

- Announcements:
- Lecture recordings are hopefully going to be recovered.
 - Emails
 - No assessed mid-sem exam. Grading tool now deleted.

B2: Sequences

Recap: - $\mathbb{Q} = \{ \text{sets in a partition of } \mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$
 $(m,n) R (p,q) \Leftrightarrow mq = pn$

- i.e. $\frac{m}{n} = \frac{p}{q}$
- Half precision floating point
 - RSA

Text Reference (Epp)

3ed: Sections 4.1-4, 8.1-3 (Sequences and induction),
9.3,5 (Sorting)

4ed: Sections 5.1-4,6-8, (Sequences and induction),
11.3,5 (Sorting)

5ed: Sections 5.1-4,6-7, (Sequences and induction),
11.3,5 (Sorting)

Sequences

Let S be a set and $I \subseteq \mathbb{Z}$. A function $a : I \rightarrow S$ is called a **sequence in S** . Special **sequence notation** is often used:

Function notation	Sequence notation
$a : I \rightarrow S$ $\underline{n} \mapsto a(n).$	$(\underline{a_n})_{n \in I} \subseteq S$

The notation $(a_n)_{n \in I}$ indicates that the function can be represented as an *ordered -tuple* or, more simply, as a *list*.

(Unlike a *set*, a list has an order, and can have repeated entries.)

Examples

- $I = \{1, 2, 3\} : (a_n)_{n \in I} = (a_1, a_2, a_3)$. $\in S \times S \times S$
- $I = \mathbb{N} : (a_n)_{n \in I} = (a_1, a_2, a_3, \dots)$.
- $I = \mathbb{Z}_{\geq 0} : (a_n)_{n \in I} = (a_0, a_1, a_2, \dots)$.

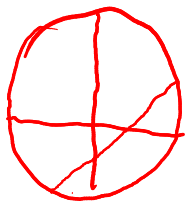
In practice we usually leave out the parentheses and speak of “the sequence a_1, a_2, a_3 ” or “the sequence a_0, a_1, a_2, \dots ”.

An agreed upon abuse of notation

The “ $\subseteq S$ ” part of the sequence notation $(a_n)_{n \in I} \subseteq S$ indicates that the sequence members belong to S ; i.e. that the range of the sequence function $a : I \rightarrow S$ is a subset of its codomain S .

The sequence *itself* is **not** a subset of S , since it is not a set.

$a_n =$ take a circle, cut it into pieces using straight lines, maximising the number of pieces.



$$\begin{aligned} a_1 &= 2 \\ a_2 &= 4 \\ a_3 &= 7 \end{aligned}$$

Video by 3b1b including explicit formula for a_n .

Examples

1. Suppose n represents time (in months since January 1, 2000) and a_n is the standard savings account interest rate offered by bank X at time n . Then $(a_n)_{n \in \mathbb{N}} \subseteq \mathbb{Q}$ ^{\mathbb{R}} is a sequence of interests rates since 2000 and into the future!

For example, a_{17} is the standard savings account interest rate offered by bank X on 1 June, 2001.

Examples

2. Suppose n represents time (in months since January 1, 2000) and a_n, f_n, z_n represent the populations of amphibians, fish and zooplankton in a particular lake ecosystem at time n . Let $p_n = (a_n, f_n, z_n)$. Then $(p_n)_{n \in \mathbb{N}} \subseteq \mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0}$ is a sequence of states of the ecosystem since 2000 and into the future!

Examples

3. For each $n \in \mathbb{N}$, let a_n denote the amplitude of the harmonic of frequency $n \times f$ (where f is the fundamental frequency). Then $(a_n)_{n \in \mathbb{N}} \subseteq \mathbb{Z}_{\geq 0}$ is a sequence of amplitudes. (Fourier series)

4. Let U be a set of users, then $(u_n)_{n \in \{1,2,3,4,5\}} \subseteq U$ is a list of 5 users.

In examples 1, 2, 3 the indexing variable n had some intuitive meaning; in example 4 the indexing variable did not necessary have an intuitive meaning other than we have ordered the 5 interesting users into the first, second, third, fourth and fifth user.

