

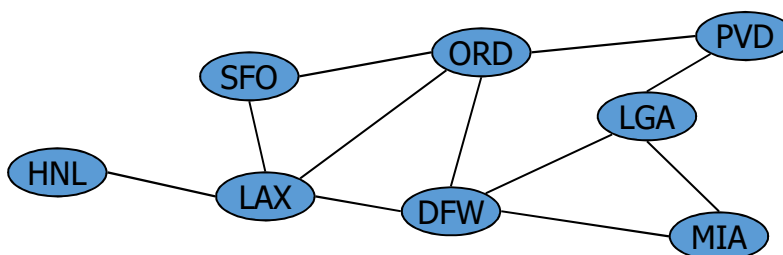
Chapter 3: Decomposition of graphs

3.1 Introduction to graph

3.1.1 Basic concepts

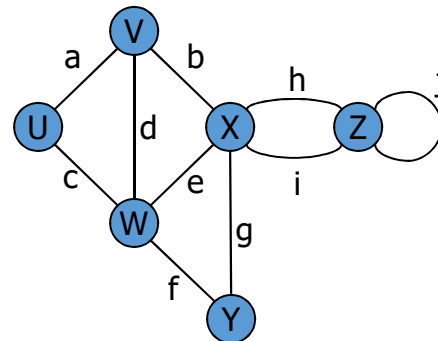
Graphs

- A graph $G(V, E)$ consists a set vertices V and a set of edges E .
- For instance, the graph below represents direct flight routes between some airports.



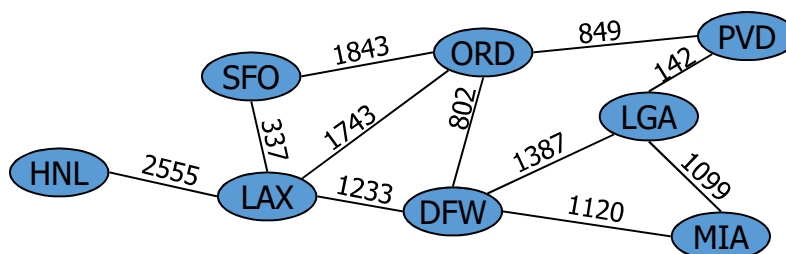
Some terminologies

- **Adjacent vertices:** U and V are adjacent
- **Edge incident(s) on a vertex:** a, d, and b are incidents on V
- **Degree of a vertex:** V's degree is 3
- **Parallel edges:** h and i are parallel edges
- **Self-loop:** j is a self-loop
- **Path:** V-U-W-Y is a path between V and Y
- **Cycle:** a path its starting and ending vertices are the same U-V-W-U
- **Connected:** if between any two vertices there is a path



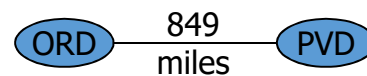
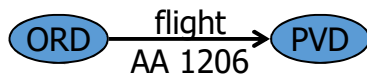
Weighted and unweighted graphs

- A graph is weighted if each of its edge is associated with a numeric weight. Otherwise, it is unweighted.



Directed and undirected graphs

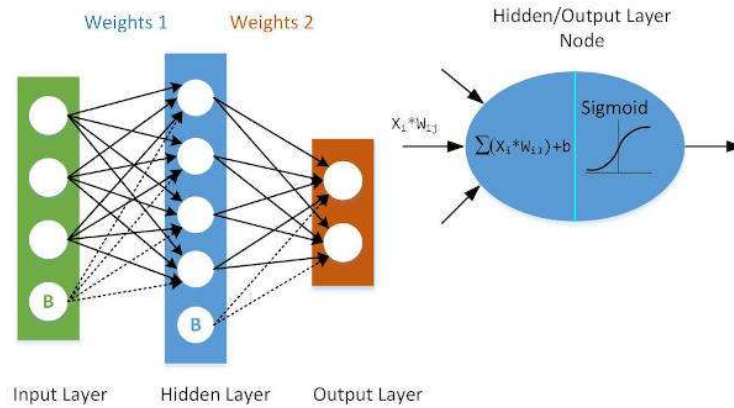
- A graph can be directed or undirected.
- For example: the flight AA 1206 is from ORD to PVD, and the distance between ORD and PVD is 849 miles.



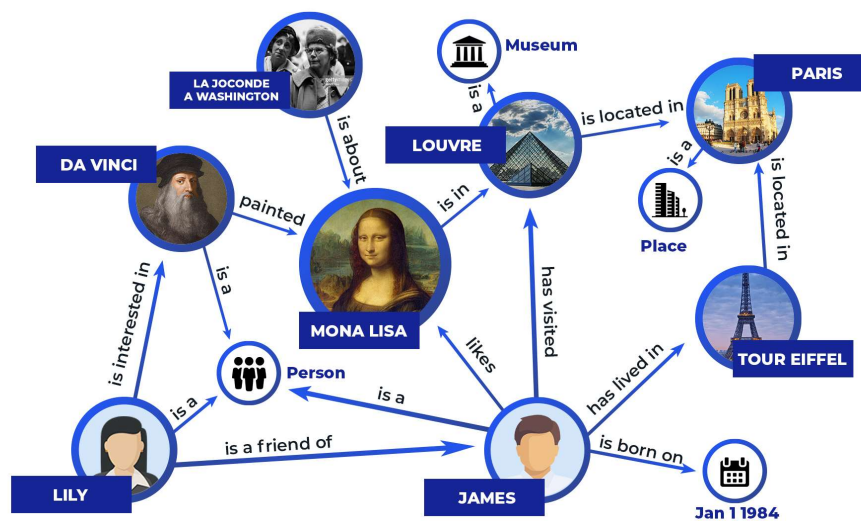
Broad applications of graph

- A wide range of problems can be expressed as graphs with clarity and precision. For instances,
 - Transportation networks, computer and communication networks, and social network
 - Databases entity-relationship diagram
 - Printed circuit board
 - Artificial neural network (ANN)
 - Knowledge graph
 - Probabilistic graphic model
 - etc.

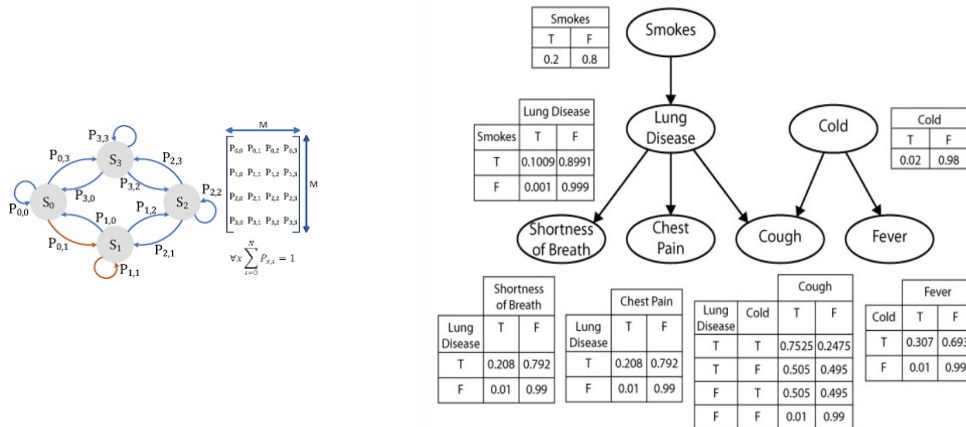
Artificial neural network



Knowledge graph



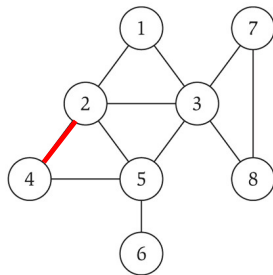
Markov chain and probabilistic graphic model



3.1.2 Graph representations

Graph adjacency matrix representation

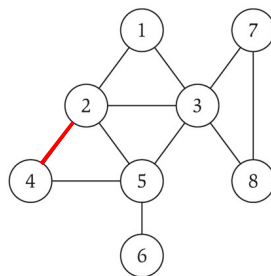
- A graph is a relation on the set of vertices.
- An n -vertex graph can be represented as an $n \times n$ adjacency matrix.



