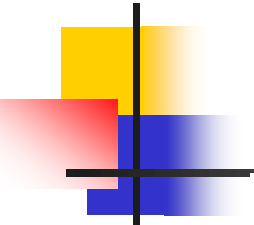


Chapter 4

Channel Coding and Error Control

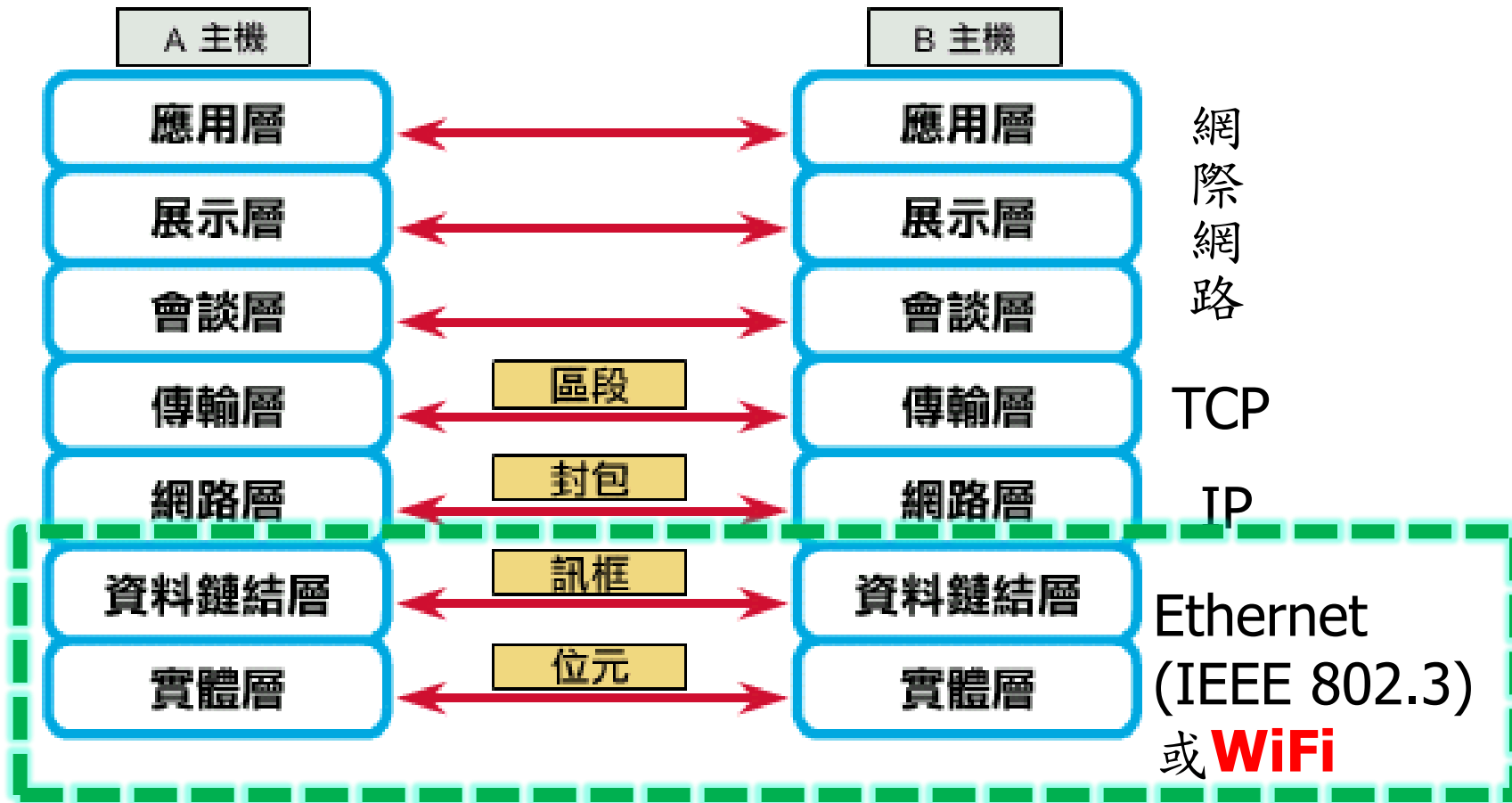
Adapted from class notes by
Prof. Leszek T. Lilien, CS, Western Michigan University
and
Prof. Dharma P. Agrawal & Qing-An Zeng, University of Cincinnati

Most slides based on publisher's slides for 1st and 2nd edition of:
Introduction to Wireless and Mobile Systems by Agrawal & Zeng
© 2016, Dharma P. Agrawal and Qing-An Zeng. All rights reserved.

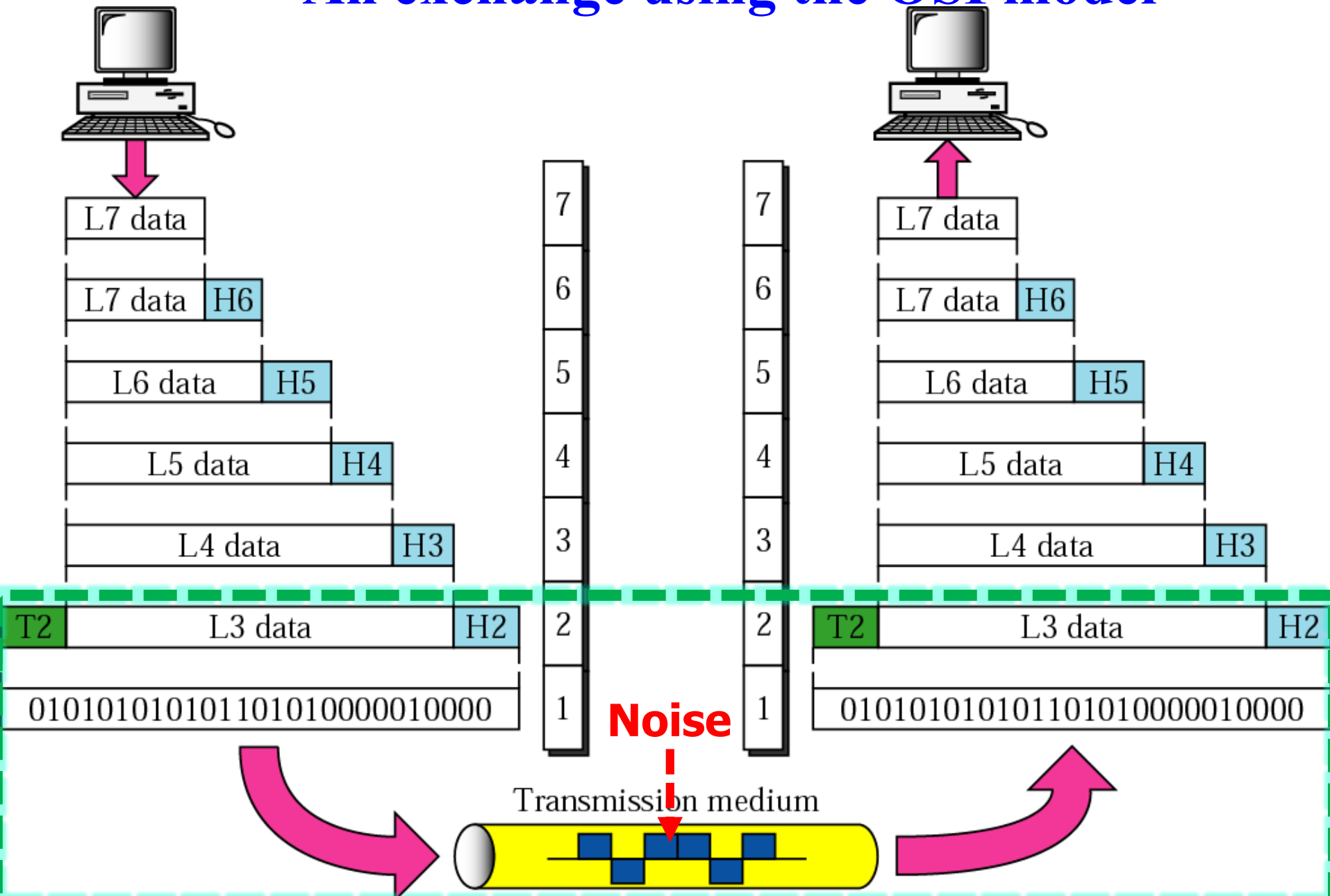


Protocol Hierarchies (cont.)

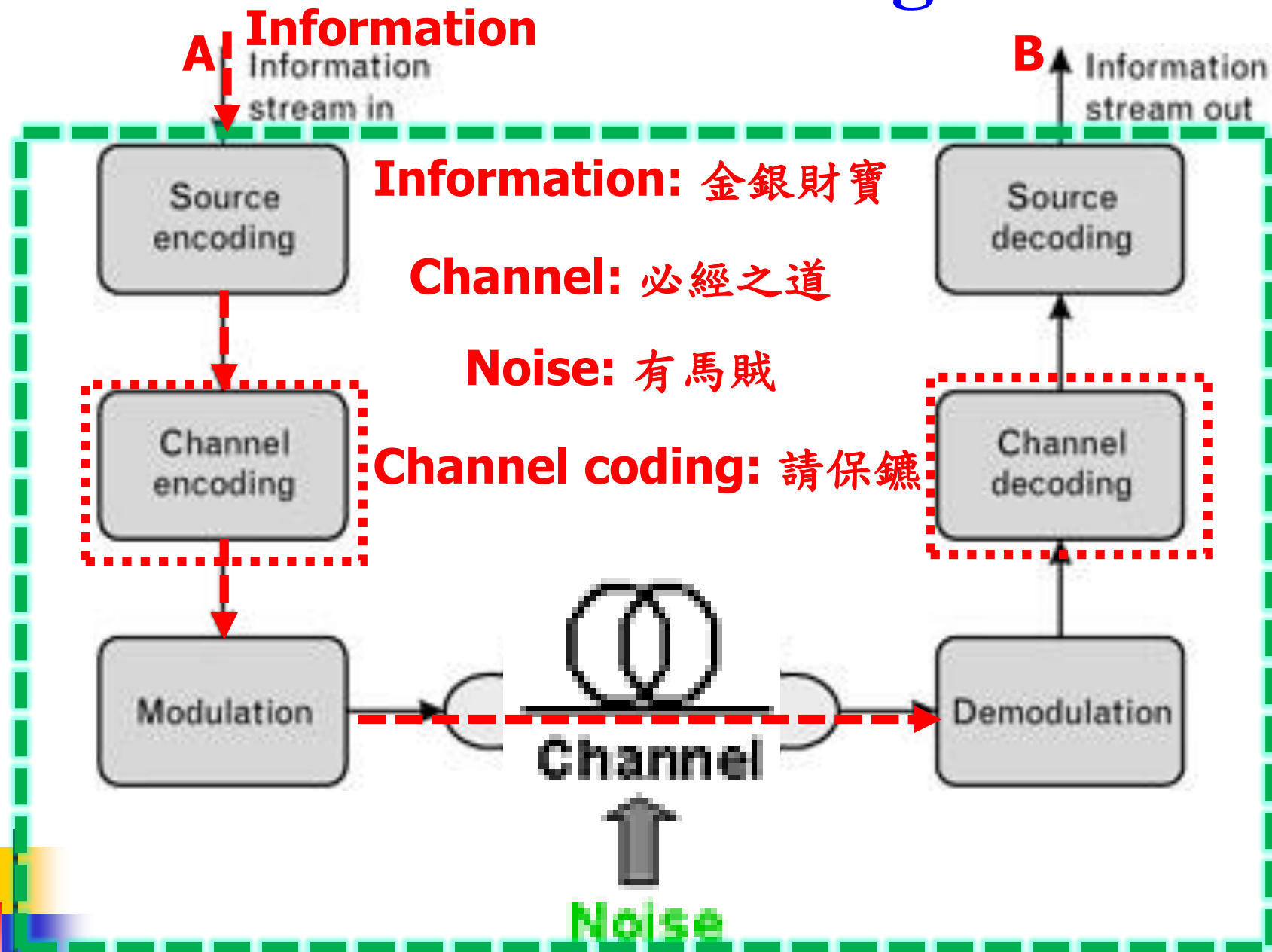
■ 對等式通訊(Peer-to-Peer)



An exchange using the OSI model



Channel/ Channel coding



4.1. Introduction

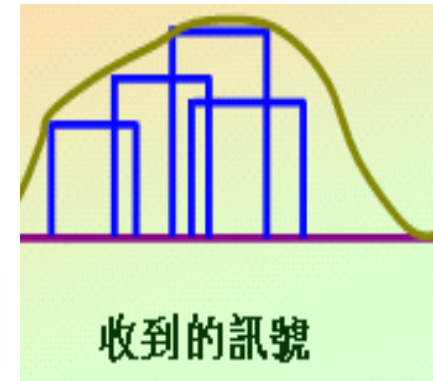
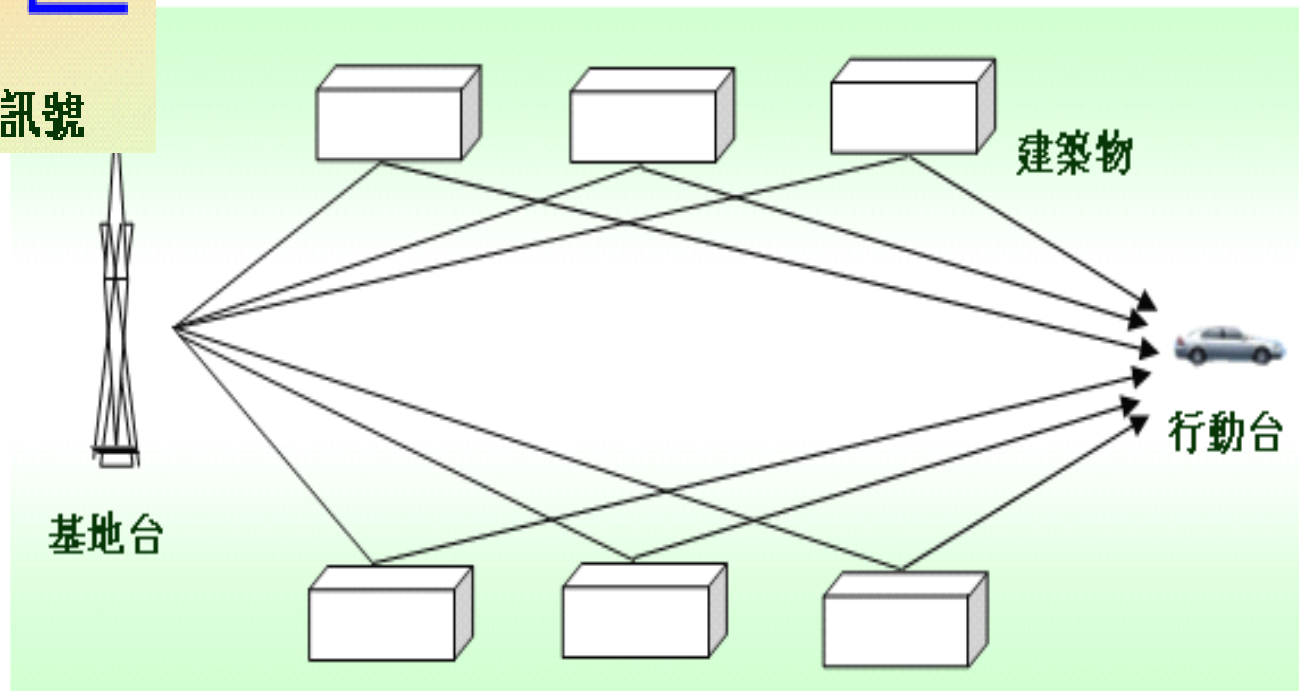
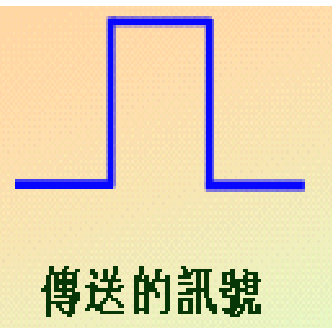
- Why need **channel coding** for radio communication?

