

# 1 Abstractions upon Abstractions

see you guys in UG4 category theory!

## Definition A: Rings and Fields

A **ring** (left) is a set with two operations  $(\mathbb{R}, +, \cdot)$  that satisfies the following lemmas.

A **field** (right) is an extension of a ring where  $(\cdot)$  is a group

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| <ol style="list-style-type: none"><li>1. <math>(R, +)</math> is an abelian group with identity 0</li><li>2. <math>(R, \cdot)</math> is a <b>monoid</b>, i.e. it is a set with <b>Associativity</b> and <b>Identity</b> (written as 1)</li><li>3. <b>Distributive law</b>: For all <math>a, b</math>, and <math>c</math> in <math>F</math>, we have<math display="block">a \cdot (b + c) = (a \cdot b) + (a \cdot c)</math><math display="block">(a + b) \cdot c = (a \cdot c) + (b \cdot c)</math></li></ol> | <ol style="list-style-type: none"><li>1. <math>(F, +)</math> is an abelian group <math>F^+</math>, with identity <math>0_F</math></li><li>2. <math>(F \setminus \{0_F\}, \cdot)</math> is an abelian group <math>F^\times</math>, with identity <math>1_F</math></li><li>3. <b>Distributive law</b>: For all <math>a, b</math>, and <math>c</math> in <math>F</math>, we have<math display="block">a(b + c) = ab + ac \in F</math></li></ol> |
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and they satisfy the following lemmas (for both):

1.  $0a = 0 = a0$
2. The elements 0 and 1 are distinct (only ring case is zero ring)

### Field Specific Lemmas:

1.  $(\cdot)$  in  $F$  is associative,  $1_F$  is an identity (it's an abelian group only in  $(F \setminus \{0_F\}, \cdot)$ )

### Ring Specific Lemmas and Definitions:

1. The **null ring** or **zero ring** is defined as a ring where  $R$  is a single element - i.e.  $\{0\}$  where  $0 + 0 = 0$  and  $0 \times 0 = 0$
2. A **commutative ring** is one where  $a \cdot b = b \cdot a$  for all  $a, b \in R$ 

<ul style="list-style-type: none"><li>• <math>(-a)(b) = -(ab) = a(-b)</math></li><li>• <math>(-a)(-b) = ab</math></li><li>• <math>m(a + b) = ma + mb</math></li><li>• <math>(m + n)a = ma + na</math></li></ul>	<ul style="list-style-type: none"><li>• <math>m(na) = (mn)a</math></li><li>• <math>m(ab) = (ma)b = a(mb)</math></li><li>• <math>(ma)(nb) = (mn)(ab)</math></li></ul>
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## Definition B: Modules and Vector Spaces

A **left module**  $M$  over a ring  $R$  (or an  $R$ -**module**) (*left*) is a pair consisting of an abelian group  $M = (M, \dot{+})$  and a mapping

A **vector space**  $V$  over a field  $F$  (*right*) is an extension of a module but over a field instead, and using vectors -  $V = (V, \dot{+})$

$$R \times M \rightarrow M : (r, a) \mapsto ra$$

$$F \times V \rightarrow V : (\lambda, \vec{v}) \mapsto \lambda \vec{v}$$

s.t.  $\forall r, s \in R$  and  $a, b \in M$ , the following axioms apply:

s.t.  $\forall \lambda, \mu \in F$  and  $\vec{v}, \vec{w} \in v$ , the following axioms apply:

$r(a \dot{+} b) = (ra) \dot{+} (rb)$	<b>Distributivity 1</b>	$\lambda(\vec{v} \dot{+} \vec{w}) = \lambda \vec{v} \dot{+} \lambda \vec{w}$
$(r + s)a = (ra) \dot{+} (sa)$		$(\lambda + \mu)\vec{v} = \lambda \vec{v} \dot{+} \mu \vec{v}$
$r(sa) = (rs)a$		$\lambda(\mu \vec{v}) = (\lambda\mu)\vec{v}$
$1_R a = a$		$1 \vec{v} = \vec{v}$
	<b>Distributivity 2</b>	
	<b>Associativity</b>	
	<b>Identity</b>	

and they satisfy the following lemmas (for both):

1.  $0_R a = 0_M$  for all  $a \in M$     or     $0 \vec{v} = \vec{0}$  for all  $\vec{v} \in V$
2.  $r 0_M = 0_M$  for all  $r \in R$     or     $\lambda \vec{0} = \vec{0}$  for all  $\lambda \in F$
3.
  - $(-r)a = r(-a) = -(ra)$  for all  $r \in R, a \in M$
  - $(-1)\vec{v} = -\vec{v}$  for all  $\vec{v} \in V$

## Definition C: Sub-things

A sub-thing is basically something that is a smaller but self-contained version of a thing

- **Vector Subspace** (*left*): A subset  $U$  of a vector space  $V$
- **Subring** (*centre*): A subset  $R'$  of a ring  $R$  under the same operations of addition and multiplication defined in  $R$
- **Submodule** (*right*): A subset  $M'$  of a module  $M$  under the same operations of the  $R$ -module  $M$  **restricted** to  $M$

Subspace Criterion $\forall \vec{u}, \vec{v} \in U, \lambda \in F$	Subring Criterion $\forall a, b \in R'$	Submod. Criterion $\forall a, b \in M', r \in R$
1. $\vec{0} \in U$	1. $R'$ has a multiplicative identity	1. $0_M \in M'$
2. $\vec{u} + \vec{v} \in U$	2. $a - b \in R'$	2. $a - b \in M'$
3. $\lambda \vec{u} \in U$	3. $a \cdot b \in R'$	3. $ra \in M'$

## Definition D: Homo no homo

Everything has its own homomorphism and they are all the exact same thing

- **Linear Mapping** (*left*): Homomorphism on a Vector Space
- **Ring Homomorphism** (*centre*): Homomorphism on a ring
- **$R$ -homomorphism** (*right*): Homomorphism on a module

V. Space Criterion $\forall \vec{u}, \vec{v} \in U, \lambda \in F$	Ring Criterion $\forall x, y \in R'$	Module Criterion $\forall a, b \in M', r \in R$
• $f(\vec{v}_1 + \vec{v}_2) = f(\vec{v}_1) + f(\vec{v}_2)$	• $f(x + y) = f(x) + f(y)$	• $f(a + b) = f(a) + f(b)$
• $f(\lambda \vec{v}_1) = \lambda f(\vec{v}_1)$	• $f(xy) = f(x)f(y)$	• $f(ra) = rf(a)$

- A bijective homomorphism is called a **isomorphism**
- Two objects with an iso. are called **isomorphic**, written  $A \cong B$
- A homomorphism  $V \rightarrow V$  is called an **endomorphism** of  $V$
- An isomorphism  $V \rightarrow V$  is called an **automorphism** of  $V$

### Image and Kernel

The image and kernel of a mapping  $f : M \rightarrow N$  are as follows:

- **Image**:  $\text{im } f = \{f(a) : a \in M\} \subseteq N$
- **Kernel**:  $\ker f = \{a \in M : f(a) = 0_N\} \subseteq M$

## Theorem E: Universal Properties and First Iso Thm

### Thm: Universal Properties

Let  $A$  be an object of type  $\sigma$ , and  $I$  be an ideal-ish  $\sigma$  object

- The mapping  $\text{can} : A \rightarrow A/I$  sending  $a$  to  $a + I$  for all  $a \in A$  is a surjective  $\sigma$ -homomorphism with kernel  $I$
- If  $f : A \rightarrow B$  is an  $\sigma$ -homomorphism with  $f(I) = \{0_B\}$ , so that  $I \subseteq \ker f$ , then there is a unique  $\sigma$ -homomorphism  $\bar{f} : A/I \rightarrow B$  such that  $f = \bar{f} \circ \text{can}$

### Thm: First Isomorphism Theorem

Every  $\sigma$  homomorphism  $f : A \rightarrow B$  induces an  $\sigma$ -homomorphism

$$\bar{f} : A / \ker f \xrightarrow{\sim} \text{im } f$$

This can be applied to pretty much everything!

