

ساختمان داده و الگوریتم ها (CE203)

جلسه دهم:
هرم و مرتب سازی هرمی

سجاد شیرعلی شهرضا

پاییز 1401

شنبه، 7 آبان 1401

اطلاع رسانی

- بخش مرتبط گتاب برای این جلسه: 6
- امتحانک دوم:
 - دوشنبه همین هفته، 9 آبان 1401
 - در طی ساعت کلاس به صورت حضوری و تشریحی

Interface vs. Implementation

Interface: the operations of an ADT **Implementation:** the code of a data structure

Interface vs. Implementation

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- What you see on documentation
web pages

Implementation: the code of a data structure

- What you see in source files

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- Provide the details: how to do operation

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Interface vs. Implementation

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Implementation: the code of a data structure

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- Provide the details: how to do operation
- Java syntax: class

Could be many implementations of an interface
e.g. List: ArrayList, LinkedList

Examples of ADTs (interfaces)

ADT	Description
List	Ordered collection (aka sequence)
Set	Unordered collection with no duplicates
Map	Collection of keys and values, like a dictionary
Stack	Last-in-first-out (LIFO) collection
Queue	First-in-first-out (FIFO) collection
Priority Queue	<i>This lecture!</i>

Examples of Implementations of ADTs

Interface	Implementation (data structure)
List	ArrayList, LinkedList
Set	HashSet, TreeSet
Map	HashMap, TreeMap
Stack	<i>Can be done with a LinkedList</i>
Queue	<i>Can be done with a LinkedList</i>
Priority Queue	<i>Can be done with a heap — later this lecture!</i>



سؤال؟

صف با اولویت

مجموعه ای از اشیاء دارای اولویت مختلف

Priority Queue

- Primary operation:
 - Stack: Remove **newest** element
 - Queue: Remove **oldest** element
 - Priority queue: Remove **highest priority** element
- Priority:
 - Additional information for **each** element
 - Needs to be **Comparable**

Priority Queue

Priority	Task
0	CE 203 Assignment 2
1	CE 203 Midterm
2	World Cup News
2	Global Warming Solutions

Java Implementation

java.util.PriorityQueue<E>

```
class PriorityQueue<E> {  
    boolean add(E e); //insert e.  
    E poll(); //remove&return min elem.  
    E peek(); //return min elem.  
    boolean contains(E e);  
    boolean remove(E e);  
    int size();  
    ...  
}
```

Implementations

- **LinkedList**
 - `add()` put new element at front
 - `poll()` must search the list
 - `peek()` must search the list

Implementations

- **LinkedList**

- | | | |
|-----------------------|--------------------------|--------|
| ◦ <code>add()</code> | put new element at front | $O(1)$ |
| ◦ <code>poll()</code> | must search the list | $O(n)$ |
| ◦ <code>peek()</code> | must search the list | $O(n)$ |

Implementations

- **LinkedList**

- `add()` put new element at front $O(1)$
- `poll()` must search the list $O(n)$
- `peek()` must search the list $O(n)$

- **LinkedList that is always sorted**

- `add()` must search the list
- `poll()` highest priority element at front
- `peek()` same

Implementations

- **LinkedList**

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- `poll()` must search the list $O(n)$
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- **LinkedList that is always sorted**

- `add()` must search the list $O(n)$
- `poll()` highest priority element at front $O(1)$
- `peek()` same $O(1)$

Can we do better?



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هرم

Heap

- A binary tree satisfying 2 properties:

**Do not confuse with heap memory.
Different use of the word heap.**

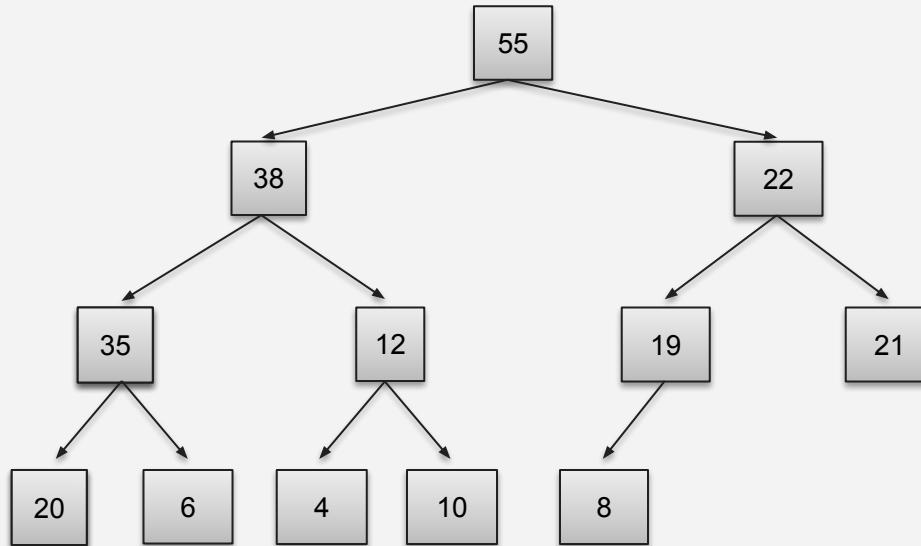
Heap

- A binary tree satisfying 2 properties:
 - **Completeness**
 - Every level of the tree (except last) is completely filled
 - Nodes on the last level are as far left as possible

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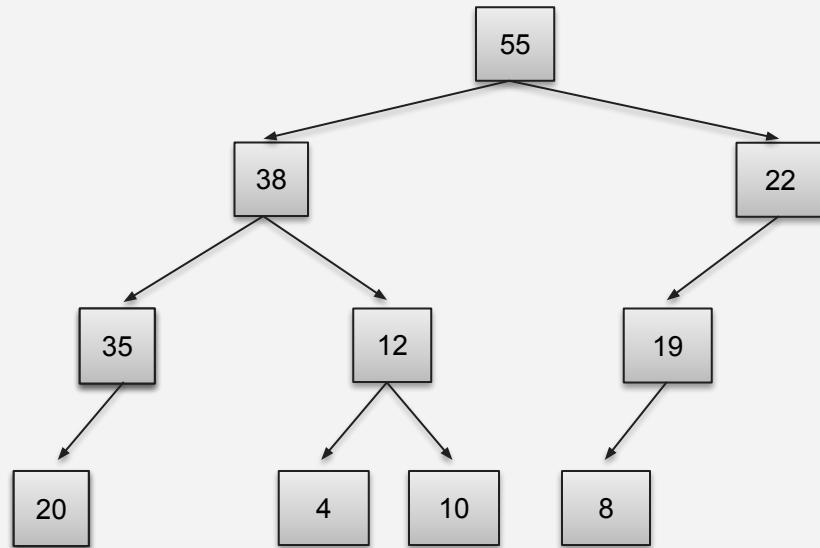
Completeness

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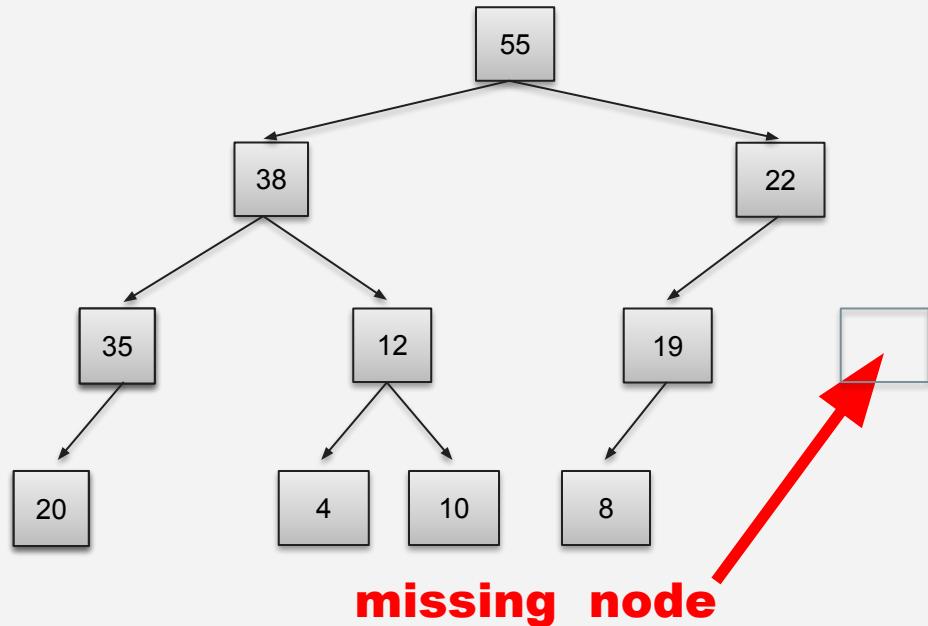
Completeness

- Not a heap because:



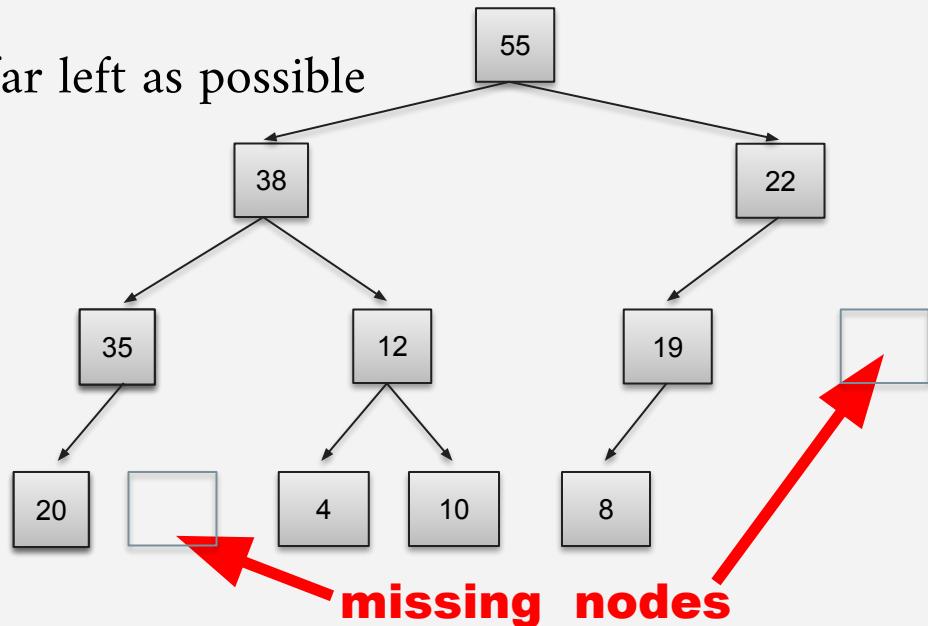
Completeness

- Not a heap because:
 - Missing a node on level 2



Completeness

- Not a heap because:
 - Missing a node on level 2
 - Bottom level nodes are not as far left as possible



Heap

- A binary tree satisfying 2 properties:
 - **Completeness**
 - Every level of the tree (except last) is completely filled
 - Nodes on the last level are as far left as possible
 - **Heap-order**

Heap

- A binary tree satisfying 2 properties:
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 - **Heap-order**
 - Max-Heap: every element in tree is \leq its parent

