



# Operating Systems

## Introduction to CPU Scheduling

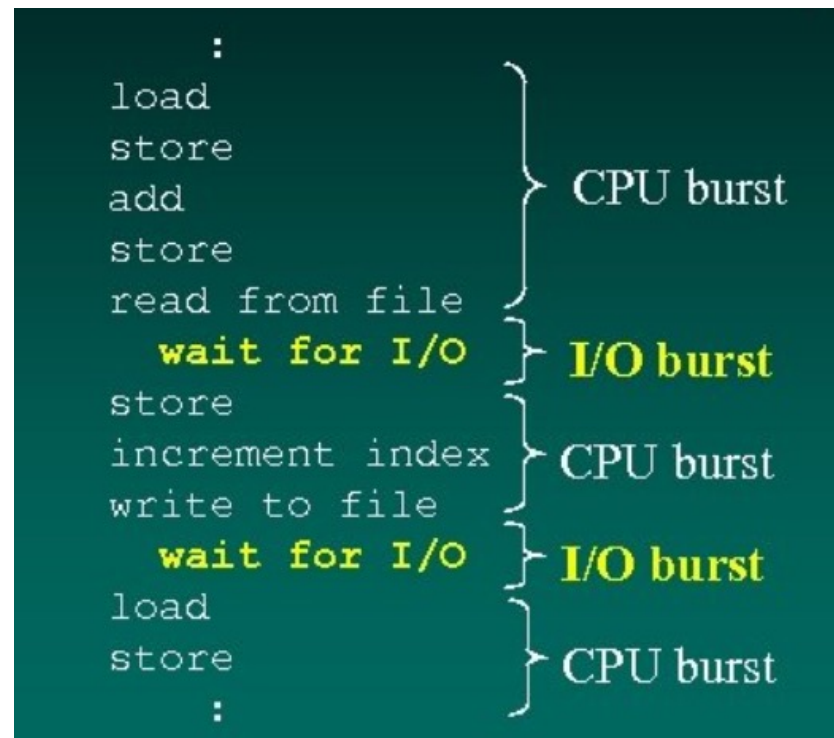
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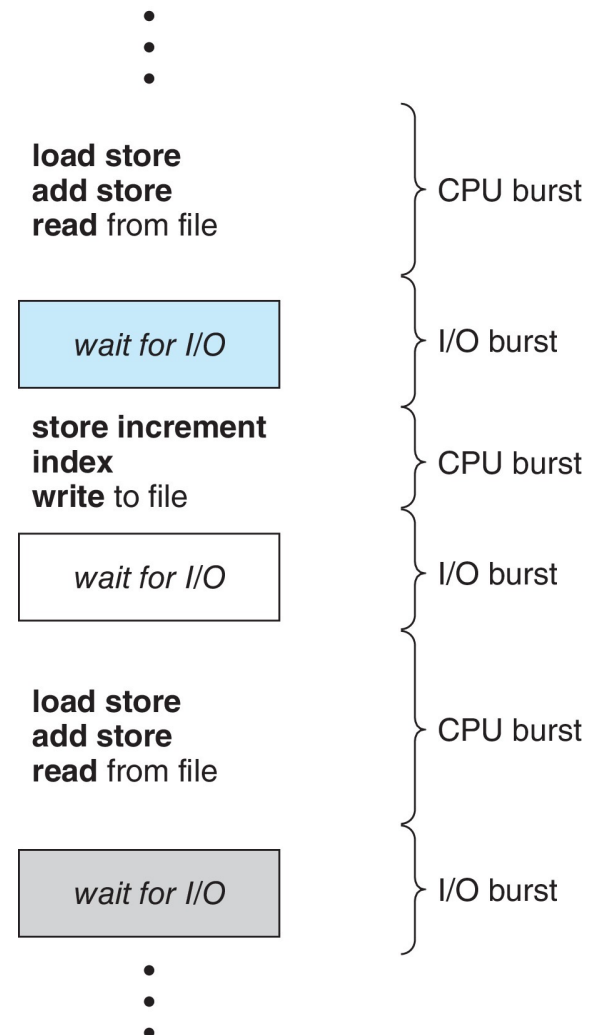
# Basic Concepts