	1	2	3	4	5	6	7	8
1	0	1	1	0	0	0	0	0
2	1	0	1	1	1	0	0	0
3	1	1	0	0	1	0	1	1
4	0	1	0	0	1	0	0	0
5	0	1	1	1	0	1	0	0
6	0	0	0	0	1	0	0	0
7	0	0	1	0	0	0	0	1
8	0	0	1	0	0	0	1	0

Pros and cons of matrix representation

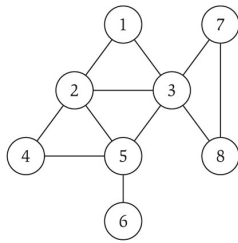
- Pros: Easy to understand; $O(1)$ to locate an edge
- Cons: Spatial complexity $O(n^2)$; Waste memory spaces for graphs sparsely connected.



	1	2	3	4	5	6	7	8
1	0	1	1	0	0	0	0	0
2	1	0	1	1	1	0	0	0
3	1	1	0	0	1	0	1	1
4	0	1	0	0	1	0	0	0
5	0	1	1	1	0	1	0	0
6	0	0	0	0	1	0	0	0
7	0	0	1	0	0	0	0	1
8	0	0	1	0	0	0	1	0

Adjacency list representation

- For each vertex, we associate it with its adjacency list.
- Pros. Reduced spatial complexity for an m -edge n -vertex graph from $O(n^2)$ to $O(m + n)$
- Cons. Checking if (u, v) is an edge takes $O(\deg(u))$ rather than $O(1)$ time.



1	2	3				
2	1	3	4	5		
3	1	2	5	7	8	
4	2	5				
5	2	3	4	6		
6	5					
7	3	8				
8	3	7				

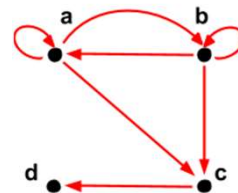
Highest
degree
of
adj.
list

	1	2	3	4	5	6	7	8
1	0	1	1	0	0	0	0	0
2	1	0	1	1	1	0	0	0
3	1	1	0	0	1	0	1	1
4	0	1	0	0	1	0	0	0
5	0	1	1	1	0	1	0	0
6	0	0	0	0	1	0	0	0
7	0	0	1	0	0	0	0	1
8	0	0	1	0	0	0	1	0

Example: representations of digraph

- Adjacency list representation:

```
graph = { 'a': ['a', 'b', 'c'],
          'b': ['a', 'b', 'c'],
          'c': ['d'],
          'd': [ ] }
```



- Matrix representation:

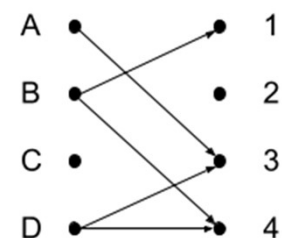
$$Graph = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Python built-in datatype `dict`

- Python built-in datatype dictionary `dict` is ready for graph.
- A dictionary in Python contains a collection of key-value pairs placed inside curled braces `{ }`, separated by a comma.
- Each key-value pair in a Python dictionary specifies a relation, and is separated by a colon as `key : value`
- A dictionary looks like the follow:
`d = {key1 : value1, key2 : value2 , key3 : value3 }`
- Let us implement a sample bipartite graph as an object of Python dictionary.

A sample bipartite graph as a Python dictionary

```
>>> g = {'A':3, 'B':{1, 4}, 'D':{3,4}}
>>> type(g)
<class 'dict'>
>>> len(g)
3
>>> g
{'A': 3, 'B': {1, 4}, 'D': {3, 4}}
>>> g.keys()
dict_keys(['A', 'B', 'D'])
>>> g.values()
dict_values([3, {1, 4}, {3, 4}])
```



Using key to retrieve a value from a dictionary

- One **cannot** use an index to retrieve a value of a dictionary.
Instead, one should use key to retrieve a value as the follow:

```
dictionary_name[key]
```

- Example:

```
>>> for key in g:
    print(key, g[key])
```

```
A 3
```

```
B {1, 4}
```

```
D {3, 4}
```

Adding a key-value pair a dictionary

- Dictionaries are mutable objects. You can add a new key-value pair to a dictionary with an assignment statement as:

```
dictionary_name[key] = value
```

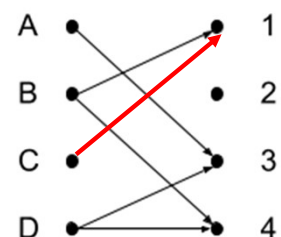
- Example: adding the edge (C, 1) in the graph

```
>>> g['C'] = 1
```

```
>>> g
```

```
{'A': 3, 'B': {1, 4}, 'D': {3, 4}, 'C': 1}
```

```
>>>
```



Graph as an ADT (abstract data type)

- For a simple graph, one may hand code it easily as we just did.
- However, in general, we need an abstract data type (ADT).
- The script `graph_adj_dict.py` is an example.

```
class Vertex:
    def __init__(self, name):
        self.name = name
        self.neighbors = set()

    def add_neighbor(self, v):
        if v_name not in self.neighbors:
            self.neighbors = self.neighbors | {v}
```

builds adj. list!
the '|' is Union

Python implementation (cont.)

```
class Graph:
    graph = {}
    def add_vertex(self, vertex):
        if isinstance(vertex, Vertex) and \
            vertex.name not in self.graph:
            self.vertices[vertex.name] = vertex
```

continued in the next page

Adjacency list: Python implementation

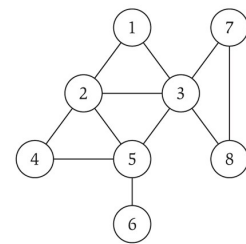
#continued from previous page

```
def add_edge(self, u, v):
    if u in self.graph and v in self.graph:
        for key, value in self.graph.items():
            if key == u:
                value.add_neighbor(v)
            if key == v:
                value.add_neighbor(u)

def print_graph(self):
    for key in sorted(list(self.vertices.keys())):
        print(key + str(self.vertices[key].neighbors))
```

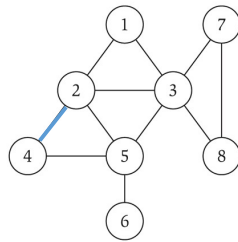
Test it with the sample graph

```
def main():
    g = Graph()
    for i in range(1, 9):
        g.add_vertex(Vertex(str(i)))
    edges = ['12', '13', '23', '24', '25', '35', \
            '37', '38', '45', '56', '78']
    for edge in edges:
        g.add_edge(edge[:1], edge[1:])
    g.print_graph()
main()
```



Hands on

- [Run the test program](#) `graph_adj_dict.py`;
- Visualize the execution, if you have question, through <https://goo.gl/sQtVyl>, and
- Compare the output with the graph in adjacency list



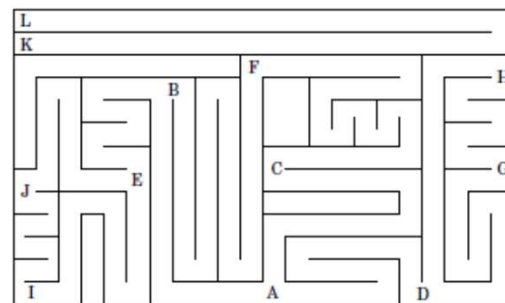
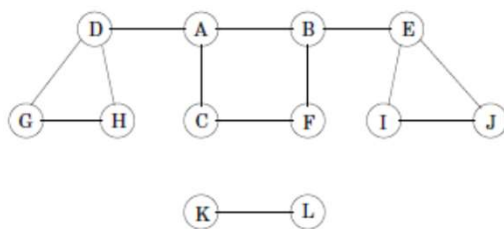
1	2	3					
2	1	3	4	5			
3	1	2	5	7	8		
4	2	5					
5	2	3	4	6			
6	5						
7	3	8					
8	3	7					

3.2 Depth-First Search (DFS) and applications

Graph traversal and reachability

- Graph traversal: a *systematic procedure* that *exploring a graph* by examining all of its vertices and edges.
- Graph traversal algorithms are key to answering many fundamental questions about graphs involving the notion of **reachability**, that is, in determining how to travel from one vertex to another while following paths of a graph.

