اسماء محمد عبد الحليم مبروك

Alexandria University
Faculty of Engineering
Comp. & Comm. Engineering
CC471: Database Systems
Spring 2021

جامعة الاسكندرية
كلية الهندسة
برنامج هندسة الحاسب والاتصالات
مادة نظم قواعد البيانات
ربيع ٢٠٢١

Sheet8
Transaction Processing & Concurrency Control

1) Which of the following schedules is (conflict) serializable? For each serializable schedule, determine the equivalent serial schedules.
   a) $r_1(X); r_3(X); w_3(X); w_1(X); r_2(X)$
   b) $r_3(X); r_2(X); w_3(X); r_1(X); w_1(X)$
2) How many serial schedules exist for the three transactions in the Figure below? What are they? What is the total number of possible schedules?

Transaction T_1
read_item(X);
write_item(X);
read_item(Y);
write_item(Y);

Transaction T_2
read_item(Z);
read_item(Y);
write_item(Y);
read_item(X);
write_item(X);

Transaction T_3
read_item(Y);
read_item(Z);
write_item(Y);
write_item(Z);

3) Determine which of the following schedules are recoverable, which are cascadeless, and which are strict.
   a) S_1: $r_1(X); w_1(X); r_1(Y); w_1(Y); C_1 ; r_2(X); w_2(X); C_2 ;$
   b) S_3: $r_1(X); w_1(X); r_1(Y); w_1(Y); r_2(X); w_2(X); C_1 ; C_2 ;$
   c) S_4: $r_1(X); w_1(X); r_1(Y); w_1(Y); r_2(X); w_2(X); C_2 ; C_1 ;$
   d) S_7: $r_1(X); w_1(X); r_1(Y); r_2(X); w_1(Y); w_2(X); C_2 ; C_1 ;$
   e) S_8: $r_1(X); w_1(X); r_1(Y); r_2(X); w_2(X); w_1(Y); C_1 ; C_2 ;$
   f) S_9: $r_1(X); w_1(X); r_1(Y); r_2(X); w_2(X); w_1(Y); C_2 ; C_1 ;$
   g) S_{21}: $r_1(X); r_2(X); w_1(X); r_1(Y); w_1(Y); C_1 ; w_2(X); C_2 ;$
   h) S_{26}: $r_1(X); r_2(X); w_1(X); r_1(Y); w_2(X); C_2 ; w_1(Y); C_1 ;$
   i) S_{27} : $r_1(X); r_2(X); w_1(X); w_2(X); r_1(Y); w_1(Y); C_1 ; C_2 ;$
   j) S_{36} : $r_2(X); r_1(X); w_1(X); r_1(Y); w_1(Y); C_1 ; w_2(X); C_2 ;$
4) Prove that strict two-phase locking guarantees strict schedules.
5) No more questions are provided for concurrency control. It means that most exam questions on this part are review questions (اكتب مذكرات جغرافية عن - علل).

How to submit the homework assignments?

- Solve the sheet individually without looking up the solution on the Internet. The sheet is to practice; it is a learning tool not an exam.
- Assignments are to be **handwritten**.
- Papers are to be scanned (I like camscanner app). Put all images in a pdf file (camscanner does that for you)

Database

Sheet (8): Transaction Processing & Concurrency Contrd

Name: Asmaa Gamal Abdel-Halem Mabrouk Nagy.

Course: Audianee course "DBMS"

Department: Communications & Electronics

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1 using "Precedence graph" method, if the graph is acyclic ⟶ ∴ the schedule is Conflict Serializable.

for (a):

$r_1(x) \dashrightarrow w_1(x)$

↓

$r_3(x) \dashrightarrow w_3(x)$

↓

$r_2(x)$

∴ acyclic ⟶ ∴ Conflict serializable schedule.

∴ equivalent serial schedule ⟶ by topological sort

∴ $r_1(x)$; $w_1(x)$; $r_3(x)$; $w_3(x)$; $r_2(x)$

for (b):

$r_3(x) \dashrightarrow w_3(x)$

↓

$r_2(x)$

↓

$r_1(x) \dashrightarrow w_1(x)$

∴ acyclic ⟶ ∴ Conflict

∴ equivalent ⟶ by topological sort $r_3(x)$; $r_2(x)$; $r_1(x)$; $w_3(x)$; $w_1(x)$

2

- # serial schedules = # all possible transaction orderings * # all Possible orderings for each T_1, T_2, T_3
- for each schedule: # possible schedules = { $4! = 24$ for T_1; $5! = 120$ " T_2; $4! = 24$ " T_3 }

∴ # Possible ordering of transactions = $6 = {}^{n}Pr = {}^{3}P_3 = 6$ (ترتيب وتوافيق) — 3 tables

∴ # serial scheduals = $6 * 24 * 120 * 24 = 414,720$ Possible schedules

[3] * Recoverable schedule: all transaction that T reads have already Committed.

* Cascadeless " : " " " " T writes " " "

* Strict " : " " " " T read/write " " "

		Recoverable	Cascadeless	Strict
a	S_1	×	×	×
b	S_3	✓	×	×
c	S_4	×	×	×
d	S_7	✓	✓	✓
e	S_8	✓	✓	✓
f	S_9	×	×	×
g	S_{21}	×	×	×
h	S_{26}	×	×	×
i	S_{27}	✓	×	×
j	S_{36}	×	×	×

[4] * to Prove: ∴ we need to show that strictness Property applies. and Stict (2PL) applies in ↗ Growing phase. ↘ shrinking phase.

Proof:

*assume: • a transaction T reads/writes by uncommitted transaction.

• T_1 modify before committing,

T_2 read/write data item that T_1 modified

* According to Strict 2PL:

• T_1 → exclusive lock X (during growing phase)

• T_2 → only lock X (" shrinking ")

* but: ∴ T_2 accesses X (modified by T_1)

∴ T_2 → Lock X before T_1 release it

∴ Violate the strict 2PL Protocol

∴ false assumption

∴ Strict 2PL Protocol guarntees Strict schedules.

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