# طراحی الگوریتم ها

مبحث نهم: مرتب سازی خطی

سجاد شیرعلی شهرضا بهار 1402 سه شنبه، 16 اسفند 1401

## اطلاع رساني

• بخش مرتبط كتاب براى اين جلسه: 8.1

مرتب سازی خطی

الگوریتم های مرتب سازی که بر مبنای مقایسه نیستند!

### A NEW MODEL OF COMPUTATION

The elements we're working with have meaningful values.

### A NEW MODEL OF COMPUTATION

The elements we're working with have meaningful values.

#### **Before:**

arbitrary elements whose values we could never directly access, process, or take advantage of (i.e. we could only interact with them via comparisons)

## A NEW MODEL OF COMPUTATION

#### The elements we're working with have meaningful values.

#### **Before:**

arbitrary elements whose values we could never directly access, process, or take advantage of (i.e. we could only interact with them via comparisons)



#### Now (examples):

مرتب سازی شمارشی

#### We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}

Input:

30 50 20 30 10 60 50 20

## We assume that there are only k different possible values in the array (and we know these k values in advance)

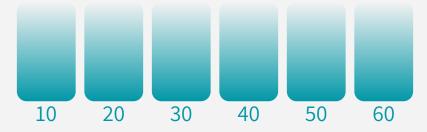
For example: elements are integers in {10, 20, 30, 40, 50, 60}

20

Input:

30 50 20 30 10 60 50

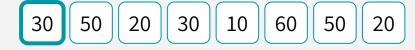
**Buckets:** 



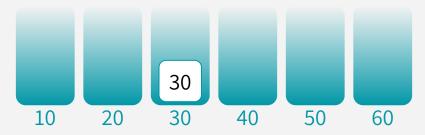
#### We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}

Input:



**Buckets:** 



## We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}

Input: 30 50 20 30 10 60 50 20

Buckets: 30 50 50 60

## We assume that there are only k different possible values in the array (and we know these k values in advance)

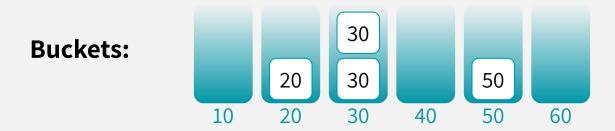
For example: elements are integers in {10, 20, 30, 40, 50, 60}

**Input:** 30 50 20 30 10 60 50 20

Buckets: 20 30 50 50 60

## We assume that there are only k different possible values in the array (and we know these k values in advance)





## We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}

Input: 30 50 20 30 10 60 50 20

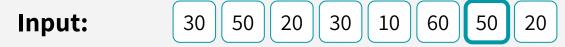
Buckets: 30 30 50 50 60

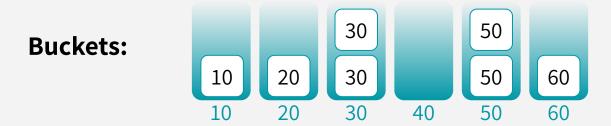
## We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}



## We assume that there are only k different possible values in the array (and we know these k values in advance)





## We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}

**Input:** 30 50 20 30 10 60 50 20

Buckets: 20 30 50 60 10 20 30 40 50 60

## We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}

**Input:** 30 50 20 30 10 60 50 20

Buckets: 20 30 50 60 10 20 30 40 50 60

**Output:** 

#### We assume that there are only k different possible values in the array (and we know these k values in advance)

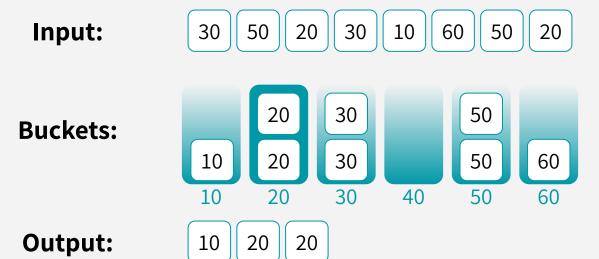
For example: elements are integers in {10, 20, 30, 40, 50, 60}

**Input:** 30 50 20 30 10 60 50 20

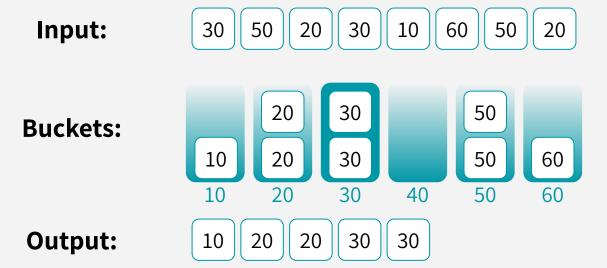
Buckets: 20 30 50 60 10 20 30 40 50 60

Output: 10

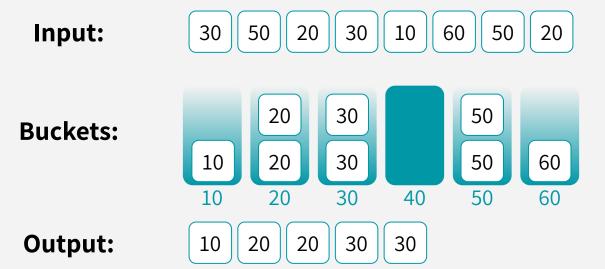
## We assume that there are only k different possible values in the array (and we know these k values in advance)



