int d\mathbf{x}_{2}\chi_{a}^{*}(2)r_{12}^{-1}\chi_{a}(1)\alpha(\omega_{2}) \right]$$

$$+ \sum_{a}^{N_{\beta}} \left[\int d\omega_{1}\alpha^{*}(\omega_{1}) \int d\mathbf{x}_{2}\chi_{a}^{*}(2)r_{12}^{-1}\chi_{a}(2)\alpha(\omega_{1}) - \int d\omega_{1}\alpha^{*}(\omega_{1}) \int d\mathbf{x}_{2}\chi_{a}^{*}(2)r_{12}^{-1}\chi_{a}(1)\alpha(\omega_{2}) \right]$$

$$= h(1) + \sum_{a}^{N_{\alpha}} \left[\int d\mathbf{r}_{2}\psi_{a}^{\alpha*}(\mathbf{r}_{2})r_{12}^{-1}\psi_{a}^{\alpha}(\mathbf{r}_{2}) - \int d\mathbf{r}_{2} \int d\omega_{2} \int d\omega_{1}\alpha^{*}(\omega_{1})\alpha^{*}(\omega_{2})\psi_{a}^{\alpha*}(\mathbf{r}_{2})r_{12}^{-1}\psi_{a}^{\alpha}(\mathbf{r}_{1})\alpha(\omega_{2}) \right]$$

$$+\sum_{a}^{N_{\beta}} \left[\int d\mathbf{r}_{2} \psi_{a}^{\beta*}(\mathbf{r}_{2}) r_{12}^{-1} \psi_{a}^{\beta}(\mathbf{r}_{2}) - \int d\mathbf{r}_{2} \int d\omega_{2} \int d\omega_{1} \alpha^{*}(\omega_{1}) \beta^{*}(\omega_{2}) \psi_{a}^{\beta*}(\mathbf{r}_{2}) r_{12}^{-1} \psi_{a}^{\beta}(\mathbf{r}_{1}) \beta(\omega_{1}) \alpha(\omega_{2}) \right]$$

$$= h(1) + \sum_{a}^{N_{\alpha}} \left[\int d\mathbf{r}_{2} \psi_{a}^{\alpha*}(\mathbf{r}_{2}) r_{12}^{-1} \psi_{a}^{\alpha}(\mathbf{r}_{2}) - \int d\mathbf{r}_{2} \psi_{a}^{\alpha*}(\mathbf{r}_{2}) r_{12}^{-1} \psi_{a}^{\alpha}(\mathbf{r}_{1}) \right] + \sum_{a}^{N_{\beta}} \left[\int d\mathbf{r}_{2} \psi_{a}^{\beta*}(\mathbf{r}_{2}) r_{12}^{-1} \psi_{a}^{\beta}(\mathbf{r}_{2}) - 0 \right]$$

$$= h(1) + \sum_{a}^{N_{\alpha}} \left[J_{a}^{\alpha}(1) - K_{a}^{\alpha}(1) \right] + \sum_{a}^{N_{\beta}} J_{a}^{\beta}(1)$$

$$(3.8.1)$$

$$E_{0} = \sum_{a} h_{aa} + \frac{1}{2} \sum_{a}^{N_{\alpha}} \sum_{b}^{N_{\alpha}} (J_{ab}^{\alpha\alpha} - K_{ab}^{\alpha\alpha}) + \sum_{a}^{N_{\alpha}} \sum_{b}^{N_{\beta}} J_{ab}^{\alpha\beta}$$

$$= h_{11}^{\alpha} + h_{22}^{\alpha} + h_{11}^{\alpha} + J_{12}^{\alpha\alpha} - K_{12}^{\alpha\alpha} + J_{11}^{\alpha\beta} + J_{21}^{\alpha\beta}$$
(3.8.2)

Ex 3.35

$$\varepsilon_{i}^{\alpha} = (\psi_{i}^{\alpha}(1)|h(1) + \sum_{a}^{N_{\alpha}} [J_{a}^{\alpha}(1) - K_{a}^{\alpha}(1)] + \sum_{a}^{N_{\beta}} J_{a}^{\beta}(1)|\psi_{i}^{\alpha}(1))$$

$$= h_{ii}^{\alpha} + \sum_{a}^{N_{\alpha}} [J_{ia}^{\alpha\alpha} - K_{ia}^{\alpha\alpha}] + \sum_{a}^{N_{\beta}} J_{ia}^{\alpha\beta} \tag{3.8.3}$$

$$\varepsilon_{i}^{\beta} = (\psi_{i}^{\beta}(1)|h(1) + \sum_{a}^{N_{\alpha}} \left[J_{a}^{\beta}(1) - K_{a}^{\beta}(1) \right] + \sum_{a}^{N_{\beta}} J_{a}^{\alpha}(1)|\psi_{i}^{\beta}(1))$$

$$= h_{ii}^{\beta} + \sum_{a}^{N_{\alpha}} \left[J_{ia}^{\beta\beta} - K_{ia}^{\beta\beta} \right] + \sum_{a}^{N_{\beta}} J_{ia}^{\beta\alpha}$$
(3.8.4)

