

# Master in Science (Artificial Intelligence) (MSAI)

AI-6103

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#### 1) Network and Datasets

One of the problems in traditional neural network is the vanishing gradient - where the value of the weight becomes very small after applying backpropagation. Resnet was introduced to solve this issue by adding an identity connection between residual block, which is also known as a skip connection in the neural network. Resnet-18 contains five convolutional layers.

In the first layer, Resnet-18 will be using the kernel size of 7 while the number of channels will be replaced by 64 with a stride of 2. Next, max pooling with kernel size of 3 and stride 2 will be used to down sample the image.

For the subsequent convolutional layers, Resnet-18 will be using kernel size of 3 and will double the number of filters in each layer. Figure 1 below is an example of Resnet-18 architecture. The skip connection is represented as a black arrow and the dotted lines represents skip connection with unequal size of the image from the previous layer.

During the skip connection, when the next convolutional layer  $(28 \times 28)$  has a smaller size than the previous image  $(56 \times 56)$ , 1D  $(1\times1)$  convolutional layer can be applied to the  $28 \times 28$  layer to increase it to a  $56 \times 56$  image size. The formula to convert unequal image size is as shown below:

Formula to convert unequal image size = 
$$\frac{n-2 (Padding) - Filter size}{Stride} = \frac{56-2 (0)-1}{2} = 28$$
.

Lastly, Resnet-18 will apply an average pooling and flatten it into fully connected layers.

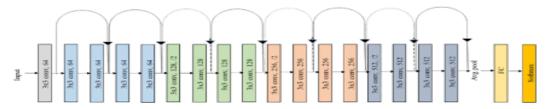


Figure 1: Example of Resnet-18 architecture

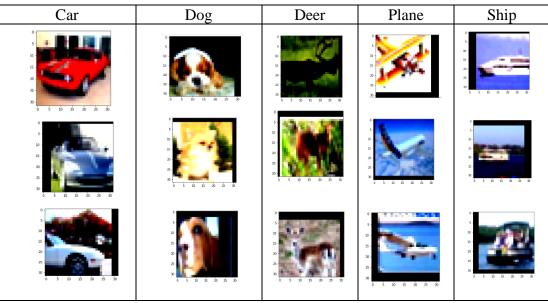


Table 1: Some example of images in Cifar-10

#### **Some questions:**

Is the object centered in the image or could they appear in the corners?

No. Some of the object is not in the center of the image or only appear partially because augmentation such as random cropping and padding is used in the preprocessing.

Are the objects occluded?

No but we can use random erase to create occlusion in the image.

Are there other objects in the images?

No. There are only one specific object in the images.

Is the lighting in the images the same?

No. All images have different intensity. However, we can adjust the brightness by using transforms.ColorJitter ().

# 2) Learning Rate

|                   | No. of epochs | Learning rate |        |        |  |  |
|-------------------|---------------|---------------|--------|--------|--|--|
|                   |               | 0.001         | 0.01   | 0.1    |  |  |
| Training loss     | 15            | 0.3594        | 0.2233 | 0.3423 |  |  |
| Testing loss      | 15            | 0.5023        | 0.3781 | 0.4573 |  |  |
| Training accuracy | 15            | 87.49%        | 92.1 % | 88.17% |  |  |
| Testing accuracy  | 15            | 83.76%        | 88.22% | 84.72% |  |  |

Table 2: Comparing the losses and accuracy using different learning rate

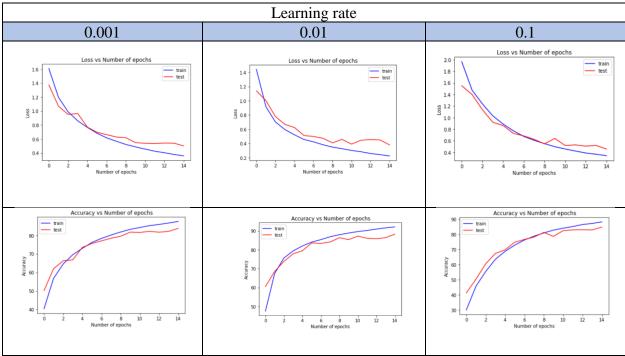


Table 3: Diagram of the losses and accuracy for different learning rate

When learning rate is set at 0.01, it produces the best results in terms of training loss, training accuracy, testing loss and testing accuracy as shown in Table 2.

For learning rate at 0.001, it converges too slowly toward the minimum point and takes a longer time or more epochs to update the weight in the network.

For learning rate at 0.1, it converges too quickly or take a larger step size. As such, it skipped the minimum point.

From Table 2, learning rate at 0.01 can been seen as the best learning rate among the rest. It has the lowest training loss and is closest to the minimum point.

# 3) Learning Rate Schedule

|                   |               |               | Learning rate schedule |                  |  |
|-------------------|---------------|---------------|------------------------|------------------|--|
|                   | No. of epochs | Learning rate | No scheduler           | Cosine Annealing |  |
| Training loss     | 300           | 0.01          | 0.0016                 | 0.0001           |  |
| Testing loss      | 300           | 0.01          | 0.5300                 | 0.4666           |  |
| Training accuracy | 300           | 0.01          | 99.94%                 | 100%             |  |
| Testing accuracy  | 300           | 0.01          | 93.03%                 | 93.50%           |  |

Table 4: Comparing the losses and accuracy using cosine scheduler and constant learning rate

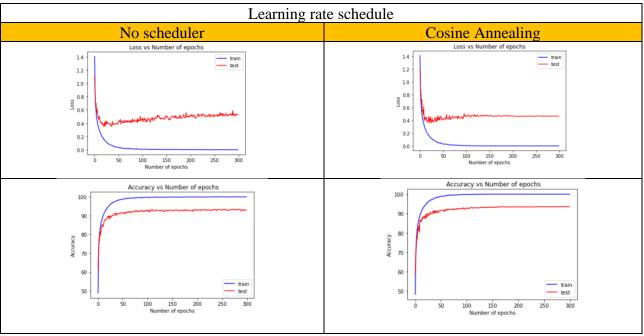


Table 5: Diagram of the losses and accuracy using cosine scheduler and constant learning rate

Cosine Annealing learning rate scheduler uses the first half  $(\pi)$  of the cosine curve and its amplitude is shifted by 1 to avoid negative value. For the subsequent epochs, it will update the learning rate by multiplying the initial learning rate and divide it by 2.  $\eta_{min}$  is set to 0 as a default setting.

The formula for Cosine Annealing is as shown below:

$$\eta_t = \eta_{min} + \frac{1}{2} (\eta_{min} + \eta_{max})((1 + \cos(\frac{T_{cut}}{T_{max}}\pi)))$$

To show my understanding mathematically, I will be using the formula to demonstrate the different learning rate at different epochs and explain why it is good when coming to the end of the training.

When 
$$T_{cut} = 0 \& T_{max} = 300$$
,  $\eta_{min} = 0$  as default setting

$$\eta_0 = 0 + \frac{1}{2} (0 + 0.01)((1 + 1)) = 0.01 = initial learning rate$$

When 
$$T_{cut} = 150$$

$$\eta_{150} = 0 + \frac{1}{2} (0 + 0.01)((1 + cos(\frac{150}{300} \pi))) = \mathbf{0.005}$$
When  $T_{cut} = 270$ 

$$\eta_{270} = 0 + \frac{1}{2} (0 + 0.01)((1 + cos(\frac{270}{300} \pi))) = \mathbf{0.0002} \text{ (close to zero)}$$

I have demonstrated the learning rate at three different duration – starting, middle and last 10% of the training. The trend observed for cosine annealing was that it slowly decreased the learning in the beginning and ending of the training, and reduced the learning rate rapidly in the middle of the training. This is meant to avoid 'skipping' the minimum point in the training losses.

Constant learning rate at 0.01 is optimal for the first half of the training as it slowly converges to the lowest point. However, it is not beneficial for the last 10% of the training epochs. Generally, lower learning rate is preferred for the last few epochs to ensure that it does not accidentally skip the minimum point. With a constant learning rate of 0.01, the training loss has not reached to its minimum point. Furthermore, overfitting in the testing losses is observed. Overfitting is a common occurrence in training. Normally, training losses is optimized to close to 0 and regularization is added to solve the overfitting problem.

Conversely, Cosine Annealing scheduler started with the initial learning rates at epochs 0, decreased the learning rates as the epochs increased and ended with 0 learning rates. As a result, the training loss is 0.001 with a training accuracy of 100%. Overfitting in the testing losses is also observed, but it is slightly better when compared to constant learning rates.