- Maximum CPU utilization obtained with multiprogramming
- CPU-I/O Burst Cycle
  - Process execution consists of a **cycle** of CPU execution and I/O wait



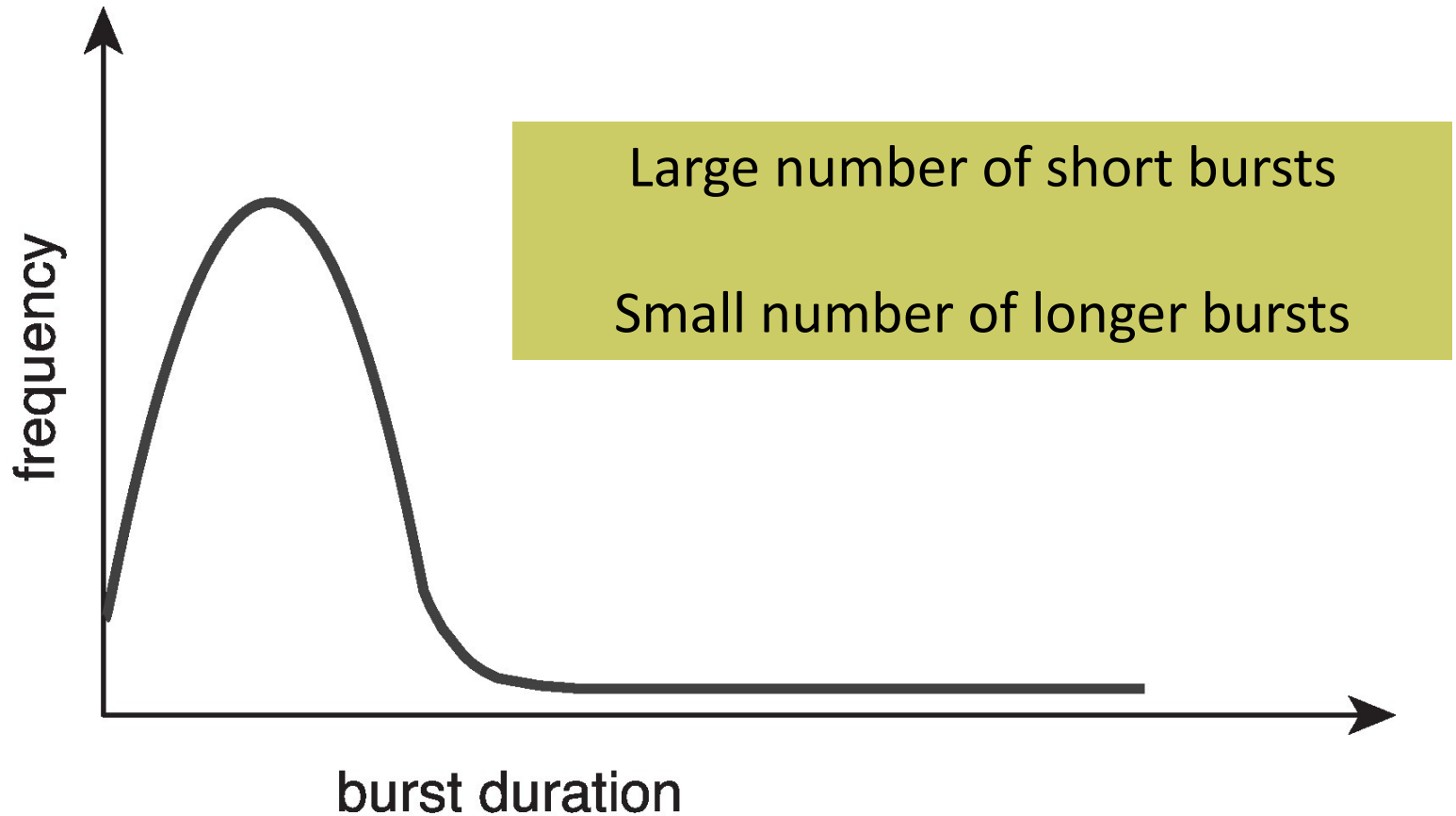
# Basic Concepts

- **CPU burst** followed by **I/O burst**
- CPU burst distribution is of main concern



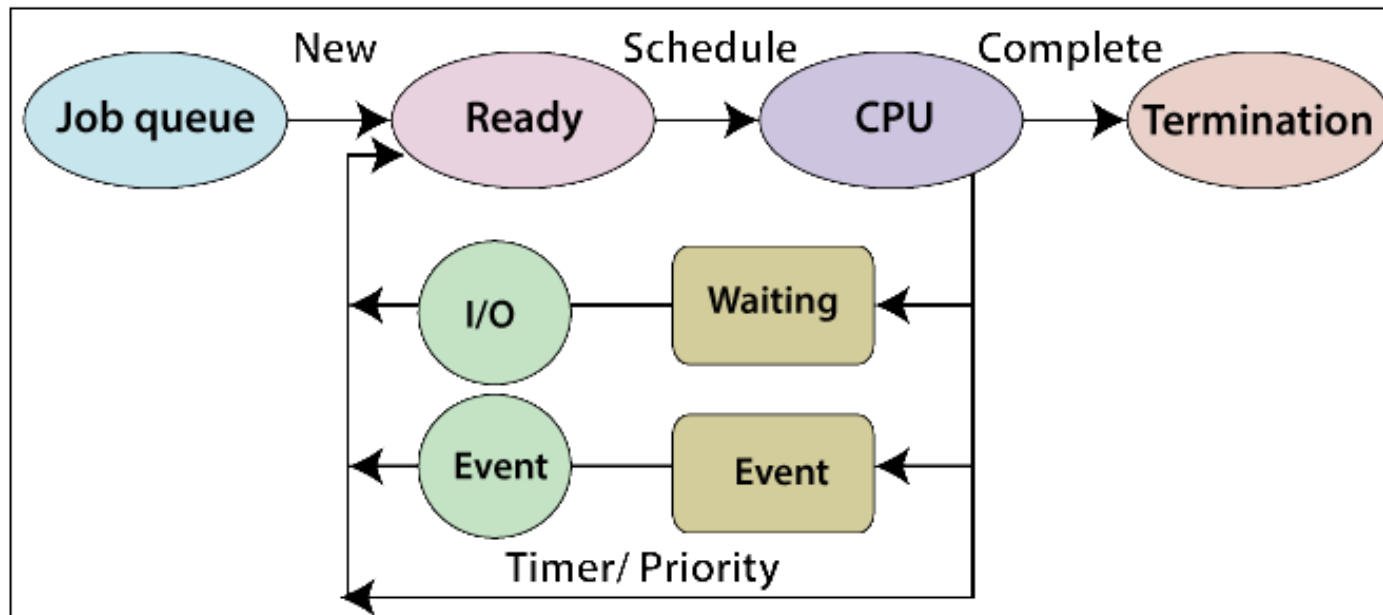
# Histogram of CPU-burst Times

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# CPU Scheduler

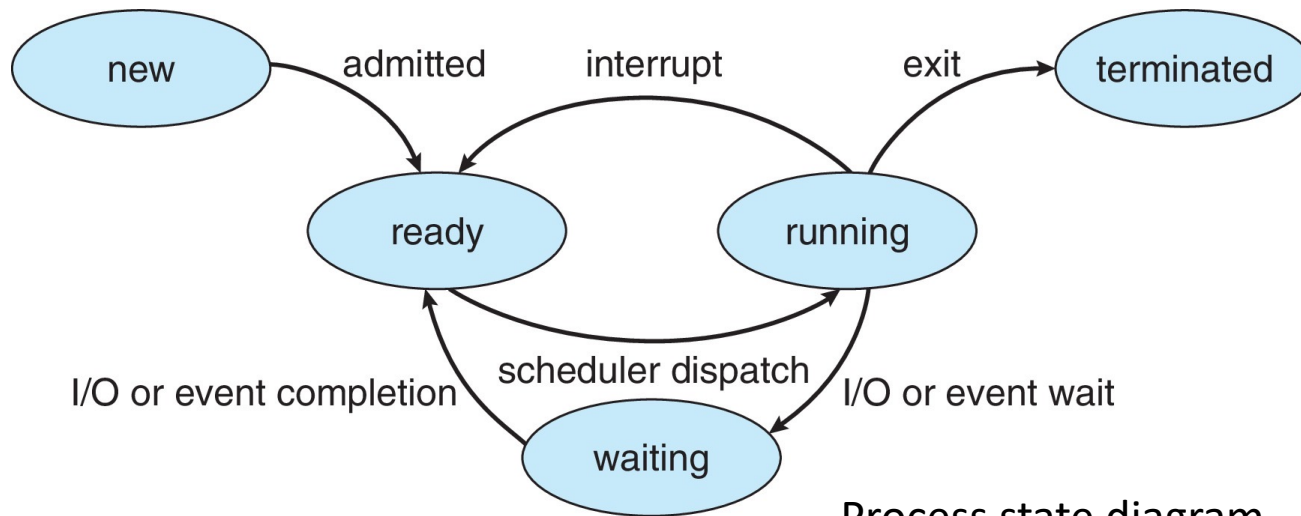
- The **CPU scheduler** selects from among the processes in ready queue and allocates a CPU core to one of them.
  - Queue may be ordered in various ways.



<https://www.tutorialandexample.com/process-schedulers-and-process-queue/>

# CPU Scheduler (cont.)

- CPU scheduling decisions may take place when a process:
  1. Switches from **running to waiting** state
  2. Switches from **running to ready** state
  3. Switches from **waiting to ready**
