# 1 Leon's (WIP) ITCS Exam Notes

Basically adapted from Chris Dalziel's notes :)
In collaboration with Alex Brodbelt :)

## Finite Automata

**Definition: Finite Automata**

A finite automaton takes a string as input and replies "yes" or "no". If an automaton $A$ replies "yes" on a string $S$ we say that $A$ "accepts" $S$.

**Definition: Deterministic Finite Automata**

A deterministic finite automaton (DFA) is a quintuple $(Q, \Sigma, q_0, \delta, F)$ where

- $Q$ is a finite set of states
- $\Sigma$ is an alphabet
- $q_0 \in Q$ is the initial state
- $\delta : Q \times \Sigma \to Q$ is the transition function
- $F \subseteq Q$ is the set of final states

A DFA accepts a string $w \in \Sigma^*$ iff $\delta^*(q_0, w) \in F$, where $\delta^*$ is $\delta$ applied successively for each symbol in $w$.
The language of a DFA $A$ is the set of all strings accepted by $a$, $\mathcal{L} \subseteq \Sigma^*$ is the set of all strings accepted by $A$.
The transition function is a total function which gives exactly one next state for each input symbol, i.e. it is deterministic

**Definition: Nondeterministic Finite Automata**

Non-determinism would mean that $\delta$ can return more than one successor state, it instead returns a set of possible states - no states is an empty set. A NFA is a quintuple $(Q, \Sigma, q_0, \delta, F)$ where:

- $Q$ is a finite set of states
- $\Sigma$ is an alphabet
- $q_0 \in Q$ is the initial state
- $\delta : Q \times \Sigma \to \mathcal{P}(Q)$ is the transition function
- $F \subseteq Q$ is the set of final states

The only difference between the definition of a DFA and that of an NFA is that in an NFA $\delta$ returns an element from the powerset of $Q$, $\mathcal{P}(Q)$

Adding non-determinism doesn't change "expressivity". Given an NFA $A$ there is an equivalent DFA $D$ such that $\mathcal{L}(D) = \mathcal{L}(A)$ and vice versa.

**Definition: $\epsilon$-NFA**

If we allow non-deterministic state chnages that don't consume any input symbols, we can label silent moves using $\epsilon$ - meaning the empty string We define the $\epsilon$ closure $E(q)$ of a state $q$ as the set of all states reachable from $q$ by silent moves. That is, $E(q)$ is the least set satisfying:

- $q \in E(q)$
- For any $s \in E(q)$ we also have $\delta(s, \epsilon) \subseteq E(q)$

DFA, NFA, $\epsilon$-NFA are all equal in expressive power

## Regular Languages

**Definition: Regular Languages**

Any language which can be accepted by a finite automaton is called a regular language.
Regular languages are also those recognised by Regular Expressions

**Definition: Regular Language Closure Properties**

For two languages $L_1$ and $L_2$, the following operations satisfy the closure property, i.e. for a member $x \in X$, and an operation $\phi$ we have that $\phi(x) \in \mathbb{R}$ for all $x$.

- **Union**: $L_1 \cup L_2$ is the language that includes all strings of $L_1$ and all strings of $L_2$.
- **Intersection**: $L_1 \cap L_2$ is the language that includes all strings of $L_1$ that are not in $L_2$, and vice versa
- **Sequential Composition**: $L_1 L_2$ is the language of strings that consist of strings in $L_1$ followed by a string in $L_2$.
- **Kleene closure**: $L^*$ is the language of strings that consist wholly of zero or more strings in $L$.

$$L^* = \bigcup_{i \in \mathbb{N}} L^i$$

- **Complement**: $\bar{L}$ is the language of every string not in $L$.

