

# Binary Search Trees

Portions © S. Hranilovic, S. Dumitrescu and R. Tharmarasa

Department of Electrical and Computer Engineering  
McMaster University

January 2020

- ⇒ Chapter 19, Sections: 19.1, 19.3

- One of the main operations of computer systems is to store and process vast quantities of information or **data**
  - The world-wide web (WWW) is a vast storage network of billions of web pages.
  - Bank accounts
  - Social Insurance Numbers
  - the simple telephone book!
- Simply storing items is not enough, need to have a way to **efficiently** find, access and modify these records.

- One of the main operations of computer systems is to store and process vast quantities of information or **data**
  - The world-wide web (WWW) is a vast storage network of billions of web pages.
  - Bank accounts
  - Social Insurance Numbers
  - the simple telephone book!
- Simply storing items is not enough, need to have a way to **efficiently** find, access and modify these records.

**Question:** What data structures and algorithms can we develop to:

- ☞ have efficient representation of the data
- ☞ to permit quick insertions and deletions
- ☞ to permit quick searches for specific elements in the data set.

**Answer:** Some techniques we will consider are ...

- → Binary Search
- → Binary Search Trees
- → Hashing

**Note:** Each technique has strengths and weaknesses which we will discuss.

**Answer:** Some techniques we will consider are ...

- → Binary Search
  - Search:  $\Theta(\log n)$ , Insertions/Deletions:  $\Theta(n)$  (average and worst case)
- → Binary Search Trees
  - Search:  $\Theta(\log n)$ , Insertions/Deletions:  $\Theta(\log n)$  (average case).
- → Hashing
  - Search:  $\Theta(1)$ , Insertions/Deletions:  $\Theta(1)$  (average case).

**Note:** Each technique has strengths and weaknesses which we will discuss.

Assume we have an array of sorted integers in memory.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
11	13	21	26	29	36	40	41	45	51	54	56	65	72	77	83

Search for K in a pre-sorted list of length n.

```
1 int l = left -1; int r = right+1; /* l and r are beyond array bounds */
2 while (l+1 != r) { /* loop until l and r meet */
3     int i = (l+r)/2; /* find middle of sub-list */
4     if (K < array[i]) r = i; /* Value in left half */
5     if (K == array[i]) return i; /* Found it! */
6     if (K > array[i]) l = i; /* Value in right half */
7 } return UNSUCCESSFUL; /* Not in array */
```

Assume we have an array of sorted integers in memory.

0      1      2      3      4      5      6      7      8      9      10     11     12     13     14     15

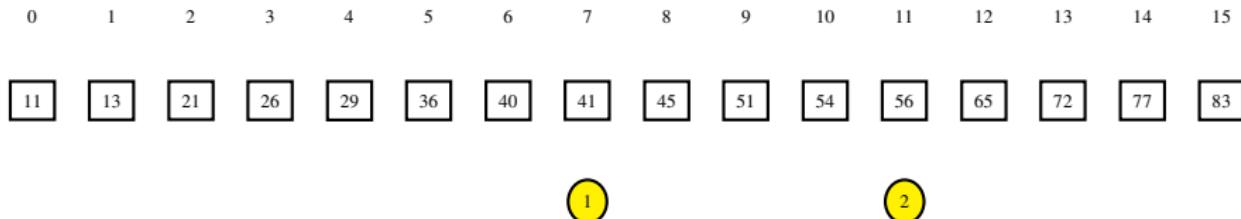
11    13    21    26    29    36    40    41    45    51    54    56    65    72    77    83

1

Search for K in a pre-sorted list of length n. **Example:** Search for K=45.

```
1 int l = left -1; int r = right+1; /* l and r are beyond array bounds */
2 while (l+1 != r) { /* loop until l and r meet */
3     int i = (l+r)/2; /* find middle of sub-list */
4     if (K < array[i]) r = i; /* Value in left half */
5     if (K == array[i]) return i; /* Found it! */
6     if (K > array[i]) l = i; /* Value in right half */
7 } return UNSUCCESSFUL; /* Not in array */
```

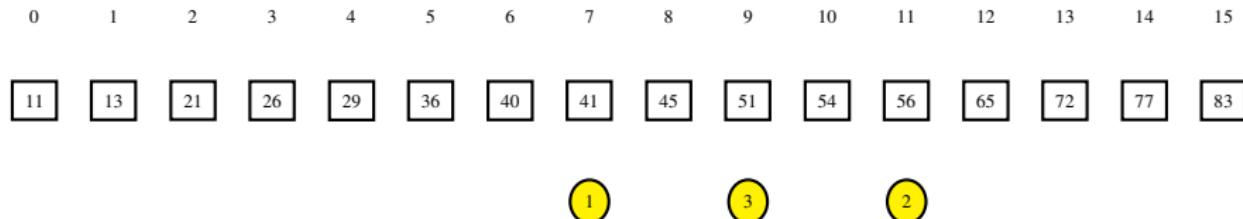
Assume we have an array of sorted integers in memory.



Search for K in a pre-sorted list of length n. **Example:** Search for K=45.

```
1 int l = left -1; int r = right+1; /* l and r are beyond array bounds */
2 while (l+1 != r) { /* loop until l and r meet */
3     int i = (l+r)/2; /* find middle of sub-list */
4     if (K < array[i]) r = i; /* Value in left half */
5     if (K == array[i]) return i; /* Found it! */
6     if (K > array[i]) l = i; /* Value in right half */
7 } return UNSUCCESSFUL; /* Not in array */
```

Assume we have an array of sorted integers in memory.

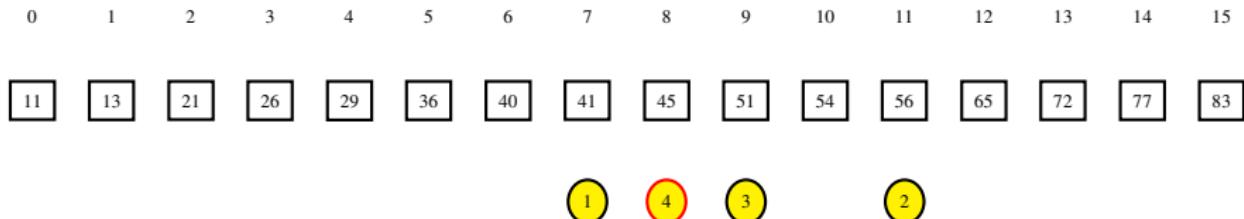


Search for K in a pre-sorted list of length n. **Example:** Search for K=45.

```
1 int l = left -1; int r = right+1; /* l and r are beyond array bounds */
2 while (l+1 != r) { /* loop until l and r meet */
3     int i = (l+r)/2; /* find middle of sub-list */
4     if (K < array[i]) r = i; /* Value in left half */
5     if (K == array[i]) return i; /* Found it! */
6     if (K > array[i]) l = i; /* Value in right half */
7 } return UNSUCCESSFUL; /* Not in array */
```

# Binary Search

Assume we have an array of sorted integers in memory.



Search for K in a pre-sorted list of length n. **Example:** Search for K=45.

```
1 int l = left -1; int r = right+1; /* l and r are beyond array bounds */
2 while (l+1 != r) { /* loop until l and r meet */
3     int i = (l+r)/2; /* find middle of sub-list */
4     if (K < array[i]) r = i; /* Value in left half */
5     if (K == array[i]) return i; /* Found it! */
6     if (K > array[i]) l = i; /* Value in right half */
7 } return UNSUCCESSFUL; /* Not in array */
```

- **Searching** At each iteration of the `while` loop, **half** of the remaining elements in the list are discarded. Run time (average and worst case):

$$\Theta(\log n)$$

- **Insertion/Deletion** Inserting into a sorted array list has runtime  $\Theta(n)$  (average and worst case).
  - $\Theta(\log n)$  to find the spot
  - $\Theta(n)$  to “shuffle” elements.

- **Searching** At each iteration of the `while` loop, **half** of the remaining elements in the list are discarded. Run time (average and worst case):

$$\Theta(\log n)$$

- **Insertion/Deletion** Inserting into a sorted array list has runtime  $\Theta(n)$  (average and worst case).
  - $\Theta(\log n)$  to find the spot
  - $\Theta(n)$  to “shuffle” elements.
- ⇒ **Advantage** Searching is very fast
- ⇒ **Disadvantage** Insertion and deletion are slow.
- ⇒ This technique is good for applications which need many searches, but few insertions/deletions:
  - Bank accounts, Telephone book, Dictionary

- **Searching** At each iteration of the `while` loop, **half** of the remaining elements in the list are discarded. Run time (average and worst case):

$$\Theta(\log n)$$

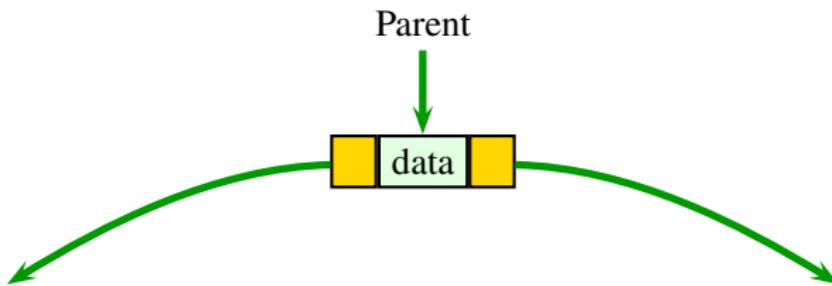
- **Insertion/Deletion** Inserting into a sorted array list has runtime  $\Theta(n)$  (average and worst case).
  - $\Theta(\log n)$  to find the spot
  - $\Theta(n)$  to “shuffle” elements.
- ⇒ **Advantage** Searching is very fast
- ⇒ **Disadvantage** Insertion and deletion are slow.
- ⇒ This technique is good for applications which need many searches, but few insertions/deletions:
  - Bank accounts, Telephone book, Dictionary
- ☞ Note that this is much better than the  $\Theta(n)$  performance of linear search on the same list.
- ☞ **Tradeoff** between ease of inserting new elements and searching!

Assumptions for average run time:

- When computing the average case running time it is assumed that:
  - for successful search - all values in the array are equally likely to match the input key;
  - for insertion - all positions in the array are equally likely to be the position where the new item has to be inserted.

**Definition:** A **binary search tree** (BST) is a binary tree in which data values stored at each node have been ordered such that for any node in the tree:

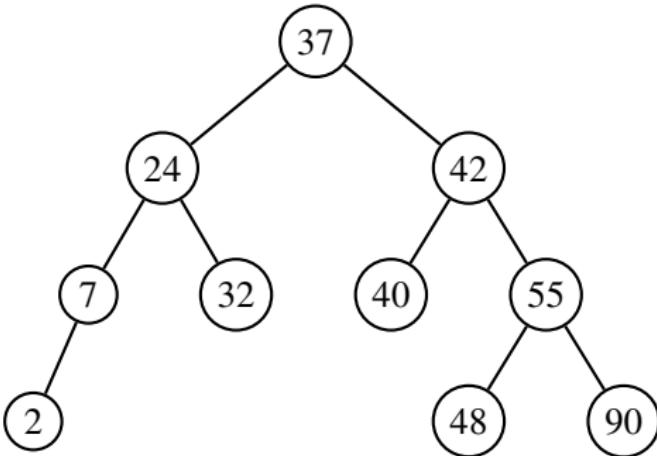
- elements in the left sub-tree have value less than the value in the parent
- elements in the right sub-tree have value greater than the value in the parent



BST with elements < data

BST with elements > data

## Example:



- Searching for a value in this tree is like the game **20-questions** where at each node you ask the question

**Is  $\text{search value} < \text{node value}$  ?**

- if the answer is YES, follow the left branch
- if the answer is NO, follow the right branch

Continue until the value is found or you fall off the tree.

