

## 4.8 Rank

Rank enables one to relate matrices to vectors, and vice versa.

### Definition

Let  $A$  be an  $m \times n$  matrix. The rows of  $A$  may be viewed as row vectors  $\mathbf{r}_1, \dots, \mathbf{r}_m$ , and the columns as column vectors  $\mathbf{c}_1, \dots, \mathbf{c}_n$ . Each row vector will have  $n$  components, and each column vector will have  $m$  components. The row vectors will span a subspace of  $\mathbf{R}^n$  called the **row space** of  $A$ , and the column vectors will span a subspace of  $\mathbf{R}^m$  called the **column space** of  $A$ .



## Example 1

Consider the matrix

$$\begin{bmatrix} 1 & 2 & -1 & 2 \\ 3 & 4 & 1 & 6 \\ 5 & 4 & 1 & 0 \end{bmatrix}$$

(1) The row vectors of  $A$  are

$$\mathbf{r}_1 = (1, 2, -1, 2), \mathbf{r}_2 = (3, 4, 1, 6), \mathbf{r}_3 = (5, 4, 1, 0)$$

These vectors span a subspace of  $\mathbf{R}^4$  called the row space of  $A$ .

(2) The column vectors of  $A$  are

$$\mathbf{c}_1 = \begin{bmatrix} 1 \\ 3 \\ 5 \end{bmatrix} \quad \mathbf{c}_2 = \begin{bmatrix} 2 \\ 4 \\ 4 \end{bmatrix} \quad \mathbf{c}_3 = \begin{bmatrix} -1 \\ 1 \\ 1 \end{bmatrix} \quad \mathbf{c}_4 = \begin{bmatrix} 2 \\ 6 \\ 0 \end{bmatrix}$$

These vectors span a subspace of  $\mathbf{R}^3$  called the column space of  $A$ .



## *Theorem 4.16*

The row space and the column space of a matrix  $A$  have the same dimension.

### **Definition**

The dimension of the row space and the column space of a matrix  $A$  is called the **rank** of  $A$ . The rank of  $A$  is denoted **rank**( $A$ ).

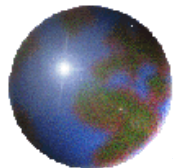


## *Example 2*

Determine the rank of the matrix

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 0 & 1 & 2 \\ 2 & 5 & 8 \end{bmatrix}$$

**Solution**



## Example 2

Determine the rank of the matrix

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 0 & 1 & 2 \\ 2 & 5 & 8 \end{bmatrix}$$

### Solution

The third row of  $A$  is a linear combination of the first two rows:

$$(2, 5, 8) = 2(1, 2, 3) + (0, 1, 2)$$

Hence the three rows of  $A$  are linearly dependent.

The rank of  $A$  must be less than 3. Since  $(1, 2, 3)$  is not a scalar multiple of  $(0, 1, 2)$ , these two vectors are linearly independent.

These vectors form a basis for the row space of  $A$ .

Thus  $\text{rank}(A) = 2$ .



## *Theorem 4.17*

The nonzero row vectors of a matrix  $A$  that is in reduced echelon form are a basis for the row space of  $A$ . The rank of  $A$  is the number of nonzero row vectors.



## *Example 3*

Find the rank of the matrix

$$A = \begin{bmatrix} 1 & 2 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$



## Example 3

Find the rank of the matrix

$$A = \begin{bmatrix} 1 & 2 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

This matrix is in reduced echelon form. There are three nonzero row vectors, namely  $(1, 2, 0, 0)$ ,  $(0, 0, 1, 0)$ , and  $(0, 0, 0, 1)$ .

According to the previous theorem, these three vectors form a basis for the row space of  $A$ .

$\text{Rank}(A) = 3$ .





## ***Theorem 4.18***

Let  $A$  and  $B$  be row equivalent matrices. Then  $A$  and  $B$  have the same row space.  $\text{rank}(A) = \text{rank}(B)$ .

## ***Theorem 4.19***

Let  $E$  be a reduced echelon form of a matrix  $A$ . The nonzero row vectors of  $E$  form a basis for the row space of  $A$ . The rank of  $A$  is the number of nonzero row vectors in  $E$ .

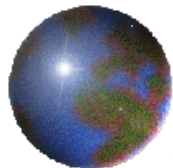


## Example 4

Find a basis for the row space of the following matrix A, and determine its rank.

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 2 & 5 & 4 \\ 1 & 1 & 5 \end{bmatrix}$$

**Solution**



## Example 4

Find a basis for the row space of the following matrix  $A$ , and determine its rank.

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 2 & 5 & 4 \\ 1 & 1 & 5 \end{bmatrix}$$

### Solution

Use elementary row operations to find a reduced echelon form of the matrix  $A$ . We get

$$\begin{bmatrix} 1 & 2 & 3 \\ 2 & 5 & 4 \\ 1 & 1 & 5 \end{bmatrix} \approx \begin{bmatrix} 1 & 2 & 3 \\ 0 & 1 & -2 \\ 0 & -1 & 2 \end{bmatrix} \approx \begin{bmatrix} 1 & 0 & 7 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{bmatrix}$$

The two vectors  $(1, 0, 7)$ ,  $(0, 1, -2)$  form a basis for the row space of  $A$ .  $\text{Rank}(A) = 2$ .



## Example 5

**EXAMPLE 5** Find a basis for the column space of the following matrix  $A$

$$A = \begin{bmatrix} 1 & 1 & 0 \\ 2 & 3 & -2 \\ -1 & -4 & 6 \end{bmatrix}$$



## Example 5

**EXAMPLE 5** Find a basis for the column space of the following matrix  $A$

$$A = \begin{bmatrix} 1 & 1 & 0 \\ 2 & 3 & -2 \\ -1 & -4 & 6 \end{bmatrix}$$

### SOLUTION

The transpose of  $A$  is

$$= A^t = \begin{bmatrix} 1 & 2 & -1 \\ 1 & 3 & -4 \\ 0 & -2 & 6 \end{bmatrix}$$

The column space of  $A$  becomes the row space of  $A^t$ . Let us find a basis for the row space of  $A^t$ . Compute the reduced echelon form of  $A^t$ .

$$\begin{bmatrix} 1 & 2 & -1 \\ 1 & 3 & -4 \\ 0 & -2 & 6 \end{bmatrix} \approx \begin{bmatrix} 1 & 2 & -1 \\ 0 & 1 & -3 \\ 0 & -2 & 6 \end{bmatrix} \approx \begin{bmatrix} 1 & 0 & 5 \\ 0 & 1 & -3 \\ 0 & 0 & 0 \end{bmatrix}$$

The nonzero row vectors of this echelon form, namely  $(1, 0, 5)$ ,  $(0, 1, -3)$ , are a basis for the row space of  $A^t$ . Write these vectors in column form to get a basis for the column space of  $A$ . The following vectors are a basis for the column space of  $A$ .

$$\begin{bmatrix} 1 \\ 0 \\ 5 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix}$$

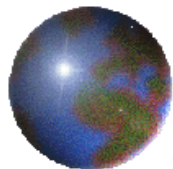


## Example 6

**EXAMPLE 6** Find a basis for the subspace  $V$  of  $\mathbf{R}^4$  spanned by the vectors

$$(1, 2, 3, 4), (-1, -1, -4, -2), (3, 4, 11, 8)$$

**SOLUTION**



## Example 6

**EXAMPLE 6** Find a basis for the subspace  $V$  of  $\mathbf{R}^4$  spanned by the vectors

$$(1, 2, 3, 4), (-1, -1, -4, -2), (3, 4, 11, 8)$$

### SOLUTION

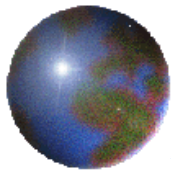
We construct a matrix  $A$  having these vectors as row vectors.

$$A = \begin{bmatrix} 1 & 2 & 3 & 4 \\ -1 & -1 & -4 & -2 \\ 3 & 4 & 11 & 8 \end{bmatrix}$$

Determine the reduced echelon form of  $A$ . We get

$$\begin{bmatrix} 1 & 2 & 3 & 4 \\ -1 & -1 & -4 & -2 \\ 3 & 4 & 11 & 8 \end{bmatrix} \approx \begin{bmatrix} 1 & 2 & 3 & 4 \\ 0 & 1 & -1 & 2 \\ 0 & -2 & 2 & -4 \end{bmatrix} \approx \begin{bmatrix} 1 & 0 & 5 & 0 \\ 0 & 1 & -1 & 2 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

The nonzero vectors of this reduced echelon form, namely  $(1, 0, 5, 0)$  and  $(0, 1, -1, 2)$ , are a basis for the subspace  $V$ . The dimension of this subspace is two.

