

Investment Bond Application Form



KINGSTON UNITY
THE FRIENDLY SOCIETY
TRADITIONAL VALUES - MUTUAL BENEFITS

Please complete this form **in block capitals using black ink.**

For further details about the bond, please see 'Key Features document'.

If you would like help completing your application, please contact us on **01924 240164**

Not for IFA use. Also, if the bond is to form part of a new or existing trust, please do not use this form. You should contact us for the appropriate application form.

1 your details

First Applicant (to whom all correspondence will be sent)

Title

Surname

Forename(s)

Address

Postcode

Tel. No.

Day

Evening

Email

Date of birth

Male

Female

Second Applicant (if applicable)

Title

Surname

Forename(s)

Address
(If different from
first applicant)

Postcode

Tel. No.

Day

Evening

Email

Date of birth

Male

Female

If either applicant has been at the address(es) above for less than 3 years then please use this space to provide details of your address history for the past 3 years.

2 investment details

Please note: The minimum investment into each Bond is £1,000 and the maximum is £250,000. The overall maximum you can normally invest in a calendar year into our Bonds is £250,000.

I/We wish to invest £ in the Investment Bond.

Please make your cheque payable to Kingston Unity. If you use a Building Society cheque or bankers draft, ask the organisation to print your name and account number on the reverse of the cheque and endorse it with their stamp.

3 permission to keep you informed

Information about you will be held by **Kingston Unity** to provide the products and services for which you apply. We would also like to keep you informed of other products and services. Please tick the appropriate boxes if you **DO NOT** wish to be sent information about our products and services by: mail ☐ phone ☐ email ☐

You can change any of your choices by calling us on **01924 240164**

4a complete this section if you wish to make regular withdrawals

Please refer to the "Key Features document" and in particular the section "Can I make withdrawals?" before completing this section

How much do you want to withdraw?

You can choose to withdraw up to 5% of the original sum invested in any policy year as a fixed amount or percentage without charge.

Fixed amount £ Percentage %

How do you want to make withdrawals?

This option is subject to a minimum payment of £50 and will be paid by BACS credit to your bank or building society account.

Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually ☐

Don't forget to sign your declaration (section 5 overleaf) and complete your bank details.

4b bank or building society account details (Only complete this section if you wish to make regular withdrawals).

Please provide the bank or building society account details that you would like regular withdrawals to be paid into.

Name of bank or building society (in block capitals)

Bank or building society address Postcode

Account holder's name

Account number

Sort code (banks only)

Please sign your regular withdrawal authority

I/We wish to receive a regular withdrawal from the Bond. I/We understand that any withdrawal will reduce the value of the Bond and this may be below the amount of the original investment.

First applicant's signature X Date

Second applicant's signature (if applicable) X Date

5 declaration - please ensure you sign and date this section

I/We hereby apply for the Investment Bond. I/We declare that to the best of my/our knowledge and belief the answers given above are true and complete.

The Investment Bond is subject to the Key Features document. For your benefit and protection you should read these carefully before signing this application form. If you do not understand any point please ask us for further information. I/We understand that a copy of this application and rules is available on request.

I/We understand that under the current Money Laundering Legislation and other statutory requirements ID verification checks may take place if required, including by electronic means. I/We authorise such checks.

I/We declare that this application has been completed by the best of my/our knowledge and belief. I/We agree to inform Kingston Unity in writing immediately of any changes in my/our details.

We will treat you as a 'retail customer' which means that you will receive the highest level of consumer protection available under UK financial services rules and regulations.

Client Agreement

I/We agree to be bound by this Client Agreement, which is a legal agreement, and defines our respective rights and obligations.

Your Rights

You will be treated fairly in all of your dealings with Kingston Unity including:

- The product and associated services will be clearly described, so that you can decide whether it meets your needs
- Being provided with clear and accurate information, during and after the point of sale
- Our dealing effectively with your queries and complaints. We publish our internal complaints

handling process on our website www.kingstonunity.co.uk and these are also available on request. In circumstances where you feel your complaint has not been dealt with to your satisfaction, or if we have not been able to give you a final response within eight weeks, then you will have the option of contacting the Financial Ombudsman Service. Their website is www.financial-ombudsman.org.uk

Your Obligations

- To complete the application to the best of your knowledge and inform Kingston Unity in writing immediately of any changes in your circumstances
- To read the documentation that we will send to you, particularly the Key Features Document.

Data Protection

In order to process your application, we must obtain certain information from you. We will also need to maintain certain other records.

- You agree that the information we hold about you can be held electronically and/or in hard copy form.
- You agree that any information we hold about you may be disclosed a) to third parties for the purpose of processing your application and ongoing membership, b) to our Regulators, c) to our Compliance Consultants, d) It will not be disclosed to any other parties (even if related) without your express permission in writing.
- If your plan has been arranged as an advised sale via an IFA, then we will automatically disclose your plan information to them unless you instruct us otherwise. If in future you do not wish to give us permission to disclose your information to your IFA, or if your relationship with your IFA ceases then please advise us.
- If you have been referred to us by an IFA then we will not provide them with any details of your plan information and we will send all documentation directly to you.

Please sign and date this declaration. If it is a joint bond, both applicants must sign and date.

First applicant's signature X Date

Second applicant's signature (if applicable) X Date

6 Please complete this section if this is a non-advised sale:

Kingston Unity does not give advice in relation to its products and our external and Society introducers are not authorised to give advice. However, you may have received advice from an Independent Financial Advisor in relation to this plan. Where you have received advice from an Independent Financial Advisor, **please do not complete this section.**

I/We confirm that I have not requested nor received any advice from the Society or its introducers in relation to this product.

Signed: (all applicants) Date:

7 return to us

Please return your completed application to:

Freepost RSJC-BBGE-KSAX, Kingston Unity, 9 Navigation Court, Calder Park, Wakefield, WF2 7BJ

IFA Ref/Name

How did you hear about us? IFA ☐ KU Agent ☐ KU Website ☐ Other (specify) ☐

Kingston Unity, 9 Navigation Court, Calder Park, Wakefield WF2 7BJ. Tel: 01924 240164 email: enquiries@kingstonunity.co.uk website: www.kingstonunity.co.uk

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