- Severe transmission problems

- In **terrestrial mobile** communications due to:

- Multipath fading

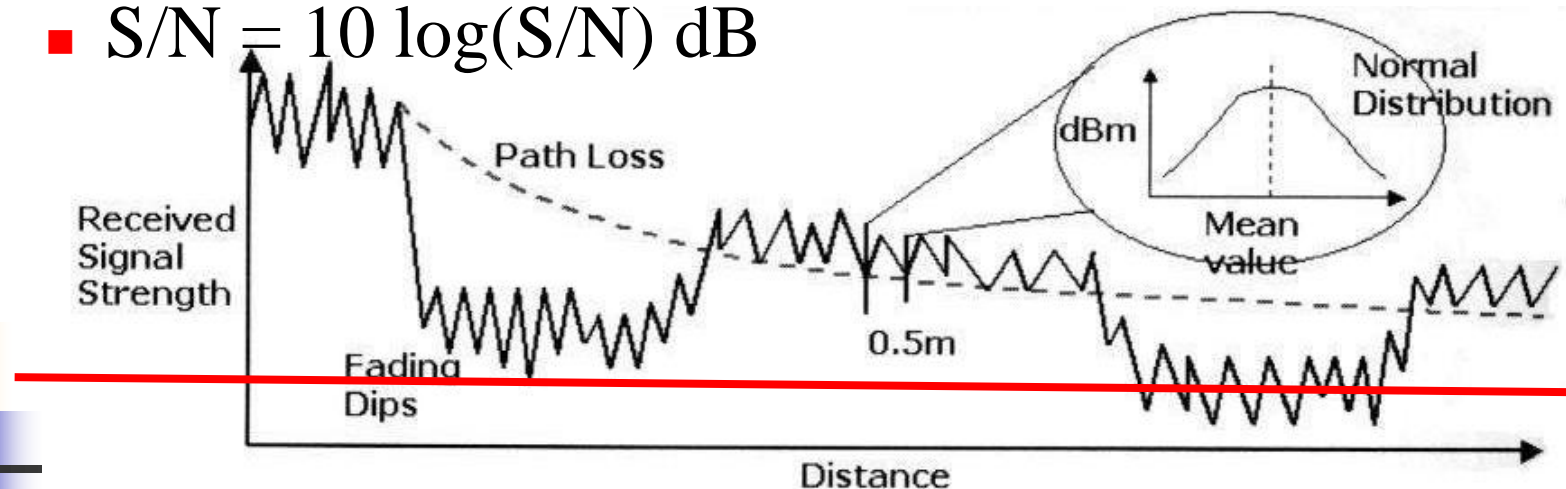
- E.g., reflections / diffractions / scattering in cellular wireless communications



→ Low **S/N (signal-to-noise) ratio = SNR**

S/N Ratio (SNR), SIR

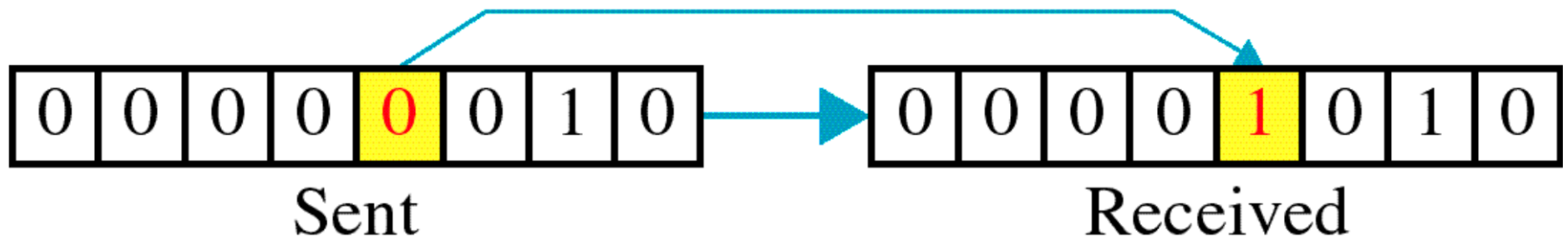
- 訊號與雜訊比(Signal to Noise Ratio; Signal to Interference Ratio)
- 雜訊(Noise)對訊號(Signal)的干擾程度
 - S/N或S/I: 通常以dB來表示比值
 - S/N值愈高，訊號品質愈好
 - 必須使 $S/N > 1$ (其dB值 > 0)
 - 提高發射功率，可使S/N值提高，但須規範
 - $S/N = 10 \log(S/N) \text{ dB}$



Error Control Process (1)

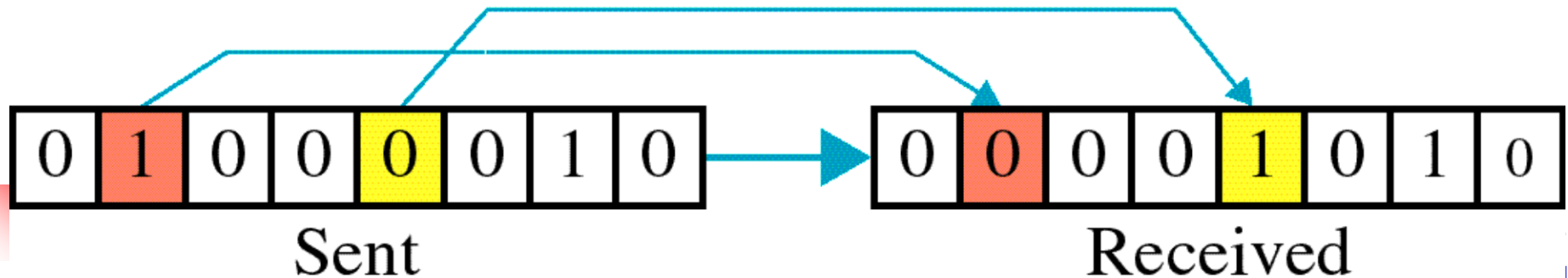
Single-bit error

0 changed to 1



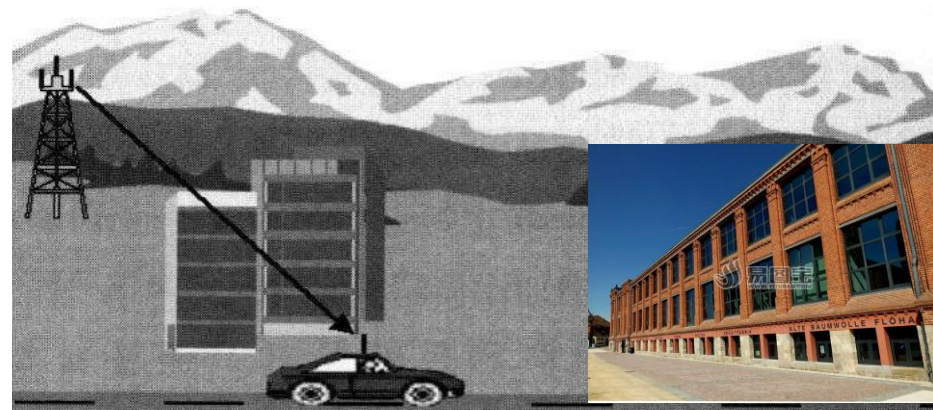
Multiple-bit error

Two errors



Error Control Process (2)

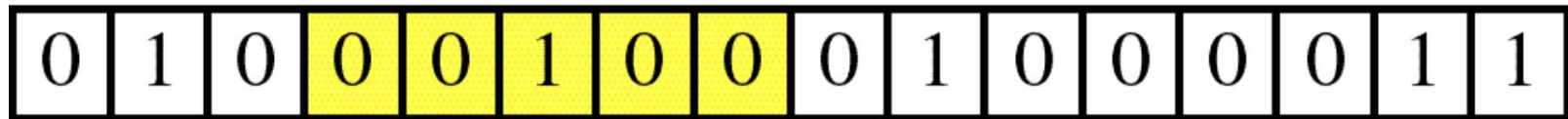
Burst error



http://www.juimg.com/zhengban/201707/sytukuqita_2246247.html

Source: 禹帆, 無線通訊網路概論, 文魁

Sent



Burst error



Received

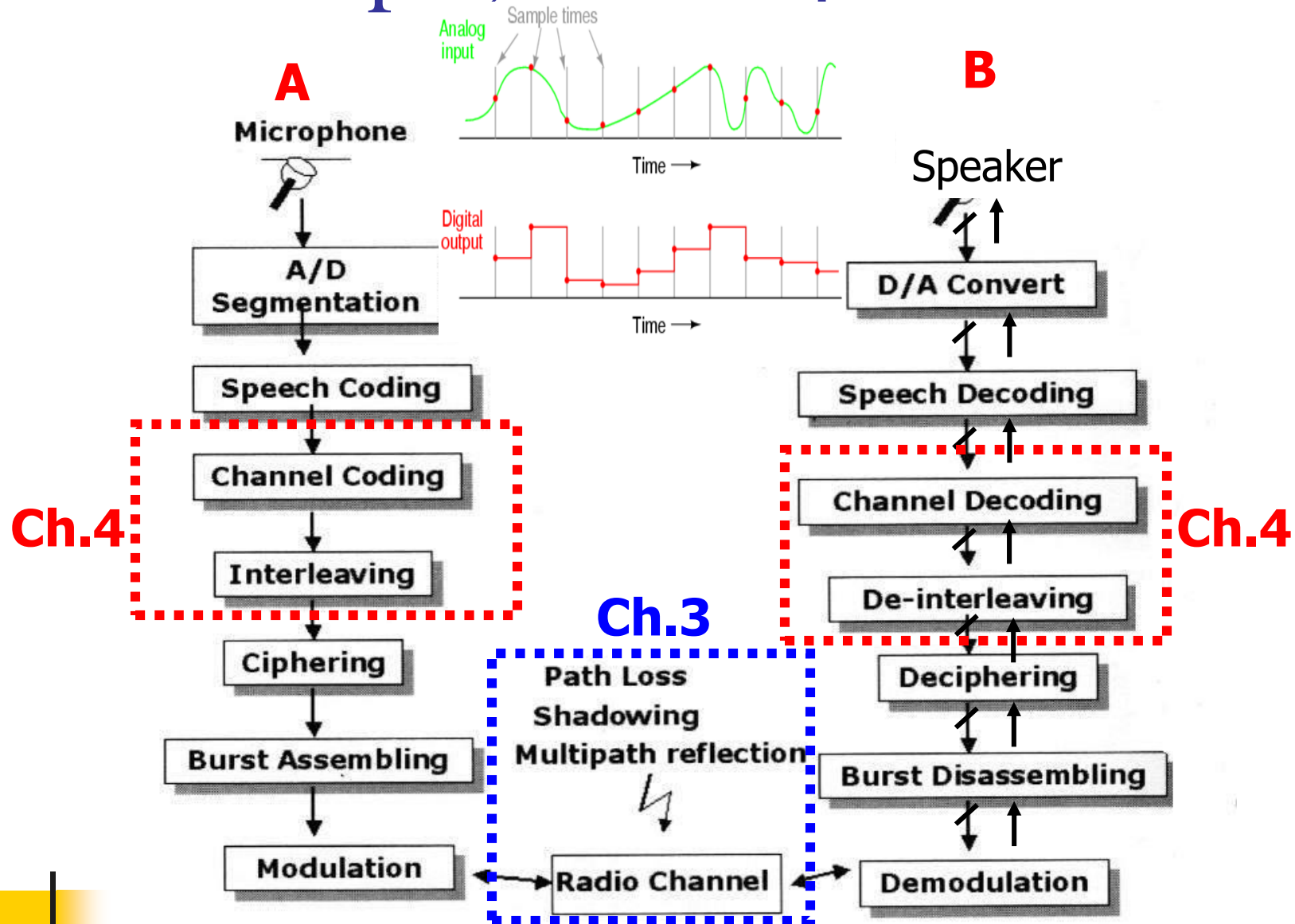
4.1. Introduction (cont.)

- In **satellite** communications due to:
 - Limited xmitting power for forward channels (downlink)
 - Limited satellite energy resources

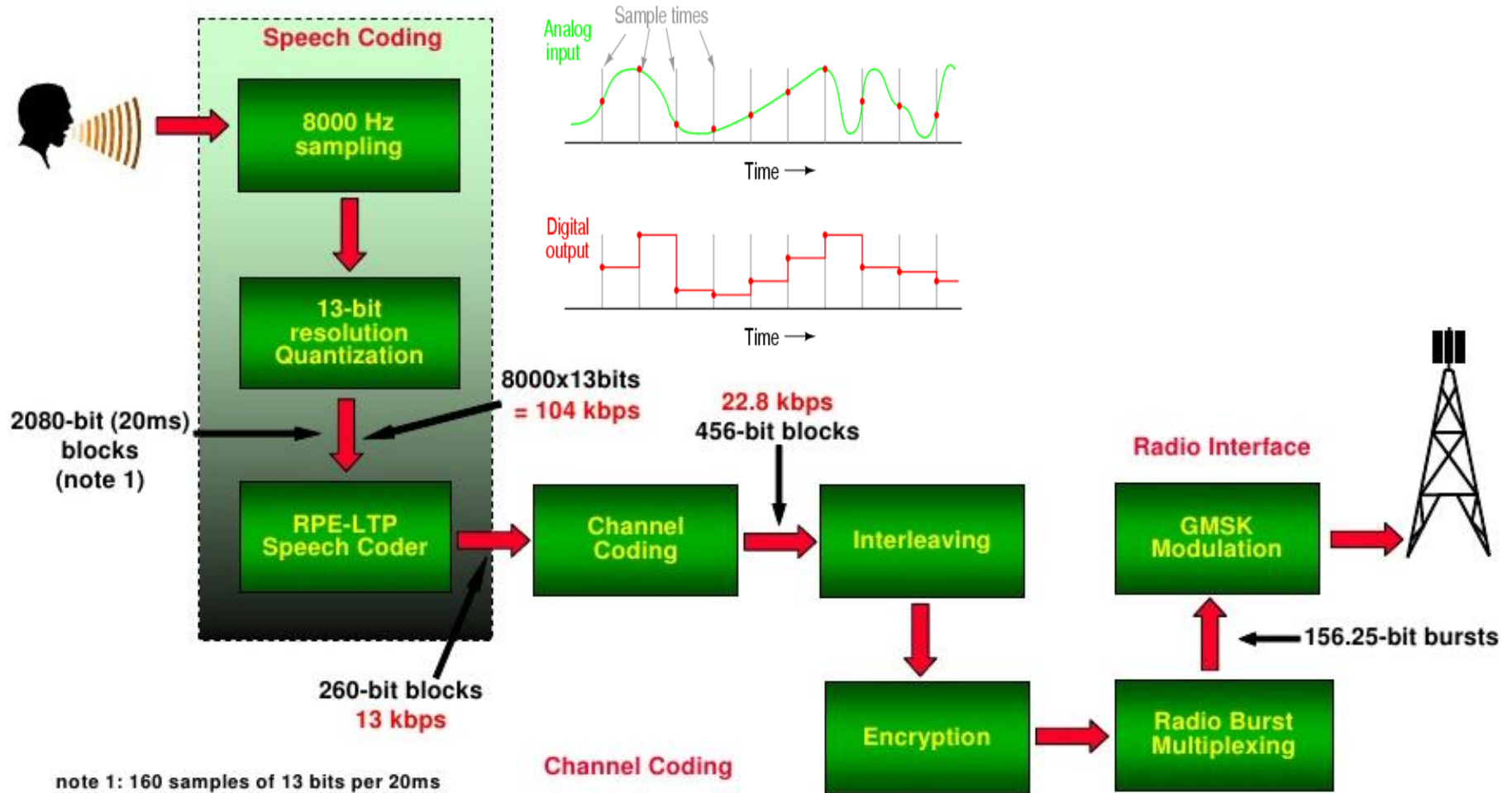


=> Need means to **improve transmissions**
Channel coding and **error control** are such means

For example, mobile phone



GSM Voice Coding Sequence



4.1. Introduction – cont. 1

- => Need **channel coding** and **error control** to improve transmissions

- **Channel coding (CC)**

= coding (discrete digital) information into a form suitable for transmission, with emphasis on enhanced **reliability**



<http://pansci.asia/wp-content/uploads/2014/04/pp1-560x286.png>

Why take the Risk?
Your fiber optic infrastructure is a powerful tool and big investment.

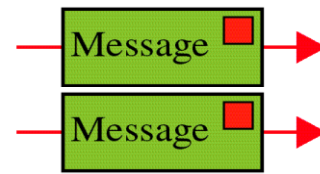


Don't risk it to a weak link
Insure with EtherWAN's high reliability
Media Converters, Today.