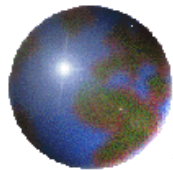


## Example 7

Consider a system  $AX = B$  of  $\mathbf{m}$  linear equations in  $\mathbf{n}$  variables.

- (a) If the augmented matrix and the matrix of coefficients have the same rank “ $\mathbf{r}$ ” and  $\mathbf{r}=\mathbf{n}$ , then the solution is unique.
- (b) If the augmented matrix and the matrix of coefficients have the same rank “ $\mathbf{r}$ ” and  $\mathbf{r}<\mathbf{n}$ , then there will be many solutions. Where  $\mathbf{r}$  is rank and  $\mathbf{n}$  are the number of variables
- (c) If the augmented matrix and the matrix of coefficients do not have the same rank then the solution does not exist.





## Example 7

**EXAMPLE 7** Consider the following system of linear equations from Section 1.1.

$$x_1 + x_2 + x_3 = 2$$

$$2x_1 + 3x_2 + x_3 = 3$$

$$x_1 - x_2 - 2x_3 = -6$$



## Example 7

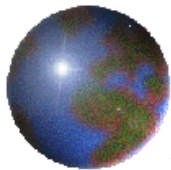
**EXAMPLE 7** Consider the following system of linear equations from Section 1.1.

$$\begin{aligned}x_1 + x_2 + x_3 &= 2 \\ 2x_1 + 3x_2 + x_3 &= 3 \\ x_1 - x_2 - 2x_3 &= -6\end{aligned}$$

The augmented matrix of this system of equations, and its reduced echelon form are as follows.

| Augmented matrix   |                         | Reduced echelon form   |
|--|-------------------------|--|
| $\begin{bmatrix} 1 & 1 & 1 & 2 \\ 2 & 3 & 1 & 3 \\ 1 & -1 & -2 & -6 \end{bmatrix}$ | $\approx \dots \approx$ | $\begin{bmatrix} 1 & 0 & 0 & -1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 2 \end{bmatrix}$ |
| $\underbrace{\hspace{10em}}$   |                         | $\underbrace{\hspace{10em}}$   |
| Matrix of coefficients   |                         | Reduced echelon form   |

We see that ranks of the augmented matrix and the matrix of coefficients are equal, both being three. The system thus has a unique solution. The reduced echelon form gives that solution to be  $x_1 = -1, x_2 = 1, x_3 = 2$ .



# Example 7

**EXAMPLE 7** Consider the following system of linear equations from Section 1.1.

$$\begin{aligned} x_1 + x_2 + x_3 &= 2 \\ 2x_1 + 3x_2 + x_3 &= 3 \\ x_1 - x_2 - 2x_3 &= -6 \end{aligned}$$

The augmented matrix of this system of equations, and its reduced echelon form are as follows.

| Augmented matrix  |                         | Reduced echelon form  |
|---|-------------------------|---|
| $\left[ \begin{array}{ccc c} 1 & 1 & 1 & 2 \\ 2 & 3 & 1 & 3 \\ 1 & -1 & -2 & -6 \end{array} \right]$  | $\approx \dots \approx$ | $\left[ \begin{array}{ccc c} 1 & 0 & 0 & -1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 2 \end{array} \right]$  |
| <div style="display: flex; align-items: center; justify-content: center;"> <div style="border-top: 1px solid black; width: 100%;"></div> <div style="margin: 0 10px;">Matrix of coefficients</div> </div> |                         | <div style="display: flex; align-items: center; justify-content: center;"> <div style="border-top: 1px solid black; width: 100%;"></div> <div style="margin: 0 10px;">Reduced echelon form</div> </div> |

The system of linear equations can be viewed as the linear combination

$$x_1 \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} + x_2 \begin{bmatrix} 1 \\ 3 \\ -1 \end{bmatrix} + x_3 \begin{bmatrix} 1 \\ 1 \\ -2 \end{bmatrix} = \begin{bmatrix} 2 \\ 3 \\ -6 \end{bmatrix}$$

The existence of solutions depends upon whether  $\begin{bmatrix} 2 \\ 3 \\ -6 \end{bmatrix}$  is a linear combination of

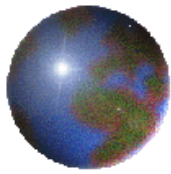
$\begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix}$ ,  $\begin{bmatrix} 1 \\ 3 \\ -1 \end{bmatrix}$ , and  $\begin{bmatrix} 1 \\ 1 \\ -2 \end{bmatrix}$ . The uniqueness depends upon whether the linear combination is unique.



