

Stacks and Queues

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- ⇒ Chap. 6: Sections 6.6 and 6.9
- ⇒ Chap. 7: Section 7.3.3 (using stacks to implement recursion)
- ⇒ Chap. 11 (applications) and 16 (implementation)

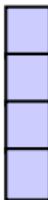
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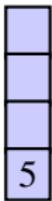
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- A stack is really a special case of the general List ADT introduced in *CompEng 2SH4*.
 - Insertions and deletions occur only at one position, called the **top** of the stack.
 - Elements are inserted or **pushed** onto the top of the stack
 - Only the most recently inserted element can be removed or **popped** from the top of the stack

Example: Consider the pseudocode:



```
1 create empty stack
2
3
4
5
```

Example: Consider the pseudocode:



```
1 create empty stack
2 push 5
3
4
5
```

Example: Consider the pseudocode:



```
1 create empty stack
2 push 5
3 push 7
4
5
```

Example: Consider the pseudocode:



```
1 create empty stack
2 push 5
3 push 7
4 push 3
5
```

Example: Consider the pseudocode:



```
1 create stack
2 push 5
3 push 7
4 push 3
5 pop          Returns 3
```

- ▶ Stacks are **LIFO** structures – **Last In, First Out**

- ‘Undo’ mechanism in text editors
- Syntax check for matching braces
 - $a\{b[c]d\}e$ //correct
 - $a\{b[c]d\}e$ // not correct;] does not match {
- Back/Forward on browsers
- Call stack (information about the active subroutines of a computer program)
- Expression evaluation
- Reversing a word
 - part \Rightarrow trap

Applications of Stack: Matching Braces

$a\{b[c]d\}e$

Character read	Stack contents
a	
{	{
b	{
[{[
c	{[
]	{
d	{
}	
e	

- **constructor** - creates a new empty stack.
- **isEmpty** - returns **true** if no element in stack, **false** otherwise.
- **push** - adds a new data item on the top of the stack.
- **pop** - returns and removes the most recently “pushed” element.
- **top** - reads and returns the most recently pushed element.

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- **top** - reads and returns the most recently pushed element.

Java Interface

```
1  public interface Stack<E>{  
2      public boolean isEmpty();  
3      public E top() throws EmptyStackException;  
4      public void push(E e);  
5      public E pop() throws EmptyStackException;  
6  }
```

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⇒ Array

- Beginning of array is bottom-of-stack.
- Use an index to indicate the top-of-stack (TOS).

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⇒ Linked-List

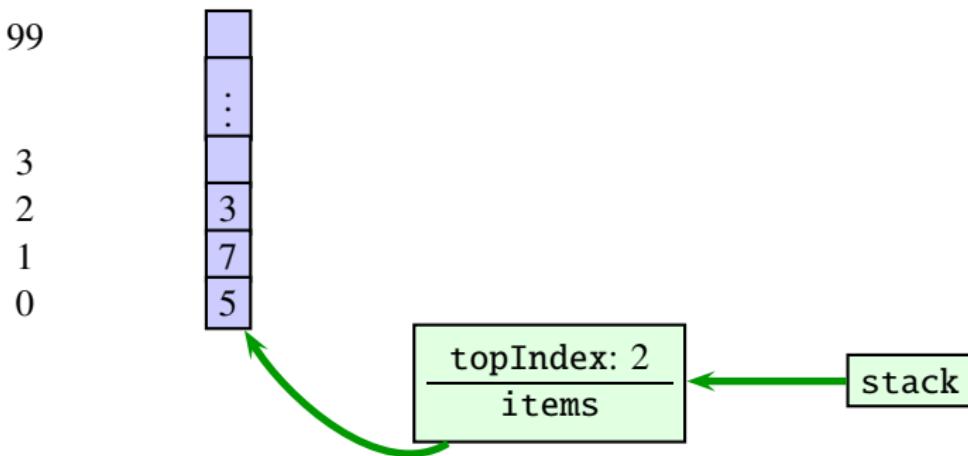
- Top-of-stack is the beginning of the linked list.

Array Implementation of Stacks

```
1  public class ArrayStack <E> implements Stack <E>{
2      private E[] items; //reference to array of objects of type E
3      private int topIndex = -1; //index of item on top of stack
4
5      //Constructors; each creates an empty stack
6      public ArrayStack(){
7          this(100);
8      }
9      public ArrayStack(int n){
10         items= (E[]) new Object[n];
11     }
12     ....
13 }
```

Example:

```
ArrayStack<Integer> stack = new ArrayStack<Integer>();  
stack.push(5); stack.push(7); stack.push(3);
```



```
1 public boolean isEmpty(){  
2     return (topIndex<0);  
3 }
```

→ Variable `topIndex` stores the array index of last element inserted into the stack.

```
1 public void push(E e){  
2     if(topIndex==items.length-1){  
3         E[] newArray = (E[]) new Object[2*items.length];  
4         for(int i=0; i<items.length; i++)  
5             newArray[i]=items[i];  
6         items=newArray;  
7     } //end if  
8     items[++topIndex] = e;  
9 }
```

- Adds the element to the stack.
 - If there is no room, a double size array is allocated and all elements are copied over.
- Notice the **pre-increment** operator.

```
1 public E top() throws EmptyStackException{
2     if(isEmpty())
3         throw new EmptyStackException("Stack underflow");
4     else
5         return(items[topIndex]);
6 }
```

- Returns only the **value** of the element which was last pushed onto the stack.
- Program throws an exception if the user tries to **top** on an empty stack.

```
1 public E pop() throws EmptyStackException{
2     if(isEmpty()){
3         throw new EmptyStackException("Stack underflow");
4     } else{
5         E tempE = items[topIndex];
6         items[topIndex --] = null;
7         return(tempE);
8     }
9 }
```

- Returns the element which was last pushed onto the stack and “removes” it from the stack (decrements `topIndex` index value).
- Throws exception if you try to `pop` on an empty stack.
- The object reference in the stack array is set to `null` to let **garbage collection** clean it up.