- **Factor Rings**:  $\sigma$  are rings (so  $A$  is a ring), and  $I$  is an ideal
- **Factor Modules**:  $\sigma$  are  $R$ -modules, and  $I$  is a submodule
- **Groups**:  $\sigma$  are groups, and  $I$  is a normal subgroup

# 2 Rings and Modules

## Example 3.1.4: Modulo Rings

Let  $m \in \mathbb{Z}$ . Then the set of **integers modulo**  $m$  is a ring, written

$$\mathbb{Z}/m\mathbb{Z}$$

The elements of  $\mathbb{Z}/m\mathbb{Z}$  consist of **congruence classes** of integers modulo  $m$ , written  $\bar{a}$ , - i.e. "the subsets  $T$  of  $\mathbb{Z}$  of the form  $T = a + m\mathbb{Z}$  with  $a \in \mathbb{Z}$ ", or "set of integers that have the same remainder when you divide them by  $m$ ".  $\bar{a} = \bar{b}$  is the same as  $a - b \in m\mathbb{Z}$ , and often I'll write

$$a \equiv b \pmod{m}$$

**Thm 3.1.11 - Prime Property for Fields**: Let  $m \in \mathbb{N}$ . The commutative ring  $\mathbb{Z}/m\mathbb{Z}$  is a field if and only if  $m$  is prime

## Definition 3.2.3: Multiples of an abelian group

Let  $m \in \mathbb{Z}$ . The  $m$ -**th multiple**  $ma$  of an element  $a$  in an abelian group  $R$  is:

$$ma = \underbrace{a + a + \dots + a}_{m \text{ terms}} \quad \text{if } m > 0$$

$0a = 0$  and negative multiples are defined by  $(-m)a = -(ma)$

## Definition 3.2: Units and Field Construction

**Def 3.2.6**: Let  $R$  be a ring. An element  $a \in R$  is called a **unit** if it is invertible in  $R$ , i.e. there exists  $r^{-1} \in R$  such that

$$aa^{-1} = 1 = a^{-1}a$$

**Prop 3.2.9**: The set of  $R^\times$  units in a ring  $R$  forms a group under multiplication

**Definition 3.1.8**: A **field** is a non-zero commutative ring  $F$  in which every non-zero element  $a \in F$  is a unit.

## Definition 3.2.11: zero-divisors of a ring

In a ring  $R$ , a non-zero element  $a$  is called a **zero-divisor** or **divisor of zero** if there exists a non-zero element  $b$  such that either  $ab = 0$  or  $ba = 0$ .

## Definition 3.2.12: Integral Domain

An **integral domain** is a non-zero commutative ring that has no zero-divisors. The following two laws hold:

1.  $ab = 0 \implies a = 0$  or  $b = 0$
2.  $a \neq 0$  and  $b \neq 0 \implies ab \neq 0$

## Theorem 3.2: Integral Domain Properties

**3.2.15 (Cancellation Law)**: Let  $R$  be an integral domain and let  $a, b, c \in R$ . If  $ab = ac$  and  $a \neq 0$  then  $b = c$

**3.2.16** Let  $m$  be a natural number. Then  $\mathbb{Z}/m\mathbb{Z}$  is an integral domain if and only if  $m$  is prime.

**3.2.17** Every finite integral domain is a field.

### Definition 3.1.1: Polynomial

Let  $R$  be a ring. A **polynomial over  $R$**  is an expression of the form

$$P = a_0 + a_1X + a_2X^2 + \cdots + a_mX^m$$

for some non-negative  $m \in \mathbb{Z}$  and elements  $a_i \in R$  for  $0 \leq i \leq m$ .

- The set of all polynomials over  $R$  is denoted by  $R[X]$ .
- In the case where  $a_m$  is non-zero, the polynomial  $P$  has **degree  $m$** , (written  $\deg(P)$ ), and  $a_m$  is its **leading coefficient**
- When the leading coefficient is 1 the polynomial is a **monic polynomial**.
- A polynomial of degree one is called **linear**, degree two is called **quadratic**, and degree three is called **cubic**.

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**Thm 3.3.2:** The set  $R[X]$  becomes a ring called the **ring of polynomials with coefficients in  $R$ , or over  $R$** . The zero and the identity of  $R[X]$  are the zero and identity of  $R$ , respectively.

### Theorem 3.3: Properties of a Polynomial Ring

- 3.3.3:** If  $R$  is a ring with no zero-divisors, then  $R[X]$  has no zero-divisors and  $\deg(PQ) = \deg(P) + \deg(Q)$  for non-zero  $P, Q \in R[X]$ .
- If  $R$  is an integral domain, then so is  $R[X]$
- 3.3.4:** Let  $R$  be an integral domain and let  $P, Q \in R[X]$  with  $Q$  monic. Then there exists unique  $A, B \in R[X]$  such that  $P = AQ + B$  and  $\deg(B) < \deg(Q)$  or  $B = 0$

### Definition 3.3.6: Evaluating a Function

Let  $R$  be a commutative ring and  $P \in R[X]$  a polynomial.  $P$  can be **evaluated** at  $\lambda \in R$  to make  $P(\lambda)$  by replacing the powers of  $X$  in  $P$  by the corresponding powers of  $\lambda$ . In this way we have a mapping

$$R[X] \rightarrow \text{Maps}(R, R)$$

This is the precise definition of thinking of a polynomial as a function. An element  $\lambda \in R$  is a **root** of  $P$  if  $P(\lambda) = 0$

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**Thm 3.3.9:** Let  $R$  be a commutative ring, let  $\lambda \in R$  and  $P(X) \in R[X]$ . Then  $\lambda$  is a root of  $P(X)$  iff  $(X - \lambda)$  divides  $P(X)$

### Theorem 3.3.10: Degrees of Polynomial Roots

Let  $R$  be a field, or more generally an integral domain. Then a non-zero polynomial  $P \in R[X] \setminus \{0\}$  has at most  $\deg(P)$  roots in  $R$

### Definition 3.3.11: Algebraically closed fields

A field  $F$  is **algebraically closed** if each non-constant polynomial  $P \in F[X] \setminus F$  with coefficients in our field has a root in our field  $F$

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**Thm 3.3.13 (Fundamental Thm of Algebra):** The field of complex numbers  $\mathbb{C}$  is algebraically closed.

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**Thm 3.3.14 (Linear factors of closed fields):** If  $F$  is an algebraically closed field, then every non-zero polynomial  $P \in F[X] \setminus \{0\}$  **decomposes into linear factors**

$$P = c(X - \lambda_1) \cdots (X - \lambda_n)$$

with  $n \geq 0$ ,  $c \in F^\times$  and  $\lambda_1, \dots, \lambda_n \in F$ . This decomposition is unique up to reordering the factors

### Theorem 3.4.5: Properties of Ring Homomorphisms

Let  $R$  and  $S$  be rings and  $f : R \rightarrow S$  a ring homomorphism. Then for all  $x, y \in R$  and  $m \in \mathbb{Z}$  (where  $0_R$  and  $0_S$  are the zeros of  $R$  and  $S$ ):

1.  $f(0_R) = 0_S$
2.  $f(-x) = -f(x)$
3.  $f(x - y) = f(x) - f(y)$
4.  $f(mx) = mf(x)$
5.  $f(x^n) = (f(x))^n$  for all  $x \in R$  and  $n \in \mathbb{N}$

### Definition 3.4: All about Ideals

**Def 3.4.7:**  $I \subseteq R$  is an **ideal**,  $I \trianglelefteq R$ , if the following hold:

1.  $I \neq \emptyset$
2.  $I$  is closed under subtraction
3. for all  $i \in I$  and  $r \in R$  we have  $ri, ir \in I$

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**Def 3.4.11:**  $R$  be a commutative ring and let  $T \subset R$ . Then the **ideal of  $R$  generated by  $T$**  is the set

$$_R\langle T \rangle = \{r_1t_1 + \cdots + r_mt_m : t_1, \dots, t_m \in T, r_1, \dots, r_m \in R\}$$

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**Thm 3.4.14:** Let  $R$  be a commutative ring and let  $T \subseteq R$ . Then  $_R\langle T \rangle$  is the smallest ideal of  $R$  that contains  $T$

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**Def 3.4.15:** Let  $R$  be a commutative ring. An ideal  $I$  of  $R$  is called a **principal ideal** if  $I = \langle t \rangle$  for some  $t \in R$

### Theorem 3.4: Kernels as Ideals

- 3.4.18** Let  $R$  and  $S$  be rings and  $f : R \rightarrow S$  a ring homomorphism. Then  $\ker f$  is an ideal of  $R$ .
- 3.4.20**  $f$  is injective if and only if  $\ker f = \{0\}$
- 3.4.21** The intersection of any collection of ideals of a ring  $R$  is an ideal of  $R$
- 3.4.22** Let  $I$  and  $J$  be ideals of a ring  $R$ . Then
- $$I + J = \{a + b : a \in I, b \in J\}$$
- is an ideal of  $R$

### Definition 3.5.1: Equivalence Relations

A **relation  $R$**  on a set  $X$  is a subset  $R \subseteq X \times X$ . In the context of relations, it's written  $xRy$  instead of  $(x, y) \in R$ .  $R$  is an **equivalence relation on  $X$**  when for all elements  $x, y, z \in X$  the following hold:

1. **Reflexivity:**  $xRx$
2. **Symmetry:**  $xRy \iff yRx$
3. **Transitivity:**  $xRy$  and  $yRz \implies xRz$

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Suppose that is an equivalence relation on a set  $X$ .

