

# An Efficient Deep Convolutional Neural Network based Safety Monitoring System for Construction Sites

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**Abstract**—The threat to worker safety and health is high in jobs such as construction work. As a safety measure, it is necessary to monitor the workers and ensure that they wear the right type of and adequate number of Personal Protective Equipment (PPE) at the building site. But keeping an eye on workers manually through CCTV footage would be a time-consuming operation. This paper introduces an approach to help monitor a construction site without the need for human interaction. Initially, YOLOv4 is used to detect construction workers, and the bounding boxes of each worker is cropped out into three halves. The cropped parts are then examined with EfficientNet to see if the specific PPE kit component is present. In addition, construction tools and equipment are identified, and a worker's safety score is determined based on the nearness of the worker to the discovered equipment.

**Index Terms**—computer vision, yolov4, construction safety, efficientnetb5, transfer learning

## I. INTRODUCTION

Around 2.3 million workers are reportedly killed or seriously injured every year as a result of work-related accidents or illnesses according to a research conducted by the International Labor Organization (ILO). The mortality rate at workplaces is on the rise due to the lack of safety monitoring and precautionary measures followed. Trips, slips and falls, being hit by objects on head, getting caught in between machinery due to being in close proximity to machinery, etc., are some of the accidents that occur [30]. Being one of the most dangerous job sectors, construction is least digitized and yet incurs a huge demand for workers. Wearing proper safety gear and having good posture while working should be considered as safety measures. Traditionally, construction site supervisors or safety inspectors have been manually monitoring and enforcing safety restrictions on the workers in the construction sites. However, continuous supervision is difficult owing to human mistakes induced by distractions and through disproportion in the number of workers and supervisors.

In recent years, various methods based on wearable sensors such as RFID tags, UAVs for capturing construction images combined with deep learning algorithms, and various computer-vision based techniques have been proposed and

implemented to aid in automating the process of construction safety monitoring. The introduction of deep neural networks has led to an improvement in object detection. But the majority of vision-based approaches simply focus on detecting the hard-hats and the workers as a whole, single process, lacking the accuracy of detection of the PPE kit components. Camera perspectives cause variations in the object scales, background complexities , etc., and add up to the difficulties of object detection. Existing methods usually link workers and PPE by determining whether the detected PPE is located in the worker's detection region or close to it. However, merely discovering this will not assist us in resolving the problem of safety. For example, a worker could be holding the hard-hat instead of wearing it, or the hard-hat could be placed on a table near to where the worker might be standing. In such cases, the existing methods would end up detecting the person and a hard-hat in the image/video and conclude that the worker has a hard-hat on, which is false. It is critical to determine whether the worker is wearing the hard hat on the head, if the worker is equipped with the full PPE kit, whether the worker is near any equipment of danger without the full kit, etc. Also, the CCTV that captured the video footage of a construction site could be at an angle that covers beyond the boundary of the construction area. In such cases, merely detecting the workers and their PPE will create false alarms. For example, a person who is outside the construction region, and not wearing the PPE since he could be just someone passing by the site, captured by the CCTV footage and recognized by the automation system, could create an alarm indicating a non-PPE kit wearing worker. The majority of existing PPE kit detection only deals with hard hats. Multi-class PPE kit detection would definitely require a large collection of the individual PPE kit image dataset. In the proposed approach, PPE kit dataset has been created from the existing large pool of construction site worker images, as will be discussed in section 3. For the main part, YOLOv4 has been used to detect the workers from the video and then split the bounding box of each of those identified workers into 3 halves. Using the method of transfer learning, three EfficientNet models trained on each of the PPE

kit components, namely hard-hat, vest, and shoes, are then applied to the three cropped halves for each of the workers identified. Another YOLOv4 model that is custom trained to detect construction equipment and tools is also applied simultaneously to the video frames. A risk score is then determined based on the number of PPE kit components worn by the worker and their proximity to construction equipment, in order to determine the worker's safety. The approach has been able to solve the problem of verifying if the worker is wearing the PPE kits and also notify only the right people detected as workers using their safety score on the construction site.

