

Selected Tutorial Solutions, Week 6

37. It is easy to see that $\neg\forall x\exists y (\neg P(x) \wedge P(y))$ is valid. For example, first push negation in, to get $\exists x\forall y (P(x) \vee \neg P(y))$. Both quantifiers can be pushed in, since there is no y in the left conjunct. So this formula is equivalent: $\exists x (P(x)) \vee \forall y (\neg P(y))$. This is clearly valid. It is also straight-forward to turn into clausal form; we get just one clause: $P(a) \vee \neg P(y)$.
38. (a) The pair of terms $(h(f(x), g(y, f(x))), y), h(f(u), g(v, v), u))$ is not unifiable. Applying rule 1 (decomposition) to $\{h(f(x), g(y, f(x))), y) = h(f(u), g(v, v), u)\}$, we get

$$\left\{ \begin{array}{lcl} f(x) & = & f(u) \\ g(y, f(x)) & = & g(v, v) \\ y & = & u \end{array} \right\}$$

Applying rule 1 (decomposition) again, to each of the first two equations, yields

$$\left\{ \begin{array}{lcl} x & = & u \\ y & = & v \\ f(x) & = & v \\ y & = & u \end{array} \right\}$$

Applying rule 6 (substitution) with the first equation, we get

$$\left\{ \begin{array}{lcl} x & = & u \\ y & = & v \\ f(u) & = & v \\ y & = & u \end{array} \right\}$$

Applying rule 4 (reorientation) to the third equation, followed by rule 6 to the result yields

$$\left\{ \begin{array}{lcl} x & = & u \\ y & = & f(u) \\ v & = & f(u) \\ y & = & u \end{array} \right\}$$

Applying rule 6 (substitution) with the last equation yields

$$\left\{ \begin{array}{lcl} x & = & u \\ u & = & f(u) \\ v & = & f(u) \\ y & = & u \end{array} \right\}$$

Now the occur check applied to the second equation yields failure.

- (b) The pair of terms $(h(f(g(x, y)), y, g(y, y)), h(f(u), g(a, v), u))$ is unifiable. Applying rule 1 (decomposition) to $\{h(f(g(x, y)), y, g(y, y)) = h(f(u), g(a, v), u)\}$, we get

$$\left\{ \begin{array}{lcl} f(g(x, y)) & = & f(u) \\ y & = & g(a, v) \\ g(y, y) & = & u \end{array} \right\}$$

and a second application yields

$$\left\{ \begin{array}{lcl} g(x, y) & = & u \\ y & = & g(a, v) \\ g(y, y) & = & u \end{array} \right\}$$

Applying rule 4 (reorientation) to the first and the third equation, we have

$$\left\{ \begin{array}{lcl} u & = & g(x, y) \\ y & = & g(a, v) \\ u & = & g(y, y) \end{array} \right\}$$

Applying rule 6 (to the first equation) we then get

$$\left\{ \begin{array}{lcl} u & = & g(x, y) \\ y & = & g(a, v) \\ g(x, y) & = & g(y, y) \end{array} \right\}$$

which, after an application of rule 1 gives

$$\left\{ \begin{array}{lcl} u & = & g(x, y) \\ y & = & g(a, v) \\ x & = & y \\ y & = & y \end{array} \right\}$$

The last equation is dropped, by rule 3, and then rule 6 applied to the third equation gives

$$\left\{ \begin{array}{lcl} u & = & g(y, y) \\ y & = & g(a, v) \\ x & = & y \end{array} \right\}$$

Finally, rule 6 applied to the second equation gives

$$\left\{ \begin{array}{lcl} u & = & g(g(a, v), g(a, v)) \\ y & = & g(a, v) \\ x & = & g(a, v) \end{array} \right\}$$

This is a normal form and $\{u \mapsto g(g(a, v), g(a, v)), y \mapsto g(a, v), x \mapsto g(a, v)\}$ is the most general unifier.