- `isEmpty()`, `pop()` and `top()`: $\Theta(1)$ time.
- A `push()` that does not involve doubling the array: $\Theta(1)$ time.

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- A push() that does not involve doubling the array: $\Theta(1)$ time.
- A push involving doubling the array takes $\Theta(n)$ time. Such a push was preceded by at least $n/2$ pushes that did not involve doubling the array. The $\Theta(n)$ cost of doubling can be charged over these $n/2$ easy pushes, thus effectively increasing the cost of each push by only a small amount.
 - Thus, push takes $\Theta(1)$ **amortized** running time (which means **the average cost per push in a sequence of n consecutive pushes since the stack was created**).

- In a **singly linked list** insertions and deletions **at the start** of the list are **very fast** - $\Theta(1)$ time.
- Let the **top of the stack** be the **beginning** of the list.
- We will consider the implementation **without a dummy header**.

Examples:

☞ *Empty Stack*



☞ *Stack with top-of-stack element = 7*



Recall the Stack Interface:

```
1 public interface Stack<E>{  
2     public boolean isEmpty();  
3     public E top() throws EmptyStackException;  
4     public void push(E e);  
5     public E pop() throws EmptyStackException;  
6 }
```

- Define a class to represent **nodes** in the list.

Example:

```
1  class Node<E>{  
2      E element;  
3      Node<E> next;  
4  
5      public Node(E e, Node<E> n){  
6          element=e;  
7          next=n;  
8      }  
9 }
```



```
1 public class LLStack<E> implements Stack<E>{
2     private Node<E> head;
3     public LLStack(){head = null;} //creates an empty stack
4     public boolean isEmpty(){ ... }
5     public E top() throws EmptyStackException{ ... }
6     public void push(E e){ ... }
7     public E pop() throws EmptyStackException{ ... }
8 }
```

```
1 public boolean isEmpty(){  
2     return(head == null);  
3 }
```

- The stack is empty if there are no nodes.
- Run time: $\Theta(1)$

```
1 public void push(E e){  
2     head = new Node<E>(e, head);  
3 }
```

- push is an insertion at the beginning of the linked list.
- $\Theta(1)$ running time.

```
1 public E pop() throws EmptyStackException{
2     if(isEmpty())
3         throw new EmptyStackException("Stack Underflow");
4     else {
5         E e = head.element;           //Element to pop
6         head = head.next;           //Bypass first node
7         return e;
8     }
9 }
```

- pop deletes the first node of the linked list and returns the element stored there.
- $\Theta(1)$ running time.

```
1 public E top() throws EmptyStackException{
2     if(isEmpty())
3         throw new EmptyStackException("Stack Underflow");
4     else {
5         return(head.element);
6     }
7 }
```

- Same as pop except that it does not remove an element from the list.
- $\Theta(1)$ running time.

⇒ **Time:**

- new commands are typically more expensive operations than arithmetic operations or assignments (in terms of time to execute).
- Although both array and linked-list implementations are $\Theta(1)$ for pop and push (when no array doubling is involved), the **constant is likely larger** for the **linked-list implementation**.

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⇒ **Space:**

- The linked-list implementation requires extra memory - beside stack items it also stores references (links) to list nodes.
- The array implementation also requires extra memory - to store the stack items (since a higher capacity array is allocated to have room for future pushes).

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 - In a sequence of method calls the **last** method invoked is the **first** one to return - LIFO structure.
- An activation record (AR) for a method call stores parameters, other local variables, program pointer position after returning.
- When a method is **invoked** an activation record (AR) is **pushed** onto the stack.
- When the method **returns**, an AR is **popped** off the stack.

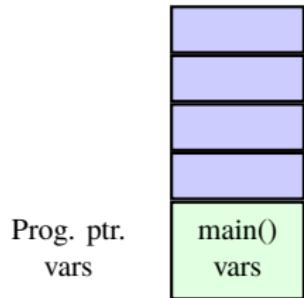
Example: Compute 4! using

```
1 public int fact (int n){  
2     if(n<=1)  
3         return(1);  
4     else  
5         return(n* fact(n-1));  
6 }
```

⇒ What happens when we call `fact(4)` ?

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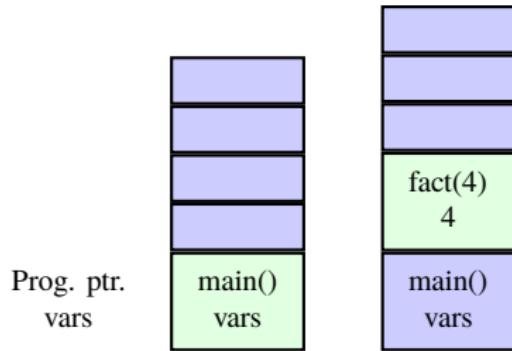
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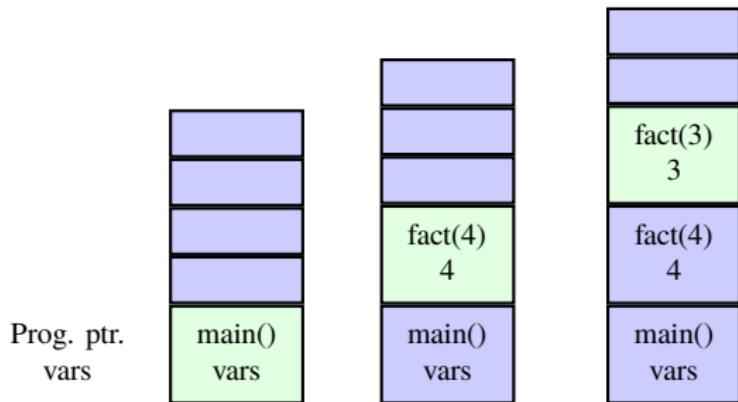
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Call `fact(2)`