- **Commercial Grade**
(0°C – 50°C)
- **Hardened Grade**
(-40°C – 75°C)
- **Gigabit Ethernet**
media converters
- **Dual-speed**
media converters
- **Central Chassis**
Options

http://us.etherwan.com/Download/ePaper/00074/images/MediaConverter_2012.jpg

4.1. Introduction – cont. 1



■ Channel coding (CC)

- CC adds **redundancy** that allows for information restoration or recovery when needed

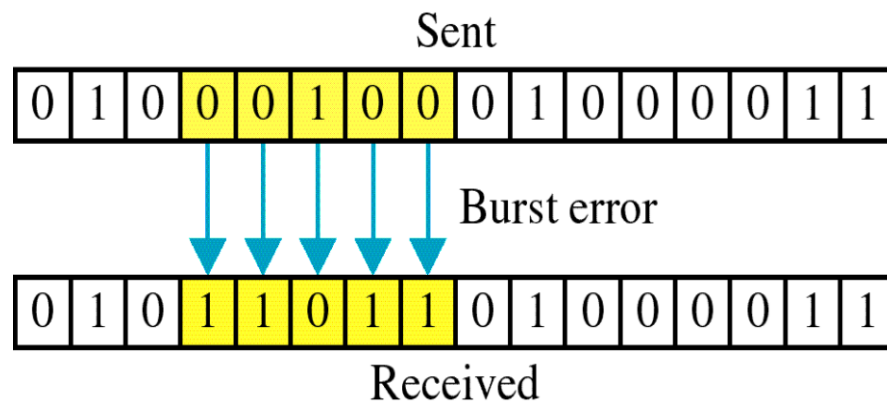
- Price paid: **need broader bandwidth**

代價; 運費; 押鏢金

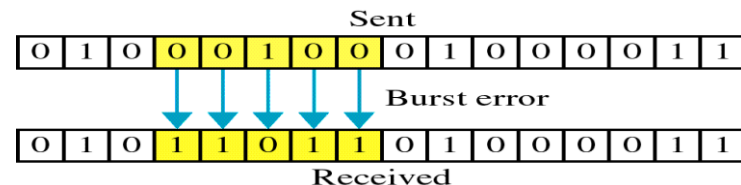
- CC ensures proper transmission quality

- Measured by

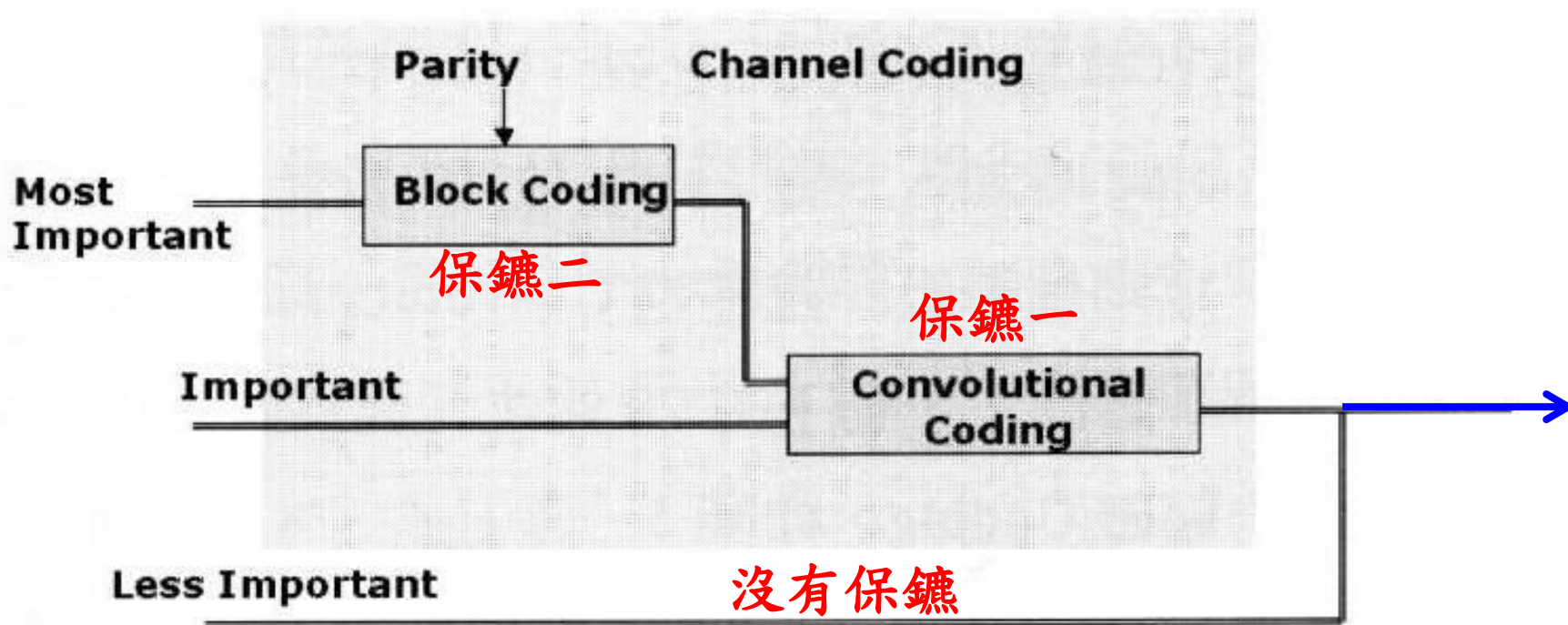
- Bit error quality (**BER**) / Frame error quality (**FER**)



Channel Coding



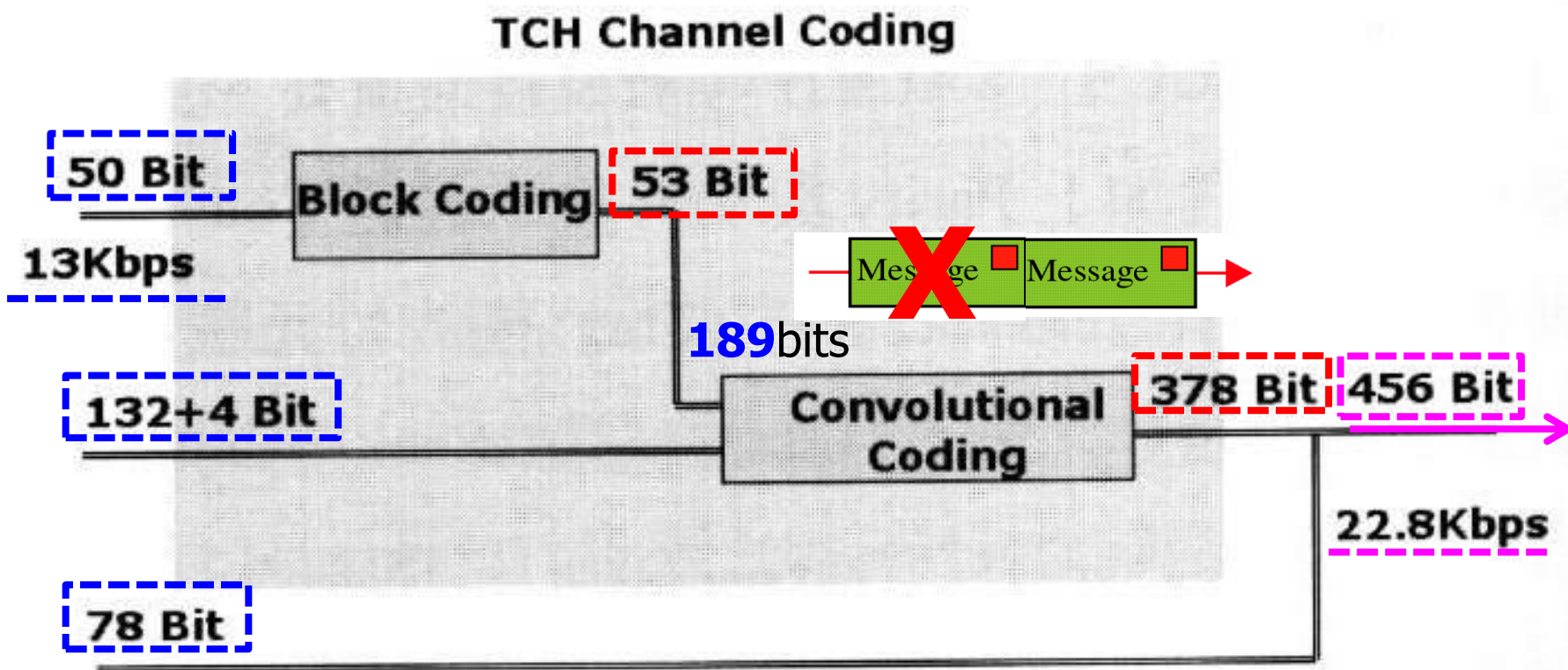
- **Bit Error Rate (BER):** 數位系統訊號受干擾的程度
- BER過高 → 通話品質下降
 - Error Detection / Error Correction → **Channel Coding**
- **GSM TCH channel coding**



Channel Coding (cont.)

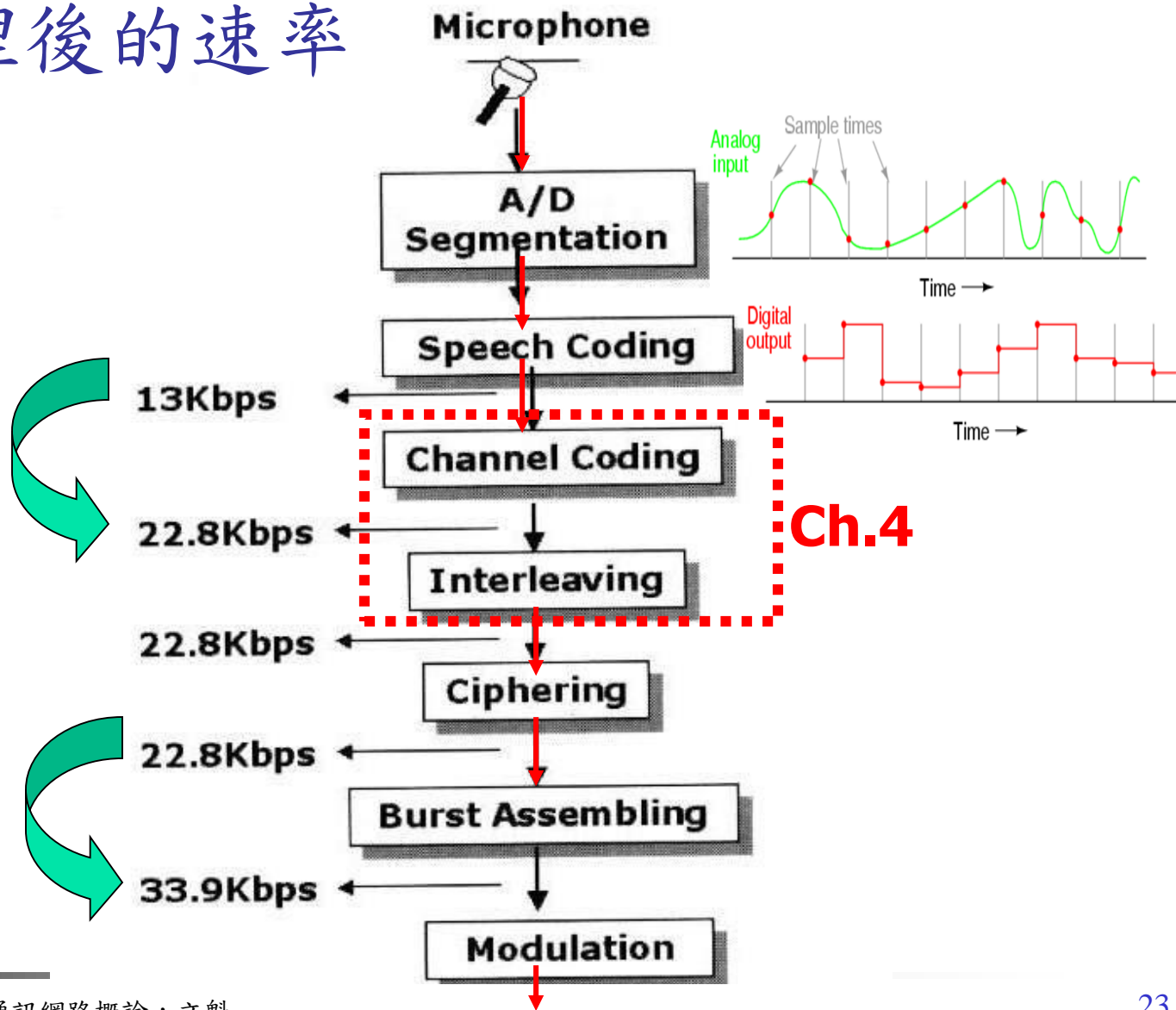
- GSM TCH頻道編碼時對260個位元的處理方式

Need broader bandwidth

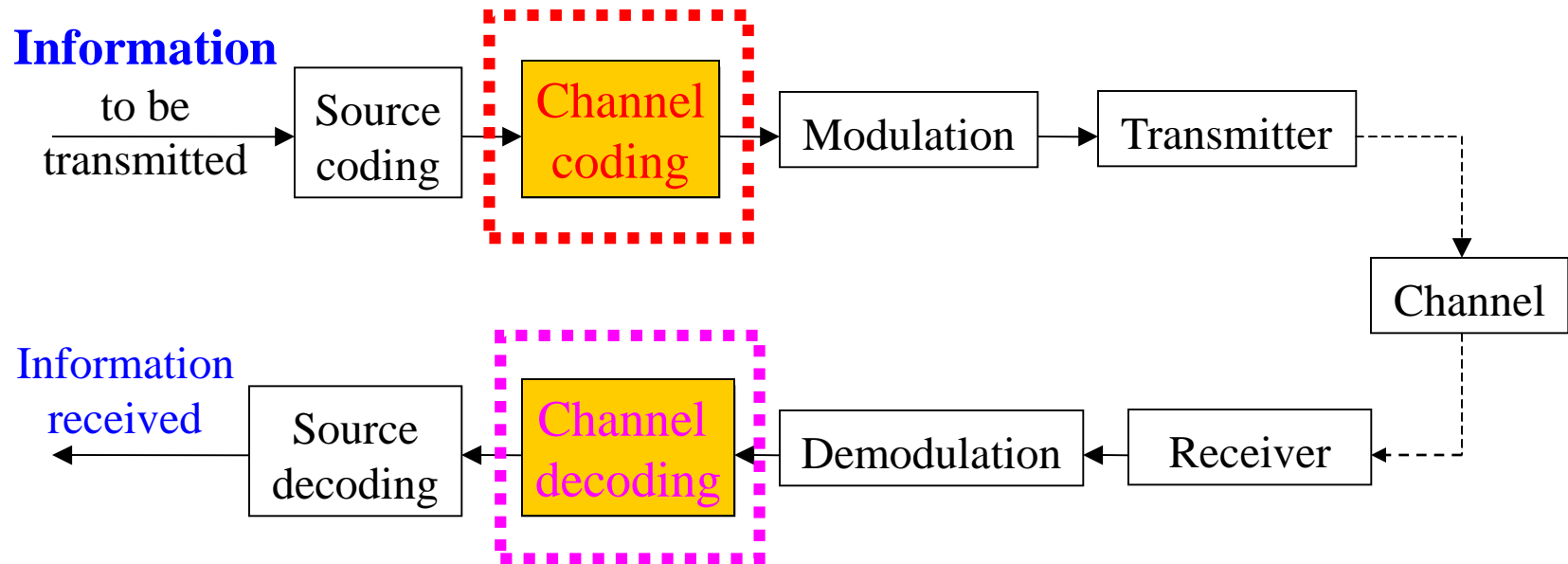


Channel Coding (cont.)

行動電話語音頻道於各個階段經過
訊號處理後的速率



■ Channel coding/decoding in a wireless communication system



p. 80 (頁90) Fig. 4.1

Error Control Process (Review)

- All transmission media have potential for introduction of errors
- **Error control** process has two components

- **Error detection**

- **redundancy** in data so that error can be detected



- **Error correction**

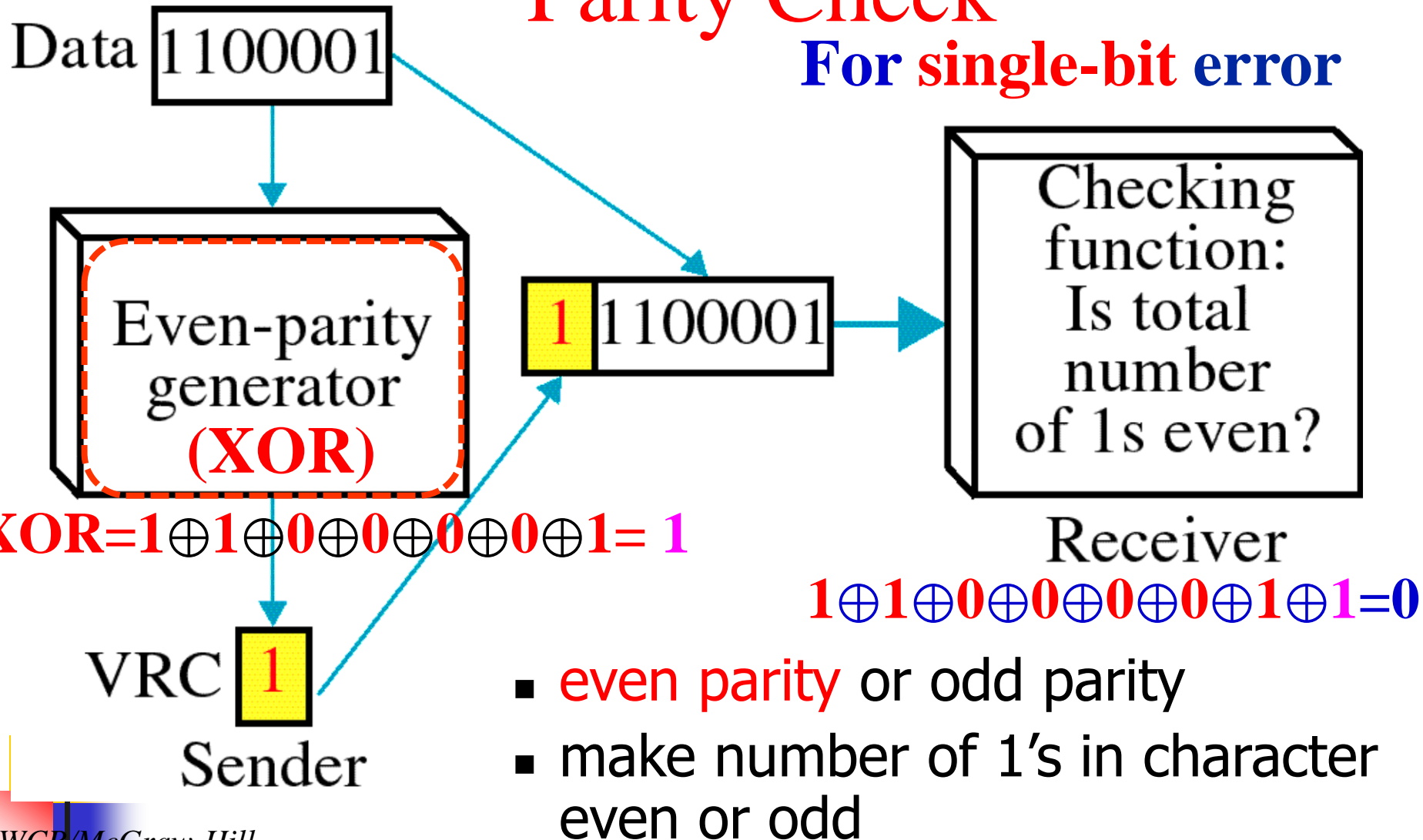
- once error detected, frames **corrected** or **retransmitted**



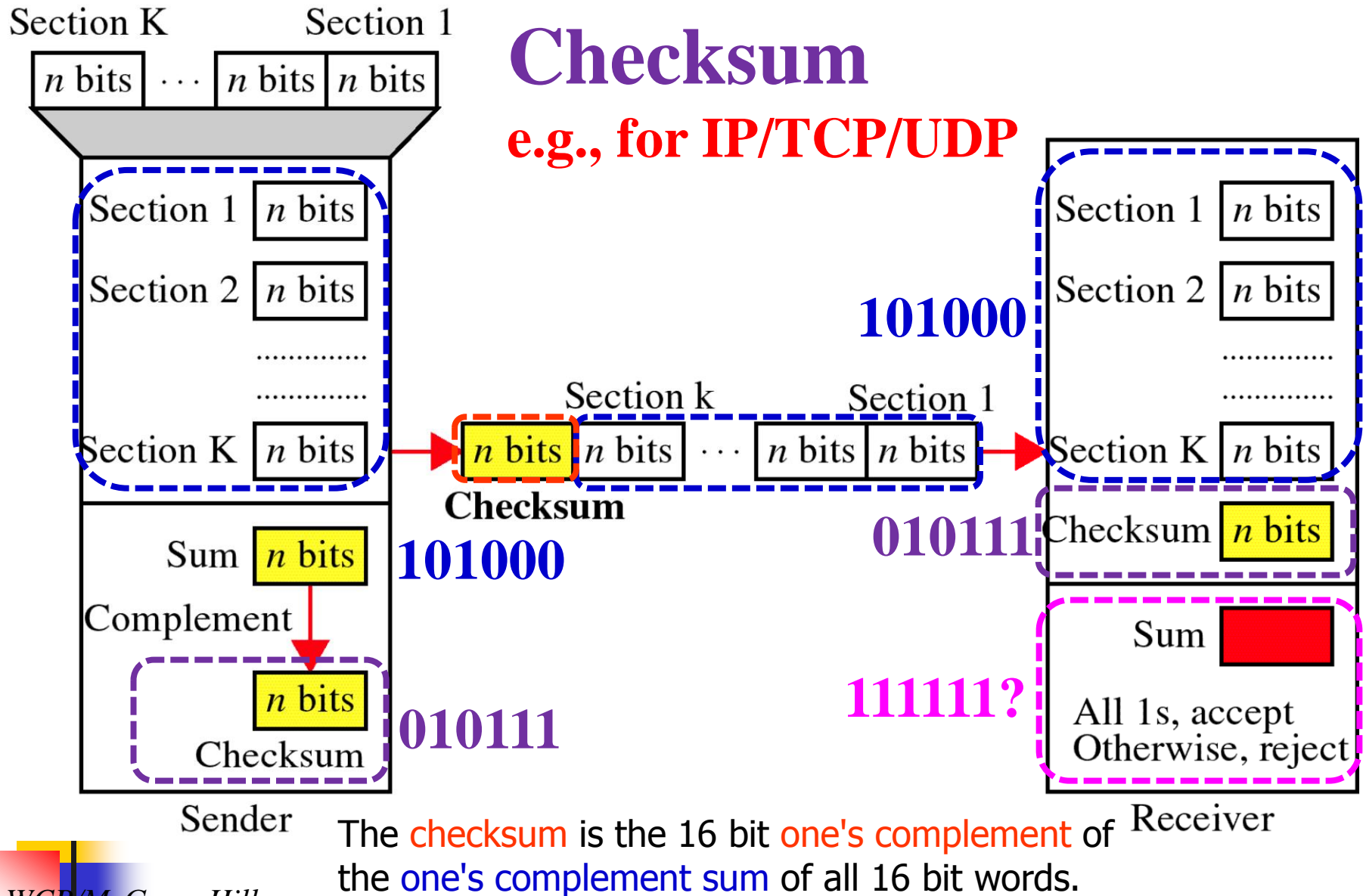
Error Detection (Review)

Parity Check

For single-bit error

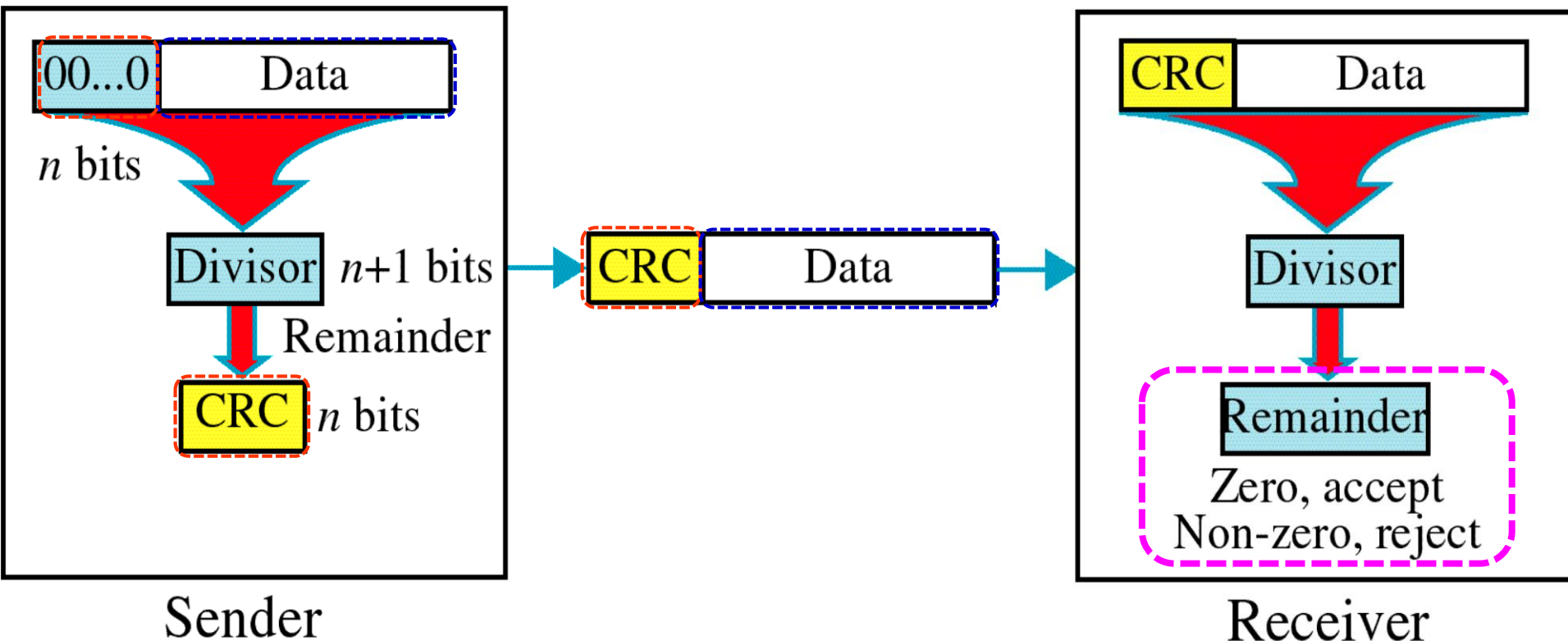


Error-Detecting (Review)



Error-Detecting (Review)

Error-Detecting Codes -- **CRC** CRC (Cyclic Redundancy Check)

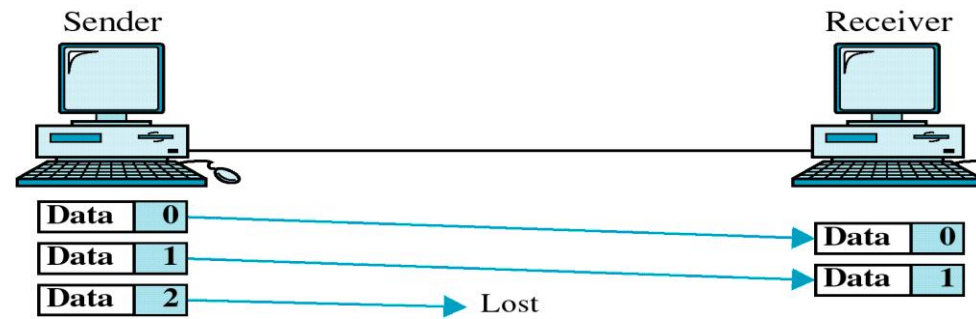


E.g., FCS(Frame Check Sequence)
in Ethernet/ WiFi frame format

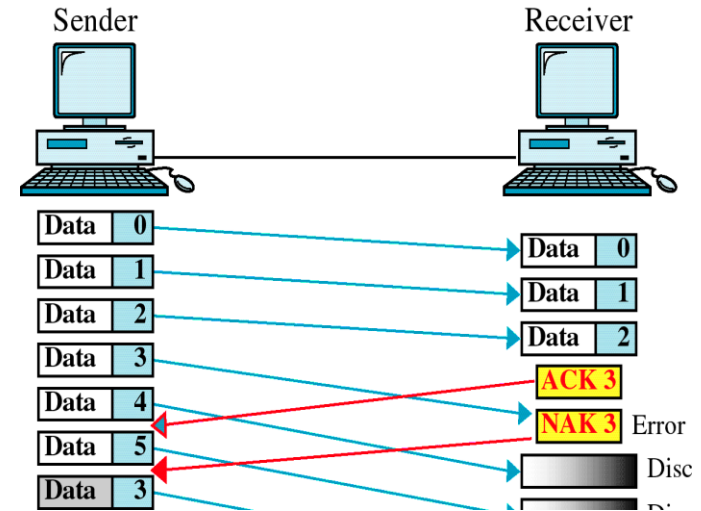
Error Correction

- Two types of errors

- Lost** frame –
never arrives



- Damaged** frame –
error in bits



- Automatic Repeat reQuest (ARQ)

- Positive acknowledgment (**ACK**) if received
- Retransmission after **time-out** if **not ACK**
- Negative ACK** and **retransmission** if error

Error-Correcting Codes

Error Correction (EC)

- The **key** EC idea:

DH	data	DT
----	------	----

 - Transmit enough redundant data to **allow** receiver to **recover** from errors all by itself
 - No sender **retransmission** required

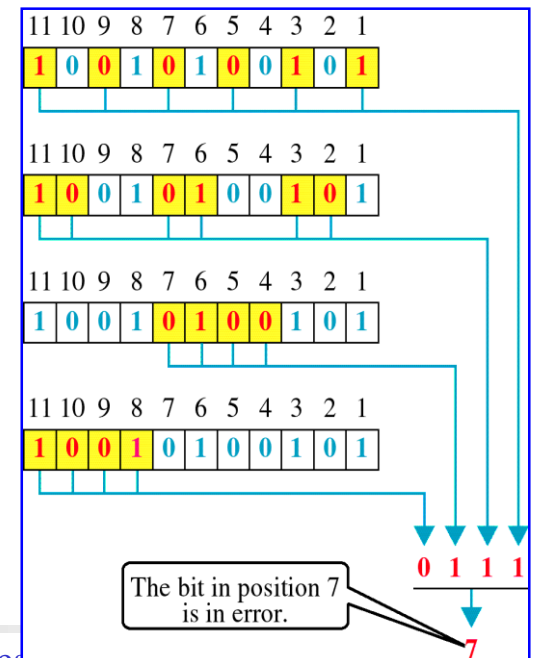
- Major **categories** of **EC** codes (保護的方法與等級)
(really: forward error correction – **FEC**)

- **Linear block codes**

- Hamming code
- BCH code
- Cyclic codes
- Reed-Solomon codes

- **Convolutional codes** (迴旋碼)

- **Turbo codes** (渦輪碼)



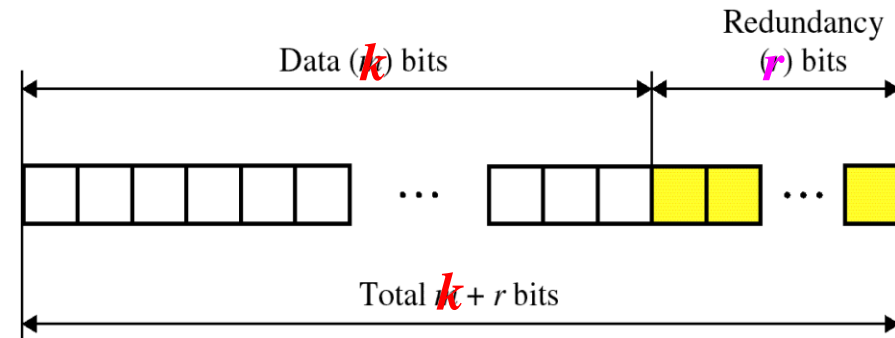
4.2. Linear Block Codes

- Information is divided into **blocks** of length k
- r parity bits or check bits are added to each block
 - Total length: $n = k + r$

- Coding rate:

$$R = k/n = k/(k + r)$$

- Decoder looks for code word closest to received vector
 - Received vector = code vector + error vector
- Tradeoffs between
 - Efficiency: R
 - Reliability: 可靠度；判斷正確的程度
 - Encoding/decoding complexity: algorithm

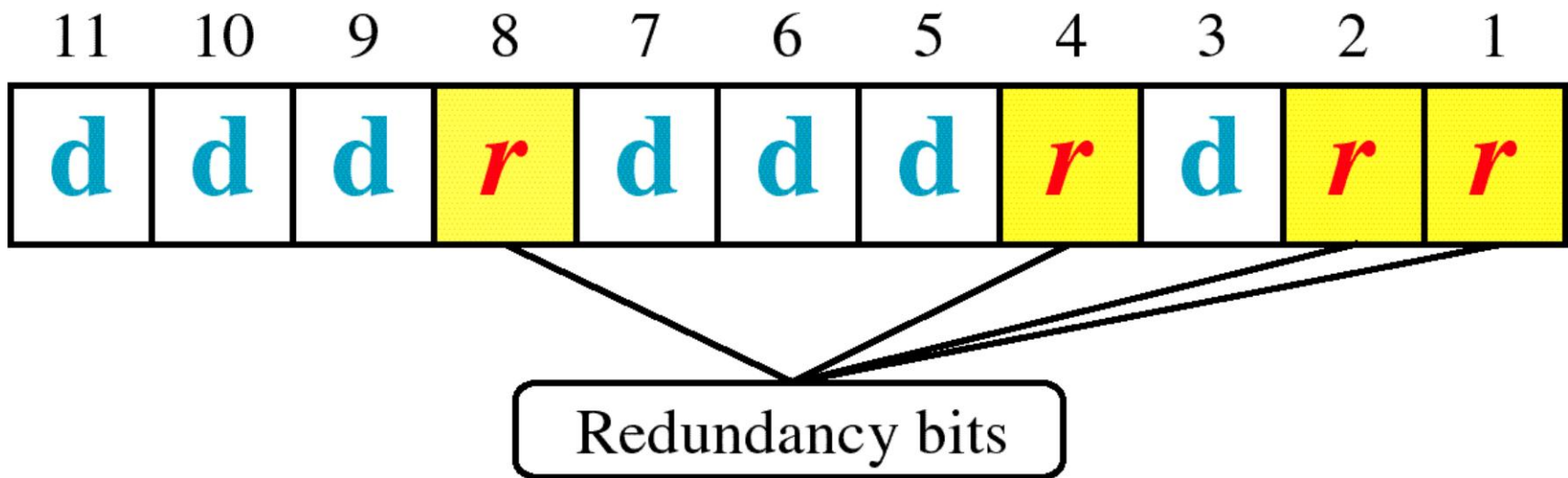


Hamming Code (Review)

$$n = 11, k = 7, r = 4, R = 7/11$$

Coding rate

Code word



4.5. Convolutional Codes

請功夫最好的保鏢



http://static.apple.nextmedia.com/images/e-paper/20121102/large/1351837530_3133.jpg

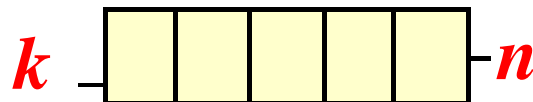
- Most commonly used channel codes

- E.g., in GSM and IS-95

- Encoding of **information stream** rather than **information blocks** **0010011010011010001100...**

- Output (encoded bits) **depends** not only on **current input bits** but **also on past data bits**

- M - max. # of (past) stages (a.k.a. memory size) affecting output



- Easy implementation using **shift register**

- Assuming k inputs and n outputs

- Decoding most often based on the **Viterbi Algorithm**

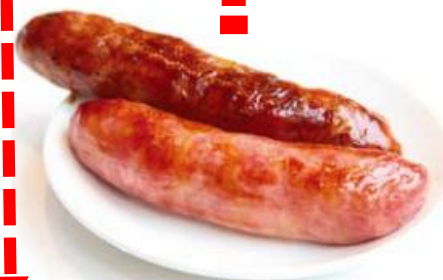
電動絞肉機

0010011010011010001100



01000110100110100110100011010001100

?



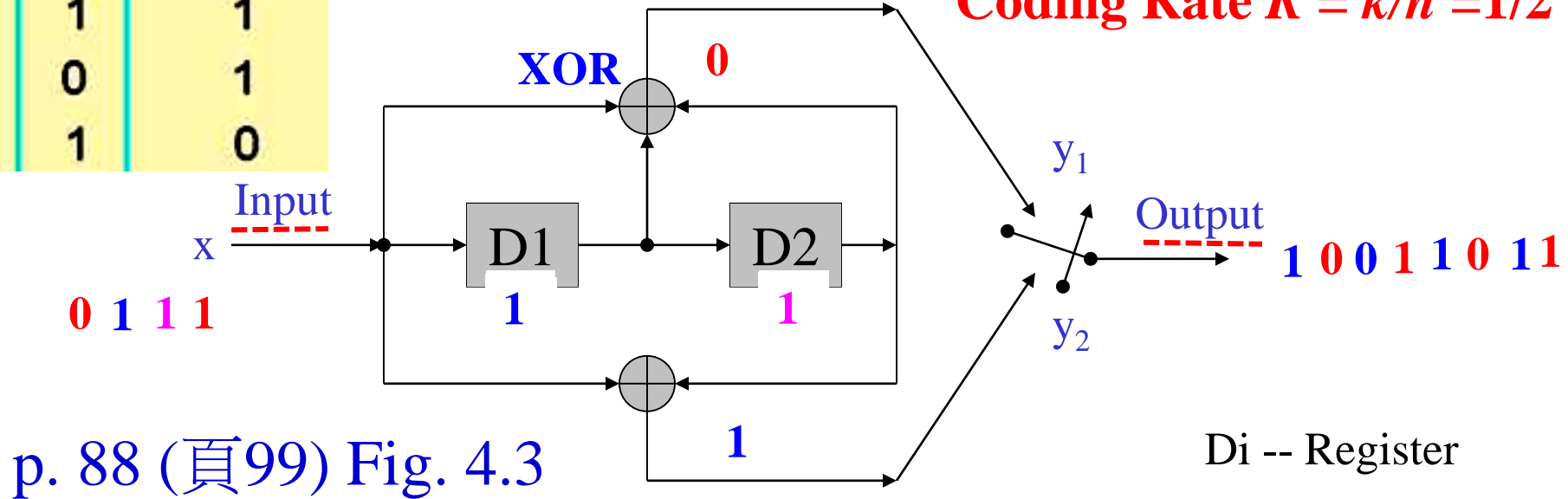
0010011010011010001100

Convolutional Codes: ($k=1, n=2, M=2$)

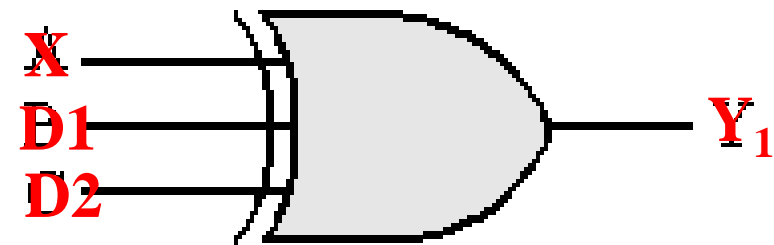
Encoder

x	y	x XOR y
0	0	0
0	1	1
1	0	1
1	1	0

Coding Rate $R = k/n = 1/2$



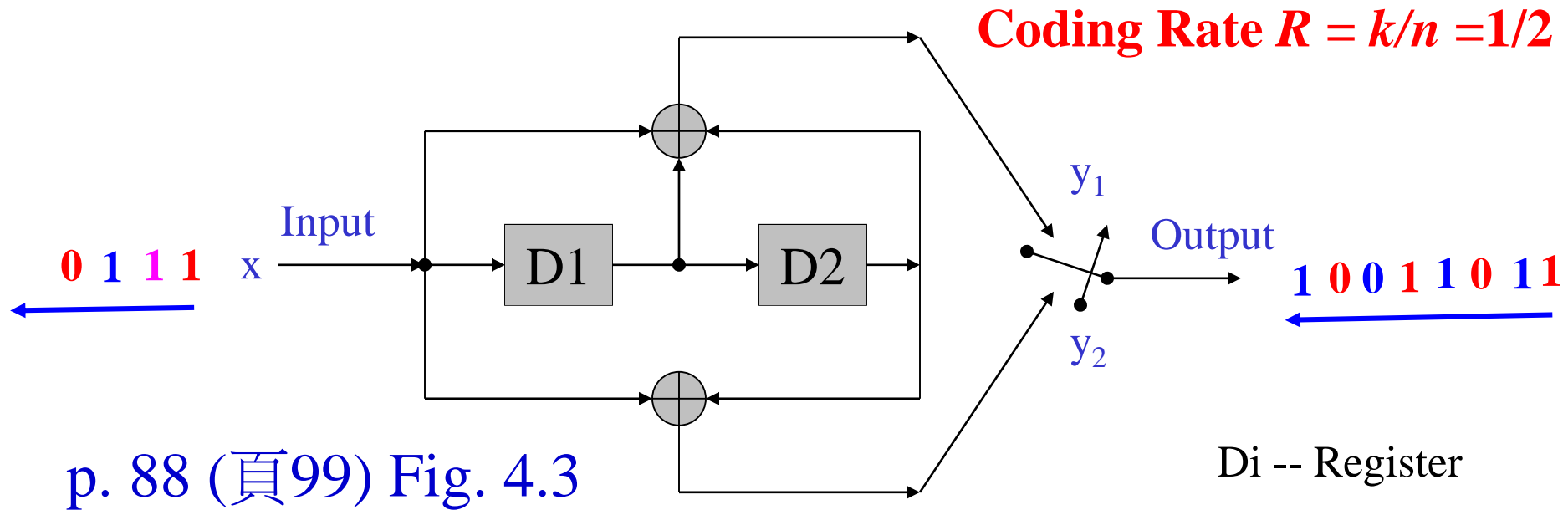
p. 88 (頁99) Fig. 4.3



XOR			Y_1
x	D1	D2	x XOR D2
0	0	0	0
0	1	1	0
1	0	0	1
1	1	1	1

Convolutional Codes: ($k=1, n=2, M=2$)

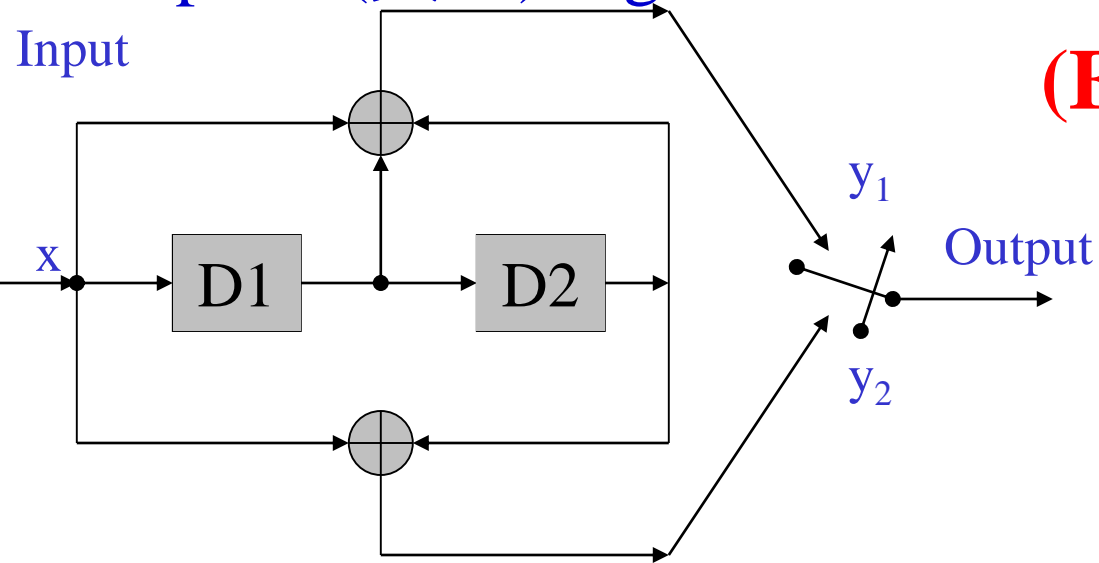
Encoder



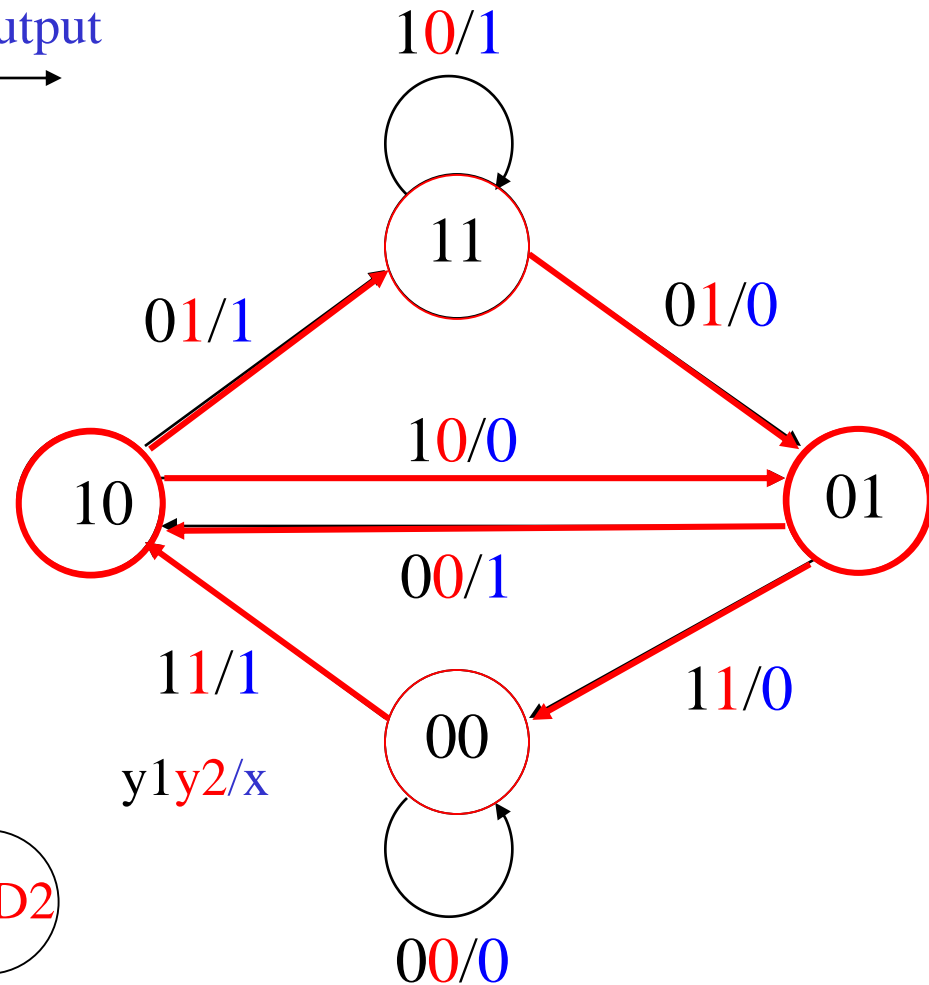
Input:	1	1	1	0	0	0	...
Output:	11	01	10	01	11	00	...

Input:	1	0	1	0	0	0	...
Output:	11	10	00	10	11	00	...

p. 88 (頁99) Fig. 4.3



State Diagram (Finite State Machine)



XOR

x	y	x XOR y
0	0	0
0	1	1
1	0	1
1	1	0

p. 88 (頁100) Fig. 4.4

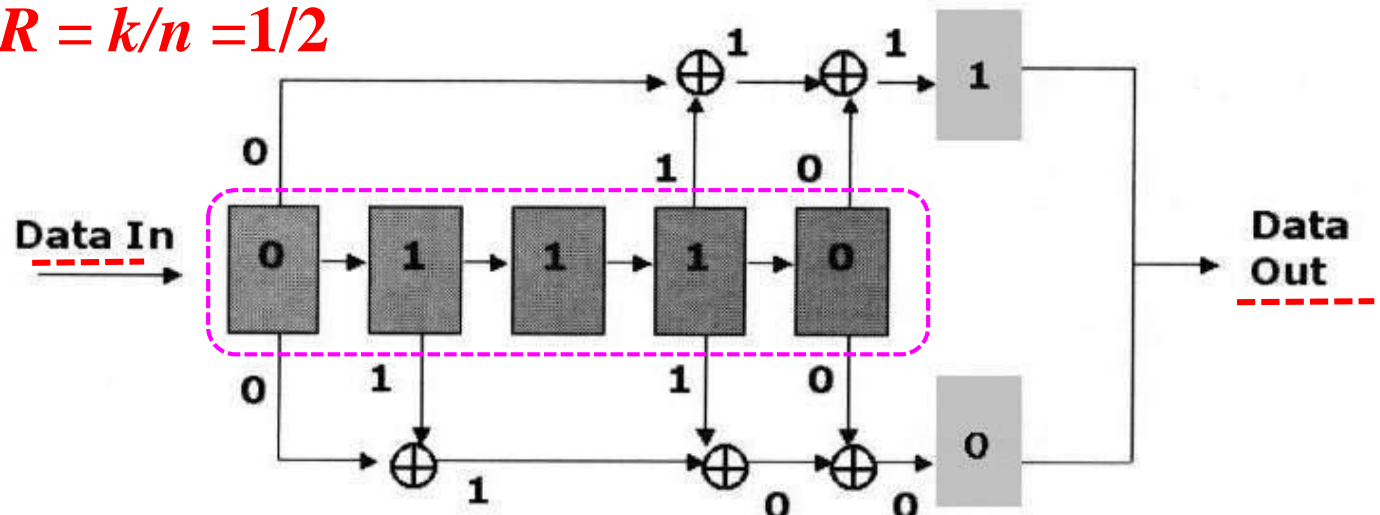
GSM Channel Coding

- **Convolutional Coding**運用Exclusive OR計算出更多的位元

A	B	$A \oplus B$
0	0	0
0	1	1
1	0	1
1	1	0

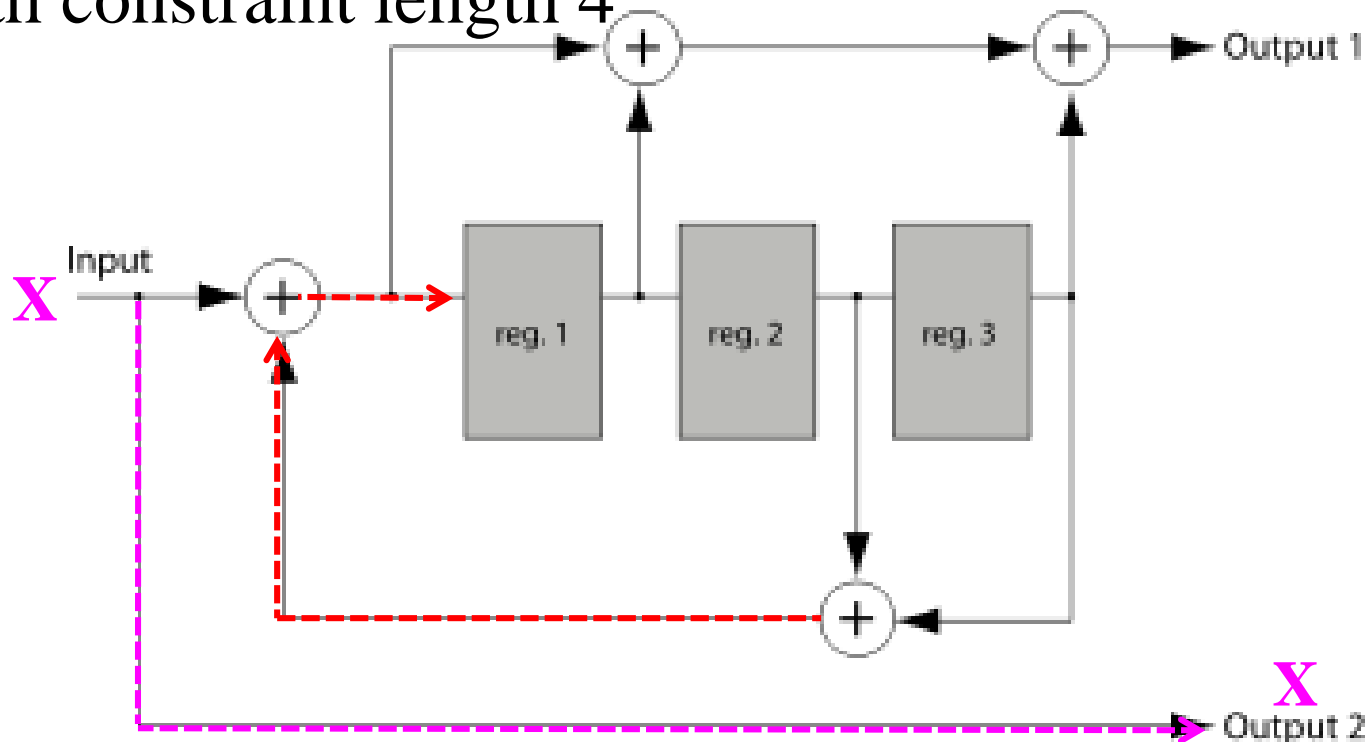
Exclusive OR 運算表

Coding Rate $R = k/n = 1/2$



Example 3: **RSC** encoder

- Rate 1/2 recursive, systematic convolutional (RSC) encoder with constraint length 4



One can see that the input being encoded is included in the output sequence too (look at the output 2). Such codes are referred to as *systematic*; otherwise the code is called *non-systematic*.