- **Equivalence class of  $x$ :**  $E(x) := \{z \in X : z \sim x \text{ for } x \in X\}$
- **Equivalence class for  $\sim$ :**  $E \subseteq X$ , if  $\exists x \in X$  s.t.  $E = E(x)$
- **Representative:** Element of an equivalence class
- **System of representatives for  $\sim$ :** A subset  $Z \subseteq X$  containing precisely one element from each equivalence class

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Given an equivalence relation  $\sim$  on the set  $X$  I will denote the **set of equivalence classes**, which is a subset of the power set  $\mathcal{P}(X)$ , by

$$(X/\sim) := \{E(x) : x \in X\}$$

There is a canonical mapping  $\text{can} : X \rightarrow (X/\sim)$ ,  $x \mapsto E(x)$  (surjection)

### Definition 3.6.1: Coset

Let  $I \trianglelefteq R$  be an ideal in a ring  $R$ . The set

$$x + I := \{x + i : i \in I\} \subseteq R$$

is a **coset of  $I$  in  $R$**  or the **coset of  $x$  w.r.t  $I$  in  $R$**

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Let  $R$  be a ring,  $I \trianglelefteq R$  be an ideal, and  $\sim$  the equivalence relation defined by  $x \sim y \iff x - y \in I$ . Then  $R/I$ , the **factor ring of  $R$  by  $I$**  or **the quotient of  $R$  by  $I$** , is the set  $(R/\sim)$  of cosets of  $I$  in  $R$

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**Thm 3.6.4:** Let  $R$  be a ring and  $I \trianglelefteq R$  an ideal. Then  $R/I$  is a ring, where the operation of addition and multiplication is defined by

$$(x + I) + (y + I) = (x + y) + I, \quad (x + I) \cdot (y + I) = xy + I \quad \forall x, y \in R$$

### Theorem 3.7: Submodule lemmas

- 3.7.21** Let  $f : M \rightarrow N$  be an  $R$ -homomorphism. Then  $\ker f$  is a submodule of  $M$  and  $\text{im } f$  is a submodule of  $N$
- 2.7.22** Let  $R$  be a ring,  $M$  an  $R$ -homomorphism. Then  $f$  is injective if and only if  $\ker f = \{0_M\}$

### Definition 3.7.23: Generated Submodules

Let  $R$  be a ring,  $M$  an  $R$ -module and let  $T \subseteq M$ . Then the **submodule of  $M$  generated by  $T$**  is the set

$$_R\langle T \rangle = \{r_1t_1 + \cdots + r_mt_m : t_1, \dots, t_m \in T, r_1, \dots, r_m \in R\}$$

together with the zero element in the case  $T = \emptyset$ . If  $T = \{t_1, \dots, t_n\}$ , a finite set, we write  $_R\langle t_1, \dots, t_n \rangle$  instead of  $_R\langle \{t_1, \dots, t_n\} \rangle$ .  $M$  is **finitely generated** if it's generated by a finite set  $M = _R\langle t_1, \dots, t_n \rangle$ .  $M$  is **cyclic** if it's generated by a singleton  $M = _R\langle T \rangle$

- 3.7.28** Let  $T \subseteq M$ . Then  $_R\langle T \rangle$  is the smallest submodule of  $M$  that contains  $T$
- 3.7.29** The intersection of any collection of submodules of  $M$  is a submodule of  $M$ .
- 3.7.30** Let  $M_1$  and  $M_2$  be submodules of a  $M$ . Then

$$M_1 + M_2 = \{a + b : a \in M_1, b \in M_2\}$$

is a submodule of  $M$

### Definition 3.7.31: Submodule Cosets

Let  $R$  be a ring,  $M$  an  $R$ -module, and  $N$  a submodule of  $M$ . For each  $a \in M$  the **coset of  $a$  with respect to  $N$  in  $M$**  is

$$a + N = \{a + b : b \in N\}$$

It is a coset of  $N$  in the abelian group  $M$  and so is an equivalence class for the equivalence relation  $a \sim b \iff a - b \in N$ .

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Let  $M/N$ , the **factor of  $N$  by  $N$**  or the **quotient of  $M$  by  $N$**  to be the set  $(M/\sim)$  of all cosets of  $N$  in  $M$ . This becomes an  $R$ -module by introducing the operations of addition and multiplication:

$$(a + N) + (b + N) = (a + b) + N$$

$$r(a + N) = ra + N$$

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for all  $a, b \in M$ ,  $r \in R$ .

The zero of  $M/N$  is the coset  $0_{M/N} = 0_M + N$ . The negative of  $a + N \in M/N$  is the coset  $-(a + N) = (-a) + N$

The  $R$ -module  $M/N$  is the **factor module of  $M$  by the submod.  $N$**

3 Linear algebra (ew)

Definition 1.4.5: Spans and Linear Independence

Let  $T \subset V$  for some vector space  $V$  over a field  $F$ . Then amongus all subspaces of  $V$  that include  $T$  there is a smallest subspace

$\langle T \rangle = \langle T \rangle_F \subseteq V$

“the set of all vectors  $\alpha_1 \vec{v}_1 + \dots + \alpha_r \vec{v}_r$  with  $\alpha_1, \dots, \alpha_r \in F$  and  $\vec{v}_1, \dots, \vec{v}_r \in T$ , together with the zero vector in the case  $T = \emptyset$ ”

Terminology Dump

- **Linear Combination** of vectors  $\vec{v}_1, \dots, \vec{v}_r$ : An expression of the form  $\alpha_1 \vec{v}_1 + \dots + \alpha_r \vec{v}_r$
- **Vec. Subspace generated(or spanned) by  $T$  / span of  $T$** : The smallest vector subspace  $\langle T \rangle \subseteq V$  containing  $T$
- If we allow the zero vector to be the “empty linear combination of  $r = 0$  vectors”, then the span of  $T$  is exactly the set of all linear combinations of vectors from  $T$

**1.4.7: Generating / Spanning set:** A subset of a vector space that spans the entire space. A vector space that has a finite generating set is said to be **finitely generated**

**1.5.8: Basis of a vector space  $V$ :** a linearly independent generating set in  $V$

**1.5.9:** Let  $A$  and  $I$  be sets. A **family of elements of  $A$  indexed by  $I$** , written  $(a_i)_{i \in I}$  is a mapping  $I \rightarrow A$

Theorem 1.5.11: Basis Theorems

**Thm 1.5.11 (Linear combinations of basis elements):** Let  $F$  be a field,  $V$  a vector space over  $F$  and  $\vec{v}_1, \dots, \vec{v}_r \in V$  vectors. The family  $(\vec{v}_i)_{1 \leq i \leq r}$  is a basis of  $V$  iff the following “evaluation” mapping, or if we label the family as  $\mathcal{A}$ , written  $\psi = \psi_{\mathcal{A}} : F^r \rightarrow V$ ,

$$\psi : F^r \rightarrow V$$
$$(\alpha_1, \dots, \alpha_r) \mapsto \alpha_1 \vec{v}_1 + \dots + \alpha_r \vec{v}_r$$

is a bijection

**Thm 1.5.12 (Characterisation of Bases):** The following are equivalent for a subset  $E$  of a vector space  $V$ :

1.  $E$  is a basis, i.e. a linearly independent generating set
2.  $E$  is minimal among all generating sets, meaning that  $E \setminus \{\vec{v}\}$  does not generate  $V$ , for any  $\vec{v} \in E$
3.  $E$  is maximal among all linearly independent subsets, meaning that  $E \cup \{\vec{v}\}$  is linearly dependent for any  $\vec{v} \in V$

**Thm 1.5.14 (Basis Characterisation Variant)**

1. If  $L \subset V$  is a linearly indep. subset and  $E$  is minimal over all generating sets of  $V$  where  $L \subseteq E$ , then  $E$  is a basis.
2. If  $E \subseteq V$  is a generating set and if  $L$  is maximal amongst all linearly indep. sets of  $V$  where  $L \subseteq E$ , then  $L$  is a basis.