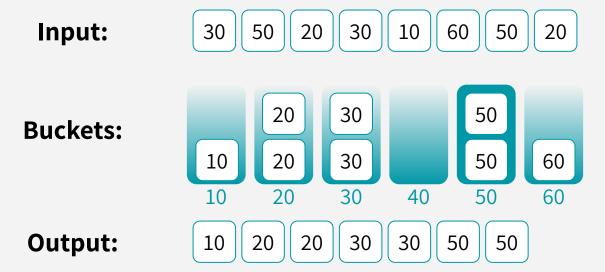
#### We assume that there are only k different possible values in the array (and we know these k values in advance)



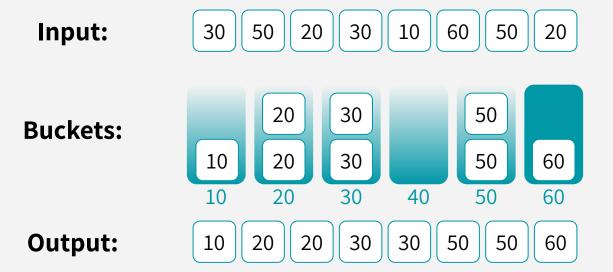
## We assume that there are only k different possible values in the array (and we know these k values in advance)



#### We assume that there are only k different possible values in the array (and we know these k values in advance)



#### We assume that there are only k different possible values in the array (and we know these k values in advance)



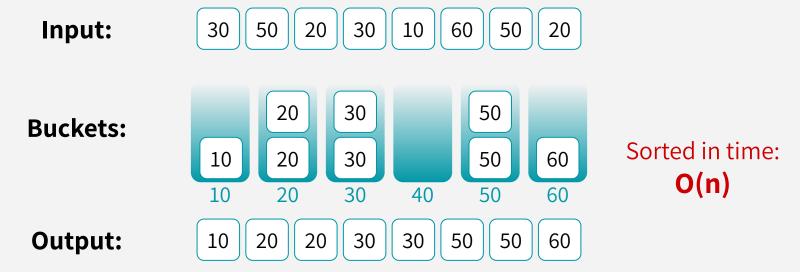
## We assume that there are only k different possible values in the array (and we know these k values in advance)

For example: elements are integers in {10, 20, 30, 40, 50, 60}

Input: **Buckets:** Sorted in time: 

**Output:** 10 20 20 30 50 50 60

#### We assume that there are only k different possible values in the array (and we know these k values in advance)



#### **Assumptions:**

We are able to know what bucket to put something in.

We know what values might show up ahead of time.

There aren't too many such values.

#### **Assumptions:**

We are able to know what bucket to put something in.

We know what values might show up ahead of time.

There aren't too many such values.

If there are too many possible values that could show up, then we need a bucket per value...

This can easily amount to a lot of space.



# مرتب سازی مبنایی

الگوریتم مرتب سازی برای اعداد صحیح کوچکتر از M (و یا در حالت کلی تر، برای مرتب سازی رشته ها)

For sorting integers where the maximum value of any integer is M. (This can be generalized to lexicographically sorting strings as well)

#### **IDEA:**

Perform CountingSort on the least-significant digit first, then perform CountingSort on the next least-significant, and so on...

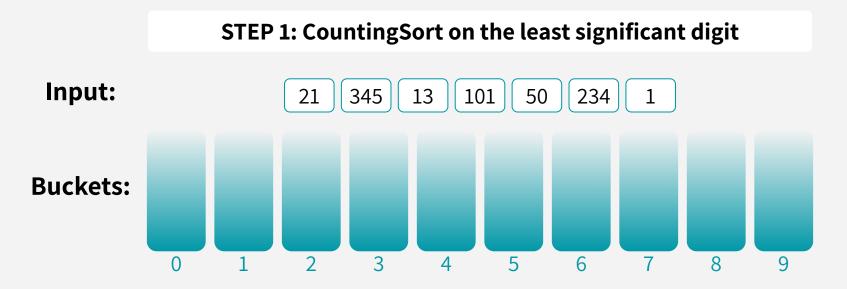
For sorting integers where the maximum value of any integer is M. (This can be generalized to lexicographically sorting strings as well)

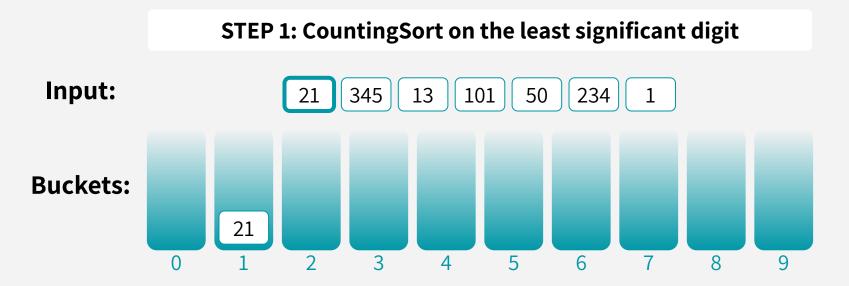
#### **IDEA:**

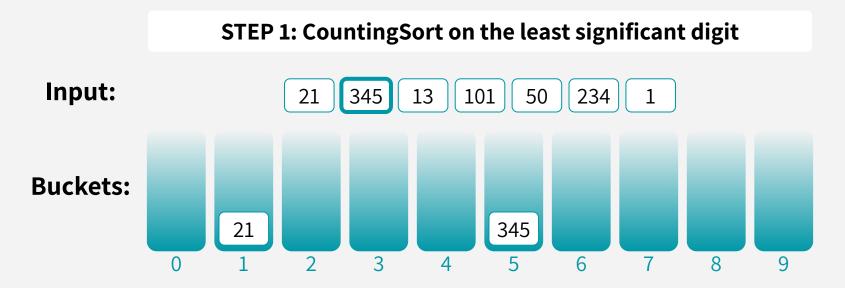
Perform CountingSort on the least-significant digit first, then perform CountingSort on the next least-significant, and so on...

