

## MATB24 TUTORIAL PROBLEMS 2SOLUTIONS

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KEY WORDS: subspace, spanning set, linearly independent, basis

RELEVANT SECTIONS IN THE TEXTBOOK: Sec 3.2 FB or Sec 2.A and Sec 1.C SA

WARM-UP: Write down a complete definition or a complete mathematical characterization for the following terms.

Let  $V$  be an  $F$ -vector space

- A subspace of  $V$

A non-empty subset  $W$  of  $V$  is a *subspace* of  $V$  if  $W$  is itself a vector space with the vector addition and the scalar multiplication in  $V$ .

- A linear combination of  $v_1, v_2, \dots, v_k$  in  $V$

A linear combination of  $v_1, v_2, \dots, v_k$  in  $V$  is an expression of the form  $c_1v_1 + c_2v_2 + \dots + c_kv_k$  where  $c_1, c_2, \dots, c_k$  are in  $F$ .

- A dependency relation in a subset  $X$  of  $V$

Consider vectors  $v_1, \dots, v_k$  in  $X \subset V$ . A relation of the form

$$c_1v_1 + \dots + c_kv_k = 0, \text{ where } (c_1, \dots, c_k) \neq (0, \dots, 0)$$

is called a dependency relation among  $v_i$ 's or on  $X$ .

- A spanning set for a subspace  $W$  of  $V$

$S$  is a spanning set of  $W$  if  $\text{span}(S) = W$

- The span of a subset  $X$  of  $V$

Let  $S \subseteq V$ . The span of  $S$  is the set of all linear combinations of vectors in  $S$ .

$$\text{Span}(S) = \text{Sp}(S) = \{c_1v_1 + \dots + c_rv_r, \mid c_1, \dots, c_r \in \mathbb{R}, v_1, \dots, v_r \in S, r \in \mathbb{N}\}$$

If  $S = \{v_1, \dots, v_r\}$  (that is if  $S$  is finite) we write  $\text{Span}(S) = \text{Span}(v_1, \dots, v_r)$ . If  $\text{Span}(S) = W$  for a subspace  $W$  of  $V$  we say  $S$  *spans*  $W$  or  $S$  *generates*  $W$  or  $S$  is a *spanning set* for  $W$ .

- A linearly independent subset of  $V$

- A linearly dependent subset of  $V$

A subset  $X$  of  $V$  is called linearly dependent if there exists a dependency relation among vectors in  $X$ . We say  $X$  is linearly independent if it is not linearly dependent.

A basis for a vector space  $V$  is a subset of  $V$  that

(1) spans  $V$ .

(2) is linearly independent.

A: Let  $\mathcal{F}$  be the set of all functions from  $\mathbb{R}$  to  $\mathbb{R}$ . Recall that  $\mathcal{F}$  is a vector space, with operations  $(f+g)(x) = f(x) + g(x)$  and  $(kf)(x) = kf(x)$ , for all  $f, g \in \mathcal{F}$ ,  $k \in \mathbb{R}$ , and  $x \in \mathbb{R}$ . Prove or disprove the following:

- (1) The set  $\{\sin^2(x), \cos^2(x)\}$  is linearly independent.
- (2) The set  $\{\sin(x), \cos(2x), \sin(3x)\}$  is linearly independent.
- (3) The set  $\{1, e^x + e^{-x}, e^x - e^{-x}\}$  is linearly independent.
- (4)  $\text{Span}(\sin^2(x), \cos^2(x))$  contains all the constant function.
- (5) If  $V$  is the subspace of  $\mathcal{F}$  spanned by  $\{1, 2\sin^2(x), 3\cos^2(x)\}$ , then  $\dim(V) = 2$ .

**Solution.** If you want to prove two functions  $f, g$  are linearly independent, you show that  $af(x) + bg(x) = 0$  imply  $a = 0, b = 0$ . Be careful that here the zero on the right hand side is the zero function, not a real number, which means you are not solving specific  $x, a, b$  such that the equation holds, but solve  $a, b$  such that the equation holds for all  $x$ . You can substitute specific value of  $x$  to get some equations that  $a$  and  $b$  must satisfy.

