

COMP20003
Algorithms and Data Structures
Greedy Algorithms and the MST

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Greedy Algorithms

Greedy algorithms are used in optimization problems

Greedy algorithms keep **taking the next best step** repeatedly, until the best solution is reached

- **Dijkstra's algorithm is greedy**: takes the **next best edge** to add to the path tree

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Minimum Spanning Tree

- Undirected weighted graphs
- **Minimum spanning tree** = subgraph that is:
 - A **tree** (no cycles)
 - Contains **every** vertex (spans)
 - Minimum **sum** of edge **weights**
- Also called:
 - Minimum weight spanning tree (sum of **weights**)
 - **Minimal** spanning tree (might be more than one)

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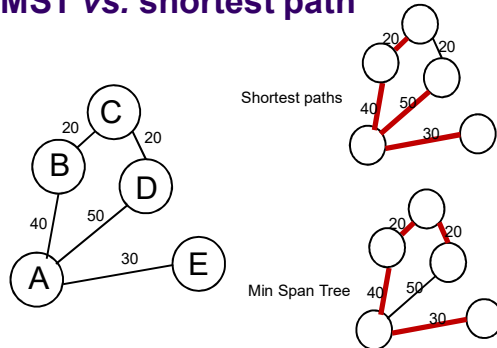


Saigon X, Health and Safety? What's That? Reena Mahtani
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MST vs. shortest path



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MST and Graph characteristics

- Graph must be **connected**
- MST *must* have **exactly $V-1$** edges
- **No cycles** in MST

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Building a MST: General approach

- **Start** with isolated vertices (all), **no edges**
- **Begin** with **any vertex** (Prim's) **or** the **least cost edge** (Kruskal's)
 - This is a MST subtree

Keep adding vertices/edges to **extend** this MST **subtree**

- Shortest connections
- No cycles

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Famous MST algorithms

- Prim's
 - **Shortest connection networks and some generalizations.** R.C. Prim, *Bell System Technical Journal* **36**(6), 1389-1401, 1957.
- Kruskal's
 - **On the shortest spanning subtree of a graph and the traveling salesman problem.** J.B. Kruskal, *Proceedings of the American Mathematical Society* **7**, 48-50, 1956.
- Borůvka's (1926, published in Czech)
 - **Otakar Borůvka on minimum spanning tree problem: translation of both the 1926 papers, comments, history.** [Nešetřil, Jaroslav](#); Milková, Eva; Nešetřilová, Helena (2001). *Discrete Mathematics* **233** (1-3): 3-36

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Prim's MST algorithm

- Preferred method for **dense** graphs
- Easiest with **matrix** representation
- Prim's algorithm relies on **picking** the next **best edge** that **joins two set of vertices**:
 - Vertices already in the tree (S)
 - Vertices **not** yet in the tree (V-S)

These two sets form a "cut"

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Definitions

- A **Cut** $(V, V-S)$ of G is a partition of V
- **Cross**: an edge (u, v) in E with one endpoint in S and the other in $V-S$
- **Light edge**: the minimum weight edge crossing the cut
- **Respect**: a cut respects a set A of edges if no edge in A crosses the cut

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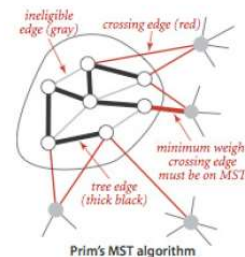
Cut during MST construction

Cut:

- **S**: set of vertices already **in** the MST
- **V-S**: **not** yet in the MST
 - **Fringe**: part of V-S **one step away** from the MST
 - **Vertices in V-S** have a cost (**distance**) from the MST subtree so far constructed
 - **Distances** between non-MST vertices and MST vertices **are updated** as vertices are added to MST

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Prim's MST algorithm

From R. Sedgewick, Algorithms 4th edition

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Prim's MST construction

Start:

- $S = \{\text{any vertex}\}$
- $S-V = \{\text{all the others}\}$
- The **cut** $S/V-S$ **respects** edges **in** the **MST** as it is being constructed
- The cut itself changes

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Prim's MST construction

Respect:

- The cut $S/V-S$ respects edges in the MST being constructed
- **Fringe**: vertices in $V-S$ **one step away** from the MST
- Vertices in $V-S$ have a cost(distance) **from the MST** subtree so far constructed (some may be ∞)