**Thm 1.5.16 (Variant of Linear Combis of basis elements):** Let  $F$  be a field,  $V$  be an  $F$ -vector space and  $(\vec{v}_i)_{i \in I}$  a family of vectors from the vector space  $V$ . The following are equivalent:

1. The family  $(\vec{v}_i)_{i \in I}$  is a basis for  $V$
2. For each  $\vec{v} \in V$  there is precisely one family  $(a_i)_{i \in I}$  of elements of  $F$ , almost all which are zero and such that

$$\vec{v} = \sum_{i \in I} a_i \vec{v}_i$$

Definition 1.4 - 1.5: Random sets

**Def 1.4.9:** The set of all subsets  $\mathcal{P}(X) = \{U : U \subseteq X\}$  of  $X$  is the **power set** of  $X$ ,  $\mathcal{P}(X)$  is referred to as a **system of subsets of  $X$** . We can now define 2 new subsets - the **union** and **intersection**

$$\bigcup_{U \in \mathcal{U}} U = \{x \in X : \text{there is } U \in \mathcal{U} \text{ with } x \in U\}$$
$$\bigcap_{U \in \mathcal{U}} U = \{x \in X : x \in U \text{ for all } U \in \mathcal{U}\}$$

**Def 1.5.15:** Let  $X$  be a set and  $F$  a field. The set  $\text{Maps}(X, F)$  of all mappings  $f : X \rightarrow F$  becomes an  $F$ -vector space with the operations of pointwise addition and multiplication by a scalar. The subset of all mappings which send almost all elements of  $X$  to zero is a vector subspace called the **free vector space on the set  $X$**

$$F\langle X \rangle \subseteq \text{Maps}(X, F)$$

Theorem 1.6.1: Fundamental Estimate of LinAlg

No linearly independent subset of a given vector has more elements than a generating set. Thus if  $V$  is a vector space,  $L \subset V$  a linearly independent subset and  $E \subseteq V$  a generating set, then

$$|L| \leq |E|$$

Theorem 1.6: Steinitz Exchange Theorem

**1.6.2:** Let  $V$  be a vector space,  $L \subset V$  a finite linearly indep. subset and  $E \subseteq V$  a generating set. Then there is an injection  $\phi : L \hookrightarrow E$  such that  $(E \setminus \phi(L)) \cup L$  is also a generating set for  $V$

**1.6.3:** Let  $V$  be a vector space,  $M \subseteq V$  a linearly indep. subset, and  $E \subseteq V$  a generating subset, such that  $M \subseteq E$ . If  $\vec{w} \in V \setminus M$  is a vector  $\notin M$  such that  $M \cup \{\vec{w}\}$  is linearly independent, then there exists  $\vec{e} \in E \setminus M$  such that  $(E \setminus \{\vec{e}\}) \cup \{\vec{w}\}$  is a generating set

Theorem 1.6: Cardinality of Bases and Dimension

**Def 1.6.4:** Let  $V$  be a finitely generated vector space.  $V$  has a finite basis, and any two bases of  $V$  also have the same number of elements

**Def 1.6.5:** The cardinality of a basis of a finitely generated vector space  $V$  is called the **dimension** of  $V$ , written  $\dim V$ .

Theorems

**1.6.7 (Cardinality Criterion for Bases)**

1. Each linearly independent subset  $L \subset V$  has at most  $\dim V$  elements, and if  $|L| = \dim V$  then  $L$  is a basis
2. Each generating set  $E \subseteq V$  has at least  $\dim V$  elements, and if  $|E| = \dim V$  then  $E$  is a basis

**1.6.8 (Dimension Estimate for Vector Subspaces):** A proper vector subspace of a finite dimensional vector space has itself a strictly smaller dimension

**1.6.9** If  $U \subseteq V$  is a subspace of an arbitrary vector space, then we have  $\dim U \leq \dim V$ , and if  $\dim U = \dim V = \infty$  then  $U = V$

**1.6.10 (The Dimension Theorem):** Let  $V$  be a vector space containing vector subspaces  $U, W \subseteq V$ . Then

$$\dim(U + W) + \dim(U \cap W) = \dim U + \dim W$$

Definition 1.7.1: Linear Mappings

**Def 1.7.6:** Two vector subspaces  $V_1, V_2$  of a vector space  $V$  are called **complementary** if addition defines a bijection

$$V_1 \times V_2 \xrightarrow{\sim} V$$

something about direct sums

Theorem 1.7: Vector Spaces and Linear Maps

**1.7.7** Let  $n$  be a natural number. Then a vector space over a field  $F$  is isomorphic to  $F^n$  iff it has dimension  $n$

**1.7.8 (Linear Mapping and Bases):** Let  $V, W$  be vector spaces over a field  $F$ . The set of all homoms  $V \rightarrow W$  is denoted by

$$\text{Hom}_F(V, W) = \text{Hom}(V, W) \subseteq \text{Maps}(V, W)$$

Let  $B \subset V$  be a basis. Then restriction of a mapping gives a bijection

$$\text{Hom}_F(V, W) \xrightarrow{\sim} \text{Maps}(B, W) : f \mapsto f|_B$$

**1.7.9: (Inverse Mappings)**

1. Every injective linear map  $f : V \hookrightarrow W$  has a **left inverse**, or a linear mapping  $g : W \rightarrow V$  s.t.  $g \circ f = \text{id}_V$
2. Every surjective linear map  $f : V \twoheadrightarrow W$  has a **right inverse**, or a linear mapping  $G : W \rightarrow V$  s.t.  $f \circ g = \text{id}_W$

**1.8.2** A linear mapping is injective iff its kernel is zero

**1.8.4 (Rank-Nullity Theorem):** Let  $f : V \rightarrow W$  be a linear mapping between vector spaces. Then:

$$\dim V = \dim(\ker f) + \dim(\text{im } f)$$

Dim. of  $\text{im } f$  = **rank** of  $f$ , and the dim. of  $\ker f$  = **nullity** of  $f$

Theorem 2.1.1: Linear Maps  $F^m \rightarrow F^n$  and Matrices

Let  $F$  be a field and let  $m, n \in \mathbb{N}$ . There is a bijection between the space of linear mappings  $F^m \rightarrow F^n$  and the set of matrices with  $n$  rows,  $m$  columns, and entries in  $F$ :

$$M : \text{Hom}_F(F^m, F^n) \xrightarrow{\sim} \text{Mat}(n \times m; F)$$
$$f \mapsto [f]$$

This attaches to each linear mapping  $f$  its **representing matrix**  $M(f) := [f]$ . The columns of this matrix are the images under  $f$  of the standard basis elements of  $F^m$

$$[f] := ((f(\vec{e}_1)|f(\vec{e}_2)| \dots |f(\vec{e}_m)))$$

Theorem 2.1.8: Composition of maps to products

Let  $g : F^\ell \rightarrow F^m$  and  $f : F^m \rightarrow F^n$  be linear mappings. The representing matrix of their composition is the product of their representing matrices:

$$[f \circ g] = [f] \circ [g]$$

### Definition 2.2: Big def-thm pairs

**Thm 2.2.3:** Every square matrix with entries in a field can be written as a product of elementary matrices

**Def 2.2.4: Smith Normal Form:** A matrix that is fully zero, except for 1's on the diagonal followed by 0's

**Thm 2.2.5:** For each matrix  $A \in \text{Mat}(n \times m; F)$  there exist invertible matrices  $P$  and  $Q$  such that  $PAQ$  is a matrix in Smith NF

**Thm 2.4.5:** Let  $f: V \rightarrow W$  be a linear map between finite dim.  $F$ -vector spaces. There exists two ordered bases  $\mathcal{A}$  of  $V$ , and  $\mathcal{B}$  of  $W$  s.t. the representing matrix  $_{\mathcal{B}}[f]_{\mathcal{A}}$  is in Smith Normal Form

**Def 2.2.9: Rank** of a matrix  $A \in \text{Mat}(n \times m; F)$ , written  $\text{rk } A$ : The dim. of the subspace of  $F^n$  generated by the columns of  $A$ , or same with the row (The row/column rank are the same). If the rank is equal to the no. of rows/columns, then the matrix has **full rank**

**Def 2.4.6: Trace**, written  $\text{tr}(A)$  is the sum of diagonal entries

### Theorem 2.3: Representing Matrices

**Thm 2.3.1:** Let  $F$  be a field,  $V$  and  $W$  vector spaces over  $F$  with ordered bases  $\mathcal{A} = (\vec{v}_1, \dots, \vec{v}_m)$  and  $\mathcal{B} = (\vec{w}_1, \dots, \vec{w}_n)$ . Then to each linear mapping  $f: V \rightarrow W$  we associate a **representing matrix**  $_{\mathcal{B}}[f]_{\mathcal{A}}$  whose entries  $a_{ij}$  are defined by the identity

$$f(\vec{v}_j) = a_{1j}\vec{w}_1 + \dots + a_{nj}\vec{w}_n \in W$$

This makes a bijection, which is an isomorphism of vector spaces:

$$M_{\mathcal{B}}^{\mathcal{A}}: \text{Hom}_F(V, W) \xrightarrow{\sim} \text{Mat}(n \times m; F) \quad f \mapsto _{\mathcal{B}}[f]_{\mathcal{A}}$$

**Thm 2.3.2:** Let  $F$  field and  $U, V, W$  finite dim. vector spaces over  $kF$  with ordered bases  $\mathcal{A}, \mathcal{B}, \mathcal{C}$ . If  $f: U \rightarrow V$ ,  $g: V \rightarrow W$  are linear maps, then the representing matrix of the composition  $g \circ f: U \rightarrow W$  is the matrix product of the representing matrices of  $f$  and  $g$ :

$$_{\mathcal{C}}[g \circ f]_{\mathcal{A}} = _{\mathcal{C}}[g]_{\mathcal{B}} \circ _{\mathcal{B}}[f]_{\mathcal{A}}$$

**Def 2.3.4:** Let  $V$  be a finite dimensional vector space with an ordered basis  $\mathcal{A} = (\vec{v}_1, \dots, \vec{v}_m)$ . We'll denote the inverse to the bijection in 3 “ $\Phi_{\mathcal{A}}: F^m \xrightarrow{\sim} V, (\alpha_1, \dots, \alpha_m)^T \mapsto \alpha_1\vec{v}_1 + \dots + \alpha_m\vec{v}_m$ ” by

$$\vec{v} \mapsto _{\mathcal{A}}[\vec{v}]$$

The column vector  $_{\mathcal{A}}[\vec{v}]$  is called the **representation of the vector  $\vec{v}$  with respect to the basis  $\mathcal{A}$**

**Thm 2.3.4: Representation of the Image of a Vector:** Let  $V, W$  be finite dim. vector spaces over  $F$  with ordered bases  $\mathcal{A}, \mathcal{B}$  and let  $f: V \rightarrow W$  be a linear mapping. The following holds for  $\vec{v} \in V$ :

$$_{\mathcal{B}}[f(\vec{v})] = _{\mathcal{B}}[f]_{\mathcal{A}} \circ _{\mathcal{A}}[\vec{v}]$$

### Definition 2.4.1: Change of Basis Matrix

Let  $\mathcal{A} = (\vec{v}_1, \dots, \vec{v}_n)$ ,  $\mathcal{B} = (\vec{w}_1, \dots, \vec{w}_n)$  be ordered bases of the same  $F$ -vector space  $V$ . Then the matrix representing the identity mapping w.r.t. these bases

$$_{\mathcal{B}}[\text{id}_V]_{\mathcal{A}}$$

is called a **change of basis matrix**. Its entries are  $\vec{v}_j = \sum_{i=1}^n a_{ij}\vec{w}_i$

**Thm 2.4.3:** Let  $V$  and  $W$  be finite dimensional vector spaces over  $F$  and let  $f: V \rightarrow W$  be a linear mapping. Suppose that  $\mathcal{A}, \mathcal{A}'$  are ordered bases of  $V$  and  $\mathcal{B}, \mathcal{B}'$  are ordered bases of  $W$ . Then

$$_{\mathcal{B}'}[f]_{\mathcal{A}'} = _{\mathcal{B}'}[\text{id}_W]_{\mathcal{B}} \circ _{\mathcal{B}}[f]_{\mathcal{A}} \circ _{\mathcal{A}}[\text{id}_V]_{\mathcal{A}'}$$

**Cr1 2.4.4:** Let  $V$  be a finite dimensional vector space and let  $f: V \rightarrow V$  be an endomorphism of  $V$ . Suppose that  $\mathcal{A}, \mathcal{A}'$  are ordered bases of  $V$ . Then

$$_{\mathcal{A}'}[f]_{\mathcal{A}'} = _{\mathcal{A}}[\text{id}_V]_{\mathcal{A}'}^{-1} \circ _{\mathcal{A}}[f]_{\mathcal{A}} \circ _{\mathcal{A}}[\text{id}_V]_{\mathcal{A}'}$$

### Definition 4.1.1: Symmetric Groups

The group of all permutations of the set  $\{1, 2, \dots, n\}$ , also known as bijections from  $\{1, 2, \dots, n\}$  to itself is denoted by  $\mathfrak{S}_n$  (but i will just write  $S_n$  because icba) and called the  **$n$ -th symmetric group**. It is a group under composition and has  $n!$  elements.

- **Tranposition:** A permutation that swaps two elements of the set and leaves all the others unchanged.
- **Inversion** of a permutation  $\sigma \in S_n$ : A pair  $(i, j)$  such that  $1 \leq i < j \leq n$  and  $\sigma(i) > \sigma(j)$ .
- **Length of  $\sigma$ :** Num. of inversions of the perm.  $\sigma$ , written  $\ell(\sigma)$ . i.e.  
$$\ell(\sigma) = |\{(i, j) : i < j \text{ but } \sigma(i) > \sigma(j)\}|$$
- **Sign of  $\sigma$ :** The parity of the number of inversions of  $\sigma$ . i.e.:

$$\text{sgn}(\sigma) = (-1)^{\ell(\sigma)}$$

### Theorem 4.1: Multiplicativity of the sign

**Thm 4.1.5:** For each  $n \in \mathbb{N}$ , the sign of a permutation produces a group homomorphism  $\text{sgn}: S_n \rightarrow \{+1, -1\}$  from the symmetric group to the two-element group of signs. In formulas:

$$\text{sgn}(\sigma\tau) = \text{sgn}(\sigma)\text{sgn}(\tau) \quad \forall \sigma, \tau \in S_n$$

**Def 4.1.6 (Alternating Group):** For  $n \in \mathbb{N}$ , the set of even permutations in  $S_n$  forms a subgroup of  $S_n$  because it's the kernel of the group homomorphism  $\text{sgn}: S_n \rightarrow \{+1, -1\}$ , written  $A_n$

### Definition 4.3.1: Bilinear Forms

Let  $U, V, W$  be  $F$ -vector spaces. A **bilinear form on  $U \times V$  with values in  $W$**  is a mapping  $H: U \times V \rightarrow W$  which is a linear mapping in both of its entries. This means that it must satisfy the following properties for all  $u_1, u_2 \in U$  and  $v_1, v_2 \in V$  and all  $\lambda \in F$ :

$$\begin{aligned} H(u_1 + u_2, v_2) &= H(u_1, v_2) + H(u_2, v_2), & H(\lambda u_1, v_1) &= \lambda H(u_1, v_1) \\ H(u_1, v_2 + v_2) &= H(u_1, v_1) + H(u_1, v_2), & H(u_1, \lambda v_1) &= \lambda H(u_1, v_1) \end{aligned}$$

A bilinear form  $H$  is **symmetric** if  $U = V$  and

$$H(u, v) = H(v, u) \quad \text{for all } u, v \in U$$

while it is **antisymmetric** or **alternating** if  $U = V$  and

$$H(u, u) = 0 \quad \text{for all } u \in U$$

- antisymmetric  $\implies H(u, v) = -H(v, u)$
- $H(u, v) = -H(v, u) \implies$  antisymmetric iff  $1_F + 1_F \neq 0_F$

### Definition 4.3.3: Multilinear Forms

Let  $V_1, \dots, V_n, W$  be  $F$ -vector spaces. A mapping  $H: V_1 \times V_2 \times \dots \times V_n \rightarrow W$  is a **multilinear form** or just **multilinear** if for each  $j$ , the mapping  $V_j \rightarrow W$  defined by  $v_j \mapsto H(v_1, \dots, v_j, \dots, v_n)$ , with the  $v_i \in V_i$  arbitrary fixed vectors of  $V_i$  for  $i \neq j$  is linear.

Let  $V$  and  $W$  be  $F$ -vector spaces. A multilinear form  $H: V \times \dots \times V \rightarrow W$  is **alternating** if it vanishes on every  $n$ -tuple of elements of  $V$  that has at least two entries equal, in other words if:

$$(\exists i \neq j \text{ with } v_i = v_j) \rightarrow H(v_1, \dots, v_i, \dots, v_j, \dots, v_n) = 0$$

### Theorem 4.3.6: Characterisation of the Determinant

Let  $F$  be a field. The mapping

$$\det: \text{Mat}(n; F) \rightarrow F$$

is the unique alternating multilinear form on  $n$ -tuples of column vectors with values in  $F$  that takes the value  $1_F$  on the identity matrix

### Definition 4.4.6: Cofactors of a Matrix

Let  $A \in \text{Mat}(n; R)$  for some commutative ring  $R$  and  $n \in \mathbb{N}$ . Let  $i, j \in \mathbb{Z}$  between 1 and  $n$ . Then the  $(i, j)$  **cofactor** of  $A$  is  $C_{ij} = (-1)^{i+j} \det(A(i, j))$  where  $A(i, j)$  is the matrix obtained from  $A$  by deleting the  $i$ -th row and  $j$ -th column.

$$C_{23} = (-1)^{2+3} \det \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix} = -a_{11}a_{32} + a_{31}a_{12}$$

### Theorem 4.4.7: Laplace's Expansion

Let  $A = (a_{ij})$  be an  $(n \times n)$ -matrix with entries in a commutative ring  $R$ . For a fixed  $i$ , the  **$i$ -th row expansion of the determinant** (left) and similarly, the  **$j$ -th column expansion of the determinant** (right) is

$$\det(A) = \sum_{j=1}^n a_{ij} C_{ij} \quad \left| \quad \det(A) = \sum_{i=1}^n a_{ij} C_{ij} \right.$$

### Definition 4.4.8: Adjugate Matrix

Let  $A$  be a  $(n \times n)$ -matrix with entries in a commutative ring  $R$ . The **adjugate matrix**  $\text{adj}(A)$  is the  $(n \times n)$ -matrix whose entries are  $\text{adj}(A)_{ij} = C_{ji}$  where  $C_{ji}$  is the  $(j, i)$ -cofactor