Since

$$E_{0} = \sum_{\alpha} h_{aa} + \frac{1}{2} \sum_{\alpha}^{N_{\alpha}} \sum_{b}^{N_{\alpha}} (J_{ab}^{\alpha\alpha} - K_{ab}^{\alpha\alpha}) + \frac{1}{2} \sum_{\alpha}^{N_{\beta}} \sum_{b}^{N_{\beta}} (J_{ab}^{\beta\beta} - K_{ab}^{\beta\beta}) + \sum_{\alpha}^{N_{\alpha}} \sum_{b}^{N_{\beta}} J_{ab}^{\alpha\beta}$$
(3.8.5)

we have

$$E_0 = \sum_{i}^{N_{\alpha}} \varepsilon_i^{\alpha} + \sum_{i}^{N_{\beta}} \varepsilon_i^{\beta} - \frac{1}{2} \sum_{i}^{N_{\alpha}} \sum_{a}^{N_{\alpha}} (J_{ia}^{\alpha\alpha} - K_{ia}^{\alpha\alpha}) - \frac{1}{2} \sum_{i}^{N_{\beta}} \sum_{a}^{N_{\beta}} (J_{ia}^{\beta\beta} - K_{ia}^{\beta\beta}) - \sum_{i}^{N_{\beta}} \sum_{a}^{N_{\alpha}} J_{ia}^{\beta\alpha}$$
(3.8.6)

3.8.2 Introduction of a Basis: The Pople-Nesbet Equations

3.8.3 Unrestricted Density Matrices

Ex 3.36

$$\int d\mathbf{r} \rho^{S}(\mathbf{r}) = \int d\mathbf{r} \left[\rho^{\alpha}(\mathbf{r}) - \rho^{\beta}(\mathbf{r}) \right]$$
(3.8.7)

$$=N_{\alpha}-N_{\beta} \tag{3.8.8}$$

Since

$$\left\langle \hat{\mathscr{S}}_z \right\rangle = \frac{1}{2} (N_\alpha - N_\beta) \tag{3.8.9}$$

we get

$$\int d\mathbf{r} \rho^S(\mathbf{r}) = 2 \left\langle \hat{\mathscr{S}}_z \right\rangle \tag{3.8.10}$$

$$\rho^{\alpha}(\mathbf{r}) = \sum_{a}^{N_{\alpha}} \psi_{a}^{\alpha*}(\mathbf{r}) \psi_{a}^{\alpha}(\mathbf{r})$$

$$= \sum_{a}^{N_{\alpha}} \sum_{\nu} C_{\nu a}^{\alpha*} \phi_{\nu}^{*}(\mathbf{r}) \sum_{\mu} C_{\mu a}^{\alpha} \phi_{\mu}(\mathbf{r})$$

$$= \sum_{\nu} \sum_{\mu} \left[\sum_{a}^{N_{\alpha}} C_{\nu a}^{\alpha*} C_{\mu a}^{\alpha} \right] \phi_{\nu}^{*}(\mathbf{r}) \phi_{\mu}(\mathbf{r})$$
(3.8.11)

Let

$$P^{\alpha}_{\mu\nu} = \sum_{a}^{N_{\alpha}} C^{\alpha*}_{\nu a} C^{\alpha}_{\mu a} \tag{3.8.12}$$

thus

$$\rho^{\alpha}(\mathbf{r}) = \sum_{\nu} \sum_{\mu} P^{\alpha}_{\mu\nu} \phi_{\mu}(\mathbf{r}) \phi^{*}_{\nu}(\mathbf{r})$$
(3.8.13)

The formulation for β spin is similar.

Ex 3.38

$$\langle \mathcal{O}_{1} \rangle = \sum_{i}^{N} \langle \chi_{i} | h | \chi_{i} \rangle$$

$$= \sum_{i}^{N_{\alpha}} (\psi_{i}^{\alpha} | h | \psi_{i}^{\alpha}) + \sum_{i}^{N_{\beta}} (\psi_{i}^{\beta} | h | \psi_{i}^{\beta})$$

$$= \sum_{i}^{N_{\alpha}} \sum_{\nu} \sum_{\mu} C_{\nu a}^{\alpha*} (\phi_{\nu} | h | \phi_{\mu}) C_{\mu a}^{\alpha} + \sum_{i}^{N_{\beta}} \sum_{\nu} \sum_{\mu} C_{\nu a}^{\beta*} (\phi_{\nu} | h | \phi_{\mu}) C_{\mu a}^{\beta}$$

$$= \sum_{\nu} \sum_{\mu} P_{\mu \nu}^{\alpha} (\phi_{\nu} | h | \phi_{\mu}) + \sum_{\nu} \sum_{\mu} P_{\mu \nu}^{\beta} (\phi_{\nu} | h | \phi_{\mu})$$

$$= \sum_{\nu} \sum_{\mu} P_{\mu \nu}^{T} (\phi_{\nu} | h | \phi_{\mu})$$

$$(3.8.14)$$

$$\langle \hat{\rho}^{S} \rangle = \langle \Psi_{0} | \hat{\rho}^{S} | \Psi_{0} \rangle$$

$$= \frac{1}{N!} \sum_{ij}^{N!} (-1)^{p_{i}} (-1)^{p_{j}} \int dx_{1} \cdots dx_{N} \hat{\mathscr{P}}_{i} \{ \chi_{i}(1) \cdots \chi_{k}(N) \} \sum_{m}^{N} 2\delta(\mathbf{r}_{m}) s_{z}(m) \hat{\mathscr{P}}_{j} \{ \chi_{i}(1) \cdots \chi_{k}(N) \}$$

$$= (3.8.15)$$