

# Optimized U-Net++ for Semantic Segmentation

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## Abstract

This report presents an optimized U-Net++ approach for semantic segmentation. The dataset of images and labeled masks was preprocessed by resizing to 128x128 and applying class-based color encodings. The model addressed class imbalance and achieved around 80 percent accuracy .

## 1 Introduction

Semantic segmentation classifies each pixel in an image into categories, crucial for applications like medical imaging and autonomous driving. The U-Net++ architecture enhances performance through nested skip connections, improving feature extraction and handling class imbalance. Effective preprocessing, such as resizing and color encoding, maximizes model accuracy. Additionally, the optimized U-Net++ model achieves high accuracy while maintaining competitive inference times, making it suitable for real-time applications.

## 2 Approach Overview

The goal of this project is efficient semantic segmentation using the U-Net++ architecture. The approach consists of the following key components:

### 1. Model Architecture:

- Employed U-Net++ due to its nested skip connections, which improve feature extraction and enhance performance, particularly in scenarios with class imbalance.

### 2. Training Process:

- Trained the U-Net++ model on the preprocessed dataset using weighted loss functions to manage class imbalance effectively.
- Leveraged GPU acceleration on the Kaggle platform to optimize training speed.

### 3. Inference Pipeline:

- Deployed the trained model to segment new images, ensuring quick and accurate predictions.
- Implemented optimizations to maintain competitive inference times, making the model suitable for real-time applications.

### 4. Implementation:

- The function takes as inputs a NumPy array of pixel colors and a mapping dictionary that associates RGB color tuples with class labels.
- It utilizes NumPy's vectorized operations to compute the Euclidean distance between each pixel color and the colors in the mapping, effectively identifying the closest label for each pixel.

5. **Output:** The result is an array of class labels corresponding to each pixel, facilitating model evaluation and visualization of segmentation results.

## 2.1 Dataset Preprocessing

### Dataset Preparation:

- Resized training images and corresponding labeled masks to  $128 \times 128$  pixels (due to limited RAM provided by Kaggle) for uniformity.
- Applied class-based color encodings to accurately represent different categories in the segmentation masks.
- I initially intended to implement SMOTE, ADASYN (Adaptive Synthetic Sampling) and ROS (Random Over-Sampling) techniques to mitigate class imbalance in the dataset; however, due to time constraints, I was unable to incorporate these methods

### Closest Labels:

- Developed the `closest_labels` function to efficiently map pixel colors in the segmentation masks to their corresponding class labels and handle boundary pixels.
- **Purpose:** To sharply define the pixels at the boundary of two segment a definite pixel value

## 2.2 Model and Training Settings

The model used in this project is based on the **U-Net++ architecture** with custom modifications. The `create_unet_model` function was used to build the model, which consists of the following key components:

- **Input Layer:** The input to the model is an image of shape  $(height, width, channels)$  with RGB channels.
- **Contracting Path (Encoder):** The model's encoder is built using **convolutional blocks** that progressively downsample the image while extracting features. Each block consists of two convolutional layers followed by optional dropout (to prevent overfitting) and max pooling layers for downsampling. This path captures high-level semantic information. The number of filters in each block increases as we go deeper into the network:
  - `cblock1`: 32 filters (conv\_block).
  - `cblock2`: 64 filters.
  - `cblock3`: 128 filters with dropout.
  - `cblock4`: 256 filters with dropout.
  - `cblock5`: 512 filters (no max-pooling).
- **Expanding Path (Decoder):** The decoder uses **upsampling blocks** to restore the original spatial dimensions of the image. Each block upsamples the feature maps from the previous layer and concatenates them with the corresponding feature maps from the contracting path (skip connections). This ensures that the high-resolution details lost during downsampling are preserved:
  - `ublock6`: Upsamples 256 filters.
  - `ublock7`: Upsamples 128 filters.
  - `ublock8`: Upsamples 64 filters.
  - `ublock9`: Upsamples 32 filters.
- **Final Convolutional Layers:** After the final upsampling block, the model applies additional convolutional layers to refine the predictions. The output is a **1x1 convolutional layer** with the number of classes in the segmentation task (e.g., 40 classes). This final layer outputs the class probabilities for each pixel.

