

Operation Department

Overview

This section contains documentation related to operational activities for the UCC-1 Filing Automation System.

Refer to attached BRD, FRD, Use Case, and RACI documents for detailed process understanding.

Responsibilities

- Monitor UCC-1 filing status
- Review incomplete filing applications
- Coordinate with compliance team for exceptions
- Track application processing progress

Process Activities

- Review filing status dashboard
- Verify application completeness
- Escalate exception cases to compliance team

Supporting Documentation

Refer to the following documents for detailed compliance workflow and requirement specifications:

- Business Requirement [Documentation](#) (BRD)
- Functional Requirement [Documentation](#) (FRD)
- Use Case [Documentation](#)
- RACI Matrix [Documentation](#)