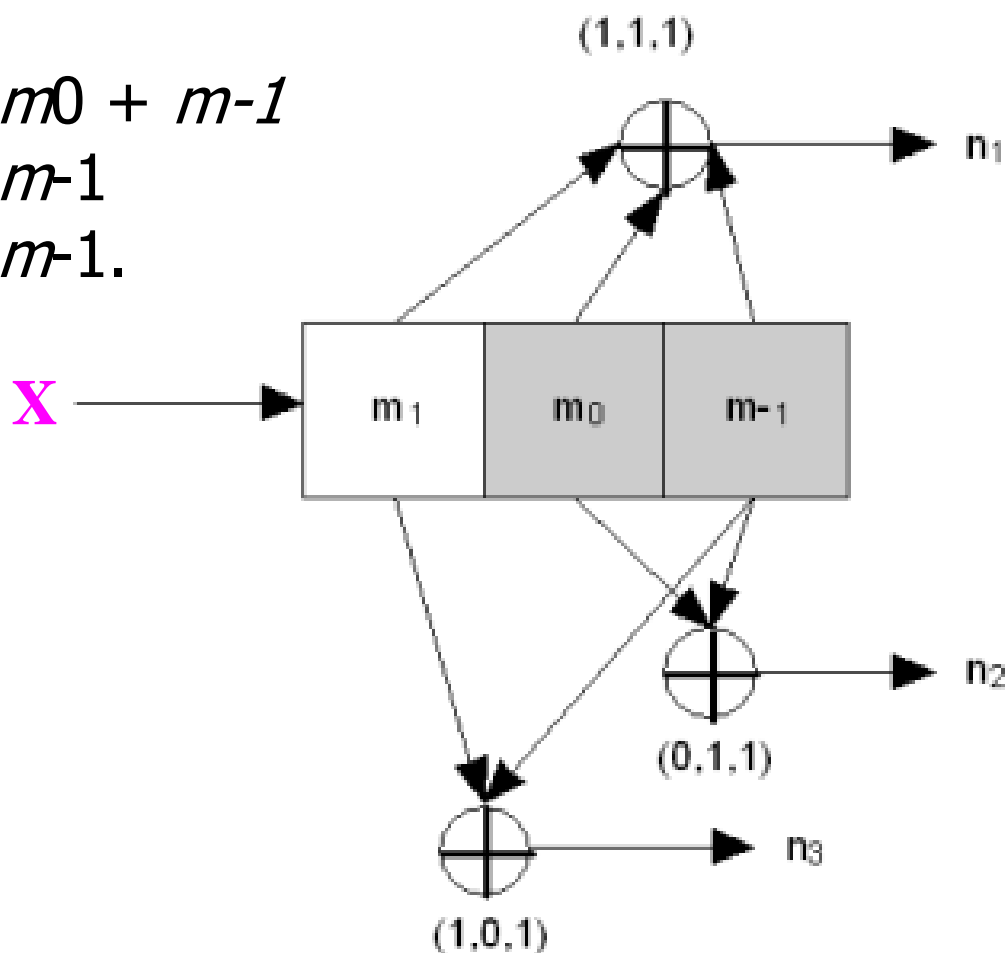
Example 2: *non-recursive* encoder

- Rate 1/3 non-recursive, non-systematic convolutional encoder with constraint length 3

$$n_1 = m_1 + m_0 + m_{-1}$$

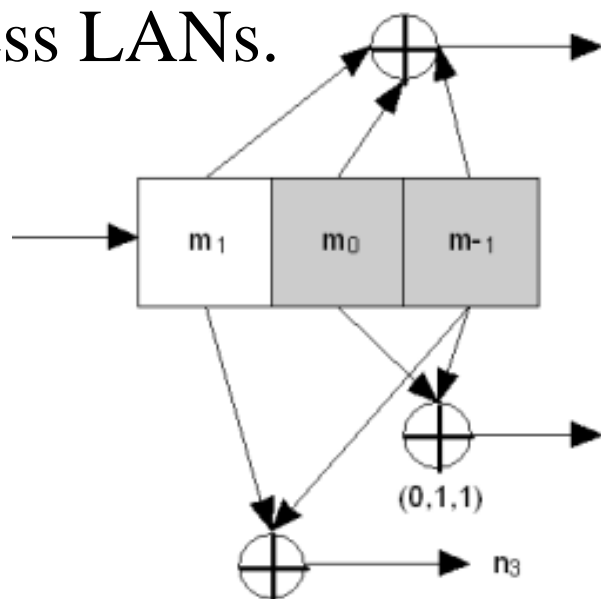
$$n_2 = m_0 + m_{-1}$$

$$n_3 = m_1 + m_{-1}$$



Viterbi Decoding Algorithm

- The Viterbi algorithm was conceived by Andrew Viterbi in 1967 as an error-correction scheme for noisy digital communication links, finding universal application in decoding the convolutional codes used in both CDMA and GSM digital cellular, dial-up modems, satellite, deep-space communications, and 802.11 wireless LANs.



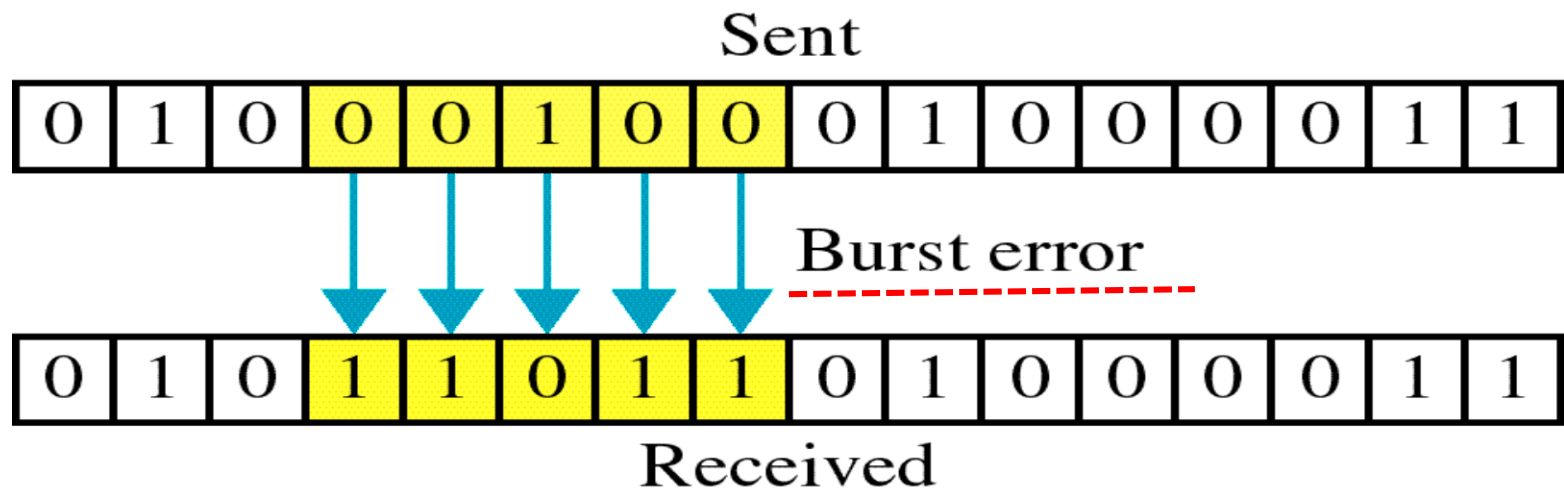
絞肉機

0010011010011010001100



4.6. Interleaver

- **Interleaving** is heavily used in wireless communication for protection against burst errors
 - Recall:
Burst error— a contiguous sequence of symbols, received over a data transmission channel, such that:
- **Block interleaver** - most common interleaver
 - Concept — next slide



Concept of Interleaver

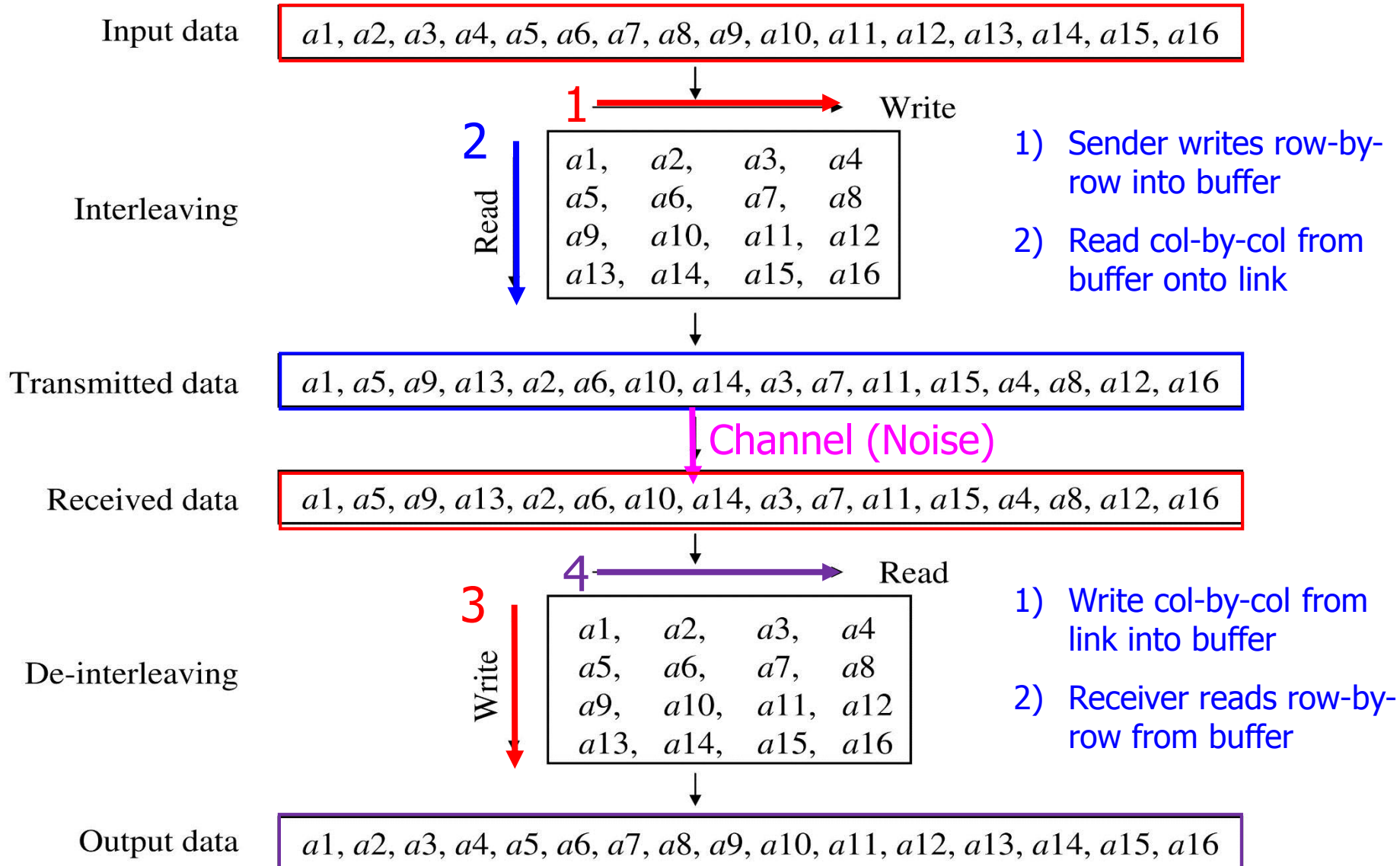
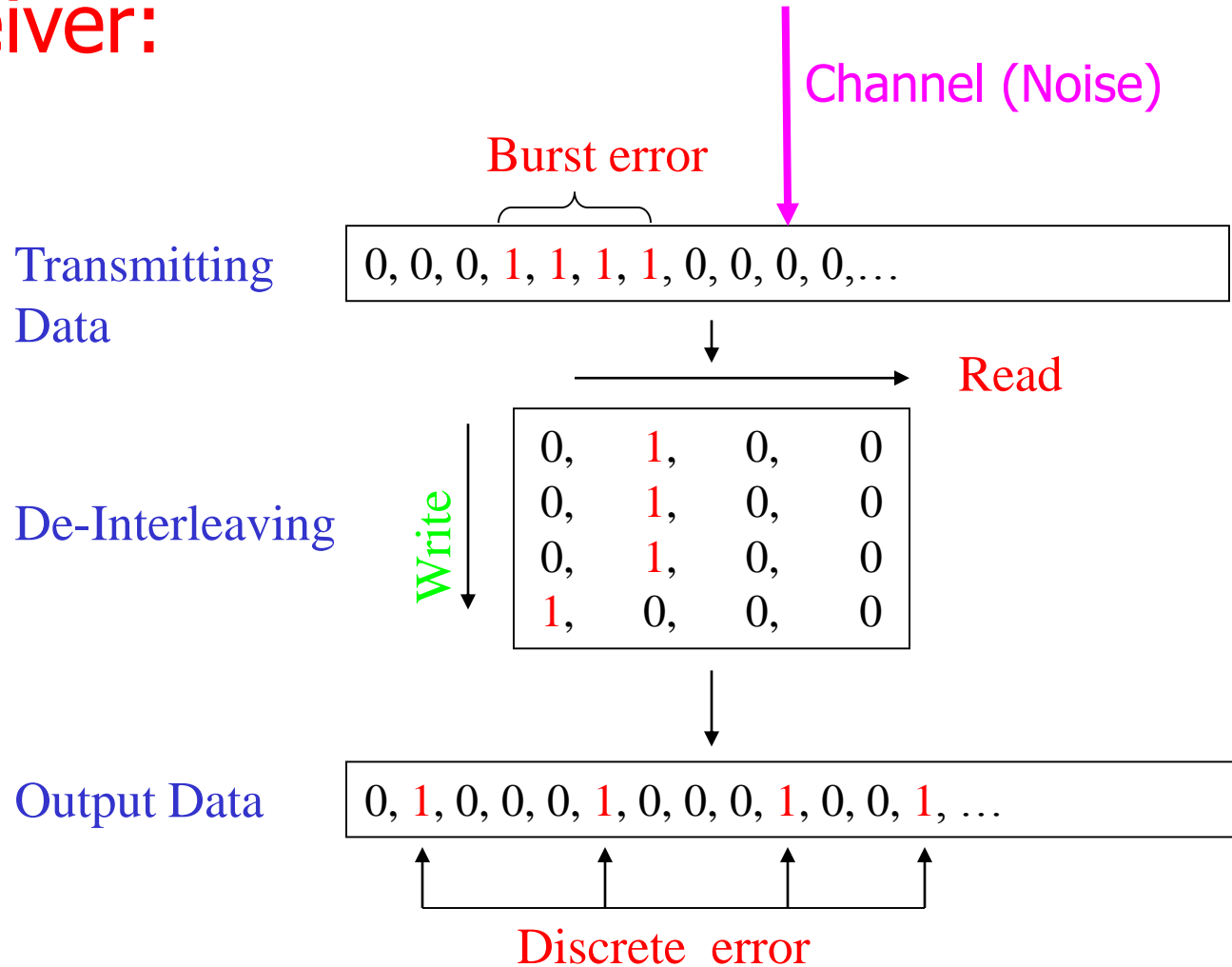


