


PHYC90045 Introduction to Quantum Computing



## Week 10

**Lecture 19**  
Quantum Approximate Optimization Algorithm (QAOA),  
Variational Quantum Eigensolver (VQE), classical feedback

**Lecture 20**  
Exponentials, and Quantum Optimization

**Lab 10**  
Optimization problems

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## Matrix Exponentiation

Physics 90045  
Lecture 19

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## Overview

This lecture we will introduce useful tools for mapping problems to a quantum computing framework:

- Power series
- Gates as exponentials
- Rotations as exponentials
- BCH Formula
- “Trotter” to add exponents
- Cartan Decomposition

Kaye 8.5  
Reiffel 13.4.2

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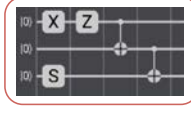
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### Hamiltonians and gates...

We've been using matrices to represent both gates and Hamiltonians.

**Gates on the QC**



Operators are represented by a **unitary matrix**

$$V^\dagger V = I$$

Operators form a **group**.

**Problem Hamiltonians**

$$H = J_{12}Z_1Z_2 + J_{23}Z_2Z_3 + J_{13}Z_1Z_3 + B_1Z_1 + B_2Z_2 + B_3Z_3$$

Observables (like total energy) are represented by a **Hermitian matrix**

$$H^\dagger = H$$

Observables form an algebra.

Beware, "H" is used for Hadamard and Hamiltonian, but they are different!

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### Exponentiation of Operators

There is a deep relationship in QM between the Hamiltonian and gates in a circuit.

A circuit represents "evolution" of a quantum state through the action of gate operators.

In general a system evolves via an operator  $U$  through the exponentiation of an underlying Hamiltonian:

$$U = \exp(iHt)$$

Evolution operator  
e.g. a gate in the circuit

Hamiltonian – two types

1. Of the QC itself to implement a gate, e.g. Z
2. Of the problem being mapped to the QC

We will first look at what exponentiation of operators (matrices) means mathematically...

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### Power series

Power series (Taylor/Maclaurin series):

$$\exp(x) = 1 + x + \frac{x^2}{2} + \frac{x^3}{3!} + \frac{x^4}{4!} + \dots = \sum_{n=0}^{\infty} \frac{x^n}{n!}$$

Power series for trigonometric functions:

$$\sin(x) = x - \frac{x^3}{3!} + \frac{x^5}{5!} - \frac{x^7}{7!} \dots$$

$$\cos(x) = 1 - \frac{x^2}{2!} + \frac{x^4}{4!} - \frac{x^6}{6!} \dots$$


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### Complex Numbers: Exponential form

We can use this to prove useful things. For example consider:

$$\exp(i\theta) = 1 + i\theta - \frac{\theta^2}{2} - i\frac{\theta^3}{3!} + \frac{\theta^4}{4!} + i\frac{\theta^5}{5!} - \dots$$

Comparing to power series for trigonometric functions:

$$\cos(x) = 1 - \frac{x^2}{2!} + \frac{x^4}{4!} - \frac{x^6}{6!} \dots$$

$$\sin(x) = x - \frac{x^3}{3!} + \frac{x^5}{5!} - \frac{x^7}{7!} \dots$$

We can prove that

$$\exp(i\theta) = \cos \theta + i \sin \theta$$


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### Matrix Exponentiation

By analogy we can define the exponential of a matrix to be:

$$\exp(A) = I + A + \frac{A^2}{2!} + \frac{A^3}{3!} + \dots$$

Matrix equation

Compare to the equation for real/complex numbers

$$\exp(x) = 1 + x + \frac{x^2}{2} + \frac{x^3}{3!} + \frac{x^4}{4!} + \dots = \sum_{n=0}^{\infty} \frac{x^n}{n!}$$


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### Rotations as a matrix exponential