Describing sequences: explicit definitions

An **explicit definition** of a sequence is a formula for a_n .

Examples:

1. For all $n \in \mathbb{N}$, let $a_n = 2^n$. Then

$$(a_n)_{n \in \mathbb{N}} = 2, 4, 8, 16, \dots$$

2. Let $a_1 = \text{Pierre}$, $a_2 = \text{Julie}$, $a_3 = \text{Paul}$. Then
 $(a_n)_{n \in \{1,2,3\}} = \text{Pierre, Julie, Paul}.$

Explicit definition: You know a function $f: I \rightarrow S$
such that $\forall n \ f(n) = a_n$

Describing sequences: implicit definitions

An **implicit definition** of a sequence comprises starting value(s) and a relationship between the a_n 's.

Examples: Let $(a_n)_{n \in \mathbb{N}}$ be the sequence such that:

$$\begin{cases} \underline{a_1 = 2}, \text{ and} \\ \forall n \in \mathbb{N} \quad \underline{a_{n+1}} = \underline{2a_n}. \end{cases}$$

There is some function
which takes in
 $a_1, \dots, a_n, 1, \dots, n$
and produces
 a_{n+1}

This defines the sequence

$$(a_n)_{n \in \mathbb{N}} = 2, 4, 8, \underline{16}, \dots,$$

Another example

Let $(a_n)_{n \in \mathbb{N}}$ be the sequence such that:

$$\begin{cases} a_1 = 0, \\ a_2 = 1, \text{ and} \\ \forall n \in \{2, 3, 4, \dots\} \underline{a_{n+1}} = -\underline{a_n} + \underline{a_{n-1}}. \end{cases}$$

Defines the sequence

$$\begin{array}{rcl} a_1 & = & 0 \\ a_2 & = & 1 \\ a_3 & = & -1 + 0 = -1 \\ a_4 & = & -(-1) + 1 = 2 \\ a_5 & = & -2 + (-1) = -3 \\ \vdots & & \vdots \end{array}$$

Proofs about sequences

Mathematical induction

Let $P(n)$ be a predicate with variable $n \in \mathbb{N}$.
How to prove that $\forall n \in \mathbb{N} P(n)$?

METHOD 1: *Direct proof, $\forall n$*

Introduce a fixed but arbitrary variable: Let $n \in \mathbb{N}$.
you are now working with a fixed but arbitrary value of n .

Deduce $P(n)$ from what you know: *Insert mathemagic here.*

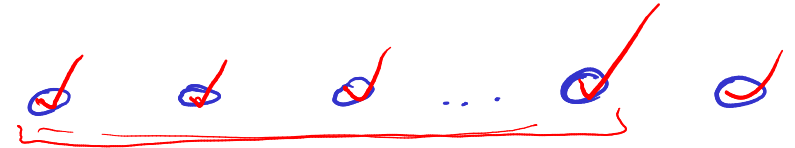
Victory lap: Since $P(n)$ holds for a fixed but arbitrary choice $n \in \mathbb{N}$, $P(n)$ holds for all $n \in \mathbb{N}$. *No one write this, but this is why the method works.*

Method 2:

(Induction)

The basis step Prove $P(1)$.

The inductive step Prove



$$\forall n \in \mathbb{N} \quad \left((P(1) \wedge P(2) \wedge P(3) \wedge \dots \wedge P(n)) \Rightarrow P(n+1) \right)$$

Let $n \in \mathbb{N}$. Suppose that all of the statements $P(1)$, $P(2)$, ..., $P(n)$ are true. *Now deduce $P(n+1)$ making use somewhere of one or more of the facts $P(1), \dots, P(n)$.*

The victory lap By the Principle of Mathematical Induction, $P(n)$ is true for all $n \in \mathbb{N}$.

(This is also known as strong mathematical induction.)