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Prim's MST construction

- **Pick lightest edge crossing the cut:**
 - Crossing edge (u,v) has u in S and v in $V-S$
 - Add v to S
 - Keep track of path ($\text{pred}[]$)
 - Update distances between non-MST vertices and MST vertices (could be closer now) ($w[]$)
- Repeat until $V-S = \{\emptyset\}$
- Reconstruct connections and distances from $\text{pred}[]$ and $wt[]$

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Prim's: Pseudocode

```
void prim(G, wt, root)
{
    for every u in V { dist[u] =  $\infty$ ; inmst[u] = FALSE; }
    dist[root] = 0; pred[root] = NULL;
    PQ = makePQ(V); /* all vertices in PQ */
    while(!empty PQ) {
        u = deletemin(PQ);
        for every (v adjacent to u) {
            if ((inmst[v]==FALSE) && (w[u][v] < dist[v])) {
                dist[v] = w[u][v]; /* update distance */
                decreasewt(PQ, v, dist[v]); /* update PQ dist */
                pred[v] = u; /* update path information */
            }
        }
        inmst[u] = TRUE;
    }
    /* at end: MST = {{v, pred[v]}: v in V - {root}} */
}
```

Fringe vertices are in a **priority queue**

- This is a Priority-First Search

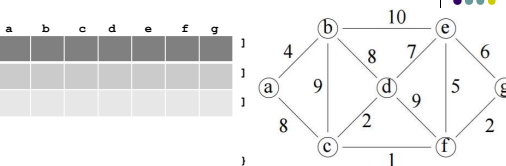
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Prim's example

	a	b	c	d	e	f	g
Dist =							
pred =							
inMST =							

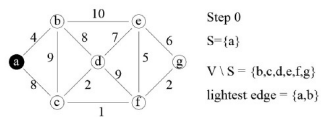
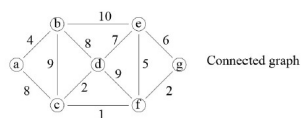
PQ = {



```
void prim(G, wt, root)
{
    for every u in V { dist[u] = ∞; inmat[u] = FALSE; }
    dist[root] = 0; pred[root] = NULL;
    PQ = makePQ(V); /* all vertices in PQ */
    while(!empty(PQ)) {
        u = deleteMin(PQ);
        for every (v adjacent to u) {
            if ((inmat[v] == FALSE) && (wt[u][v] < dist[v])) {
                dist[v] = wt[u][v]; /* update distance */
                decreasewt(PQ, v, dist[v]); /* update PQ dist */
                pred[v] = u; /* update path information */
            }
        }
        inmat[u] = TRUE;
    } /* at end: MST = {[v, pred[v]]: v in V - {root}} */
}
```

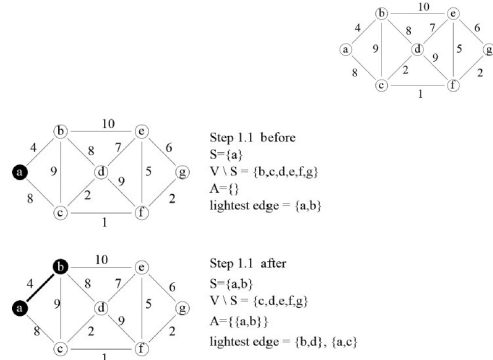
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Prim's example