## II. REVIEW OF LITERATURE

Recent years have seen a significant amount of attention being drawn towards the use of deep learning computer vision methods due to their self-learning capability of learning useful and important features. Some of the most popular convolutional neural networks for object detection and classification from images are Alex Nets, GoogLeNet and ResNet50 [1]. The most recent being the GoogLeNet and ResNet50 has better precision. Apart from the models or networks being used, importance has to be given to the dataset that is going to be used to train the model. When it comes to the field of construction, there has been multiple research conducted to collect image datasets from construction sites. In [37], the authors have used fully convolutional-based algorithms to detect worker's hard hats. In [2], the authors have created a benchmark dataset containing 15 different DNN-based detectors using the MOCS dataset. In [3], to validate the feasibility of ACID dataset, authors trained the data set using four existing deep learning object detection algorithms- YOLO-v3, Inception-SSD, R-FCN-ResNet101, and Faster-RCNN-ResNet101. The average detection speed of the four algorithms is 16.7 frames per second (fps), which satisfies the needs of most studies in the field of automation in construction. Authors in [4] have used the VGG-16 model, that is pre-trained on the ImageNet dataset, to investigate two categories of classification tasks namely, single-label classification and multi-label classification in construction imagery. Pictor v1.1 dataset has been used for the transfer learning of the VGG-16 model, and the authors have acquired an accuracy of 90% for single-label classification and 85% for multi-label classification. In [38], authors have collected recorded videos from tower crane camera and have performed image recognition task with Mask R-CNN method. Also, to identify the safety distance, they have used pixel and actual distance conversion method. Authors of [5] have presented three deep learning models built on YOLO-v3 architecture to verify PPE compliance of workers from images and videos in real-time. The model has been trained on the Pictor-v3 dataset and has achieved an average performance accuracy of 80%. First, hats and vests are detected, and a machine learning model, such as a decision tree, determines if each worker in the detected group is properly wearing hats or vests. The second method employs a convolutional neural network (CNN) framework to identify individual workers and

verify their compliance with PPE. And the third approach first detects only the workers, and then assigns a classification score to each worker in the input image using CNN-based classifiers, based on the presence or absence of PPE kit. An improved version of SSD has been used in [13] to detect the safety helmets. The authors have used a fusion of multi-layer to identify low semantic and deep semantic information which helps in identifying the smaller targets [13]. In [7], authors have used YOLOv4 and YOLOv4-tiny for real-time detection of fire and PPE equipment at construction sites. The findings were utilised as a basis for proving the effectiveness of YOLOv4 algorithms in real-time detection and monitoring at construction sites [7]. Detecting whether the hard-hat is actually on the worker's head is yet another major challenge. Some methods include the authors using a MobileNet architecture to run the detector in real-time [6]. In this [6], a person's head is localized and then the MobileNet model is deployed to identify if the person is wearing hard-hat. In [36], workers and hard hats are detected separately but concurrently, and the amount of overlap between the worker's head and the detected hard helmets is used to determine whether or not the worker is wearing a hard hat. The authors of [8] have used a new approach to detect hard-hat wearers based on head key-point localization. Results show that it surpassed both, the solution based on the relative bounding box position of people and hard hats and the direct detection of hard hat wearers and non-wearers. But the detection of head key-point is a difficult and error-filled process. Authors of [9] have used a pose estimator to detect worker body parts as spatial anchors and to localize the part attention regions. CNN based classifier is used to recognize both PPE and non-PPE classes within the attention regions. But the method uses a lightweight MobileNet rather than a deep network. Also, the implementation of the same on videos is not possible since pose estimation takes up a lot of resources.

## III. METHODOLOGY

The Deep Learning models used here are YOLOv4 and EfficientNet-B5. YOLOv4 being an object detection model helps in identifying and representing the objects in the images and videos. EfficientNet-B5 on the other hand is an image classification algorithm that helps in classifying a set of images into a number of pre-defined classes.

