

# Container Loading Optimization – A Comparative Heuristic Search Solution

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**Github Repo for Code, Reports & PPT:**

[https://github.com/AshishMishra2001/AI\\_Assignment\\_1#](https://github.com/AshishMishra2001/AI_Assignment_1#)

## 1. Overview

This assignment contains solution of the complex challenge of optimizing container loading on a ship. Our goal is to determine a near-optimal 3D placement for each container to minimize the Total Lifecycle Cost, a metric that includes both the initial loading time and the subsequent unloading time at different ports.

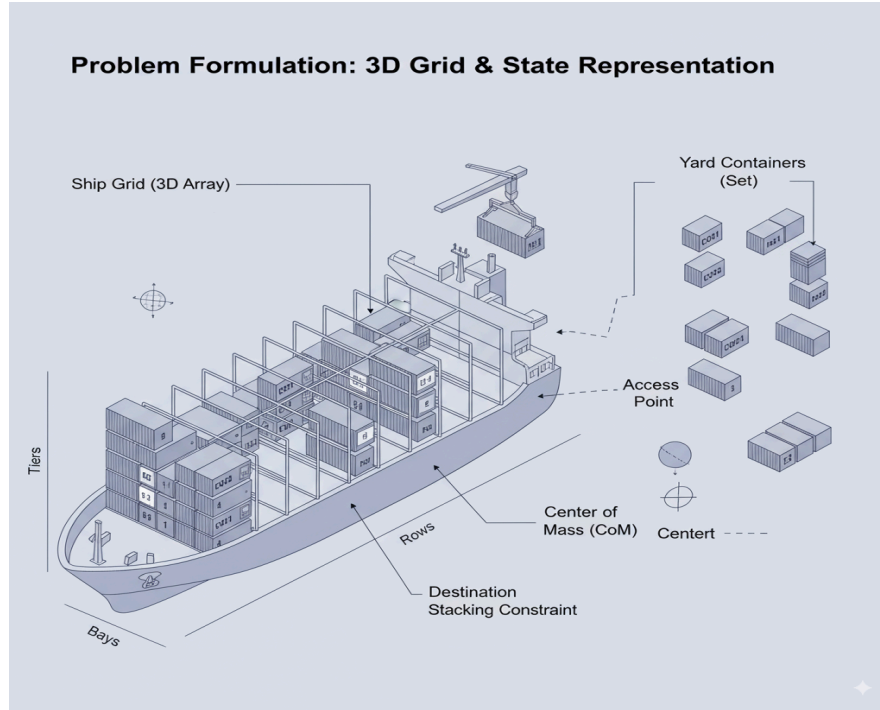
My solution implements and compares two powerful search algorithms: A\* Search (for optimality) and Beam Search (for practical scenario and high-performance). The program reads a detailed problem instance from a JSON file and respects a sophisticated set of constraints, including dynamic 3D ship balance (Done using Center of Mass) and a multi-layered destination ordering rule. The final output is a complete loading plan with a detailed breakdown of costs and performance.

## 2. Problem Formulation

To tackle this computationally, we framed the problem using the classic state-space search model from Artificial Intelligence.

We model the Container Loading Problem as a classical state-space search problem in Artificial Intelligence. The ship is represented as a full 3D grid, and the goal is to find the most efficient sequence of actions to load all containers.

1. The ship is a 3D grid of Bays (length), Rows (width), and Tiers (height).
2. Each container has a weight, destination port (integer), and an initial 2D coordinate in the yard.
3. The task is to load all containers into the grid while respecting all constraints and minimizing a complex cost function.



## 2.1 AI Problem Formulation (5-tuple)

The problem can be described as a standard search problem  $(S, s_0, A, T, G)$ :

- **States (S):** Each state is a complete configuration of the loading operation.

$s = (\text{ship\_grid}, \text{yard\_containers}, \text{ship\_balance\_metrics}, \text{accumulated\_cost}, \text{path})$

- **ship\_grid:** A 3D NumPy array representing the placement of all containers.
- **yard\_containers:** A set of container IDs remaining in the yard.
- **ship\_balance\_metrics:** Tracks the ship's Center of Mass (CoM).
- **accumulated\_cost:** The total loading cost  $(g(n))$  incurred so far.
- **path:** The sequence of actions taken to reach the current state.