- (c) The pair of terms $(h(g(x, x), g(y, z), g(y, f(z))), h(g(u, v), g(v, u), v))$ is not unifiable. Applying rule 1 (decomposition) to $\{h(g(x, x), g(y, z), g(y, f(z))) = h(g(u, v), g(v, u), v)\}$, we get

$$\left\{ \begin{array}{lcl} g(x, x) & = & g(u, v) \\ g(y, z) & = & g(v, u) \\ g(y, f(z)) & = & v \end{array} \right\}$$

Applying rule 1 (decomposition) again, to each of the first two equations, yields

$$\left\{ \begin{array}{lcl} x & = & u \\ x & = & v \\ y & = & v \\ z & = & u \\ g(y, f(z)) & = & v \end{array} \right\}$$

Applying rule 4 (reorientation) to the last equation, followed by rule 6 applied to v yields

$$\left\{ \begin{array}{lcl} x & = & u \\ x & = & g(y, f(z)) \\ y & = & g(y, f(z)) \\ z & = & u \\ v & = & g(y, f(z)) \end{array} \right\}$$

Using rule 6 on the first equation gives us

$$\left\{ \begin{array}{lcl} x & = & u \\ u & = & g(y, f(z)) \\ y & = & g(y, f(z)) \\ z & = & u \\ v & = & g(y, f(z)) \end{array} \right\}$$

Using rule 6 on the second equation then gives us

$$\left\{ \begin{array}{lcl} x & = & g(y, f(z)) \\ u & = & g(y, f(z)) \\ y & = & g(y, f(z)) \\ z & = & g(y, f(z)) \\ v & = & g(y, f(z)) \end{array} \right\}$$

Now the occur check (rule 5) applied to the fourth equation yields failure.

- (d) The pair of terms $(h(v, g(v), f(u, a)), h(g(x), y, x))$ is unifiable. Applying rule 1 (decomposition) to $\{h(v, g(v), f(u, a)) = h(g(x), y, x)\}$, we get

$$\left\{ \begin{array}{lcl} v & = & g(x) \\ g(v) & = & y \\ f(u, a) & = & x \end{array} \right\}$$

Reorienting the last two equations:

$$\left\{ \begin{array}{lcl} v & = & g(x) \\ y & = & g(v) \\ x & = & f(u, a) \end{array} \right\}$$

Now replacing x (rule 6):

$$\left\{ \begin{array}{lcl} v & = & g(f(u, a)) \\ y & = & g(v) \\ x & = & f(u, a) \end{array} \right\}$$

Finally replacing v (rule 6):

$$\left\{ \begin{array}{lcl} v & = & g(f(u, a)) \\ y & = & g(g(f(u, a))) \\ x & = & f(u, a) \end{array} \right\}$$

we have a normal form and $\{v \mapsto g(f(u, a)), x \mapsto f(u, a), y \mapsto g(g(f(u, a)))\}$ is the most general unifier.

- (e) The pair of terms $(h(f(x, x), y, y, x), h(v, v, f(a, b), a))$ is not unifiable. Applying rule 1 (decomposition) to $\{h(f(x, x), y, y, x) = h(v, v, f(a, b), a)\}$, we get

$$\left\{ \begin{array}{lcl} f(x, x) & = & v \\ y & = & v \\ y & = & f(a, b) \\ x & = & a \end{array} \right\}$$

Reorienting the first equation yields

$$\left\{ \begin{array}{lcl} v & = & f(x, x) \\ y & = & v \\ y & = & f(a, b) \\ x & = & a \end{array} \right\}$$

Now applying rule 6 to x and then to v , we get

$$\left\{ \begin{array}{lcl} v & = & f(a, a) \\ y & = & f(a, a) \\ y & = & f(a, b) \\ x & = & a \end{array} \right\}$$

Now apply rule 6 to, say, the second equation and get

$$\left\{ \begin{array}{lcl} v & = & f(a, a) \\ y & = & f(a, a) \\ f(a, a) & = & f(a, b) \\ x & = & a \end{array} \right\}$$

Decomposition (rule 1) then yields

$$\left\{ \begin{array}{lcl} v & = & f(a, a) \\ y & = & f(a, a) \\ a & = & a \\ a & = & b \\ x & = & a \end{array} \right\}$$

Now the second-last equation gives match failure (rule 2 applies), and so the original pair of terms were not unifiable.