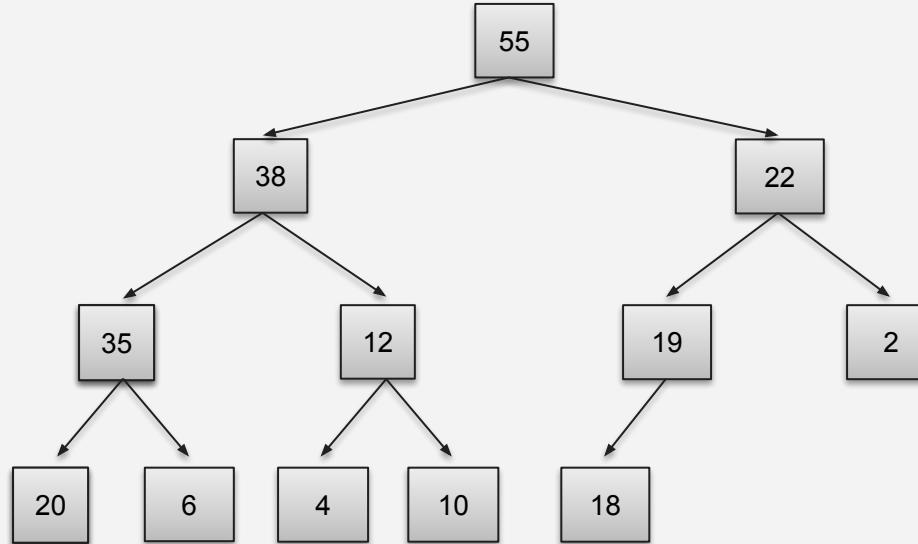
“max on top”

Heap

- A binary tree satisfying 2 properties:
 - **Completeness**
 - Every level of the tree (except last) is completely filled
 - Nodes on the last level are as far left as possible
 - **Heap-order**
 - Max-Heap: every element in tree is \leq its parent
 - Min-Heap: every element in tree is \geq its parent
- “max on top”*
- “min on top”*

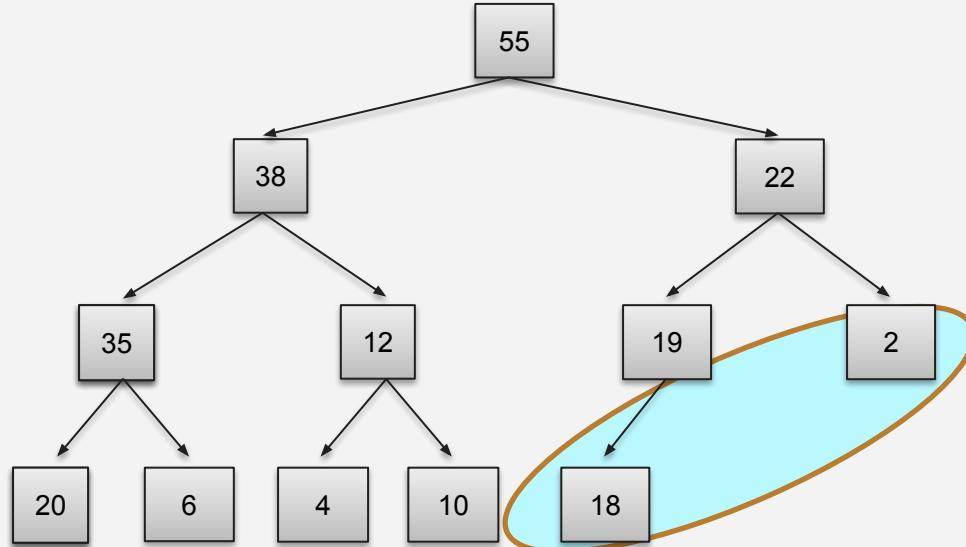
Heap-order (max-heap)

Every element is \leq its parent



Heap-order (max-heap)

Every element is \leq its parent



**Note: Bigger elements
can be deeper in the tree!**

Heap

- A binary tree satisfying 2 properties:
 - **Completeness**
 - Every level of the tree (except last) is completely filled
 - Nodes on the last level are as far left as possible
 - **Heap-order**
 - Max-Heap: every element in tree is \leq its parent
 - Min-Heap: every element in tree is \geq its parent
- **Primary operations**
 - `add(e)`: add a new element to the heap
 - `poll()`: delete the max element and return it
 - `peek()`: return the max element

Priority queues with Heaps

- Each heap node contains priority of a queue item
- Run time:
 - `add()`: $O(\log n)$
 - `poll()`: $O(\log n)$
 - `peek()`: $O(1)$
- No linear time operations: better than lists

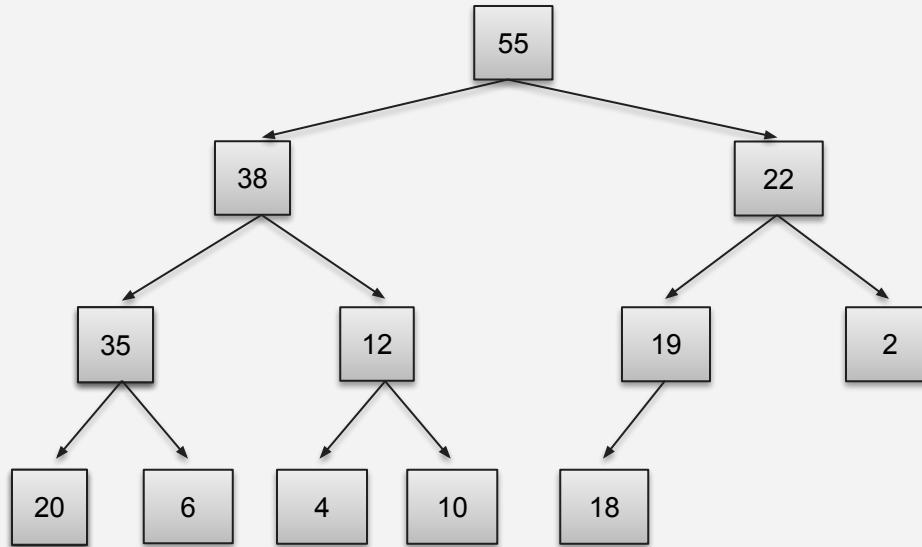


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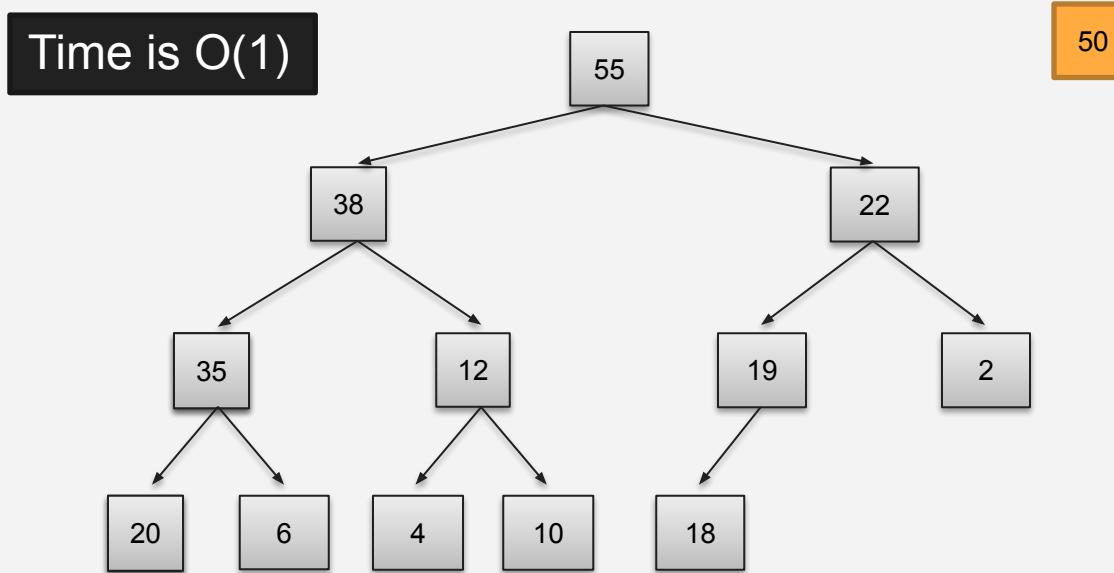
الگوریتم های هرم