- The number of questions you need to ask is at **most** the height of the tree plus 1.

- createTree - creates a new empty tree.
- isEmptyTree - returns TRUE if the tree is empty, otherwise returns FALSE.
- isLeaf - returns TRUE if the node is a leaf node, otherwise FALSE.
- destroyTree - deallocates the memory of the tree.
- isInTree - searches a tree for a given value and returns true if found, false otherwise.
- findTree - searches a tree for a given value. If found, returns a reference to the object stored, otherwise null.
- findTreeMin - returns the minimum value in the tree.
- findTreeMax - returns the maximum value in the tree.
- insertTree - inserts a value into a tree.
- deleteTree - deletes the node with a given value if in the tree, otherwise do nothing.

- There are numerous ways to implement the data structures and operations of the binary search ADT
  - Array based implementation
  - Linked implementation
- ⇒ Here we present the algorithms for the linked implementation only.<sup>1</sup>

---

<sup>1</sup>See text Chapter 19

- ☞ Use the following class to define each Tree Node:

```
1  class TNode<E>{  
2      E element;  
3      TNode<E> left;  
4      TNode<E> right;  
5  
6      public TNode(E e, TNode<E> l, TNode<E> r){  
7          element=e;  
8          left=l;  
9          right=r;  
10     }  
11 }
```

- ☞ Use the following class to define the Tree ADT:

```
1  public class LLTree<E> {  
2      protected TNode<E> root;  
3      public LLTree(){ ... }  
4      public boolean isEmptyTree(){ ... }  
5      private boolean isLeaf(TNode<E> n){ ... }  
6      public boolean printTree(){ return printTree(root); }  
7      private boolean printTree(TNode n){ ... }  
8      public boolean isInTree(E e){ return findTree(e,root)!=null; }  
9      public E findTree(E e){ return findTree(e,root); }  
10     private E findTree(E e, TNode t){ ... }  
11     public E findTreeMin(){ ... }  
12     public E findTreeMax(){ ... }  
13     public void insertTree(E e){ root = insertTree(e,root); }  
14     private TNode<E> insertTree(E e, TNode<E> t){ ... }  
15     public void deleteTree(E e){ deleteTree(e,root); }  
16     private TNode<E> deleteTree(E e, TNode<E> t){ ... }  
17 }
```

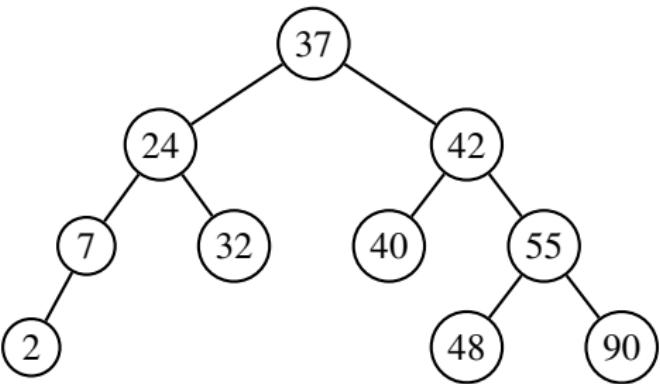
```
1 public LLTree(){  
2     root = null;  
3 }
```

```
1 public boolean isEmptyTree(){  
2     return(root == null);
```

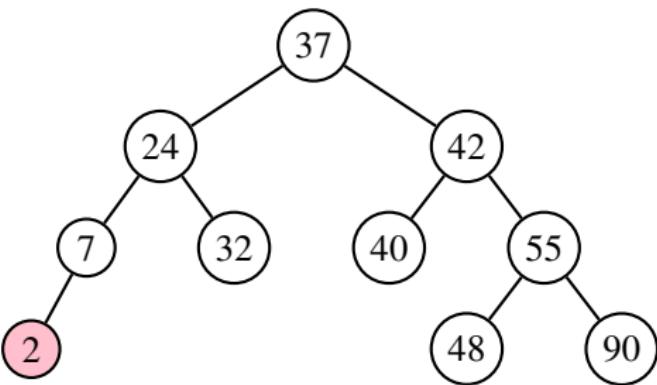
```
1 private boolean isLeaf(TNode<E> n){  
2     return(n!=null && n.left==null && n.right==null);  
3 }
```

- A tree is represented by a reference to the root node.
- A tree is initialized by setting the root reference to null.
- Leaf nodes have both left and right pointers set to null.
- All operations run in  $\Theta(1)$  time.

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```

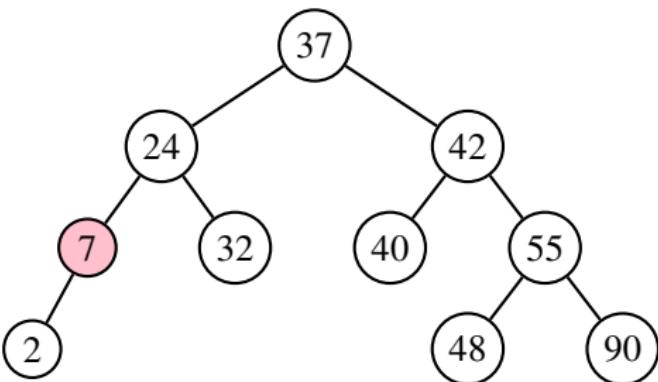


```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



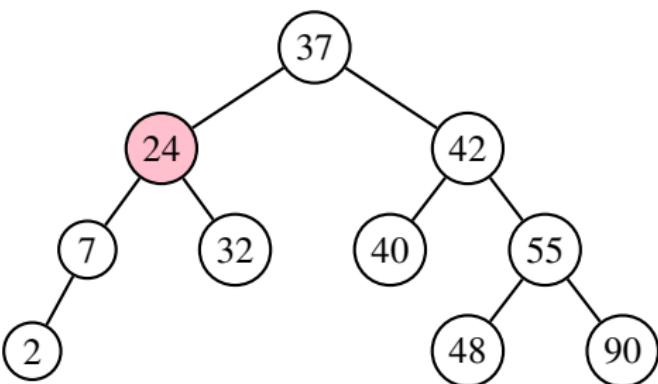
**Output:** 2,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



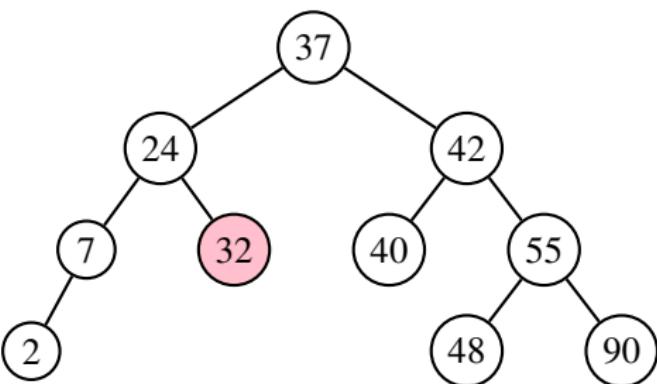
**Output:** 2, 7

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



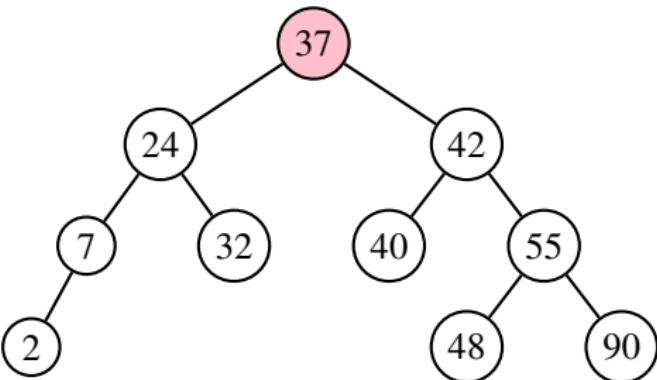
**Output:** 2, 7, 24,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



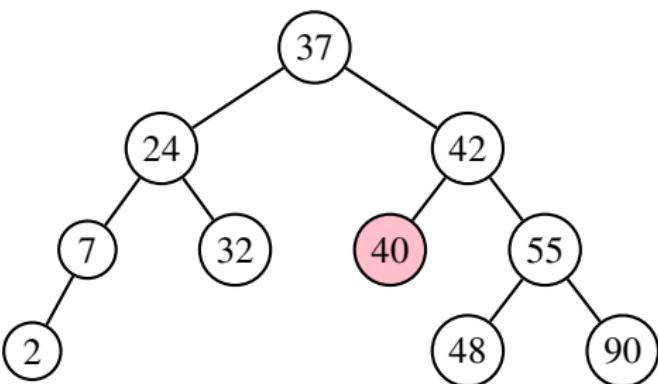
**Output:** 2, 7, 24, 32,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



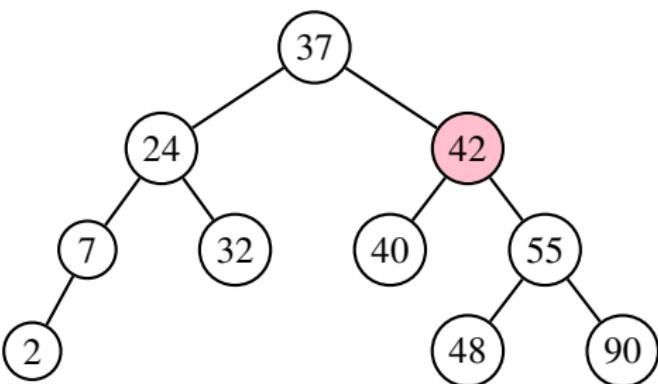
**Output:** 2, 7, 24, 32, 37,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



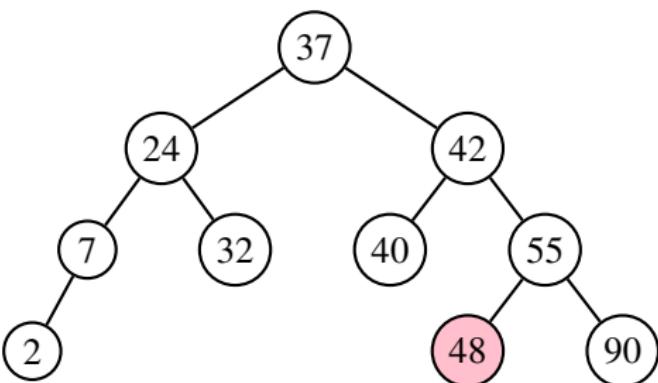
**Output:** 2, 7, 24, 32, 37, 40,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



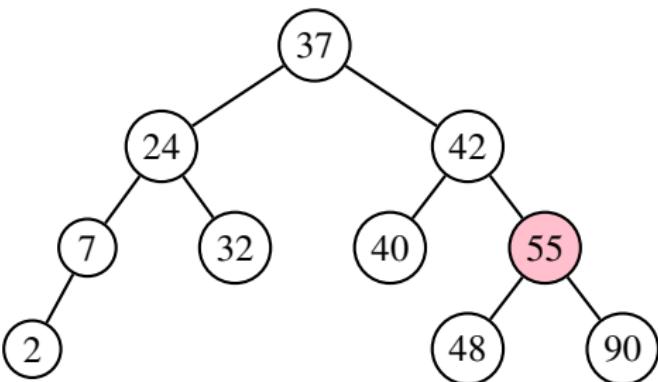
**Output:** 2, 7, 24, 32, 37, 40, 42,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



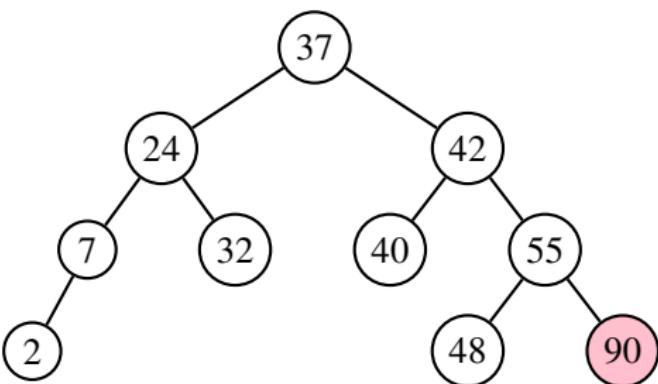
**Output:** 2, 7, 24, 32, 37, 40, 42, 48,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



**Output:** 2, 7, 24, 32, 37, 40, 42, 48, 55,

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```



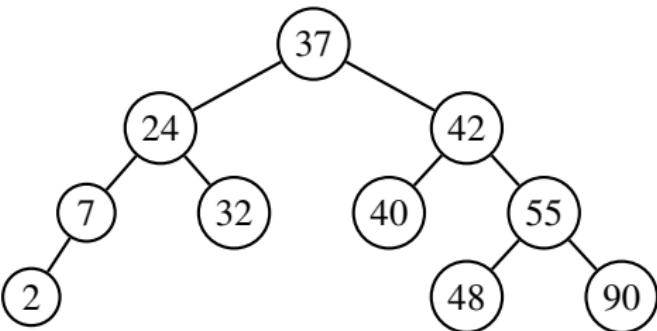
**Output:** 2, 7, 24, 32, 37, 40, 42, 48, 55, 90.