From implicit to explicit definitions; Example 1

A sequence is defined implicitly by

$$\begin{cases} a_1 = 3, \\ \forall n \in \mathbb{N} \ a_{n+1} = 3a_n \end{cases}$$

Find an explicit definition.

From implicit to explicit definitions; Example 1

A sequence is defined implicitly by

$$\begin{cases} a_1 = 3, \\ \forall n \in \mathbb{N} \ a_{n+1} = 3a_n \end{cases}$$

Find an explicit definition.

First generate some values:

$$a_1 = 3, \ a_2 = \underline{9}, \ a_3 = \underline{27}, \ a_4 = \underline{81}, \dots$$

Now we make a claim/hypothesis/informed guess:

$$\forall n \in \mathbb{N} \ \underline{a_n = 3^n}.$$

Proof that the claim is correct

We shall prove the claim using mathematical induction. Let

$$P(n) : \quad \underline{a_n} = \underline{3^n}$$

Basis step: We compute

LHS of $P(1) = a_1 = 3$ (by the definition of the sequence);

RHS of $P(1) = 3^1 = 3$.

Hence $P(1)$ is true. ✓

(LHS is an abbreviation for “left-hand side”, and RHS is an abbreviation for “right-hand side.”)

Inductive step: Let $n \in \mathbb{N}$. Suppose that $P(1), P(2), \dots, P(n)$ are all true. Then

LHS of $P(n + 1)$

$$= a_{n+1}$$

$$= 3a_n \quad (\text{from the implicit definition})$$

$$= 3(3^n) \quad (\text{using } P(n))$$

$$= 3^{n+1}$$

$$= \text{RHS of } P(n + 1)$$

Hence $P(n + 1)$ is true.



By the Principle of Mathematical Induction, $P(n)$ holds for all $n \in \mathbb{N}$. □

Geometric sequences

Given a set of integers $K = \{n \in \mathbb{Z} \mid n \geq k\}$, a sequence $(a_n)_{n \in K} \subseteq \mathbb{R}$ is a **geometric sequence** when there exist $a, r \in \mathbb{R}$ such that

$$\begin{cases} \underline{a_k = a}, \text{ and} \\ \forall \underline{k} \in K \quad a_{\underline{k}+1} = r a_{\underline{k}} \end{cases} \quad \text{implicit}$$

We call a the **first term** and r the **common ratio** of the geometric sequence.

A geometric sequence can also be defined explicitly:

$$\underline{\forall n \in K \quad a_n = ar^{n-k}}. \quad \text{explicit}$$

Another example

A sequence is defined implicitly by

$$\begin{cases} b_1 = 0, \\ \forall n \in \mathbb{N} \quad b_{n+1} = b_n + 5. \end{cases}$$

Can we get an explicit definition?

Another example

A sequence is defined implicitly by

$$\begin{cases} b_1 = 0, \\ \forall n \in \mathbb{N} \quad b_{n+1} = b_n + 5. \end{cases}$$

Can we get an explicit definition? First generate some values:

$$b_1 = \underline{0}, \quad b_2 = \underline{5}, \quad b_3 = \underline{10}, \quad b_4 = \underline{15}, \dots$$

Another example

A sequence is defined implicitly by

$$\begin{cases} b_1 = 0, \\ \forall n \in \mathbb{N} \quad b_{n+1} = b_n + 5. \end{cases}$$

Can we get an explicit definition? First generate some values:

$$b_1 = 0, \quad b_2 = 5, \quad b_3 = 10, \quad b_4 = 15, \dots$$

Claim: $\forall n \in \mathbb{N} \quad b_n = 5(n-1).$

Another example

A sequence is defined implicitly by

$$\begin{cases} b_1 = 0, \\ \forall n \in \mathbb{N} \quad b_{n+1} = b_n + 5. \end{cases}$$

Can we get an explicit definition? First generate some values:

$$b_1 = 0, \quad b_2 = 5, \quad b_3 = 10, \quad b_4 = 15, \dots$$

Claim: $\forall n \in \mathbb{N} \quad b_n = 5(n-1).$

We shall use mathematical induction to prove the claim...

