

Algorithm analysis & design

Sorting Algorithms

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 - Algorithm
 - Analysis of Insertion Sort
- **Bubble Sort**
 - Algorithm
 - Analysis of Bubble Sort
- **Selection Sort**
 - Algorithm
 - Analysis of Selection Sort

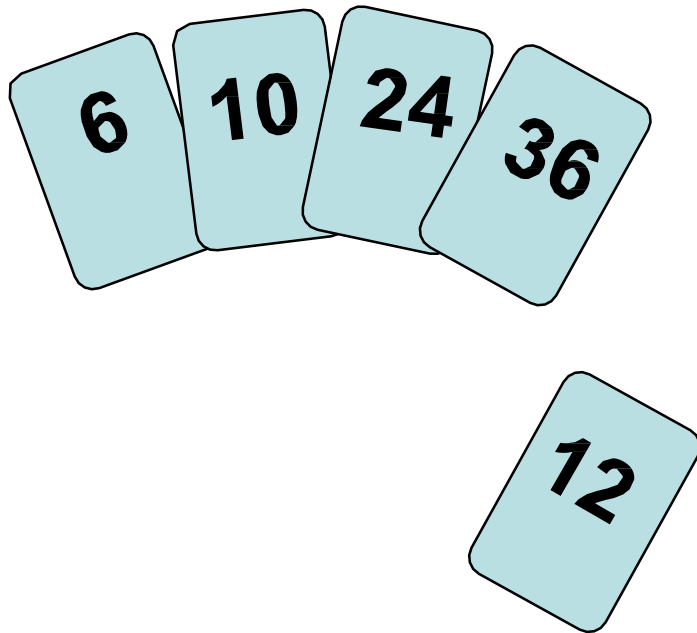
Insertion Sort

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- Idea: like sorting a hand of playing cards
 - Start with an empty left hand and the cards facing down on the table.
 - Remove one card at a time from the table, and insert it into the correct position in the left hand
 - compare it with each of the cards already in the hand, from right to left
 - The cards held in the left hand are sorted

Insertion Sort

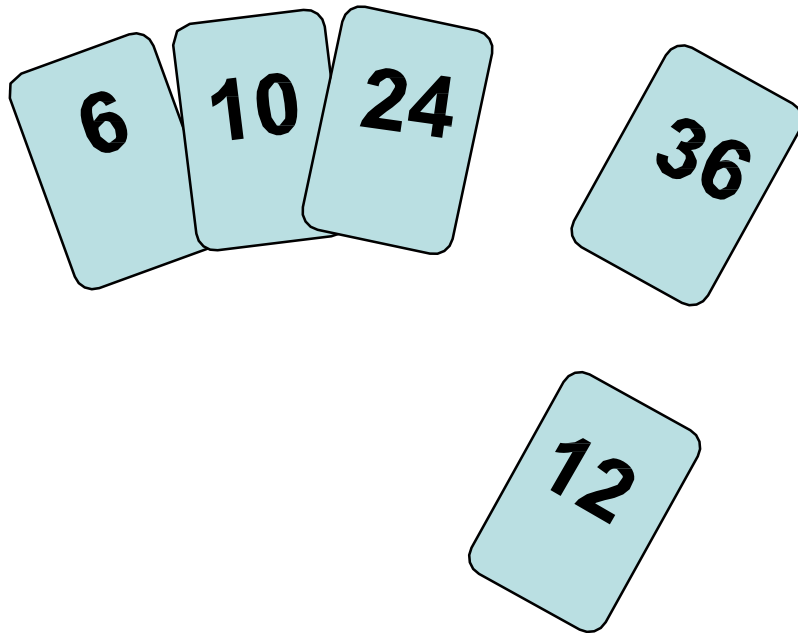
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To insert 12, we need to make room for it by moving first 36 and then 24.