  4. **Terminates**



Process state diagram

# CPU Scheduler (cont.)

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- **Four possible scheduling situations**
  1. Switches from running to waiting state
  2. Switches from running to ready state
  3. Switches from waiting to ready
  4. Terminates
  
- For situations 1 and 4, there is **no choice in terms of scheduling**.
  - A new process must be selected for execution.
  - If at least one process exists in the ready queue
  
- For situations 2 and 3, however, there is a choice.



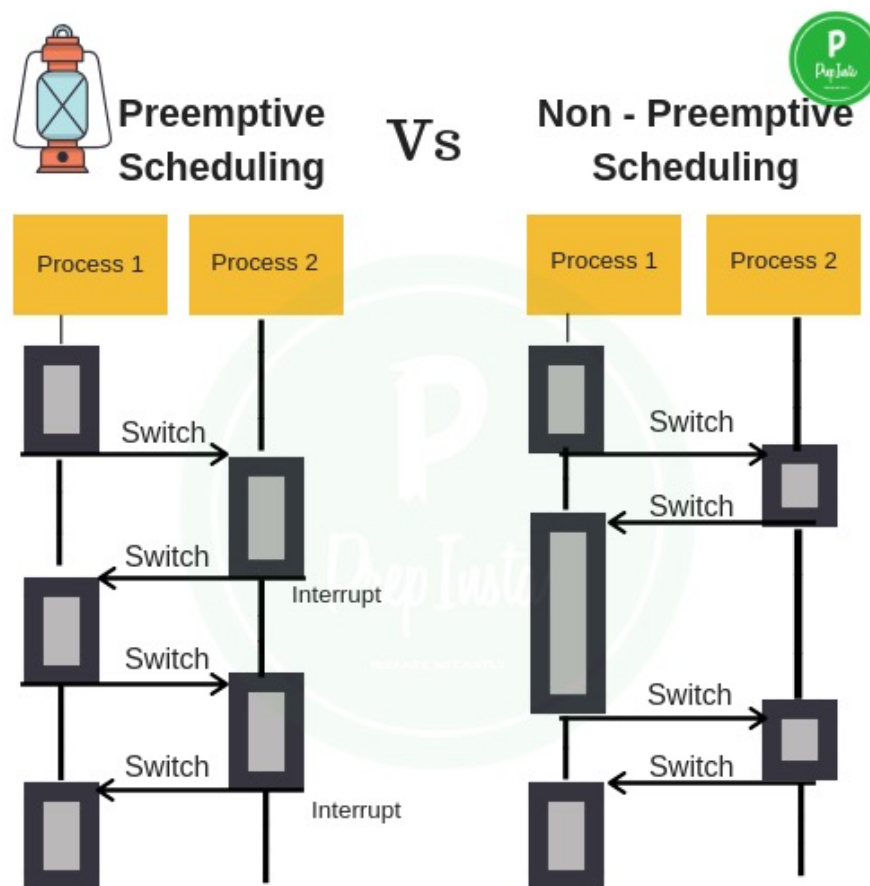
# Preemptive and Nonpreemptive Scheduling