# 4) Weight Decay

|               |        |          |               | Weight Decay            |                     |  |
|---------------|--------|----------|---------------|-------------------------|---------------------|--|
|               | No. of | Learning | Learning rate | $1  \mathrm{X} 10^{-2}$ | 5 X10 <sup>-4</sup> |  |
|               | epochs | rate     | schedule      |                         |                     |  |
| Training loss | 300    | 0.01     | Cosine        | 0.0229                  | 0.0013              |  |
|               |        |          | Annealing     |                         |                     |  |
| Testing loss  | 300    | 0.01     | Cosine        | 0.1729                  | 0.2159              |  |
|               |        |          | Annealing     |                         |                     |  |
| Training      | 300    | 0.01     | Cosine        | 100%                    | 100%                |  |
| accuracy      |        |          | Annealing     |                         |                     |  |
| Testing       | 300    | 0.01     | Cosine        | 95.50%                  | 94.85%              |  |
| accuracy      |        |          | Annealing     |                         |                     |  |

Table 6: Comparing the losses and accuracy using different weight decay coefficient

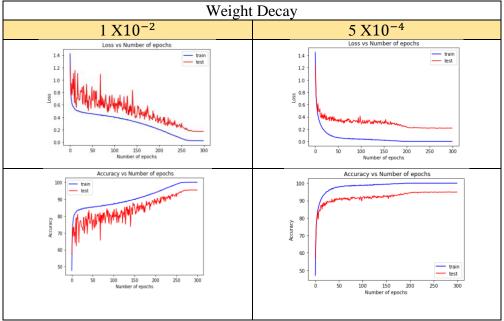


Table 7: Diagram of the losses and accuracy using weight decay coefficient

Weight decay is one of the methods that can prevent overfitting by adding square of its weight and small weight decay coefficients into the loss function to prevent the weight from getting large in the network. However, if the value of weight decay coefficients is too large, it will not learn well and might result in underfitting.

By adding weight decay, we can see that both the testing loss in Table 6 had reduced significantly compared to the previous testing loss in Table 4 with 0.4666.

For 0.01 weight decay coefficients, the training loss took a long time to minimize the loss from 0.6 to 0.2. The testing loss was observed to fluctuate throughout the training whereas 0.0005 weight decay coefficients had more constant training loss and testing loss.

#### 5) Data Augmentation

| , 8           |        |               |          |        | Random Erase |         |        |
|---------------|--------|---------------|----------|--------|--------------|---------|--------|
|               | Epochs | Learning rate | Schedule | λ      | P = 0.9      | P = 0.5 | P=0.2  |
| Training loss | 300    | 0.01          | Cosine   | 0.0005 | 0.0135       | 0.0146  | 0.0134 |
| Testing loss  | 300    | 0.01          | Cosine   | 0.0005 | 0.2354       | 0.2063  | 0.1972 |
| Training      | 300    | 0.01          | Cosine   | 0.0005 | 99.64%       | 99.58%  | 99.57% |
| accuracy      |        |               |          |        |              |         |        |
| Testing       | 300    | 0.01          | Cosine   | 0.0005 | 93.41%       | 94.03%  | 94.46% |
| accuracy      |        |               |          |        |              |         |        |

Table 8: Comparing the losses and accuracy using Random Erase with different probability

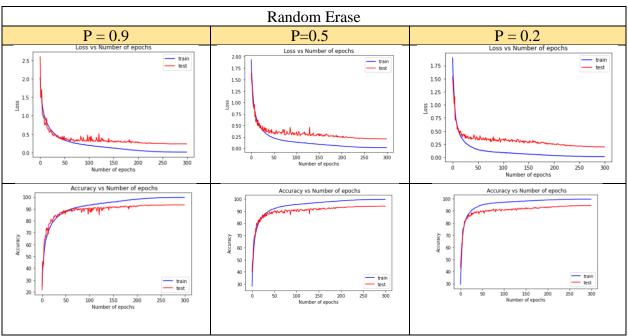


Table 10: Diagram of the losses and accuracy using Random Erase with different probability

I chose weight decay coefficient of 0.0005 as a bigger coefficient can lead to underfitting and the fluctuation in the losses proved that the model does not learn well.

Random Erase is another type of augmentation that adds occlusion into training datasets to prevent the network from memorizing the features in the network which is also called overfitting.

To observe if there were any differences in training loss when using different probabilities, I experimented with three different probabilities -0.9, 0.5 and 0.2.

If the probability of the cut out is high, the feature learned from the training will be different from the testing. For example, the model will learn that rectangle is part of a cat feature which is different from the testing datasets. Thus, the testing losses and accuracy will degrade. However, the addition of 50% or 20% of the cut out can finetune and prevent the model from overfitting.

In conclusion, lower probabilities of the cut out leads to better testing losses and testing accuracies.