```
1 private void printTree(TNode<E> n){  
2     if(n!=null){  
3         printTree(n.left);  
4         System.out.println(n.element);  
5         printTree(n.right);  
6     }  
7 }
```

- In-Order traversal.
- Outputs the data in increasing order.
- Running time:  $\Theta(n)$ , where  $n$  is the number of tree nodes
  - Visits every node and every null link in the tree and performs a constant number of operations at each node

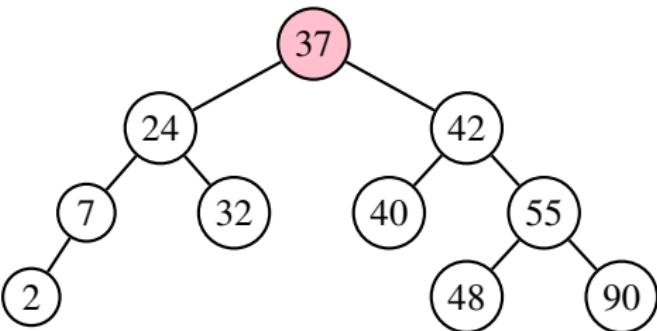
```
1  private E findTree(E e, TNode<E> t){  
2      if(t==null)  
3          return(null);  
4      if(e.compareTo(t.element)<0)  
5          return(findTree(e,t.left));  
6      if(e.compareTo(t.element)>0)  
7          return(findTree(e,t.right));  
8      else  
9          return(t.element);  
10 }
```

**Example:** findTree(new Integer(48),root)



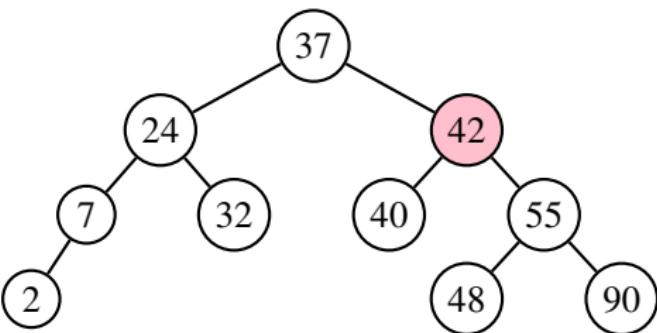
```
1  private E findTree(E e, TNode<E> t){  
2      if(t==null)  
3          return(null);  
4      if(e.compareTo(t.element)<0)  
5          return(findTree(e,t.left));  
6      if(e.compareTo(t.element)>0)  
7          return(findTree(e,t.right));  
8      else  
9          return(t.element);  
10 }
```

Example: `findTree(48, t)`



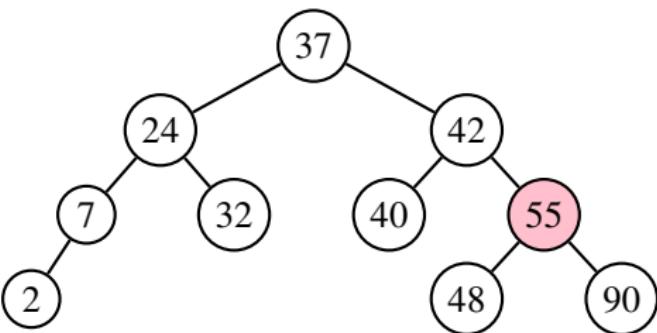
```
1 private E findTree(E e, TNode<E> t){  
2     if(t==null)  
3         return(null);  
4     if(e.compareTo(t.element)<0)  
5         return(findTree(e,t.left));  
6     if(e.compareTo(t.element)>0)  
7         return(findTree(e,t.right));  
8     else  
9         return(t.element);  
10 }
```

Example: `findTree(48, t)`



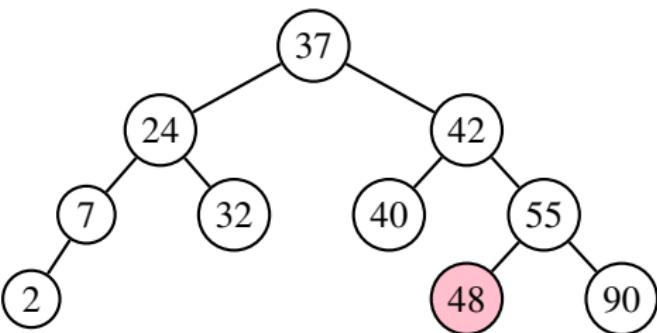
```
1  private E findTree(E e, TNode<E> t){  
2      if(t==null)  
3          return(null);  
4      if(e.compareTo(t.element)<0)  
5          return(findTree(e,t.left));  
6      if(e.compareTo(t.element)>0)  
7          return(findTree(e,t.right));  
8      else  
9          return(t.element);  
10 }
```

Example: `findTree(48, t)`



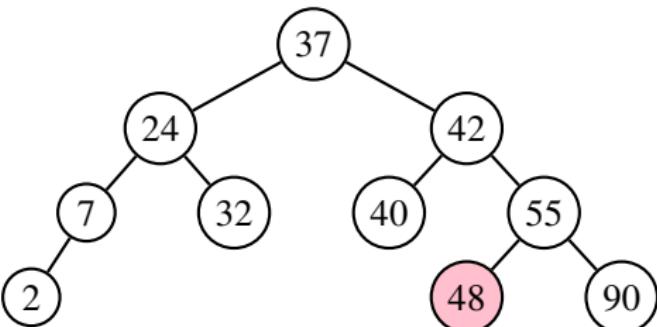
```
1  private E findTree(E e, TNode<E> t){  
2      if(t==null)  
3          return(null);  
4      if(e.compareTo(t.element)<0)  
5          return(findTree(e,t.left));  
6      if(e.compareTo(t.element)>0)  
7          return(findTree(e,t.right));  
8      else  
9          return(t.element);  
10 }
```

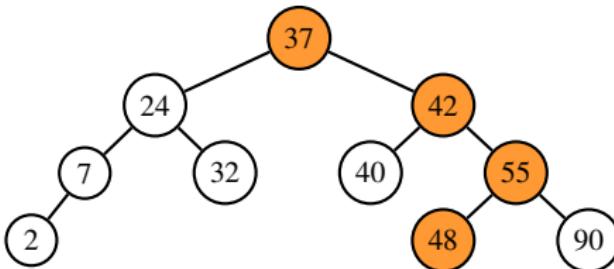
Example: `findTree(48, t)`



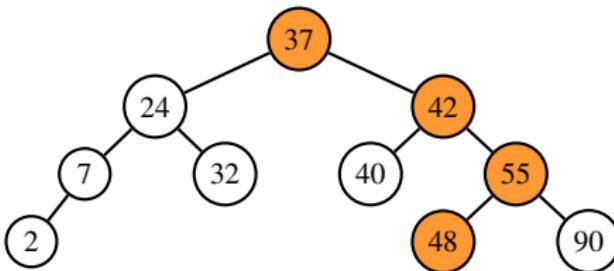
```
1 private E findTree(E e, TNode<E> t){  
2     if(t==null)  
3         return(null);  
4     if(e.compareTo(t.element)<0)  
5         return(findTree(e,t.left));  
6     if(e.compareTo(t.element)>0)  
7         return(findTree(e,t.right));  
8     else  
9         return(t.element);  
10}
```

**Example:** `findTree(48, t)` – **found** in 4 calls.

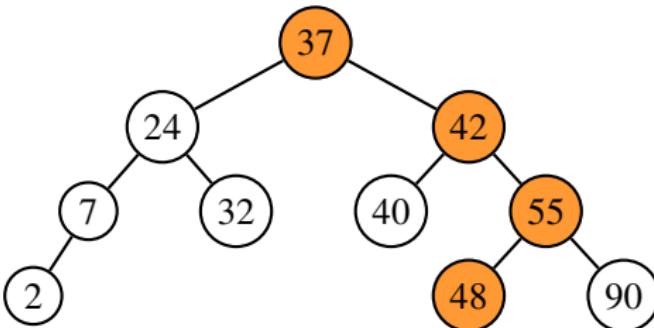




- The run time is proportional to the number of nodes on the path starting at the root and ending at some tree node.
- Average run time:
  - For successful search - proportional to the average node depth + 1
  - For unsuccessful search - proportional to the average depth of a null link + 1
- Assumptions for average-case analysis
  - For successful search - all nodes are equally likely to match the input key  $K$ .
  - For unsuccessful search - all null references are equally likely to be the link where the search stops.

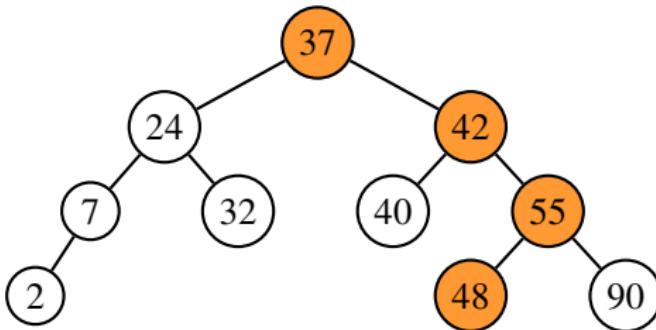


- The worst case run time is linear in the **height** of the tree, i.e.,  $\Theta(h)$ .
  - $\Theta(n)$  (worst case) where  $n$  is the number tree nodes.
  - The tree is *degenerate* (i. e., a sequence of nodes) and the key is either leaf (success) or longest path in the tree is followed (key not in tree)
- Average run time is  $\Theta(\log n)$ 
  - Consider binary search trees generated by using random insertion sequences
  - Assume that all  $n!$  possible orderings of the  $n$  keys are equally likely to be the sequence of insertions for building the tree.
  - The expected value of  $h$  in a randomly built BST is  $\Theta(\log n)$



Runtime : depends on the **height** of the tree.

- ⇒  $\Theta(n)$  worst case, if the tree is degenerate
- ⇒  $\Theta(\log n)$  **on average**. Randomly generated binary search trees are reasonably well balanced and have height near that of a complete tree (i.e.,  $\approx \log_2 n$ ).

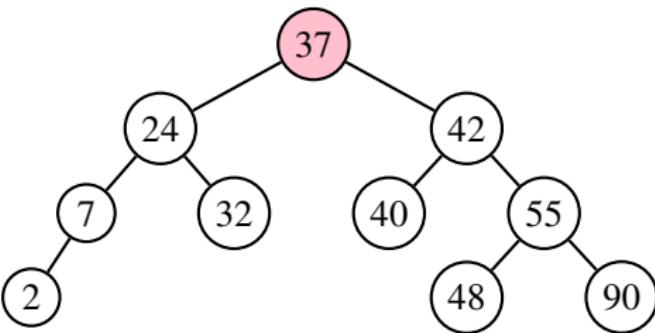


- ➡ Can `findTree()` be implemented without recursion?

⇒ Minimum element in binary search tree is the **left-most** element.