**Definition: Regular Expressions**

Regular characterise the regular languages, just like finite automata do. The following table shows the syntax and semantics of a regex.

| Syntax | Semantics | |
|---|---|---|
| $a$ | $[\![a]\!] = \{a\}$ | $(a \in \Sigma)$ |
| $\emptyset$ | $[\![\emptyset]\!] = \emptyset$ | |
| $\epsilon$ | $[\![\epsilon]\!] = \{\epsilon\}$ | |
| $R_1 \cup R_2$ | $[\![R_1 \cup R_2]\!] = [\![R_1]\!] \cup [\![R_2]\!]$ | |
| $R_1 \circ R_2$ | $[\![R_1 \circ R_2]\!] = [\![R_1]\!][\![R_2]\!]$ | |
| $R^*$ | $[\![R^*]\!] = [\![R]\!]^*$ | |

**Definition: Generalised NFAs**

A **generalised NFA**, or GNFA is an NFA where:

- Transitions have **regular expressions** on them instead of symbols
- There is only one unique final state
- The transition relation if **full**, except that the initial state has no incoming transitions, and the final state has no outgoing transitions

**Theorem 1: Pumping Lemma**

If $L \subseteq \Sigma^*$ is regular, then there is a **pumping length** $p \in \mathbb{N}$ such that for any $w \in L$ where $|w| \geq p$, we may split $w$ into three piexes $w = xyz$ satisfying three conditions:

- $xy^i z, \quad \forall i \in \mathbb{N}$
- $|y| > 0$
- $|xy| \leq p$

Note that if the pumping lemma fails then the language is not regular, but the inverse is not necessarily true.

**Theorem 2: Myhill-Nerode Theorem**

Let $L \subseteq \Sigma^*$ and $x, y \in \Sigma^*$. If there exists a suffix string $z$ such that $xz \in L$, but $yz \notin L$ or vice versa, then $x$ and $y$ are **distinguishable** by $L$. If $x$ and $y$ are not distinguishable by $L$, then we say that $x \equiv_L y$ - this is an equivalence relation. A regular language satisfies the following

- The number of equivalence classes $\equiv_L$ is finite.
- The number of equivalence classes is equal to the number of states in the minimal DFA accepting $L$ (not as important)

Therefore, to show a language is non-regular, show that it has infinite equivalence classes - that is, we find an infinite sequence $u_0 u_1 \ldots$ of strings such that for any $i, j$ where $i \neq j$, there is a string $w_{ij}$ such that $u_i w_{ij} \in L$ but $u_j w_{ij} \notin L$ or vice-versa

## Context-Free Languages

**Definition: Context-free Languages**

By adding recursion to regexs we can begin to recognise some non-regular languages. All regular languages are also context free.

### Definition: Context-free Grammars

A language is context-free iff it is recognised by a Context-free Grammar (CFG), which is a 4-tuple $(N, \Sigma, P, S)$ where:

- $N$ is a finite set of variables or non-terminals
- $\Sigma$ is a finite set of terminals
- $P \subseteq N \times (N \cup \Sigma)^*$ is a finite set of rules or productions
  - Typically productions are written $A \to aBc$
  - Productions with common heads can be combined, $A \to a$ and $A \to Aa$ can be combined into $A \to a \mid Aa$
- $S \in N$ is the starting variable

We use $\alpha$, $\beta$, $\gamma$ to refer to sequences of terminals
We make a derivation step $\alpha A \beta \Rightarrow_G \alpha\gamma\beta$ whenever $(A \to \gamma) \in P$;
The language of a CFG $G$ is:

$$\mathcal{L}(G) = \{w \in \Sigma^* \mid S \Rightarrow_G^* w^*\}$$

Where $\Rightarrow_G^*$ is the reflexive, transitive, closure of $\Rightarrow_G$.
Context-free grammars are ambiguous. They are closed under union, concatenation, and kleene star, but not under intersection or complementation

### Definition: Eliminating Ambiguity

We want to eliminate ambiguity in CFGs while still accepting all the same strings. This can be done for our language of regular expressions:

- First defining atomic expressions: $A \to (S)|\emptyset|\epsilon|a|b$
- Then ones which use Kleene Star: $K \to A|A^*$
- Then ones which may use left-associative composition: $C \to K|C \circ K$
- Finally expressions which use unions: $S \to C|S \cup C$

The order of operations here is therefore bottom to top; unions come before compositions, which come before Kleene etc

### Definition: Push-down Automata

Push-down automata are to CFGs what finite automatas are to regular expressions. They are implementationally identical to $\epsilon$-NFAs with the addition of a stack. The recursive element of CFGs is implemented using a standard last-in-first-out stack.