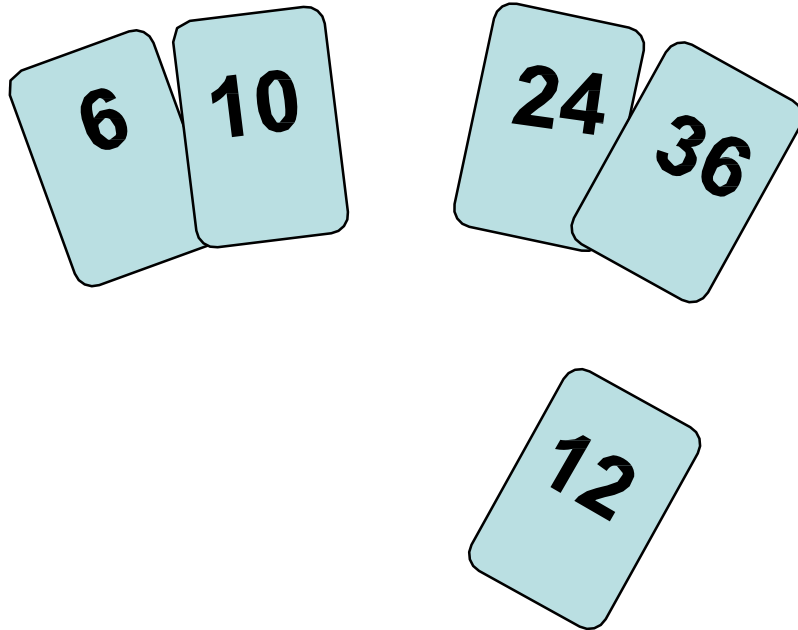
Insertion Sort

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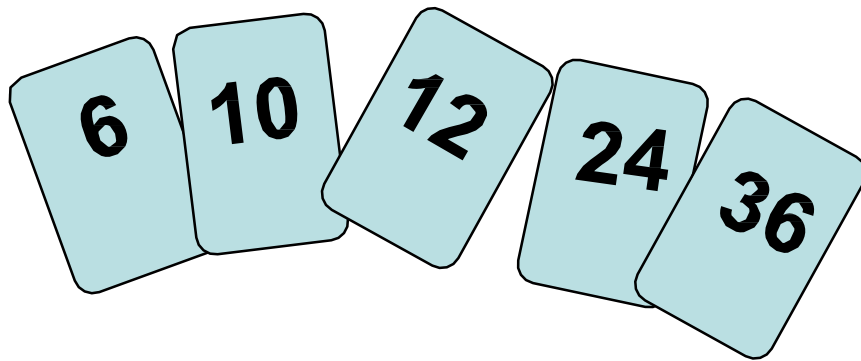
Insertion Sort

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Insertion Sort

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Insertion Sort: Example

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input array

5 2 4 6 1 3

at each iteration, the array is divided in two sub-arrays:

left sub-array

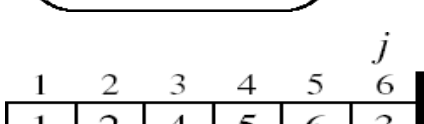
right sub-array

5 2 4 6 1 3

sorted

unsorted

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Insertion Sort: pseudo-code

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1	2	3	4	5	6	7	8
a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8

Algorithm Insertion-sort(A, n)

for $j \leftarrow 2$ **to** n **do**

$\text{key} \leftarrow A[j]$

$i \leftarrow j - 1$

while $i \geq 1$ and $A[i] > \text{key}$ **do**

$A[i + 1] \leftarrow A[i]$

$i \leftarrow i - 1$

$A[i + 1] \leftarrow \text{key}$

Analysis of Insertion Sort: Running Time

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Algorithm Insertion-sort(A, n)

 for $j \leftarrow 2$ to n do

 key $\leftarrow A[j]$

$i \leftarrow j - 1$

 while $i \geq 1$ and $A[i] > \text{key}$ do

$A[i + 1] \leftarrow A[i]$

$i \leftarrow i - 1$

$A[i + 1] \leftarrow \text{key}$

cost times

C_1 n

C_2 $n-1$

C_3 $n-1$

C_4 $\sum_{j=2}^n t_j$

C_5 $\sum_{j=2}^n (t_j - 1)$

C_6 $\sum_{j=2}^n (t_j - 1)$

C_7 $n-1$

t_j : # of times the while statement is executed at iteration j

Analysis of Insertion Sort: Running Time

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- The running time of the algorithm is the sum of running times for each statement executed; a statement that **takes c_i steps to execute and executes n times** will contribute **$c_i n$** to the total running time.
- To compute **$T(n)$** , the running time of **INSERTION-SORT** on an input of n values, we sum the products of the *cost* and *times* columns, obtaining

$$T(n) = c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 \sum_{j=2}^n t_j + c_5 \sum_{j=2}^n (t_j - 1) + c_6 \sum_{j=2}^n (t_j - 1) + c_7 (n-1)$$