Exploring a graph is like navigating a maze



3.2.1 Depth First Search (DFS) algorithm

Depth first search

- Depth-first search (DFS) is a graph traversal algorithm.
- The algorithm starts at some arbitrarily selected node as the root node first
- Then it explores the graph as far as possible along each branch before backtracking.

DFS from v to all nodes reachable in G

procedure `explore(G, v)`

Input: $G = (V, E)$ is a graph; $v \in V$

Output: `visited(u)` is set to true for all nodes u reachable from v

`visited(v) = true`

`previsit(v)` - *label*

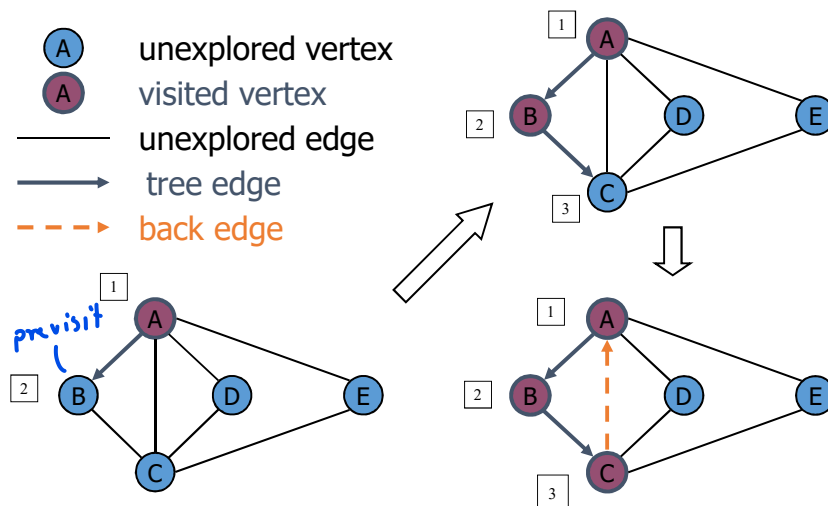
for each edge $(v, u) \in E$:

 if not `visited(u)`: `explore(u)`

`postvisit(v)`

✓

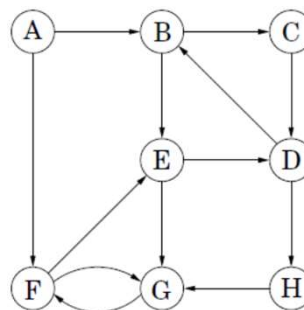
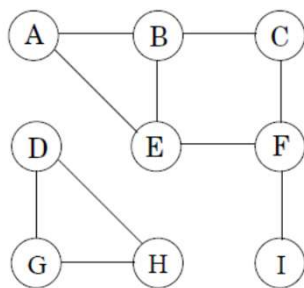
DFS illustrated: `explore(G, A)`



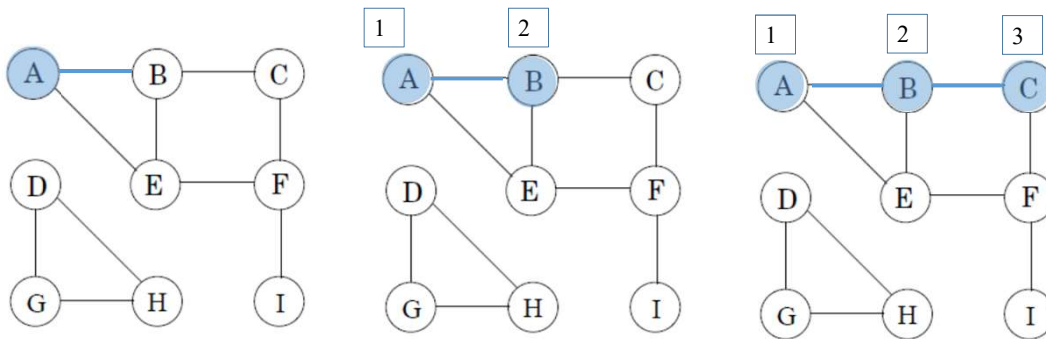
DFS in $O(|V| + |E|)$

- Setting/getting a vertex/edge label takes $O(1)$ time
- Each vertex is labeled twice
 - once as UNEXPLORED
 - once as VISITED
- Each edge is labeled twice
 - once as UNEXPLORED
 - once as TREE or BACK
- DFS runs in $O(|V| + |E|)$ time provided the graph is represented by the adjacency list structure

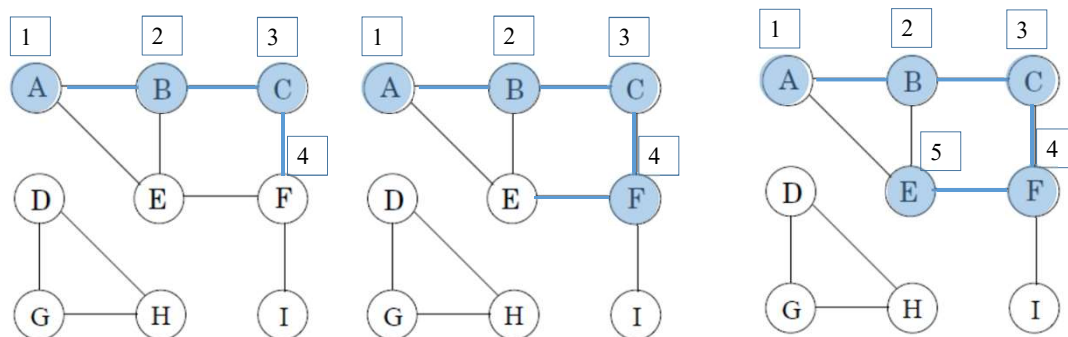
DFS exercises: page.101: 3.1 and 3.2(a)



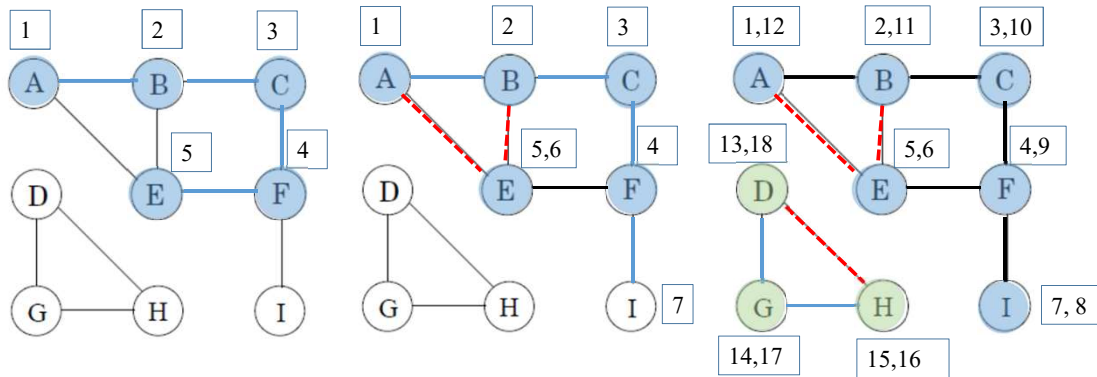
DFS exercise: 3.1 solution



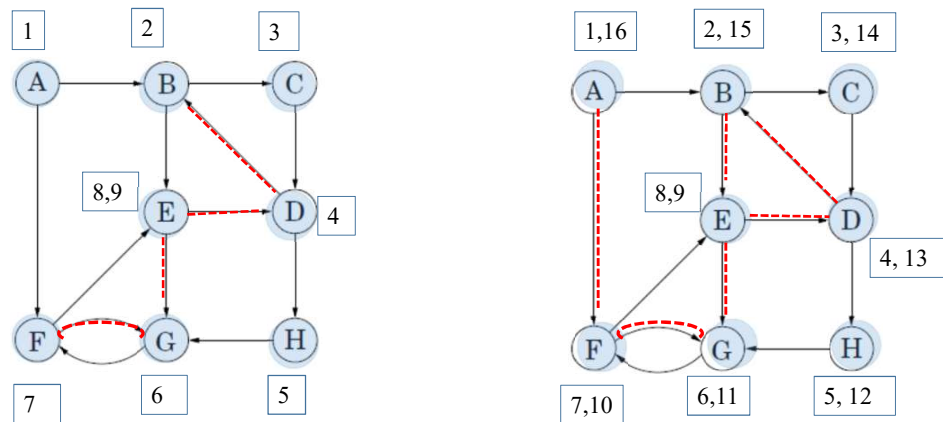
DFS exercises: page.101: 3.1



DFS exercise 3.1 solution (continue)