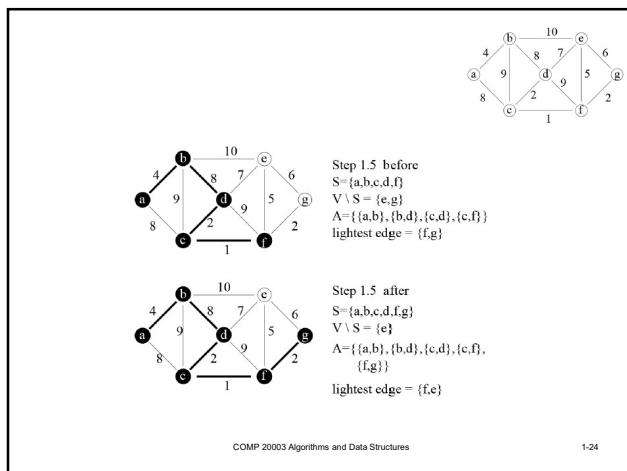
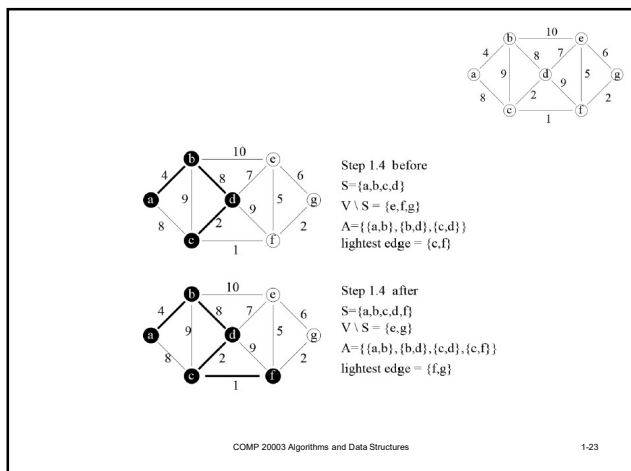
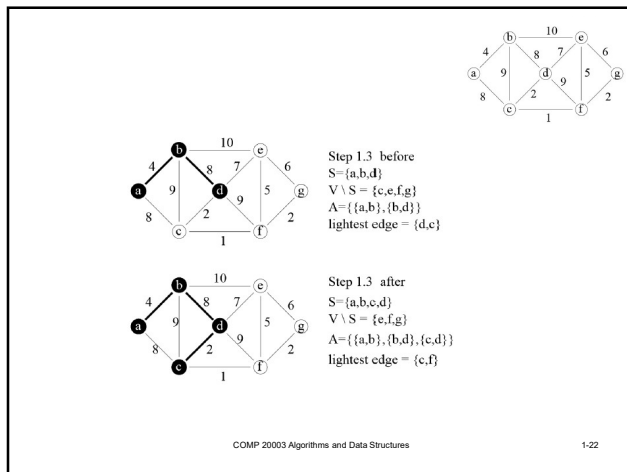
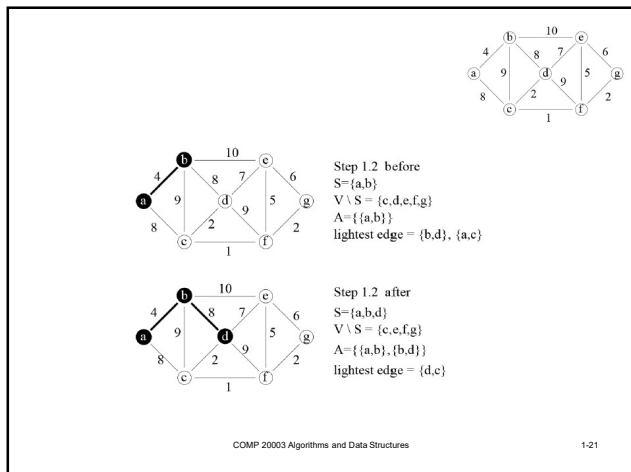
Example from Mordechai Golin, Hong Kong University of Science and Technology
<http://www.cse.ust.hk/faculty/golin/COMP271Sp03/Notes/MyL10.pdf>

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Step 1.6 before
 $S = \{a, b, c, d, f, g\}$
 $V \setminus S = \{e\}$
 $A = \{\{a, b\}, \{b, d\}, \{c, d\}, \{c, f\}, \{f, g\}\}$
 lightest edge = $\{f, e\}$

Step 1.6 after
 $S = \{a, b, c, d, e, f, g\}$
 $V \setminus S = \{\}$
 $A = \{\{a, b\}, \{b, d\}, \{c, d\}, \{c, f\}, \{f, g\}, \{f, e\}\}$
 MST completed

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Prim's: Analysis

Initialize arrays $pred[]$ $dist[]$: $O(V)$
 Make PQ of vertices: if heap $O(V)$
 Loop while PQ not empty *
 Deletemin (if heap) $O(V)$
 Update adjacent weights, adjust wt in PQ $O(V)$

= $O(V^2)$
 Noting that $\sum \deg(u) = 2E$,
 = $O(V)$
 = $O(V^2)$ for dense graphs with heap PQ

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Prim's vs. Dijkstra's

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