Call `fact(1)`



Stack Application: Implementing Function Calls (2)

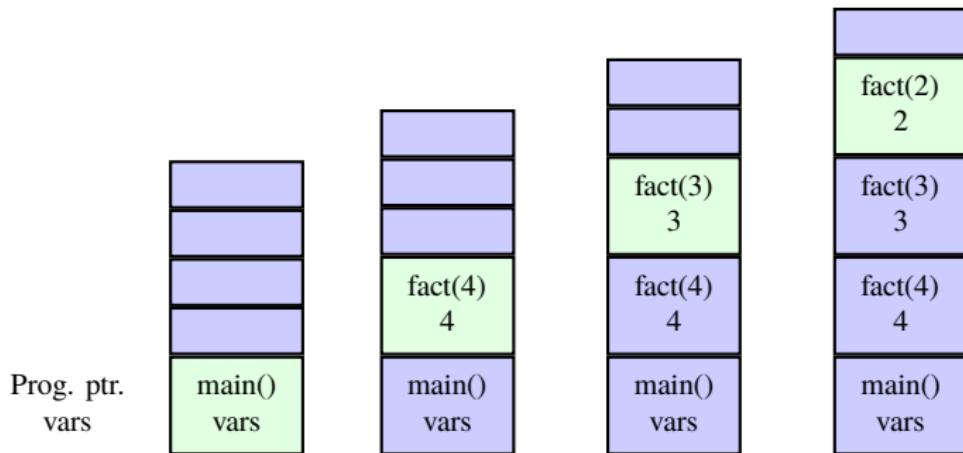
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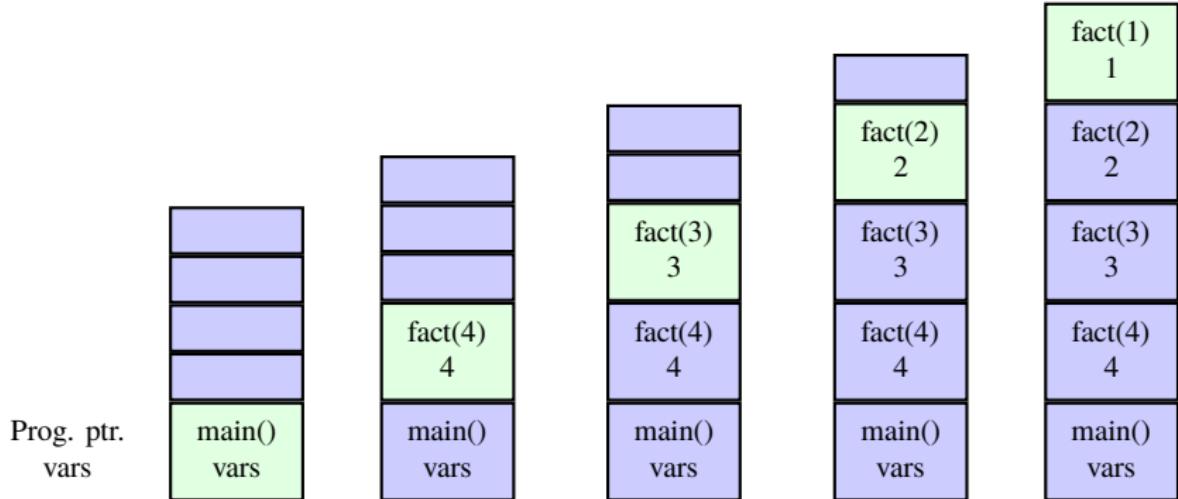
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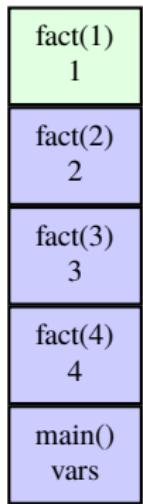
Call `fact(2)`

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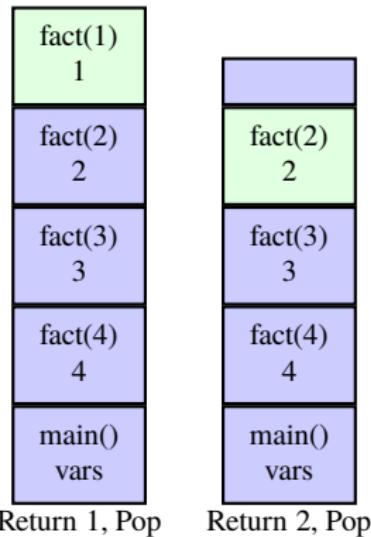
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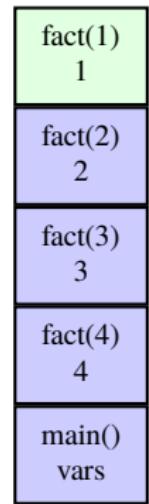