چگونگی تغییر هرم

Peek

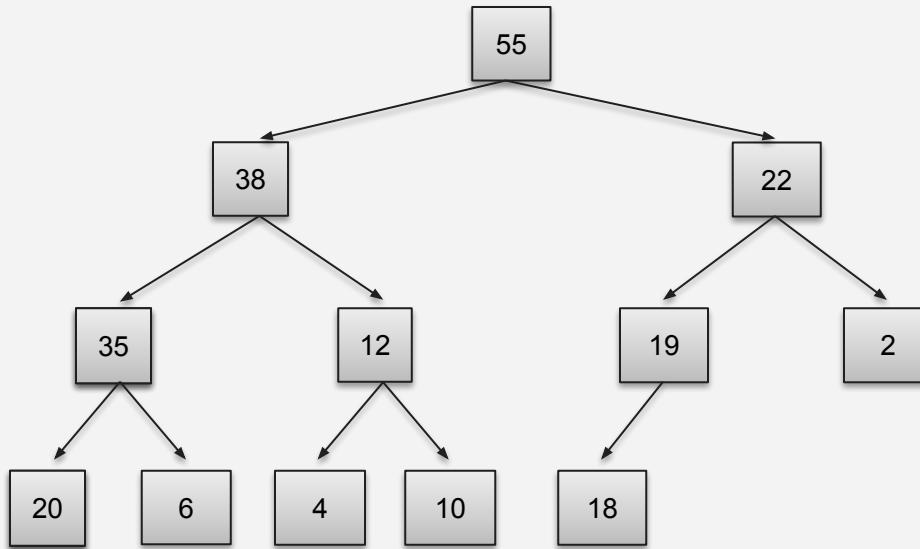


Peek

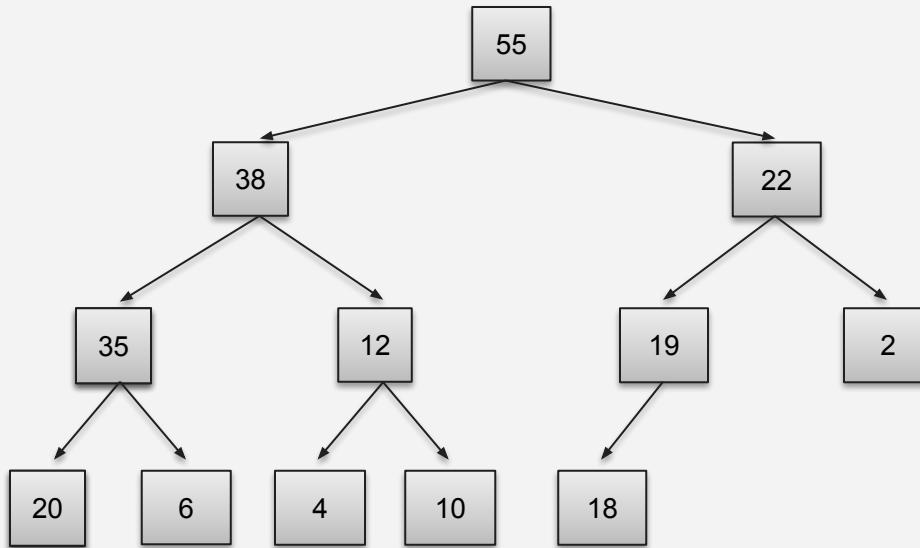


1. **Return root value**

Add

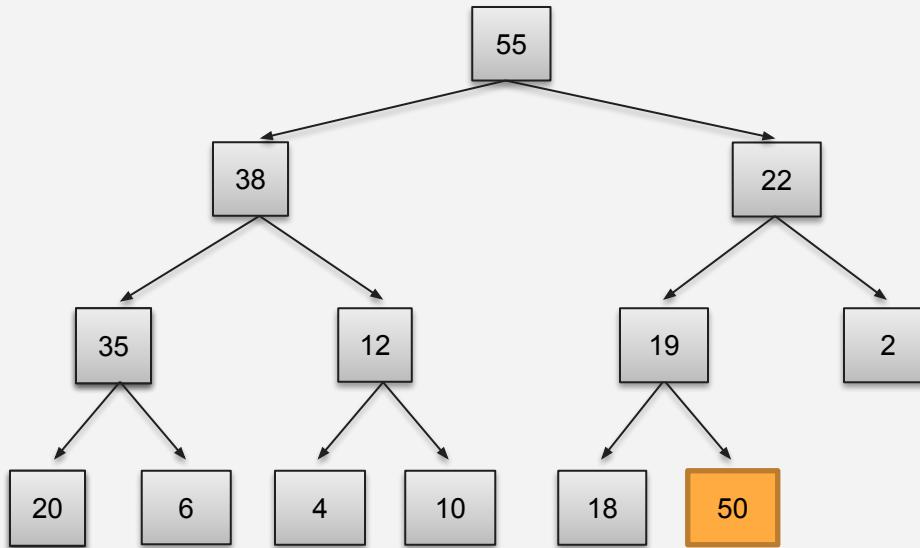


Add



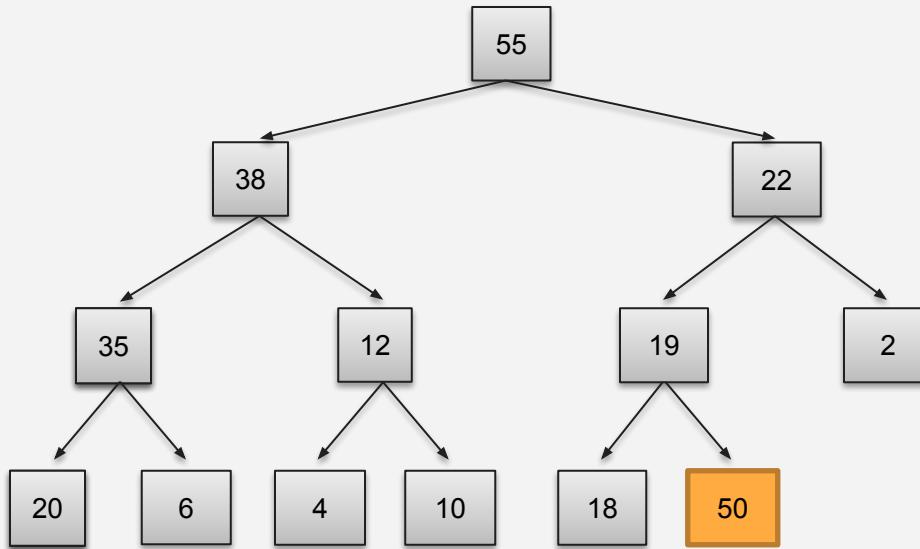
1. **Put in the new element in a new node (leftmost empty leaf)**

Add



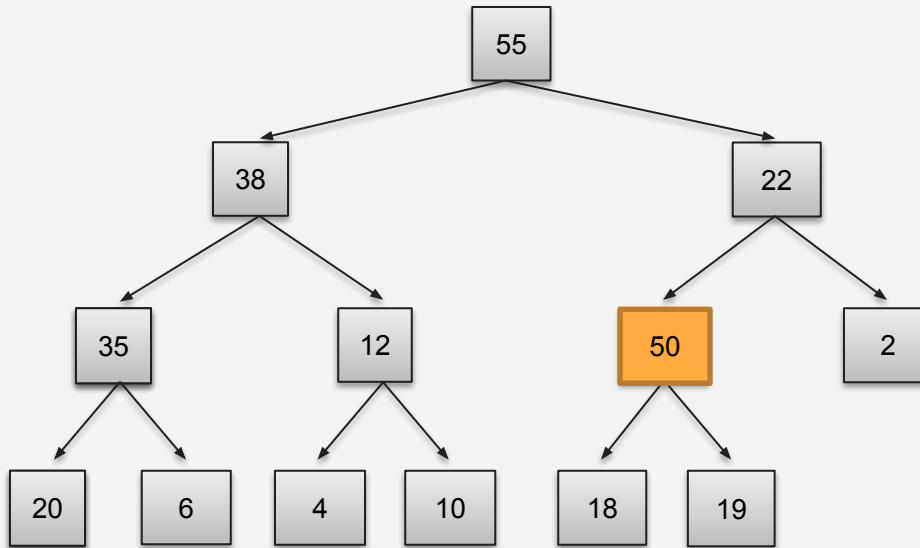
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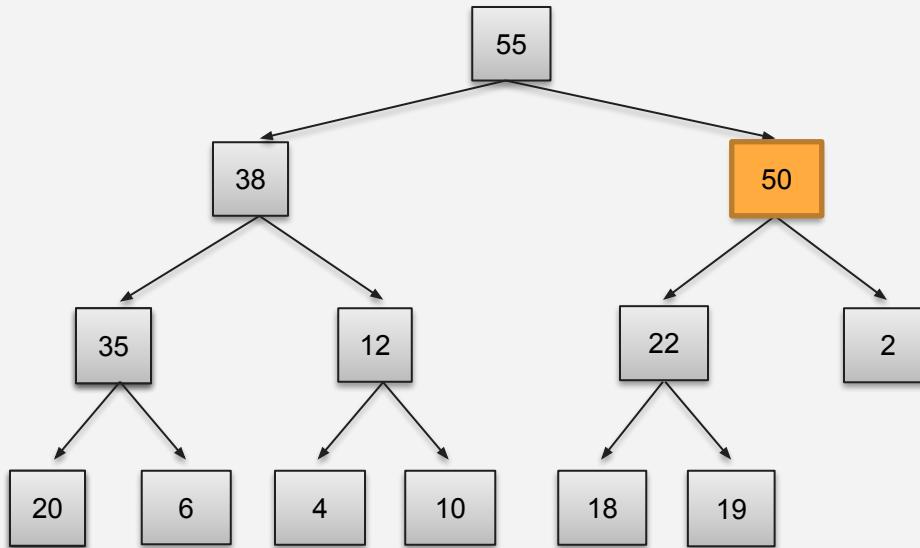
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2. Bubble new element up while greater than parent

Add



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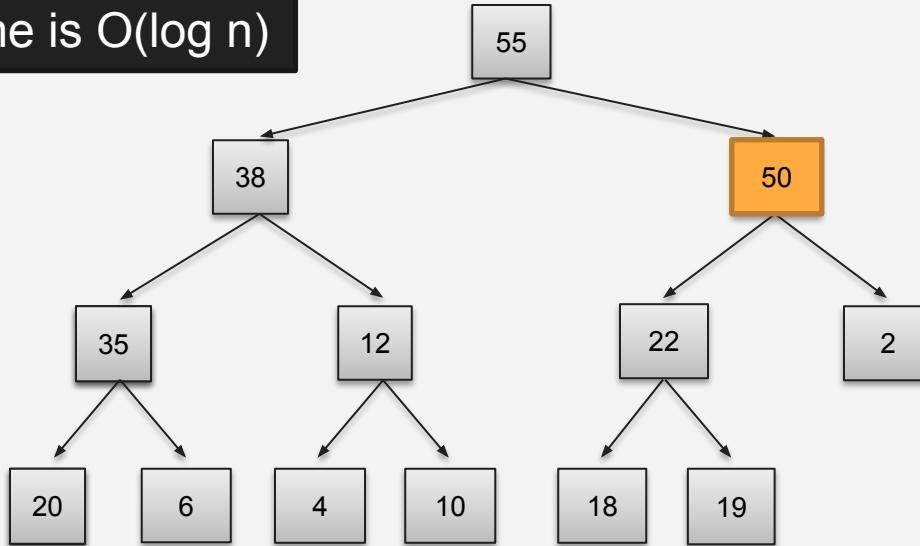
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Time is $O(\log n)$

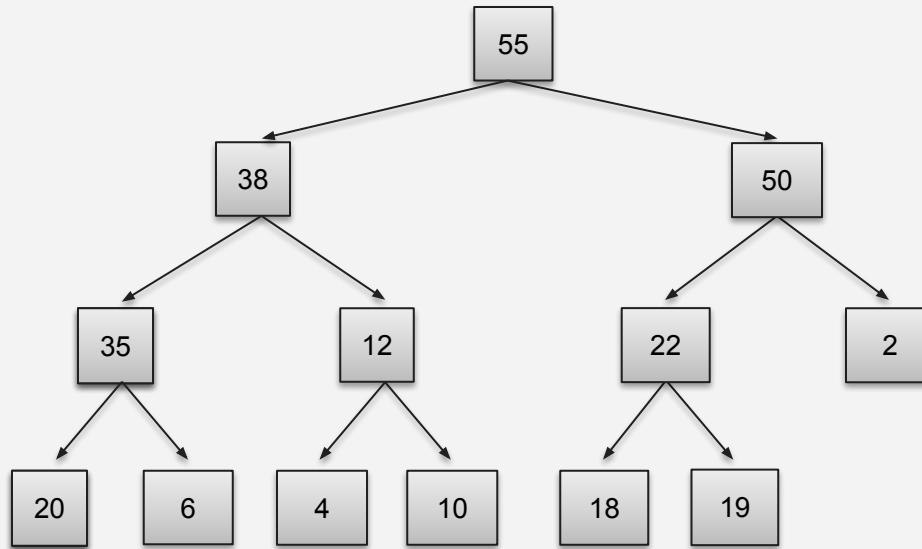


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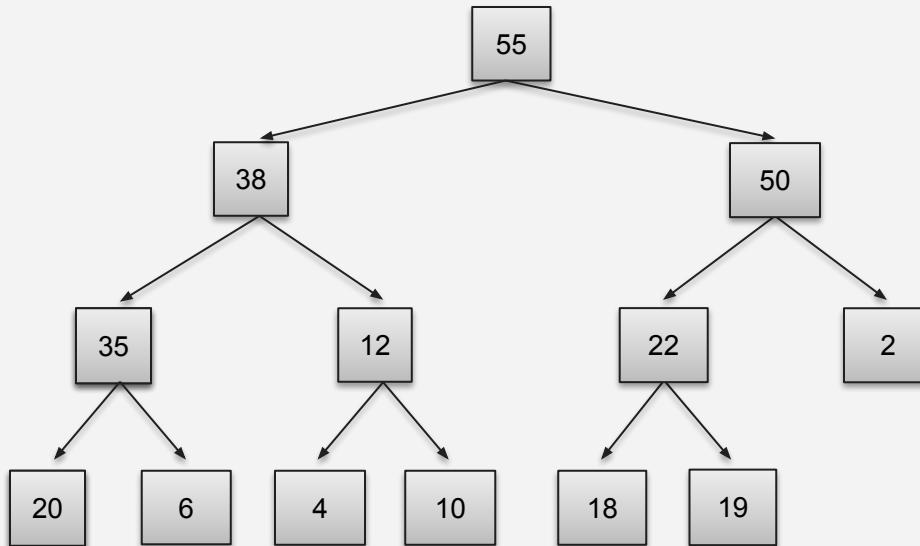