Transitions in a push-down automata take the form $x, y \to z$ which is read as "consume the input $x$, popping $y$ off the stack, and push $z$ onto the stack". We can allow actions that don't consume, pop, or push by setting variables to $\epsilon$.

### Definition: Formal Def. of PDAs

A **push-down automaton** is a 6-tuple $(Q, \Sigma, \Gamma, \delta, q_0, F)$ where $Q$, $\Sigma$, $\Gamma$ are all finite sets. $\Gamma$ is the stack alphabet, and $\delta$ now may take a stack symbol as input or return one as output:

$$\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \to \mathcal{P}(Q \times \Gamma_\epsilon)$$

All other compoments are as with $\epsilon$-NFAs

A string $w$ is accepted by a PDA if it ends in a final state, i.e. $\delta^*(q_0, w, \epsilon)$ gives a state $q$ and a stack $\gamma$ wuch that $q \in F$.

### Theorem 3: CFG to PDA

A language is context-free if and only if it is recognised by a push-down automaton. The proof is left as an exercise to the reader.

### Theorem 4: Pumping CFLs intro

Suppose a CFG has $n$ non-terminals, and we have a parse tree of height $k > n$. Then the same non-terminal $V$ must have appeared as its own descendant in the tree

- **Pumping down**: Cut the tree at the higher occurance of $V$ and replace it with the subtree at the lower occurance of $V$
- **Pumping up**: Cut at the lower occurance and replace it with a fresh copy of the higher occurance

### Theorem 5: Pumping Lemma for CFLs

If $L$ is context-free then there exists a pumping length $p \in \mathbb{N}$ such that if $w \in L$ with $|w| \geq p$ then $w$ may be split into **five** pieces $w = uvxyz$ such that

- $uv^ixy^iz \in L$ for all $i \in \mathbb{N}$
- $|vy| > 0$
- $|vxy| \leq p$

### Definition: Chomsky Grammars

Context-free grammars are a special case of Chomsky Grammars. Chomsky grammars are similar to CFGs, except that the left-hand side of a production may be any string that includes at least one non-terminal. An example is shown below

$$S \to abc \mid aAbc$$
$$Ab \to bA$$
$$Ac \to Bbcc$$
$$bB \to Bb$$
$$aB \to aaA \mid aa$$

Such a grammar is called **context-sensitive**

### Definition: The Chomsky Heirarchy

A grammar $G = (N, \Sigma, P, S)$ is of type:

0. (or **computably enumerable**) in the general case
1. (or **context sensitive**) if $|\alpha| \leq |\beta|$ for all productions $\alpha \to \beta$, except we also allow $S \to \epsilon$ if $S$ foes not occur on the RHS of any rule
2. (or **context free**) if all productions are of the form $A \to \alpha$ (i.e. a CFG)
3. (or **right-linear/regular**) if all productions are of the form $A \to w$ or $A \to wB$, where $w \in \Sigma$ and $B \in N$

## Algorithms for Languages

### Theorem 6: Emptiness for Regular Languages

Can we write a program to determine if a given regular language is empty?
Given a finite-automaton this is an instance of graph reach-ability, so we can use a depth-first search.

### Theorem 7: Emptiness for Context-free languages

Can we write a program to determine if a given context-free language is empty?
Given a CFG for our language, we can perform the following process:

1. Mark the terminals and $\epsilon$ as generating
2. Mark all non-terminals which have a production with only generating symbols in their right hand side as generating
3. Repeat until nothing new is marked
4. Check if $S$ is marked as generating or not

### Theorem 8: Equivalence of DFA

Is it possible to write a program to determine if two discrete finite automata are equivalent?