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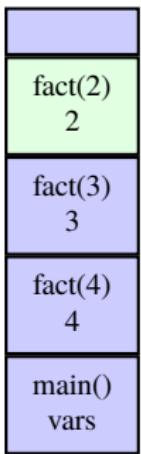
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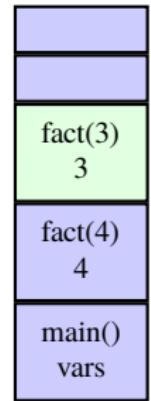
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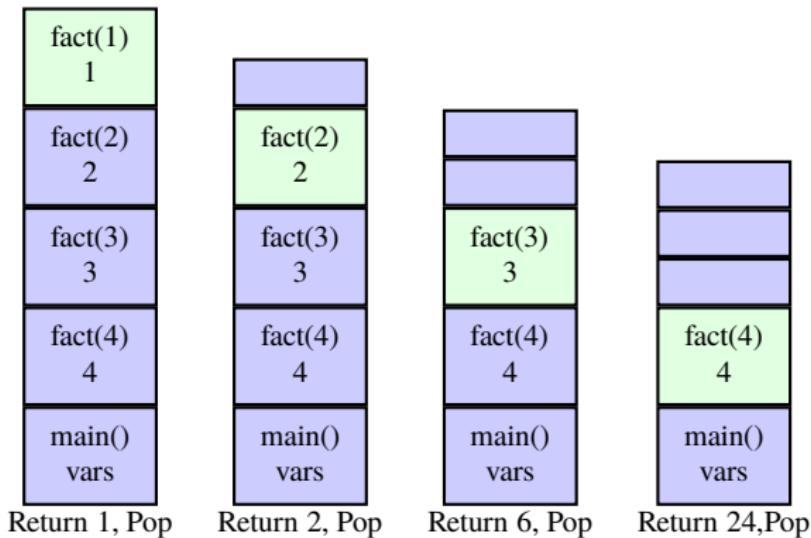


Return 2, Pop

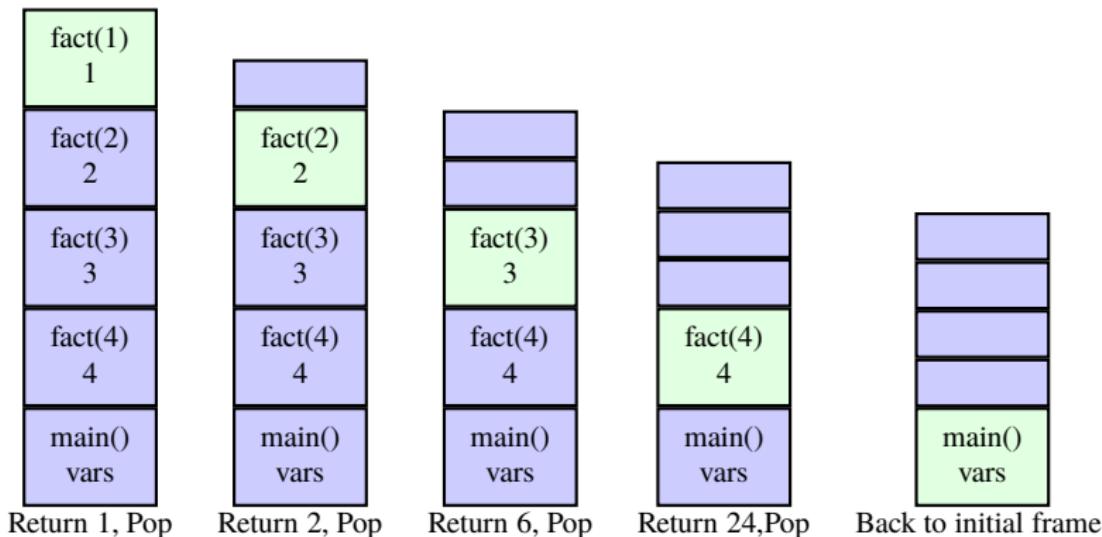


Return 6, Pop

Now, when the functions return ...



Now, when the functions return ...



- In some high-speed systems, these stacks have limited error checking and if you exceed the stack capacity (a.k.a **blow the stack**), which can result in computer instability.
 - ⇒ A recursive function which calls itself many times (Example: `fact(10000)`)
 - ⇒ Passing very large arrays by value

Types of notation to illustrate arithmetic expressions:

- **Infix** notation (operator **between** operands)

→ $1 + (2 * 3)$

- **Prefix** notation (operator **before** operands)

→ $+ 1 * 2 3$

- **Postfix** notation (operator **after** operands)

→ $1 2 3 * +$

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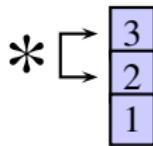
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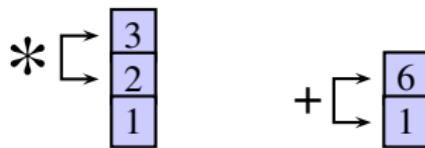
→ Can be evaluated using a **stack** !

- Postfix expressions can be evaluated using a stack.
- The expression is a sequence of **operands** and **operators**. Assume operators are binary.
- The sequence is scanned from left to right.
- When an **operand** is seen it is **pushed** onto the stack.
- When an **operator** is seen, two operands are **popped** from the stack. The operator is **evaluated**. The result is **pushed** back.
- When **done**, stack should contain only one item - the **final result**.
 - Example: 1 2 3 * +

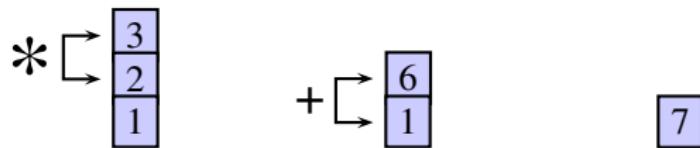
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Stack Application: Postfix Calculator (3)

```
1 String input="1 2 3 * +";    //assume operands are integers
2
3 StringTokenizer st =new StringTokenizer(input); String s;
4
5 Integer x,y,result;
6
7 Stack<Integer> stk = new Stack<Integer>();
8 while(st.hasMoreTokens()){
9     s = st.nextToken();
10    if(s.equals("+") || s.equals("-") || s.equals("*")
11        || s.equals("/")){
12        x = stk.pop(); y = stk.pop();
13        switch(s.charAt(0)){
14            case '+':
15                stk.push(y+x); break;
16            case '-':
17                stk.push(y-x); break;
18        }
19    }
20 }
```

Stack Application: Postfix Calculator (4)

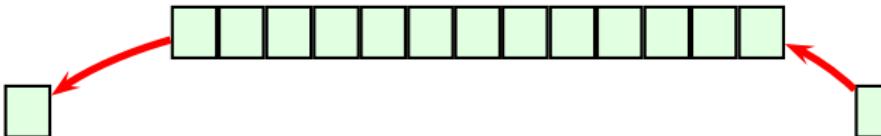
```
1     case '*':
2         stk.push(y*x); break;
3     case '/':
4         stk.push(y/x); break;
5     } //end switch
6
7 } else //token is string representing an integer
8     stk.push(new Integer(s));
9 }
10 result = stk.pop();
11 }
```

- The **queue** is a fundamental abstract data type used in operating systems, networks and in simulators.
- Supports insertions and deletions according to the **First In, First Out** principle.
- A model for a (fair!) **waiting line**.