Consider:

$$\exp(i\theta Z) = I + i\theta Z - \frac{\theta^2 Z^2}{2} - i\frac{\theta^3 Z^3}{3!} + \frac{\theta^4 Z^4}{4!} + i\frac{\theta^5 Z^5}{5!} - \dots$$

Using the fact that  $Z^2 = I$

$$\begin{aligned} \exp(i\theta Z) &= I + i\theta Z - \frac{\theta^2}{2} I - i\frac{\theta^3}{3!} Z + \frac{\theta^4}{4!} I + i\frac{\theta^5}{5!} Z - \dots \\ &= \left(1 - \frac{\theta^2}{2} + \frac{\theta^4}{4!} - \dots\right) I + i\left(\theta - \frac{\theta^3}{3!} + \dots\right) Z \\ &= \cos(\theta) I + i \sin(\theta) Z \end{aligned}$$

i.e. the rotation matrix around Z-axis!

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### Z-rotations

$$\begin{aligned}\exp(i\theta Z) &= I + i\theta Z - \frac{\theta^2}{2}I - i\frac{\theta^3}{3!}Z + \frac{\theta^4}{4!}I + i\frac{\theta^5}{5!}Z - \dots \\ &= \left(1 - \frac{\theta^2}{2} + \frac{\theta^4}{4!} - \dots\right)I + i\left(\theta - \frac{\theta^3}{3!} + \dots\right)Z \\ &= \cos(\theta)I + i\sin(\theta)Z\end{aligned}$$

$$R_z(\theta) = \exp\left(-\frac{i\theta}{2}Z\right)$$

put in  
-ve sign

Compare with our R-gate  
(with zero global phase)

$$R_z(\theta_R) = e^{i\theta_R} \left( I \cos\left(\frac{\theta_R}{2}\right) - iZ \sin\left(\frac{\theta_R}{2}\right) \right)$$


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### Rotations around other axes

The only fact we used the fact that Z squares to the identity. Other axes work in a similar way.

$$\begin{aligned}R_x(\theta) &= \exp\left(-\frac{i\theta}{2}X\right) \\ R_y(\theta) &= \exp\left(-\frac{i\theta}{2}Y\right) \\ R_z(\theta) &= \exp\left(-\frac{i\theta}{2}Z\right)\end{aligned}$$

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### Arbitrary axis rotation as matrix exponential

Consider any unit vector,  $\hat{n}$ :

$$\begin{aligned}(n_x X + n_y Y + n_z Z)^2 &= (n_x^2 + n_y^2 + n_z^2)I + \\ &\quad n_x n_z (XZ + ZX) + n_y n_z (YZ + ZY) + n_x n_y (XY + YX) \\ &= (n_x^2 + n_y^2 + n_z^2)I \\ &= I\end{aligned}$$

Like Z, this squares to the identity.

An arbitrary rotation of one qubit can be expressed:

$$R_{\hat{n}}(\theta) = \exp\left(-\frac{i\theta}{2}\hat{n} \cdot \sigma\right) = \cos\frac{\theta}{2} - i\sin\frac{\theta}{2}(\hat{n} \cdot \sigma)$$

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### Exponentiation of two qubit operators

Not only do single qubit operators square to the identity, we can consider:

If  $(Z \otimes Z)(Z \otimes Z) = I$

So just like for a single qubit rotations, we could use a power series to show:

Then  $\exp(i\theta Z \otimes Z) = \cos(\theta)I + i \sin(\theta)Z \otimes Z$

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### Eigenvalues and exponentiation

Consider an eigenvalue decomposition of a matrix, A

$$A = V D V^\dagger$$

Here V is unitary, D is diagonal and real. We can find powers:

$$\begin{aligned} A &= V D V^\dagger \\ A^2 &= V D V^\dagger V D V^\dagger = V D^2 V^\dagger \\ A^3 &= \dots = V D^3 V^\dagger \\ A^n &= V D^n V^\dagger \end{aligned}$$

And taking powers of a diagonal matrix is the same as taking the power of each of the diagonal entries.