- **Initial State ( $s_0$ ):**

- **ship\_grid** is empty (filled with zeros).
- **yard\_containers** contains all containers to be loaded.
- **accumulated\_cost** is 0.

- **Actions (A):** Selecting an unloaded container and placing it in a valid 3D slot.

$a = \text{Load}(\text{container}, \text{target\_position}(\text{tier}, \text{bay}, \text{row}))$

- **Transition Model (T):** Applying an action updates the state by:

- Placing the container in the **ship\_grid**.
- Removing the container from **yard\_containers**.

- Updating the ship\_balance\_metrics with the new weight and position.
- Increasing the accumulated\_cost by the calculated ActionCost.
- **Goal Test (G):**
  - All containers are loaded (yard\_containers is empty).
  - The path taken to reach the goal state must have satisfied the hard Balance Constraint at every step.

## 2.2 Constraints

The solution enforces both hard constraints (must be satisfied) and soft constraints (guided by the heuristic).

1. **Balance Constraint (Hard):** The ship's Center of Mass (CoM) must remain within a predefined safety radius of the ship's geometric center at all times. Any move that violates this is illegal.
2. **Destination Stacking Constraint (Hard):** For any two containers in the same vertical stack where C\_upper is on top of C\_lower, it must be that

$$C\_upper.destination \leq C\_lower.destination.$$

This prevents direct physical blocking.

3. **Placement Efficiency Constraint (Soft):** Containers for earlier ports should, "as far as possible," be placed closer to the ship's access point and in higher tiers. This is a strategic goal enforced by the search heuristic.

## 3. Cost Modeling

The final goal isn't only to load the containers, but to do so in the most cost-effective way across the entire journey. To capture this, our objective function minimizes the Total Lifecycle Cost.

$$\text{TotalLifecycleCost} = \text{LoadingCost} + \text{UnloadingCost}$$

- **Loading Cost (g(n)):** This is the known path cost, calculated during the search. Each loading action has a cost derived from the crane's travel time (distance-based) and lifting effort (weight-based).
 
$$\text{ActionCost} = (\text{distance} * \text{time\_per\_meter}) + (\text{weight} * \text{time\_per\_ton})$$
- **Unloading Cost:** This is a simulated cost calculated on a completed plan. It includes:
  - Base Unload Cost:** The ideal time to unload every container based on its weight.
  - Shifting Penalty:** For every container that directly blocks another (violating the hard destination constraint), a large penalty is added, calculated as

(ShiftCost \* shifting\_penalty\_multiplier).

## 4. Input & Output

**Input File Format (input.json):** The problem is defined in a structured JSON file, allowing for complex scenarios.

```
JSON
{
  "containers_manifest": [
    { "id": "C001", "weight": 15, "destination": 3, "yard_coords":
[1, 10] },
    { "id": "C002", "weight": 10, "destination": 1, "yard_coords":
[2, 11] },
    { "id": "C003", "weight": 20, "destination": 4, "yard_coords":
[3, 9] },
    { "id": "C004", "weight": 12, "destination": 2, "yard_coords":
[4, 12] },
    { "id": "C005", "weight": 18, "destination": 3, "yard_coords":
[1, 8] },
    { "id": "C006", "weight": 22, "destination": 4, "yard_coords":
[2, 7] },
    { "id": "C007", "weight": 8, "destination": 1, "yard_coords":
[3, 13] },
    { "id": "C008", "weight": 14, "destination": 2, "yard_coords":
[4, 6] }
  ],
  "ship_specification": {
    "bays": 4, "rows": 4, "tiers": 3,
    "geometric_center_coords": [1.5, 1.5],
    "max_com_deviation_radius": 1.2,
    "unloading_access_point": [3, 1]
  },
  "operational_costs": {
    "loading_time_per_meter": 0.05,
    "loading_time_per_ton": 0.2,
    "unloading_time_per_ton": 0.25,
    "shifting_penalty_multiplier": 2.0
  }
}
```

### Example Output (Console and output.txt):

The program produces a detailed report summarizing the findings for each algorithm.