## ■ Non-preemptive (or cooperative)

- Circumstances 1 and 4

## ■ Preemptive

- Circumstances 2 and 3





# Preemptive and Non-preemptive Scheduling (cont.)

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## ■ Non-preemptive scheduling

- Once the CPU has been allocated to a process, the process ***keeps*** the CPU until it releases it either by ***terminating*** or by switching to the ***waiting state***.

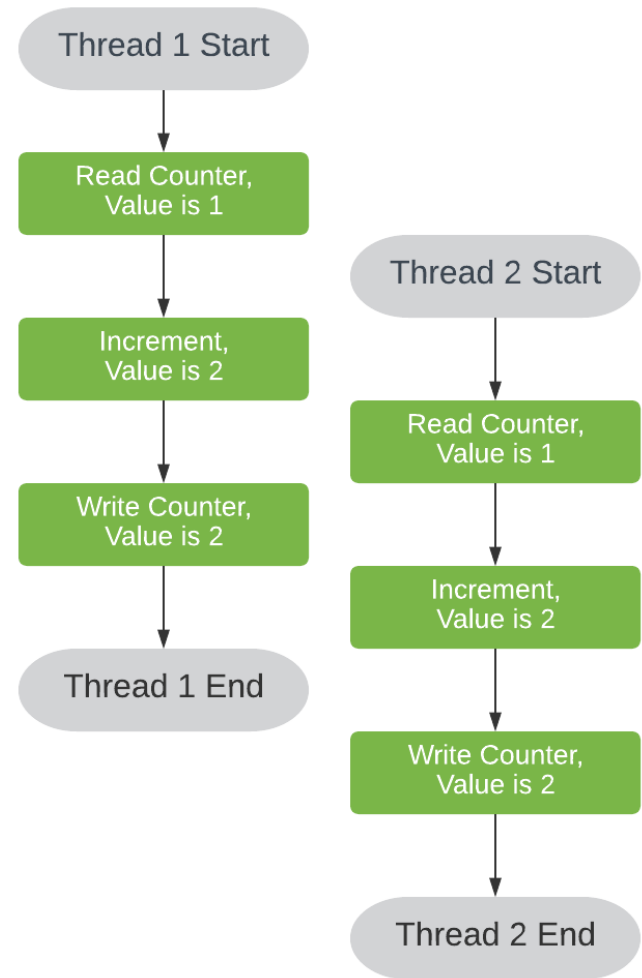
## ■ Virtually all modern operating systems use preemptive scheduling algorithms.

- Including Windows, MacOS, Linux, and UNIX



# Preemptive Scheduling and Race Conditions

- **Preemptive scheduling** can result in **race conditions** when *data are shared* among several processes.