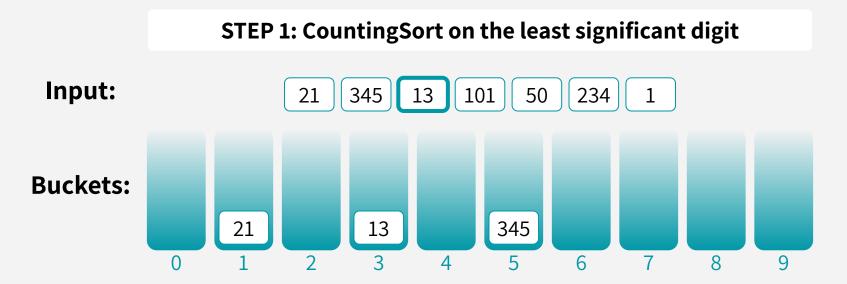
Instead of a bucket per possible value, we just need to maintain a bucket per possible value that a single digit (or character) can take on!

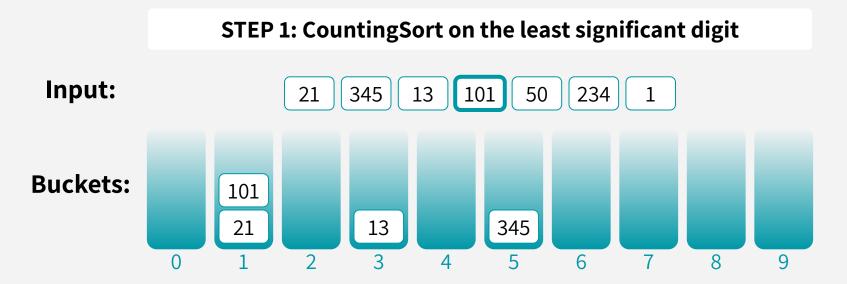
e.g. 10 buckets labeled 0, 1, ..., 9

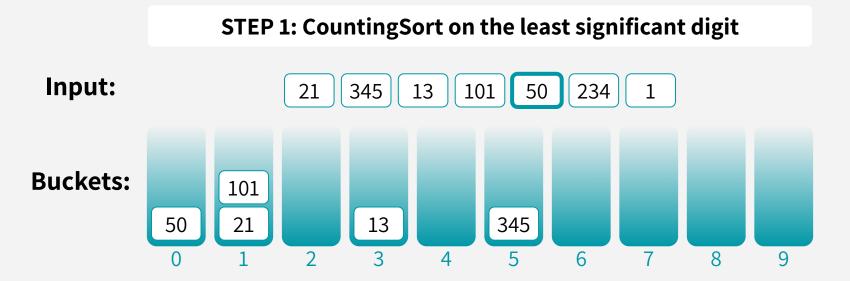


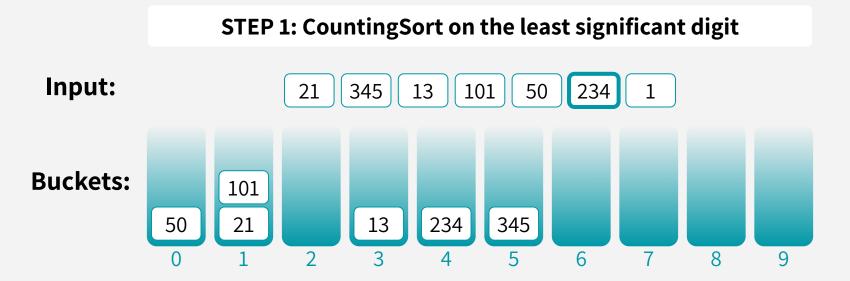


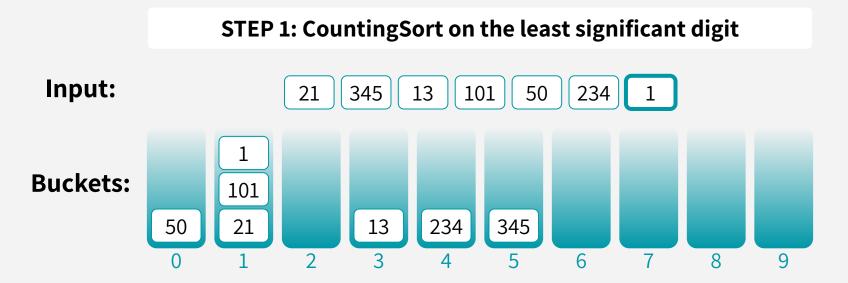


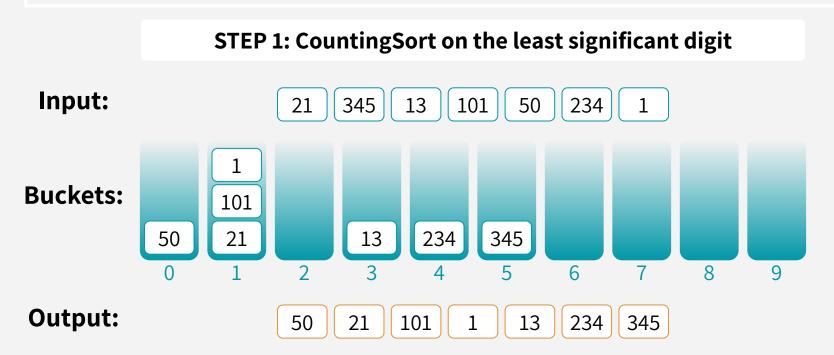












When creating the output list, make sure bucket items exit in FIFO order (i.e. use a *stable* implementation of CountingSort, where buckets are FIFO queues)