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### Applied to exponentiation

U is unitary, D is diagonal with the entries on the diagonal equal to the eigenvalues.

$$\exp(A) = I + A + \frac{A^2}{2!} + \frac{A^3}{3!} + \frac{A^4}{4!} + \dots$$

So, using the powers of A from the previous slide:

$$\begin{aligned} \exp(A) &= I + A + \frac{A^2}{2!} + \frac{A^3}{3!} + \frac{A^4}{4!} + \dots \\ &= V \left( I + D + \frac{D^2}{2} + \frac{D^3}{3!} + \frac{D^4}{4!} + \dots \right) V^\dagger \\ &= V \exp(D) V^\dagger \end{aligned}$$

Simply exponentiate the eigenvalues/diagonal of D matrix.

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### Conjugating with V and V inverse

$$\exp(A) = \exp(VDV^\dagger) = V \exp(D) V^\dagger$$

Conjugating in the exponent      Conjugating with a gate and its inverse eg. In QUI.

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### Example: CZ gate

$$CZ = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}$$

$$= \begin{bmatrix} e^{i0} & 0 & 0 & 0 \\ 0 & e^{i0} & 0 & 0 \\ 0 & 0 & e^{i0} & 0 \\ 0 & 0 & 0 & e^{i\pi} \end{bmatrix}$$

$$CZ = \exp \left( i\pi \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \right)$$

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### Matrix as linear combination of Pauli operators

Always possible decompose a matrix as a sum of Pauli products. If you have a matrix only:

$$E_i = \frac{\text{Tr}[\sigma_i H]}{d}$$

$\sigma_i = XI, IX, \dots XZ, \dots ZZ$

Where d is the dimension of the system (d=4 for 2 qubits), H is the Hamiltonian and  $\sigma_i$  is the Pauli. If the matrix is Hermitian, the co-efficients you find,  $E_i$ , should be real.

Express the Hamiltonian as linear combination of Pauli matrix products:

$$H = \sum_i E_i \sigma_i$$

For example:  $H = B_1 X_1 + B_2 X_2 + J_{12} Z_1 Z_2$

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### CZ Gate continued

$$CZ = \exp \left( i\pi \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \right)$$

$II - IZ - ZI + ZZ$

$$CZ = \exp \left( i\frac{\pi}{4}II - i\frac{\pi}{4}ZI - i\frac{\pi}{4}IZ + i\frac{\pi}{4}ZZ \right)$$

All the terms commute, so

$$CZ = \exp \left( i\frac{\pi}{4}II \right) \exp \left( -i\frac{\pi}{4}ZI \right) \exp \left( -i\frac{\pi}{4}IZ \right) \exp \left( +i\frac{\pi}{4}ZZ \right)$$

Global phase      Single qubit rotations      Interaction

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### CZ Circuit

$$CZ = \exp \left( i\frac{\pi}{4}II \right) \exp \left( -i\frac{\pi}{4}ZI \right) \exp \left( -i\frac{\pi}{4}IZ \right) \exp \left( +i\frac{\pi}{4}ZZ \right)$$

$$\text{Using } R_z(\theta) = \exp \left( -\frac{i\theta}{2} Z \right)$$


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### Example: CNOT gate in exponent form

$$CZ = \exp \left( i\frac{\pi}{4}II \right) \exp \left( -i\frac{\pi}{4}ZI \right) \exp \left( -i\frac{\pi}{4}IZ \right) \exp \left( +i\frac{\pi}{4}ZZ \right)$$

Global phase      Single qubit rotations      Interaction

We can work out how CNOT can be expressed as an exponent:

$$\begin{aligned} CNOT &= I \otimes H \quad CZ \quad I \otimes H \\ &= I \otimes H \quad \exp \left( i\pi \frac{II - ZI - IZ + ZZ}{4} \right) \quad I \otimes H \\ &= \exp \left( i\pi \frac{II - ZI - IX + ZX}{4} \right) \end{aligned}$$


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### Cartan Decomposition

Known as the Cartan or KAK decomposition:



The interacting part,  $V$ , depends on just three parameters:

$$V = \exp(i\theta_x XX + i\theta_y YY + i\theta_z ZZ)$$

All of these terms commute, so the order doesn't matter. Can be implemented separately.