DFS exercise: 3.2(a) solution:



3.2.2 DFS in Python

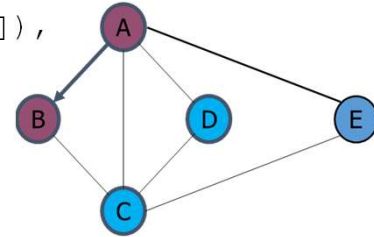
Script: dfs_recursive.py

```
def dfs(graph, start, visited=None):
    global t
    t += 1
    print('DSF called ', t, 'times.')
    if visited is None:
        visited = set()
    visited.add(start)
    for key in graph[start] - visited:
        dfs(graph, key, visited)
    return visited
```

Apply it

```
graph = {'A': set(['B', 'C', 'D', 'E']),
        'B': set(['A', 'C']),
        'C': set(['A', 'B', 'D', 'E']),
        'D': set(['A', 'C']),
        'E': set(['A', 'C'])}
```

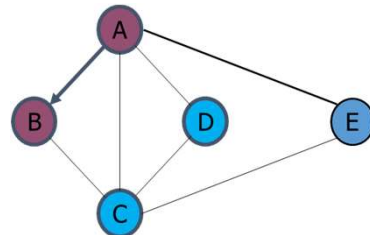
```
t = 0
v = dfs(graph, 'A')
```



You may visualize step-by-step execution via <https://goo.gl/XZ25DT>

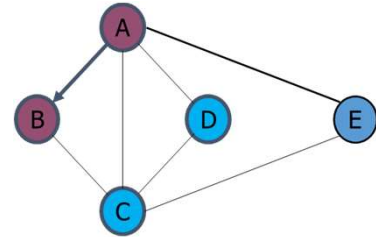
An iterative implementation

- With Python ADT set, we can implement the DFS algorithm iteratively in only few lines too.
- See `dfs_iterative.py` next page



Code: dfs_iterative.py

```
# http://eddmann.com/posts/depth-first-search...
def _dfs(graph, start):
    visited, stack = set(), [start]
    while stack:
        vertex = stack.pop()
        #print('The stack is:', stack)
        if vertex not in visited:
            visited.add(vertex)
            stack.extend(graph[vertex] - visited)
            #print('The visited is: ', visited)
    return visited
V = _dfs(graph, 'A')
To visualize the execution visit https://goo.gl/3P6F6x
```

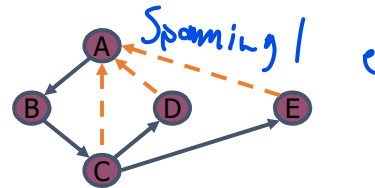


3.2.3 DFS applications

Solve graph problems with DFS

- Depth-first search (DFS) is a general technique for traversing a graph. Applying it, you solve some interesting problems.
- For example:
 - Find a spanning tree of connected vertices in a graph: **the tree edges**
 - Find connectivity of a graph: **checking if $|V| = |\text{visited}|$ or not**
 - Find a path between two given vertices: **DFS from the start node and stop when reached the end node**
 - Find a cycle in the graph: **accepting a back edge.**

Spanning tree and forest



- A spanning tree of a connected component of graph $G(v, e)$ contains all vertices of that component without a cycle.
- DFS on a connected graph results in a spanning tree.
- Spanning trees for multiple connected components of a graph form a spanning forest of a graph.

Finding number of connected components

- **Question:** How do you apply DFS to find the number of connected components of a graph?

- Hints:

1. A set of all vertices in a graph: V
2. Component = $\{ \}$
3. While V is not empty:
 - i. for a v in V , perform dfs with v as starting vertex, to obtain the visited vertex set V'
 - ii. Component $\text{+= } V'$
 - iii. $V = V - V'$
4. Number of components = $\text{len}(\text{Component})$

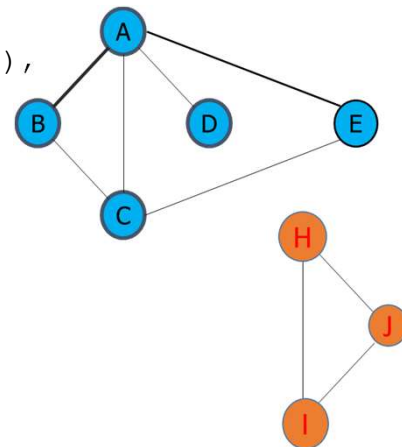
spanning forest

Code: dfs_iterative.py

```
graph = {'A': set(['B', 'C', 'D', 'E']),
        'B': set(['A', 'C']),
        'C': set(['A', 'B', 'E']),
        'D': set(['A']),
        'E': set(['A', 'C']),
        'H': set(['I', 'J']),
        'I': set(['H', 'J']),
        'J': set(['H', 'I'])
}
```

```
t = 0
v = _dfs(graph, 'A')
```

Let us run `dfs_iterative.py` with sample graph2 to start with A, and then pick a vertex not visited.



gives a path, not necessarily in order.

Path finding

- We can specialize the DFS algorithm to find a path between two given vertices u and z using the template method pattern
- We call $\text{DFS}(G, u)$ with u as the start vertex
- We use a stack S to keep track of the path between the start vertex and the current vertex
- As soon as destination vertex z is encountered, we return the path as the contents of the stack

Code: dfs_path.py (iterative)

```
def dfs_path(graph, start, goal):
    stack = [(start, [start])]
    visited = set()
    while stack:
        (vertex, path) = stack.pop()
        if vertex not in visited:
            if vertex == goal:
                return path
            visited.add(vertex)
            for neighbor in graph[vertex]:
                stack.append((neighbor, path + \
                             [neighbor]))
```

Test it

- Here is a simple test graph

```
graph = {'A': set(['B', 'E']),
         'B': set(['A', 'C']),
         'C': set(['B', 'D', 'E']),
         'D': set(['C']),
         'E': set(['A', 'C'])}
```

- Test it:

```
v = dfs_path(graph, 'A', 'D')
print (v)
```

- Visualize the execution through: <https://goo.gl/ICSDWi>

Comments

- The `dfs_path` function finds a path for a connected graph.
- If there is no path between two vertices, then the graph is not completely connected.
- Can we find **all** paths between two vertices in a connected graph?
- Yes, here is another implementation with Python.
- However, we need to know more about Python to apply `yield` and `yield from`. It is beyond the scope of this course. You may want to learn it through online search.

Code: dfs_paths.py

```
def dfs_paths(graph, start, goal):
    stack = [(start, [start])]
    while stack:
        (vertex, path) = stack.pop()
        for next in graph[vertex] - set(path):
            if next == goal:
                yield path + [next]
            else:
                stack.append((next, path + [next]))
```

Test it

- Here is the simple test graph

```
graph = {'A': set(['B', 'E']),
         'B': set(['A', 'C']),
         'C': set(['B', 'D', 'E']),
         'D': set(['C']),
         'E': set(['A', 'C'])}
```

```
v = dfs_paths(graph, 'A', 'D')
print (v)
```

- Test it and visualize it: <https://goo.gl/93TRg9>

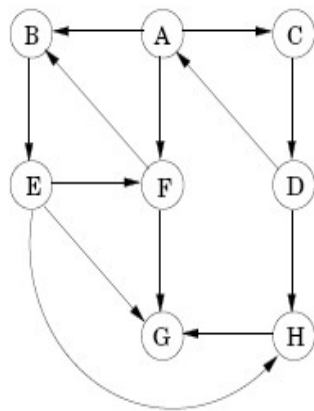
Cycle finding

- A cycle starts and ends at the same vertex.
- Applying the DFS algorithm to find a simple cycle, you keep track of the path between the start vertex and the current vertex.
- As soon as a back edge (v, w) is encountered, we return the cycle as the portion of the stack from the top to vertex w
- However, a simple implementation may result in infinite loop. We study cycle in digraphs in the next section.