39. (a) The two statements

$$\begin{array}{ll} S_1: & \text{“No politician is honest.”} \\ S_2: & \text{“Some politicians are not honest.”} \end{array} \quad \text{become} \quad \begin{array}{ll} F_1 & : \quad \forall x (\neg P(x) \vee \neg H(x)) \\ F_2 & : \quad \exists x (P(x) \wedge \neg H(x)) \end{array}$$

(b) $F_1 \Rightarrow F_2$ is satisfiable. First let us simplify the formula. Normally it would be a good idea to rename the bound variables, but in this case, it will be preferable to keep the x .

$$\begin{array}{ll} F_1 \Rightarrow F_2 & \\ \equiv \forall x (\neg P(x) \vee \neg H(x)) \Rightarrow \exists x (P(x) \wedge \neg H(x)) & \text{spell out} \\ \equiv \neg \forall x (\neg P(x) \vee \neg H(x)) \vee \exists x (P(x) \wedge \neg H(x)) & \text{eliminate implication} \\ \equiv \exists x (P(x) \wedge H(x)) \vee \exists x (P(x) \wedge \neg H(x)) & \text{push negation in} \\ \equiv \exists x ((P(x) \wedge H(x)) \vee (P(x) \wedge \neg H(x))) & \exists \text{ distributes over } \vee \\ \equiv \exists x (P(x) \wedge (H(x) \vee \neg H(x))) & \text{factor out } P(x) \\ \equiv \exists x P(x) & \text{eliminate trivially true conjunct} \end{array}$$

For this formula we can clearly find an interpretation that makes it true. For example, take the domain $\{alf, bill, charlie\}$ and let P and H hold for all elements. Or, take the domain \mathbb{Z} , let P stand for “is a prime” and let H stand for “is zero”.

(c) $F_1 \Rightarrow F_2$ is not valid. It is easy to find an interpretation that makes $\exists x P(x)$ false. For example, take the domain $\{alf, bill, charlie\}$ and let P hold for none of the elements (H can be given any interpretation). Or, take the domain \mathbb{Z} , let P stand for “is an even prime greater than 2” and let H stand for “is zero”.

(d) The statements

$$\begin{array}{ll} S_3: & \text{“No Australian politician is honest.”} \\ S_4: & \text{“All honest politicians are Australian.”} \end{array}$$

can be expressed

$$\begin{array}{ll} S_3: & \forall x ((A(x) \wedge P(x)) \Rightarrow \neg H(x)) \\ S_4: & \forall y ((P(y) \wedge H(y)) \Rightarrow A(y)) \end{array}$$

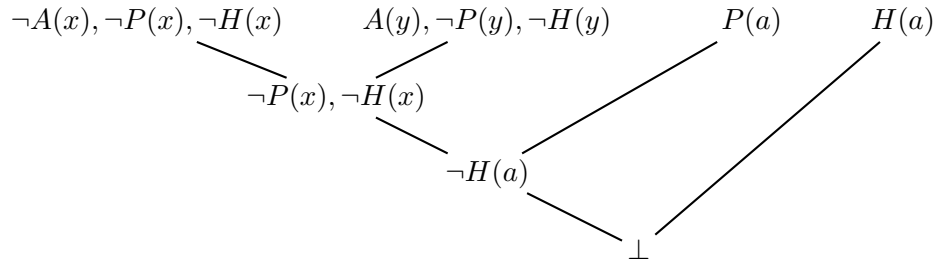
Each of these formulas corresponds to exactly one clause. The clausal forms are:

$$\begin{array}{l} \{\{\neg A(x), \neg H(x), \neg P(x)\}\} \\ \{\{A(y), \neg H(y), \neg P(y)\}\} \end{array}$$