### A. BASE APPROACH

The workflow diagram of the overall methodology is shown in Fig.1. Initially the video is provided as input, which then is converted into frames for further tasks. One part performs person detection using YOLOv4 and the other performs construction equipment detection using an YOLOv4 model that is custom trained through transfer learning process. The detected person's bounding boxes are then divided into three halves. The EfficientNet models that were trained on each of the PPE kit components (Hard-Hat, Vest, and shoes) are then applied to the divided regions. The output of the PPE kit detection along with the construction equipment detection is used to calculate

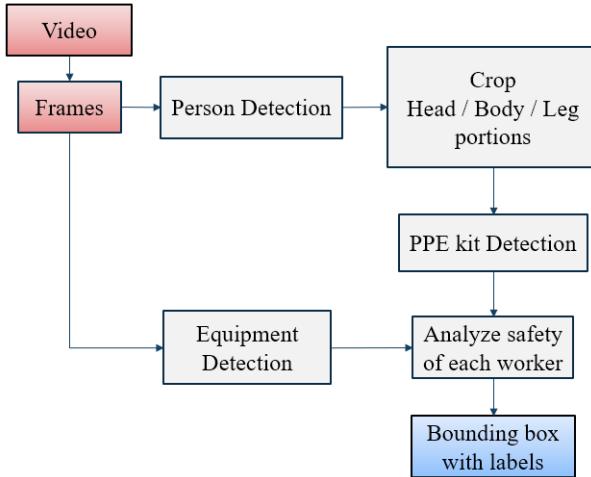


Fig. 1. Work Flow Diagram

a safety score. Based on this safety score, the workers are marked as safe or in danger.

## B. DATASET CREATION

*1) PPE Kit:* Publicly available construction site images such as CHV dataset, Pictor v3, and other web mined images have been used. The CHV dataset was created by Wang,et.al. [17], and it consists of 1330 high quality images from real construction sites with consideration given to different construction locations, gestures, varied angles and distances. The Pictor-v3 dataset [5] consists of 774 crowd-sourced and 698 web-mined images, and contains 2,496 and 2,230 instances of workers, respectively. But these collections of images consist of a variety of complexities within each of the image, has to be sorted for our use case. For example, an image could contain a total of 5 people out of which three could be wearing a hard-hat and a vest but no shoe, one wearing only a hard-hat, and another wearing none of the PPE kit components. Such images cannot not be used for detecting individual PPE kits since having multiple cases within an image could meddle with accuracy. Moreover, detecting individual persons is the key to the success of the overall system and thus single worker images have to be used for training. The availability of such images of individual workers or individual PPE kit components is limited or non-existent. Thus, an approach of extracting only the necessary objects from images for further training of models is used here. The procedure starts with the use of a basic YOLOv4 model that is fed with PPE kit dataset images to identify workers. YOLOv4 is trained on COCO dataset that consists of 'person' as an object class. Thus, a pre-trained YOLOv4 model is being used here to identify individual workers in the images. The identified workers are represented using a bounding box, which is how a one-stage detector like YOLO works. The bounding box of the individual workers are divided into three portions from the top. The division is performed by a simple method of splitting the whole image into four quarters. The first quarter or the 1/4<sup>th</sup>