- Queue ADT - Definition:

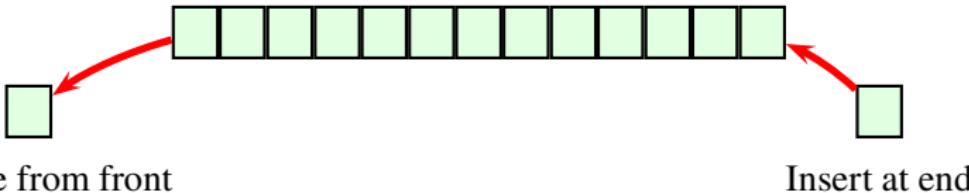
- Description of data: a collection of items
- Description of operations:
 - **enqueue**: insert a new item.
 - **dequeue**: remove the item which has been in the queue for the longest time.

Queue Definition



Remove from front

Insert at end



- Queues are **FIFO** structures – **First In, First Out**
- These data structures are good models of people waiting in line (with no cutting in line!)
"First come, First serve".
- A queue is a special case of a list where
 - Insertions occur only at the **end** of the list (enqueue).
 - Deletions occur at the **front** of the list (dequeue).

- Serving requests on a single shared resource, like a printer
- CPU task scheduling
- Call Center phone systems uses Queues to hold people calling them in an order
- Sending and receiving data through TCP/UDP protocols
- Buffers on MP3 players

- **constructor** - creates a new empty queue.
- **getSize** - returns the number of elements in the queue.
- **isEmpty** - returns TRUE if no element in the queue, FALSE otherwise.
- **isFull** - returns TRUE if the maximum queue capacity is reached (for the case with max capacity)
- **enqueue** - inserts a new data item.
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```
1 public interface Queue<E>{  
2     public int getSize();  
3     public boolean isEmpty();  
4     public boolean isFull();  
5     public void enqueue(E e) throws FullQueueException;  
6     public E dequeue() throws EmptyQueueException;  
7 }
```

How can you implement a queue efficiently?

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- ⇒ Using an array.
 - Circular array implementation.

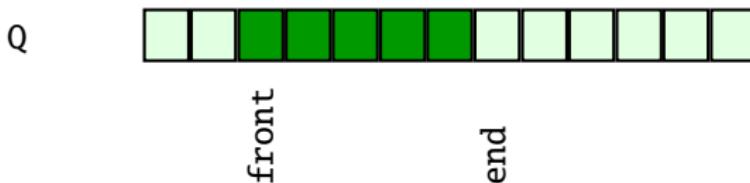
How can you implement a queue efficiently?

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- ⇒ Using a linked-list
 - Insert elements at the end of the list, and remove elements from front of the list.

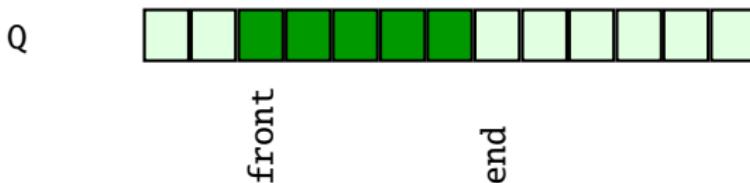
Array Implementation of Queues

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- Define two indices:
 - end – indicates the end of the queue (index of **next available** position in array).
 - ⇒ when enqueueing **increment** end.
 - front – to store the array index of first queue element.
 - ⇒ when dequeuing **increment** front.



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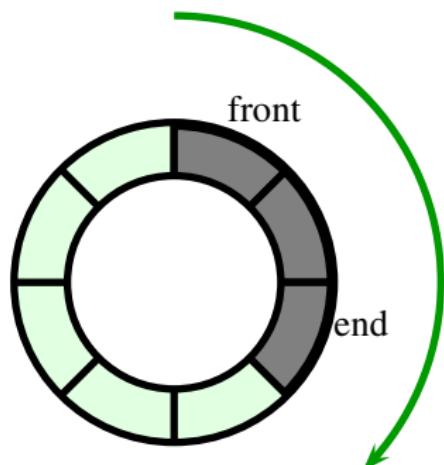
Answer: **Circular Queue** (or more precisely Circular Array Implementation of the Queue ADT)

- Imagine that the array is circular, i.e.,
index 0 is the next index after $Q.length - 1$.
- Increment front or end **modulo** $Q.length$

⇒ $k = (k+1) \% Q.length$

⇒ **Example:** Say $Q.length = 5$.

If $k=4$, then $(k+1) \% 5 = 0$,
i.e., the index has wrapped around the
end of the array.



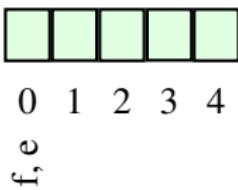
- The queue is **empty** when `front==end`.

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- The queue is **full** when the number of items equals `Q.length-1`. Thus, in a full queue one array location is unused.
 - ⇒ If we allowed the queue to fill the whole array, then for a full queue we would also have `front==back` and we could not distinguish between a full and an empty queue.
 - ⇒ We will discuss later implementations that do not waste one array location.

Array Implementation of Queues

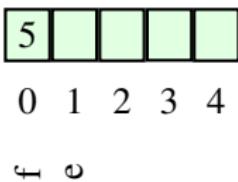
```
1  public class ArrayQueue<E> implements Queue<E>{
2      public static final int CAPACITY = 1000; // default array length
3      private E[] Q; // ref to array of objects of type E
4      private int front = 0; // indicates front of queue
5      private int end = 0; // indicates next position after end of queue
6      // Constructors
7      public ArrayQueue(){
8          this(CAPACITY);
9      }
10
11     public ArrayQueue(int cap){
12         Q = (E[]) new Object[cap];
13     }
14     .....
15 }
```