# QUICK ASIDE: STABLE SORTING

We say a sorting algorithm is STABLE if two objects with equal values appear in the same order in the sorted output as they appear in the input.

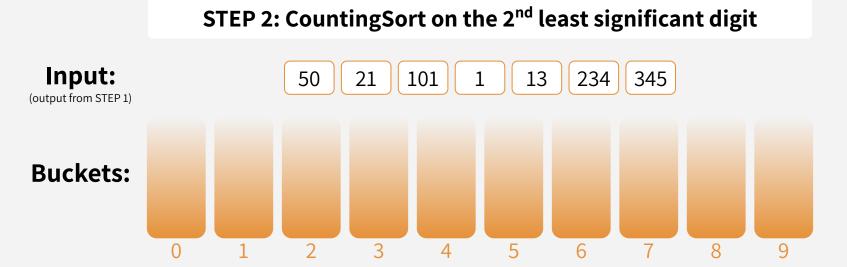
Input:

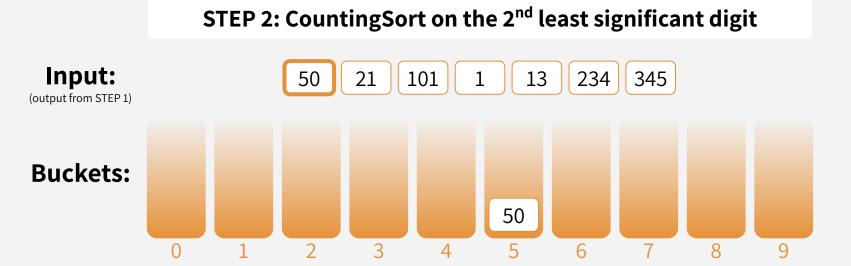
1 2 1 3 2

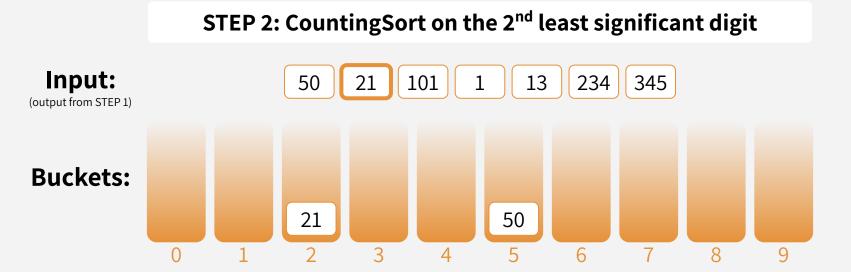
Sorted Output: (if algorithm is stable)

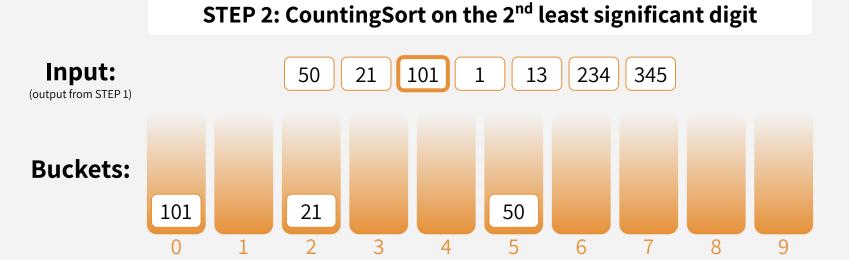
The red 1 appeared before the green 1 in the input, so they have to also appear in this order in the output!

The red 1 appeared before the purple 2 in the input, so they have to also appear in this order in the output!

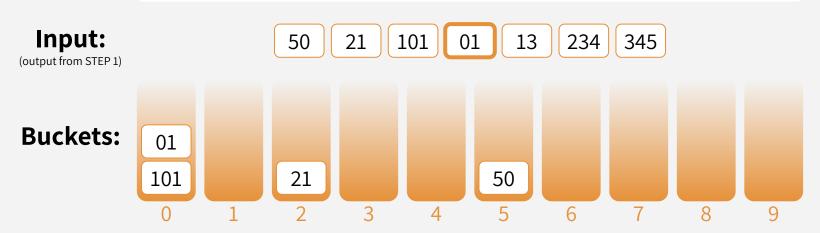




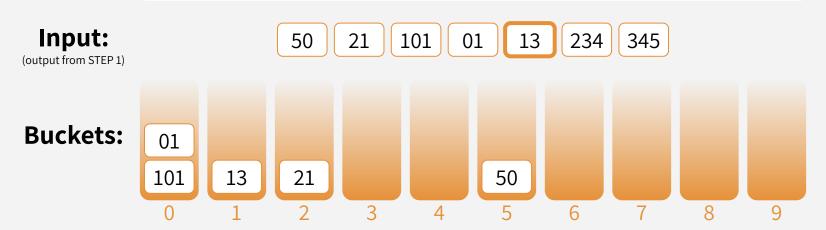




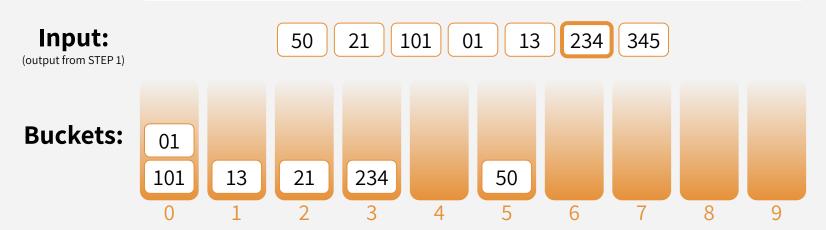




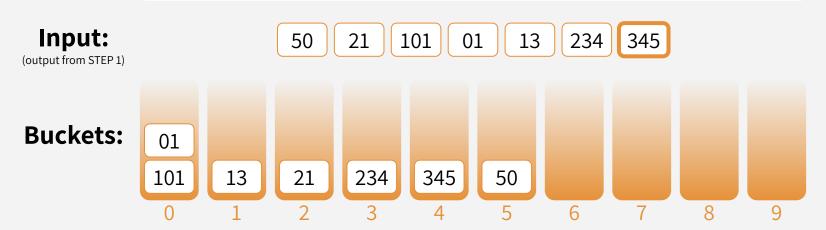










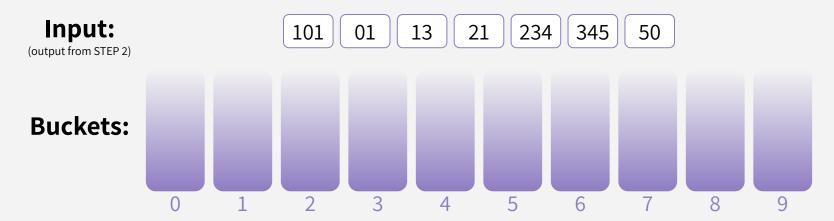




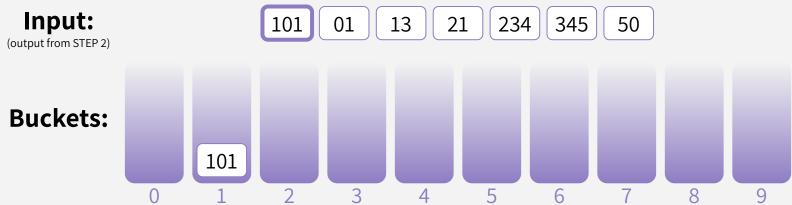


When creating the output list, make sure bucket items exit in FIFO order (i.e. use a *stable* implementation of CountingSort, where buckets are FIFO queues)

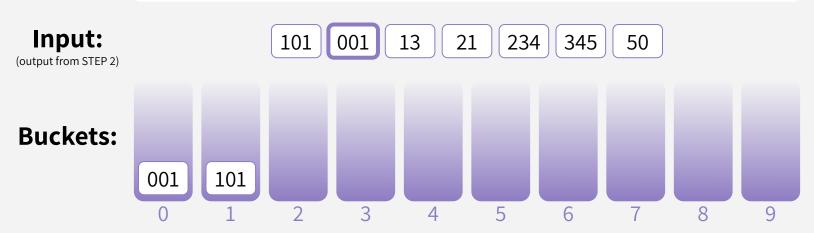


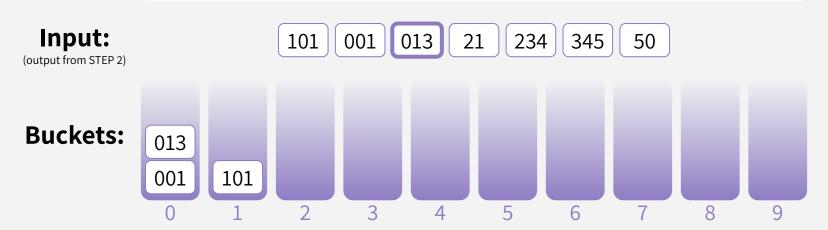


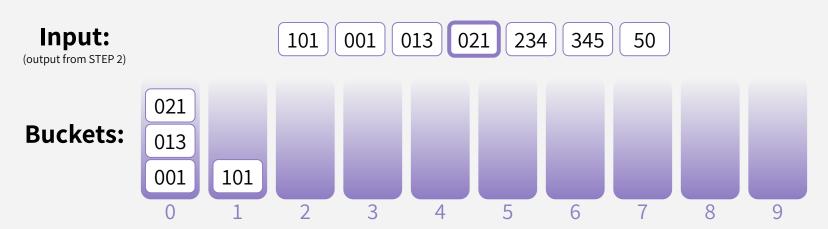


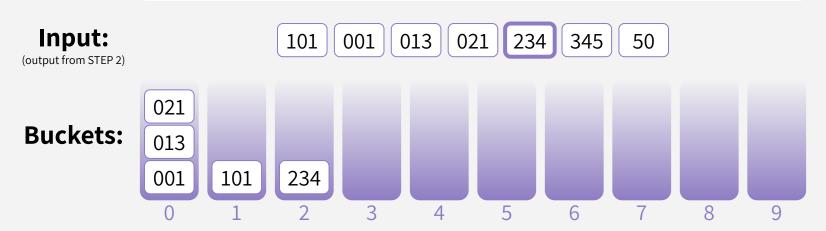


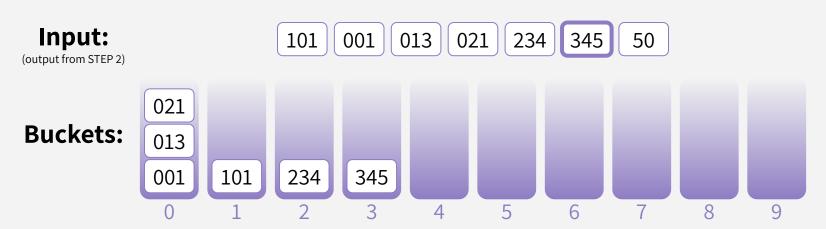


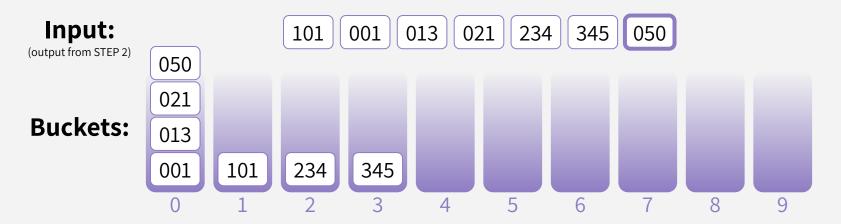




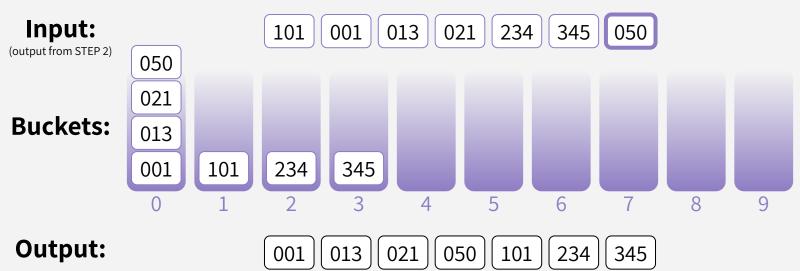












It worked! But why does it work???



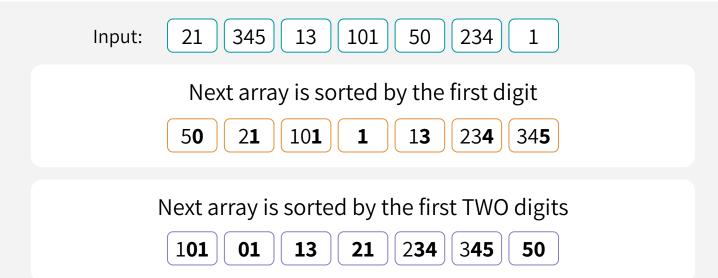
# درستی مرتب سازی مبنایی

چرا اعداد مرتب شدند؟

Input: 21 345 13 101 50 234 1



Next array is sorted by the first digit





Next array is sorted by the first digit

50 
$$(21)(101)(1)(13)(234)(345)$$

Next array is sorted by the first TWO digits

Next array is sorted by the first THREE digits (aka fully sorted)

$$left[001] left[013] left[021] left[050] left[101] left[234] left[345]$$

### **Proof by Induction!**

We'll perform induction on the number of iterations, and we'll use weak induction here:

### **ITERATIVE ALGORITHMS**

- 1. **Inductive hypothesis**: some state/condition will always hold throughout your algorithm by any iteration **i**
- 2. **Base case**: show IH holds for iteration 0 (i.e. start of algorithm)
- 3. **Inductive step**: Assume IH holds for  $k \Rightarrow \text{prove } k+1$
- 4. **Conclusion**: IH holds for i = # total iterations  $\Rightarrow$  yay!

### **INDUCTIVE HYPOTHESIS (IH)**

After the **i**-th iteration, the array A is sorted by the first **i** least-significant digits

### **INDUCTIVE HYPOTHESIS (IH)**

After the **i**-th iteration, the array A is sorted by the first **i** least-significant digits

#### **BASE CASE**

The IH holds for i = 0 because A is trivially sorted by 0 least-significant digits.

### **INDUCTIVE HYPOTHESIS (IH)**

After the **i**-th iteration, the array A is sorted by the first **i** least-significant digits

#### **BASE CASE**

The IH holds for i = 0 because A is trivially sorted by 0 least-significant digits.

### **INDUCTIVE STEP** (weak induction)

Let k be an integer, where  $0 < k \le d$  (d is the number of digits). Assume that the IH holds for i = k-1, so the array is already sorted by the first k-1 least-significant digits. We need to show that after the k-th iteration, the array is sorted by the first k least-sig. digits.