### Theorem 4.4: Determinant Theorem Bank

**4.4.1:** Let  $R$  be a commutative ring,  $A, B \in \text{Mat}(n; R)$ . Then

$$\det(AB) = \det(A)\det(B)$$

**4.4.2:** The determinant of a square matrix with entries in a field  $F$  is non-zero if and only if the matrix is invertible

- 4.4.3:**
- If  $A$  is invertible then  $\det(A^{-1}) = \det(A)^{-1}$
  - If  $B$  is a square matrix then  $\det(A^{-1}BA) = \det(B)$

**4.4.4:** For all  $A \in \text{Mat}(n; R)$  with  $R$  a commutative ring,

$$\det(A^T) = \det(A)$$

**4.4.9 (Cramer's Rule):** Let  $A$  be a  $(n \times n)$ -matrix with entries in a commutative ring  $R$ . Then

$$A \cdot \text{adj}(A) = (\det A)I_n$$

**4.4.11** A square matrix with entries in a commutative ring  $R$  is invertible if and only if its determinant is a unit in  $R$ . That is,  $A \in \text{Mat}(n; R)$  is invertible if and only if  $\det(A) \in R^\times$

**4.4.14 (Jacobi's Formula):** Let  $A = (a_{ij})$  where the coefficients  $a_{ij} = a_{ij}(t)$  are functions of  $t$ . Then

$$\frac{d}{dt} \det A = \text{Tr} \text{Adj} A \frac{dA}{dt}$$



### Definition 4.5.6: Characteristic Polynomial

Let  $R$  be a commutative ring and let  $A \in \text{Mat}(n; R)$  be a square matrix with entries in  $R$ . The polynomial  $\det(xI_n - A) \in R[x]$  is called the **characteristic polynomial of the matrix**  $A$ . It is denoted by

$$\chi_A(x) := \det(xI_n - A)$$

**Thm: 4.5.8:** Let  $F$  be a field and  $A \in \text{Mat}(n; F)$  a square matrix with entries in  $F$ . The eigenvalues of the linear mapping  $A : F^n \rightarrow F^n$  are exactly the roots of the characteristic polynomial  $\chi_A$

### Theorem 4.5.9: Eigenvalue Remarks

- **Thm 4.5.4 (Existence of Eigenvalues)** Each endomorphism of a non-zero finite dimensional vector space over an algebraically closed field has an eigenvalue
- Square matrices  $A, B \in \text{Mat}(n; R)$  of same size are **conjugate** if

$$B = P^{-1}AP \in \text{Mat}(n; R)$$

for an invertible  $P \in GL(n; R)$

- Conjugacy is an equivalence relation on  $\text{Mat}(n; R)$
- The char. polynomials for two conjugate matrices are the same
- We can define the char. polynomials of an endomorphism  $f : V \rightarrow V$  of an  $n$ -dim vector space over a field  $F$  to be

$$\chi_f(x) = \chi_{\mathcal{A}}(x) \in F[x]$$

with  $A = {}_{\mathcal{A}}[f]_{\mathcal{A}} \in \text{Mat}(n; R)$  the matrix of  $f$  w.r.t *any* basis  $\mathcal{A}$  for  $V$ . The E.V.s of  $f$  are exactly the roots of  $\chi_f$

### Theorem 4.5.10: Extending Bases

Let  $f : V \rightarrow V$  be an endomorphism of an  $n$ -dimensional vector space  $V$  over a field  $F$ . Suppose given an  $m$ -dimensional subspace  $W \subseteq V$  such that  $f(W) \subseteq W$ , so that there are defined endomorphisms of the subspace and the quotient space:

$$g : W \rightarrow W; \vec{w} \mapsto f(\vec{w})$$

$$h : V/W \rightarrow V/W; W + \vec{v} \mapsto W + f(\vec{v})$$

The char. poly. of  $f$  is the product of the char. poly.s of  $g$  and  $h$

### Definition 4.6.1: Triangularisability

Let  $f : V \rightarrow V$  be an endomorphism of a finite dimensional  $F$ -vector space  $V$ .  $f$  is **triangularisable** if the vector space  $V$  has an ordered basis  $\mathcal{B} = (\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n)$  such that

$$f(\vec{v}_1) = a_{11}\vec{v}_1,$$

$$f(\vec{v}_2) = a_{12}\vec{v}_1 + a_{22}\vec{v}_2,$$

$\vdots$

$$f(\vec{v}_n) = a_{1n}\vec{v}_1 + a_{2n}\vec{v}_2 + \dots + a_{nn}\vec{v}_n \in V$$

(so that the first basis vector  $\vec{v}_1$  is an eigenvector, with eigenvalue  $a_{11}$ ) or equivalently such that the  $n \times n$  matrix  ${}_{\mathcal{B}}[f]_{\mathcal{B}} = (a_{ij})$  representing  $f$  with respect to  $\mathcal{B}$  is upper triangular (or any other triangular)

### Theorem 4.6.1 - 4.6.3

Let  $f : V \rightarrow V$  be an endomorphism of a finite dimensional  $F$ -vector space  $V$ . Then  $f$  is triangularisable iff the characteristic polynomial  $\chi_f$  decomposes into linear factors in  $F[x]$

Finding ordered bases - Choose from the following subspaces

1.  $W = \{\mu\vec{v}_1 \mid \mu \in F\} \subseteq V$
2.  $W' = \ker(f - \lambda 1_V)$ . This has a basis of E.Vs  $\{\vec{v}_1, \dots, \vec{v}_r\}$
3.  $W'' = \text{im}(\lambda 1_V - f)$

Then extend the basis to another ordered basis  $\mathcal{B}$  for  $V$  (the full space) where  $\text{can}(\vec{v}_j) = \vec{u}_j$  forms a basis for  $V/W$ .  ${}_{\mathcal{B}}[f]_{\mathcal{B}}$  is upper triangular.

An endomorphism  $A : F^n \rightarrow F^n$  is triangularisable iff  $A = (a_{ij})$  is conjugate to  $B = (b_{ij})$  ( $b_{ij} = 0$  for  $i > j$ ), an upper triangular matrix, with  $P^{-1}AP = B$  for an invertible matrix  $P$

### Definition 4.6.6: Diagonalisability

An endomorphism  $f : V \rightarrow V$  of an  $F$ -vector space  $V$  is **diagonalisable** iff there exists a basis of  $V$  consisting of eigenvectors of  $f$ . If  $V$  is finite dimensional then this is the same as saying that there exists an ordered basis  $\mathcal{B} = \{\vec{v}_1, \dots, \vec{v}_n\}$  where  ${}_{\mathcal{B}}[f]_{\mathcal{B}} = \text{diag}(\lambda_1, \dots, \lambda_n)$ . In this case, of course,  $f(\vec{v}_i) = \lambda_i\vec{v}_i$ .

A square matrix  $A \in \text{Mat}(n; F)$  is **diagonalisable** iff  $A$  is conjugate to a diagonal matrix, i.e. there exists  $P \in GL(n; F)$  such that  $P^{-1}AP = \text{diag}(\lambda_1, \dots, \lambda_n)$ . In this case the columns  $P$  are the vectors of a basis of  $F^n$  consisting of eigenvectors of  $A$  with eigenvalues  $\lambda_1, \dots, \lambda_n$

### Theorem 4.6.9: Linear Independence of Eigenvectors

Let  $f : V \rightarrow V$  be an endomorphism of a vector space  $V$  and let  $\vec{v}_1, \dots, \vec{v}_n$  be eigenvectors of  $f$  with pairwise different eigenvalues  $\lambda_1, \dots, \lambda_n$ . Then the vectors  $\vec{v}_1, \dots, \vec{v}_n$  are linearly independent

### Theorem 4.6.10: Cayley-Hamilton Theorem

Let  $A \in \text{Mat}(n; R)$  be a square matrix with entries in a commutative ring  $R$ . Then evaluating its characteristic polynomial  $\chi_A(x) \in R[x]$  at the matrix  $A$  gives zero.

## 4 Inner Product Spaces

### Definition 5.1.1: Inner Product

Let  $V$  be a vector space over  $\mathbb{R}$ . An **inner product** on  $V$  is a mapping

$$(\_, \_) : V \times V \rightarrow \mathbb{R}$$

that satisfies the following for all  $\vec{x}, \vec{y}, \vec{z} \in V$  and  $\lambda, \mu \in \mathbb{R}$ :

1.  $\lambda\vec{x} + \mu\vec{y}, \vec{z} = \lambda(\vec{x}, \vec{z}) + \mu(\vec{y}, \vec{z})$
2.  $(\vec{x}, \vec{y}) = (\vec{y}, \vec{x})$
3.  $(\vec{x}, \vec{x}) \geq 0$ , with equality iff  $\vec{x} = \vec{0}$

A **real inner product space** is a real vector space equipped with an inner product. **Note:** basically a generalisation of dot prod.