```
1  ArrayQueue<Integer> q = new ArrayQueue<Integer>(5);
2  Integer val;
3  q.enqueue(5); q.enqueue(7);
4  q.enqueue(3); q.enqueue(2);
5  val = q.dequeue(); val=q.dequeue();
6  q.enqueue(8); q.enqueue(9);
```



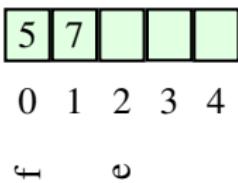
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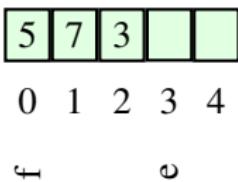
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0 1 2 3 4

front

end

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0 1 2 3 4

e f

```
1 public boolean isEmpty(){  
2     return(front == end);  
3 }
```

- The queue is empty when the front and back indices are equal

```
1 public int getSize(){  
2     if (front <= end)  
3         return (end-front);  
4     else  
5         return (Q.length+end-front);  
6 }
```

- The number of elements between the indices is the number of elements in the queue.
- In second case, add `Q.length` to ensure that the result is non-negative

```
1 public boolean isFull(){  
2     return getSize() == Q.length-1;  
3 }
```

- Returns `true` if queue is full.
- Queue is full if it contains `Q.length-1` items.

```
1 public void enqueue(E e) throws FullQueueException{  
2     if(isFull())  
3         throw new FullQueueException("Queue overflow");  
4     else{  
5         Q[end]=e;  
6         end = (end+1) % Q.length;  
7     }  
8 }
```

- Adds the element to the queue and increments the `end` index with “wraparound”.
- The modulo operation can be expensive and so it may be faster to do a comparison rather than a modulo in some systems, i.e., you can replace Line 6 with:

```
if(++end >= Q.length)  
    end = 0;
```

```
1 public E dequeue() throws EmptyQueueException{
2     E e;
3     if(isEmpty())
4         throw new EmptyQueueException("Error: queue underflow!");
5     else{
6         e = Q[front];
7         Q[front]=null;           // Explicit Nulling
8         if(++front == Q.length)
9             front = 0;
10        return(e);
11    }
12 }
```

- Returns the element which was in the queue for the longest time and increments the front index with wraparound.
- The method throws an exception if you try to get an element from an empty queue
- Using a conditional statement may be faster than using a modulo operation

- Notice that enqueue and dequeue routines are very fast - $\Theta(1)$ running time.
- To allow the queue to grow above the initial capacity, use dynamic array expansion (like for stacks) - enqueue takes $\Theta(1)$ **amortized** running time.

- ⇒ In the implementation presented here, a full queue uses the whole array except for one location.
- ⇒ **Question:** Can we avoid this waste of space?

- In the implementation presented here, a full queue uses the whole array except for one location.
- **Question:** Can we avoid this waste of space?
 - Replace the check for an empty queue by `Q[front]==null` and for a full queue by `front==end && Q[front] != null` - this solution does not work with an array of primitive variables
 - Beside `front` and `end` also maintain a variable `queueSize` to store the number of items in the queue - update its value as appropriate after each queue operation.
 - Maintain only `queueSize` and `end`. To dequeue, compute the array index where the first item is stored, as
$$(\text{end} \geq \text{queueSize}) ? (\text{end} - \text{queueSize}) : (\text{end} - \text{queueSize} + \text{Q.length})$$

- You can implement a queue using a linked list with or without a header “dummy” node.
- Insertions are done at the end of the list and deletions are done at the start of the list.
- However, to enqueue, we need to traverse the whole list to find the end : takes $\Theta(n)$ operations.

Example: Suppose we already have a linked list and want to find the end of the list.

```
for(Node<E> p = q ; p.next ; p=p.next);
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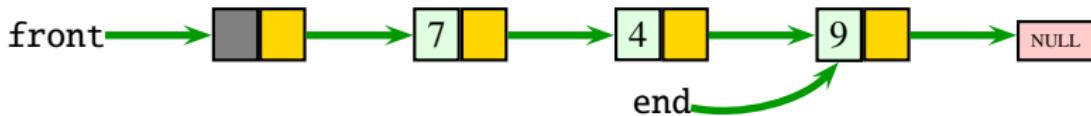
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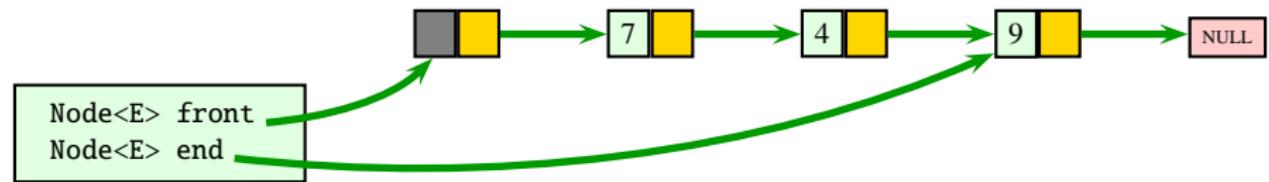
- To speed up the enqueue operation, we can add an additional reference to the end of the linked list to make insertions faster.
 - This does not impact how dequeue works
 - Can add an element to the queue in $\Theta(1)$ time (at the end of the linked list).



