



# Data Structure & Algorithms

Divide and Conquer

# Divide and Conquer

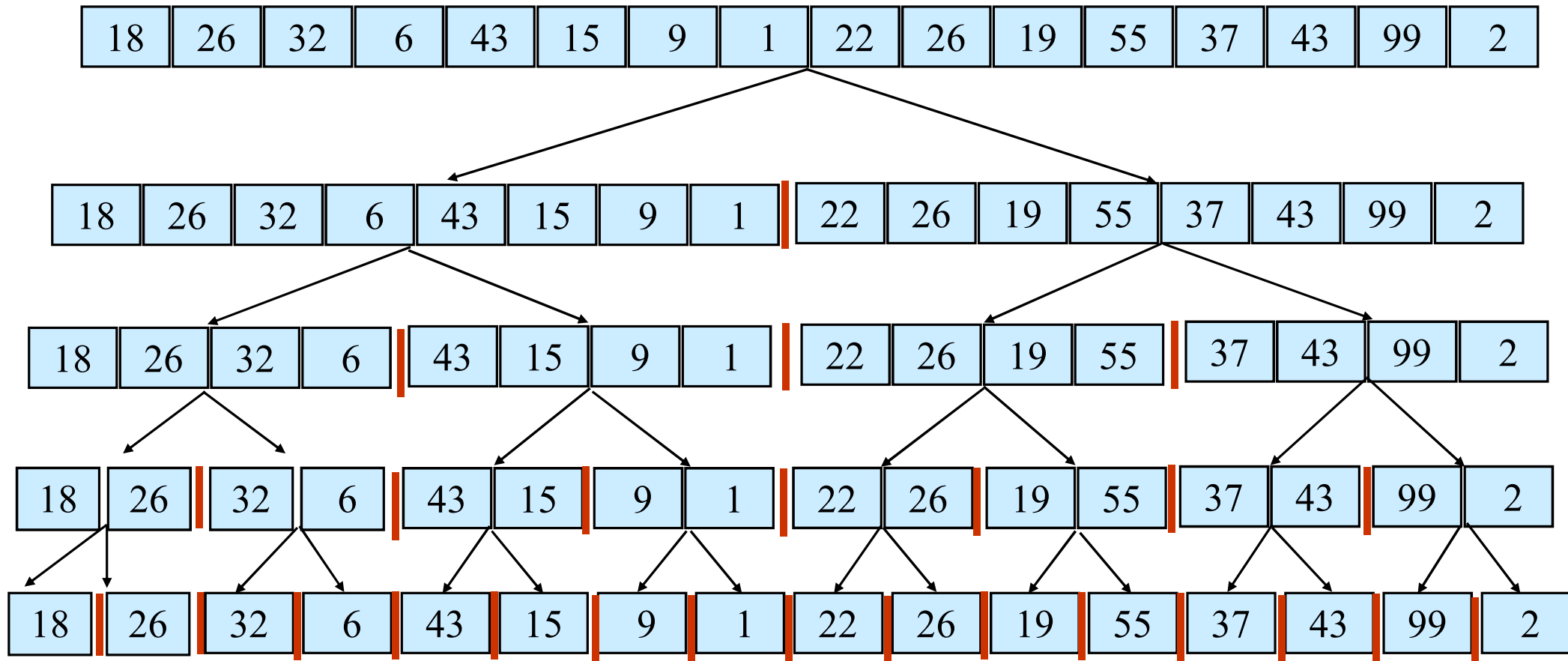
- ◆ Recursive in structure
  - ◆ **Divide** the problem into subproblems that are similar to the original but smaller in size
  - ◆ **Conquer** the sub-problems by solving them **recursively**. If they are small enough, just solve them in a straightforward manner.
  - ◆ **Combine** the solutions to create a solution to the original problem