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Poll

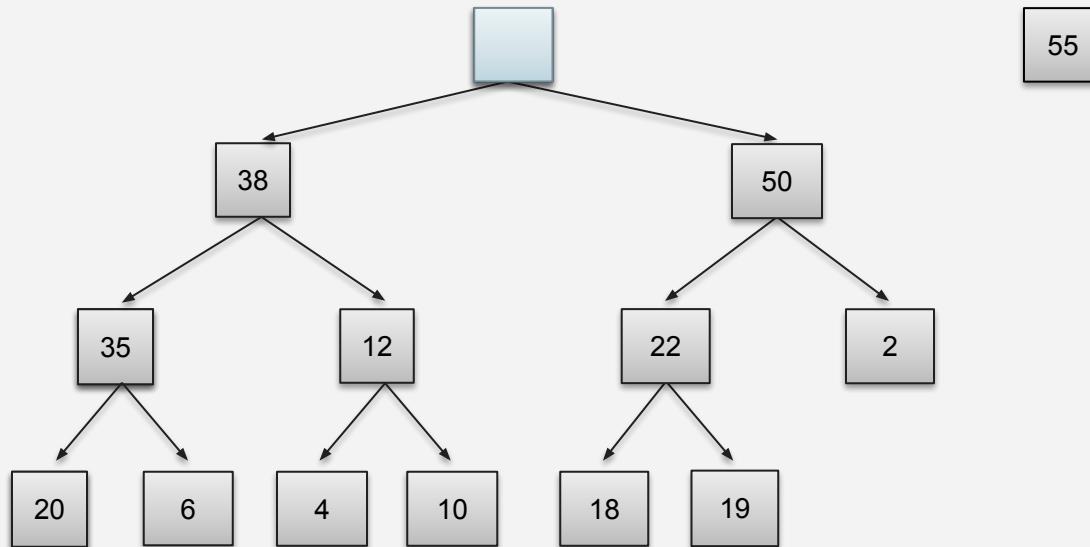


Poll



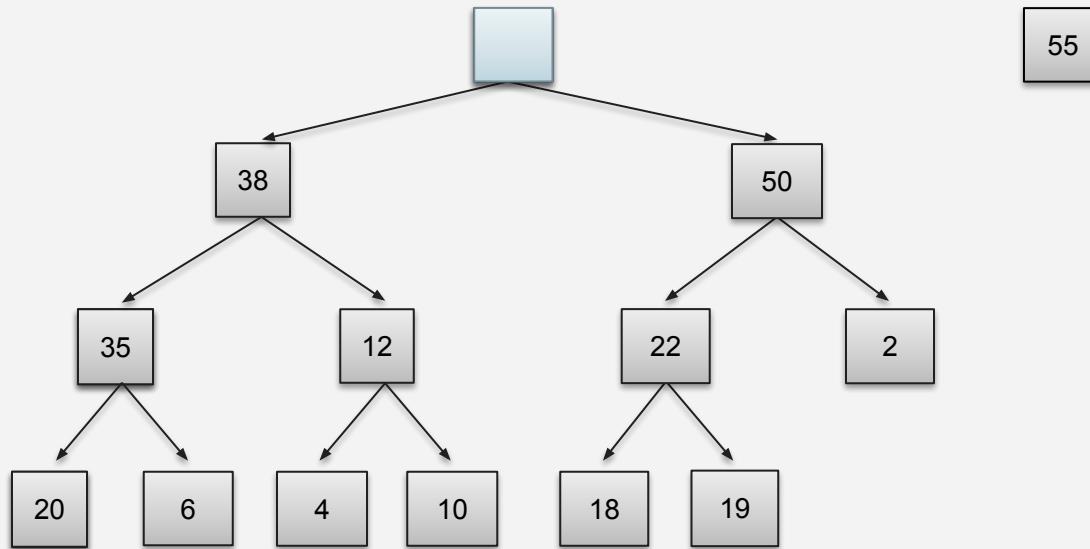
1. **Save root element in a local variable**

Poll



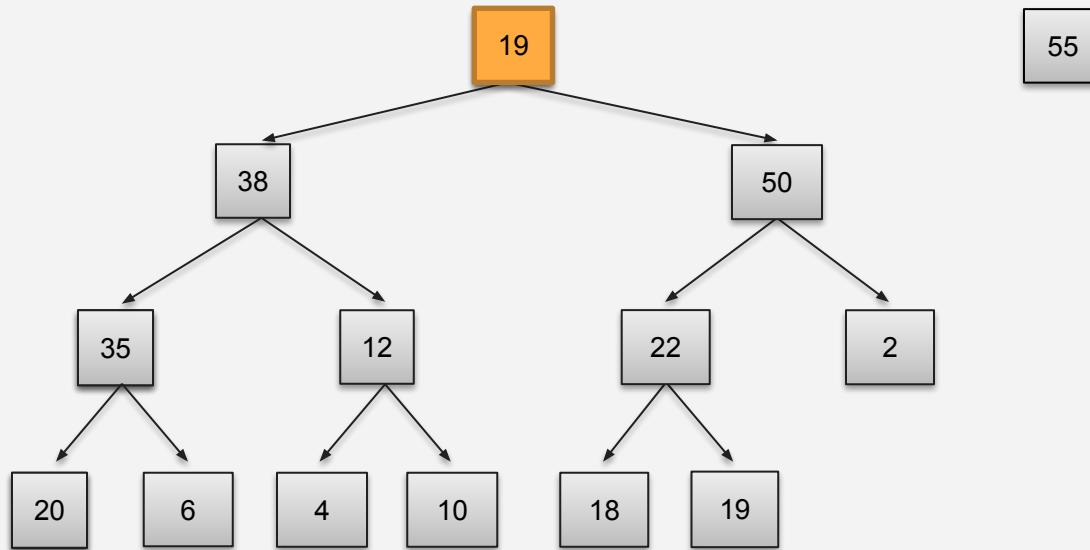
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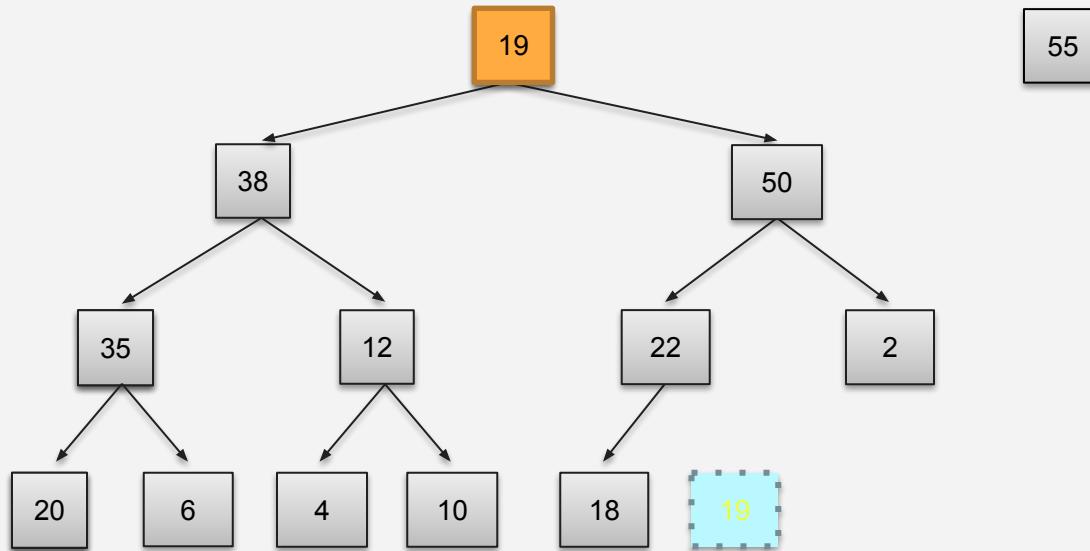
1. **Save root element in a local variable**
2. **Assign last value to root, delete last node.**

Poll



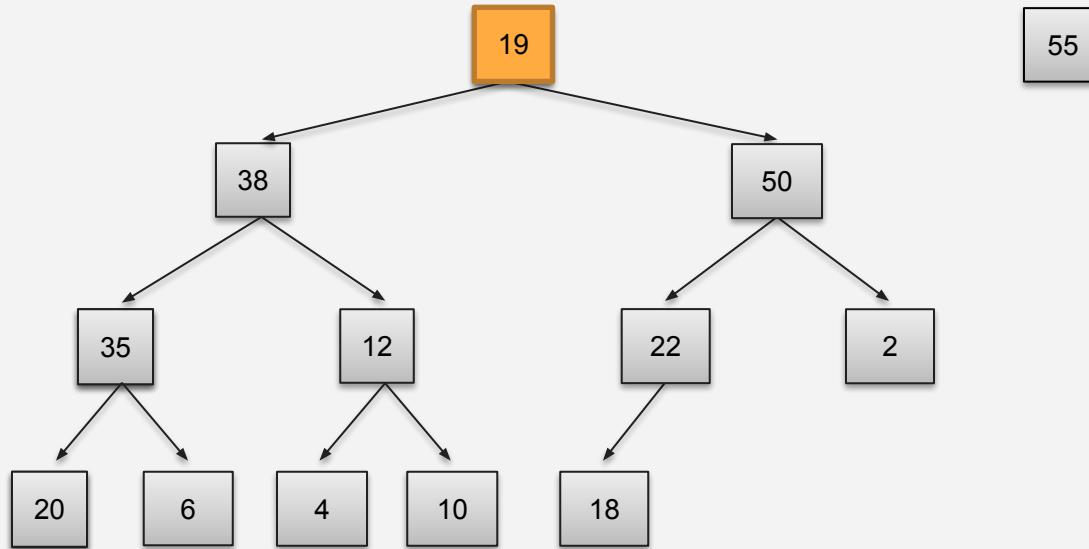
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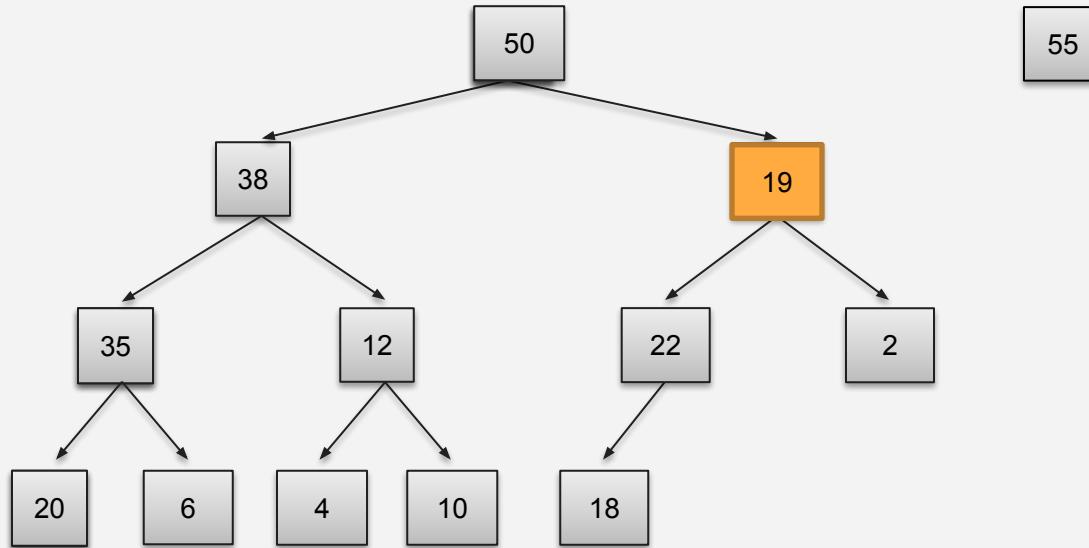
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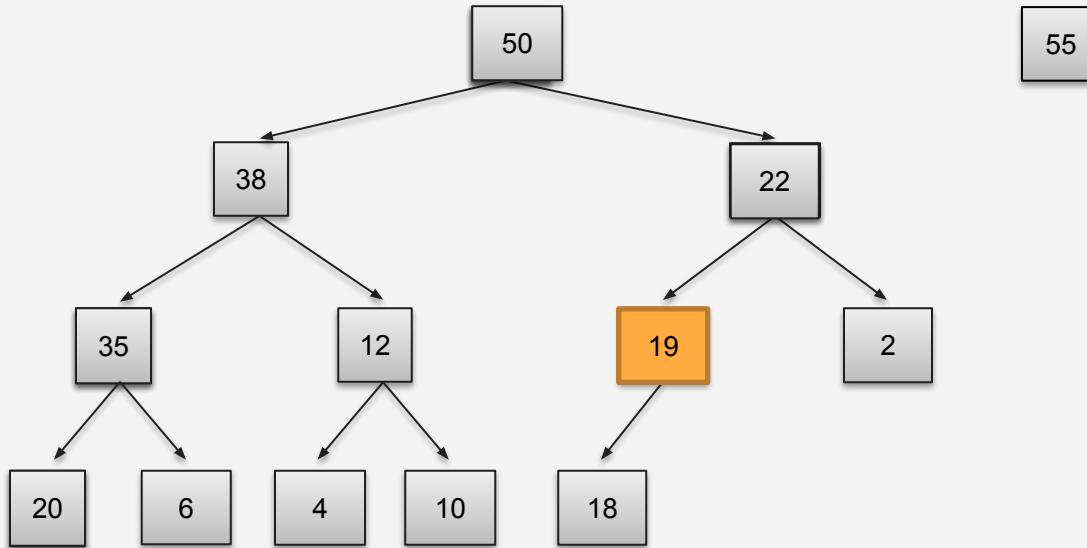
1. **Save root element in a local variable**
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3. **While less than a child, switch with bigger child (bubble down)**