## 4.9 Orthonormal Vectors and Projections

### Definition

A set of vectors in a vector space  $V$  is said to be an **orthogonal set** if every pair of vectors in the set is orthogonal. The set is said to be an **orthonormal set** if it is orthogonal and each vector is a unit vector.



## *Example 1*

Show that the set  $\left\{ (1, 0, 0), \left(0, \frac{3}{5}, \frac{4}{5}\right), \left(0, \frac{4}{5}, -\frac{3}{5}\right) \right\}$  is an orthonormal set.

### **Solution**



## Example 1

Show that the set  $\left\{ (1, 0, 0), \left(0, \frac{3}{5}, \frac{4}{5}\right), \left(0, \frac{4}{5}, -\frac{3}{5}\right) \right\}$  is an orthonormal set.

### Solution

(1) orthogonal:  $(1, 0, 0) \cdot \left(0, \frac{3}{5}, \frac{4}{5}\right) = 0;$

$$(1, 0, 0) \cdot \left(0, \frac{4}{5}, -\frac{3}{5}\right) = 0;$$

$$\left(0, \frac{3}{5}, \frac{4}{5}\right) \cdot \left(0, \frac{4}{5}, -\frac{3}{5}\right) = 0;$$

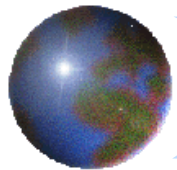
(2) unit vector:

$$\|(1, 0, 0)\| = \sqrt{1^2 + 0^2 + 0^2} = 1$$

$$\left\| \left(0, \frac{3}{5}, \frac{4}{5}\right) \right\| = \sqrt{0^2 + \left(\frac{3}{5}\right)^2 + \left(\frac{4}{5}\right)^2} = 1$$

$$\left\| \left(0, \frac{4}{5}, -\frac{3}{5}\right) \right\| = \sqrt{0^2 + \left(\frac{4}{5}\right)^2 + \left(-\frac{3}{5}\right)^2} = 1$$

Thus the set is thus an orthonormal set.



## Theorem 4.20

An orthogonal set of nonzero vectors in a vector space is linearly independent.

**Proof** Let  $\{\mathbf{v}_1, \dots, \mathbf{v}_m\}$  be an orthogonal set of nonzero vectors in a vector space  $V$ . Let us examine the identity

$$c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \dots + c_m\mathbf{v}_m = \mathbf{0}$$

Let  $\mathbf{v}_i$  be the  $i$ th vector of the orthogonal set. Take the dot product of each side of the equation with  $\mathbf{v}_i$  and use the properties of the dot product. We get

$$(c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \dots + c_m\mathbf{v}_m) \cdot \mathbf{v}_i = \mathbf{0} \cdot \mathbf{v}_i$$

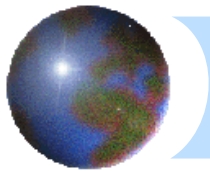
$$c_1\mathbf{v}_1 \cdot \mathbf{v}_i + c_2\mathbf{v}_2 \cdot \mathbf{v}_i + \dots + c_m\mathbf{v}_m \cdot \mathbf{v}_i = 0$$

Since the vectors  $\mathbf{v}_1, \dots, \mathbf{v}_m$  are mutually orthogonal,  $\mathbf{v}_j \cdot \mathbf{v}_i = 0$  unless  $j = i$ . Thus

$$c_i\mathbf{v}_i \cdot \mathbf{v}_i = 0$$

Since  $\mathbf{v}_i$  is a nonzero, then  $\mathbf{v}_i \cdot \mathbf{v}_i \neq 0$ . Thus  $c_i = 0$ .

Letting  $i = 1, \dots, m$ , we get  $c_1 = 0, c_m = 0$ , proving that the vectors are linearly independent.