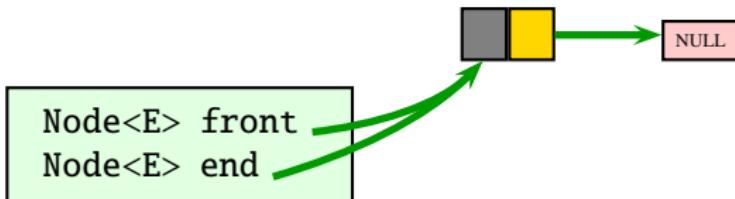
Linked-List Implementation of Queues (6)

```
1 public class LLQueue<E> implements Queue<E>{
2     private Node<E> front;
3     private Node<E> end;
4
5     public LLQueue(){ front=end= new Node<E>(null,null); }
6     public int getSize(){ ... }
7     public boolean isEmpty(){ ... }
8     public void enqueue(E e){ ... };
9     public E dequeue() throws EmptyQueueException{ ... };
10 }
```

Linked-List Implementation of Queues (7)



```
public LLQueue(){ front=end= new Node<E>(null,null); }
```



- Allocate memory for the dummy header node.
- Let `front` and `end` reference the header.
- Queue is empty: references `front` and `end` coincide.

```
1 public boolean isEmpty(){  
2     return(front == end);  
3 }
```

- The queue is empty when references `front` and `end` coincide.
- The queue is never full, i.e., our implementation does not put a limit on the size of the queue (but there is always a practical limit).

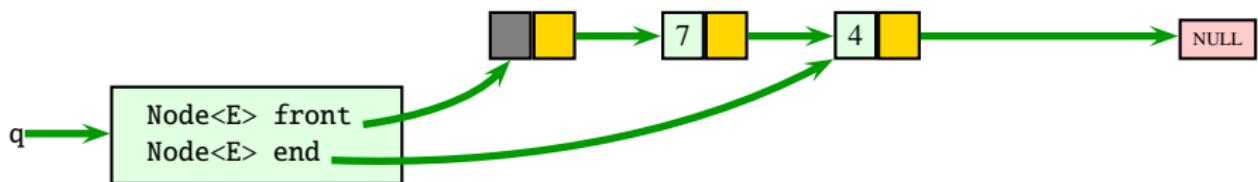
```
1 public int getSize(){
2     int size=0;
3     Node<E> p=head;
4     while(p.next!=null){ //while p does not point to last node
5         p=p.next;           //advance and increment size
6         size++;
7     }
8     return size;
9 }
```

- Simply traverse the linked list counting how many nodes visited until you fall off the end.
- This is a relatively expensive operation – $\Theta(n)$

Linked-List Implementation of Queues – enqueue

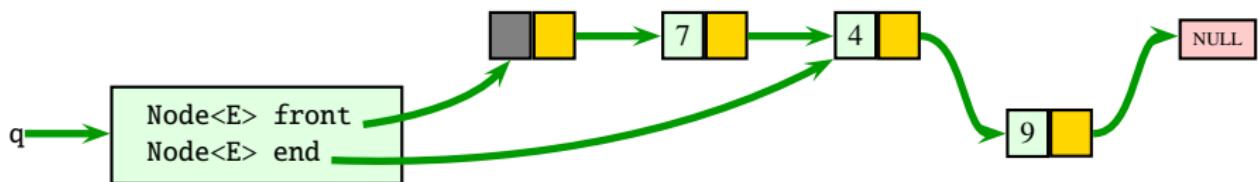
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2     end.next = new Node<E>(e, null);  
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```

Example: q.enqueue(new Integer(9));