Best Case Analysis

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- The array is already sorted “**while** $i > 0$ and $A[i] > \text{key}$ ”
 - $A[i] \leq \text{key}$ upon the first time the **while** loop test is run
(when $i = j-1$)
 - $t_j = 1$
- $$T(n) = c_1n + c_2(n-1) + c_3(n-1) + c_4(n-1) + c_7(n-1)$$
$$= (c_1 + c_2 + c_3 + c_4 + c_7)n + (c_2 + c_3 + c_4 + c_5)$$
$$= an + b = \Theta(n)$$

Worst Case Analysis

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- The array is in reverse sorted order “**while** $i > 0$ and $A[i] > \text{key}$ ”
 - Always $A[i] > \text{key}$ in **while** loop test
 - Have to compare **key** with all elements to the left of the j -th position \Rightarrow compare with $j-1$ elements $\Rightarrow t_j = j$

- Using $\sum_{j=1}^N j = \frac{(N+1)N}{2} \Rightarrow \sum_{j=2}^N j = \frac{(N+1)N}{2} - 1$ and $\sum_{j=2}^N (j-1) = \frac{(N-1)N}{2}$ we have

$$T(n) = c_1 n + c_2(n-1) + c_3(n-1) + c_4 \left(\frac{(n+1)n}{2} - 1 \right) + c_5 \left(\frac{(n-1)n}{2} \right) + c_6 \left(\frac{(n-1)n}{2} \right) + c_7(n-1)$$

$$= an^2 + bn + c$$

a quadratic function of n

- $T(n) = \Theta(n^2)$

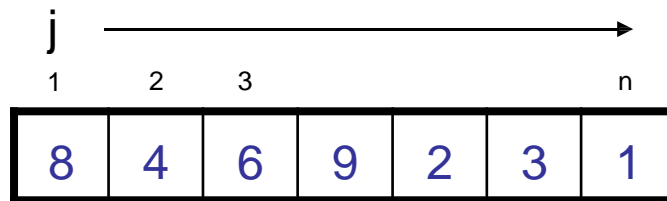
order of growth in n^2

Bubble sort

Bubble Sort

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- Idea:
 - Repeatedly pass through the array
 - Swaps adjacent elements that are out of order