## Definition

A basis that is an orthogonal set is said to be an **orthogonal basis**.  
A basis that is an orthonormal set is said to be an **orthonormal basis**.

## Standard Bases

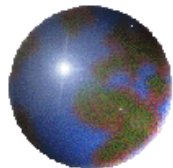
- $\mathbf{R}^2$ :  $\{(1, 0), (0, 1)\}$
  - $\mathbf{R}^3$ :  $\{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$
  - $\mathbf{R}^n$ :  $\{(1, \dots, 0), \dots, (0, \dots, 1)\}$
- } orthonormal bases

## Theorem 4.21

Let  $\{\mathbf{u}_1, \dots, \mathbf{u}_n\}$  be an orthonormal basis for a vector space  $V$ . Let  $\mathbf{v}$  be a vector in  $V$ .  $\mathbf{v}$  can be written as a linearly combination of these basis vectors as follows:

$$\mathbf{v} = (\mathbf{v} \cdot \mathbf{u}_1)\mathbf{u}_1 + (\mathbf{v} \cdot \mathbf{u}_2)\mathbf{u}_2 + \dots + (\mathbf{v} \cdot \mathbf{u}_n)\mathbf{u}_n$$





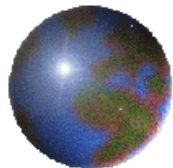
## Example 2

The following vectors  $\mathbf{u}_1$ ,  $\mathbf{u}_2$ , and  $\mathbf{u}_3$  form an orthonormal basis for  $\mathbf{R}^3$ . Express the vector  $\mathbf{v} = (7, -5, 10)$  as a linear combination of these vectors.

$$\mathbf{u}_1 = (1, 0, 0), \mathbf{u}_2 = \left(0, \frac{3}{5}, \frac{4}{5}\right), \mathbf{u}_3 = \left(0, \frac{4}{5}, -\frac{3}{5}\right)$$

### Solution

$$\mathbf{v} = (\mathbf{v} \cdot \mathbf{u}_1)\mathbf{u}_1 + (\mathbf{v} \cdot \mathbf{u}_2)\mathbf{u}_2 + \cdots + (\mathbf{v} \cdot \mathbf{u}_n)\mathbf{u}_n$$



## Example 2

The following vectors  $\mathbf{u}_1$ ,  $\mathbf{u}_2$ , and  $\mathbf{u}_3$  form an orthonormal basis for  $\mathbf{R}^3$ . Express the vector  $\mathbf{v} = (7, -5, 10)$  as a linear combination of these vectors.

$$\mathbf{u}_1 = (1, 0, 0), \mathbf{u}_2 = \left(0, \frac{3}{5}, \frac{4}{5}\right), \mathbf{u}_3 = \left(0, \frac{4}{5}, -\frac{3}{5}\right)$$

### Solution

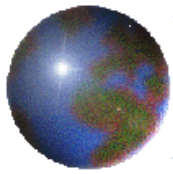
$$\mathbf{v} \cdot \mathbf{u}_1 = (7, -5, 10) \cdot (1, 0, 0) = 7$$

$$\mathbf{v} \cdot \mathbf{u}_2 = (7, -5, 10) \cdot \left(0, \frac{3}{5}, \frac{4}{5}\right) = 5$$

$$\mathbf{v} \cdot \mathbf{u}_3 = (7, -5, 10) \cdot \left(0, \frac{4}{5}, -\frac{3}{5}\right) = -10$$