Let

$$\underline{P(n)} : \quad b_n = 5(n - 1).$$

Basis step:

LHS of $P(1) = \underline{b_1} = 0$ (by the definition of the sequence);

RHS of $P(1) = \underline{5(1 - 1)} = 1 \times 0 = 0.$

Hence $P(1)$ is true.

Inductive step: Let $n \in \mathbb{N}$. Suppose that $P(1), P(2), \dots, P(n)$ are all true. Then

$$\begin{aligned} & \text{LHS of } P(n+1) \\ &= b_{n+1} \\ &= b_n + 5 \quad (\text{from the implicit definition}) \\ &= 5(n-1) + 5 \quad (\text{using } P(n)) \\ &= 5n - 5 + 5 \\ &= 5n = 5((n+1)-1) \\ &= \text{RHS of } P(n+1) \end{aligned}$$

Hence $P(n+1)$ is true.

By the Principle of Mathematical Induction, $P(n)$ holds for all $n \in \mathbb{N}$. □

Sum and products of terms

Terms of a sequence can be summed: $a_1 + a_2 + a_3 + \dots$ or multiplied: $a_1 \times a_2 \times a_3 \times \dots$. We use the special notation

Sigma for sum $\sum_{n=1}^k a_n = a_1 + a_2 + a_3 + \dots + a_k,$

Pi for product $\prod_{n=1}^k a_n = a_1 \times a_2 \times a_3 \times \dots \times a_k.$

Examples

$$1. \quad \sum_{n=1}^{10} n = \underbrace{1 + 2 + 3 + 4 + \dots + 9 + 10}_{\text{red underline}} = \underline{55}.$$

$$2. \quad \sum_{n=0}^7 \underline{2^n} = 1 + 2 + 4 + 8 + \dots + 128 = \underline{255} = \underline{256 - 1}$$

$$3. \quad \prod_{n=1}^5 n = 1 \times 2 \times 3 \times 4 \times 5 = \underline{5!} = \underline{120}.$$

$$4. \quad \prod_{n=1}^8 \underline{n^2} = \cancel{1}4 \times 9 \times 16 \times \dots \times 64 = 1\,625\,702\,400.$$

$$(b_k)_{k \in \mathbb{N}} = \left(\sum_{n=1}^k a_n \right)_{k \in \mathbb{N}} = \begin{cases} b_1 = a_1, \\ \forall k \geq 2, \quad b_k = b_{k-1} + a_k \end{cases}$$

Another example

Prove the following:

$$\forall n \in \mathbb{N} \quad \sum_{i=1}^n i = \frac{n(n+1)}{2}.$$

Let

$$P(n) : \sum_{i=1}^n i = \frac{n(n+1)}{2}.$$

Basis step:

$$\text{LHS of } P(1) = \sum_{i=1}^1 i = 1 \text{ (by the defn of } \sum);$$

$$\text{RHS of } P(1) = \frac{1(1+1)}{2} = \frac{1 \times 2}{2} = 1$$

Hence $P(1)$ is true.

Inductive step: Let $n \in \mathbb{N}$. Suppose that $P(1), P(2), \dots, P(n)$ are all true. Then

$$\begin{aligned}\text{LHS of } P(n+1) &= \sum_{i=1}^{n+1} i \\&= \left(\sum_{i=1}^n i \right) + (n+1) \text{ (by the defn of } \sum) \\&= \frac{n(n+1)}{2} + (n+1) \text{ (using } P(n)) \\&= \frac{n(n+1) + 2(n+1)}{2} = \frac{n^2 + n + 2n + 2}{2} \\&= \frac{n^2 + 3n + 2}{2} = \frac{(n+1)(n+2)}{2} \\&= \frac{(n+1)((n+1)+1)}{2} = \text{RHS of } P(n+1)\end{aligned}$$

Hence $P(n+1)$ is true.

By the Principle of Mathematical Induction, $P(n)$ holds for all $n \in \mathbb{N}$. \square