# Preemptive Scheduling and Race Conditions (cont.)

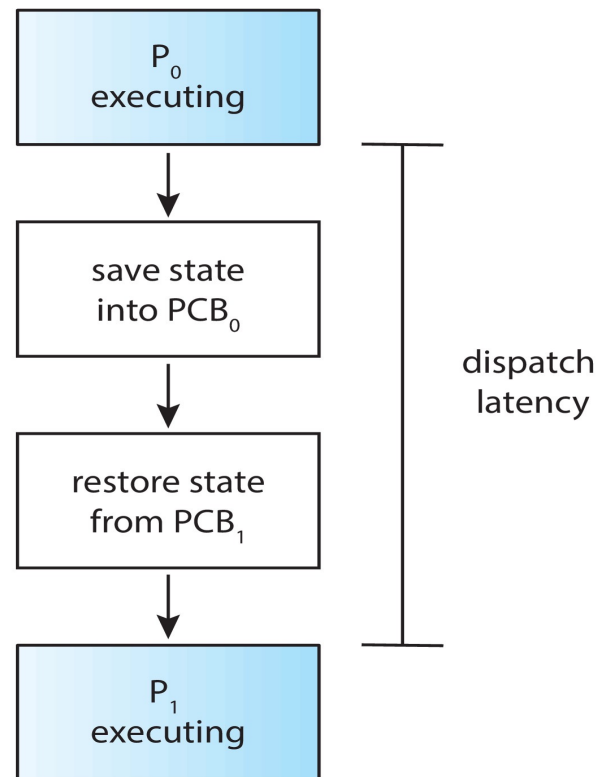
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- Consider the case of two processes that share data.
  - While one process is **updating the data**, it is preempted so that the second process can run.
  - The second process then tries to read the data, which are in an **inconsistent state**.
  
- This issue will be explored in detail in Chapter 6.



# Dispatcher

- Gives control of the CPU to the process selected by the CPU scheduler
- This involves:
  - Switching context
  - Switching to user mode
  - Jumping to the proper location in the user program to restart that program.
- **Dispatch latency**
  - Time it takes for the dispatcher to stop one process and start another running.



# Scheduling Criteria

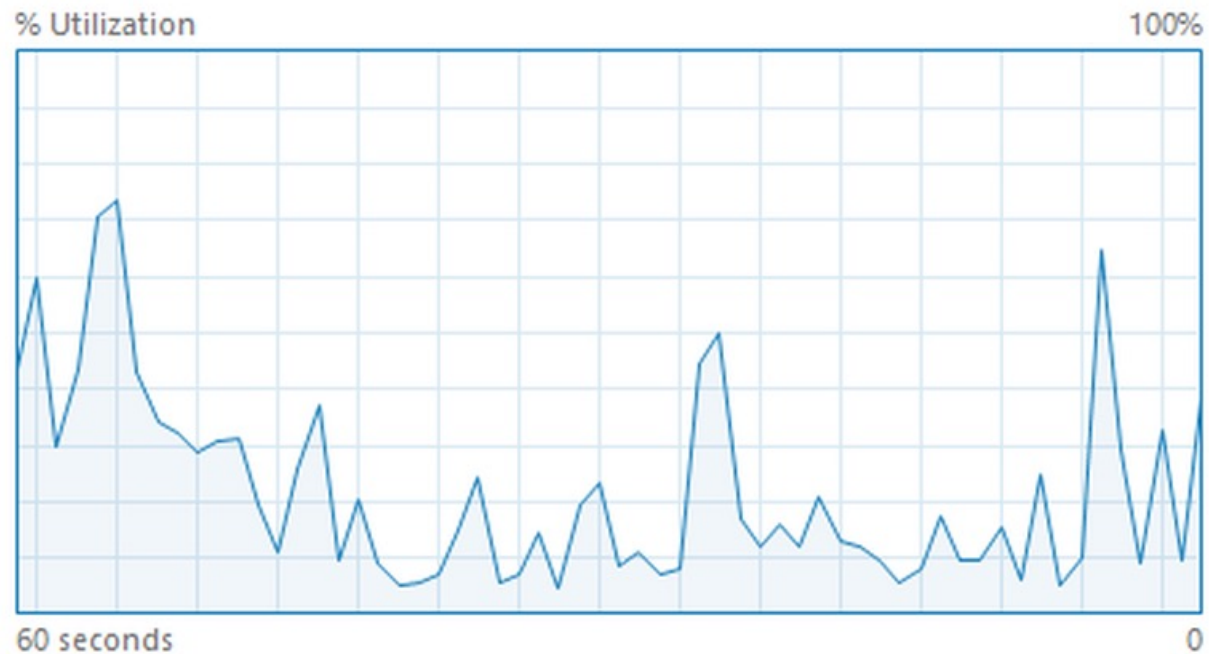
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- CPU utilization
- Throughput
- Turnaround time
- Waiting time
- Response time