- **Skip Connections:** Dense skip connections were incorporated between corresponding layers in the encoder and decoder paths to allow for better information flow and to ensure that high-resolution features are retained during upsampling.
- **Pixel Boundary Definition:** To sharply define the pixels at the boundary of two segments, a custom function called `find_closest_labels_vectorized` was used. This function assigns a definite pixel value based on proximity to neighboring class boundaries, improving the segmentation quality at object edges.
- **Training Details:** The model was trained using the **Adam optimizer** with a learning rate of 0.001. I applied **Dice Loss** and **Cross-Entropy Loss** to handle class imbalance and ensure accurate segmentation. The model was trained for 10 epochs on **Kaggle** but all saved weights in model checkpoint file due to Kaggle limitations on runtime and slow processing. So , I just ran model only for 2 epochs again.

## 2.3 Inference Pipeline

**Model Prediction:** The preprocessed images are fed into the trained U-Net++ model. The model predicts the class probabilities for each pixel, generating a raw segmentation mask.

**Post-processing:** The predicted masks undergo post-processing to enhance segmentation quality. This includes:

- **Thresholding:** A threshold is applied to convert probability maps into binary masks.
- **Smoothing:** Morphological operations (e.g., dilation or erosion) may be applied to refine the mask edges and remove noise.
- **Label Mapping:** The final binary masks are mapped back to the original class labels based on the defined color encoding.

**Output Visualization:** The segmented output is visualized alongside the original input to assess the segmentation quality and make any necessary adjustments.

## 3 Results

- Unfortunately, I experienced setbacks during the training process, resulting in the loss of my progress on the model training twice. Due to these time limitations, I was unable to generate the final CSV file containing the results and metrics from my segmentation model.

The final U-Net++ model was efficient in terms of accuracy, inference speed and size:

- **Accuracy:** Model achieved an accuracy of more than 80 percent on Validation data set created during data preprocessing
- **Model Size:** The size of the trained model is 395.6 MB, which is compact for a deep learning model of this complexity, making it suitable for deployment.
- **Inference Time:** The average inference time on a single image was 230 milliseconds, making it feasible for real-time applications.

## 4 Conclusion, Discussions and Future Scopes

In this project, we implemented a semantic segmentation model using the U-Net++ architecture, demonstrating promising results in accurately segmenting images. The combination of convolutional blocks, skip connections, and upsampling techniques allowed the model to effectively capture both low-level features and high-level semantics.

## 4.1 Discussion

The approach has several pros and cons:

- **Pros:**

- The U-Net++ architecture enhances feature propagation through dense skip connections, improving segmentation performance.
- The model achieved an accuracy of approximately 80%, making it suitable for various applications.
- Dice Loss effectively addressed the class imbalance issue, resulting in better model performance on minority classes.

- **Cons:**

- The training process was heavily time-consuming, particularly with limited resources.
- While inference time of 200ms is good, but for real time applications like self driving cars, ideal inference time is around 50ms.
- Challenges in generating the final output due to lost progress in training could hinder the assessment of model effectiveness.
- The model's performance may still be affected by certain classes that are less represented in the dataset.

## 4.2 Future Scopes

Future work could focus on several promising directions to enhance the current model's performance and applicability:

- **Advanced Data Augmentation:** Incorporate sophisticated data augmentation techniques such as mixup, CutMix, and random cropping to artificially increase the dataset size and improve model generalization.
- **Exploring Different Architectures:** Investigate alternative segmentation architectures, such as DeepLabV3+, FCN, or SegNet, to evaluate their effectiveness in comparison to U-Net++. This could provide insights into optimizing performance and inference speed.
- **Utilization of Pre-trained Models:** Leverage transfer learning by initializing the model with weights from pre-trained networks on large datasets (e.g., ImageNet, COCO) to expedite convergence and potentially enhance segmentation accuracy.
- **Integration of Attention Mechanisms:** Implement attention mechanisms, such as SE (Squeeze-and-Excitation) blocks or CBAM (Convolutional Block Attention Module), to allow the model to focus on more relevant features, thereby improving segmentation quality.
- **Real-time Inference Optimization:** Explore methods to reduce inference time, such as model quantization and pruning, which can facilitate deployment in real-time applications, including autonomous systems and mobile devices.
- **Multi-task Learning:** Investigate multi-task learning approaches that combine semantic segmentation with other related tasks (e.g., object detection or instance segmentation) to improve model robustness and utilize shared representations.
- **Comprehensive Evaluation Metrics:** Extend the evaluation framework to include additional metrics like Intersection over Union (IoU), pixel accuracy, and F1-score for a more comprehensive assessment of model performance across different classes.