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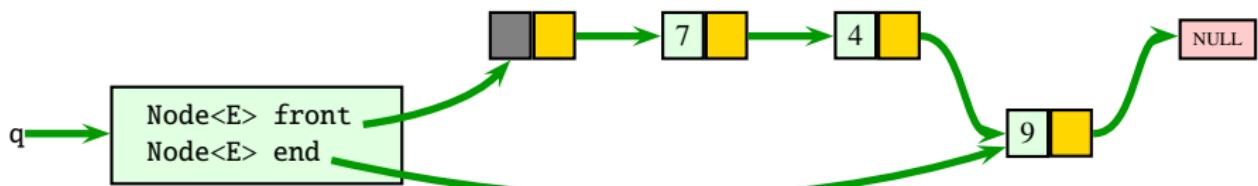
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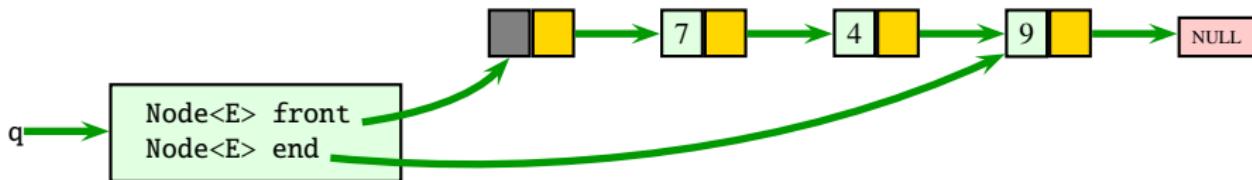
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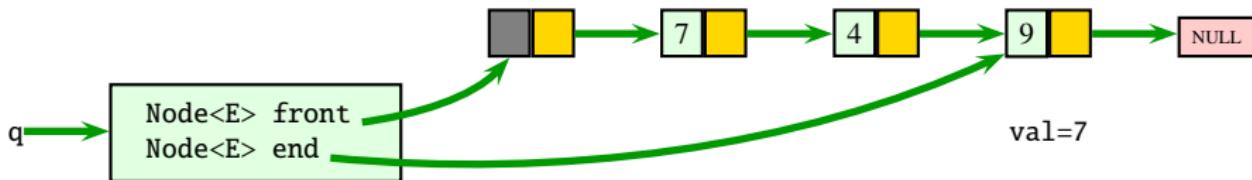


```
1 public E dequeue() throws EmptyQueueException {
2     if(isEmpty())
3         throw new EmptyQueueException("Queue underflow");
4     else{
5         if(end == front.next) //Single element in queue
6             end = front;
7         E val = front.next.element;
8         front.next = front.next.next; //Bypass first node
9         return(val);
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```



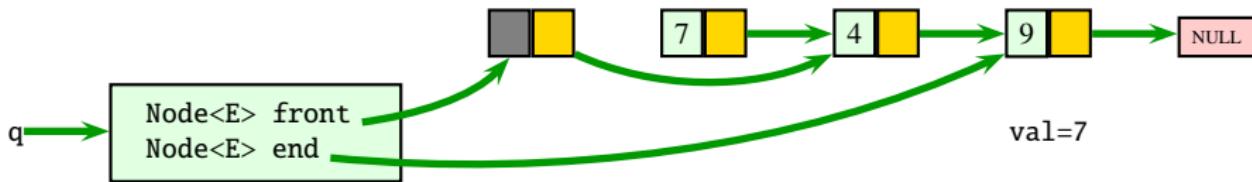
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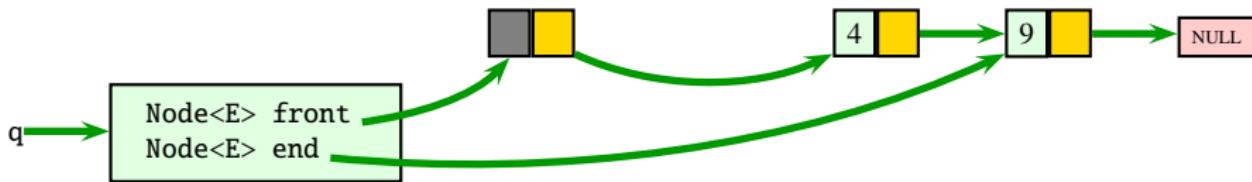


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- Never have overflow in circular queues
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■► **Linked-List Implementation**

- Can never have a “full” queue, at least not by the design of the implementation
 - Requires overhead of storing pointers in each node
- In both cases, insertions and deletions from the queue occur in $\Theta(1)$ operations.

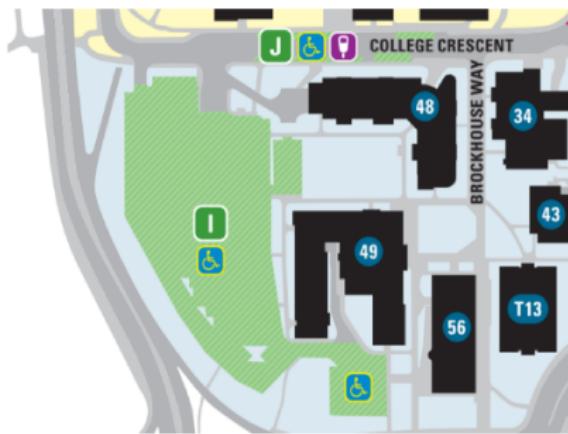
This is a specific type of queue in which the elements have different **priority**.

Operations:

- constructor
- isEmpty
- isFull
- enqueueP - adds an element with a given priority to the queue
- dequeueP - returns and removes the **highest priority** element from the queue

Priority Queues – Real Life Example

- McMaster parking waiting list for Lot I
 - Priority
 - Faculty
 - Staff
 - University start date



Wait Lists			
Request Date	Status	Type / Location	Position
09/01/2020	Waiting	Lot I	21

Printer Queue

- ⇒ Simply putting all submitted jobs on a queue might not be a good idea
- ⇒ Suppose submit a 100 page job and then several 1 page jobs
- ⇒ Might be reasonable to print small jobs first and then the large job

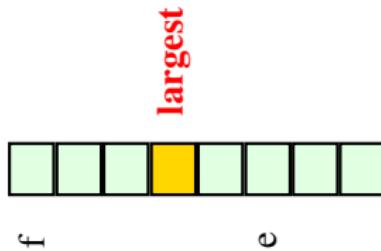
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Multitasking Operating System

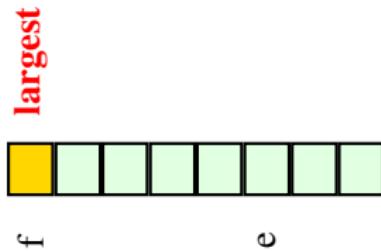
- ⇒ In multitasking OSs, like UNIX, a **scheduler** determines when individual processes execute.
- ⇒ Each process has an assigned priority and placed on in a priority queue
- ⇒ The scheduler gives high priority processes a greater proportion of processor time
 - For example: The task which handles user input or network traffic may have a higher priority than your email client.

Example: Using an unsorted array



- ⇒ enqueueP: $\Theta(1)$ (add to end)
- ⇒ dequeueP: $\Theta(n)$ (to search, delete and then shift elements)

Example: Using a sorted array



- ⇒ enqueueP: $\Theta(n)$ – need to insert at proper spot in the array
 - ☞ $\Theta(\log n)$ to find spot, $\Theta(n)$ to shift elements to make room.
- ⇒ dequeueP: $\Theta(1)$ – always at the front of the list.

In either array or linked-list implementations, there is a trade-off between the performance of **searching** and **insert** (e.g., priority queue).

- ⇒ In lists we have found that insertions can be easy at the expense of complicated searching.
- ⇒ If you want good search performance in a list, insertions are difficult
 - e.g., binary search on a sorted list

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 - e.g., binary search on a sorted list

Next, we will consider a data structure which permits both ...

Trees