- Easier to implement, but slower than Insertion sort

Example

i=1

8	4	6	9	2	3	1
---	---	---	---	---	---	---

J=1 ----->

4	8	6	9	2	3	1
---	---	---	---	---	---	---

J = 2 ----->

4	6	8	9	2	3	1
---	---	---	---	---	---	---

J = 3 ----->

4	6	8	2	9	3	1
---	---	---	---	---	---	---

J = 4>

4	6	8	2	9	3	1
---	---	---	---	---	---	---

J = 5>

4	6	8	2	3	9	1
---	---	---	---	---	---	---

J = 6>

4	6	8	2	3	1	9
---	---	---	---	---	---	---

i=2

4	6	8	2	3	1	9
---	---	---	---	---	---	---

J=1 ----->

4	6	8	2	3	1	9
---	---	---	---	---	---	---

J=2 ----->

4	6	8	2	3	1	9
---	---	---	---	---	---	---

J=3 ----->

4	6	2	8	3	1	9
---	---	---	---	---	---	---

J=4>

4	6	2	3	8	1	9
---	---	---	---	---	---	---

J=5>

4	6	2	3	1	8	9
---	---	---	---	---	---	---

Example

i = 3

4	6	2	3	1	8	9
---	---	---	---	---	---	---

J=1 ----->

4	6	2	3	1	8	9
---	---	---	---	---	---	---

J = 2 ----->

4	2	6	3	1	8	9
---	---	---	---	---	---	---

J = 3 ----->

4	2	3	6	1	8	9
---	---	---	---	---	---	---

J = 4 ----->

4	2	3	1	6	8	9
---	---	---	---	---	---	---

i = 4

4	2	3	1	6	8	9
---	---	---	---	---	---	---

J = 1 ----->

2	4	3	1	6	8	9
---	---	---	---	---	---	---

J = 2 ----->

2	3	4	1	6	8	9
---	---	---	---	---	---	---

J=3 ----->

2	3	1	4	6	8	9
---	---	---	---	---	---	---

i = 5

2	3	1	4	6	8	9
---	---	---	---	---	---	---

J=1 ----->

2	3	1	4	6	8	9
---	---	---	---	---	---	---

J=2 ----->

2	1	3	4	6	8	9
---	---	---	---	---	---	---

i = 6

2	1	3	4	6	8	9
---	---	---	---	---	---	---

J=1 ----->

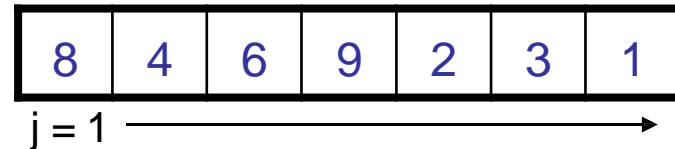
1	2	3	4	6	8	9
---	---	---	---	---	---	---

J = 2

Bubble Sort : pseudo-code

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```
Algorithm Bubble-sort(A, n)
  for i ← 1 to n-1 do
    for j ← 1 to n-i do
      if  $A[j] > A[j+1]$  then
        exchange  $A[j] \leftrightarrow A[j+1]$ 
```



Bubble-Sort Running Time

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```

Algorithm Bubble-sort(A, n)
  for i ← 1 to n-1 do
    for j ← 1 to n-i do
      if A[j] > A[j+1] then
        exchange A[j] ↔ A[j+1]
    
```

<i>cost</i>	<i>Times</i>
C_1	n
C_2	$\sum_{i=1}^{n-1} (n - i + 1)$
C_3	$\sum_{i=1}^{n-1} (n - i)$
C_4	$\sum_{i=1}^{n-1} (n - i)$

$$T(n) = C_1(n) + C_2 \sum_{i=1}^{n-1} (n - i + 1) + C_3 \sum_{i=1}^{n-1} (n - i) + C_4 \sum_{i=1}^{n-1} (n - i)$$

$$= \Theta(n) + (C_2 + C_3 + C_4) \sum_{i=1}^{n-1} (n - i)$$

$$\text{where } \sum_{i=1}^{n-1} (n - i) = \sum_{i=1}^{n-1} n - \sum_{i=1}^{n-1} i = n^2 - \frac{n(n+1)}{2} = \frac{n^2}{2} - \frac{n}{2}$$

$$\text{Thus, } T(n) = \Theta(n^2)$$

Best Case Analysis

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- The array is already sorted

$$T(n) = c_1(n+1) + c_2 \sum_{i=1}^{n-1} (n - i + 1) + c_3 \sum_{i=1}^{n-1} (n - i)$$

$$= \Theta(n) + (c_2 + c_3) \sum_{i=1}^n (n - i)$$

$$\text{where } \sum_{i=1}^{n-1} (n - i) = \sum_{i=1}^{n-1} n - \sum_{i=1}^{n-1} i = n^2 - \frac{n(n+1)}{2} = \frac{n^2}{2} - \frac{n}{2}$$

$$\text{Thus, } T(n) = \Theta(n^2)$$

Worst Case Analysis

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- The array is in reverse sorted order

$$T(n) = c_1(n+1) + c_2 \sum_{i=1}^n (n - i + 1) + c_3 \sum_{i=1}^n (n - i) + c_4 \sum_{i=1}^n (n - i)$$