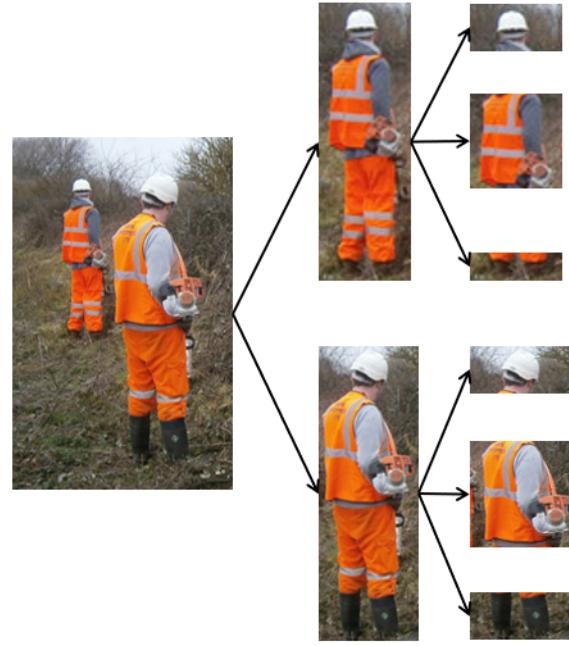


Fig. 2. Image cropping for dataset creation

part is chosen as the head region, the middle halves or the 2/4<sup>th</sup> and 3/4<sup>th</sup> part is chosen as body region and the last quarter is chosen as the leg region. A sample working of image cropping and splitting method explained above is demonstrated in Fig.2. The cropped images are saved into separate files as head, body and leg. Due to the lack of clarity of images, or complexities like occlusion, the workers detected or the images cropped could be of the wrong type that cannot be used for our use-case. For example, a person bending down detected by the model, when cropped, the chest portion of the person ends up being put into the head portion folder. Or situations where two people standing behind each other, gets detected by the model as a single person, and thus when cropped creates multiple heads in a single image for the hard-hat detection folder. These saved cropped images are thus manually sorted into different classes, such as, Hard-hat / No-hard-hat, Vest / No-vest, and Shoes / No-shoes. The images are then used for the training of EfficientNet-B5 models.

*2) Construction Equipment:* Datasets such as Alberta Construction Image Dataset (ACID) [3] and Moving Objects in Construction Sites (MOCS) [2] along with some web-mined images have been used for construction equipment / machinery detection. Open Images v6 tools dataset and other web mined tools dataset have been used for tools detection. ACID dataset contains 2,850 construction images with 6,500 machine objects of 3 different types, namely, excavator, dump truck and concrete mixer truck. The images of the ACID dataset are collected through online collection (web crawler) and onsite collection (UAVs, CCTV cams, manual clicks) [3]. The MOCS dataset contains 41,668 images collected

from 174 different construction sites and thirteen different categories of moving objects are present. These datasets have been pre-labelled but consists of multiple classes. Since we are combining all the images together, and also having multiple classes could create class imbalance problem, the images are being custom labelled. The original set of construction machinery images containing objects such as different types of trucks, JCBs, cranes, etc., are all labeled under a single label 'equipment'. And the tools images consisting of hammers, screwdrivers, welding tool, etc., are labelled under a single label 'tool'. CVAT [14] software has been used for the custom labelling process. CVAT helps us create the bounding boxes for object detection tasks and export the custom labeled images in any preferred format. The format used here is YOLO, which consists of images and its corresponding bounding box coordinates as a text file.

### C. PPE Kit training

EfficientNet-B5 that is pre-trained on ImageNet dataset is used here. The ImageNet dataset consists human annotated images of varied classes. Transfer learning is implemented on the model and helps reduce the training time and the accuracy.

Each of the PPE kit component dataset is given as input to three different EfficientNet B5 models. Fig.3. shows the

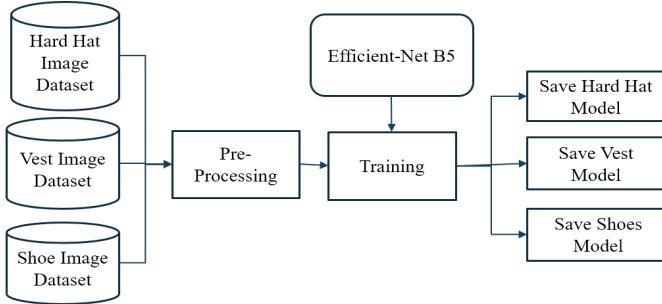


Fig. 3. Flow diagram of PPE Kit models training

model training process of PPE kit. By default, the input image size to be given for EfficientNet B5 model is  $456 \times 456 \times 3$ . Data augmentation of random horizontal flipping and random rotation of  $20^\circ$  is also performed as pre-processing apart from image re-sizing. The parameters are set as, dropout rate as '0.2', learning rate as '1e-2', and the loss function used is 'categorical cross entropy'. Each of the model is trained for 50 epochs and the weights are saved. Hyper-tuning was not necessary since the initial training provided a high accuracy rate. Nevertheless, the models were further trained for 50 more epochs, adding up to a total of 100 epochs, but the accuracy either didn't have a change or had a decrease. Hence the final model weights used are the ones obtained in the 50-epoch training process.