3.3 Digraphs

3.3.1 DFS in digraphs

DFS in digraphs



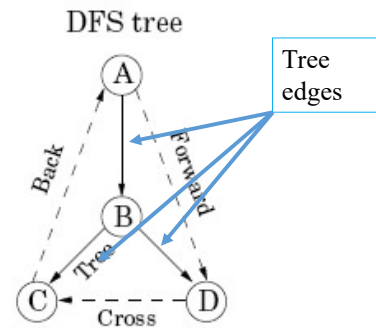
- The DFS algorithm can be applied to digraphs by following only the direction of each edge.

```
graph= {'A': set(['B', 'C', 'F']),
        'B': set(['E']),
        'C': set(['D']),
        'D': set(['A', 'H']),
        'E': set(['F', 'G', 'H']),
        'F': set(['B', 'G']),
        'G': set(),
        'H': set(['G'])}
```

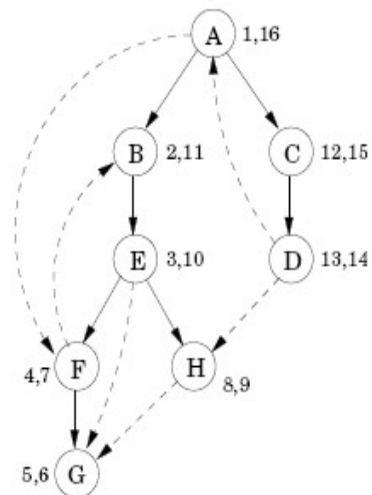
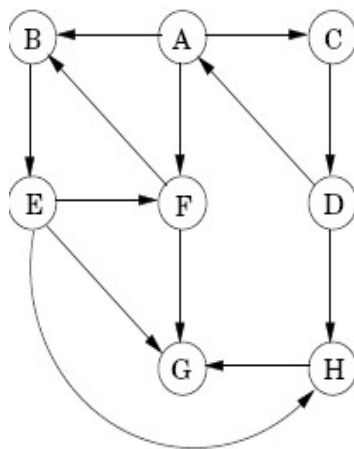
<https://goo.gl/06q8bU>

Tree, forward, back, and cross edges

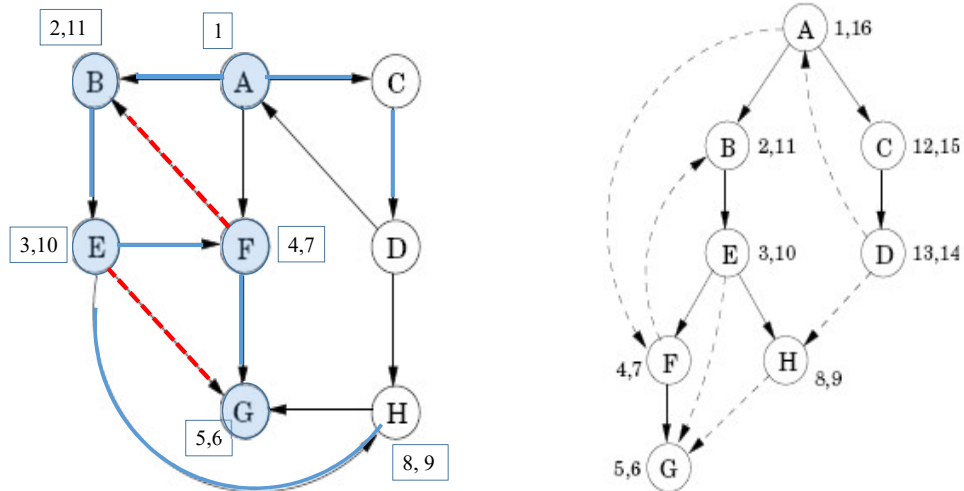
- **Tree edges:** part of the DFS forest.
- **Forward edges:** lead from a node to a non-child descendant
- **Back edges:** lead to an ancestor in the DFS tree.
- **Cross edges:** lead to neither descendant nor ancestor; they lead to a node that has already been completely explored



Example: DFS starting from A



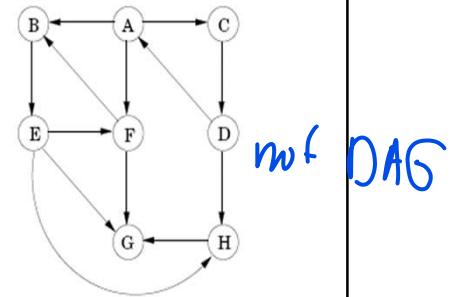
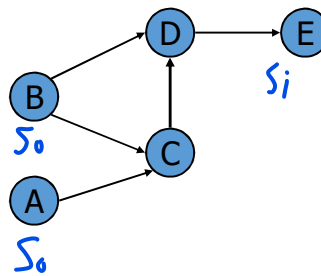
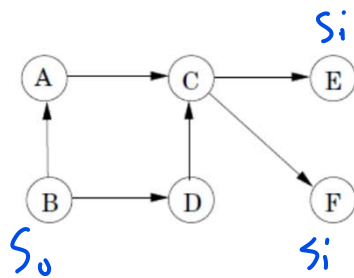
Example: DFS starting from A



3.3.2 DAG: directed acyclic graph

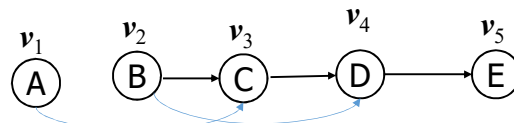
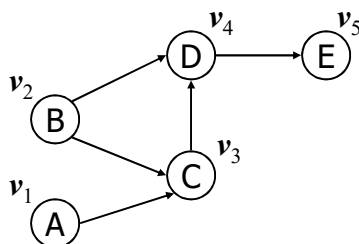
DAG: directed acyclic graph

- A directed acyclic graph (DAG) is a digraph without a cycle.
- The vertex in a dag without an incoming edge is called a source.
- The vertex in a dag without an outgoing edge is called a sink.
- A dag may have multiple sources and sinks.
- **Quiz:** Which graph below is a dag? If it is, determine its source(s) and sink(s).



Topological order of a DAG

- A *topological ordering* of a dag is a numbering v_1, \dots, v_n of the vertices such that for every edge (v_i, v_j) , we have $i < j$. Finding a topological order of a dag is called *topological sorting*.
- **Theorem:** A digraph has a topological ordering if and only if it is a DAG.

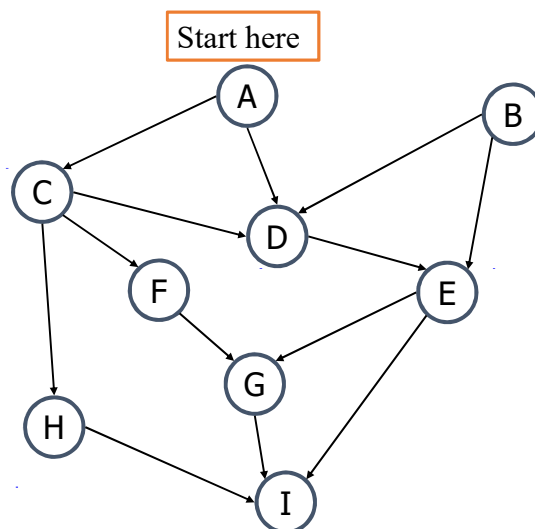


A linearization of the dag in its topologic order.