At a high level, since the "buckets as FIFO-queue" implementation of CountingSort is *stable*, elements that get placed in the same bucket during this k-th round of CountingSort still maintain their previous relative ordering, so they are *still* in order of their k-1 least-sig. digits. Since this k-th round CountingSort sorts A by the k-th digit of the elements, this ultimately means that the elements are going to be sorted by their k least-significant digits.

This can be made

more rigorous!

### **INDUCTIVE HYPOTHESIS (IH)**

After the **i**-th iteration, the array A is sorted by the first **i** least-significant digits

#### **BASE CASE**

The IH holds for i = 0 because A is trivially sorted by 0 least-significant digits.

### **INDUCTIVE STEP** (weak induction)

Let k be an integer, where  $0 < k \le d$  (d is the number of digits). Assume that the IH holds for i = k-1, so the array is already sorted by the first k-1 least-significant digits. We need to show that after the k-th iteration, the array is sorted by the first k least-sig. digits.

At a high level, since the "buckets as FIFO-queue" implementation of CountingSort is *stable*, elements that get placed in the same bucket during this k-th round of CountingSort still maintain their previous relative ordering, so they are *still* in order of their k-1 least-sig. digits. Since this k-th round CountingSort sorts A by the k-th digit of the elements, this ultimately means that the elements are going to be sorted by their k least-significant digits.

This can be made

#### **CONCLUSION**

By induction, we conclude that the IH holds for all  $0 \le i \le d$ . In particular, it holds for i = d, so after the last iteration, the array is sorted by all the digits. Hence, it is sorted!

more rigorous!



# زمان اجرای مرتب سازی مبنایی

چقدر طول می کشد؟

Suppose we are sorting **n** (up-to-)**d**-digit numbers in base 10 (e.g. n = 7, d = 3):

21 345 13 101 50 234 1

How many iterations are there?

How long does each iteration take?

Suppose we are sorting **n** (up-to-)**d**-digit numbers in base 10 (e.g. n = 7, d = 3):

21 345 13 101 50 234 1

How many iterations are there?

diterations

How long does each iteration take?

Suppose we are sorting **n** (up-to-)**d**-digit numbers in base 10 (e.g. n = 7, d = 3):

21 345 13 101 50 234 1

How many iterations are there?

diterations

How long does each iteration take?
Initialize 10 buckets + put n numbers in 10 buckets ⇒ O(n)

Suppose we are sorting **n** (up-to-)**d**-digit numbers in base 10 (e.g. n = 7, d = 3):

21 345 13 101 50 234 1

How many iterations are there?

diterations

How long does each iteration take?
Initialize 10 buckets + put n numbers in 10 buckets ⇒ O(n)

O(nd) isn't so great if we are sorting n integers in base 10, each of which is in {1,2, ...,M}:

How many iterations are there?

How long does each iteration take?

O(nd) isn't so great if we are sorting n integers in base 10, each of which is in {1,2, ...,M}:

```
How many iterations are there? For example, if M = 1234:
d = \text{Llog}_{10} \text{ MJ} + 1 \text{ iterations} \qquad \text{Llog}_{10} 1234 \text{J} + 1 = 3 + 1 = 4
```

How long does each iteration take?

O(nd) isn't so great if we are sorting n integers in base 10, each of which is in {1,2, ...,M}:

```
How many iterations are there? For example, if M = 1234:
d = \text{Llog}_{10} \text{ MJ} + 1 \text{ iterations}
\text{Llog}_{10} 1234 \text{J} + 1 = 3 + 1 = 4
```

How long does each iteration take?
Initialize 10 buckets + put n numbers in 10 buckets ⇒ O(n)

O(nd) isn't so great if we are sorting n integers in base 10, each of which is in {1,2, ...,M}:

```
How many iterations are there? For example, if M = 1234:

d = \text{Llog}_{10} \text{ MJ} + 1 iterations \text{Llog}_{10} 1234 \text{J} + 1 = 3 + 1 = 4
```

How long does each iteration take?
Initialize 10 buckets + put n numbers in 10 buckets ⇒ O(n)

What is the total running time?  $O(nd) = O(n \log M)$  We just simplified the expression a bit (took out floor and the +1)

O(nd) isn't so great if we are sorting n integers in base 10, each of which is in {1,2, ...,M}:

```
How many iterations are there? For example, if M = 1234:

d = \text{Llog}_{10} \text{ MJ} + 1 iterations \text{Llog}_{10} 1234 \text{J} + 1 = 3 + 1 = 4
```

How long does each iteration take?
Initialize 10 buckets + put n numbers in 10 buckets ⇒ O(n)

What is the total running time?  $O(nd) = O(n \log M)$  We just simplified the expression a bit (took out floor and the +1)

If M is ~n or greater, this is not really better than MergeSort!

O(nd) isn't so great if we are sorting  $\rightarrow$  se 10, each of which is in  $\{1,2,...,M\}$ : Ho For example, if M = 1234: THE QUESTION IS...  $\lfloor \log_{10} 1234 \rfloor + 1 = 3 + 1 = 4$ **CAN WE DO** e? uckets ⇒ O(n) **BETTER?** Initialize 10 bu We just simplified the What ame? expression a bit (took out floor and the +1)

If M is ~n or greater, this is not really better than MergeSort!



RadixSort with base 10 doesn't seem so good... How does the base affect the runtime?

RadixSort with base 10 doesn't seem so good... How does the base affect the runtime?