# CPU Utilization

- Keep the CPU as busy as possible.



# Throughput

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- Number of processes that complete their execution per time unit.



# Turnaround Time

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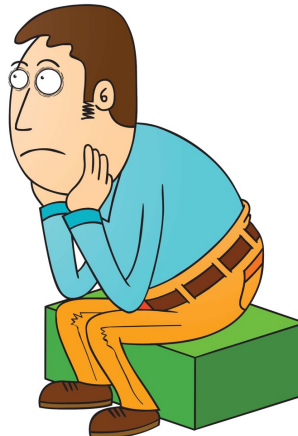
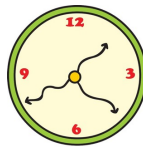
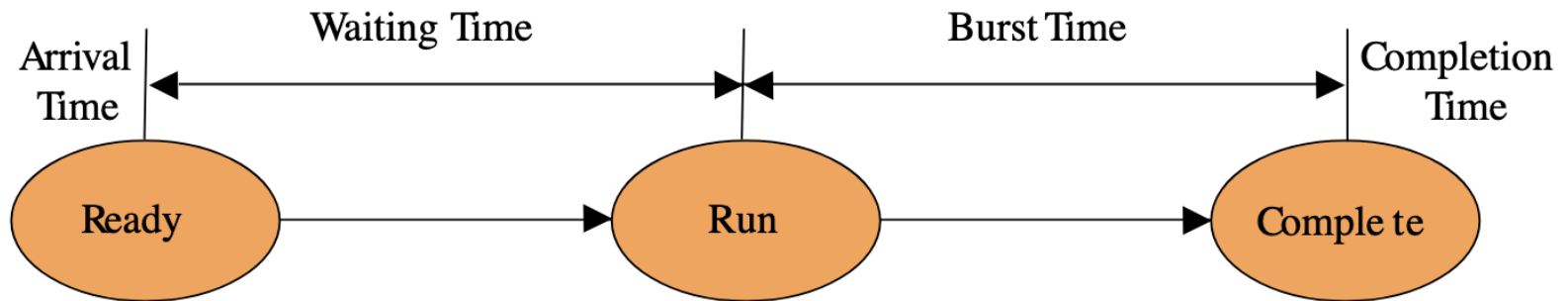
- Amount of time to execute a particular process.
- Sum of the periods spent waiting, in the ready queue, executing on the CPU, and doing I/O.





# Waiting Time

- Amount of time a process has been waiting in the **ready queue**.



# Response Time

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- Amount of time it takes from when a request was submitted until the first response is produced.



# Scheduling Algorithm Optimization Criteria

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Criteria	Min or Max?
CPU utilization	
Throughput	
Turnaround time	
Waiting time	
Response time	



# Scheduling Algorithm Optimization Criteria

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- Max CPU utilization
- Max throughput
- Min turnaround time
- Min waiting time
- Min response time

