

Use Case Id	(Unique Identifier Number)
Use Case Name	(Clear and Concise Use Case Name)

Document Version Control

Version	Date Created	Orginator	Changes Made
1.0	13-Jan-2026	Business Analyst	Initial Use Case Creation

Use Case Definition

Description	This use case describes the end-to-end process of receiving, validating, verifying, and processing UCC-1 filings submitted by clients through email using the UCC-1 Filing Automation System.																							
Primary Role	Client																							
Pre-Condition	1. Client has submitted the UCC-1 filing via email. 2. UCC-1 Automation System is available and operational. 3. Loan agreement data exists in the Loan Origination System.																							
Trigger	Receipt of UCC-1 filing email from the client.																							
Basic Flow	<table border="1"> <thead> <tr> <th>Steps</th><th>Interaction</th></tr> </thead> <tbody> <tr> <td>1.</td><td>Client submits UCC-1 filing and supporting documents via email</td></tr> <tr> <td>2.</td><td>System scans the inbox and identifies the UCC-1 filing email.</td></tr> <tr> <td>3.</td><td>System extracts attachments from the email.</td></tr> <tr> <td>4.</td><td>System extracts required UCC-1 data fields from documents.</td></tr> <tr> <td>5.</td><td>System validates the extracted data for completeness and format.</td></tr> <tr> <td>6.</td><td>System retrieves loan agreement data from the Loan Origination System</td></tr> <tr> <td>7.</td><td>System cross-verifies UCC-1 filing data with loan agreement data.</td></tr> <tr> <td>8.</td><td>System categorizes the UCC-1 filing based on business rules.</td></tr> <tr> <td>9.</td><td>System updates the application status as Approved.</td></tr> <tr> <td>10.</td><td>System sends approval notification to the client.</td></tr> </tbody> </table>	Steps	Interaction	1.	Client submits UCC-1 filing and supporting documents via email	2.	System scans the inbox and identifies the UCC-1 filing email.	3.	System extracts attachments from the email.	4.	System extracts required UCC-1 data fields from documents.	5.	System validates the extracted data for completeness and format.	6.	System retrieves loan agreement data from the Loan Origination System	7.	System cross-verifies UCC-1 filing data with loan agreement data.	8.	System categorizes the UCC-1 filing based on business rules.	9.	System updates the application status as Approved.	10.	System sends approval notification to the client.	
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