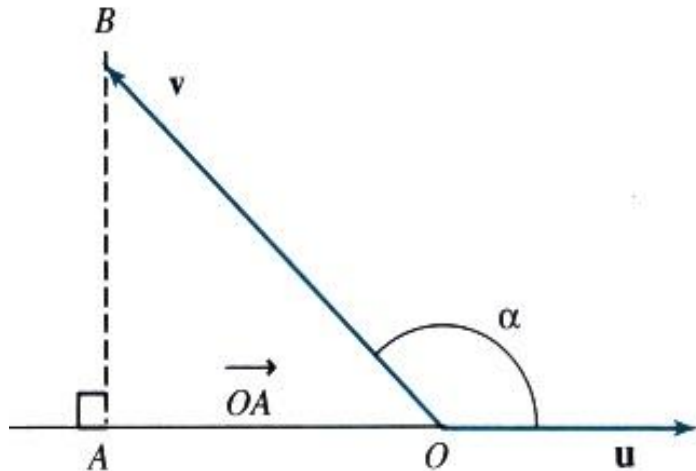
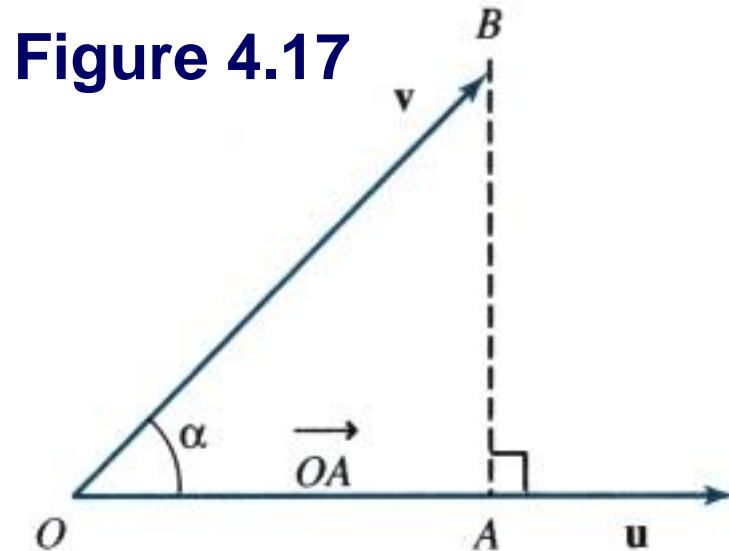
Thus

$$\mathbf{v} = 7\mathbf{u}_1 + 5\mathbf{u}_2 - 10\mathbf{u}_3$$



## Projection of One vector onto Another Vector

Let  $\mathbf{v}$  and  $\mathbf{u}$  be vectors in  $\mathbf{R}^n$  with angle  $\alpha$  ( $0 \leq \alpha \leq \pi$ ) between them.



$\overrightarrow{OA}$  : the projection of  $\mathbf{v}$  onto  $\mathbf{u}$

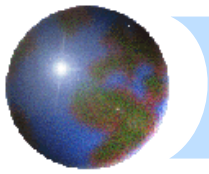
$$\overline{OA} = \overline{OB} \cos \alpha = \|\mathbf{v}\| \cos \alpha$$

$$= \|\mathbf{v}\| \frac{\mathbf{v} \cdot \mathbf{u}}{\|\mathbf{v}\| \|\mathbf{u}\|} = \frac{\mathbf{v} \cdot \mathbf{u}}{\|\mathbf{u}\|}$$

$$\therefore \overrightarrow{OA} = \left( \frac{\mathbf{v} \cdot \mathbf{u}}{\|\mathbf{u}\|} \right) \left( \frac{\mathbf{u}}{\|\mathbf{u}\|} \right) = \frac{\mathbf{v} \cdot \mathbf{u}}{\mathbf{u} \cdot \mathbf{u}} \mathbf{u}$$

Note : If  $\alpha > \pi / 2$  then  $\frac{\mathbf{v} \cdot \mathbf{u}}{\mathbf{u} \cdot \mathbf{u}} < 0$ .

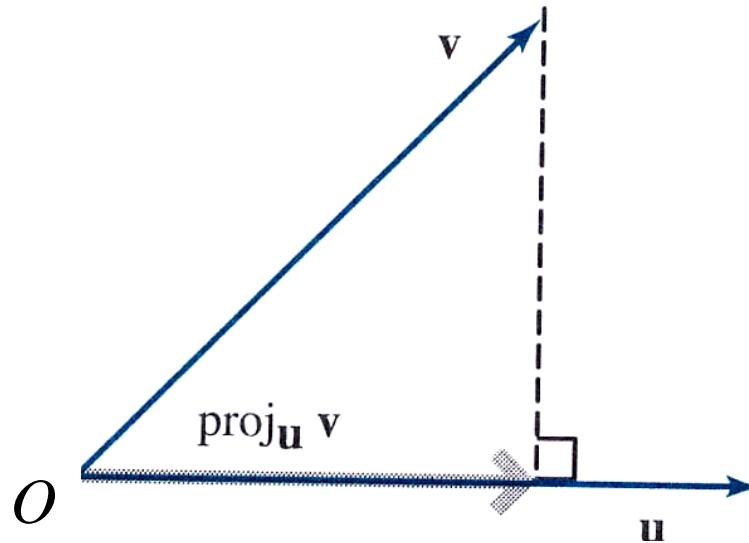
So we define  $\text{proj}_{\mathbf{u}} \mathbf{v} = \frac{\mathbf{v} \cdot \mathbf{u}}{\mathbf{u} \cdot \mathbf{u}} \mathbf{u}$ .