$$= \Theta(n) + (c_2 + c_3 + c_4) \sum_{i=1}^n (n - i)$$

$$\text{where } \sum_{i=1}^n (n - i) = \sum_{i=1}^n n - \sum_{i=1}^n i = n^2 - \frac{n(n+1)}{2} = \frac{n^2}{2} - \frac{n}{2}$$

$$\text{Thus, } T(n) = \Theta(n^2)$$

Analysis of Bubble-Sort

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- In any cases, (worse case, best case or average case) to sort the list in ascending order the number of comparisons between elements is the same.
- Best case : $O(n^2)$
- Average case: $O(n^2)$
- Worst case: $O(n^2)$
- How to optimize bubble sort in case sorted array ?

Selection sort

Selection Sort

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- Idea:
 - Find the smallest element in the array
 - Exchange it with the element in the first position
 - Find the second smallest element and exchange it with the element in the second position
 - Continue until the array is sorted

Example

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8	4	6	9	2	3	1
---	---	---	---	---	---	---

1	4	6	9	2	3	8
---	---	---	---	---	---	---

1	2	6	9	4	3	8
---	---	---	---	---	---	---

1	2	3	9	4	6	8
---	---	---	---	---	---	---

1	2	3	4	9	6	8
---	---	---	---	---	---	---

1	2	3	4	6	9	8
---	---	---	---	---	---	---

1	2	3	4	6	8	9
---	---	---	---	---	---	---

1	2	3	4	6	8	9
---	---	---	---	---	---	---

Selection Sort

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Algorithm Selection-sort(A, n)

for $j \leftarrow 1$ to $n - 1$ do

$\text{smallest} \leftarrow j$

 for $i \leftarrow j + 1$ to n do

 if $A[i] < A[\text{smallest}]$

 then $\text{smallest} \leftarrow i$

 exchange $A[j] \leftrightarrow A[\text{smallest}]$

6	9	2	3	1
---	---	---	---	---

Analysis of Selection Sort: running time

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Algorithm Selection-sort(A, n)

for $j \leftarrow 1$ to $n - 1$ do

 smallest $\leftarrow j$

 for $i \leftarrow j + 1$ to n do

 if $A[i] < A[\text{smallest}]$

 then smallest $\leftarrow i$

 exchange $A[j] \leftrightarrow A[\text{smallest}]$

cost times

c_1 n

c_2 $n-1$

c_3 $\sum_{j=1}^{n-1} (n-j+1)$

c_4 $\sum_{j=1}^{n-1} (n-j)$

c_5 $\sum_{j=1}^{n-1} (n-j)$

c_6 $n-1$

$$T(n) = c_1 n + c_2 (n-1) + c_3 \sum_{j=1}^{n-1} (n-j+1) + c_4 \sum_{j=1}^{n-1} (n-j) + c_5 \sum_{j=1}^{n-1} (n-j) + c_6 (n-1) = \Theta(n^2)$$

Best Case Analysis

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$$T(n) = c_1 n + c_2 (n - 1) + c_3 \sum_{j=1}^{n-1} (n - j + 1) + c_4 \sum_{j=1}^{n-1} (n - j) + c_6 (n - 1) = \Theta(n^2)$$

Worst Case Analysis

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$$T(n) = C_1 n + C_2 (n - 1) + C_3 \sum_{j=1}^{n-1} (n - j + 1) + C_4 \sum_{j=1}^{n-1} (n - j) \\ + C_5 \sum_{j=1}^{n-1} (n - j) + C_6 (n - 1) =$$

$$\text{where } \sum_{j=1}^{n-1} (n - j) = \sum_{j=1}^{n-1} n - \sum_{j=1}^{n-1} j = n^2 - \frac{n(n+1)}{2} = \frac{n^2}{2} - \frac{n}{2}$$

$$\text{Thus, } T(n) = \Theta(n^2)$$

Summary

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- **Bubble sort and Insertion sort –**

Average and **worst case** time complexity: n^2

Best case time complexity: n when array is already sorted.

Worst case: when the array is reverse sorted.

- **Selection sort –**

Best, average and worst case time complexity: n^2 which is independent of distribution of data.

Thanks