# طراحي الگوريتم ها

مبحث دهم: تحلیل سرشکن

سجاد شیرعلی شهرضا بهار 1402 یک شنبه، 21 اسفند 1401

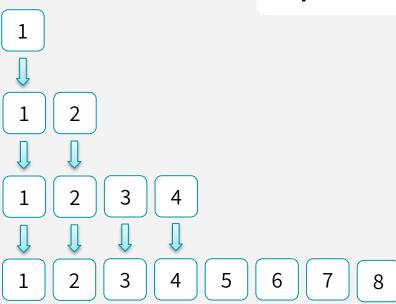
## اطلاع رساني

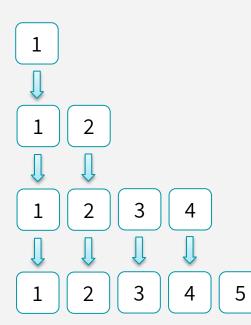
- بخش مرتبط کتاب برای این جلسه: 17
  برگزاری جلسه سه شنبه به صورت مجازی

آرایه پویا

افزایش پویای اندازه آرایه در طول زمان

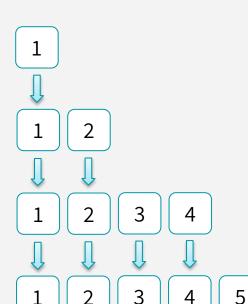
We fill it with n elements. When it is FULL, we replaced it with a new array that has 2\*n capacity.





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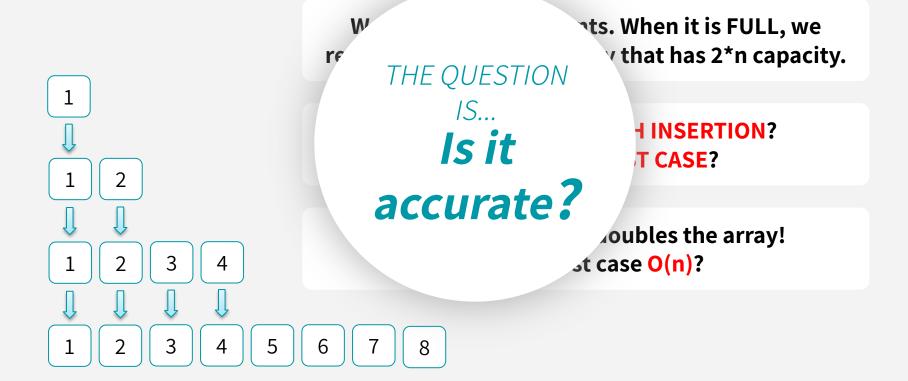
What is the cost of EACH INSERTION?
What is the WORST CASE?



We fill it with n elements. When it is FULL, we replaced it with a new array that has 2\*n capacity.

What is the cost of EACH INSERTION?
What is the WORST CASE?

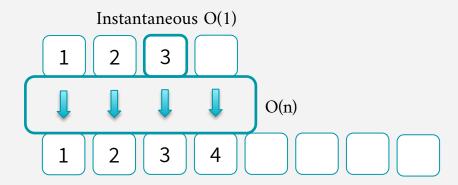
The worst insertion doubles the array! So, In worst case O(n)?



#### ANALYZING TIME COMPLEXITY

- Two type of operations
  - Simple operations with O(1)
  - Complex operations with O(n)

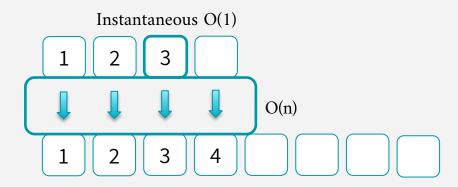
## We need a new type of analysing: Amortized Analysis



#### ANALYZING TIME COMPLEXITY

- Two type of operations
  - Simple operations with O(1)
  - Complex operations with O(n)

## We need a new type of analysing: Amortized Analysis





تحلیل سرشکن

#### **AMORTIZED ANALYSIS**

- Not just consider one operation, but a sequence of operations
- Average cost over a sequence of operations.
- Example: Dynamic Array

#### AMORTIZED vs. PROBABILISTIC

#### Probabilistic analysis:

- Average case running time: average over all possible inputs for one algorithm (operation)
- If using probability, called Expected Running Time.