## Definition

The **projection** of a vector  $\mathbf{v}$  onto a nonzero vector  $\mathbf{u}$  in  $\mathbf{R}^n$  is denoted  $\text{proj}_{\mathbf{u}} \mathbf{v}$  and is defined by

$$\text{proj}_{\mathbf{u}} \mathbf{v} = \frac{\mathbf{v} \cdot \mathbf{u}}{\mathbf{u} \cdot \mathbf{u}} \mathbf{u}$$



**Figure 4.18**

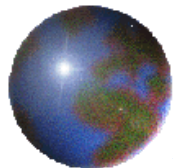


## Example 3

Determine the projection of the vector  $\mathbf{v} = (6, 7)$  onto the vector  $\mathbf{u} = (1, 4)$ .

### Solution

$$\text{proj}_{\mathbf{u}} \mathbf{v} = \frac{\mathbf{v} \cdot \mathbf{u}}{\mathbf{u} \cdot \mathbf{u}} \mathbf{u}$$



## Example 3

Determine the projection of the vector  $\mathbf{v} = (6, 7)$  onto the vector  $\mathbf{u} = (1, 4)$ .

### Solution

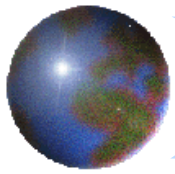
$$\mathbf{v} \cdot \mathbf{u} = (6, 7) \cdot (1, 4) = 6 + 28 = 34$$

$$\mathbf{u} \cdot \mathbf{u} = (1, 4) \cdot (1, 4) = 1 + 16 = 17$$

Thus

$$\text{proj}_{\mathbf{u}} \mathbf{v} = \frac{\mathbf{v} \cdot \mathbf{u}}{\mathbf{u} \cdot \mathbf{u}} \mathbf{u} = \frac{34}{17} (1, 4) = (2, 8)$$

The projection of  $\mathbf{v}$  onto  $\mathbf{u}$  is  $(2, 8)$ .



## Theorem 4.22

### The Gram-Schmidt Orthogonalization Process

Let  $\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$  be a basis for a vector space  $V$ . The set of vectors  $\{\mathbf{u}_1, \dots, \mathbf{u}_n\}$  defined as follows is orthogonal. To obtain an orthonormal basis for  $V$ , normalize each of the vectors  $\mathbf{u}_1, \dots, \mathbf{u}_n$ .

$$\mathbf{u}_1 = \mathbf{v}_1$$

$$\mathbf{u}_2 = \mathbf{v}_2 - \text{proj}_{\mathbf{u}_1} \mathbf{v}_2$$

$$\mathbf{u}_3 = \mathbf{v}_3 - \text{proj}_{\mathbf{u}_1} \mathbf{v}_3 - \text{proj}_{\mathbf{u}_2} \mathbf{v}_3$$

...

$$\mathbf{u}_n = \mathbf{v}_n - \text{proj}_{\mathbf{u}_1} \mathbf{v}_n - \dots - \text{proj}_{\mathbf{u}_{n-1}} \mathbf{v}_n$$