Figure 4.7 Concept of interleaver.

p. 90 (頁102) Fig. 4.7

Interleaving (Example)

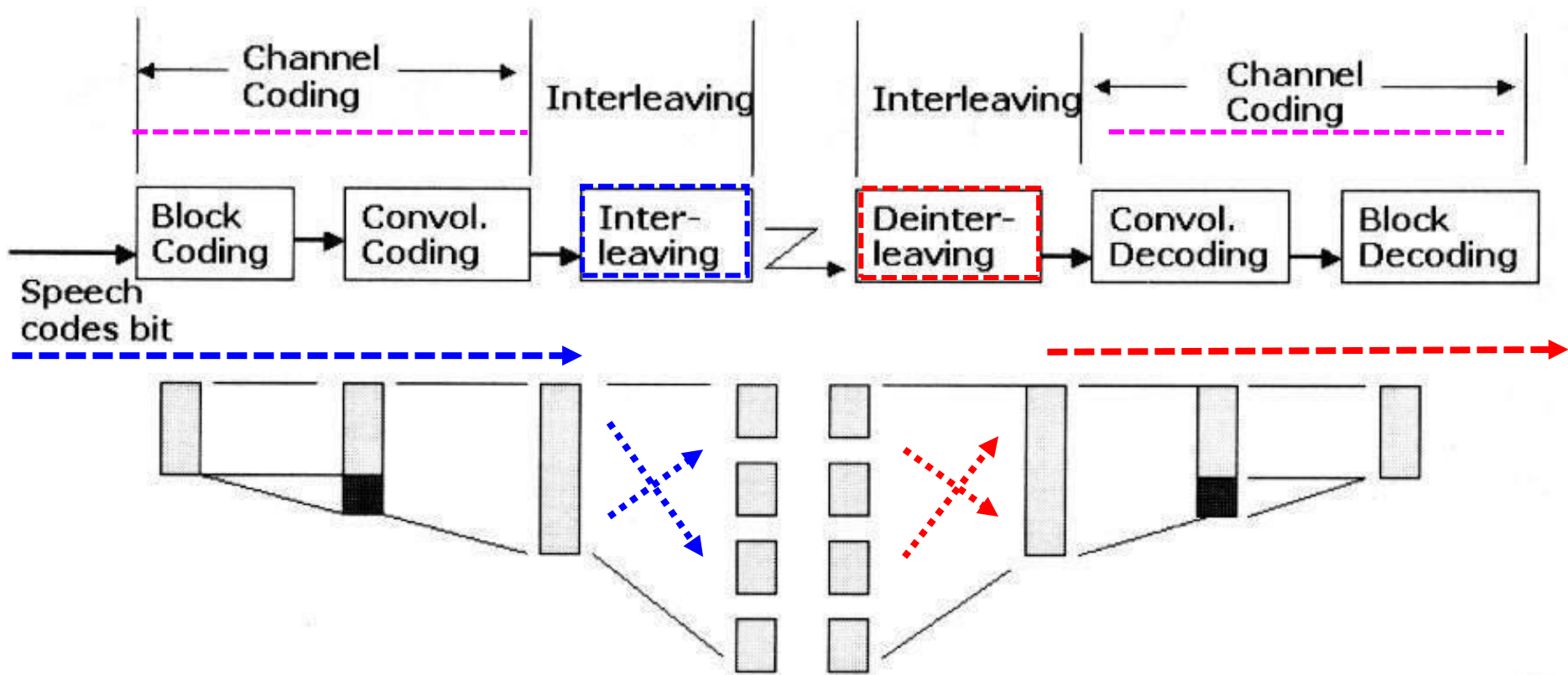
Receiver:



p. 91 (頁102) Fig. 4.8

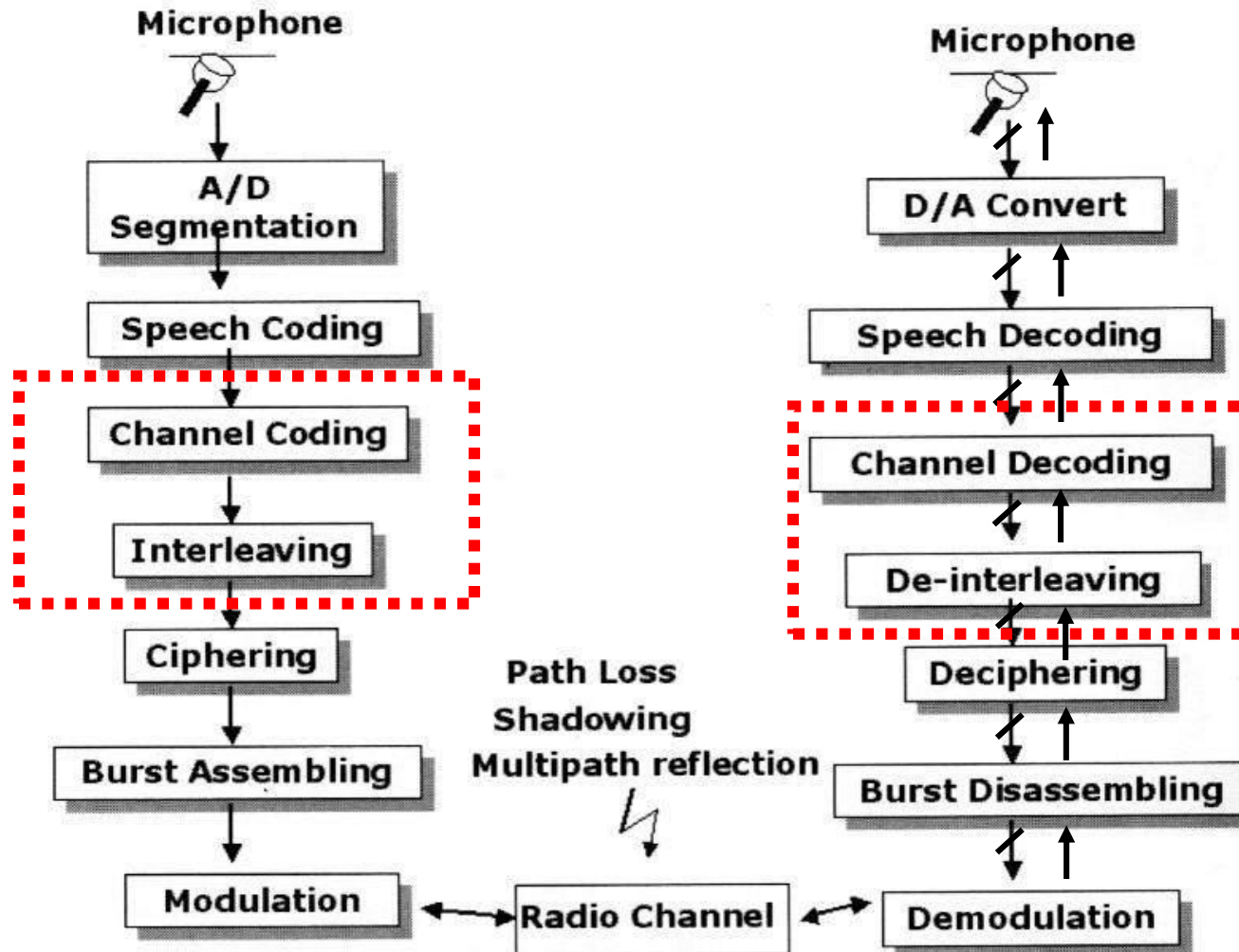
Channel Interleaving

- 頻道編碼(Channel Coding)與交錯(Interleaving)
對資料的切割方式



Channel Coding (cont.)

- For example, GSM



4.7. Turbo Codes

- Brief history of turbo codes:
 - First introduced by C. Berrou *et al.* only in 1993
 - Considered as the most efficient FEC coding schemes
 - Performance close to the (perfect) **Shannon Limit** at modest complexity!
- Turbo codes use known components
 - Including: simple convolutional or block codes, interleaver, soft-decision decoder
- Turbo codes proposed for
 - Low-power applications
 - Such as deep-space and satellite communications,
 - Interference-limited applications
 - Such as 3G cellular, PCS (personal communication services), ad hoc networks and sensornets

Information Capacity Theorem

- Bit Rate: 單位時間內傳送的位元數目，bps
- Baud Rate: 載波之頻率或相位的變化率，Hertz
- Nyquist Theory: $C = 2B$ (ideal channel)
 - C: 載波最高的傳輸率，bps
 - B: 通訊頻道的頻寬，Hz
 - 例：1 GSM 頻道 = 200kHz = B
載波傳輸率上限 $C = 2B = 400\text{kbps}$

Information Capacity Theorem (cont.)

■ Shannon's theorem:

- $C = B \cdot \log_2(1+S/N)$ (noisy channel)

- C: 頻道的數據傳輸率，bps

- B: 通訊頻道的頻寬，Hz

- 例：1 GSM 頻道 = 200kHz = B

$$S/N = 2.56$$

$$\text{數據傳輸率上限 } C = 200k \cdot \lg(2.56) = 271 \text{ kbps}$$

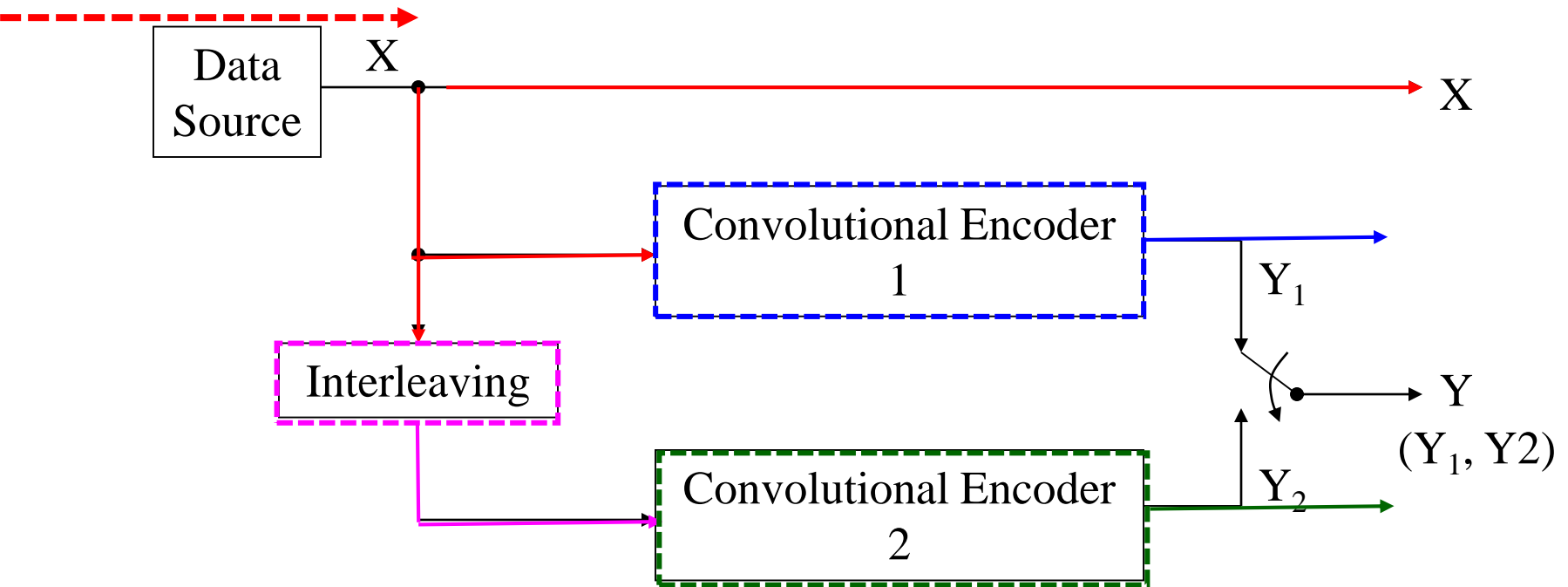
$$S/N = 10 \quad (\text{剛好 } 10 \text{ dB})$$

$$\text{數據傳輸率上限 } C = 200k \cdot \lg(11) = 692 \text{ kbps}$$

利用換底公式：

$$\lg(2.56) = \log_2(2.56) = \frac{\log(2.56)}{\log(2)} = \frac{0.40824}{0.30103} = 1.356$$

Turbo Codes: Encoder



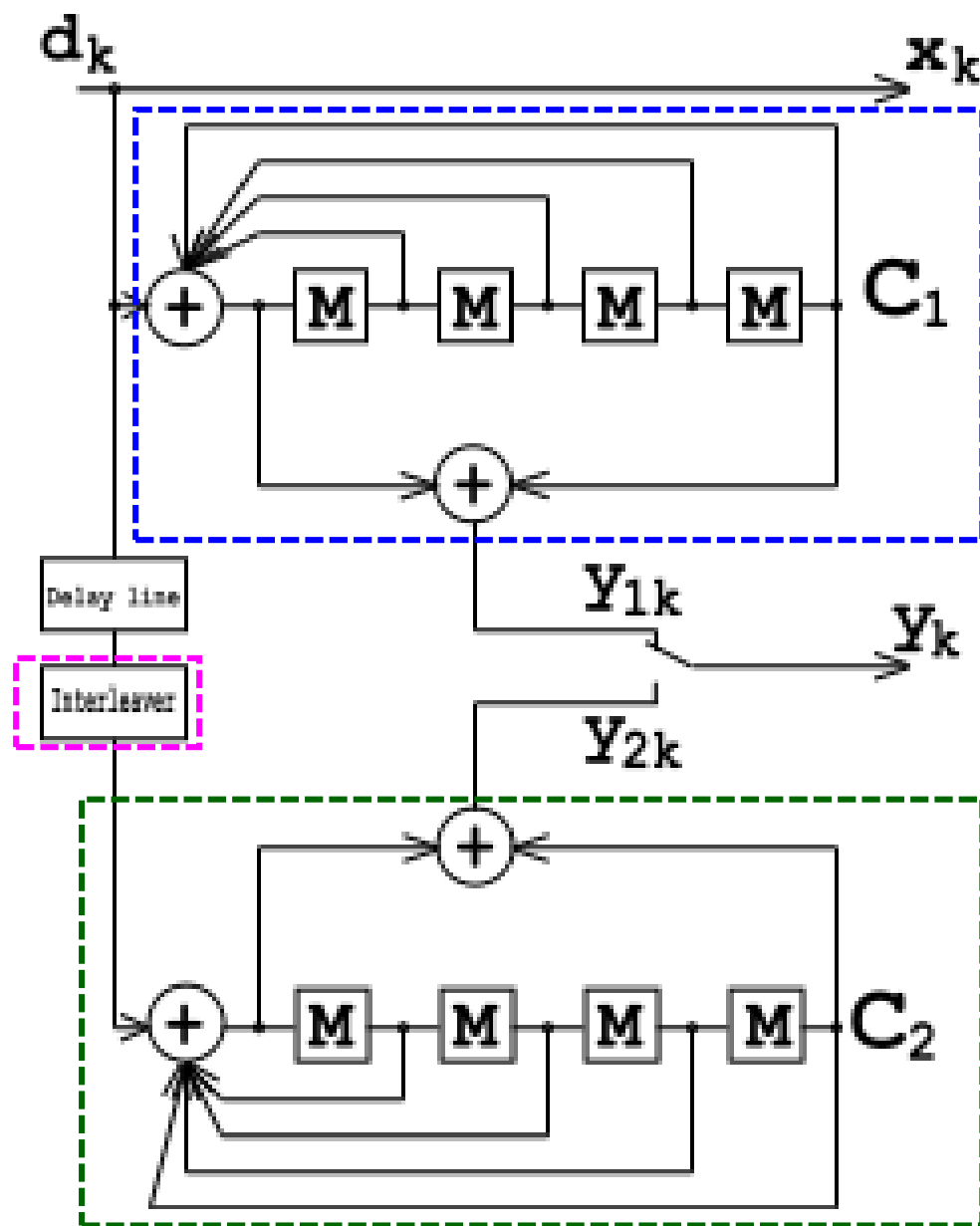
X : Information

Y_i : Redundancy Information

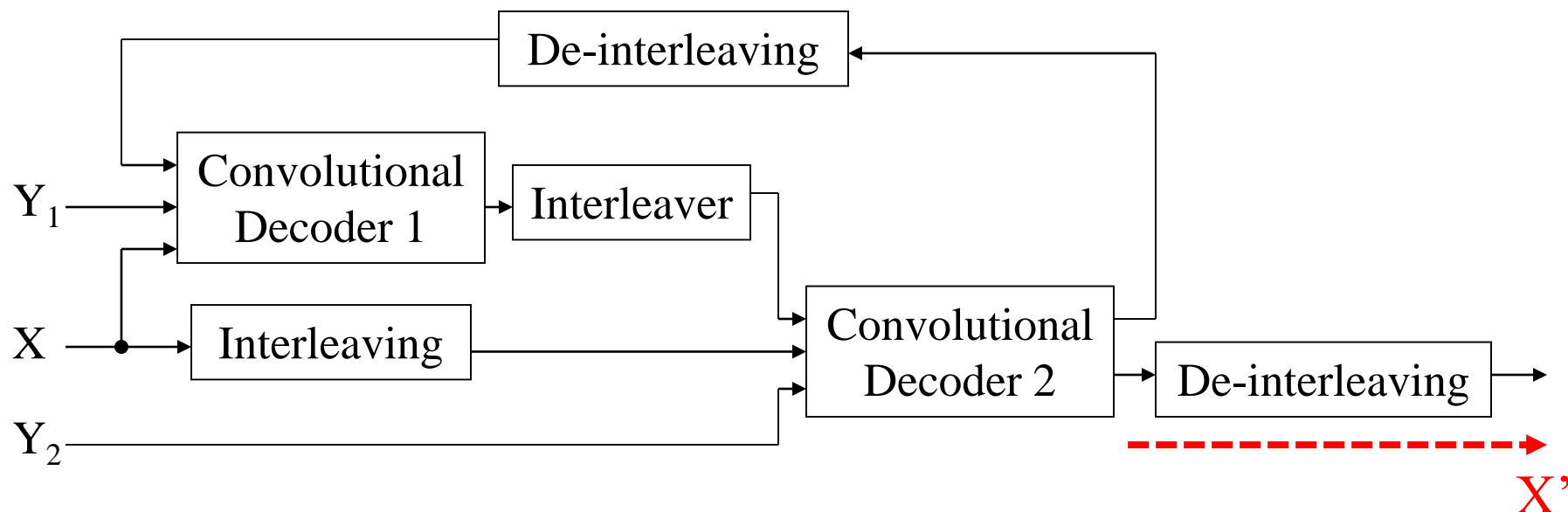
p. 92 (頁103) Fig. 4.9

Example of Turbo-code Encoder

- Hardware-wise, this turbo-code encoder consists of two identical **RSC** coders, C_1 and C_2 , as depicted on the figure, which are connected to each other using a concatenation scheme, called *parallel concatenation*