Poll



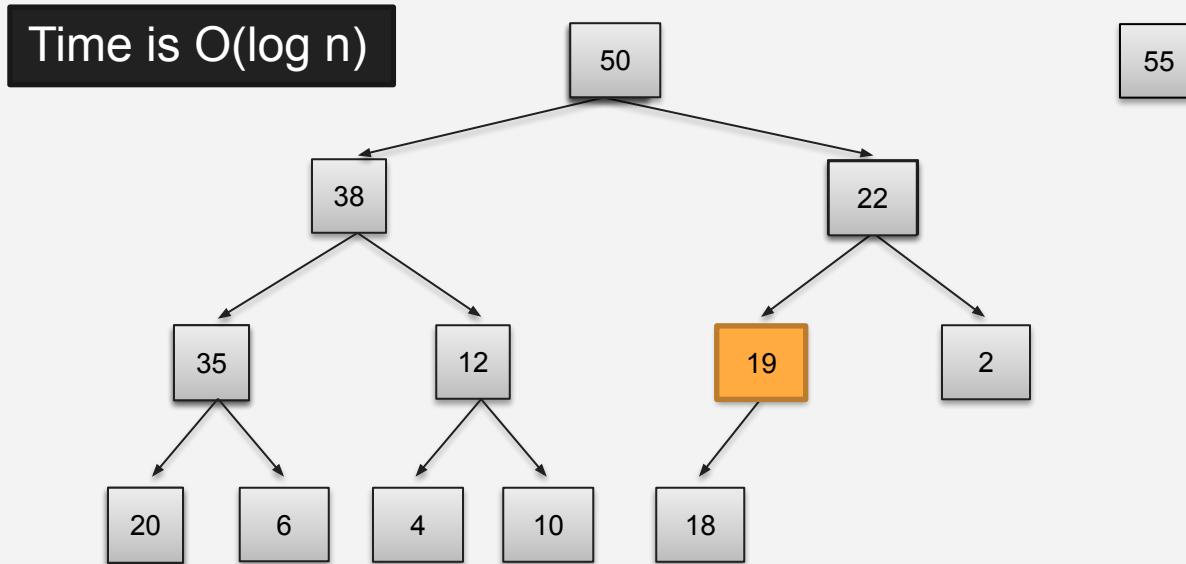
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سؤال؟

پیاده سازی هرم

چگونگی پیاده سازی هرم

Tree Implementation

```
public class HeapNode<E> {  
    private E value;  
    private HeapNode left;  
    private HeapNode right;  
    ...  
}
```

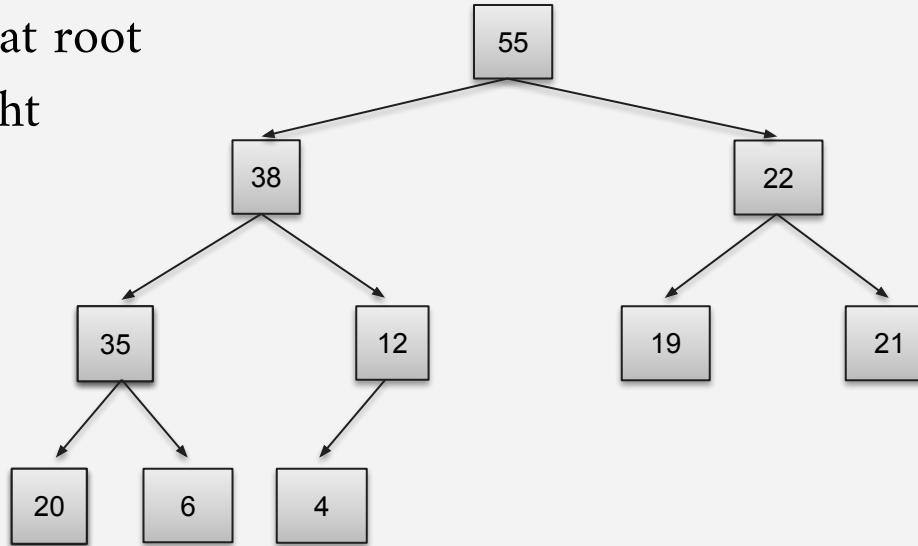
- Tree is complete, so more space-efficient implementation is possible ...

Array Implementation

```
public class Heap<E> {  
    (* represent tree as array *)  
    private E[] heap;  
    ...  
}
```

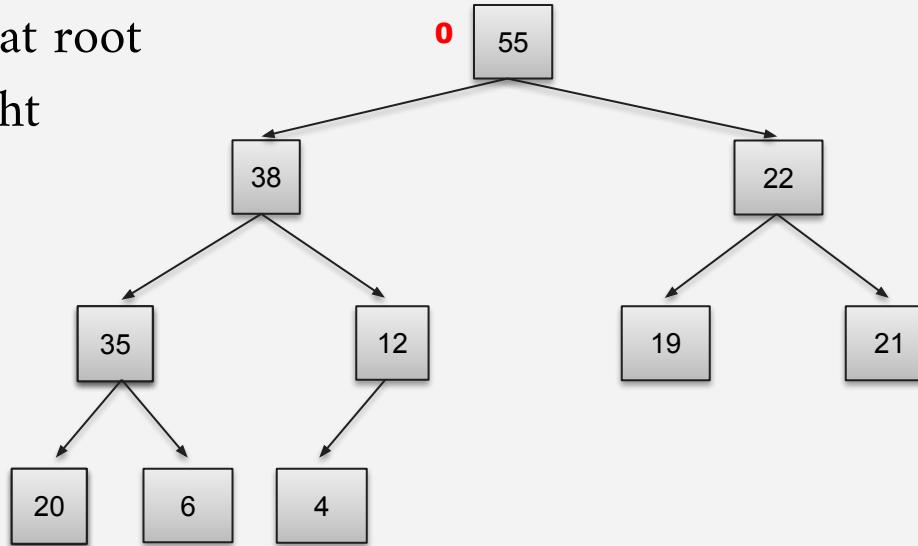
Numbering Tree Nodes

- Number node starting at root
- Row by row, left to right
- Same order as
level-order traversal



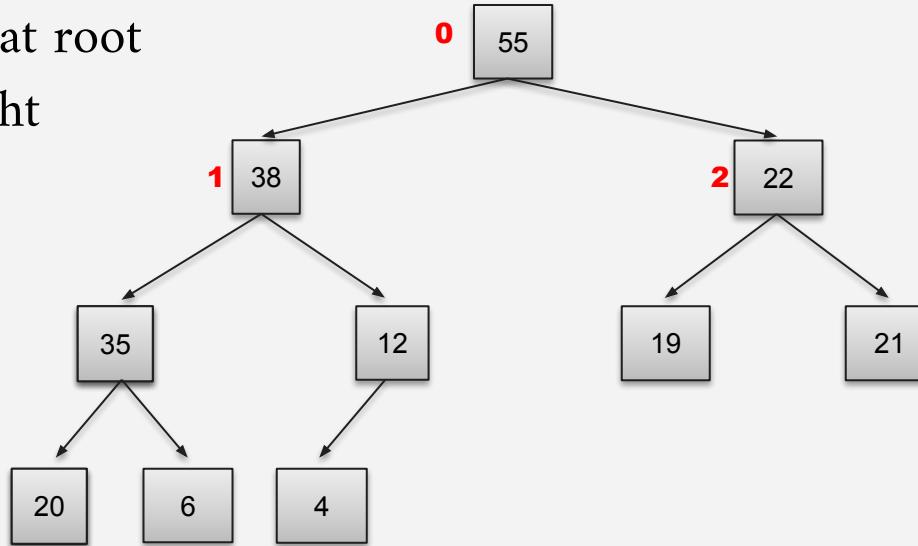
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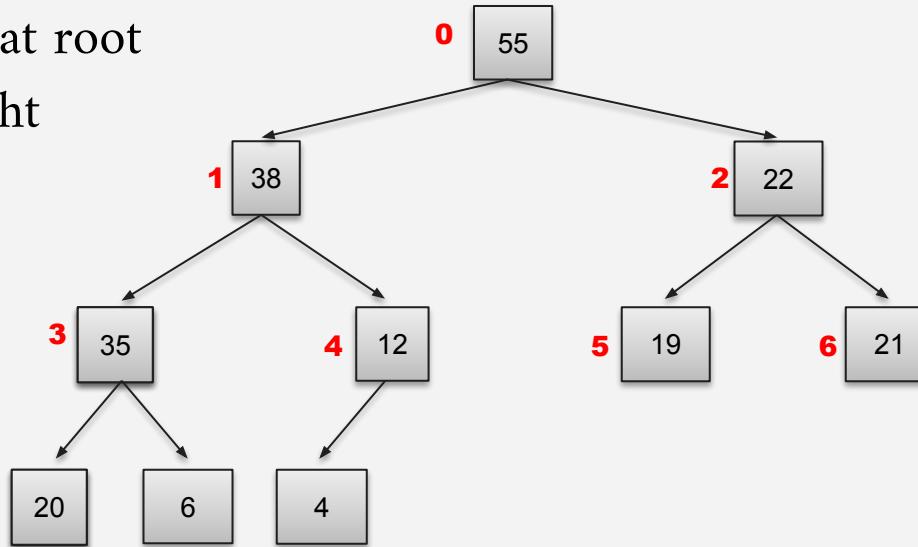
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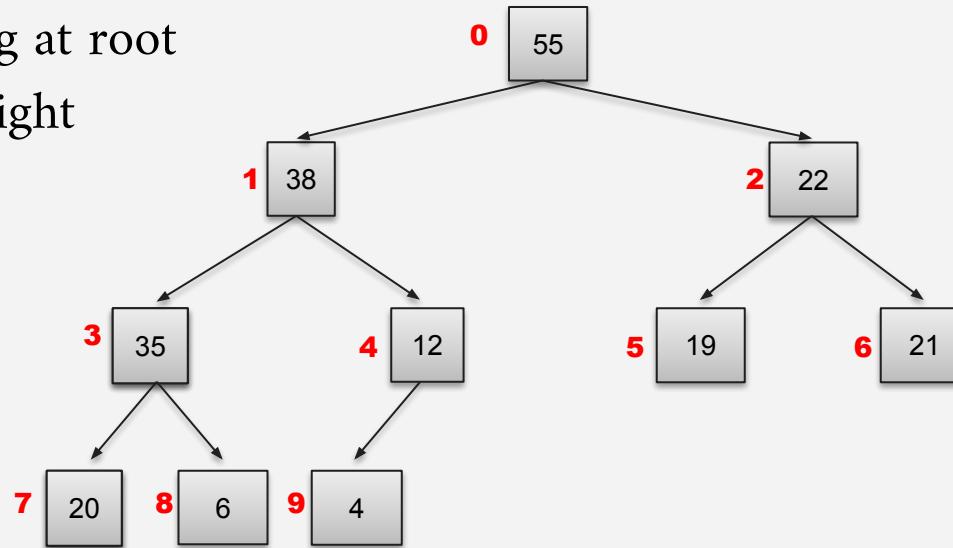
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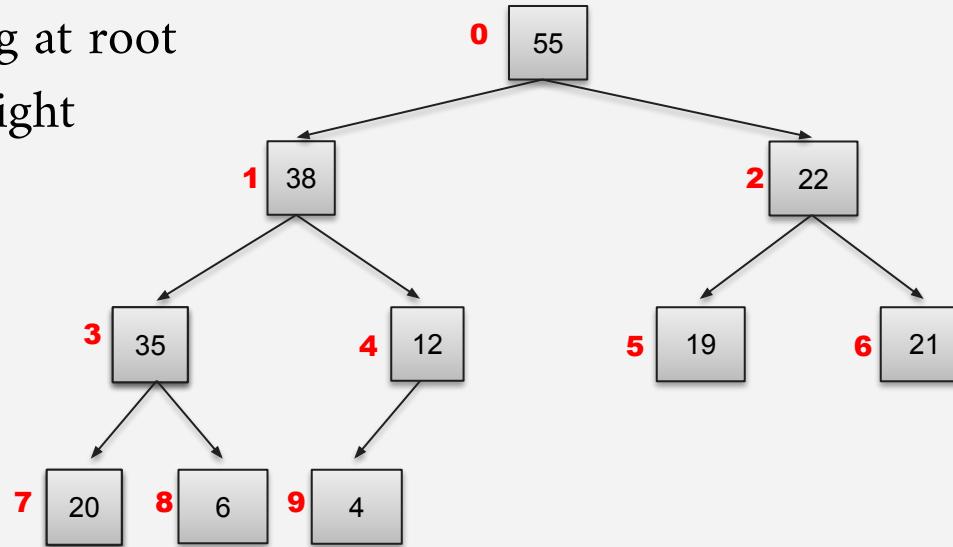
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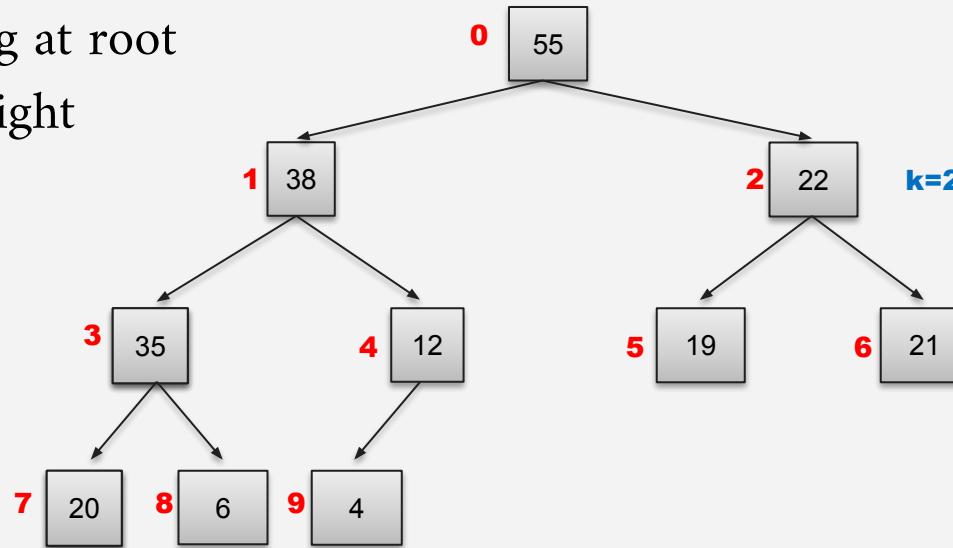
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Children of node k are nodes $2k+1$ and $2k+2$
Parent of node k is node $(k-1)/2$