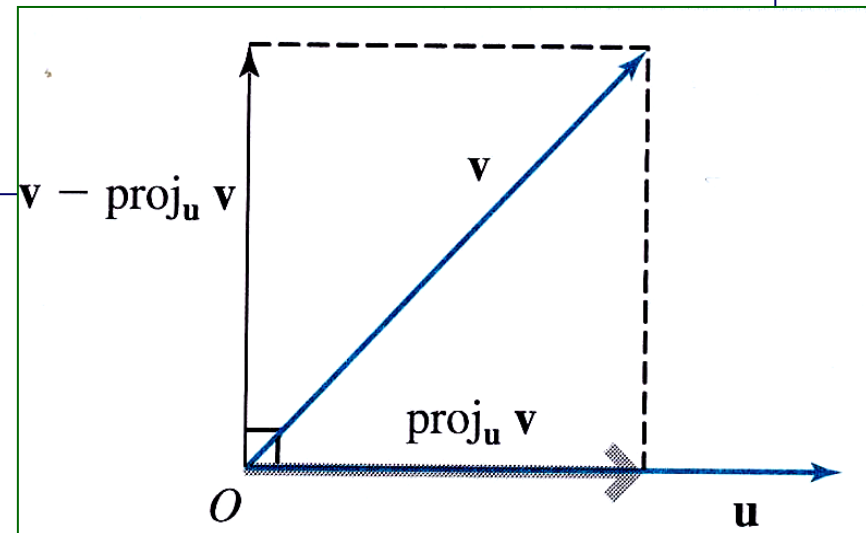


Figure 4.19

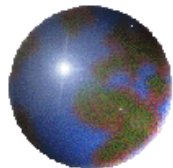


## *Example 4*

The set  $\{(1, 2, 0, 3), (4, 0, 5, 8), (8, 1, 5, 6)\}$  is linearly independent in  $\mathbf{R}^4$ . The vectors form a basis for a three-dimensional subspace  $V$  of  $\mathbf{R}^4$ . Construct an orthonormal basis for  $V$ .

### **Solution**





## Example 4

The set  $\{(1, 2, 0, 3), (4, 0, 5, 8), (8, 1, 5, 6)\}$  is linearly independent in  $\mathbf{R}^4$ . The vectors form a basis for a three-dimensional subspace  $V$  of  $\mathbf{R}^4$ . Construct an orthonormal basis for  $V$ .

### Solution

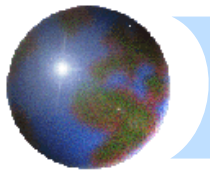
Let  $\mathbf{v}_1 = (1, 2, 0, 3)$ ,  $\mathbf{v}_2 = (4, 0, 5, 8)$ ,  $\mathbf{v}_3 = (8, 1, 5, 6)$ .

Use the Gram-Schmidt process to construct an orthogonal set  $\{\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3\}$  from these vectors.

Let  $\mathbf{u}_1 = \mathbf{v}_1 = (1, 2, 0, 3)$

Let  $\mathbf{u}_2 = \mathbf{v}_2 - \text{proj}_{\mathbf{u}_1} \mathbf{v}_2 = \mathbf{v}_2 - \frac{(\mathbf{v}_2 \cdot \mathbf{u}_1)}{(\mathbf{u}_1 \cdot \mathbf{u}_1)} \mathbf{u}_1 = (2, -4, 5, 2)$

Let  $\mathbf{u}_3 = \mathbf{v}_3 - \text{proj}_{\mathbf{u}_1} \mathbf{v}_3 - \text{proj}_{\mathbf{u}_2} \mathbf{v}_3$   
$$= \mathbf{v}_3 - \frac{(\mathbf{v}_3 \cdot \mathbf{u}_1)}{(\mathbf{u}_1 \cdot \mathbf{u}_1)} \mathbf{u}_1 - \frac{(\mathbf{v}_3 \cdot \mathbf{u}_2)}{(\mathbf{u}_2 \cdot \mathbf{u}_2)} \mathbf{u}_2 = (4, 1, 0, -2)$$



The set  $\{(1, 2, 0, 3), (2, -4, 5, 2), (4, 1, 0, -2)\}$  is an orthogonal basis for  $V$ .

Normalize them to get an orthonormal basis:

$$\|(1, 2, 0, 3)\| = \sqrt{1^2 + 2^2 + 0^2 + 3^2} = \sqrt{14}$$

$$\|(2, -4, 5, 2)\| = \sqrt{2^2 + (-4)^2 + 5^2 + 2^2} = 7$$

$$\|(4, 1, 0, -2)\| = \sqrt{4^2 + 1^2 + 0^2 + (-2)^2} = \sqrt{21}$$

$\Rightarrow$  orthonormal basis for  $V$ :

$$\left\{ \left( \frac{1}{\sqrt{14}}, \frac{2}{\sqrt{14}}, 0, \frac{3}{\sqrt{14}} \right), \left( \frac{2}{7}, -\frac{4}{7}, \frac{5}{7}, \frac{2}{7} \right), \left( \frac{4}{\sqrt{21}}, \frac{1}{\sqrt{21}}, 0, -\frac{2}{\sqrt{21}} \right) \right\}$$