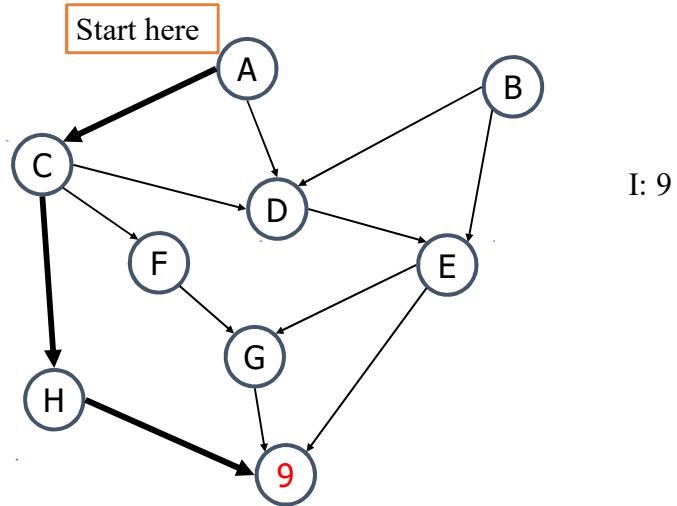
Topological sorting with DFS

- By applying depth first search, we can sort a DAG topologically:
 - Starting from a source (a vertex without incoming edge)
 - DFS to nowhere to go, then label the last node and cast all edges adjacent to that vertex
 - Back up
- We may identify a source node in a dag through observation.
- Or, reversing all edges first, then starting from any node for DFS to find a sink. A sink in a reversal digraph is a source in the original graph.
- See an example next page

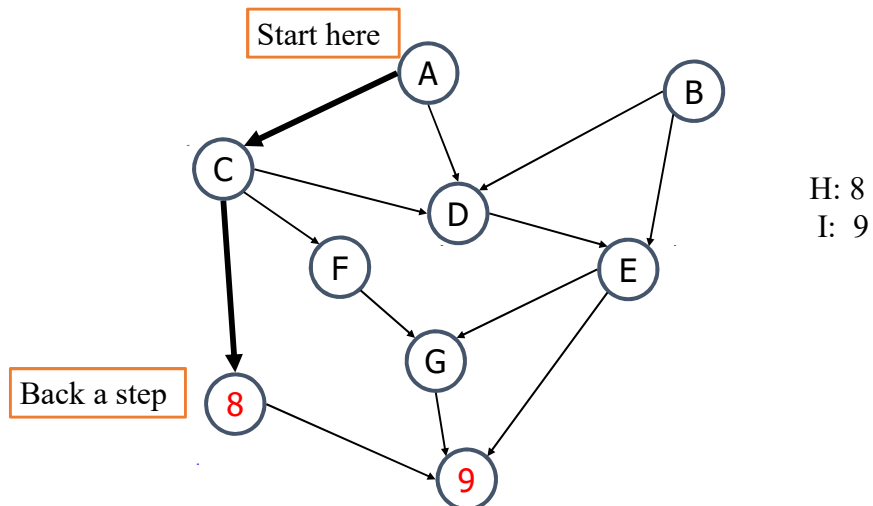
Example:



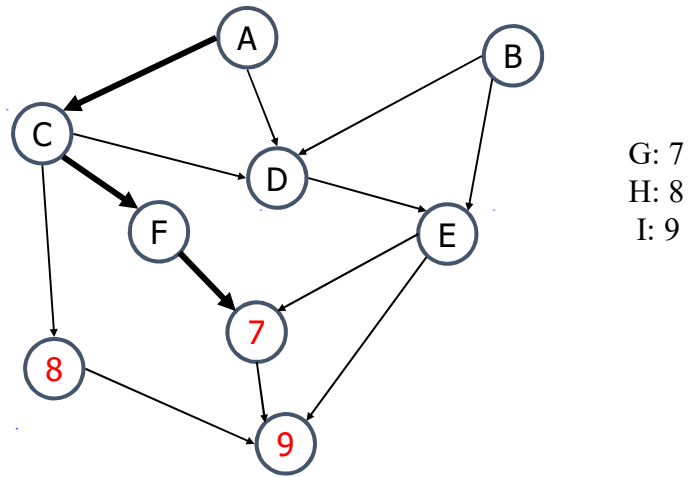
Example



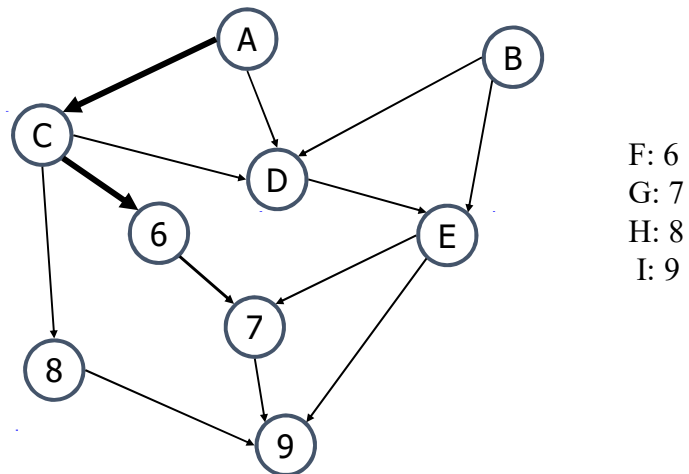
Example



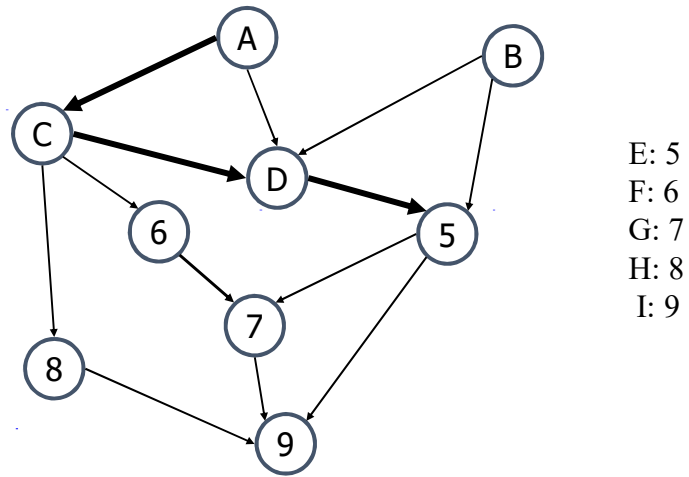
Example



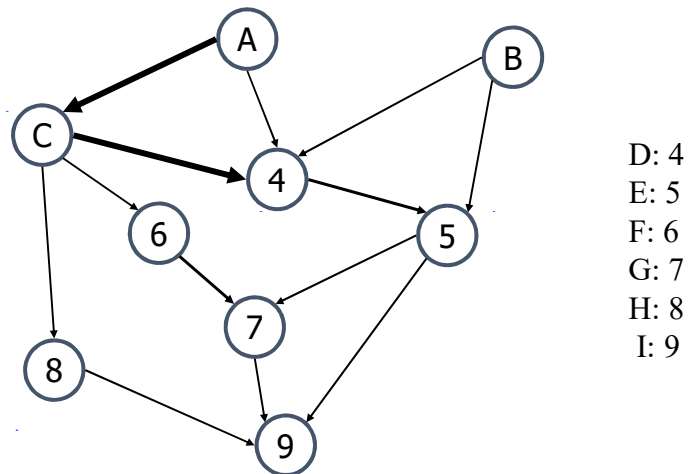
Example



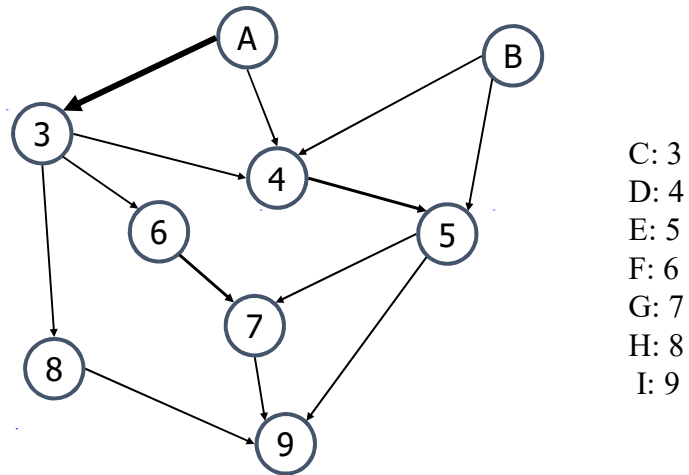
Example



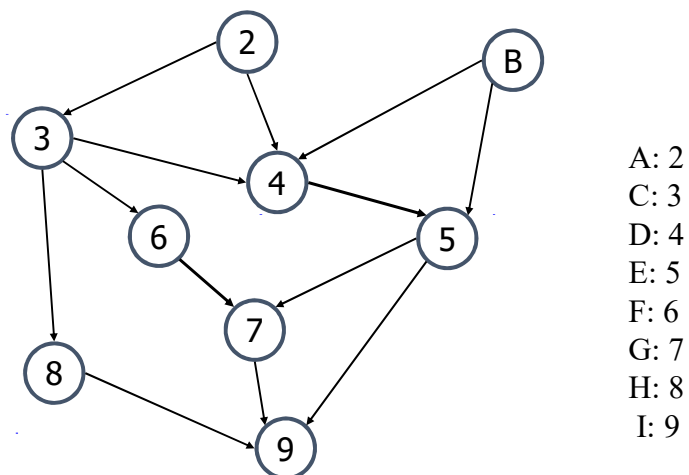
Example

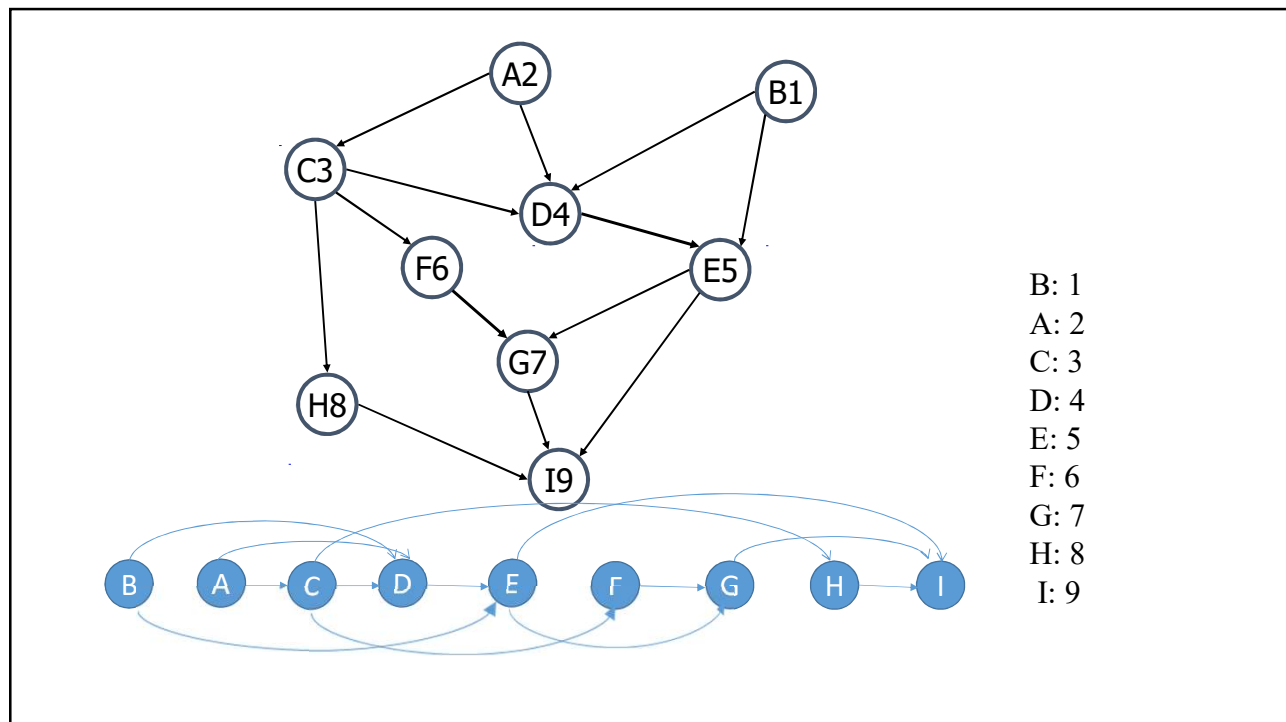


Example



Example



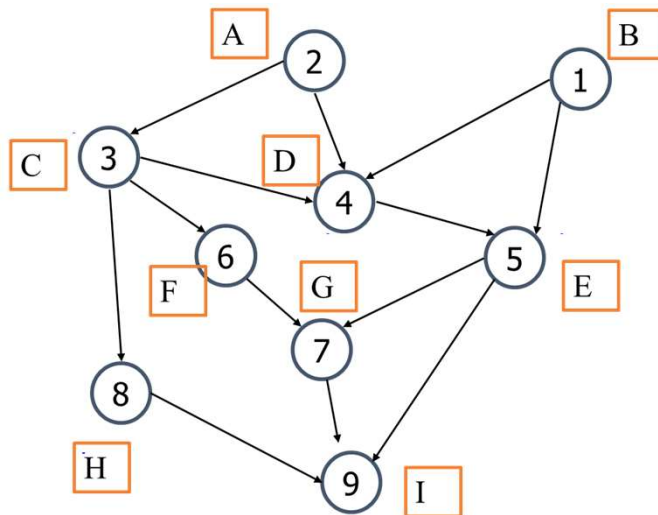


Code: dfs_tpl_order.py

```
def dfs_tpl_order(graph, start, path):
    global n
    path = path + [start]
    for edge in graph[start]:
        if edge not in path:
            path = dfs_tpl_order(graph, edge, path)
    print(n, start)
    n -= 1
    return path
```

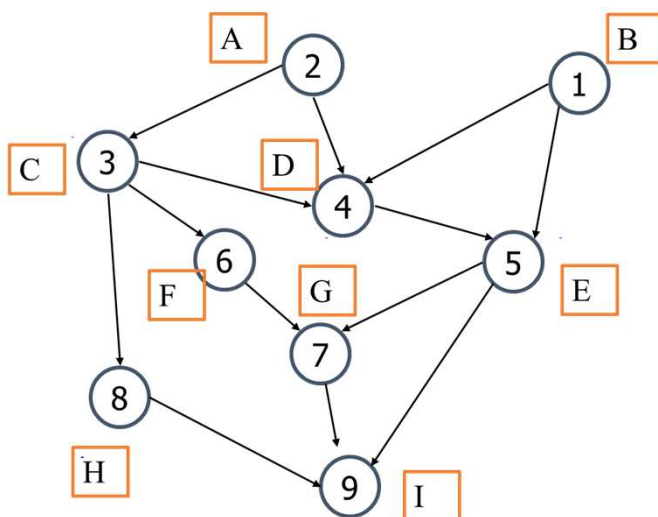
<https://goo.gl/13cFu2>

Test graph



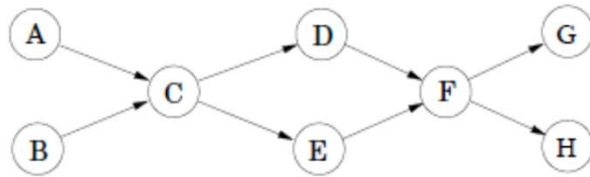
```
graph = {'A': set(['C', 'D']),
        'B': set(['D', 'E']),
        'C': set(['D', 'F', 'H']),
        'D': set(['E']),
        'E': set(['G', 'I']),
        'F': set(['G']),
        'G': set(['I']),
        'H': set(['I']),
        'I': set()}
```

Hands on:

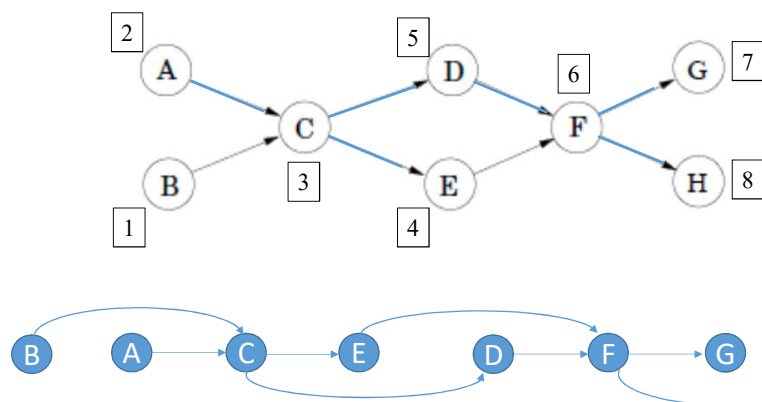


- Run `digraph_tpl_order.py`
- Sketch the linearized graph
- **Notes:** Starting from a different source, the result may not be the same.
- Even from the same source node, the result in each test run may not be exactly the same.
- One cannot determine an order if a digraph contains a cycle.