# An Example: Merge Sort

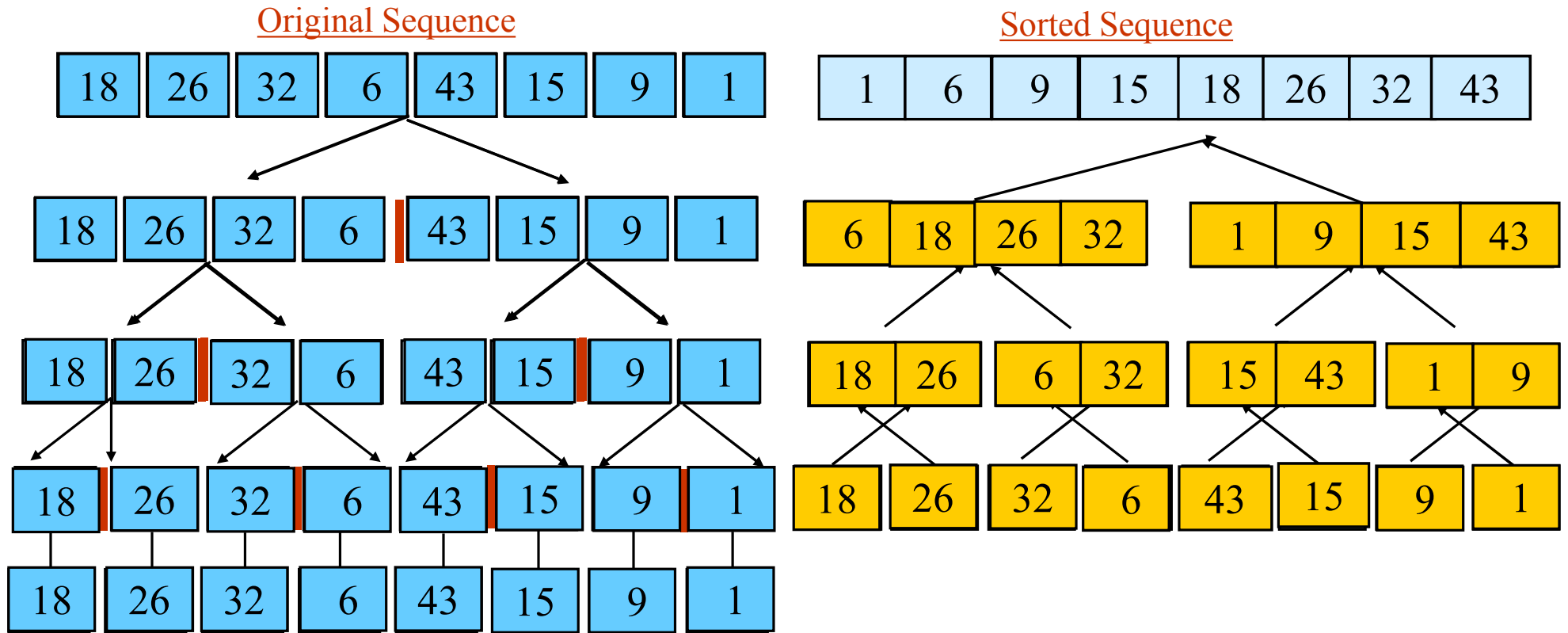
**Sorting Problem:** Sort a sequence of  $n$  elements into non-decreasing order.

- ◆ **Divide:** Divide the  $n$ -element sequence to be sorted into two subsequences of  $n/2$  elements each
- ◆ **Conquer:** Sort the two subsequences recursively using merge sort.
- ◆ **Combine:** Merge the two sorted subsequences to produce the sorted answer.

# Merge Sort – Example



# Merge Sort – Example



# Merge-Sort ( $A, p, r$ )

**INPUT:** a sequence of  $n$  numbers in an array  $A$

**OUTPUT:** an ordered sequence of  $n$  numbers

```
MergeSort ( $A, p, r$ ) // sort  $A[p..r]$  by divide & conquer
1  if  $p < r$ 
2    then  $q \leftarrow \lfloor (p+r)/2 \rfloor$ 
3         MergeSort ( $A, p, q$ )
4         MergeSort ( $A, q+1, r$ )
5         Merge ( $A, p, q, r$ ) // merges  $A[p..q]$  with  $A[q+1..r]$ 
```

**Initial Call:** *MergeSort*( $A, 1, n$ )

# Procedure Merge

**Merge( $A, p, q, r$ )**

```
1  $n_1 \leftarrow q - p + 1$ 
2  $n_2 \leftarrow r - q$ 
3 for  $i \leftarrow 1$  to  $n_1$ 
4   do  $L[i] \leftarrow A[p + i - 1]$ 
5 for  $j \leftarrow 1$  to  $n_2$ 
6   do  $R[j] \leftarrow A[q + j]$ 
7  $L[n_1 + 1] \leftarrow \infty$ 
8  $R[n_2 + 1] \leftarrow \infty$ 
9  $i \leftarrow 1$ 
10  $j \leftarrow 1$ 
11 for  $k \leftarrow p$  to  $r$ 
12   do if  $L[i] \leq R[j]$ 
13     then  $A[k] \leftarrow L[i]$ 
14            $i \leftarrow i + 1$ 
15   else  $A[k] \leftarrow R[j]$ 
16            $j \leftarrow j + 1$ 
```

Input: Array containing sorted subarrays  $A[p..q]$  and  $A[q+1..r]$ .