### D. Construction Equipment Training

YOLOv4 is a one-stage object detection model that has been widely used for object detection tasks due to its significantly higher accuracy and detection speed than majority of the other

detection models such as SSD, Faster R-CNN or even older versions of the YOLO family. The custom labelled images are fed into the pre-trained YOLOv4 model to perform transfer learning.

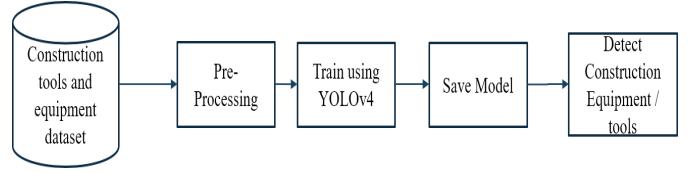


Fig. 4. Flow diagram of equipment detection model training

The Fig.4. shows the custom training steps of YOLOv4. The images are resized into  $416 \times 416 \times 3$ , which is the default input image size that is needed for YOLO as input. Since transfer learning is being performed, the parameters of the YOLOv4 model have to be changed. The batch size is set as 64 and subdivisions as 16. Batch size is the number of training examples used in one iteration. Using a larger batch size will lead to poor generalization, but using a very small batch size doesn't guarantee to converge to the global optima. The height and width are set as 416x416. Max\_batch is calculated as (number of classes) \*2000. But this number should not be lesser than 6000, thus if the number of classes is 1,2 or 3, the value should be 6000, however, for 5 classes it would be 10000. Steps is set as 80% of max\_batches and 90% of max\_batches. So, if max\_batch is 10000, then steps=8000,9000. Filters is set as equal to the (number of classes +5) \* 3. So, for example, if there is one class, then filters is equal to 18. The model is trained for 6000 iterations and the model weight is saved.

### E. Safety Detection

As seen in Fig.5., the system consists of two. The video given as input to the system, which will be converted to frames, is passed as input to both the modules. In the first module, YOLOv4 will be used to detect the person and bounding boxes of the detected persons will be split into two halves horizontally, obtaining 3 regions. The splitting is done similar to the one performed during dataset creation explained in Section 3c. The custom trained EfficientNet models are then applied to each of the split regions, i.e., the hard-hat detection model is applied to the head region, the vest detection model is applied to the middle/body region and the shoe detection model is applied to the lower/leg region. The output of the module will consist of a label and bounding box over the worker, where the label represents the number of PPE kit components present. The number of PPE kit component ranges from 0 to 3, with '0' representing that no PPE kit has been detected and '3' representing that all the 3 PPE kit has been detected on a particular person. In the object detection module, custom trained YOLOv4 model will check for the construction equipment, i.e., machinery and tools, in the video frame simultaneously when the first module is running. The identified objects will be represented with a bounding box

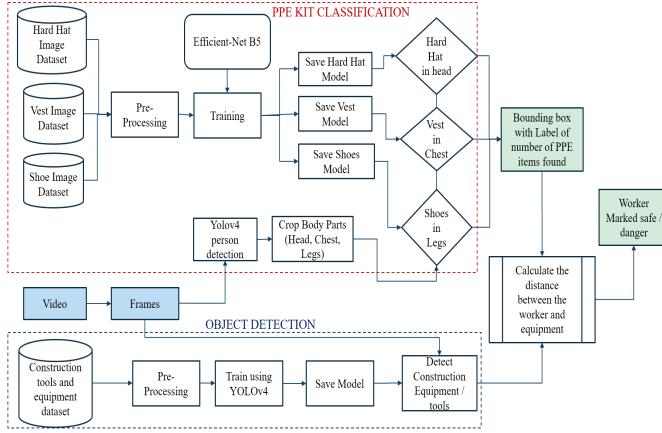


Fig. 5. Overall System Architecture

and a label with the detected class label name. The outputs of the first and the second module will be combined to perform further tasks of the system. A person identified through the first module, who is not wearing any of the component will be marked as in ‘Danger zone’ and the person wearing at least one component will be marked as ‘Safe zone’ by default. If a person who is not wearing any of the three PPE kit items, is standing near an equipment, then that particular person will be marked in red bounding box with a label ‘Equipment Danger’. The distance between the person and the equipment is calculated using Euclidean distance ‘d’ of the centers of the two objects given by:

$$d = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2} \quad (1)$$

A threshold distance of 250 is set as default, and if the obtained ‘d’ is lesser than the threshold, then the objects are considered to be near. This would significantly solve the problem of detecting a person outside of the construction zone without PPE kit and falsely notifying the authorities.