How many iterations are there? Let's say base **r** 

How long does each iteration take?

RadixSort with base 10 doesn't seem so good... How does the base affect the runtime?

```
Let's say base r How many iterations are there?

d = Llog_r MJ + 1 iterations
```

How long does each iteration take?

RadixSort with base 10 doesn't seem so good... How does the base affect the runtime?

```
Let's say base r How many iterations are there?
d = Llog_r M J + 1 \text{ iterations}
```

How long does each iteration take? Initialize  $\mathbf{r}$  buckets + put n numbers in  $\mathbf{r}$  buckets  $\Rightarrow$   $\mathbf{O}(\mathbf{n} + \mathbf{r})$ 

RadixSort with base 10 doesn't seem so good... How does the base affect the runtime?

```
Let's say base \mathbf{r} How many iterations are there?

d = \mathbf{Llog_r MJ + 1} iterations
```

How long does each iteration take? Initialize  $\mathbf{r}$  buckets + put n numbers in  $\mathbf{r}$  buckets  $\Rightarrow$   $\mathbf{O}(\mathbf{n} + \mathbf{r})$ 

$$O(d \cdot (n+r)) = O((Llog_r MJ + 1) \cdot (n + r))$$

RadixSort with base 10 doesn't seem so good... How does the base affect the runtime?

```
Let's say base r How many iterations are there?

d = Llog_r MJ + 1 iterations
```

How long does each iteration take? Initialize  $\mathbf{r}$  buckets + put  $\mathbf{n}$  numbers in  $\mathbf{r}$  buckets  $\Rightarrow$   $\mathbf{O}(\mathbf{n} + \mathbf{r})$ 

What is the total running time?

$$O(d \cdot (n+r)) = O((Llog_r MJ + 1) \cdot (n + r))$$

Bigger base r ⇒ fewer iterations, but more buckets to initialize!

What is a better base?

A reasonable sweet spot: **let** r = n

A reasonable sweet spot: **let** r = n

How many iterations are there?

$$d = \lfloor \log_n M \rfloor + 1$$
 iterations

How long does each iteration take? Initialize  $\mathbf{n}$  buckets + put  $\mathbf{n}$  numbers in  $\mathbf{n}$  buckets  $\Rightarrow$   $\mathbf{O}(\mathbf{n}+\mathbf{n}) = \mathbf{O}(\mathbf{n})$ 

$$O(d \cdot n) = O((Llog_n MJ + 1) \cdot n)$$

A reasonable sweet spot: **let** r = n

How many iterations are there?

$$d = \lfloor \log_n M \rfloor + 1$$
 iterations

How long does each iteration take? Initialize  $\mathbf{n}$  buckets + put  $\mathbf{n}$  numbers in  $\mathbf{n}$  buckets  $\Rightarrow$   $\mathbf{O}(\mathbf{n}+\mathbf{n}) = \mathbf{O}(\mathbf{n})$ 

What is the total running time?

$$O(d \cdot n) = O((Llog_n MJ + 1) \cdot n)$$

This term is a constant!

If  $M \le n^C$  for some constant c, then  $O((\lfloor \log_n M \rfloor + 1) \cdot n) = O(n)$ 

A reasonable sweet spot: **let** r = n

This means that the running time of RadixSort using a base of  $\mathbf{r} = \mathbf{n}$  (instead of base 10 from earlier examples) depends on how big M is in terms of n. The formula is:

O( 
$$(L\log_n M \rfloor + 1) \cdot n$$
)

This is O(n) when  $M \le n^{C}$ .

The number of buckets need is r = n.

If  $M \le n^C$  for some constant c, then  $O((\lfloor \log_n M \rfloor + 1) \cdot n) = O(n)$ 

#### RADIX SORT RECAP

Radix Sort can sort **n integers of size at most n^{100}** (or  $n^{C}$  for any constant c) in time **O(n)**.

#### RADIX SORT RECAP

Radix Sort can sort **n integers of size at most n^{100}** (or  $n^{C}$  for any constant c) in time **O(n)**.

If your sorting task involves integers that have size much bigger than n (or n<sup>C</sup>), like 2<sup>n</sup>, maybe you shouldn't use Radix Sort because you wouldn't get linear time.

### RADIX SORT RECAP

Radix Sort can sort **n integers of size at most n^{100}** (or  $n^{C}$  for any constant c) in time **O(n)**.

If your sorting task involves integers that have size much bigger than n (or n<sup>C</sup>), like 2<sup>n</sup>, maybe you shouldn't use Radix Sort because you wouldn't get linear time.

It matters how you pick the base! In general, if you have **n** elements, **M** = max size of any element, and **r** is the base:

Runtime of Radix Sort =  $O((Llog_r MJ + 1) \cdot n)$ 

#### WHY BOTHER WITH COMPARISON-BASED SORTING?

Comparison-based sorting algorithms can handle arbitrary comparable elements! And with numbers, it can handle sorting with high precision & arbitrarily large values:

	π	<u>1234</u> 9876	e	43!	4.10598425	n <sup>n</sup>	31	
--	---	---------------------	---	-----	------------	----------------	----	--

Radix Sort requires us to look at all digits, which is problematic —  $\pi$  and e both have infinitely many! And n<sup>n</sup> is big enough to make Radix Sort slow...

Radix Sort is also not in place (you need those buckets!), so it could require more space.

