



Sheet8
Transaction Processing & Concurrency Control

- Which of the following schedules is (conflict) serializable? For each serializable schedule, determine the equivalent serial schedules.
 - $r_1(X); r_3(X); w_3(X); w_1(X); r_2(X)$
 - $r_3(X); r_2(X); w_3(X); r_1(X); w_1(X)$
- How many serial schedules exist for the three transactions in the Figure below? What are they? What is the total number of possible schedules?

Transaction T_1	Transaction T_2	Transaction T_3
read_item(X);	read_item(Z);	read_item(Y);
write_item(X);	read_item(Y);	read_item(Z);
read_item(Y);	write_item(Y);	write_item(Y);
write_item(Y);	read_item(X);	write_item(Z);
	write_item(X);	

- Determine which of the following schedules are recoverable, which are cascadeless, and which are strict.
 - $S_1: r_1(X); w_1(X); r_1(Y); w_1(Y); C_1; r_2(X); w_2(X); C_2;$
 - $S_3: r_1(X); w_1(X); r_1(Y); w_1(Y); r_2(X); w_2(X); C_1; C_2;$
 - $S_4: r_1(X); w_1(X); r_1(Y); w_1(Y); r_2(X); w_2(X); C_2; C_1;$
 - $S_7: r_1(X); w_1(X); r_1(Y); r_2(X); w_1(Y); w_2(X); C_2; C_1;$
 - $S_8: r_1(X); w_1(X); r_1(Y); r_2(X); w_2(X); w_1(Y); C_1; C_2;$
 - $S_9: r_1(X); w_1(X); r_1(Y); r_2(X); w_2(X); w_1(Y); C_2; C_1;$
 - $S_{21}: r_1(X); r_2(X); w_1(X); r_1(Y); w_1(Y); C_1; w_2(X); C_2;$
 - $S_{26}: r_1(X); r_2(X); w_1(X); r_1(Y); w_2(X); C_2; w_1(Y); C_1;$
 - $S_{27}: r_1(X); r_2(X); w_1(X); w_2(X); r_1(Y); w_1(Y); C_1; C_2;$
 - $S_{36}: r_2(X); r_1(X); w_1(X); r_1(Y); w_1(Y); C_1; w_2(X); C_2;$
- Prove that strict two-phase locking guarantees strict schedules.
- No more questions are provided for concurrency control. It means that most exam questions on this part are review questions (اكتب مذكرات جغرافية عن - علل).

How to submit the homework assignments?

- Solve the sheet individually without looking up the solution on the Internet. The sheet is to practice; it is a learning tool not an exam.
- Assignments are to be **handwritten**.
- Papers are to be scanned (I like camscanner app). Put all images in a pdf file (camscanner does that for you)

Database

Sheet (8): Transaction Processing & Concurrency Control

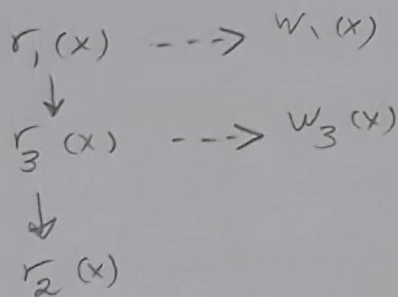
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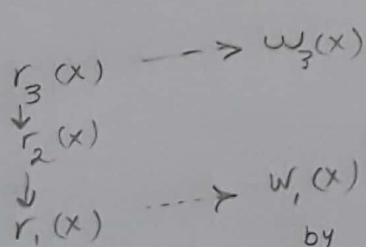
[1] Using "Precedence graph" method, if the graph is acyclic \rightarrow the schedule is Conflict Serializable.

For (a):



\therefore acyclic $\rightarrow \therefore$ Conflict serializable schedule.
 \therefore equivalent serial schedule $\xrightarrow{\text{by}}$ topological sort
 $\therefore r_1(x); w_1(x); r_3(x); w_3(x); r_2(x)$

For (b):



\therefore acyclic $\rightarrow \therefore$ Conflict
 \therefore equivalent $\xrightarrow{\text{by topological sort}}$ $r_3(x); r_2(x); r_1(x); w_3(x); w_1(x)$

[2] # Serial schedules = # all possible transaction orderings * # all possible orderings for each T_1, T_2, T_3

• for each schedule: # possible schedules = $\begin{cases} 4! = 24 \\ 5! = 120 \\ 4! = 24 \end{cases}$

\therefore # possible ordering of transactions = $6 = nPr = \overset{\text{Tables}}{3}P_3 = 6$ (تباديل)

\therefore # serial scheduals = $6 * 24 * 120 * 24 = 414,720$ Possible schedules

[3] * Recoverable schedule: all transaction that T_{reads} have already committed.
 * Cascadeless " : " " " " T_{writes} " " " !
 * Strict " : " " " " $T_{read/write}$ " " "

		Recoverable	Cascadeless	Strict
a	S_1	X	X	X
b	S_3	✓	X	X
c	S_4	X	X	X
d	S_7	✓	✓	✓
e	S_8	✓	✓	✓
f	S_9	X	X	X
g	S_{21}	X	X	X
h	S_{26}	X	X	X
i	S_{27}	✓	X	X
j	S_{36}	X	X	X

[4] * to Prove: \therefore we need to show that Strictness Property applies.
 and Strict(2PL) applies in \rightarrow Growing phase.
 shrinking phase.

Proof:

* assume: • a transaction T reads/writes by uncommitted transaction.

• T_1 modify before committing,

T_2 read/write data item that T_1 modified

* According to Strict 2PL:

• $T_1 \rightarrow$ exclusive lock X (during growing phase)

• $T_2 \rightarrow$ only lock X (" shrinking ")

* but: $\therefore T_2$ accesses X (modified by T_1)

$\therefore T_2 \rightarrow$ lock X before T_1 release it

\therefore Violate the Strict 2PL Protocol

\therefore false assumption

\therefore Strict 2PL Protocol guarantees strict schedules.

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