#### Amortized analysis:

- No involvement of probability
- Average performance on a sequence of operations
- Guarantee average performance of each operation among the sequence in worst case

#### AMORTIZED ANALYSIS METHODS

#### • Aggregate analysis:

Total cost of n operations/n,

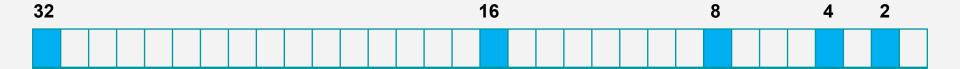
#### • Accounting Method:

- Pay extra credit in each operation
- Save extra credit on elements
- Use extra credit for expensive operations

#### • Potential method:

- Same as accounting method
- But store the credit in one place as potential energy

### EXPENSIVE INSERT OPERATION







Becomes more expensive, but happens less frequently

## INSERTION COST

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

### INSERTION COST

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

What is the simplest way to determine the cost of each INSERTION?

### INSERTION COST

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

What is the simplest way to determine the cost of each INSERTION?

**Average** 



## روش انبوهه

میانگین هزینه های یک سری عملیات

The **simplest** way to perform amortized analysis

How to calculate? Total cost # of operations

$$\sum Cost \ of \ \textbf{Cheap} \ operations$$

$$O(\sum Cost \ of \ n \ operations) = O( \qquad + \qquad )$$

$$\sum Cost \ of \ \textbf{Expensive} \ operations$$

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

After inserting 1 items, total cost of expensive insertions = 1

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

After inserting 2 items, total cost of expensive insertions = 3

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

Upto inserting 3 items, total cost of expensive insertions = 3

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

After inserting 4 items, total cost of expensive insertions = 7

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

Upto inserting 7 items, total cost of expensive insertions = 7

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

After inserting 8 items, total cost of expensive insertions = 15

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

Upto inserting 15 items, total cost of expensive insertions = 15

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

After inserting 16 items, total cost of expensive insertions = 31

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

Upto inserting 31 items, total cost of expensive insertions = 31

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
16	1	1	1	1	1	1	1	8	1	1	1	4	1	2	1	Cost
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
32	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	Cost

After inserting 32 items, total cost of expensive insertions = 63

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
31	15	15	15	15	15	15	15	15	7	7	7	7	3	3	1	Total Exp
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
63	31	31	31	31	31	31	31	31	31	31	31	31	31	31	31	Total Exp

Relation between item # and total cost of expensive operations?

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Item
31	15	15	15	15	15	15	15	15	7	7	7	7	3	3	1	Total Exp
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	Item
63	31	31	31	31	31	31	31	31	31	31	31	31	31	31	31	Total Exp

2 x item # > total cost of expensive

The **simplest** way to perform amortized analysis

How to calculate? Total cost # of operations

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The **simplest** way to perform amortized analysis

How to calculate? Total cost # of operations

Total cost of n **cheap** operations < n = O(n)

The **simplest** way to perform amortized analysis

How to calculate? Total cost # of operations

$$\sum Cost \ of \ \textbf{Cheap} \ operations$$

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$$\sum Cost \ of \ \textbf{Expensive} \ operations$$

Total cost of n **cheap** operations < n = O(n)Total cost of **expensive** operations  $< 2 \times n = O(n)$ 

### AGGREGATE ANALYSIS

The **simplest** way to perform amortized analysis

How to calculate? Total cost # of operations

Total cost of n **cheap** operations < n = O(n)Total cost of **expensive** operations  $< 2 \times n = O(n)$ Total cost < O(n) + O(n) = O(n)

### AGGREGATE ANALYSIS

Dynamic array insertion cost

Amortized cost = 
$$\frac{\text{Total cost}}{\text{\# of operations}}$$
 = O(n) / n = O(1)