Numbering Tree Nodes

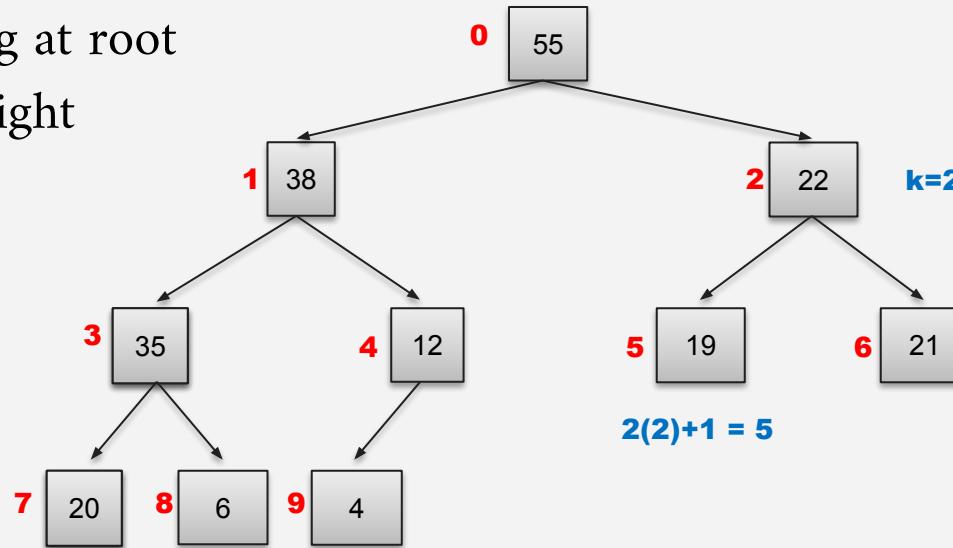
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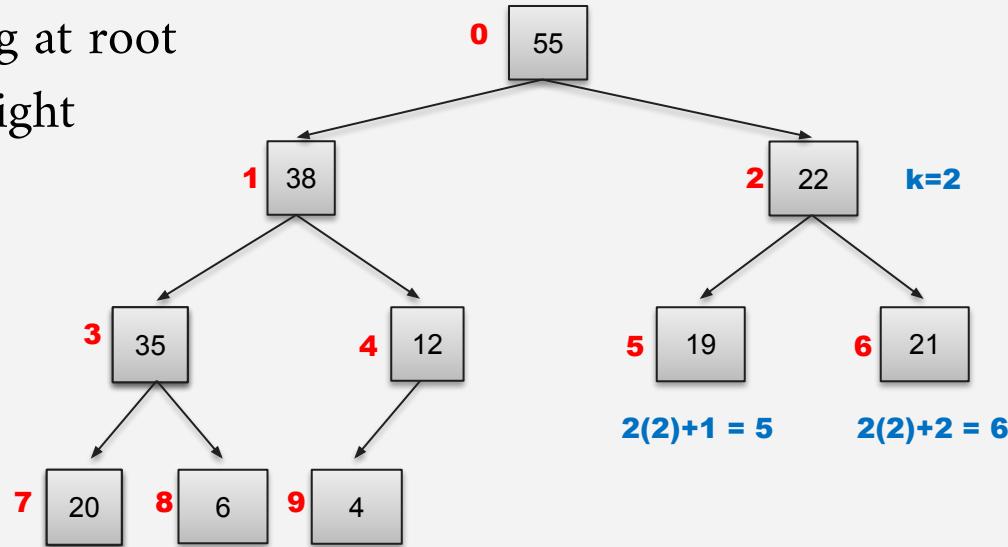
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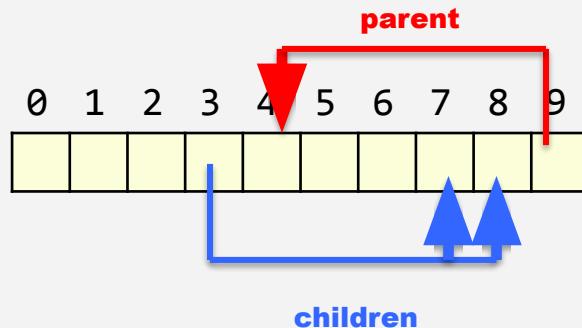
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Children of node **k** are nodes $2k+1$ and $2k+2$
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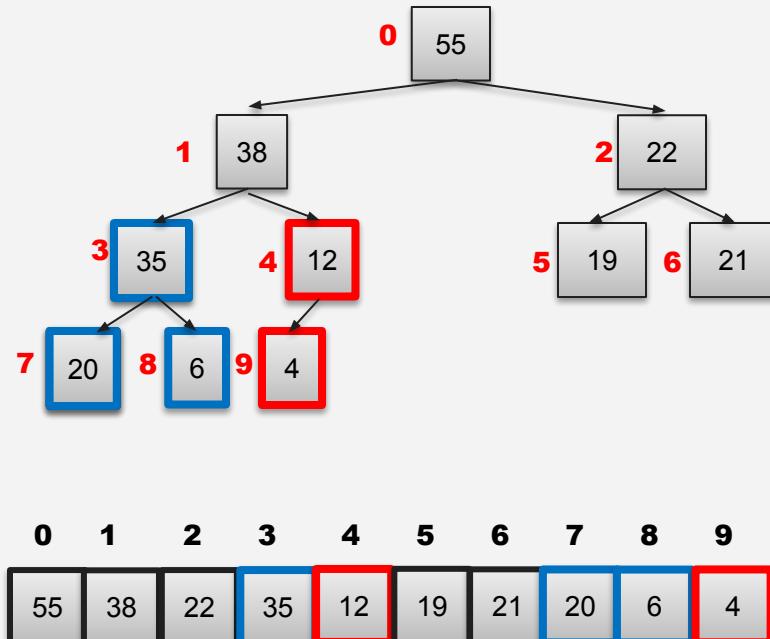
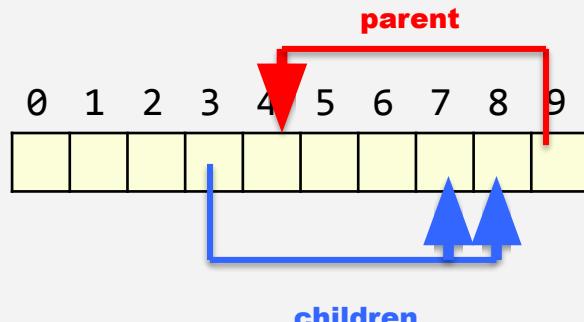
Represent Tree with Array

- Store node number i in $b[i]$
- Children of $b[k]$:
 - $b[2k+1]$ and $b[2k+2]$
- Parent of $b[k]$:
 - $b[(k-1)/2]$



Represent Tree with Array

- Store node number i in $b[i]$
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- Parent of $b[k]$:
 - $b[(k-1)/2]$





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Constructor

heap is in b[0..n-1]

```
class Heap<E> {
    E[] b; // heap is b[0..n-1]
    int n;

    /** Create heap with max size */
    public Heap(int max) {
        b= new E[max];
        // n == 0, so heap invariant holds
        // (completeness & heap-order)
    }
}
```

Peek

```
/** Return largest element
 * (return null if list is empty) */
public E poll() {
    if (n == 0) return null;
    return b[0]; // largest value at root.
}
```

Add (assuming enough room in array)

```
class Heap<E> {

    /** Add e to the heap */
    public void add(E e) {
        b[n]= e;
        n= n + 1;
        bubbleUp(n - 1); // on next slide
    }
}
```

BubbleUp

```
class Heap<E> {
    /** Bubble element #k up to its position.
     * Pre: heap inv holds except maybe for k */
    private void bubbleUp(int k) {

        // inv: p is parent of k and every element
        // except perhaps k is <= its parent
        while ( ) {

        }

    }
}
```

BubbleUp

```
class Heap<E> {
    /** Bubble element #k up to its position.
     * Pre: heap inv holds except maybe for k */
    private void bubbleUp(int k) {
        int p = (k-1)/2;
        // inv: p is parent of k and every element
        // except perhaps k is <= its parent
        while ( ) {
            if ( )
                swap(k, p);
            p = (p-1)/2;
        }
    }
}
```

BubbleUp

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class Heap<E> {
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    private void bubbleUp(int k) {
        int p = (k-1)/2;
        // inv: p is parent of k and every element
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        while (k > 0 && b[k] > (b[p])) {

        }
    }
}
```

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        // inv: p is parent of k and every element
        // except perhaps k is <= its parent
        while (k > 0 && b[k] > (b[p])) {
            swap(b, k, p);
            k= p;
            p= (k-1)/2;
        }
    }
}
```