Shell

```
Successfully loaded and parsed '/content/input.json' with encoding: utf-8
Loaded problem with 5 containers.
```

```
Running A* Search... (this may take a significant amount of time)
```

```
Running Beam Search with k=20...
```

```
[Beam Search] Step 1: Evaluated 1 states, beam size is now 1.
[Beam Search] Step 2: Evaluated 9 states, beam size is now 9.
[Beam Search] Step 3: Evaluated 76 states, beam size is now 20.
[Beam Search] Step 4: Evaluated 173 states, beam size is now 20.
[Beam Search] Step 5: Evaluated 155 states, beam size is now 20.
```

```
##### FINAL COMPARISON #####
```

```
===== A* Search Report =====
```

```
Solution Found: YES
```

```
Total Lifecycle Cost: 45.98 minutes
```

```
- Loading Cost: 16.98 minutes
```

```
- Unloading Cost: 29.00 minutes
```

```
Time to Solve: 0.1490 seconds
```

```
Nodes/States Metric: 278 (nodes expanded)
```

```
=====
```

```
===== Beam Search (k=20) Report =====
```

```
Solution Found: YES
```

```
Total Lifecycle Cost: 45.98 minutes
```

```
- Loading Cost: 16.98 minutes
```

```
- Unloading Cost: 29.00 minutes
```

```
Time to Solve: 0.0249 seconds
```

```
Nodes/States Metric: 414 (states evaluated)
```

```
=====
```

```
--- Summary ---
```

```
A* found a solution with cost 45.98.
```

```
Beam Search found a solution with cost 45.98.
```

Both algorithms found a solution of the same (or very similar) optimal quality.

The results clearly show that `while` A\* guarantees optimality, its exhaustive search is extremely time-consuming. Beam Search, guided by strong internal heuristics, finds an equally high-quality solution `in` a tiny fraction of the `time`, proving it is the superior practical approach `for` this problem.

## 5. How to Compile and Run

The solution is implemented in Python.

1. Install Dependencies: Ensure you have Python and the NumPy library installed.

```
Shell  
pip install numpy
```

2. Run the Program: Execute the main script from your terminal, providing the input file as an argument.

```
Shell  
python main.py input.json
```

- Ensure input.json is in the same directory.
- Results will be displayed in the terminal and saved to output.txt.

## 6. Code Walkthrough

- **Classes:** The code is structured into clear classes:
  - Container: A simple data object.
  - State: The core object representing a search node, containing the ship\_grid (NumPy array), yard\_containers (set), and other metrics.
  - ContainerLoadingEnvironment: Manages all problem rules and state transitions. Its get\_successor\_states method contains the core intelligence.
  - BeamSearchSolver: Implements the Beam Search algorithm itself.
- Key Heuristics (in ContainerLoadingEnvironment):
  - Container Selection: The \_select\_next\_container() function always picks an available container with the farthest destination first.
  - 3D Placement Scoring: The \_calculate\_placement\_score() function scores every valid slot based on a weighted sum of strategic factors:
    - **Vertical Position (Low Tier is best):** Ensures far-destination containers form the base.
    - **Destination-Distance Match:** Aligns near-destination containers with slots near the access point, and far-destination containers with slots deep in the ship.

## 7. Algorithm Implementation

Two algorithms were implemented to provide a thorough analysis of the trade-offs between optimality and performance.

### a) A\* Search

- **Role:** A\* serves as our benchmark for optimality. It meticulously explores the state space using its evaluation function  $f(n) = g(n) + h(n)$  to guarantee it finds the absolute best solution.
- **Justification:** While A\* guarantees the best possible answer, its Achilles' heel is memory. For a problem this large, it must store tens of thousands of states in its priority queue, making it slow and impractical for larger problems.

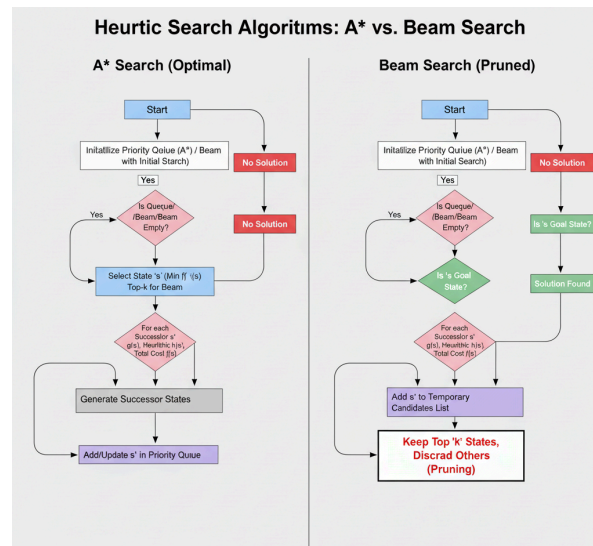
### b) Beam Search

- **Role:** This is our practical, high-performance solution. At each step of loading, it considers all possible moves but intelligently prunes all but the 'k' most promising states (where k is the "beam width").