#### IV. RESULTS OBTAINED

After the dataset creation process, a total of 574 of ‘hard-hat’, 210 of ‘no\_harhat’, 825 of ‘vest’, 278 of ‘no\_vest’, 192 of ‘shoes’ and 170 of ‘no\_shoes’ images were obtained. These images are then split as training set, validation set and test set in the ratio of 8:1:1.

##### A. PPE kit detection

From the EfficientNet-B5 model used for PPE kit classification, we have obtained an accuracy of 98.48% for hard-hat, 99.43% for vest and 91.00% for shoes.

1) *Hard-Hat*: The initial loss and accuracy of the pre-trained EfficientNet-B5 model was 0.65 and 56.9% respectively.

After performing transfer learning on the model with hard-hat images, the evaluation obtained are:

loss = 0.0874, accuracy = 0.9848, validation\_accuracy = 0.9861 and test\_accuracy = 0.9850. Fig.6. shows the sample



Fig. 6. hard-hat Classification Output

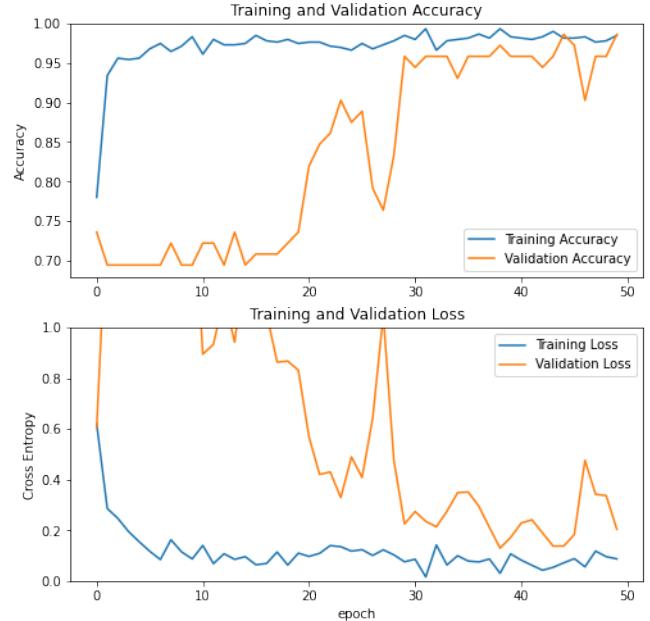


Fig. 7. Hard-Hat Model Training Performance Graph

classification output obtained after training the hard-hat EfficientNet model.

Model performance curves obtained are shown in Fig.7.

2) *Vest*: The initial loss and accuracy of the pre-trained EfficientNet-B5 model was 0.66 and 61.5% respectively. After performing transfer learning on the model with vest images, the evaluation obtained are:

loss = 0.0357, accuracy = 0.9943, validation\_loss = 0.0910, validation\_accuracy = 0.9817 and test\_accuracy = 0.9897. Fig.8. shows the sample classification output obtained after training the hard-hat EfficientNet model. Model performance curves obtained for the vest model is shown in Fig.9.

3) *Shoes*: The initial loss and accuracy of the pretrained EfficientNetB5 model was 0.72 and 50.0% respectively. After performing transfer learning on the model with vest images,