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### Warning about non-commuting operators

Warning:

$$\exp(A) \exp(B) \neq \exp(A + B)$$

Unless  $A$  and  $B$  commute! (see CZ example)

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### Baker-Campbell-Hausdorff formula

First few terms of the BCH formula:

$$\exp(A) \exp(B) = \exp \left( A + B + \frac{1}{2}[A, B] + \frac{1}{12}([A, [A, B]] + [B, [B, A]]) + \dots \right)$$

Higher order terms involve commutators

Where the commutator is given by

$$[A, B] = AB - BA$$

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**Question for you**

$[X, Y] = ?$   
 $[Y, Z] = ?$   
 $[Z, X] = ?$

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Given:

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \quad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$[A, B] = AB - BA$

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**Commutators of Paulis**

$$\begin{aligned}
 [X, Y] &= 2iZ \\
 [Y, Z] &= 2iX \\
 [Z, X] &= 2iY
 \end{aligned}$$

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**Baker-Campbell-Hausdorff formula**

First few terms of the BCH formula:

$$\exp(A) \exp(B) = \exp \left( A + B + \frac{1}{2}[A, B] + \frac{1}{12}([A, [A, B]] + [B, [B, A]]) + \dots \right)$$

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### Trotter Approximation

But what if you do want to create the gate:

$$\exp(A + B)$$

We might try:

$$\exp(A + B) \approx \exp(A) \exp(B)$$

$$\exp(A + B) \approx \exp\left(\frac{A}{2}\right) \exp\left(\frac{B}{2}\right) \exp\left(\frac{A}{2}\right) \exp\left(\frac{B}{2}\right)$$

$$\exp(A + B) \approx \left( \exp\left(\frac{A}{n}\right) \exp\left(\frac{B}{n}\right) \right)^n$$

This is called the Trotter (sometimes Trotter-Suzuki) approximation – useful!

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### Lie Algebras and Lie Groups

$U = \exp(iHt)$

$SU(n)$

**"Special" unitary Lie group**

$\text{Det}(U) = 1$   
 $U$  is unitary

These operations you can implement using QUI

$\mathfrak{su}(n)$

**Lie algebra**

$iHt$  is anti-Hermitian  
Traceless

Like using phase in complex numbers, this can help!

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### Problem Hamiltonians

Now consider the "Hamiltonian" associated with a particular problem, e.g.

$$H = J_{12}Z_1Z_2 + J_{23}Z_2Z_3 + J_{13}Z_1Z_3 \quad (\text{set } t = -\alpha/2, \text{ to absorb factor of } 2)$$

Evolution operator is:

$$U = \exp[-iH\alpha/2] = \exp[-(i\alpha/2)(J_{12}Z_1Z_2 + J_{23}Z_2Z_3 + J_{13}Z_1Z_3)]$$

$$= \exp[-(i\alpha/2)(J_{12}Z_1Z_2)] \exp[-(i\alpha/2)(J_{23}Z_2Z_3)] \exp[-(i\alpha/2)(J_{13}Z_1Z_3)]$$

Equivalent circuit is:

Equivalent Hamiltonian coupling

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$$= \exp[-(i\alpha/2)(J_{12}Z_1Z_2)] \exp[-(i\alpha/2)(J_{23}Z_2Z_3)] \exp[-(i\alpha/2)(J_{13}Z_1Z_3)]$$

This is the basis for encoding the QAOA trial state for the problem Hamiltonian:

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