# روش حسابداری

جمع آوری هزینه اضافه در حین انجام عملیات ساده

### ACCOUNTING METHOD

- Save your money for a rainy day!
- Assign every operation a **cost** 
  - Use part of it for the operation
  - Save surplus beside new item
- Cheap operations will have extra cost
  - Will help to afford **Expensive** operations
- Challenge: Bank balance must always be 0 or positive

Total Credit **Charge 3 units per operation** 

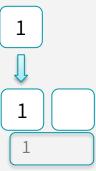
Total Credit **Charge 3 units per operation** 

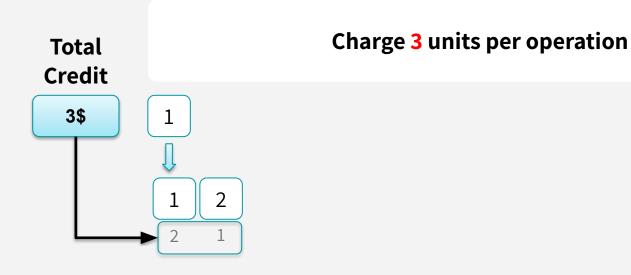
2\$

1

#### Total Credit

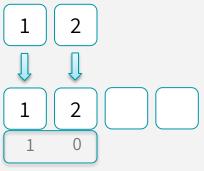
**Charge 3 units per operation** 





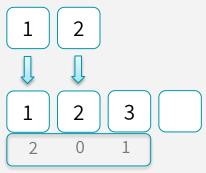
#### Total Credit

**Charge 3 units per operation** 



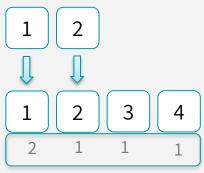
#### Total Credit

**Charge 3 units per operation** 



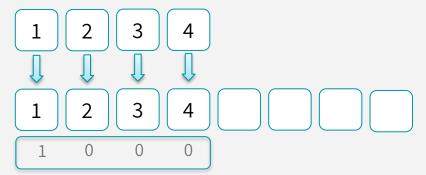
#### Total Credit

**Charge 3 units per operation** 



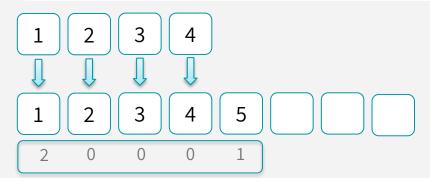
#### Total Credit

**Charge 3 units per operation** 



#### Total Credit

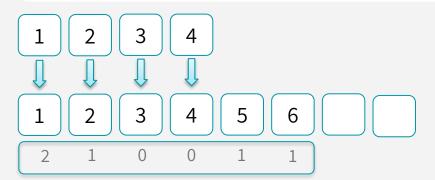
**Charge 3 units per operation** 



#### Total Credit

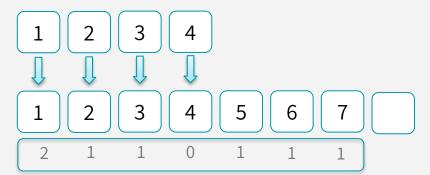
5\$

#### **Charge 3 units per operation**



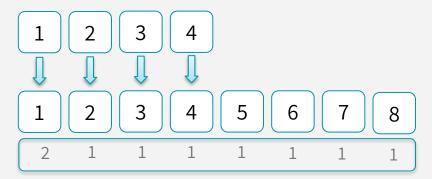
#### Total Credit

**Charge 3 units per operation** 



#### Total Credit

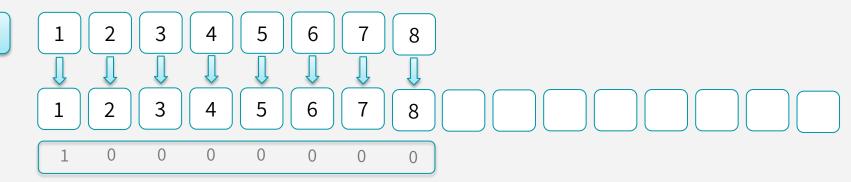
Charge 3 units per operation



# Total Credit

1\$

#### **Charge 3 units per operation**



#### Total Credit

17\$

#### **Charge 3 units per operation**



Each operation costs 3, i.e., O(1)Amortized cost = O(1)



روش پتانسیل

حالت بسط داده شده ای از روش حسابداری

### POTENTIAL METHOD

#### Same as Accounting method

Pay extra for cheap operations and store extra credit.
Use stored credit for expensive operations.