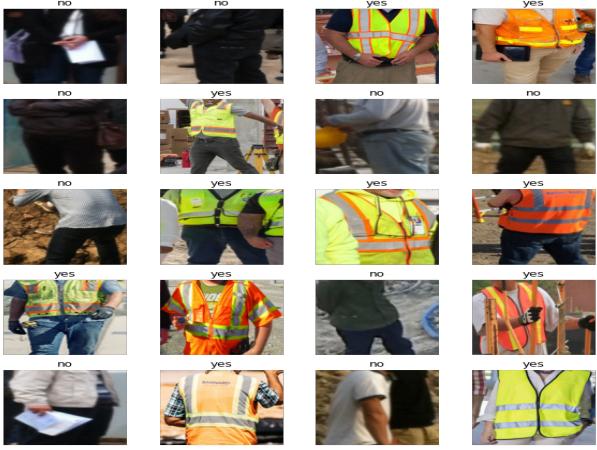


Fig. 8. Vest Classification Output

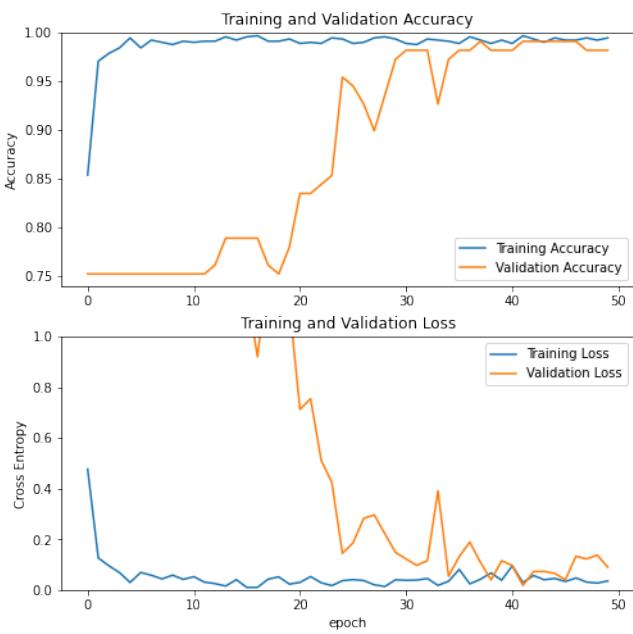


Fig. 9. Vest Model Training Performance Graph



Fig. 10. Shoes Classification Output

the evaluation obtained are:

loss = 0.03932, accuracy = 0.9100, validation\_loss = 0.5848, validation\_accuracy = 0.7778 and test\_accuracy = 0.8157. Fig.10. shows the sample classification output obtained after training the shoes EfficientNet model. The model performance



Fig. 11. Shoes Model Training Performance Graph

curves obtained for shoes model is shown in Fig.11.

#### B. Equipment Detection

About 3000 images of construction equipment and 100 images of tools were used for the training. An Average Precision of 73.81% has been obtained for equipment detection and 38.05% for tools detection.

$$Precision = TP / (TP + FP) \quad (2)$$

where, TP is True positive and FP is false positive.

$$Recall = TP / (TP + FN) \quad (3)$$

where, FN is False negative.

$$F1-score = (2 * Precision * Recall) / (Precision + Recall) \quad (4)$$

The overall model has a Precision of 0.63, Recall of 0.75 and F1-score of 0.68. And the mean average precision of the model is 55.93%.

Name	AP(%)	TP	FP	Precision
Equipment	73.81	655	371	0.63
Tool	38.05	29	31	0.48
Overall Model	55.9	684	402	0.63

Table.1. shows the model evaluation,i.e, the performance of the custom trained YOLOv4 model after the 6000-iteration training process. The chart in Fig.12. shows the average loss vs iteration. For a model to be accurate, a loss under 2 should be

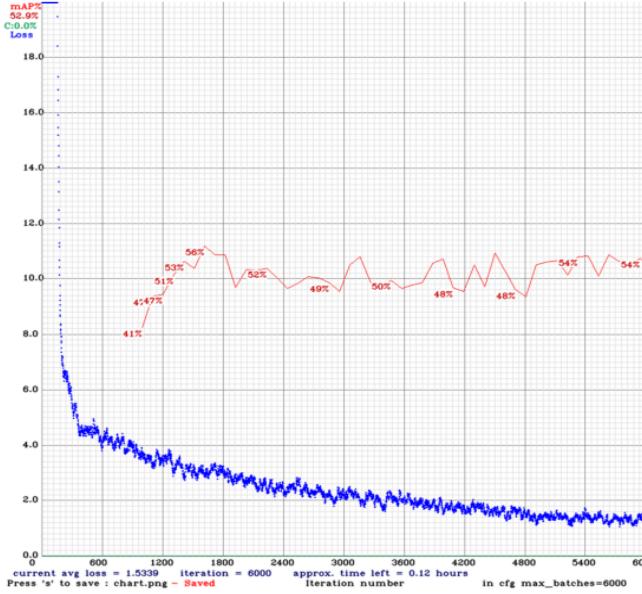


Fig. 12. YOLOv4 training performance graph



Fig. 13. Construction Equipment Detection 1



Fig. 14. Construction Equipment Detection 2



Fig. 15. Tool Detection 1



Fig. 16. Tool Detection 2

aimed for. Fig.13 and Fig.14 shows the equipment detection output where the Trucks and JCBs are detected. Fig.15 and Fig.16 shows a sample of tools being detected using the custom trained YOLOv4 model.

### C. Final Output

The overall system was tested on multiple videos that were obtained on public websites. Figures Fig.17. and Fig.18. shows some of the screenshots from the output. In Fig.17, the worker



Fig. 17. Safety Detection Video Output 1

is seen wearing shoes and vest and no hard-hat. Hence, the label shows ‘Safety:2’ for that worker. Also, since the worker

is safe from any equipment danger, he is labelled as in ‘Safe zone’. Whereas in Fig.18, there is a construction equipment



Fig. 18. Safety Detection Video Output 2

identified and also a person near it. Since there is an equipment present, the person is labelled as in a ‘Danger zone’. The worker is seen wearing only a hard-hat and not any of the remaining set of PPE kit items, thus, he is marked with a label ‘Safety:1’. Since he is not equipped with all the three PPE kit components and also is near the construction equipment,i.e., the distance between the centroids of the bounding boxes of the worker and the equipment is lesser than the set threshold, he is labeled as to be in an ‘Equipment Danger’.

## V. DISCUSSION

The dataset creation approach has provided us with the proper set of images that could be used for our use-case of having to train individual PPE kit items. The number of images is less because of choosing only the best and high quality images from the cropped set, and because of using the best set of images, the accuracy of EfficientNet models are at the highest rate when compared to the existing methods. The learning rates for the Hard-hat and Vest model have been stable, i.e., there was not much learning happening, after the 30th epoch. The YOLOv4 model that was used for construction machinery and tool detection has provided an average accuracy in comparison to industry standards. This was probably because of inaccuracies in the custom bounding box labeling process. Nevertheless, the model has been able to identify the objects correctly with slight inaccuracy in the placement of bounding boxes over the objects, which can be neglected in our use-case. The final model has been able to correctly recognize the worker and the equipment in a single frame, and also provide the safety of the worker. Since the available CCTV footage that can be used for testing are of less quality, there are inaccuracies in the output in certain frames of the video.

## VI. CONCLUSION AND FUTURE WORK

This work has been implemented to solve the problem of the safety of workers on construction sites by identifying if the workers are wearing the PPE kit components and also their nearness to construction equipment. The PPE kit items recognized in this paper are Hard-hat, Vest and Shoes.

The existing methods had the disadvantage of being able to only identify the worker and PPE kit as a whole, and thus reducing the accuracy, or only being able to implement the system for single PPE kit detection. Using the EfficientNet framework, which is a fast and accurate image classification model, and YOLOv4, we have been able to provide a fast and accurate automated safety monitoring system. Creating a dataset with different PPE kit components from whole images, and applying a custom trained model onto the different body parts of workers has helped solve the problem of verifying the placement of PPE on workers. Also, having created a safety score for the identified persons by considering whether the worker is near an equipment or not, we have been able to solve the false notifications of persons that are on-site and off-site.

Though the method works well, there are a few advancements that could be done to further improve the monitoring system. The quality of the images used to train the EfficientNet classifier could be improved by adopting super resolution (SR) techniques. The output has the problem of occlusion in some frames, which could be improved probably by detecting the workers using techniques like pose estimation at the cost of FPS and other resources. More types of PPE components could be detected, such as gloves, goggles, and also domain-specific types of PPE kits can also be detected.

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