A **complex inner product space** is a complex vector space equipped with an inner product. This is the exact same, but condition 2 uses  $(\vec{x}, \vec{y}) = \overline{(\vec{y}, \vec{x})}$  where  $\bar{z}$  is the complex conjugate

### Definition 5.1.5: Norm

In a real or complex inner product space, the **length** or **inner product norm** or **norm**  $\|\vec{v}\| \in \mathbb{R}$  of a vector  $\vec{v}$  is defined as the non-negative square root

$$\|\vec{v}\| = \sqrt{(\vec{v}, \vec{v})}$$

Vectors whose length are 1 are called **units**. Two vectors  $\vec{v}, \vec{w}$  are **orthogonal**, written  $\vec{v} \perp \vec{w}$ , iff  $(\vec{v}, \vec{w}) = 0$

The norm  $\|\cdot\|$  on an inner product space  $V$  satisfies, for any  $\vec{v}, \vec{w} \in V$  and scalar  $\lambda$ :

1.  $\|\vec{v}\| \geq 0$  with equality iff  $\vec{v} = \vec{0}$
2.  $\|\lambda\vec{v}\| = |\lambda|\|\vec{v}\|$
3.  $|\vec{v} + \vec{w}| \leq \|\vec{v}\| + \|\vec{w}\|$  (triangle inequality)

### Definition 5.1.7: Orthonormal Family

A family  $(\vec{v}_i)_{i \in I}$  for vectors from an inner product space is an **orthonormal family** if all the vectors  $\vec{v}_i$  have length 1 and if they are pairwise orthogonal to each other. If  $\delta_{i,j}$  is the **Kronecker delta** defined by “1 if  $i = j$ , and 0 otherwise”, this means that  $(\vec{v}_i, \vec{v}_j) = \delta_{ij}$ . An orthonormal family that has a basis is an **orthonormal basis**

**Thm 5.1.10:** Every finite dimensional inner product space has an orthonormal basis

### Definition 5.2.1: Orthogonals to a Subset

Let  $V$  be an inner product space and let  $T \subseteq V$  be an arbitrary subset. Define

$$T^\perp = \{\vec{v} \in V : \vec{v} \perp \vec{t} \forall \vec{t} \in T\}$$

calling this set the **orthogonal** to  $T$

### Theorem 5.2.2: Complementary Orthogonals

Let  $V$  be an inner product space and let  $U$  be a finite dimensional subspace of  $V$ . Then  $U$  and  $U^\perp$  are complementary, i.e.  $V = U \oplus U^\perp$

### Definition 5.2.3: Orthogonal Projection

Let  $U$  be a finite dimensional subspace of an inner product space  $V$ . The space  $U^\perp$  is the **orthogonal complement to**  $U$ . The **orthogonal projection from**  $V$  **onto**  $U$  is the map

$$\pi_U : V \rightarrow V$$

that sends  $\vec{v} = \vec{p} + \vec{r}$  to  $\vec{p}$

**Prop 5.2.4:** Let  $U$  be a finite dimensional subspace of an inner product space  $V$  and let  $\pi_U$  be the orthogonal projection from  $V$  onto  $U$

1.  $\pi_U$  is a linear mapping with  $\text{im}(\pi_U) = U$  and  $\ker(\pi_U) = U^\perp$
2. If  $\{\vec{v}_1, \dots, \vec{v}_n\}$  is an orthonormal basis of  $U$ , then  $\pi_U$  is given by the following formula for all  $\vec{v} \in V$

$$\pi_U(\vec{v}) = \sum_{i=1}^n (\vec{v}, \vec{v}_i) \vec{v}_i$$

3.  $\pi_U^2 = \pi_U$ , that is,  $\pi_U$  is an idempotent

#### Theorem 5.2.5: Cauchy-Shwarz Inequality

Let  $\vec{v}, \vec{w}$  be vectors in an inner product space. Then

$$|(\vec{v}, \vec{w})| \leq \|\vec{v}\| \|\vec{w}\|$$

with equality if and only if  $\vec{v}$  and  $\vec{w}$  are linearly dependent

#### Theorem 5.2.7: Gram-Shmidt Process

Let  $\vec{v}_1, \dots, \vec{v}_k$  be linearly independent vectors in an inner product space  $V$ . Then there exists an orthonormal family  $\vec{w}_1, \dots, \vec{w}_k$  with the property that for all  $1 \leq i \leq k$ ,

$$\vec{w}_i \in \mathbb{R}_{>0} \vec{v}_i + \langle \vec{v}_{i-1}, \dots, \vec{v}_1 \rangle$$

TODO: write how to actually do the gram-shmidt process

#### Definition 5.3.1: Adjoints

Let  $V$  be an inner product space. Then two endomorphisms  $T, S: V \rightarrow V$  are called **adjoint** to one another if the following holds for all  $\vec{v}, \vec{w} \in V$ :

$$(T\vec{v}, \vec{w}) = (\vec{v}, S\vec{w})$$

In this case I will write  $S = T^*$  and call  $S$  the **adjoint** of  $T$

**Remark 5.3.2:** Any endomorphism has at most one adjoint.

#### Theorem 5.3.4

Let  $V$  be a finite dimensional inner product space. Let  $T: V \rightarrow V$  be an endomorphism. Then  $T^*$  exists. That is, there is a unique linear mapping  $T^*: V \rightarrow V$  such that for all  $\vec{v}, \vec{w} \in V$ :

$$(T\vec{v}, \vec{w}) = (\vec{v}, T^*\vec{w})$$

#### Definition 5.3.5: Self Adjoints

An endomorphism of an inner product space  $T: V \rightarrow V$  is **self-adjoint** if it equals its own adjoint, i.e. if  $T^* = T$

**Thm 5.3.7:** Let  $T: V \rightarrow V$  be a self-adjoint linear mapping on an inner product space  $V$

1. Every eigenvalue of  $T$  is real
2. If  $\lambda$  and  $\mu$  are distinct eigenvalues of  $T$  with corresponding eigenvectors  $\vec{v}$  and  $\vec{w}$ , then  $(\vec{v}, \vec{w}) = 0$
3.  $T$  has an eigenvalue

#### Definition 5.3.11: Orthogonal Matrices

An **Orthogonal matrix** is an  $(n \times n)$ -matrix  $P$  with real entries such that  $P^T P = I_n$ , or in other words such that  $P^{-1} = P^T$

A **hermitian matrix** is one that is self-adjoint in  $\mathbb{C}$ , or in other words one where  $A = \overline{A}^T$  holds

An **unitary matrix** is an  $(n \times n)$ -matrix  $P$  with complex entries such that  $\overline{P}^T P = I_n$ , or such that  $P^{-1} = \overline{P}^T$

#### Theorem 5.3.9: Spectral Theorems

**5.3.9:** The Spectral Theorem for Self-Adjoint Endomorphisms

Let  $V$  be a finite dimensional inner product space and let  $T: V \rightarrow V$  be a self-adjoint linear mapping. Then  $V$  has an orthonormal basis consisting of eigenvalues of  $T$ .

**5.3.11:** The Spectral Theorem for Real Symmetric Matrices

Let  $A$  be a real  $(n \times n)$ -symmetric matrix. Then there is an  $(n \times n)$ -orthogonal matrix  $P$  such that

$$P^T A P = P^{-1} A P = \text{diag}(\lambda_1, \dots, \lambda_n)$$

where  $\lambda_1, \dots, \lambda_n$  are the (necessarily real) eigenvalues of  $A$ , repeated according to their multiplicity as roots of  $\chi_A$

**5.3.15:** The Spectral Theorem for Hermitian Matrices

Let  $A$  be a  $(n \times n)$ -hermitian matrix. Then there is an  $(n \times n)$ -unitary matrix  $P$  such that

$$\overline{P}^T A P = P^{-1} A P = \text{diag}(\lambda_1, \dots, \lambda_n)$$

where  $\lambda_1, \dots, \lambda_n$  are the (necessarily real) eigenvalues of  $A$ , repeated according to their multiplicity as roots of  $\chi_A$

## 5 Jordan Normal Form

#### Definition 6.2.1: Jordan Blocks

Given an integer  $r \geq 1$  define an  $(r \times r)$ -matrix  $J(r)$  called the **nilpotent Jordan block of size  $r$** , by the rule  $J(r)_{ij} = 1$  for  $j = i + 1$  AND  $J(r)_{ij} = 0$  otherwise  
In particular,  $J(1)$  is a  $(1 \times 1)$ -matrix whose only entry is zero.