#### **Different from Accounting method**

The prepaid work not as credit, but as "potential energy", or "potential"

Potential: associated with the whole data structure

Credit: associated with specific objects in the data structure

### DIFFERENCE FROM ACCOUNTING

In Accounting method, Bank balance of particular state is dependent on previous state

Potential Method uses Potential Function  $\Phi(h)$ 

Potential function: independently derive the potential at any state

Can compute the **potential difference**: The change in cost between two operations

#### Big challenge

What is the proper Potential Function  $\Phi(h)$ 

**Example: Dynamic array** 

 $\Phi(h) = 2n - size$ 

n is the number of inserted items, size is the actual size of array

Potential function: must always be non-negative

# DYNAMIC ARRAY (n=1)

### **Energy Bank**

0



$$\Phi(h) = 2n - size = 2*1-2=0$$

# DYNAMIC ARRAY (n=2)

#### **Energy**

0



$$\Phi(h) = 2n - size = 2^2 - 4 = 0$$

# DYNAMIC ARRAY (n=3)

#### **Energy**

2

 $\begin{bmatrix} 1 \end{bmatrix} \begin{bmatrix} 2 \end{bmatrix} \begin{bmatrix} 3 \end{bmatrix} \begin{bmatrix} 3 \end{bmatrix}$ 

$$\Phi(h) = 2n - size = 2*3-4=2$$

# DYNAMIC ARRAY (n=4)

#### **Energy**

0

 $\begin{array}{c|c} \hline 1 & 2 & 3 & 4 \\ \hline \end{array}$ 

$$\Phi(h) = 2n - size = 2*4-8=0$$

# DYNAMIC ARRAY (n=114)

#### **Energy**

100

$$\left( \dots \right) \left[ 112 \right] \left[ 113 \right] \left[ 114 \right] \left( \dots \right) \left( \dots \right)$$

$$\Phi(h) = 2n - size = 2*114-128=100$$

Amortized cost of the  $i^{th}$  insertion  $h_i$ 

$$c_i + \Phi(h_i) - \Phi(h_{i-1})$$

Cost of the ith insertion

Potential difference of ith and i-1th state

Amortized cost of the  $i^{th}$  insertion  $h_i$ 



Cost of the ith insertion

Potential difference of ith and i-1th state

**Example: Dynamic array** 

Two cases:
Normal insert
Insert with Expansion

#### Amortized cost of the Normal insertion in Dynamic Array

$$c_i + \Phi(h_i) - \Phi(h_{i-1})$$
  
 $\Phi(h) = 2n - size$   
Normal insertion doesn't change the size

= 
$$c_i + (2i - size) - (2(i - 1) - size)$$
  
=  $1 + 2i - size - 2i + 2 + size$   
=  $3$ 

#### Amortized cost of the Expansive insertion in Dynamic Array

$$c_i + \Phi(h_i) - \Phi(h_{i-1})$$
  
 $\Phi(h) = 2n - size$   
Expansion insertion change the size

Size after expansion

$$size_i = 2 * size_{i-1}$$
  
 $size_{i-1} = i$ 

Size before expansion

$$= \frac{c_i}{c_i} + (2i - \frac{size_i}{size_i}) - (2(i - 1) - \frac{size_{i-1}}{c_{i-1}})$$

$$= c_i + (2i - \frac{2i}{c_{i-1}}) - (2(i - 1) - \frac{i}{c_{i-1}})$$

$$= (i + 1) + 2i - 2i - 2i + 2 + i$$

$$= 3$$

#### Amortized cost of the insertion in **Dynamic Array**

$$c_i + \Phi(h_i) - \Phi(h_{i-1})$$
  
 $\Phi(h) = 2n - size$ 

#### Normal

3 operations (amortized) O(1)

Amortized Time O(1)

Expansion
3 operations
(amortized)
O(1)



مثال: پشته با حذف چندگانه

حل با استفاده از سه روش معرفی شده

```
PUSH(S,x) push x onto stack S
```

**POP(S)** pop top item of S and return it

MULTIPOP(S,k) pop top k items of S and return them

While S is not empty and  $k \neq 0$ POP(S) k = k - 1

**PUSH(S,x)** push x onto stack S

0(1)

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While S is not empty and k ≠ 0 POP(S) k = k - 1

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While S is not empty and  $k \neq 0$ POP(S) k = k - 1 O(n) ??? θ(n) ???

### AGGREGATE ANALYSIS

- Any sequence of n PUSH, POP, and MULTIPOP operations need **O(n)** time
  - At most n items are inserted
  - At most n items are removed
  - In total, at most 2n items are inserted or removed
- Average time per operation is O(n)/n = O(1)
- Amortized cost = O(1)



### ACCOUNTING ANALYSIS



Number of pushes = Number of pops



$$\Phi(S) = Number of items on stack S$$
  
Empty stack  $S_0$  gives us that  $\forall S, \Phi(S) \geq 0 = \Phi(S_0)$ 

Assume that S<sub>i.1</sub> has d items on the stack, So:

PUSH (S,x) 
$$\Phi(S_i) \cdot \Phi(S_{i-1}) = (d+1) - d = 1$$
 Actual Cost is  $c_i = 1$  Amortized Cost is  $\widehat{c_i} = c_i + \Phi(S_i) \cdot \Phi(S_{i-1}) = 1 + (d+1) - d = 2$ 

$$\Phi(S) = Number of items on stack S$$
  
Empty stack  $S_0$  gives us that  $\forall S, \Phi(S) \geq 0 = \Phi(S_0)$ 

Assume that S<sub>i-1</sub> has d items on the stack, So:

$$\Phi(S_i) - \Phi(S_{i-1}) = (d-1) - d = -1$$

Actual Cost is 
$$c_i=1$$
  
Amortized Cost is  $\widehat{c}_i=c_i+\Phi(S_i)-\Phi(S_{i-1})=1-1=0$ 

$$\Phi(S) = Number of items on stack S$$
  
Empty stack  $S_0$  gives us that  $\forall S, \Phi(S) \geq 0 = \Phi(S_0)$ 

#### Assume that S<sub>i-1</sub> has d items on the stack, So:

MULTIPOP(S)
$$k' = min(k, d)$$

$$\Phi(S_i) \cdot \Phi(S_{i-1}) = (d - k') - d = -k'$$

Actual Cost is 
$$c_i = k'$$
  
Amortized Cost is  $\widehat{c}_i = c_i + \Phi(S_i) - \Phi(S_{i-1}) = k' - k' = 0$ 

	Real cost	<b>Amortized cost</b>
PUSH(S,x)	1	2
POP(S)	1	0
MULTIPOP(S,k)	Min(k, s )	0

Same as Accounting method



مثال: شمارنده بيتي

حل با استفاده از سه روش معرفی شده

### BINARY COUNTER

1	ĺ	)	) (					) (	١
	A[7]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	[0]A	
J		L		()	()	()	[]	,	)

digit	<b>A</b> [7]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	A[0]
0	0	0	0	0	0	0	0	0
1	0	0	0	0 0		0 0		1
2	0	0	0	0	0	0	1	0
3	0	0	0	0	0	0	1	1
4	0	0	0	0	0	1	0	0
5	0	0	0	0	0	1	0	1
6	0	0	0	0	0	1	1	0
7	0	0	0	0	0	1	1	1
8	0	0	0	0	1	0	0	0
9	0	0	0	0	1	0	0	1

# EXAMPLE

digit	A[7]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	A[0]	cost	Total cost
0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	1	1	1
2	0	0	0	0	0	0	1	0	2	3
3	0	0	0	0	0	0	1	1	1	4
4	0	0	0	0	0	1	0	0	3	7
5	0	0	0	0	0	1	0	1	1	8
6	0	0	0	0	0	1	1	0	2	10
7	0	0	0	0	0	1	1	1	1	11
8	0	0	0	0	1	0	0	0	4	15
9	0	0	0	0	1	0	0	1	1	16

# EXAMPLE

digit	A[7]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	A[0]	cost	Total cost
0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	1	1	1
2	0	0	0	0	0	0	1	0	2	3
3	0	0	0	0	0	0	1	1	1	4
4	0	0	0	0	0	1	0	0	3	7
5	0	0	0	0	0	1	0	1	1	8
6	0	0	0	0	0	1	1	0	2	10
7	0	0	0	0	0	1	1	1	1	11
8	0	0	0	0	1	0	0	0	4	15
9	0	0	0	0	1	0	0	1	1	16

## EXAMPLE

### Each A[i] flipped after 2i increments

digit	A[7]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	A[0]	cost	Total cost
0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	1	1	1
2	0	0	0	0	0	0	1	0	2	3
3	0	0	0	0	0	0	1	1	1	4
4	0	0	0	0	0	1	0	0	3	7
5	0	0	0	0	0	1	0	1	1	8
6	0	0	0	0	0	1	1	0	2	10
7	0	0	0	0	0	1	1	1	1	11
8	0	0	0	0	1	0	0	0	4	15
9	0	0	0	0	1	0	0	1	1	16

### AGGREGATE ANALYSIS

Each A[i] flipped after 2i increments

So the total number of bits flipped after n increments will be:

$$\sum_{i=0}^{k} \left\lfloor \frac{n}{2^i} \right\rfloor \leq n \sum_{i=0}^{k} \frac{1}{2^i} < 2n$$

So, every operation requires at most 2n/n bit flips on average, i.e., has an amortized cost of O(1)



### ACCOUNTING ANALYSIS

```
Binary Counter

INCREMENT(A)

1 \quad i \leftarrow 0

2 \quad \text{while } i < \text{length}[A] \text{ and } A[i] = 1 \text{ do}

3 \quad A[i] \leftarrow 0

4 \quad i \leftarrow i + 1

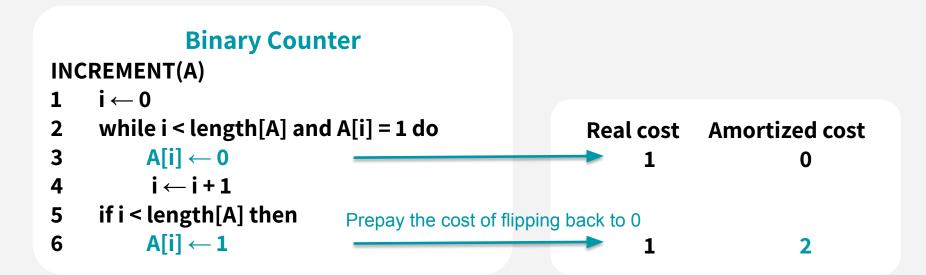
5 \quad \text{if } i < \text{length}[A] \text{ then}

6 \quad A[i] \leftarrow 1
```

Every 1 that is flipped to be a 0 was originally made into a 1 in a previous operation

Every increment flips exactly one 0 to be a 1

### ACCOUNTING ANALYSIS



Every 1 that is flipped to be a 0 was originally made into a 1 in a previous operation

Every increment flips exactly one 0 to be a 1



#### $\Phi(D) = Number of 1's in the counter$

Suppose that the i<sup>th</sup> increment operation flips  $t_i$  1 bits to 0 let  $b_i$  be the number of 1s in the counter after the operation

Actual cost is 
$$c_i \leq t_i + 1$$

If 
$$b_i=0$$
 then increment totally resets the counter and  $b_{i-1}=t_i=k$  If  $b_i>0$  then  $b_i=b_{i-1}-t_i+1$  In both cases  $b_i\leq b_{i-1}-t_i+1$  so 
$$\Phi(D_i)-\Phi(D_{i-1})\leq b_{i-1}-t_i+1-b_{i-1}=1-t_i$$
 Amortized Cost is  $\widehat{c}_i=c_i+\Phi(D_i)-\Phi(D_{i-1})\leq (t_i+1)+(1-t_i)=2$ 



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