Output: Merged sorted subarrays in  $A[p..r]$ .

**Sentinels**, to avoid having to check if either subarray is fully copied at **each step**.

# Merge – Example

A

...	1	6	8	9	26	32	42	43	...
-----	---	---	---	---	----	----	----	----	-----

*k*

*L*

6	8	26	32	$\infty$
---	---	----	----	----------

*i*

*R*

1	9	42	43	$\infty$
---	---	----	----	----------

*j*



# Correctness of Merge

## Merge( $A, p, q, r$ )

```
1  $n_1 \leftarrow q - p + 1$ 
2  $n_2 \leftarrow r - q$ 
3 for  $i \leftarrow 1$  to  $n_1$ 
4   do  $L[i] \leftarrow A[p + i - 1]$ 
5 for  $j \leftarrow 1$  to  $n_2$ 
6   do  $R[j] \leftarrow A[q + j]$ 
7    $L[n_1 + 1] \leftarrow \infty$ 
8    $R[n_2 + 1] \leftarrow \infty$ 
9    $i \leftarrow 1$ 
10   $j \leftarrow 1$ 
11 for  $k \leftarrow p$  to  $r$ 
12   do if  $L[i] \leq R[j]$ 
13     then  $A[k] \leftarrow L[i]$ 
14            $i \leftarrow i + 1$ 
15   else  $A[k] \leftarrow R[j]$ 
16            $j \leftarrow j + 1$ 
```

## Loop Invariant for the *for* loop

At the start of each iteration of the *for* loop:

Subarray  $A[p..k-1]$  contains the  $k-p$  smallest elements of  $L$  and  $R$  in sorted order.  $L[i]$  and  $R[j]$  are the smallest elements of  $L$  and  $R$  that have not been copied back into  $A$ .

## Initialization:

Before the first iteration:

- $A[p..k-1]$  is empty.
- $i = j = 1$ .
- $L[1]$  and  $R[1]$  are the smallest elements of  $L$  and  $R$  not copied to  $A$ .

# Correctness of Merge

## Merge( $A, p, q, r$ )

```
1   $n_1 \leftarrow q - p + 1$ 
2   $n_2 \leftarrow r - q$ 
3  for  $i \leftarrow 1$  to  $n_1$ 
4      do  $L[i] \leftarrow A[p + i - 1]$ 
5  for  $j \leftarrow 1$  to  $n_2$ 
6      do  $R[j] \leftarrow A[q + j]$ 
7   $L[n_1 + 1] \leftarrow \infty$ 
8   $R[n_2 + 1] \leftarrow \infty$ 
9   $i \leftarrow 1$ 
10  $j \leftarrow 1$ 
11 for  $k \leftarrow p$  to  $r$ 
12     do if  $L[i] \leq R[j]$ 
13         then  $A[k] \leftarrow L[i]$ 
14              $i \leftarrow i + 1$ 
15     else  $A[k] \leftarrow R[j]$ 
16          $j \leftarrow j + 1$ 
```

## Maintenance:

### Case 1: $L[i] \leq R[j]$

- By LI,  $A$  contains  $p - k$  smallest elements of  $L$  and  $R$  in sorted order.
- By LI,  $L[i]$  and  $R[j]$  are the smallest elements of  $L$  and  $R$  not yet copied into  $A$ .
- Line 13 results in  $A$  containing  $p - k + 1$  smallest elements (again in sorted order).

Incrementing  $i$  and  $k$  reestablishes the LI for the next iteration.

Similarly for  $L[i] > R[j]$ .

## Termination:

- On termination,  $k = r + 1$ .
- By LI,  $A$  contains  $r - p + 1$  smallest elements of  $L$  and  $R$  in sorted order.
- $L$  and  $R$  together contain  $r - p + 3$  elements. All but the two sentinels have been copied back into  $A$ .

# Analysis of Merge Sort

- ◆ Running time  $T(n)$  of Merge Sort:
- ◆ Divide: computing the middle takes  $\Theta(1)$
- ◆ Conquer: solving 2 subproblems takes  $2T(n/2)$
- ◆ Combine: merging  $n$  elements takes  $\Theta(n)$
- ◆ Total:

$$\begin{aligned} T(n) &= \Theta(1) && \text{if } n = 1 \\ T(n) &= 2T(n/2) + \Theta(n) && \text{if } n > 1 \end{aligned}$$

$$\Rightarrow T(n) = \Theta(n \lg n)$$