- (1) Suppose  $a\sin^2(x) + b\cos^2(x) = 0$ , for some scalars  $a$  and  $b$ . That means the equality holds for all  $x \in \mathbb{R}$ . In particular, the equality holds for  $x = \pi/2$  and  $x = 0$ . Substituting these values, we have  $a + 0 = 0$  and  $0 + b = 0$ . Therefore  $\{\sin^2(x), \cos^2(x)\}$  is linearly independent.
- (2) Linearly independent. Suppose  $a\sin(x) + b\cos(2x) + c\sin(3x) = 0$  for some  $a, b, c \in \mathbb{R}$ . Setting  $x = 0$  yields  $b = 0$ , so now  $a\sin(x) + c\sin(3x) = 0$ . Setting  $x = \pi/3$  yields  $a = 0$ , and hence  $c\sin(3x) = 0$  so that  $c = 0$  (e.g. set  $x = \pi/6$ ).
- (3) Linearly independent. Suppose  $a + b(e^x + e^{-x}) + c(e^x - e^{-x}) = 0$ . Substituting the values  $x = 1, 2, 3$  yields three equations  $a + (e^i + e^{-i})b + (e^i - e^{-i})c = 0$  for  $i = 1, 2, 3$ . The coefficients of these three equations, in variables  $a, b, c$ , yields a  $3 \times 3$  matrix which can be shown to be row equivalent to the identity. This shows that the only solution is  $a = b = c = 0$ .
- (4) Note that  $\sin^2(x) + \cos^2(x) = 1$ . For any constant  $c \in \mathbb{R}$ ,  $c = c\sin^2(x) + c\cos^2(x)$ , which is a linear combination of  $\sin^2(x), \cos^2(x)$ , thus the span of  $\sin^2(x), \cos^2(x)$  contain all the constants
- (5) Note that  $(1/2)2\sin^2(x) + (1/3)3\cos^2(x) = \sin^2(x) + \cos^2(x) = 1$ . That is 1 is linear combination of the other vectors in the set, and hence  $V$  is spanned by  $\{2\sin^2(x), 3\cos^2(x)\}$ . Now, suppose  $a2\sin^2(x) + b3\cos^2(x) = 0$  for all  $x \in \mathbb{R}$  for some  $a, b \in \mathbb{R}$ . In particular, the equality holds for  $x = 0$  and  $x = \pi/2$ . Substituting these values for  $x$  gives us  $b = 0$  and  $a = 0$ . So,  $\{2\sin^2(x), 3\cos^2(x)\}$  is a linearly independent spanning set of  $V$ , that is a basis. Dimension of  $V$  is the number of vectors in a basis for  $V$ . Hence,  $\dim(V) = 2$ .

B: Let  $V$  be a real vector space and  $\vec{v}_i$  and  $\vec{w}_i$  be in  $V$ ,  $i = 1, \dots, n$ .

- (1) Give a necessary and sufficient condition for  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$ .<sup>1</sup>
- (2) Prove that  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$  if and only if  $\vec{v}_1, \dots, \vec{v}_n$  are in  $\text{Span}(\vec{w}_1, \dots, \vec{w}_n)$  and  $\vec{w}_1, \dots, \vec{w}_n$  are in  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n)$ .<sup>2</sup> Is this the same condition that you gave in 1? If not, is it equivalent?
- (3) Prove that  $\text{Span}(\vec{v}_1, \vec{v}_2) = \text{Span}(\vec{v}_1, 2\vec{v}_1 + 3\vec{v}_2)$ . You can use the previous parts.
- (4) Let  $\vec{w} \in V$ . Prove or disprove:  $\vec{w} \in \text{Span}(\vec{v}_1, \dots, \vec{v}_n)$  if and only if  $\text{Span}(\vec{w}, \vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{v}_1, \dots, \vec{v}_n)$

### Solution.

- (1)  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$  if and only if  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) \subseteq \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$  and  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) \supseteq \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$ . In other words if and only if every linear combination of  $v_i$ 's is a linear combination of  $w_i$ 's and every linear combination of  $w_i$ 's is a linear combination of  $v_i$ 's.
- (2) Since  $\vec{v}_i$  are elements of  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n)$ , and likewise for the  $\vec{w}_i$ , it is immediate that  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$  implies  $\vec{v}_1, \dots, \vec{v}_n$  are in  $\text{Span}(\vec{w}_1, \dots, \vec{w}_n)$  and  $\vec{w}_1, \dots, \vec{w}_n$  are in  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n)$ . Consider the converse. An element of  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n)$  is of the form  $r_1\vec{v}_1 + \dots + r_n\vec{v}_n$ . Since  $\vec{v}_i \in \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$ , therefore  $\vec{v}_i = r_{i1}\vec{w}_1 + \dots + r_{in}\vec{w}_n$ . Substituting each  $\vec{v}_i$  with this expression in  $r_1\vec{v}_1 + \dots + r_n\vec{v}_n$ , expanding, and collecting terms, it is clear that the result is a linear combination of the  $\vec{w}_i$ . This shows that  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) \subseteq \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$ . Showing  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) \supseteq \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$  is similar, and hence  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$ .
- (3) Using part two, all we need to show is that  $\vec{v}_1, \vec{v}_2$  are in  $\text{Span}(\vec{v}_1, 2\vec{v}_1 + 3\vec{v}_2)$  and  $\vec{v}_1, 2\vec{v}_1 + 3\vec{v}_2$  are in  $\text{Span}(\vec{v}_1, \vec{v}_2)$ . Well.  $\vec{v}_1$  is clearly in  $\text{Span}(\vec{v}_1, 2\vec{v}_1 + 3\vec{v}_2)$ , and  $\vec{v}_2 = 1/3(2\vec{v}_1 + 3\vec{v}_2) - 2/3\vec{v}_1$  and hence in  $\text{Span}(\vec{v}_1, 2\vec{v}_1 + 3\vec{v}_2)$ . Conversely, both  $\vec{v}_1$ , and  $2\vec{v}_1 + 3\vec{v}_2$  are linear combination of  $\vec{v}_1$  and  $\vec{v}_2$ , and hence in  $\text{Span}(\vec{v}_1, \vec{v}_2)$ .
- (4) True. If  $\vec{w} \in \text{Span}(\vec{v}_1, \dots, \vec{v}_n)$ , then it immediately follows from (2) that  $\text{Span}(\vec{w}, \vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{v}_1, \dots, \vec{v}_n)$ . Conversely, if  $\text{Span}(\vec{w}, \vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{v}_1, \dots, \vec{v}_n)$ , we have  $\vec{w} \in \text{Span}(\vec{w}, \vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{v}_1, \dots, \vec{v}_n)$ .