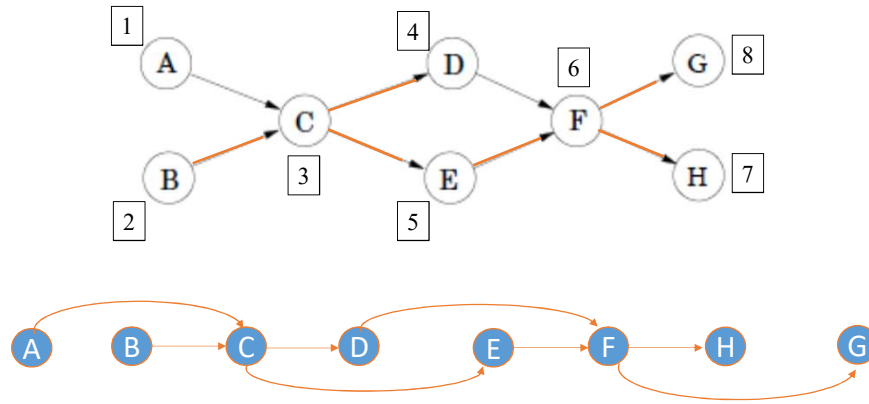
In class exercise: linearize the DAG below



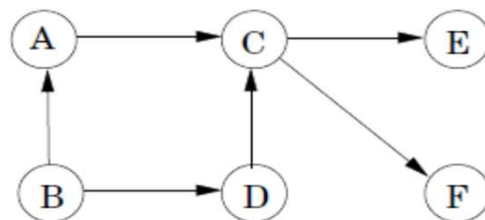
One solution



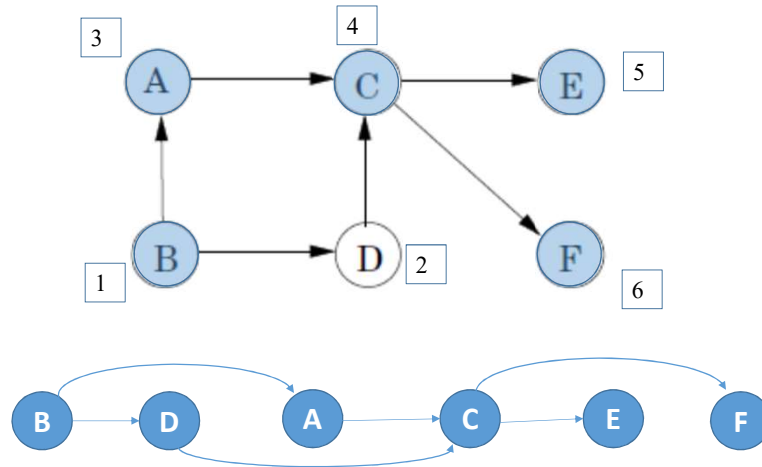
Another solution



In class exercise: linearize the DAG below

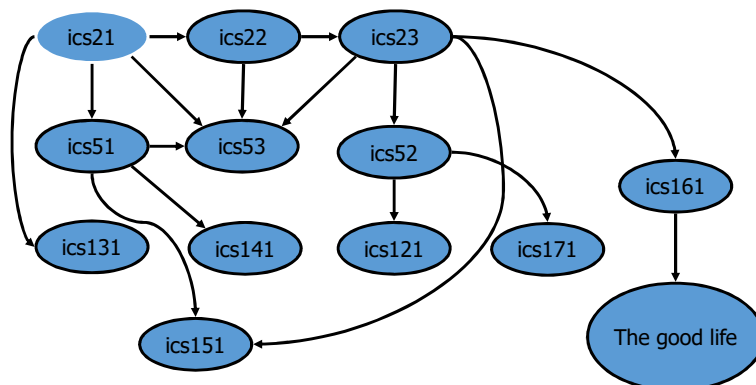


One solution



Scheduling: an application of DAG

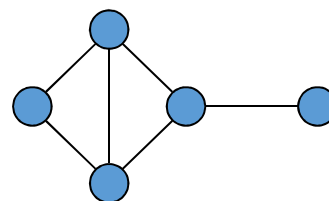
- The digraph illustrate the prerequisites of the ICS courses.
- **Hands on:** Linearizing it for establishing a plan of study.



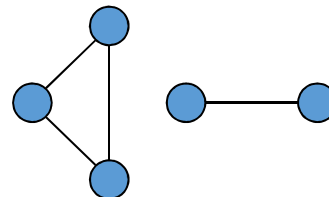
3.4 Strongly connected components

Connectivity

- A graph is connected if there is a path between every pair of vertices
- A connected component of a graph G is a maximal connected subgraph of G



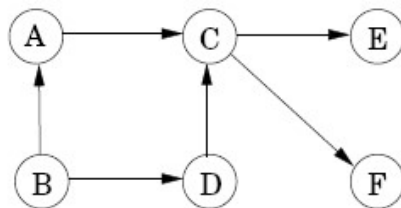
Connected graph



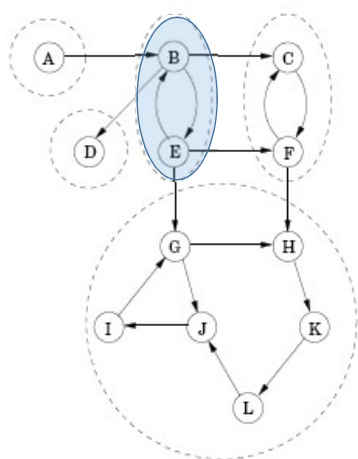
Non connected graph with two connected components

Strong connectivity for directed graph

- A digraph G is strongly connected if there is a path from u to v and a path from v to u for any two nodes u and v in G .
- The digraph below is **NOT** strongly connected! In fact, all DAGs are not strongly connected.

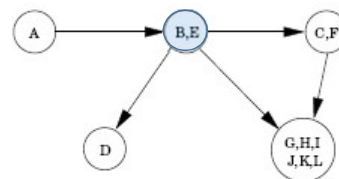


Strongly connected components of a digraph



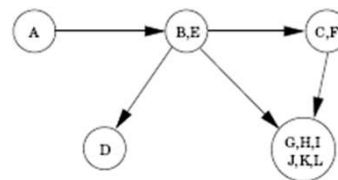
```

graph = {'A': set(['B']),
        'B': set(['D', 'C', 'E']),
        'C': set(['F']),
        'D': set(),
        'E': set(['B', 'F', 'G']),
        'F': set(['C', 'H']),
        'G': set(['H', 'J']),
        'H': set(['K']),
        'I': set(['G']),
        'J': set(['I']),
        'K': set(['L']),
        'L': set(['J'])
    }
  
```



Digraph decomposition

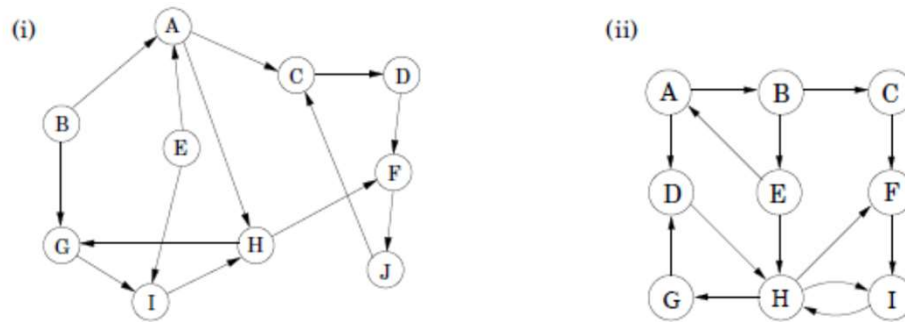
- *Every digraph is a dag of its strongly connected components.*
- Decomposing a digraph into strongly connected components is very informative and useful.
- An algorithmic approach is to
 - Find a strongly connected component containing a sink in the meta-graph
 - Remove it and repeat



Hands on:

- Run the scripts `scc.py`
- Linearize the sample graph as a dag of connected components of the graph.

Exercises: strongly connected component



Summary

- Graph representation
- DFS
- Applications of DFS: spanning tree/forest, path, paths, cycle
- DFS on digraphs: DAG, topological sorting, strong connectivity
- Decompose a digraph as its strongly connected components.

Free graph packages in Python

- NetworkX <https://networkx.github.io/>
- SNAP.py <https://snap.stanford.edu/snappy/>
- Python-graph: <https://pypi.python.org/pypi/python-graph>