سؤال؟

Poll

```
/** Remove and return the largest element
 * (return null if list is empty) */
public E poll() {
    if (n == 0) return null;
    E v= b[0];      // largest value at root
    n= n - 1;       // move last
    b[0]= b[n];     // element to root
    heapify();       // on next slide
    return v;
}
```

Poll - bubbleDown

```
/** Bubble root down to its heap position.  
 Pre: b[0..n-1] is a heap except maybe b[0] */  
private void heapify() {  
  
    // inv: b[0..n-1] is a heap except maybe b[k] AND  
    //       b[c] is b[k]'s biggest child  
    while ( ) {  
  
        }  
}
```

Poll- bubbleDown

```
/** Bubble root down to its heap position.  
 Pre: b[0..n-1] is a heap except maybe b[0] */  
private void heapify() {  
    int k= 0;  
    int c= biggerChild(k); // on next slide  
    // inv: b[0..n-1] is a heap except maybe b[k] AND  
    //       b[c] is b[k]'s biggest child  
    while ( ) {  
        }  
}
```

Poll- bubbleDown

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    int c= biggerChild(k); // on next slide  
    // inv: b[0..n-1] is a heap except maybe b[k] AND  
    //       b[c] is b[k]'s biggest child  
    while ( c < n && b[k] < b[c] ) {  
  
    }  
}
```

Poll- bubbleDown

```
/** Bubble root down to its heap position.  
 Pre: b[0..n-1] is a heap except maybe b[0] */  
private void heapify() {  
    int k= 0;  
    int c= biggerChild(k); // on next slide  
    // inv: b[0..n-1] is a heap except maybe b[k] AND  
    //       b[c] is b[k]'s biggest child  
    while ( c < n && b[k] < b[c] ) {  
        swap(b, k, c);  
        k= c;  
        c= biggerChild(k);  
    }  
}
```

Poll - biggerChild

```
/** Return index of bigger child of node k */
public int biggerChild(int k) {
    int c = 2*k + 2;      // k's right child
    if (c >= n || b[c-1] > b[c])
        c = c-1;
    return c;
}
```

Efficiency

class PriorityQueue<E> {	<u>TIME</u>
boolean add(E e); //insert e.	O(log n)
E poll(); //remove & return max elem.	O(log n)
E peek(); //return min elem.	O(1)
boolean contains(E e);	O(n)
boolean remove(E e);	O(n)
int size();	O(1)
}	



سؤال؟

مرتب سازی هرمی

استفاده از هرم برای مرتب سازی

Heapsort

- Goal: sort this array in place
- Approach: turn the array into a heap and then poll repeatedly

0	1	2	3	4
55	4	12	6	14

Convert into a Max-Heap (in-place)

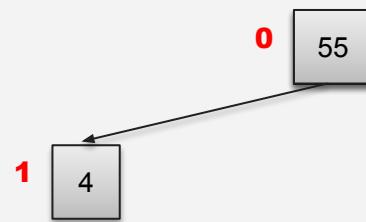
0	1	2	3	4
55	4	12	6	14

Convert into a Max-Heap (in-place)

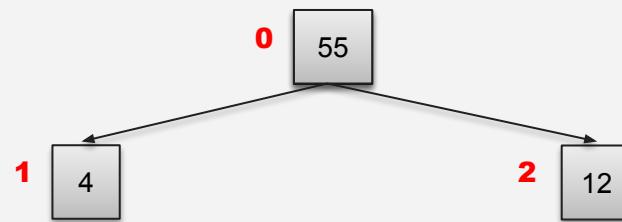


0 55

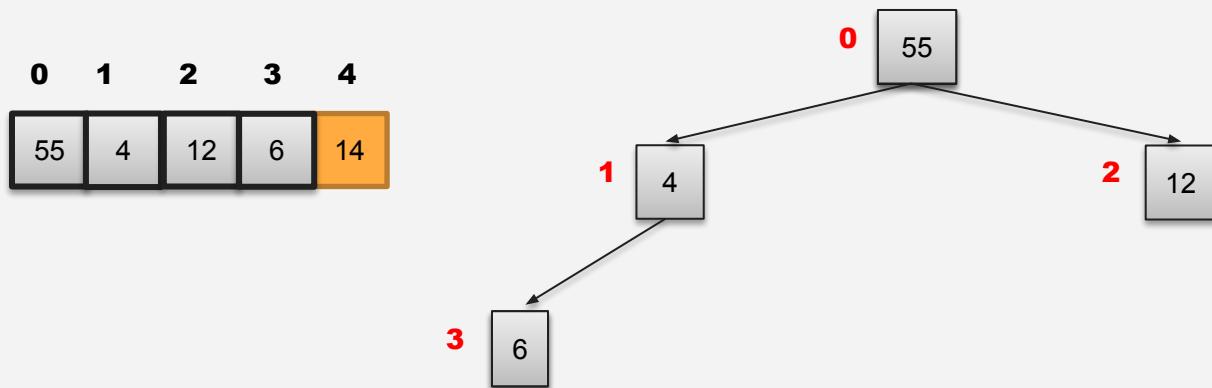
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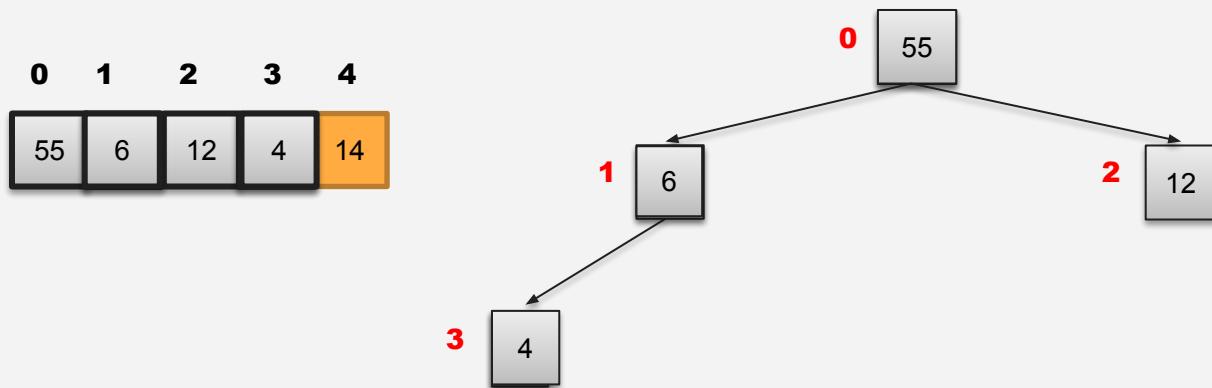
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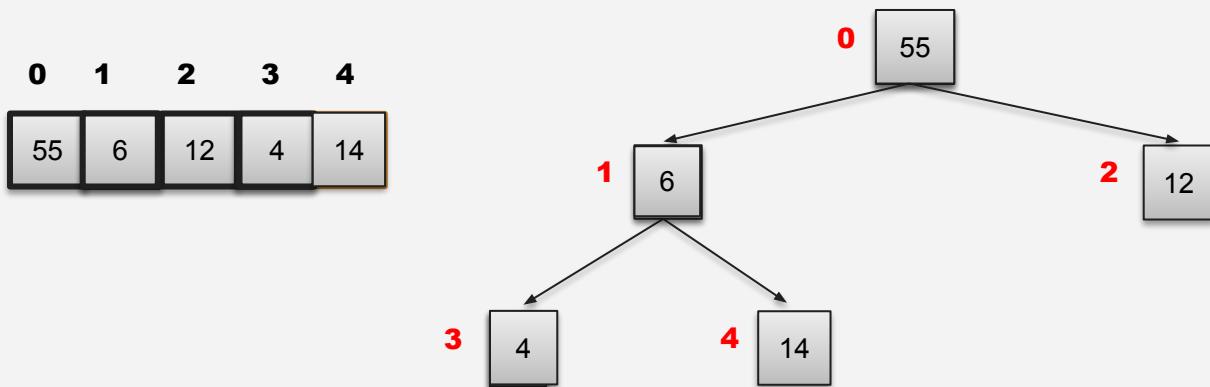
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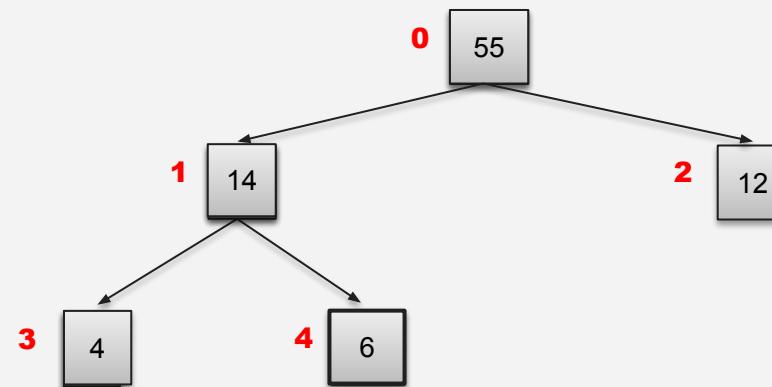


Convert into a Max-Heap (in-place)



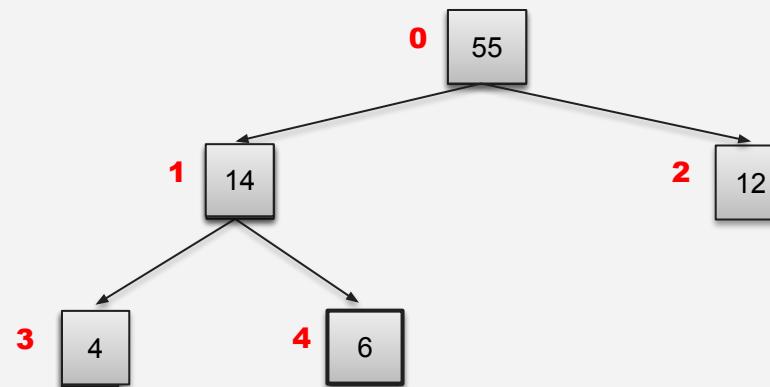
Convert into a Max-Heap (in-place)

0	1	2	3	4
55	14	12	4	6



Convert into a Max-Heap (in-place)

Runtime: $O(n \log n)$

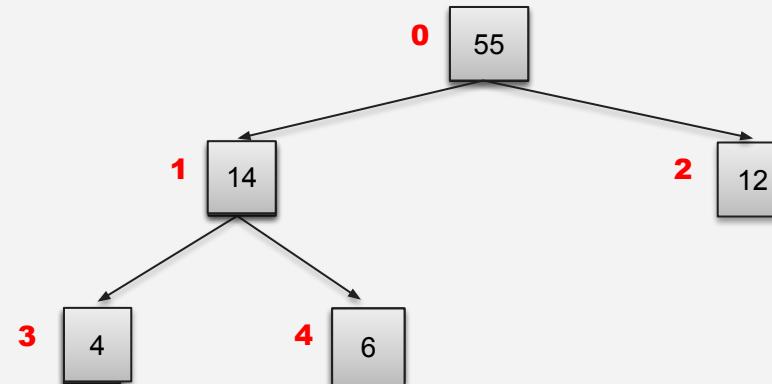




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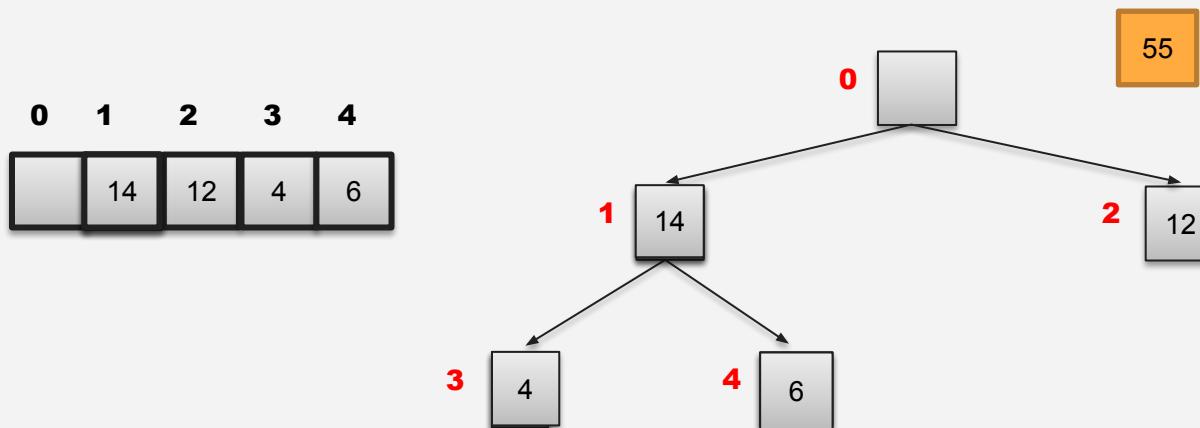
Take Max Element out of the Heap

- $b[k] = \text{poll}()$
 - i.e., take max element out of heap



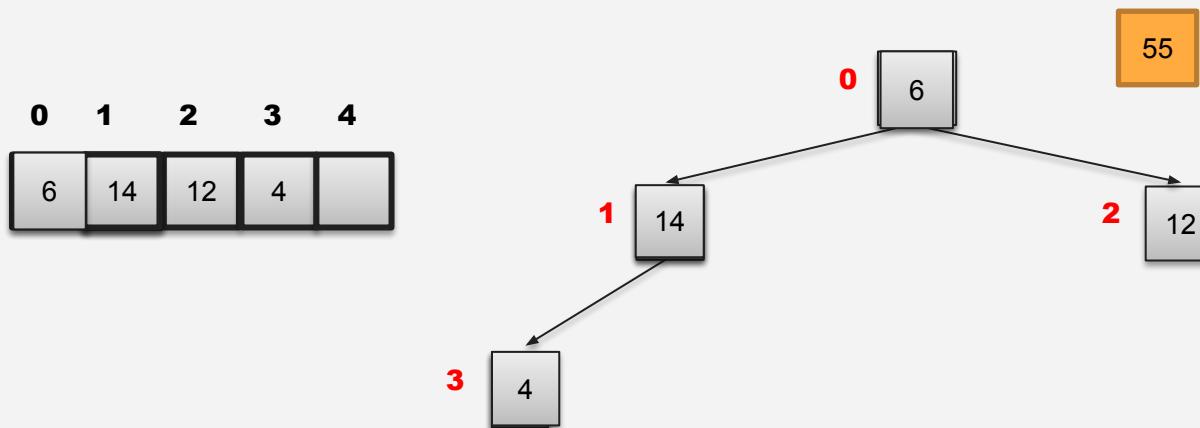
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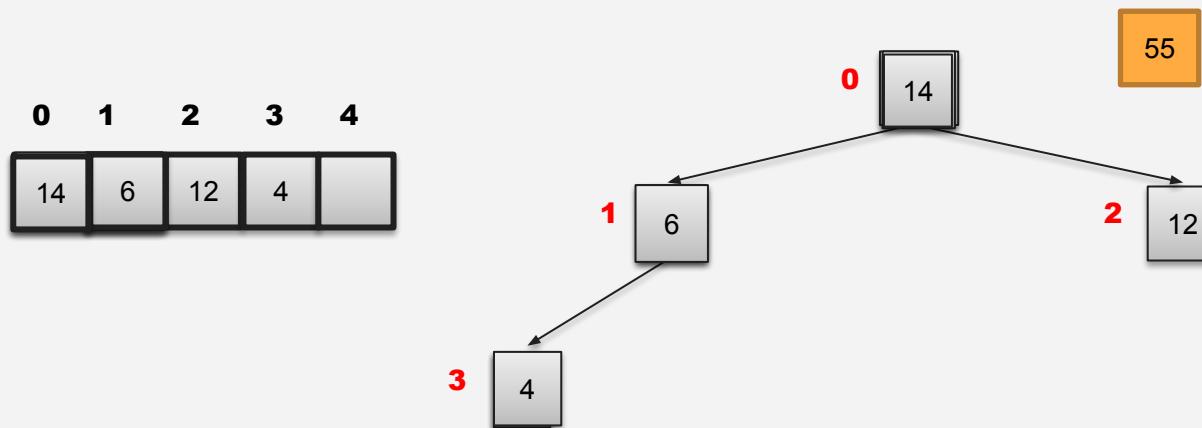
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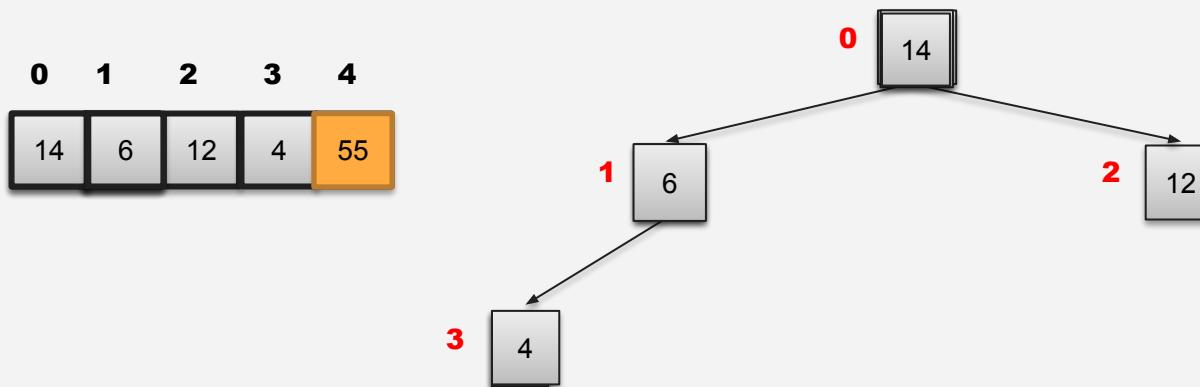
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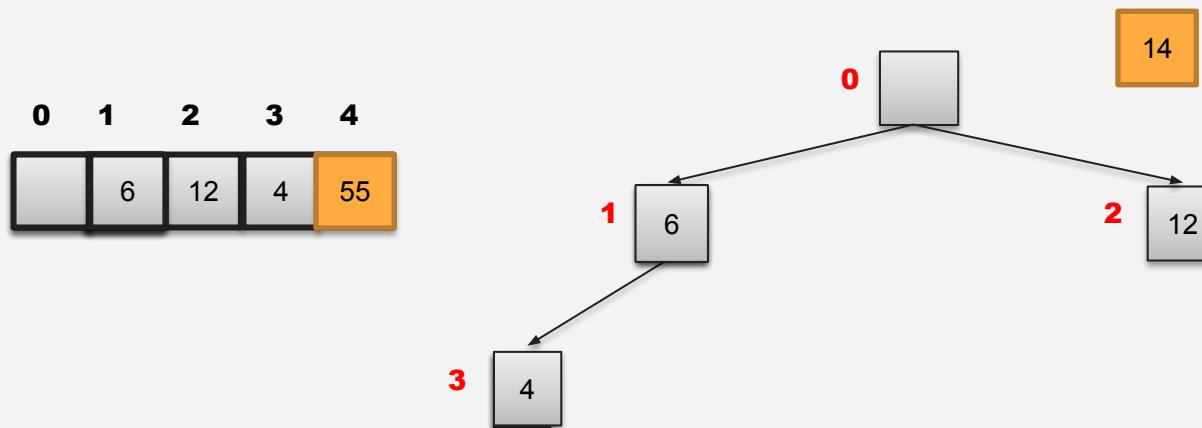
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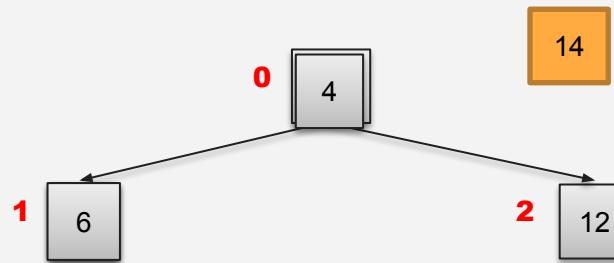
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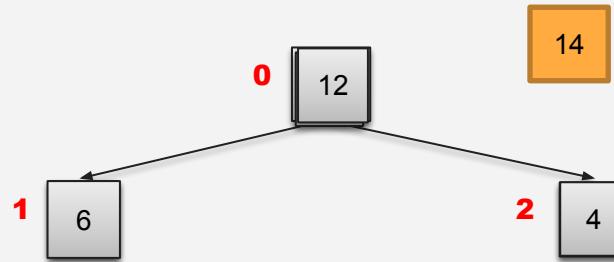
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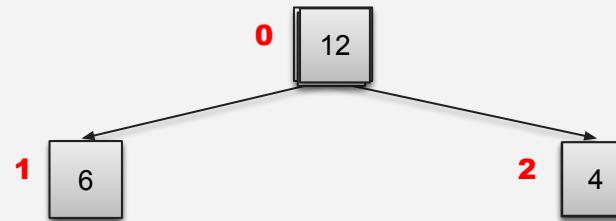
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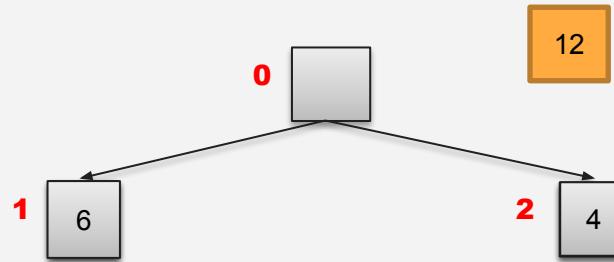
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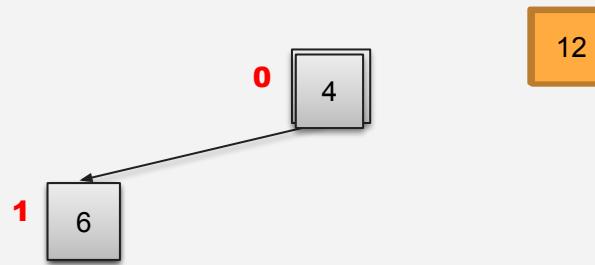
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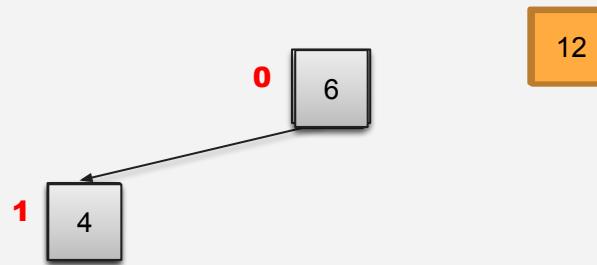
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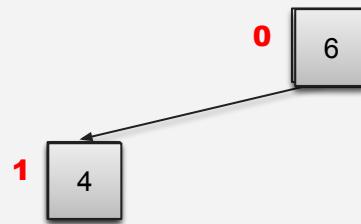
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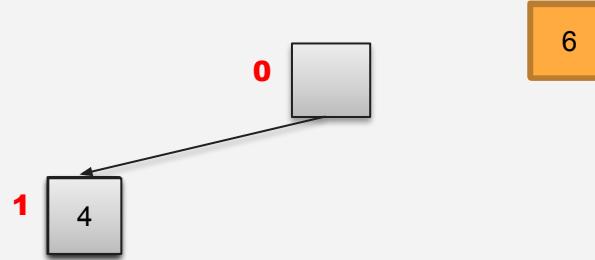
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سؤال؟