Turbo Codes: Decoder

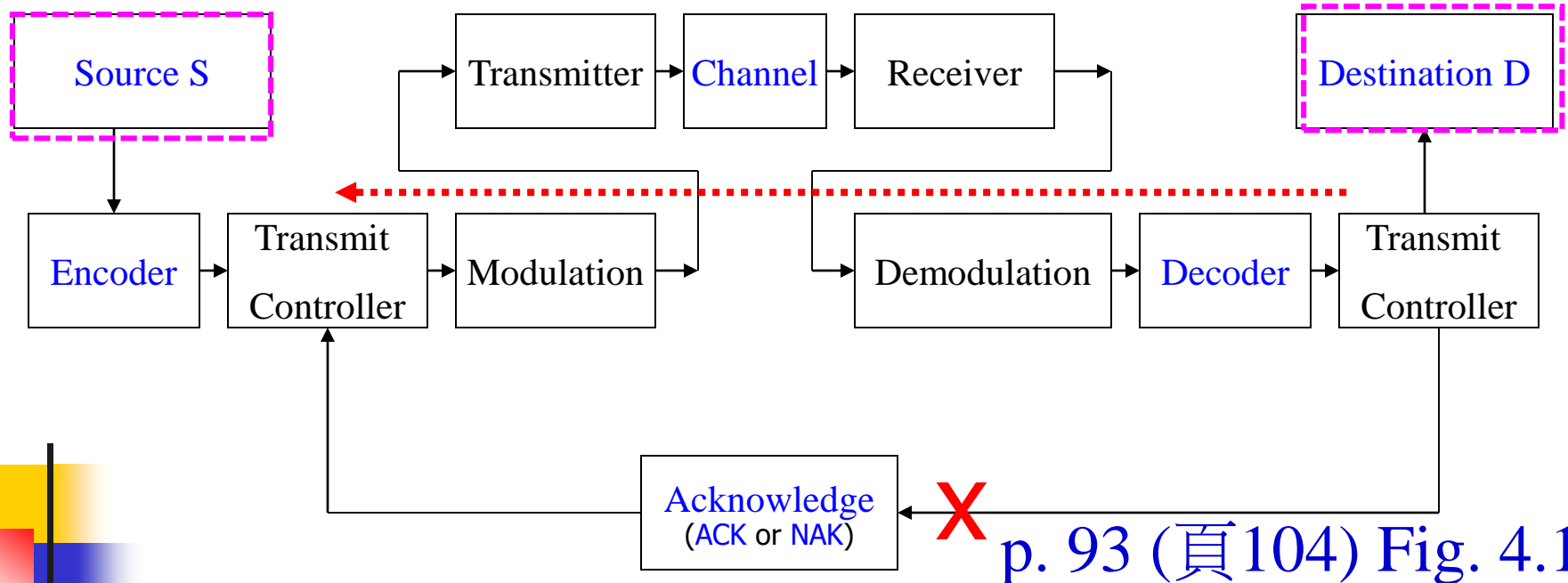


X' : Decoded Information

p. 92 (頁104) Fig. 4.10

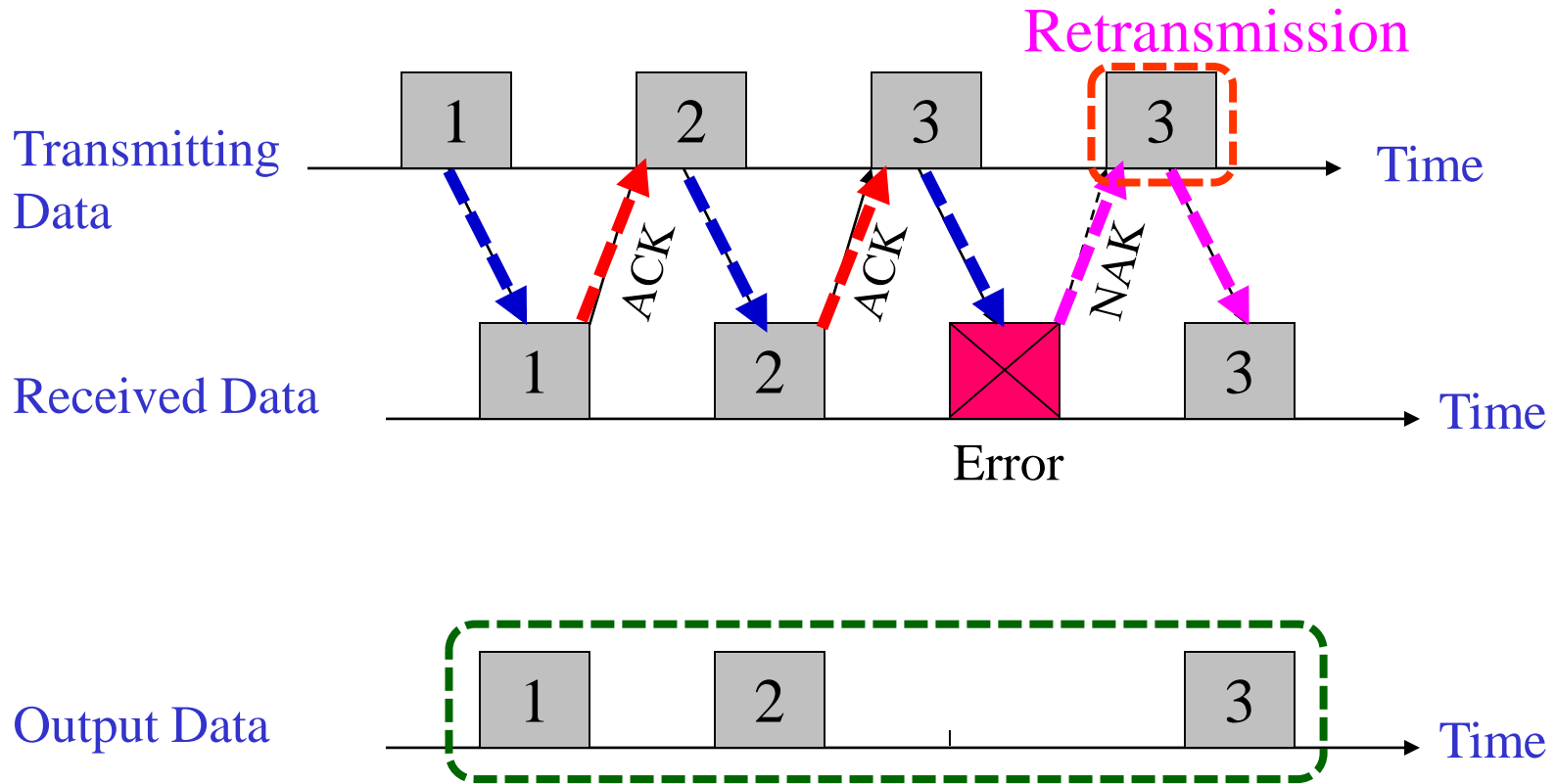
4.8. Automatic Repeat Request (ARQ)

- [LTL] Sometimes Cyclic Code can detect but **can't correct** errors
 - Must ask for **retransmission**
 - Can use **Automatic Repeat Request (ARQ)**
 - D sends (positive) **acknowledgement ACK** if received packets correct
 - If D detects error it can't correct, D sends **negative acknowledgement NAK** – this requests retransmission



p. 93 (頁104) Fig. 4.11

Stop-And-Wait ARQ



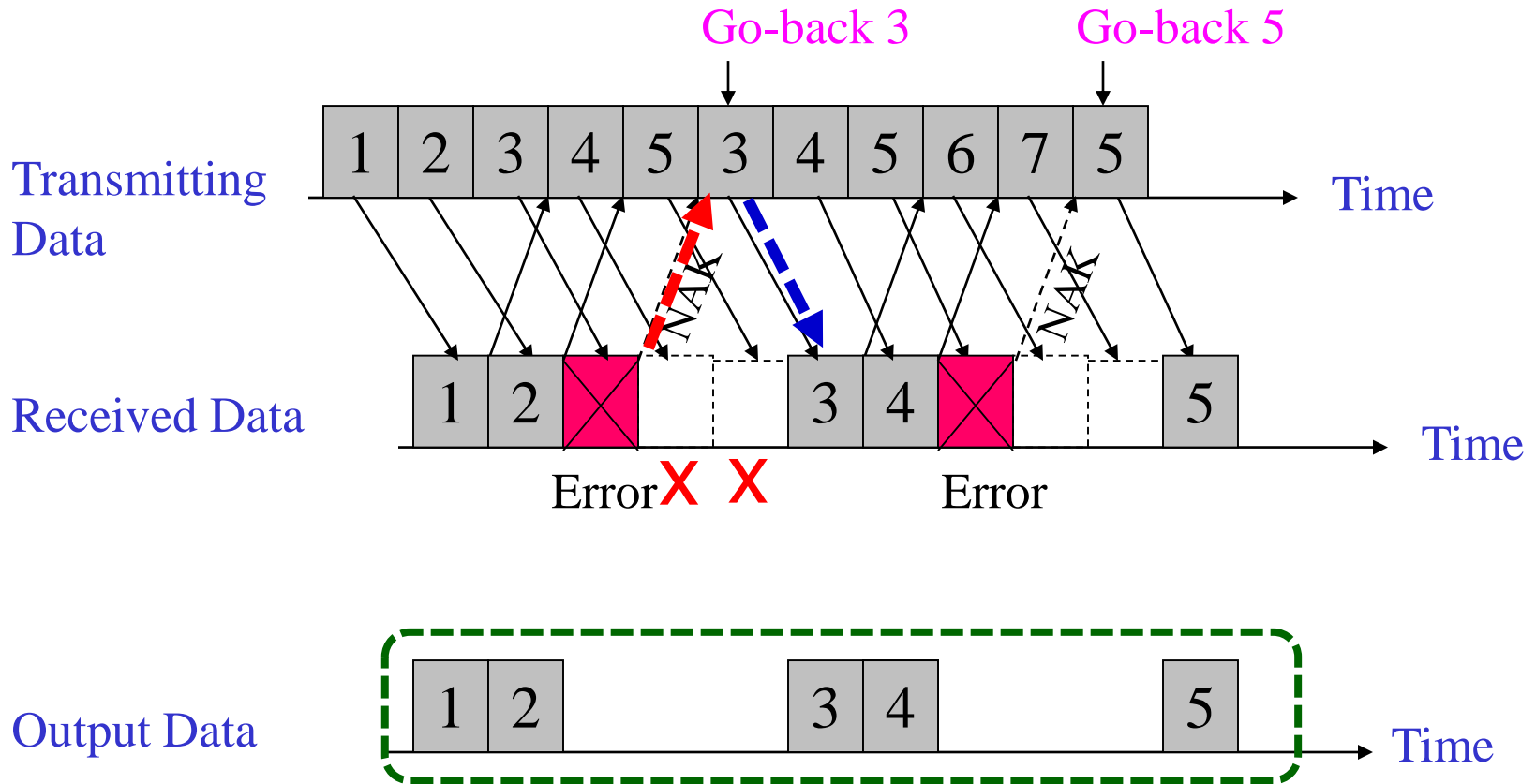
ACK: Acknowledge

NAK: Negative ACK

NAK = NACK

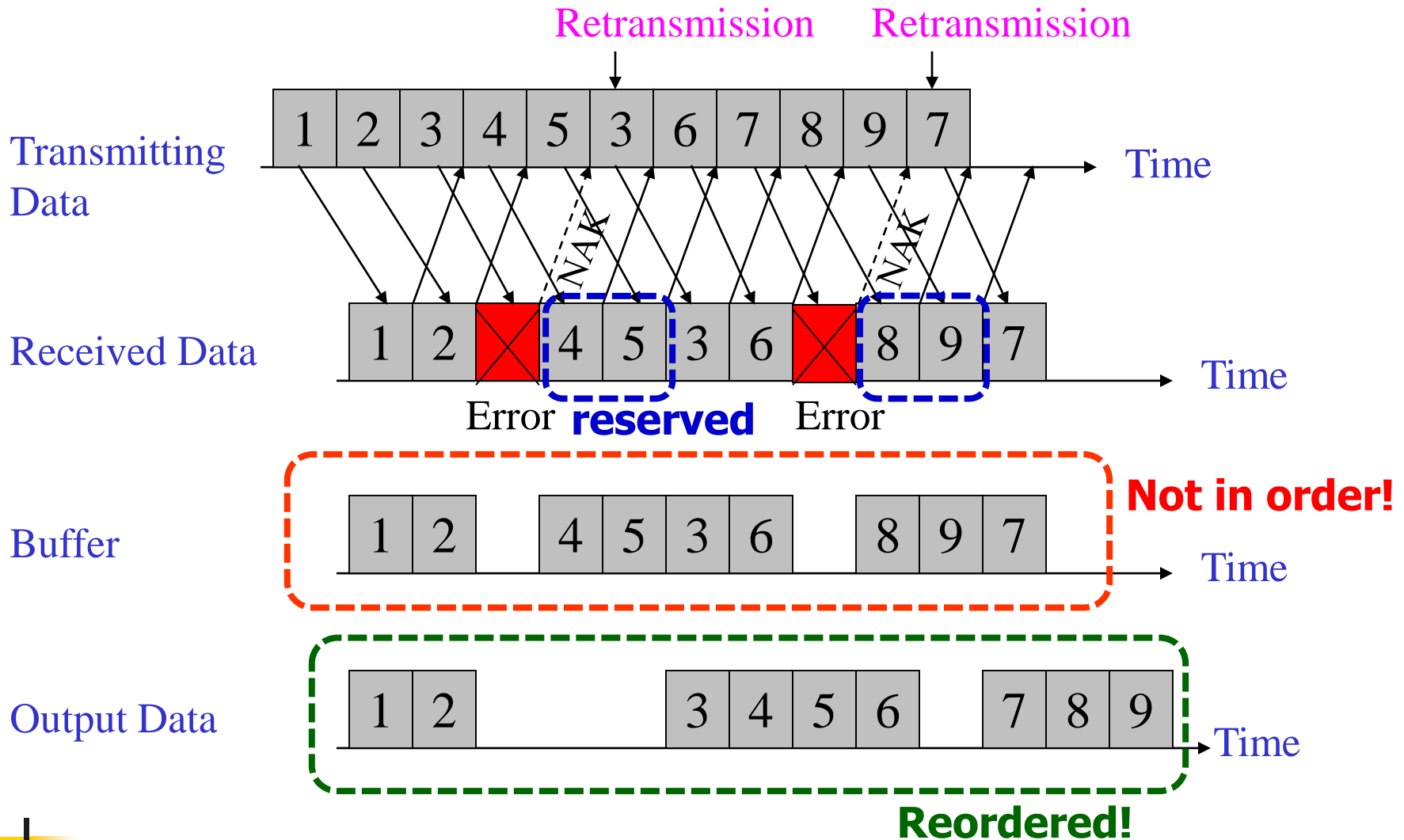
p. 93 (頁105) Fig. 4.12

Go-Back-N ARQ (GBN ARQ)



p. 95 (頁107) Fig. 4.13

Selective-Repeat ARQ (SR ARQ)



p. 96 (頁108) Fig. 4.14

The End of Chapter 4