Given an integer  $r \geq 1$  and a scalar  $\lambda \in F$ , define an  $(r \times r)$ -matrix  $J(r, \lambda)$  called the **Jordan block of size  $r$  and eigenvalue  $\lambda$**  by the rule

$$J(r, \lambda) = \lambda I_r + J(r) = D + N$$

with  $\lambda I_r = \text{diag}(\lambda, \lambda, \dots, \lambda) = D$  diagonal and  $J(r) = N$  nilpotent such that  $DN = ND$

#### Theorem 6.2.2: Jordan Normal Form

Let  $F$  be an algebraically closed field. Let  $V$  be a finite dimensional vector space and let  $\phi: V \rightarrow V$  be an endomorphism of  $V$  with characteristic polynomial

$$\chi_\phi(x) = (x - \lambda_1)^{a_1} (x - \lambda_2)^{a_2} \dots (x - \lambda_s)^{a_s} \in F[x], a_i \geq 1, \sum_{i=1}^s a_i = n$$

For distinct  $\lambda_1, \lambda_2, \dots, \lambda_s \in F$ . Then there exists an ordered basis  $\mathcal{B}$  of  $V$  such that the matrix of  $\phi$  with respect to the block  $\mathcal{B}$  is block diagonal with Jordan blocks on the diagonal,  $_{\mathcal{B}}[\phi]_{\mathcal{B}}$

$$= \text{diag}(J(r_{11}, \lambda_1), \dots, J(r_{1m_1}, \lambda_1), J(r_{21}, \lambda_2), \dots, J(r_{sm_s}, \lambda_s))$$

with  $r_{11}, \dots, r_{1m_1}, r_{21}, \dots, r_{sm_s} \geq 1$  such that

$$a_i = r_{i1} + r_{i2} + \dots + r_{im_i} \quad (1 \leq i \leq s)$$

#### Theorem 6.3.1: Bézout's identity for polynomials

For a characteristic polynomial

$$\chi_\phi(x) = \prod_{i=1}^s (x - \lambda_i)^{a_i} \in F[x]$$

where each  $a_i$  is a positive integer,  $\lambda_i \neq \lambda_j$  for  $i \neq j$ , and  $\lambda_i$  are e.v.s of  $\phi$ . For each  $1 \leq j \leq s$  define

$$P_j(x) = \prod_{\substack{i=1 \\ i \neq j}}^s (x - \lambda_i)^{a_i}$$

There exists polynomials  $Q_j(x) \in F[x]$  such that

$$\sum_{j=1}^s P_j(x) Q_j(x) = 1$$

#### Definition 6.3.2: Generalised Eigenspace

The **generalised eigenspace** of  $\phi$  with eigenvalue  $\lambda_i$ ,  $E^{\text{gen}}(\lambda_i, \phi)$  is the following subspace of  $V$ :

$$E^{\text{gen}}(\lambda_i, \phi) = \{\vec{v} \in V \mid (\phi - \lambda_i \text{id}_V)^{a_i}(\vec{v}) = \vec{0}\}$$

The dimension of  $E^{\text{gen}}(\lambda_i, \phi)$  is called the **algebraic multiplicity of  $\phi$  with eigenvalue  $\lambda_i$**  while the dimension of the eigenspace  $E(\lambda_i, \phi)$  is called the **geometric multiplicity of  $\phi$  with eigenvalue  $\lambda$**

**Remark 6.3.4:** The actual eigenspace is defined by

$$E(\lambda_i, \phi) = \{\vec{v} \in V \mid (\phi - \lambda_i \text{id}_V)(\vec{v}) = \vec{0}\}$$

$E^{\text{gen}}(\lambda_i, \phi) \subseteq E^{\text{gen}}(\lambda_i, \phi)$ , or the algebraic multiplicity of any e.v. must be greater or equal to the corresponding geometric multiplicity

#### Definition 6.3.4: Stable subsets

Let  $f: X \rightarrow X$  be a mapping from a set  $X$  to itself. A subset  $Y \subseteq X$  is **stable under  $f$**  precisely when  $f(Y) \subseteq Y$ , that is if  $y \in Y$  then  $f(y) \in Y$ .

### Theorem 6.3.5: Direct Sum Composition

For each  $1 \leq i \leq s$ , let

$$\mathcal{B}_i = \{\vec{v}_{ij} \in V \mid 1 \leq j \leq a_i\}$$

be a basis of  $E^{\text{gen}}(\lambda_i, \phi)$ , where  $a_i$  is the algebraic multiplicity of  $\phi$  with eigenvalue  $\lambda_i$  s.t.  $\sum_{i=1}^s a_i = n$  is the dimension of  $V$ .

- Each  $E^{\text{gen}}(\lambda_i, \phi)$  is stable under  $\phi$
- For each  $\vec{v} \in V$  there exist unique  $\vec{v}_i \in E^{\text{gen}}(\lambda_i, \phi)$  such that  $\vec{v} = \sum_{i=1}^s \vec{v}_i$ . In other words, there is a direct sum decomposition

$$V = \bigoplus_{i=1}^s E^{\text{gen}}(\lambda_i, \phi)$$

with  $\phi$  restricting to endomorphisms of the summands

$$\phi_i = \phi| : E^{\text{gen}}(\lambda_i, \phi) \rightarrow E^{\text{gen}}(\lambda_i, \phi)$$

- Then

$$\mathcal{B} = \mathcal{B}_1 \cup \mathcal{B}_2 \cup \dots \cup \mathcal{B}_s = \{\vec{v}_{ij} \mid 1 \leq i \leq s, 1 \leq j \leq a_i\}$$

is a basis of  $V$ . The matrix of the endomorphism  $\phi$  w.r.t. this basis is given by the block diagonal matrix

$$_{\mathcal{B}}[\phi]_{\mathcal{B}} = \begin{pmatrix} B_1 & 0 & 0 & 0 \\ 0 & B_2 & & 0 \\ & & \ddots & \\ 0 & 0 & 0 & B_s \end{pmatrix} \in \text{Mat}(n; F)$$

with  $B_i = _{\mathcal{B}_i}[\phi_i]_{\mathcal{B}_i} \in \text{Mat}(a_i; F)$

### Theorem 6.3: JNF Theorem Bank

- 6.3.6:** For each  $i$ , define a linear mapping

$$\psi_i : \frac{W_i}{W_{i-1}} \rightarrow \frac{W_{i-1}}{W_{i-2}}$$

by  $\psi_i(\vec{w} + W_{i-1}) = \psi(\vec{w}) + W_{i-2}$  for  $\vec{w} \in W_i$ . Then  $\psi_i$  is well-defined and injective

- 6.3.7:** Let  $f : X \rightarrow Y$  be an injective linear mapping between the  $F$ -vector spaces  $X$  and  $Y$ . If  $\{\vec{x}_1, \dots, \vec{x}_t\}$  is a linearly independent set in  $X$ , then  $\{f(\vec{x}_1), \dots, f(\vec{x}_t)\}$  is a linearly independent set in  $Y$

- 6.3.8:** The set of elements  $\{\vec{v}_{j,k} : 1 \leq j \leq m, 1 \leq k \leq d_j\}$  constructed in the next algorithm is a basis for  $W$

- 6.3.9:** Let  $\mathcal{B}$  be the ordered basis of  $W$  -  $\{\vec{v}_{j,k} : 1 \leq j \leq m, 1 \leq k \leq d_j\}$ . Then  $_{\mathcal{B}}[\psi]_{\mathcal{B}} =$
- $$\text{diag} \underbrace{J(m), \dots, J(m)}_{d_m \text{ times}}, \underbrace{J(m-1), \dots, J(m-1)}_{d_{m-1} - d_m \text{ times}}, \dots, \underbrace{J(1), \dots, J(1)}_{d_1 - d_2 \text{ times}}$$
- where  $J(r)$  denotes the nilpotent Jordan block of size  $r$

### Theorem 6.3: JNF Basis Algorithm

Algorithm to construct a basis for each  $W_i/W_{i-1}$ :

- Choose an arbitrary basis for  $W_m/W_{m-1}$ , say  $\{v_{m,1} + W_{m-1}, \vec{v}_{m,2} + W_{m-1}, \dots, \vec{v}_m, d_m + W_{m-1}\}$
- Since  $\psi_m : W_m/W_{m-1} \rightarrow W_{m-1}/W_{m-2}$  is injective by 6.3.6, 6.3.7 proves that  $\{\psi(\vec{v}_{m,1}) + W_{m-2}, \psi(\vec{v}_{m,2}) + W_{m-2}, \dots, \psi(\vec{v}_m, d_m + W_{m-2})\}$  is a linearly independent set in  $W_{m-1}/W_{m-2}$ . Set  $\vec{v}_{m-1,i} = \psi(\vec{v}_{m,i})$  for  $1 \leq i \leq d_m$
- Choose vectors  $\{\vec{v}_{m-1,i} : d_m + 1 \leq i \leq d_{m-1}\}$  so that  $\{\vec{v}_{m-1,i} + W_{m-i-1} : 1 \leq k \leq d_{m-i}\}$  is a basis of  $W_{m-1}/W_{m-2}$
- Repeat!

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