Given two DFA for $L_1$ and $L_2$, we can use our standard constructions to produce a DFA of the symmetric set difference:

$$(L_1 \cap \overline{L}_2) \cup (L_2 \cap \overline{L}_1)$$

## Register Machines

### Definition: Register Machines

A **register machine**, or RM, consists of:

- A fixed number $m$ of registers $R_0 \dots R_{m-1}$, which each holds a natural number
- A fixed program $P$ which is a sequence of $n$ instructions $I_0 \dots I_{n-1}$

Each instruction is one of the following:

- `INC(i)`: which increments the register $R_i$ by one
- `DECJZ(i, j)`: which decrements register $R_i$ unless $R_i = 0$ in which case it jumps to instruction $I_j$

RMs can compute anything any other computer can

### Definition: Pairing Functions for RMs

A **pairing function** is an injective function $\mathbb{N} \times \mathbb{N} \to \mathbb{N}$. An example is $f(x, y) = 2^x 3^y$.
We write $\langle x, y \rangle_2$ for $f(x, y)$. If $z = \langle x, y \rangle_2$, let $z_0 = x$ and $z_1 = y$.
This lets us encode multiple values into a single value, and a 2-tuple pairing function is enough to cram an arbitrary sequence of natural numbers into one $\mathbb{N}^* \to \mathbb{N}$

### Definition: Turing Machine

A **turing machine** is a 7-tuple $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

- $Q$: states
- $\Sigma$: input symbols
- $\Gamma \subseteq \Sigma$: **tape** symbols, including a **blank** symbol $\sqcup$
- $\delta : Q \times \Gamma \to Q \times \Gamma \times \{-1, 1\}$
- $q_0, q_{\text{accept}}, q_{\text{reject}} \in Q$: start, accept, reject states

### Theorem 9: Church-Turing Thesis

The Church-Turing thesis states that any problem is computable by any model of computation iff it is computable by a **Turing machine**.
For our purposes this matters for RMs, TMs, and $\lambda$-calculus.
Other examples are combinator calculus, general recursive functions, pointer machines, counter machines, cellular automata, queue automata, enzyme-based DNA computers, Minecraft, Magic the Gathering, and others.

## Decidability

### Definition: Problems with no Algorithm

While the above problems are all computable, this is not always the case. The questions asked, i.e. "can we determine x?", are not always something we can answer using a program - if this is the case then that problem is considered undecidable.
Many such problems exist for Context-free Languages

- Are two CFG equivalent?
- Is a given CFG ambiguous?
- Is there a way to make a given CFG unambiguous?
- Is the intersection of two CFLs empty?
- Does a CFG generate all strings $\Sigma^*$?
- ...

### Theorem 10: The Halting Problem

Given a register machine encoding, can we write a program to determine if the simulated machine will halt or not?
If we suppose $H$ is such a register machine, which takes a machine encoding $\lceil M \rceil$ in $R_0$, halts with 1 if $M$ halts, and halts with 0 if $M$ doesn't halt.
We can construct a new machine $L = (P_L, R_0, \dots)$ which, given a program $\lceil P \rceil$ runs $H$ on the program with itself as input, the machine $(P, \lceil P \rceil)$ and loops if it halts.
If we run $L$ on $P_L$ itself we get a problem. If $L$ halts on $\lceil P_L \rceil$ that means $H$ says $(P_L, \lceil P_L \rceil)$. If $L$ loops on $\lceil P_L \rceil$ that means that $H$ says $(P_L, \lceil P_L \rceil)$ halts.
This is a contradiction!
The halting problem proves that there are some programs which cannot be decided by register machines. What about other machines?

### Definition: Computability

A (total) function $\mathbb{N} \to \mathbb{N}$ is **computable** if there is an RM/TM which computes $f$, i.e., given an $x$ in $R_0$, leaves $f(x)$ in $R_0$
A **decision problem** is a set $D$ and a quiery subset $Q \subseteq D$. A problem is **decidable** or **computable** if $d \in Q$ is characterised by a computable function $f : D \to \{0, 1\}$.

### Definition: Reductions

A **reduction** is a transformation from one problem to another.
To prove that a problem $P_2$ is hard, show that there is an easy reduction from a known hard problem $P_1$ to $P_2$.
To show a problem $P_2$ is undecidable, show that there is a computable reduction from a known undecidable $P_1$ to $P_2$. The direction here matters - it tells us nothing to know that there's an easy way to make an easy problem difficult!

### Example : Reduction analogy

If it is a well known fact that Hyunwoo cannot lift a car, we can prove that Hyunwoo cannot lift a loaded truck by making the following reduction: If we suppose he could lift the loaded truck, then we could have him lift the car by putting it in the loaded truck - but we know he cannot lift a car.

### Definition: Mapping Reductions

A **Turing transducer** is a RM (or TM) which takes an instance $d$ of a problem $P_1 = (D_1, Q_1)$ in $R_0$ and halts with an instance $d' = f(d)$ of $P_2 = (D_2, Q_2)$ in $R_0$. Thus, $f$ is a computable function $D_1 \to D_2$
A **mapping reduction** (or a many-to-one reduction) from $P_1$ to $P_2$ is a turing transducer $f$ such that $d \in Q_1$ iff $f(d) \in Q_2$
If $A$ is mapping reducible to $B$, and $A$ is undecidable, then $B$ is undecidable.

### Definition: Turing Reductions

A **Turing reduction** from $P_1$ to $P_2$ is an RM/TM equipped with an oracle for $P_2$ which solves $P_1$.
Decidability results carry across during Turing reductions as with mapping reductions, but mapping reductions make finer distinctions of computing power.

### Theorem 11: Rice's Theorem

- A **property** is a set of RM (or TM) descriptions
- A property is **non-trivial** if it contains some but not all descriptions
- A property $P$ is **semantic** if

  $$\mathcal{L}(M_1) = \mathcal{L}(M_2) \Rightarrow (\lceil M_1 \rceil \in P \Leftrightarrow \lceil M_2 \rceil \in P)$$

  In other words, it concerns the **language** and not the particular implentation of the language

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**Rice's Theorem** - All non-trivial semantic properties are undecidable.

### Theorem 12: Rice's Theorem part 2

Rice's theorem is useful for deciding propertiese like whether a language is empty, non-empty, regular, context-free etc
It cannot be applied to questions like whether a TM has fewer than 7 states, a final state, a start state, etc. These properties are of machines and not languages
It also doesn't apply to questions like is a language a subset of $\Sigma^*$ or whether a language of a RM is a language of a TM - these are trivial properties! The consequences of this is that we cannot write programs which answer non-trivial questions about the black-box behaviours of programs

## Computability in depth

**Definition: Semi-decidability**

A problem $(D, Q)$ is **semi-decidable** if there is a TM/RM that returns "yes" for any $d \in Q$, but may return "no" or loop forever when $d \notin Q$

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A problem $(D, Q)$ is **co-semi-decidable** if ther eis a TM/RM that returns "no" for any $d \notin Q$, but may return "yes" or loop forever when $d \in Q$

**Definition: Enumerable Computability**

A set $S$ is **enumerable** if there is a bijection between $S$ and $\mathbb{N}$
A set $S$ is called **computably enumerable** (or c.e.) if the enumeration function $f : \mathbb{N} \to S$ is computable
In terms of RM and TM we can think of enumeration as outputting an infinite list as it executes forever.

**Theorem 13: Decidability theorems**

Any problem that is both semi-decidable and co-semi-decidable is decidable

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If a problem $P$ is semi-decidable then its complement $\overline{P}$ is co-semi-decidable, and vice versa

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All semi-decidable problems are computably enumerable, and any computably enumerable problem is semi-decidable - being semi-decidable is the same as being computably enumerable.

**Theorem 14: Decidability Reductions**

To prove that a problem $P_2$ is not c.e. we show that there is a mapping reduction from a known not-c.e. problem $P_1$ to $P_2$. We must use mapping reductions, as $H$ is c.e. but $L$ is not - but $L$ is Turing reducible to $H$ by flipping the answer.

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**Definition: Name**

**Example : Regular Expressions**

At least one 0:

$$(0 \cup 1)^* 0 (0 \cup 1) *$$

At least one 1 and at least one 0:

$$((0 \cup 1)^* 01 (0 \cup 1)^*) \cup ((0 \cup 1)^* 10 (0 \cup 1)^*)$$