```
1 public E findTreeMin(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.left != null)  
6         t=t.left;  
7     return(t.element);  
8 }
```

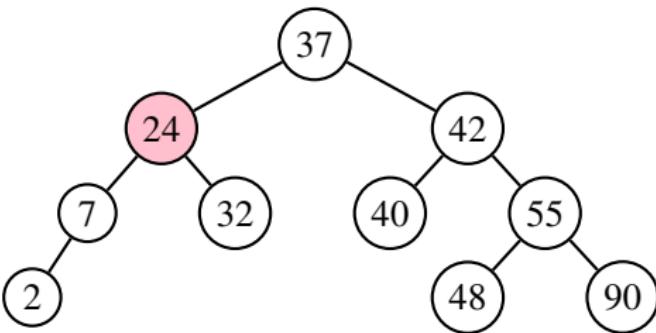
**Example:** findTreeMin()



⇒ Minimum element in binary search tree is the **left-most** element.

```
1 public E findTreeMin(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.left != null)  
6         t=t.left;  
7     return(t.element);  
8 }
```

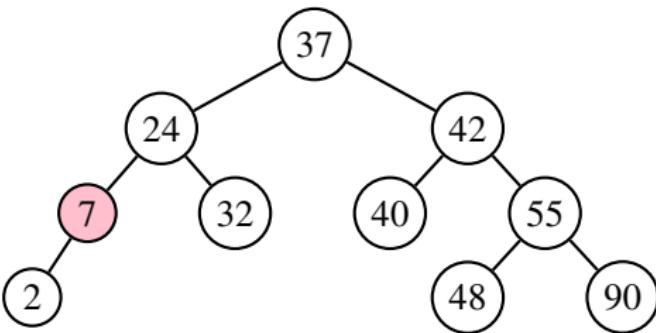
**Example:** findTreeMin()



⇒ Minimum element in binary search tree is the **left-most** element.

```
1 public E findTreeMin(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.left != null)  
6         t=t.left;  
7     return(t.element);  
8 }
```

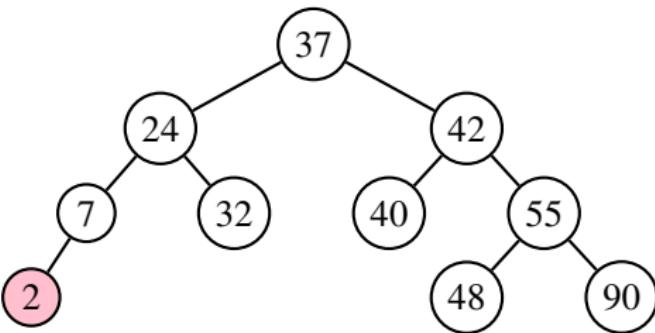
**Example:** findTreeMin()



⇒ Minimum element in binary search tree is the **left-most** element.

```
1 public E findTreeMin(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.left != null)  
6         t=t.left;  
7     return(t.element);  
8 }
```

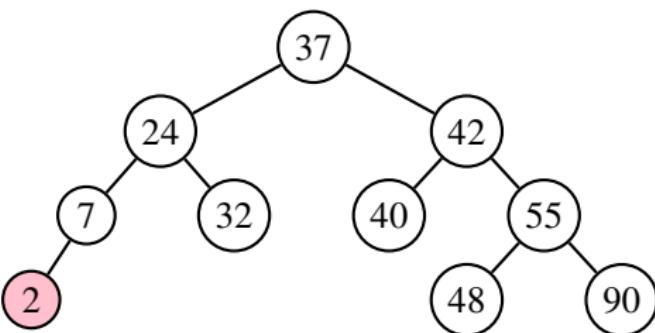
**Example:** findTreeMin()



⇒ Minimum element in binary search tree is the **left-most** element.

```
1 public E findTreeMin(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.left != null)  
6         t=t.left;  
7     return(t.element);  
8 }
```

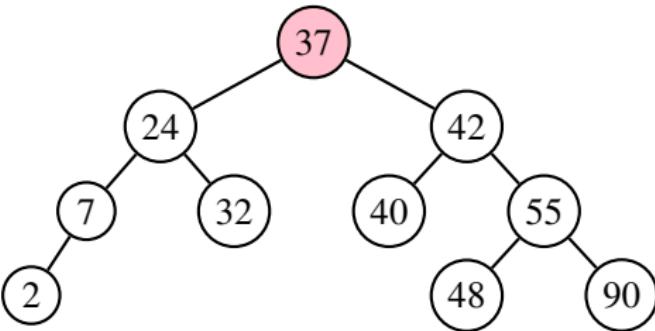
**Example:** findTreeMin() – **Found** –  $\Theta(n)$  worst case, but  $\Theta(\log n)$  on average.



⇒ Maximum element in binary search tree is the **right-most** element.

```
1 public E findTreeMax(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.right != null)  
6         t=t.right;  
7     return(t.element);  
8 }
```

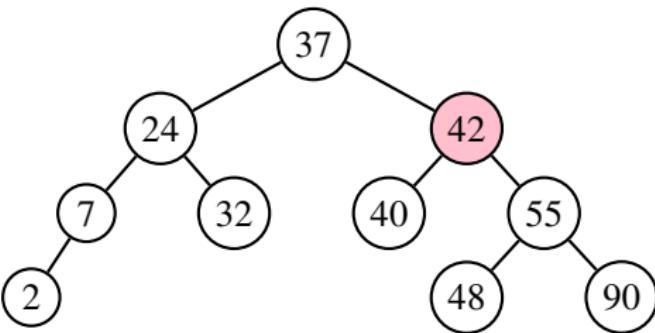
**Example:** findTreeMax()



⇒ Maximum element in binary search tree is the **right-most** element.

```
1 public E findTreeMax(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.right != null)  
6         t=t.right;  
7     return(t.element);  
8 }
```

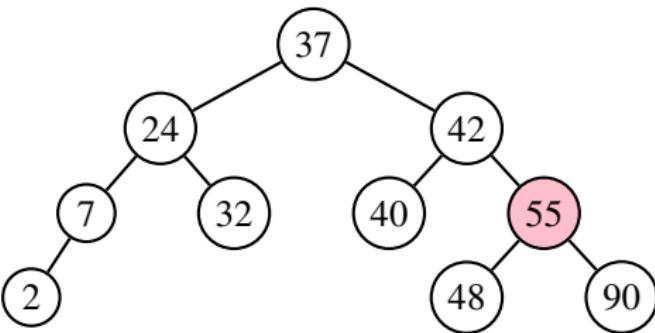
**Example:** findTreeMax()



⇒ Maximum element in binary search tree is the **right-most** element.

```
1 public E findTreeMax(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.right != null)  
6         t=t.right;  
7     return(t.element);  
8 }
```

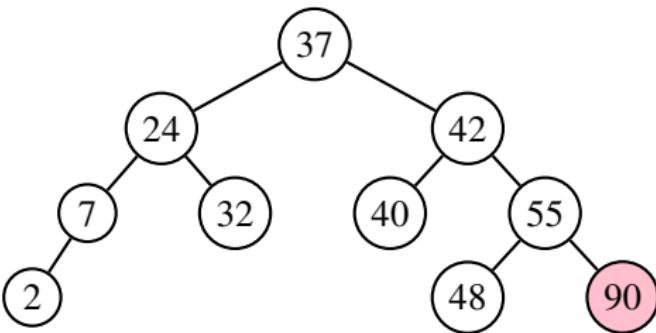
**Example:** findTreeMax()



⇒ Maximum element in binary search tree is the **right-most** element.

```
1 public E findTreeMax(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.right != null)  
6         t=t.right;  
7     return(t.element);  
8 }
```

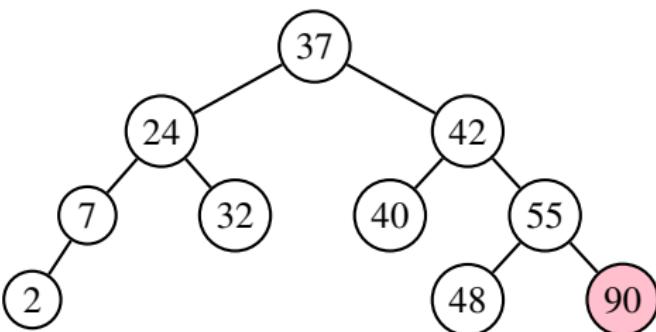
**Example:** findTreeMax()



⇒ Maximum element in binary search tree is the **right-most** element.