(e) We can show that S_1 is a logical consequence of S_3 and S_4 by refuting $S_3 \wedge S_4 \wedge \neg S_1$. So let us write $\neg S_1$ in clausal form (note that we *must* apply the negation *before* “clausifying”; the other way round generally gives an incorrect result):

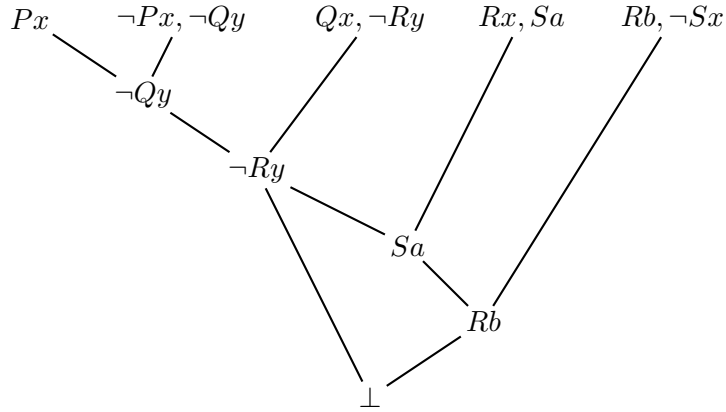
$$\begin{array}{ll} \neg \forall x (\neg P(x) \vee \neg H(x)) & \\ \exists x (P(x) \wedge H(x)) & \text{push negation in} \\ P(a) \wedge H(a) & \text{Skolemize} \end{array}$$

Or, written as a set of sets: $\{\{P(a)\}, \{H(a)\}\}$. Added to the other clauses, these allow us to complete the proof by resolution:

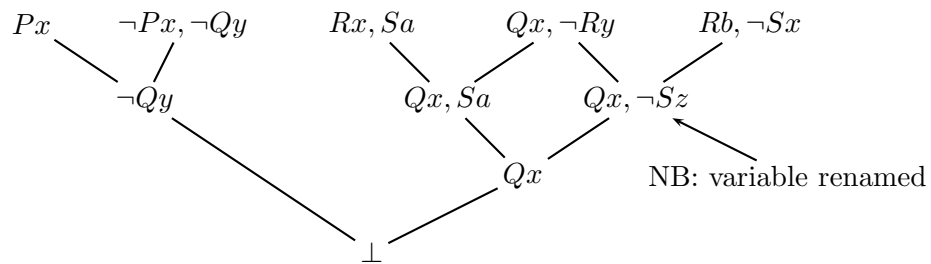


(f) The statement “ S_2 is a logical consequence of S_3 and S_4 ” is false. We can show this by constructing an interpretation which makes S_3 and S_4 true, while making S_2 false. Any interpretation with domain D , in which P is false for all elements of D , will do.

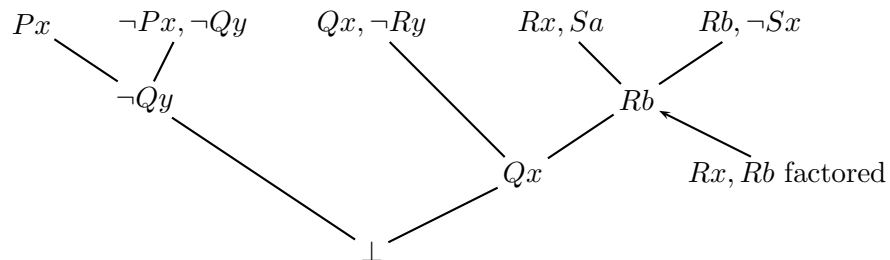
40. (We should rename clauses apart, but in this case, no confusion arises, so we omit that.) We can construct the refutation in 5 resolution steps, that is, the refutation tree has only 5 internal nodes:



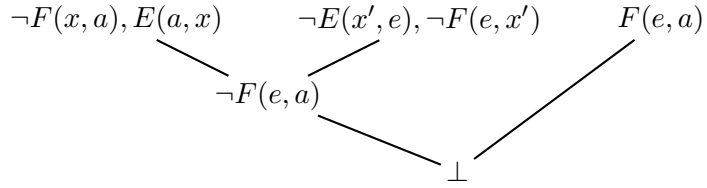
Here is another way (5 steps), in which the depth of the refutation tree is somewhat smaller:



With factoring, we can do it in 4 resolution steps, plus one factoring step:



43. (a) $\forall x (F(x, a) \Rightarrow E(a, x))$
 (b) $\forall x (E(x, e) \Rightarrow \neg F(e, x))$
 (c) We capture “Eve is no more fortunate than Adam” as $\neg F(e, a)$. To show that this is a logical consequence of the other two statements, we need to show that every model of $\forall x (F(x, a) \Rightarrow E(a, x)) \wedge \forall x (E(x, e) \Rightarrow \neg F(e, x))$ makes $F(e, a)$ false. Assume (for contradiction) that there is a model in which $F(e, a)$ is true. Then, by the left conjunct, $E(a, e)$ is also true in this model. But then, by the right conjunct, $\neg F(e, a)$ is also true, that is, $F(e, a)$ is false. But this is a contradiction, so $F(e, a)$ must be false. Indeed a proof by resolution is easy:



44. (a) i. $\forall x \forall y (P(x, y) \Leftrightarrow C(y, x))$
 ii. $\forall x (G(x) \oplus R(x))$
 iii. $\forall x (G(x) \Leftrightarrow \exists y (P(y, x) \wedge G(y)))$
 iv. $\forall x (G(x) \Rightarrow S(x))$
 v. $\forall x (\forall y [C(y, x) \Rightarrow S(y)] \Rightarrow H(x))$
 (b) Before we generate clauses, let us simplify the third formula. Replacing \Leftrightarrow , we get

$$\forall x (\neg G(x) \vee \exists y (P(y, x) \wedge G(y)) \wedge (G(x) \vee \neg \exists y (P(y, x) \wedge G(y))))$$

Pushing negation in:

$$\forall x (\neg G(x) \vee \exists y (P(y, x) \wedge G(y)) \wedge (G(x) \vee \forall y (\neg P(y, x) \vee \neg G(y))))$$

We see that the existentially quantified y needs to be Skolemized. Let us use the function symbol p , so that $p(x)$ reads “parent of x ”.

Similarly, let us simplify the fifth formula. Replacing the implication symbols, we get $\forall x [\neg \forall y [\neg C(y, x) \vee S(y)] \vee H(x)]$. Pushing the negations in, we then get

$$\forall x [\exists y [C(y, x) \wedge \neg S(y)] \vee H(x)]$$

Again, the existentially quantified y needs to be Skolemized, and we must use a fresh function symbol—let us choose c , so that $c(x)$ reads “child of x ”.

We can now list the clauses:

- i. Two clauses: $\{\neg P(x, y), C(y, x)\}$ and $\{P(x, y), \neg C(y, x)\}$
- ii. Two clauses: $\{G(x), R(x)\}$ and $\{\neg G(x), \neg R(x)\}$
- iii. Three clauses: $\{\neg G(x), P(p(x), x)\}$, $\{\neg G(x), G(p(x))\}$, and $\{G(x), \neg P(y, x), \neg G(y)\}$
- iv. One clause: $\{\neg G(x), S(x)\}$
- v. Two clauses: $\{C(c(x), x), H(x)\}$ and $\{\neg S(c(x)), H(x)\}$

- (c) The statement to prove is $\forall x(G(x) \Rightarrow H(x))$. Negating this statement, we have $\exists x(G(x) \wedge \neg H(x))$. In clausal form this is $G(a)$ and $\neg H(a)$ (two clauses). Altogether we now have 12 clauses, but fortunately a refutation can be found that uses just seven:

