Artificial Intelligence

Roman Barták

Department of Theoretical Computer Science and Mathematical Logic

Knowledge Representation: First-Order Logic

Knowledge representation

We are looking for a **formal language** that can

- represent knowledge
- reason with knowledge

What about **programming languages** (C++, Java,...)?

- this is the most widely used class of formal languages
- facts are described via data structures
 - array world[4,4]
- programs describe how to do computations (changing data structures)
 - world[2,2] ← pit
- How to infer new information from existing facts?
 - ad-hoc procedures changing data structures → a procedural approach
 - a declarative approach separates knowledge and inference mechanism (moreover, inference is general and problem independent)
- How to represent knowledge such as "pit at [2,2] or [3,1]"?
 - variables in computer programs have unique values

Introduction

 We are designing knowledge-based agents – they combine and recombine information about the world with current observations to uncover hidden aspects of the world and use them for action selection.

- How to represent knowledge?
 - so far propositional logic
 - today first-order logic
- How to **reason** over that knowledge?
 - so far **model checking** (DPLL, WalkSAT)
 - today resolution
 - · forward and backward chaining

Natural languages

- Can we use **natural languages** (English, Czech, ...) to represent knowledge?
 - That would be great but there is no precise formal semantics for these languages!
 - Currently, natural languages are seen as a medium for communication rather than for pure representation.
 - the sentence itself does not code information, it also depends on context
 - "Look!"
 - another problem is **ambiguity** of natural languages
 - spring, ...

- **Propositional logic** is declarative with compositional semantic that is context-independent and unambiguous.
- However, some properties are cumbersome (not easy to model).
 - Wumpus: there is breeze next to a pit
 - $B_{1.1} \Leftrightarrow (P_{1.2} \vee P_{2.1})$ • $B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$
- Let us take inspiration from natural languages:
 - we have nouns representing **objects** (pit, square,...)
 - verbs express **relations** between the objects (is next to, ...)
 - some relations are in fact functions (is a father of)
- Instead of pure facts (propositional logic) we will work with objects, relations, and functions. We will also express facts about some or all objects (first-order predicate logic - FOL).

•	constants	John, 2, Cro	wn
	COLIDICALICS	301111, 2 , 010	/ V V I I / I I I

Brother, >,... predicates

 functions Sqrt, LeftLeg,...

x, y, a, b,... variables

 $\neg, \Rightarrow, \land, \lor, \Leftrightarrow$ connectives

equality

 quantifies \forall , \exists

Logical frameworks - a survey

Propositional logic	facts that hold or not
First-order logic	objects and relations between them
Temporal logic	facts and times when they hold
Higher-order logic	relations between objects are used as objects (there are claims on relations)

First-order logic: an example



- constants (names of objects):
- function symbols:
- **terms** (another form to name objects)
 - LeftLeg(John)
- predicate symbols:
- · Brother, OnHead, Person, King, Crown
- atomic sentences (describe relations between objects):
 - Brother(Richard, John)
- complex sentences:
- King(Richard) v King(John)
- ¬King(Richard) ⇒ King(John)
- quantifiers (help to define sentences over more objects):
 - ∀x King(x) ⇒ Person(x)
 - Beware: ∀x King(x) ∧ Person(x) !!!
 - ∃x Crown(x) ∧ OnHead(x,John)
 - Beware: ∃x Crown(x) ⇒ OnHead(x,John) !!!
- $\forall x,y \; Brother(x,y) \Rightarrow Brother(y,x)$
- ∃x,y Brother(x,Richard) ∧ Brother(y,Richard)
- $\exists x,y \; Brother(x,Richard) \land Brother(y,Richard) \land \neg(x=y)$

Equality says that two terms refer to the same object (Father(John) = Henry).

Universal quantifier ∀x P

- P is true for any object x
- corresponds to a conjunction of all formulas P
 - P(John) ∧ P(Richard) ∧ P(TheCrown) ∧ P(LeftLeg(John)) ∧ ...
- Typically connected with implication (to select the objects for which the sentence holds)
 - $\forall x \text{ King}(x) \Rightarrow \text{Person}(x)$

Existential quantifier ∃x P

- there is an object x such that P holds for it
- corresponds to a disjunction of all formulas P
 - P(John) v P(Richard) v P(TheCrown) v P(LeftLeg(John)) v ...

Relations between quantifiers

- \(\foat\) \(\foat\) \(\foat\) is identical to \(\foat\) \(\foat\) \(\foat\) \(\foat\)
 \(\foat\) \(\foat\) is identical to \(\foat\) \(\foat\)
- $\exists x \ \forall y \ \text{is not identical to} \ \forall y \ \exists x \ (\exists x \ \forall y \ \text{Loves}(x,y) \ \text{vs.} \ \forall y \ \exists x \ \text{Loves}(x,y))$
- \(\forall x \) P is identical to \(\neg 3x \) P
 \(\forall x \) P is identical to \(\neg 4x \) P

Inference in FOL

- We can do inference in propositional logic. Let us extend it to first-order logic now.
- The main differences:
 - quantifiers → skolemization
 - functions and variables → unifications
- The rest techniques are known:
 - forward chaining (deduction databases, production systems)
 - backward chaining (logic programming)
 - resolution (theorem proving)

- Similarly to propositional logic we will use operations TELL to add a sentence to knowledge base:
 - TELL(KB, King(John))
 - TELL(KB, $\forall x \text{ King}(x) \Rightarrow \text{Person}(x)$)
 - We are typically adding axioms (facts as atomic sentences, definitions using and other complex sentences) and sometime even theorems (can be deduced from axioms, but they "speed up" further inference).
- and **operations ASK** for querying the sentences entailed by KB:
 - ASK(KB, King(John))
 - ASK(KB, Person(John))

- ASK(KB, ∃x Person(x))

Reducing FOL to PL

- Reasoning in first-order logic can be done by conversion to propositional logic and doing reasoning there.
 - Grounding (propositionalization)
 - instantiate variables by all possible terms
 - atomic sentences then correspond to propositional variables
 - And what about quantifiers?
 - universal quantifiers: each variable is substituted by a term
 - existential quantifier: skolemization (variable is substituted by a new constant)

Reducing FOL to PL: quantifiers

Universal instantiation

$$\forall v \alpha$$

Subst($\{v/g\}, \alpha$)

For a variable v and a grounded term g, apply substitution of g for v. **Can be applied more times** for different terms g.

Example: ∀x King(x) ∧ Greedy(x) ⇒ Evil(x) leads to:
 King(John) ∧ Greedy(John) ⇒ Evil(John)
 King(Richard) ∧ Greedy(Richard) ⇒ Evil(Richard)
 King(LeftLeg(John)) ∧ Greedy(LeftLeg(John)) ⇒ Evil(LeftLeg(John))

Existential instantiation

$$\exists v \alpha$$

Subst($\{v/k\}, \alpha$)

For a variable v and a new constant k, apply substitution of k for v.

Can be applied once with a new constant that has not been so far (Skolem constant)

Example: ∃x Crown(x) ∧ OnHead(x,John) leads to:
 Crown(C₁) ∧ OnHead(C₁,John)

Inference in FOL

- We can modify the inference rules to work with FOL:
 - lifting we will do only such substitutions that we need to do
 - lifted Modus Ponens rule:

$$\frac{p_1, p_2, ..., p_n, q_1 \land q_2 \land ... \land q_n \Rightarrow q}{Subst(\theta, q)}$$

where θ is a substitution s.t. Subst (θ, p_i) = Subst (θ, q_i) (for **definite clauses** with exactly one positive literal **rules**)

- We need to find substitution such that two sentences will be identical (after applying the substitution)
 - King(John) \land Greedy(y) King(x) \land Greedy(x)
 - substitution {x/John, y/John }

Reducing FOL to PL – an example

• Let us start with a knowledge base in FOL (no functions yet):

Vx King(x) ∧ Greedy(x) ⇒ Evil(x)
King(John)
Greedy(John)
Brother(Richard,John)

 By assigning all possible constants for variables we will get a knowledge base in propositional logic:

King(John) ∧ Greedy(John) ⇒ Evil(John)
King(Richard) ∧ Greedy(Richard) ⇒ Evil(Richard)
King(John)
Greedy(John)
Brother(Richard,John)

• Inference can be done in propositional logic then.

Problem: having even a single function symbol gives infinite number of terms LeftLeg(John), LeftLeg(LeftLeg(John)),...

- Herbrand: there is an inference in FOL from a given KB if there is an inference in PL from a finite subset of a fully instantiated KB
- We can add larger and larger terms to KB until we find a proof.
- However, if there is no proof, this procedure will never stop ③.

Unification

• How to find substitution θ such that two sentences p and q are identical after applying that substitution?

- Unify(p,q) = θ , where Subst(θ ,p) = Subst(θ ,q)

 p
 q
 θ

 Knows(John,x)
 Knows(John,Jane)
 {x/Jane}

 Knows(John,x)
 Knows(y,OJ)
 {x/OJ, y/John}

 Knows(John,x)
 Knows(y,Mother(y))
 {y/John, x/Mother(John)}

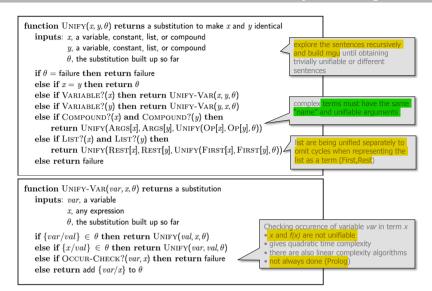
 Knows(John,x)
 Knows(x,OJ)
 {fail}

– What if there are more such substitutions?

Knows(John,x) Knows(y,z), $\theta = \{y/\text{John}, x/z\} \text{ or } \theta = \{y/\text{John}, x/\text{John}, z/\text{John}\}$

- The first substitution is more general than the second one (the second substitution can be obtained by applying one more substitution after the first substitution {z/John}).
- There is a unique (except variable renaming) substitution that is more general than any other substitution unifying two terms – the most general unifier (mgu).

Unification algorithm



Example

- According to US law, any American citizen is a criminal, if he or she sells weapons to hostile countries. Nono is an enemy of USA. Nono owns missiles that colonel West sold to them. Colonel West is a US citizen.
- · Prove that West is a criminal.
- ... any US citizen is a criminal, if he or she sells weapons to hostile countries: $American(x) \land Weapon(y) \land Sells(x,y,z) \land Hostile(z) \Rightarrow Criminal(x)$

Nono ... owns missiles, i.e. $\exists x \ Owns(Nono,x) \land Missile(x)$:

 $Owns(Nono, M_1)$ and $Missile(M_1)$

... colonel West sold missiles to Nono

 $Missile(x) \land Owns(Nono,x) \Rightarrow Sells(West,x,Nono)$

Missiles are weapons.

 $Missile(x) \Rightarrow Weapon(x)$

Hostile countries are enemies of USA.

 $Enemy(x,America) \Rightarrow Hostile(x)$

West is a US citizen ...

American(West)

Nono is an enemy of USA ...

Enemy(Nono, America)



- Assume a **query** Knows(John, x).
- We can find an answer in the knowledge base by finding a fact unifiable with the query:

Knows(John, Jane) \rightarrow {x/Jane}

Knows(y, Mother(y)) \rightarrow {x/Mother(John)}

 $Knows(x, Elizabeth) \rightarrow fail$

- ???
- Knows(x,Elizabeth) means that anybody knows Elizabeth (existential quantifier is assumed there), so John knows Elizabeth.
- The problem is that both sentences contain variable x and hence cannot be unified.
- ∀x Knows(x,Elizabeth) is identical to ∀y Knows(y,Elizabeth)
- Before we use any sentence from KB, we rename its variables to new fresh variables not ever used before - standardizing apart.

Inference techniques

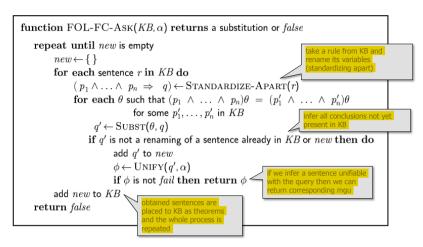
All sentences in the example are definite clauses and there are no function symbols there.

To solve the problem we can use:

forward chaining

- using Modus Ponens we can infer all valid sentences
- this is an approach used in deductive databases
 (Datalog) and production systems
- backward chaining
 - we can start with a query **Criminal(West)** and look for facts supporting that claim
 - this is an approach used in logic programming

Forward chaining in FOL



Forward chaining is a **sound** and **complet**e inference algorithm.

 Beware! If the sentence is not entailed by KB the algorithm may not finish (if there is at least one function symbol).

Forward chaining – pattern matching

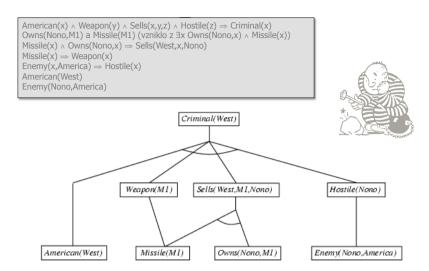
$$\begin{array}{lll} \mbox{for each θ such that } (p_1 \ \wedge \ \dots \ \wedge \ p_n)\theta \ = \ (p_1' \ \wedge \ \dots \ \wedge \ p_n')\theta \\ \mbox{for some } p_1', \dots, p_n' \ \mbox{in } KB \end{array}$$

How to find (fast) a set of facts p'₁,..., p'_n unifiable with the body of the rule?

- This is called pattern matching
- Example 1: $Missile(x) \Rightarrow Weapon(x)$
 - we can index the set of facts according to predicate name so we can omit failing attempts such as Unify(Missile(x), Enemy(Nono, America))
- Example 2: Missile(x) ∧ Owns(Nono,x) ⇒ Sells(West,x,Nono)
 we can find objects own by Nono which are missiles ...
 or we can find missiles that are owned by Nono Which order is better?
 - Start with less options (if there are two missiles while None owns many objects then alternative 2 is faster) recall the first-fail heuristic from constraint satisfaction



Forward chaining – an example



Forward chaining – an incremental approch

- **Example**: $Missile(x) \Rightarrow Weapon(x)$
 - during the iteration, the forward chaining algorithm infers that all known missiles are weapons
 - during the second (and every other) iteration the algorithm deduces exactly the same information so KB is not updated
- When should we use the rule in inference?
 - if there is a new fact in KB that is also in the rule body

Incremental forward chaining

- a rule is fired in iteration t, if a new fact was inferred in iteration (t-1) and this fact is unifiable with some fact in the rule body
- when a new fact is added to KB, we can verify all rules such that the fact unifies with a fact in rule body
- Rete algorithm
 - the rules are pre-processed to a dependency network where it is faster to find the rules to be fired after adding a new fact



Forward chaining – a magic set

- Forward chaining algorithm deduces all inferable facts even if they are not relevant to a query.
 - to omit it we can use backward chaining
 - another option is modifying the rules to work only with relevant constants using a so called magic set

Example: query Criminal(West)

 $Magic(x) \land American(x) \land Weapon(y) \land Sells(x,y,z) \land Hostile(z) \Rightarrow Criminal(x)$

Magic(West)

 The magic set can be constructed by backward exploration of used rules.



Backward chaining in FOL

```
function FOL-BC-Ask(KB, goals, \theta) returns a set of substitutions inputs: KB, a knowledge base goals, a list of conjuncts forming a query \theta, the current substitution, initially the empty substitution \{\} local variables: ans, a set of substitutions, initially empty if goals is empty then return \{\theta\} take the first goal and apply the so-far found substitution \{\} or each r in KB where STANDARDIZE-APART(r) = \{p_1 \land \ldots \land p_n \Rightarrow q\} and \theta' \leftarrow \text{UNIFY}(q, q') succeeds ans \leftarrow \text{FOL-BC-Ask}(KB, [p_1, \ldots, p_n| \text{REST}(goals)], \text{Compose}(\theta, \theta')) \cup ans and ans and ans did the rule body among the goals and recursively continue in goal reduction until obtaining an empty ans substitutions ans substitutions
```



Production systems

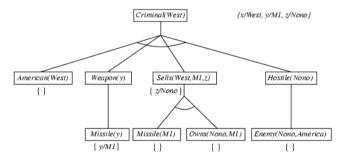


- based on rete algorithm
- XCON (R1)
 - configuration of DEC computers
- OPS-5
 - programming language based on forward chaining
- CLIPS
 - A tool for expert system design from NASA
- Jess, JBoss Rules,...
 - business rules

Backward chaining – an example







Logic programming

• Backward chaining is a method used in **logic programming** (Prolog).

```
rule head
                                  rule body
criminal(X) :-
     american(X), weapon(Y), sells(X,Y,Z), hostile(Z).
owns (nono, m1).
missile (m1).
sells(west, X, nono) :-
                                           ?- american(west), weapon(Y),
     missile(X), owns(nono,X).
                                             sells(west,Y,Z), hostile(Z)
weapon(X):-
                                           ?- weapon(Y), sells(west,Y,Z),
     missile(X).
                                             hostile(Z).
                                           ?- missile(Y), sells(west,Y,Z),
hostile(X) :-
                                             hostile(Z)
     enemy (X, america).
                                           ?- sells(west,m1,Z), hostile(Z).
american (west).
                                           ?- missile(m1), owns(nono,m1),
                                             hostile(nono)
enemy (nono, america).
                                           ?- owns (nono, m1), hostile (nono).
                                           ?- hostile(nono)
?- criminal (west).
                                           ?- enemy (nono, america).
                                           ?- true.
```

Resolution – a conjunctive normal form

To apply a **resolution method** we first need a formula in a **conjunctive normal form**.

```
∀x [∀y Animal(y) ⇒ Loves(x,y)] ⇒ [∃y Loves(y,x)]
remove implications
∀x [¬∀y ¬Animal(y) ∨ Loves(x,y)] ∨ [∃y Loves(y,x)]
put negation inside (¬∀x p = ∃x ¬p, ¬∃x p = ∀x ¬p)
∀x [∃y ¬(¬Animal(y) ∨ Loves(x,y))] ∨ [∃y Loves(y,x)]
∀x [∃y Animal(y) ∧ ¬Loves(x,y)] ∨ [∃y Loves(y,x)]
standardize variables
∀x [∃y Animal(y) ∧ ¬Loves(x,y)] ∨ [∃z Loves(z,x)]
Skolemize (Skolem functions)
∀x [Animal(F(x)) ∧ ¬Loves(x,F(x))] ∨ [Loves(G(x),x)]
remove universal quantifiers
[Animal(F(x)) ∧ ¬Loves(x,F(x))] ∨ [Loves(G(x),x)]
distribute v and ∧
[Animal(F(x)) ∨ Loves(G(x),x)] ∧ [¬Loves(x,F(x)) ∨ Loves(G(x),x)]
```

Logic programming - properties

- fixed computation mechanism
 - goal is reduced from left to right
 - rules are explored from top to down
- returns a single solution, a next solution on request
 possible cycling (brother (X, Y) :- brother (Y, X))
- build-in arithmetic
 - X is 1+2.
 - (numerically) evaluates the expression on right and unifies the result with the term on the left
- equality gives explicit access to unification
 - -1+Y=3.
 - It is possible to naturally exploit constraints (CLP – Constraint Logic Programming)
- negation as failure
 - alive(X) :- not dead(X).
 - "everyone is alive, if we cannot prove he is dead"
 - ¬Dead(x) \Rightarrow Alive(x) is not a definite clause!
 - Alive(x) v Dead(x)
 - "Everyone is alive or dead"



Resolution – inference rule:

A lifted version of the resolution rule for first-order logic:

$$\frac{l_1 \vee \cdots \vee l_k \vee m_1 \vee \cdots \vee m_n}{(l_1 \vee \cdots \vee l_{i-1} \vee l_{i+1} \vee \cdots \vee l_k \vee m_1 \vee \cdots \vee m_{i-1} \vee m_{i+1} \vee \cdots \vee m_n)\theta}$$

where Unify(l_i , $\neg m_i$) = θ .

- We assume standardization apart so variables are not shared by clauses.
- To make the method complete we need:
 - extend the binary resolution to more literals
 - use factoring to remove redundant literals (those that can be unified together)

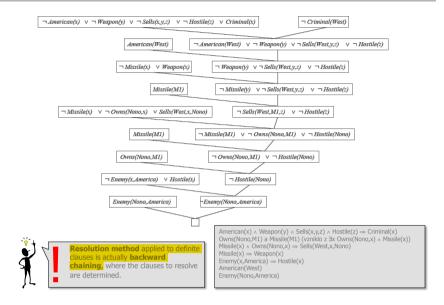
Example:

$$\frac{[\mathsf{Animal}(\mathsf{F}(\mathsf{x})) \lor \mathsf{Loves}(\mathsf{G}(\mathsf{x}),\mathsf{x})], \qquad [\neg \mathsf{Loves}(\mathsf{u},\mathsf{v}) \lor \neg \mathsf{Kills}(\mathsf{u},\mathsf{v})]}{[\mathsf{Animal}(\mathsf{F}(\mathsf{x})) \lor \neg \mathsf{Kills}(\mathsf{G}(\mathsf{x}),\mathsf{x})]}$$

where $\theta = \{u/G(x), v/x\}$

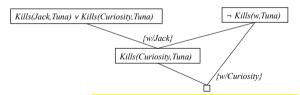
- Query α for KB is answered by applying the resolution rule to CNF(KB $\wedge \neg \alpha$).
 - If we obtain an empty clause, then KB $\wedge \neg \alpha$ is not satisfiable and hence KB $\vdash \alpha$.
- This is a sound and complete inference method for first-order logic.

Resolution method – an example



Resolution – non-constructive proofs

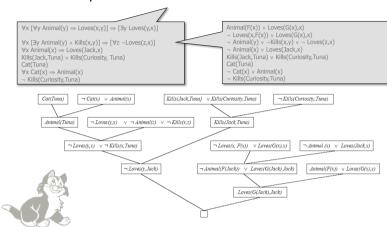
What if the query is "Who did kill Tuna?"



- The answer is "Yes, somebody killed Tuna".
- We can include an **answer literal** in the query.
 - ¬ Kills(w,Tuna) ∨ Answer(w)
 - The previous non-constructive proof would give now:
 Answer(Curiosity) v Answer(Jack)
 - Hence we need to use the original proof leading to:
 - ¬ Kills(Curiosity, Tuna)

Resolution – a complex example

- Everyone, who likes animals, is loved by somebody. Everyone, who kills animals, is loved by nobody. Jack likes all animals. Either Jack or Curiosity killed cat named Tuna. Cats are animals.
- · Did Curiosity kill Tuna?



Resolution strategies

How to **effectively** find proofs by resolution?

unit resolution

- the goal is obtaining an empty clause so it is good if the clauses are shortening
- hence we prefer a resolution step with a unit clause (contains one literal)
- in general, one cannot restrict to unit clauses only, but for Horn clauses this
 is a complete method (corresponds to forward chaining)

set of support

- this is a special set of clauses such that one clause for resolution is always selected from this set and the resolved clause is added to this set
- initially, this set can contain the negated query

input resolution

- each resolution step involves at least one clause from the input either query or initial clauses in KB
- this is not a complete method

subsumption

- eliminates clauses that are subsumed (are more specific than) by another sentence in KB
- having P(x), means that adding P(A) and $P(A) \vee Q(B)$ to KB is not necessary