```
1 public E findTreeMax(){  
2     LLTree<E> t = root;  
3     if(t==null)  
4         throw new NoSuchElementException();  
5     while(t.right != null)  
6         t=t.right;  
7     return(t.element);  
8 }
```

**Example:** findTreeMax() – Found –  $\Theta(n)$  worst case, but  $\Theta(\log n)$  on average.

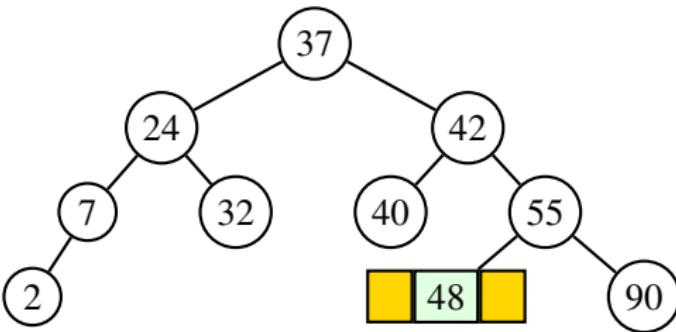


- ⇒ Always insert a new node as a **leaf** on a tree.
  - Find place where to insert element, then insert element

```
1  private TNode<E> insertTree(E val, TNode<E> t){  
2      if(t==null){  
3          t=new TNode<E>(val,null,null);  
4      }else if(val.compareTo(t.element)<0)  
5          t.left = insertTree(val,t.left);  
6      else if(val.compareTo(t.element)>0)  
7          t.right = insertTree(val,t.right);  
8      else  
9          throw new DuplicateItemException();  
10     return t;  
11 }
```

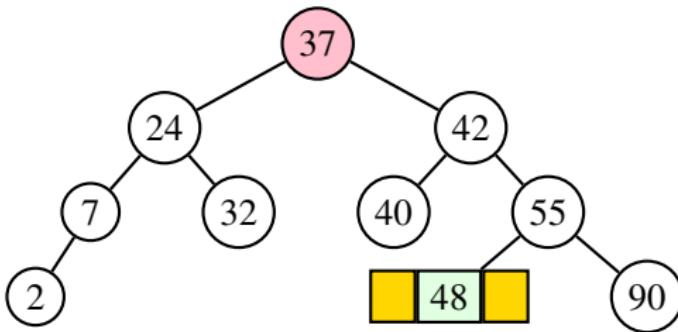
## Example:insertTree(43)

```
1 private TNode<E> insertTree(E val , TNode<E> t ){
2     ...
3     } else if(val.compareTo(t.element)<0)
4         t.left = insertTree(val,t.left);
5     else if(val.compareTo(t.element)>0)
6         t.right = insertTree(val,t.right);
7     else
8         throw new DuplicateItemException();
9     return t;
10 }
```



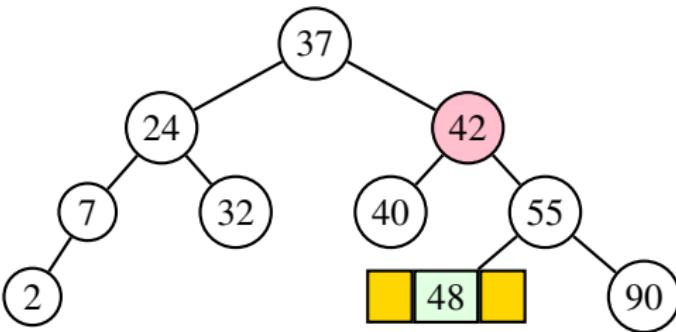
**Example:** insertTree(43, root)

```
1 private TNode<E> insertTree(E val , TNode<E> t ){
2     ...
3     } else if (val.compareTo(t.element)<0)
4         t.left = insertTree(val , t.left );
5     else if (val.compareTo(t.element)>0)
6         t.right = insertTree (val , t.right );
7     else
8         throw new DuplicateItemException ();
9     return t ;
10 }
```



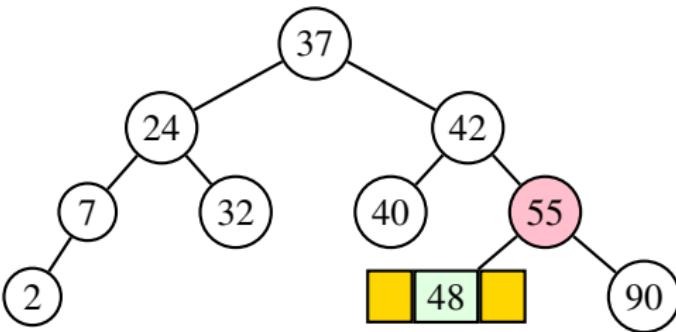
**Example:** insertTree(43, t)

```
1  private TNode<E> insertTree(E val , TNode<E> t ){
2      ...
3      } else if (val.compareTo(t.element)<0)
4          t.left = insertTree(val , t.left );
5      else if (val.compareTo(t.element)>0)
6          t.right = insertTree (val , t.right );
7      else
8          throw new DuplicateItemException ();
9      return t ;
10 }
```



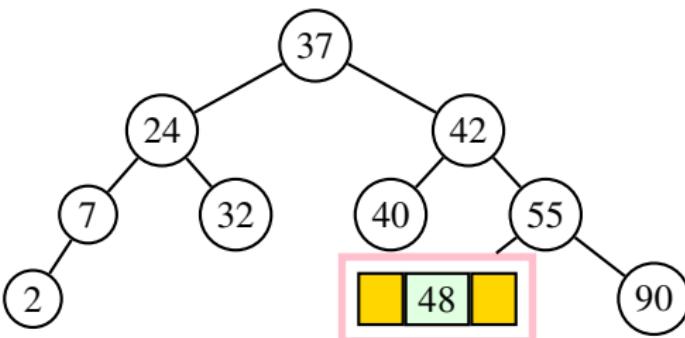
**Example:** insertTree(43, t)

```
1 private TNode<E> insertTree(E val , TNode<E> t ){
2     ...
3     } else if (val.compareTo(t.element)<0)
4         t.left = insertTree(val,t.left);
5     else if (val.compareTo(t.element)>0)
6         t.right = insertTree(val,t.right);
7     else
8         throw new DuplicateItemException();
9     return t;
10 }
```



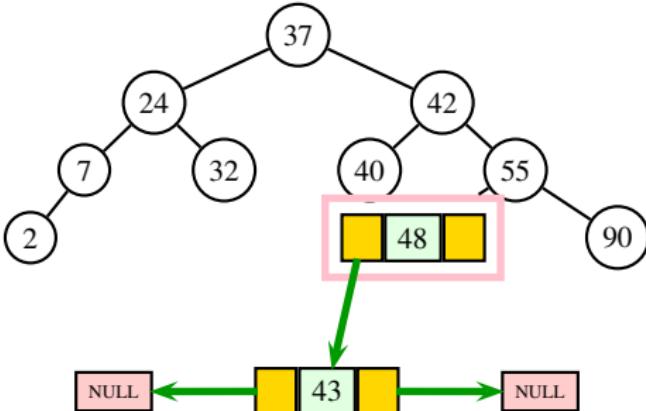
## Example: insertTree(43, t)

```
1  private TNode<E> insertTree(E val, TNode<E> t){  
2      ...  
3      } else if (val.compareTo(t.element) < 0)  
4          t.left = insertTree(val, t.left);  
5      else if (val.compareTo(t.element) > 0)  
6          t.right = insertTree(val, t.right);  
7      else  
8          throw new DuplicateItemException();  
9      return t;  
10 }
```



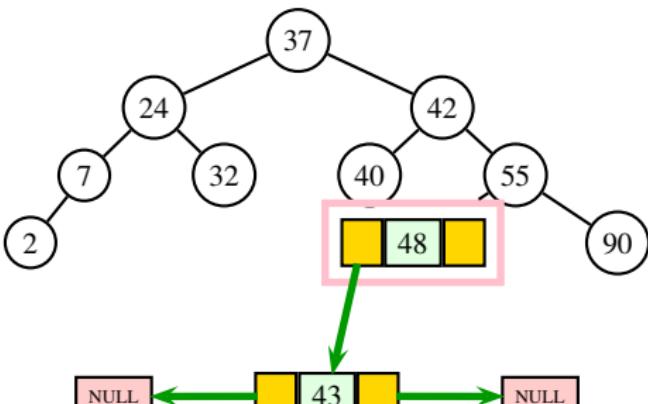
**Example:** insertTree(43, t)

```
1 private TNode<E> insertTree(E val, TNode<E> t){  
2     if(t==null){  
3         t=new TNode<E>(val, null, null);  
4     } else if(val.compareTo(t.element)<0)  
5         t.left = insertTree(val, t.left);  
6     ...  
7     return t;  
8 }
```



**Example:** `insertTree(43, t)` – **Finished** –  $\Theta(h)$  worst case –  $\Theta(n)$  worst case, but  $\Theta(\log n)$  on average.

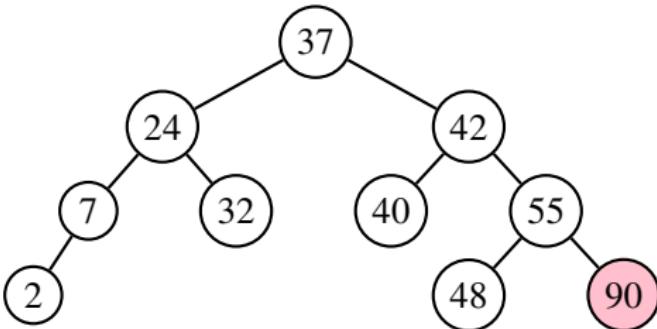
```
1  private TNode<E> insertTree(E val, TNode<E> t){  
2      if(t==null){  
3          t=new TNode<E>(val, null, null);  
4      } else if(val.compareTo(t.element)<0)  
5          t.left = insertTree(val, t.left);  
6      ...  
7      return t;  
8 }
```



- ⇒ To delete a node, first need to find the node in the tree – takes  $\Theta(\log n)$  on average.
- ⇒ There are three special cases we need to consider:
  - (1) The node is a leaf, in which case you can just remove it

**Example:** `deleteTree(90)`

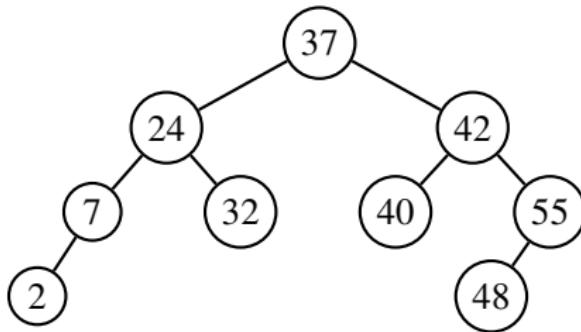
**Before**



- ⇒ To delete a node, first need to find the node in the tree – takes  $\Theta(\log n)$  on average.
- ⇒ There are three special cases we need to consider:
  - (1) The node is a leaf, in which case you can just remove it

**Example:** `deleteTree(90)`

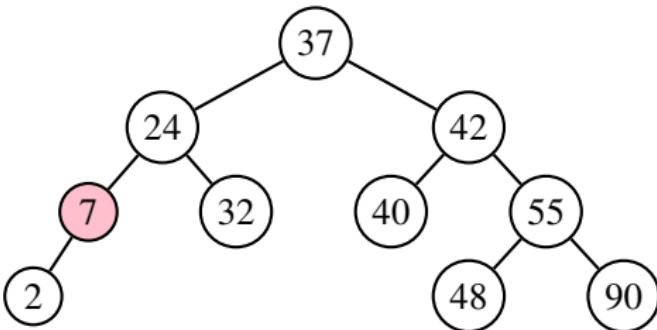
**After**



- ⇒ To delete a node, first need to find the node in the tree – takes  $\Theta(\log n)$  on average.
- ⇒ There are three special cases we need to consider:
  - (2) If the node has only one child, delete the node and adjust the parent to point to the only child.

**Example:** `deleteTree(7)`

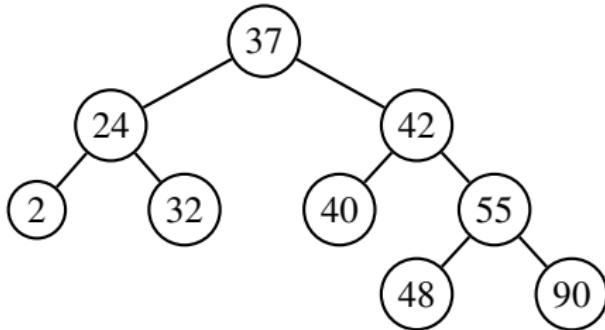
**Before**



- ⇒ To delete a node, first need to find the node in the tree – takes  $\Theta(\log n)$  on average.
- ⇒ There are three special cases we need to consider:
  - (2) If the node has only one child, delete the node and adjust the parent to point to the only child.

**Example:** `deleteTree(7)`

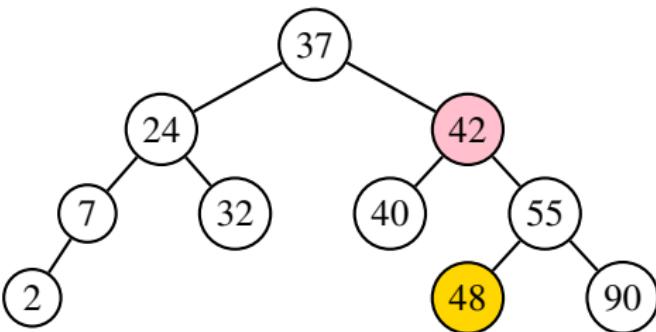
**After**



- ⇒ To delete a node, first need to find the node in the tree – takes  $\Theta(\log n)$  on average.
- ⇒ There are three special cases we need to consider:
  - (3) If the node has two children, replace the node with the smallest element in the right sub-tree
  - Then delete the smallest element in right sub-tree (this is easy since it has no left child)

**Example:** `deleteTree(42)`

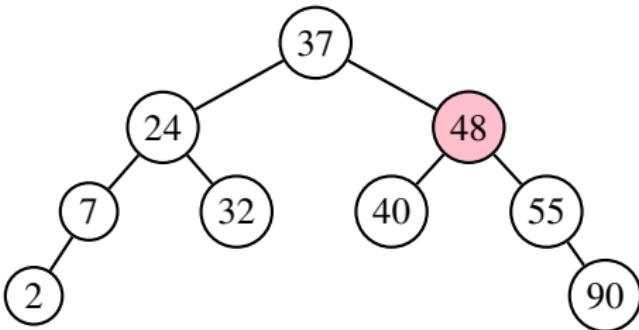
**Before**



- ⇒ To delete a node, first need to find the node in the tree – takes  $\Theta(\log n)$  on average.
- ⇒ There are three special cases we need to consider:
  - (3) If the node has two children, replace the node with the smallest element in the right sub-tree
  - Then delete the smallest element in right sub-tree (this is easy since it has no left child)

**Example:** `deleteTree(42)`

**After**

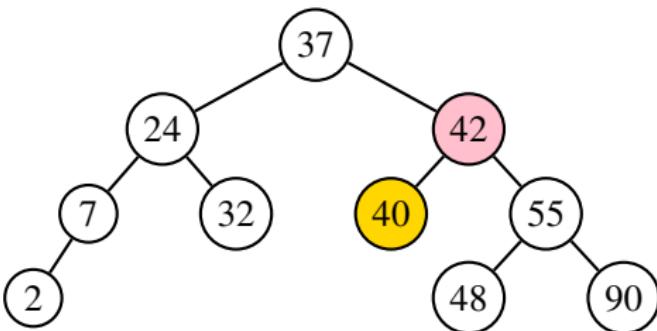


## ⇒ Alternate solution

- (3) If the node has two children, replace the node with the **largest** element in the **left** sub-tree
- Then delete the largest element in left sub-tree (this is easy since it has no right child)

**Example:** `deleteTree(42)`

**Before**

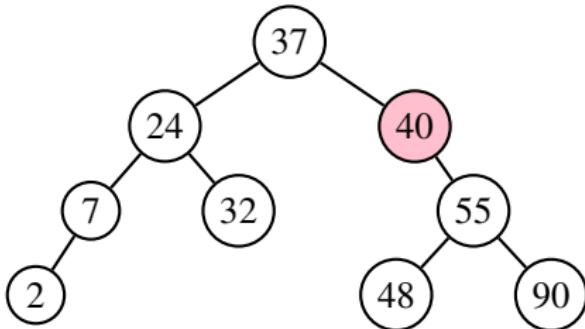


## ⇒ Alternate solution

- (3) If the node has two children, replace the node with the **largest** element in the **left** sub-tree
- Then delete the largest element in left sub-tree (this is easy since it has no right child)

**Example:** `deleteTree(42)`

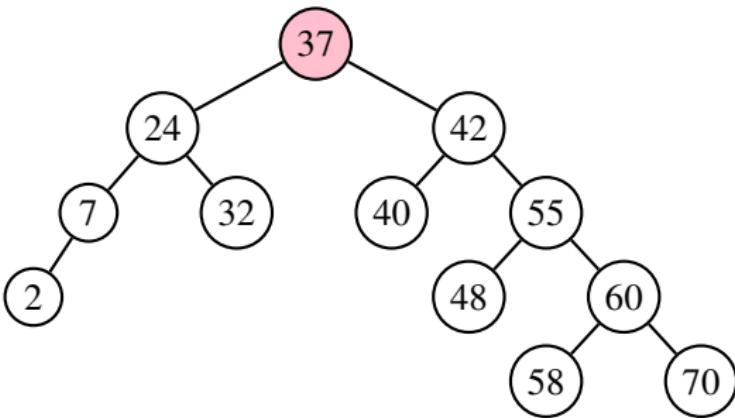
**After**



```
1  private TNode<E> deleteTree(E val , TNode<E> t ){
2      if (t == null)
3          throw new ItemNotFoundException ();
4      if (val .compareTo(t .element)<0)
5          t .left = deleteTree(val , t .left );
6      else if (val .compareTo(t .element)>0)
7          t .right = deleteTree(val , t .right );
8      else if (t .left !=null && t .right != null){
9          // Delete node with 2 children
10         t .element = (findMin(t .right )).element;
11         // Find min starting at right child
12         t .right = removeMin(t .right );
13         // Routine which removes min value
14     }
15     else
16         t = (t .left != null) ? t .left : t .right ;      // only child
17     return t ;
18 }
```

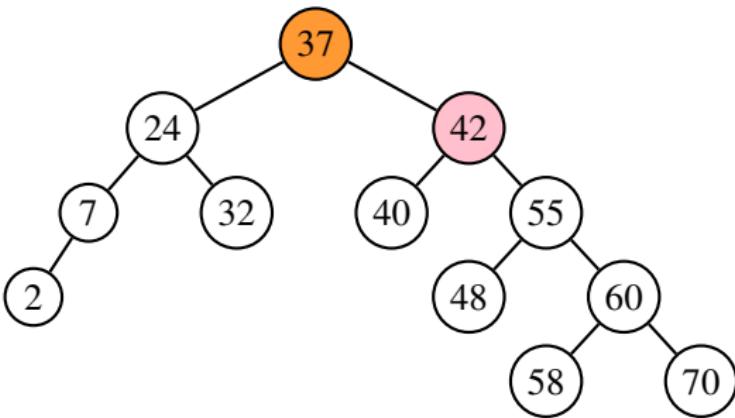
```
1  private TNode<E> deleteTree(E val, TNode<E> t){  
2      ...  
3      if(val.compareTo(t.element)<0)  
4          t.left = deleteTree(val, t.left);  
5      else if(val.compareTo(t.element)>0)  
6          t.right = deleteTree(val, t.right);  
7      ...  
8  }
```

**Example:** deleteTree(55,root)



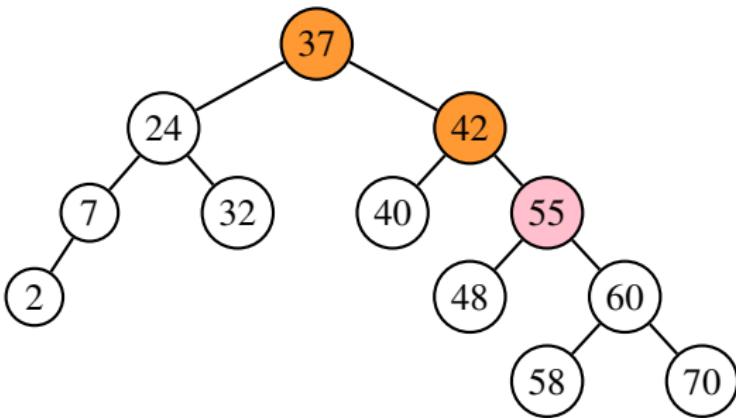
```
1  private TNode<E> deleteTree(E val, TNode<E> t){  
2      ...  
3      if(val.compareTo(t.element)<0)  
4          t.left = deleteTree(val, t.left);  
5      else if(val.compareTo(t.element)>0)  
6          t.right = deleteTree(val, t.right);  
7      ...  
8  }
```

**Example:** deleteTree(55,root)



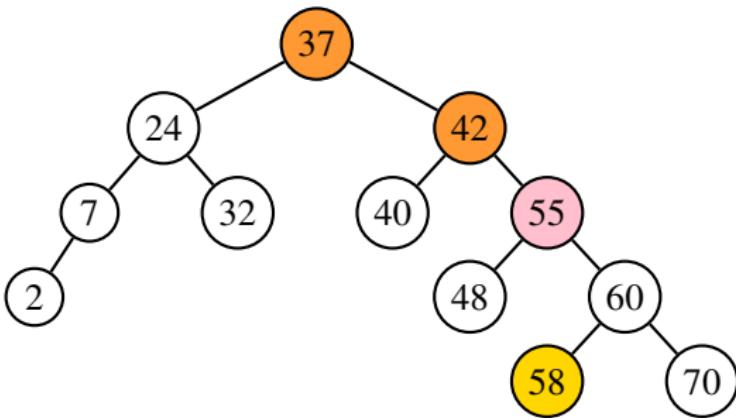
```
1  private TNode<E> deleteTree(E val, TNode<E> t){  
2      ...  
3      else if (t.left != null && t.right != null){  
4          t.element = (findMin(t.right)).element;  
5          t.right = removeMin(t.right);  
6      } else t = (t.left != null) ? t.left : t.right;  
7      return t;  
8  }
```

**Example:** deleteTree(55,root)



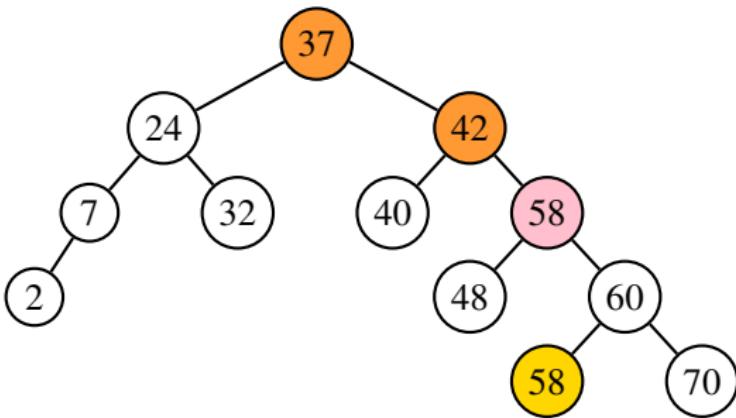
```
1  private TNode<E> deleteTree(E val, TNode<E> t){  
2      ...  
3      else if (t.left != null && t.right != null){  
4          t.element = (findMin(t.right)).element;  
5          t.right = removeMin(t.right);  
6      } else t = (t.left != null) ? t.left : t.right;  
7      return t;  
8  }
```

**Example:** deleteTree(55,root)



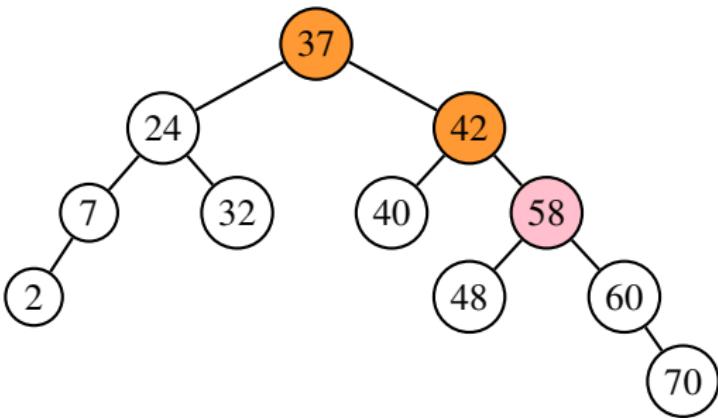
```
1 private TNode<E> deleteTree(E val, TNode<E> t){  
2     ...  
3     else if (t.left != null && t.right != null){  
4         t.element = (findMin(t.right)).element;  
5         t.right = removeMin(t.right);  
6     } else t = (t.left != null) ? t.left : t.right;  
7     return t;  
8 }
```

**Example:** deleteTree(55,root)



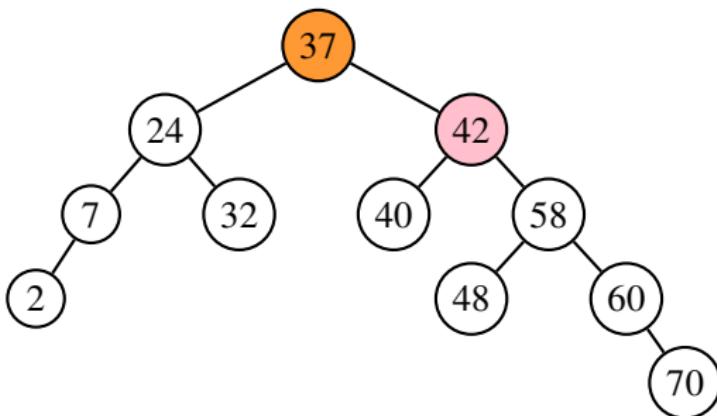
```
1 private TNode<E> deleteTree(E val, TNode<E> t){  
2     ...  
3     else if (t.left != null && t.right != null){  
4         t.element = (findMin(t.right)).element;  
5         t.right = removeMin(t.right);  
6     } else t = (t.left != null) ? t.left : t.right;  
7     return t;  
8 }
```

**Example:** deleteTree(55,root)



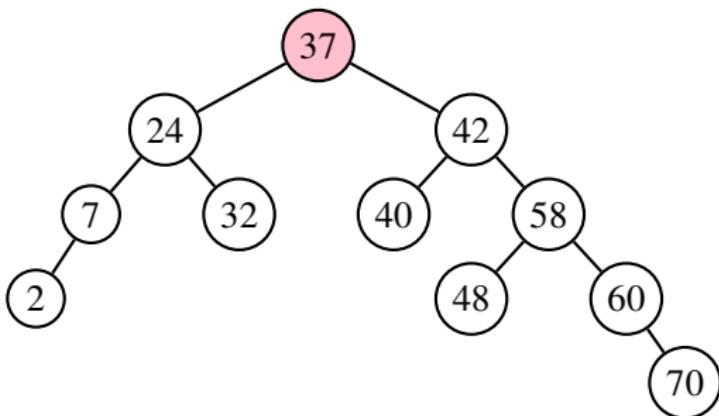
```
1  private TNode<E> deleteTree(E val, TNode<E> t){  
2      ... if(val.compareTo(t.element)<0)  
3          t.left = deleteTree(val, t.left);  
4      else if(val.compareTo(t.element)>0)  
5          t.right = deleteTree(val, t.right);  
6      ... }
```

**Example:** deleteTree(55,root)



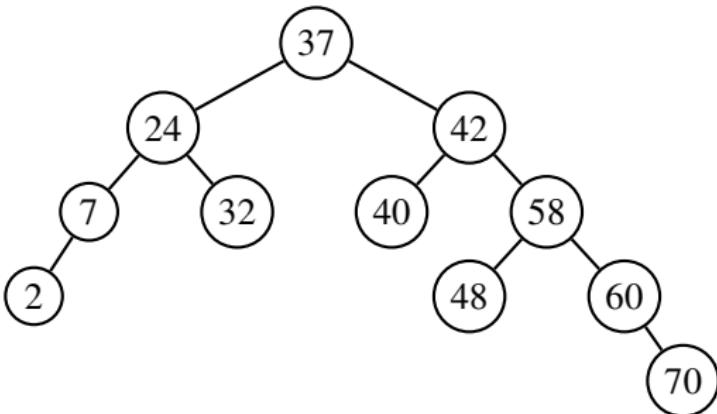
```
1  private TNode<E> deleteTree(E val, TNode<E> t){  
2      ... if(val.compareTo(t.element)<0)  
3          t.left = deleteTree(val, t.left);  
4      else if(val.compareTo(t.element)>0)  
5          t.right = deleteTree(val, t.right);  
6      ... }
```

**Example:** deleteTree(55,root)

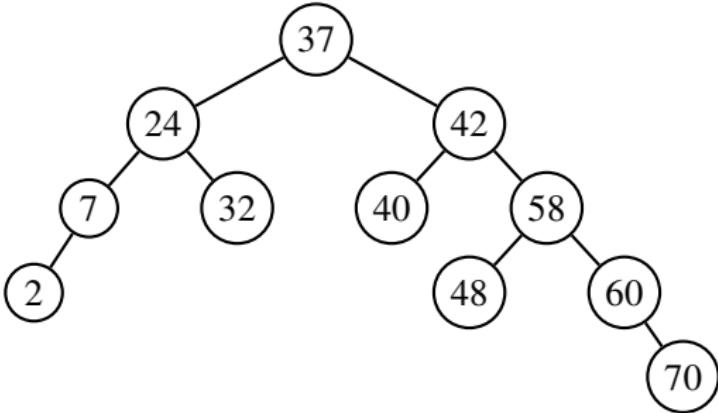


```
1  private TNode<E> deleteTree(E val, TNode<E> t){  
2      ... else if (t.left != null && t.right != null){  
3          t.element = (findMin(t.right)).element;  
4          t.right = removeMin(t.right);  
5      } else t = (t.left != null) ? t.left : t.right;  
6      return t; }
```

Example: `deleteTree(55,root)` - Done



```
1  private TNode<E> removeMin(TNode<E> t){  
2      if( t == null )  
3          throw new ItemNotFoundException( );  
4      else if (t.left !=null)  
5          t.left = removeMin(t.left);  
6      else  
7          t = t.right;  
8      return t;  
9 }
```



- Worst-case running time for search, insertion and deletion is linear in the height of the tree.
  - Expected height is  $\Theta(\log n)$  for randomly built BST's (using only insertions).
  - In experiments a reasonable number of random insertions and deletions does not unbalance the tree (no math proof).
  - Based on the above we can reasonably assume that for random input all operations take  $\Theta(\log n)$  on average.

- Worst-case running time for search, insertion and deletion is linear in the height of the tree.
  - Expected height is  $\Theta(\log n)$  for randomly built BST's (using only insertions).
  - In experiments a reasonable number of random insertions and deletions does not unbalance the tree (no math proof).
  - Based on the above we can reasonably assume that for random input all operations take  $\Theta(\log n)$  on average.
- On the other hand, the worst-case BST occurs when the input sequence is sorted.

- Worst-case running time for search, insertion and deletion is linear in the height of the tree.
  - Expected height is  $\Theta(\log n)$  for randomly built BST's (using only insertions).
  - In experiments a reasonable number of random insertions and deletions does not unbalance the tree (no math proof).
  - Based on the above we can reasonably assume that for random input all operations take  $\Theta(\log n)$  on average.
- On the other hand, the worst-case BST occurs when the input sequence is sorted.
- There are other classes of BST's with a “balance condition”
  - **AVL trees, red-black trees**
  - BST operations run in  $\Theta(\log n)$  in the worst case.

- If there are few deletions, can use **lazy deletion**
  - Just mark a node as being deleted. At a later time you can go back and reclaim this memory – “**garbage collection**”
  - Since the height of the tree grows logarithmically with the number of nodes, the addition of these deleted nodes does not impact the performance greatly.

- Tree traversal is the process of visiting every node in the tree
  - if there are  $n$  nodes in the tree it takes  $\Theta(n)$  time.
- There are three ways to visit the nodes:
  - ⇒ **Pre-Order** - perform operation at node, then visit left and right subtrees.
  - ⇒ **In-Order** - process left subtree, then node, then right subtree.
  - ⇒ **Post-Order** - process left subtree, right subtree, then the node.
- In **C**, **pointers to functions** are useful to write a single function to implement all three traversal methods with any operation over the nodes.

- Pass in a pointer to the tree and three pointers functions taking (TNode \*) to implement operations on the nodes <sup>2</sup>
  - ⇒ `preorderVisit` is a pointer to a function for operation before going to left subtree
  - ⇒ `inorderVisit` is a pointer to a function for operation after left subtree before going to right subtree.
  - ⇒ `postorderVisit` is a pointer to a function for operation after the right subtree.

---

<sup>2</sup>This traversal code is taken from Dr. D. Jones, *EE2SI4 Course Slides*, January 2004.

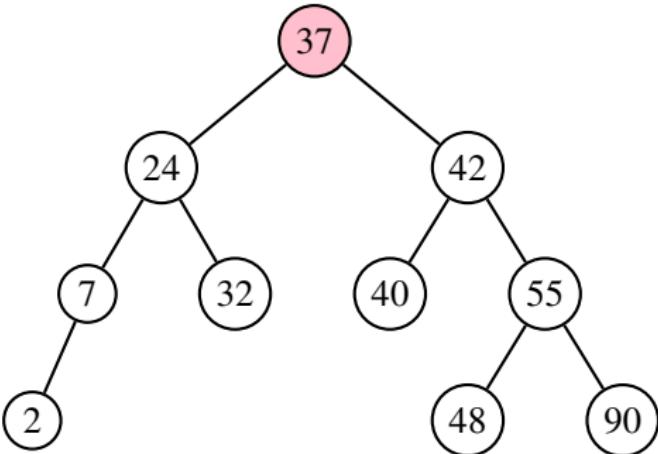
```
1 void traverseTree(TNode *t, void (*preorderVisit)(TNode *),
2                     void (*inorderVisit)(TNode *), void (*postorderVisit)(TNode *)) {
3     if(t) {
4         if(preorderVisit)
5             (*preorderVisit)(t);
6             traverseTree(t->left ,preorderVisit ,inorderVisit ,postorderVisit );
7         if(inorderVisit)
8             (*inorderVisit)(t);
9             traverseTree(t->right ,preorderVisit ,inorderVisit ,postorderVisit );
10        if(postorderVisit)
11            (*postorderVisit)(t);
12    }
13 }
```

```
1 void traverseTree(TNode *t, void (*preorderVisit)(TNode *),
2                   void (*inorderVisit)(TNode *), void (*postorderVisit)(TNode *)) {
3     if(t) {
4         if(preorderVisit)
5             (*preorderVisit)(t);
6         traverseTree(t->left, preorderVisit, inorderVisit, postorderVisit);
7         if(inorderVisit)
8             (*inorderVisit)(t);
9         traverseTree(t->right, preorderVisit, inorderVisit, postorderVisit);
10        if(postorderVisit)
11            (*postorderVisit)(t);
12    }
13 }
```

**Example:** Can write `destroyTree()` in terms of this function

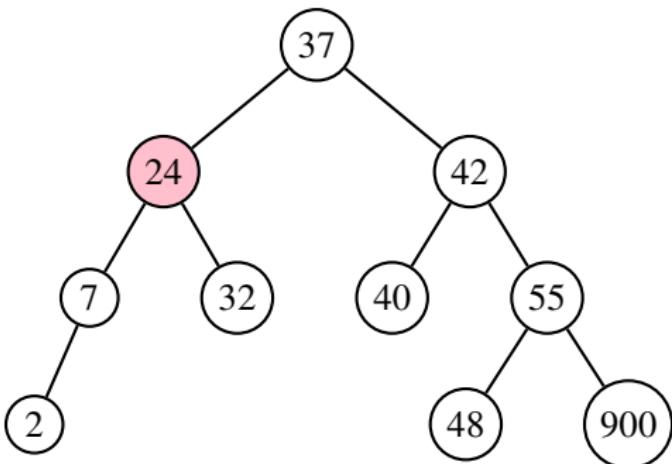
```
1 void destroyTree(TNode *t){
2     traverseTree(t, NULL, NULL, (void (*)(TNode *)) free);
3 }
```

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```



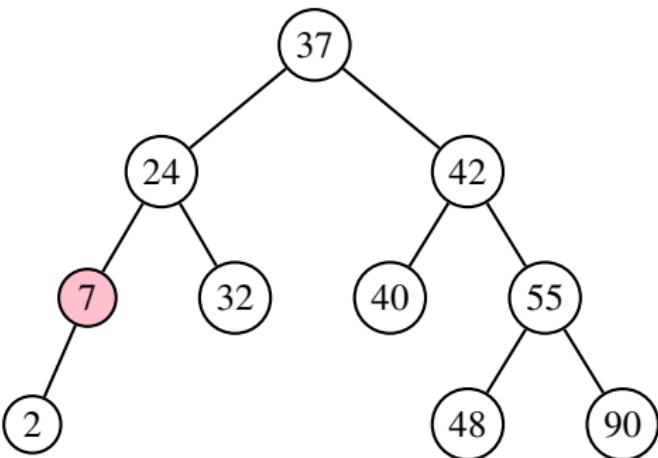
**Output:** 37,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



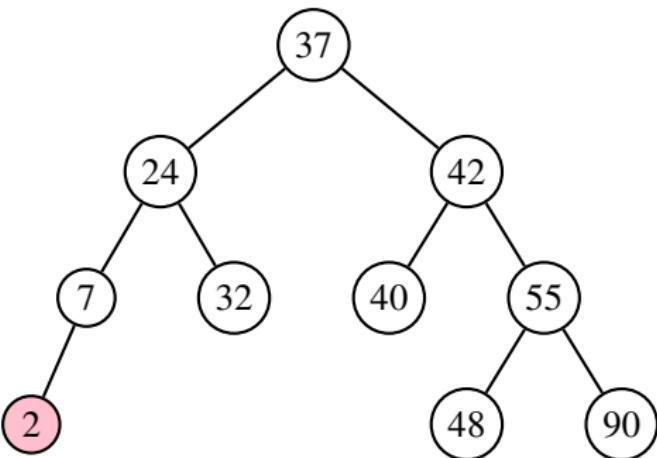
**Output:** 37, 24,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



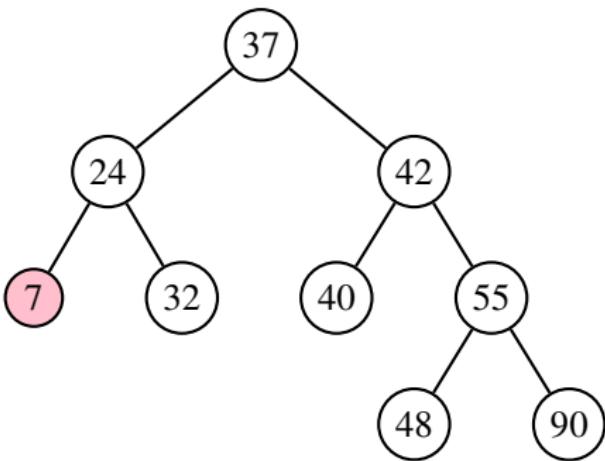
**Output:** 37, 24, 7,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



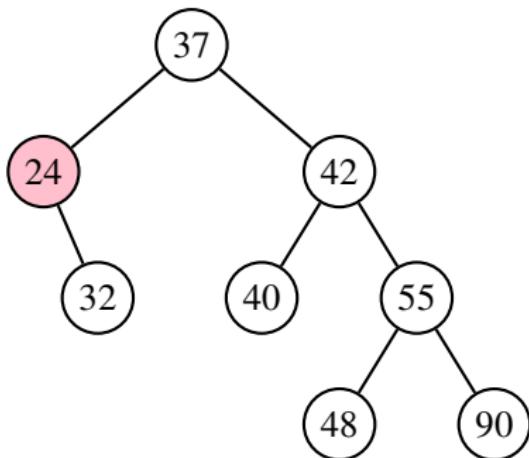
**Output:** 37, 24, 7, 2,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



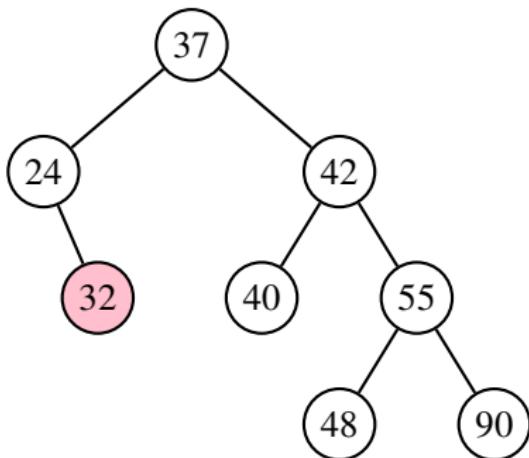
**Output:** 37, 24, 7, 2,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



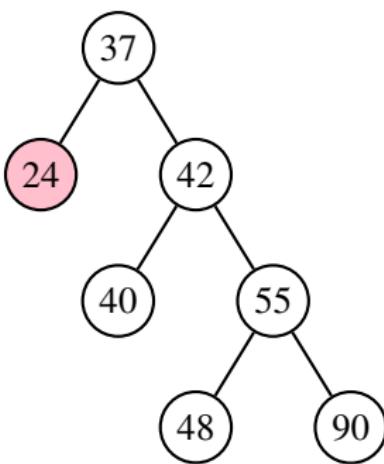
**Output:** 37, 24, 7, 2,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



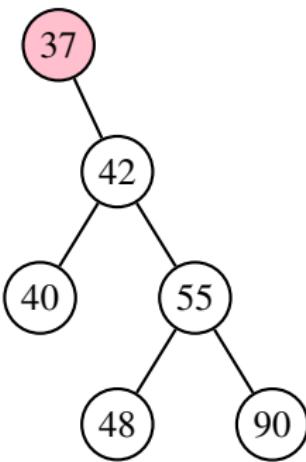
**Output:** 37, 24, 7, 2, 32,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



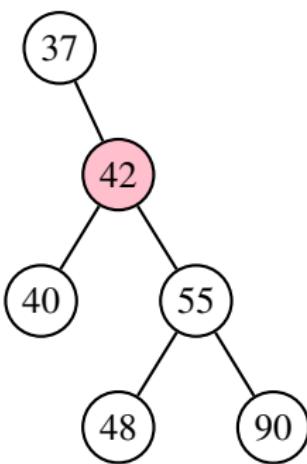
**Output:** 37, 24, 7, 2, 32,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



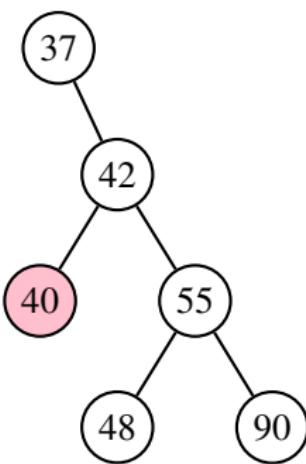
**Output:** 37, 24, 7, 2, 32,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```



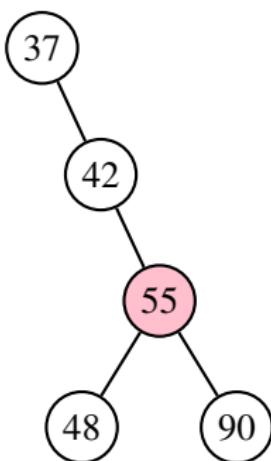
**Output:** 37, 24, 7, 2, 32, 42,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



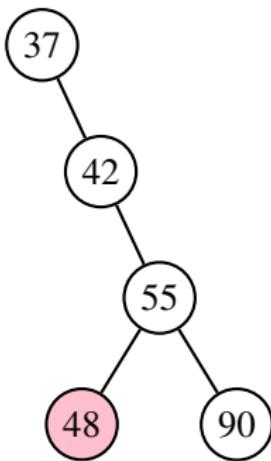
**Output:** 37, 24, 7, 2, 32, 42, 40,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```



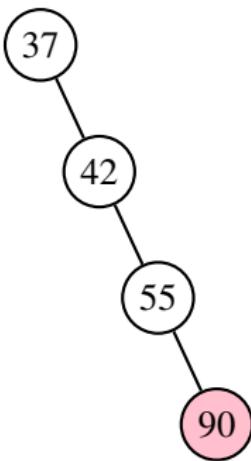
**Output:** 37, 24, 7, 2, 32, 42, 40, 55,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *))free);
```



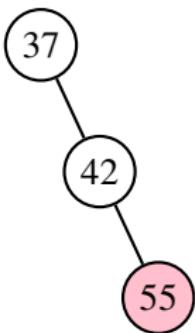
**Output:** 37, 24, 7, 2, 32, 42, 40, 55, 48,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```



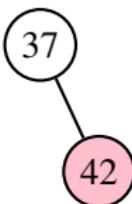
**Output:** 37, 24, 7, 2, 32, 42, 40, 55, 48, 90,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```



**Output:** 37, 24, 7, 2, 32, 42, 40, 55, 48, 90,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```



**Output:** 37, 24, 7, 2, 32, 42, 40, 55, 48, 90,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```

37

**Output:** 37, 24, 7, 2, 32, 42, 40, 55, 48, 90,

```
1 void printNode(TNode *t){  
2     printf("%d, ", t->data);  
3 }  
4 traverseTree(t, printNode, NULL, (void (*)(TNode *)) free);
```

Finish

**Output:** 37, 24, 7, 2, 32, 42, 40, 55, 48, 90.

- **Binary Search**
  - Search:  $\Theta(\log n)$ , Insertions/Deletions:  $\Theta(n)$
- **Binary Search Trees**
  - Search:  $\Theta(\log n)$ , Insertions/Deletions:  $\Theta(\log n)$  (average case).
- **Hashing**
  - Search:  $\Theta(1)$ , Insertions/Deletions:  $\Theta(1)$  (average case).