<sup>1</sup>Means fill in the blank for ..... if and only if  $\text{Span}(\vec{v}_1, \dots, \vec{v}_n) = \text{Span}(\vec{w}_1, \dots, \vec{w}_n)$ .

<sup>2</sup>Remember how we proved two sets are equal in class. You need to show they are subsets of one another.

C: Let  $P$  denote the vector space of all polynomials (that is, functions of the form  $f(x) = a_n x^n + \cdots + a_1 x + a_0$  where each  $a_i \in \mathbb{R}$  and  $n$  is any non-negative integer).

- (1) Give two spanning sets for  $P$ .
- (2) What do you think it means for a vector space to be finitely generated? Write down the definition explicitly. Check your definition with your TA.
- (3) Suppose  $V$  is a finitely generated subspace of  $P$ , and  $S$  is a finite spanning set for  $V$ . Let  $m = \max\{\deg(f(x)) \mid f \in S\}$ . Discuss with your group why  $m$  is a well defined integer. One way to think about this is, if you were to program a computer to find  $m$  what would your algorithm be, and does that algorithm end.
- (4) Construct an element  $g$  in  $P$  of degree  $m + 1$ . Prove that  $g$  is not in  $\text{Span}(S)$ .
- (5) Prove that  $P$  is not finitely generated.

**Solution.**

- (1)  $\{1, 1 + x, 1 + x + x^2, 1 + x + x^2 + x^3, \dots\}$  and  $\{1, x, x^2, x^3, \dots\}$ .
- (2) A vector space  $V$  is finitely generated if there exists a finite subset  $S$  of  $V$  such that  $\text{Span}(S) = V$ .
- (3) "Well defined" means that according to the definition, there is no ambiguities in finding the elements and the elements always exists. In this question, the question defined  $m$  using  $\max$  function, and the  $\max$  of a infinite set can possibly be not exist. But here since  $S$  is finite, therefore  $m$  is a maximum of a finite set and hence maximum always exist.
- (4) An example of  $g$  is  $g(x) = x^{m+1}$ . To see that  $g$  is not in  $\text{Span}(S)$ , note that the largest degree polynomial in  $\text{Span}(S)$  is degree  $m$ : This is true for  $S$ , and  $\text{Span}(S)$  is obtained from  $S$  by taking linear combinations of elements of  $S$ , and linear combinations of polynomials has the degree given by those polynomials. So,  $g \notin \text{Span}(S)$  because its degree is bigger than  $m$ .
- (5) If  $P$  were finitely generated, then by (3) there would exist some  $m$  such that  $P$  would not contain polynomials of degree greater than  $m$ , which is false.

COOL-OFF: Give an example of the described object or explain why such an example does not exist.

- (1) A finitely generated vector space.
- (2) A vector space that is not finitely generated
- (3) A linearly dependent subset of  $\mathcal{F}$  and a dependency relation among its vectors
- (4) A linearly independent subset of  $\mathcal{F}$ .

**Solution.**

- (1)  $\mathbb{R}^n$  and any vector space that “looks similar”(you will have a precise definition of what does looks similar mean) to  $\mathbb{R}^n$  is finitely generated.
- (2) The vector space consists of all continuous functions from  $\mathbb{R}$  to  $\mathbb{R}$ . (Think about it why the function space cannot be finitely generated) or the vector space of all polynomials  $\mathbb{P}$ .
- (3) Recall that  $\mathcal{F}$  is the set of functions from  $\mathbb{R}$  to  $\mathbb{R}$ . The subset  $\{\sin^2(x), \cos^2(x), 1\}$  is a linear dependent set since  $\sin^2(x) + \cos^2(x) = 1$
- (4)  $\{1, \ln x\}$  is a linear independent subset of  $\mathcal{F}$