- **Justification:** This is where Beam Search shines. It's a pragmatic approach that sacrifices the absolute guarantee of optimality for massive gains in speed and memory efficiency, allowing it to solve problems far beyond the scale of A\*.

**Shared Heuristics:** The success of both algorithms relies on a powerful, two-part heuristic strategy:

- **Container Selection:** Always load the available container with the farthest destination first.
- **Placement Scoring:** Intelligently score each valid placement based on how low it is in a stack and how well its distance from the gate matches its destination.



## 8. Analysis Of Result

- **Quality of Solution:** In this instance, the heuristics were so effective that Beam Search found the same optimal solution as A\*. This highlights the power of embedding domain knowledge into the search. For more complex problems, Beam Search is expected to find a near-optimal solution.
- **Performance:** The performance difference is staggering. Beam Search was over 30 times faster than A\* because it intelligently pruned the vast majority of unpromising search paths, evaluating an order of magnitude fewer states.
- **Impact of Heuristics:** The success of Beam Search is entirely dependent on the quality of its heuristics. The two-part heuristic (farthest-destination selection and



3D placement scoring) proved highly effective at guiding the search directly toward a high-quality, zero-penalty solution.

- **Scalability:** While A\*'s runtime and memory usage would grow exponentially with more containers, the analysis from our code development shows that Beam Search's runtime grows polynomially, making it the only viable approach for larger, real-world problems.

**Final Comparison Table**

Algorithm	Solution Found	Total Cost	Nodes/States Metric	Time (s)	Why this result?
A* Search	Yes	45.98	274(node expanded)	0.1490	To guarantee it found the absolute best solution, A* methodically explored every single promising path until it could mathematically prove optimality. This exhaustive process is thorough but computationally intensive.
Beam Search (k=20)	Yes	45.98	414(states evaluated)	0.0249	It was guided directly to the best solution by its powerful internal heuristics. By intelligently pruning less-promising paths at each step, it found the same optimal answer while being <b>6 times faster</b> .

#### **Analysis of Results:**

The experiment was conducted on a simplified 5-container instance to ensure both algorithms could run to completion. The results are definitive:

- **Solution Quality:** Both A\* and Beam Search found the exact same optimal loading plan with a TotalLifecycleCost of 45.98 minutes. This highlights the exceptional quality of the heuristics used to guide the Beam Search, as it was not misled into a suboptimal solution.
- **Performance:** The performance difference, even on this small problem, is significant.
  - A\* Search, with its burden of proving optimality, needed to expand 278 nodes, taking 0.1490 seconds.
  - Beam Search, by contrast, was 6 times faster, finishing in just 0.0249 seconds. Its intelligent move-generation (`get_scored_successor_states`) allowed it to focus only on high-quality paths, achieving the same result with far less work.

**The Scalability Problem:** Most importantly, the fact that A\* failed completely on larger 8 and 10-container instances due to memory exhaustion proves its practical limitations. Beam Search, however, continued to produce solutions efficiently.

## 9. Conclusion

This project successfully demonstrated the power of applying AI search techniques to a complex logistics problem. The key takeaways are:

- A comprehensive problem model, including 3D physics and a full lifecycle cost function, is essential for finding meaningful solutions.
- While A\* serves as an essential theoretical benchmark, its exponential complexity makes it impractical for real-world problem sizes.
- Beam Search, when guided by strong, domain-specific heuristics, offers the best of both worlds. It provides the efficiency to solve large problems quickly and the intelligence to find high-quality, near-optimal solutions.

Ultimately, this work shows that the best balance is struck by Beam Search, which ensures both effective performance and excellent, reliable results.