

# *Surface longwave cloud radiative effect derived from space lidar observations: An application to the Arctic*

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April 21<sup>st</sup>, 2023: PhD defense

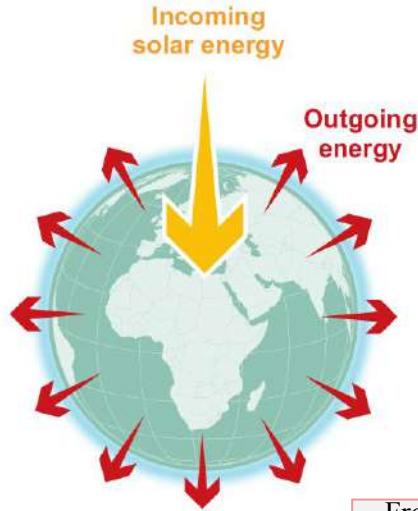
Assia Arouf

Supervisor: Hélène Chepfer

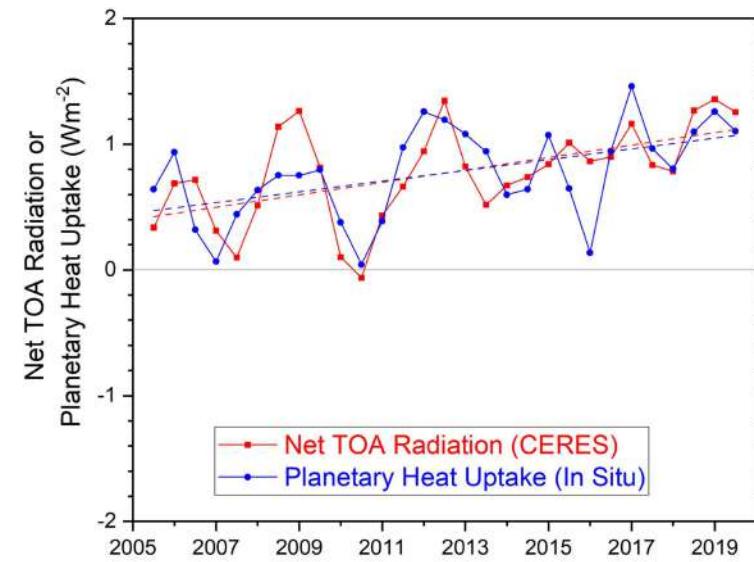
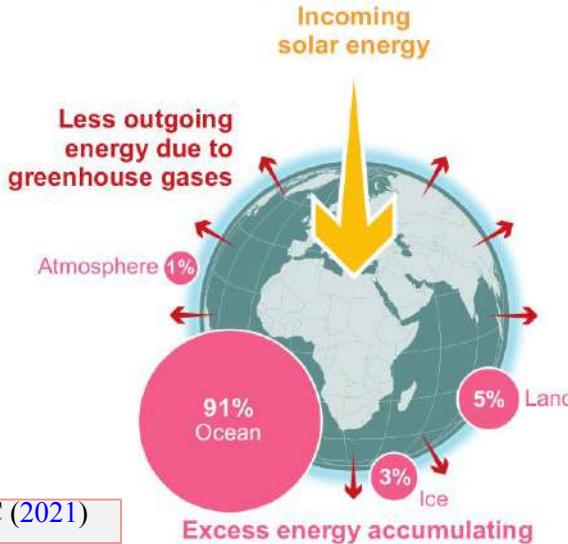


## Current Earth energy budget at the top of the atmosphere (TOA)

### Stable climate: in balance

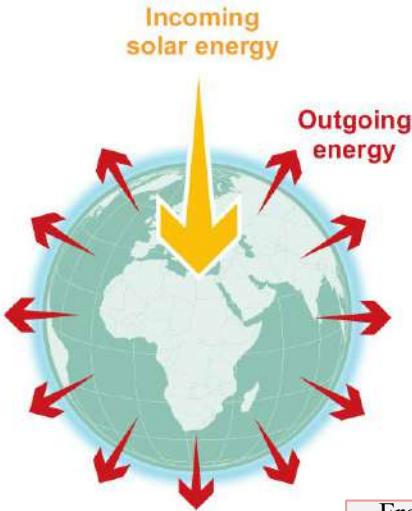


### Today: imbalanced



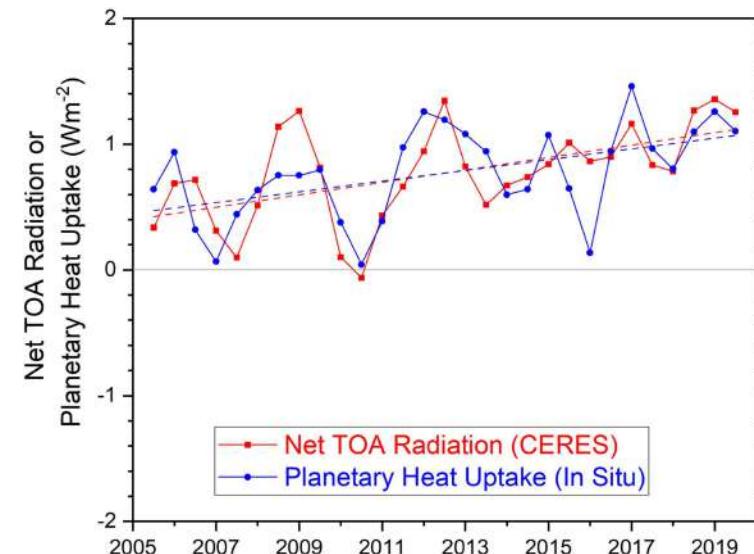
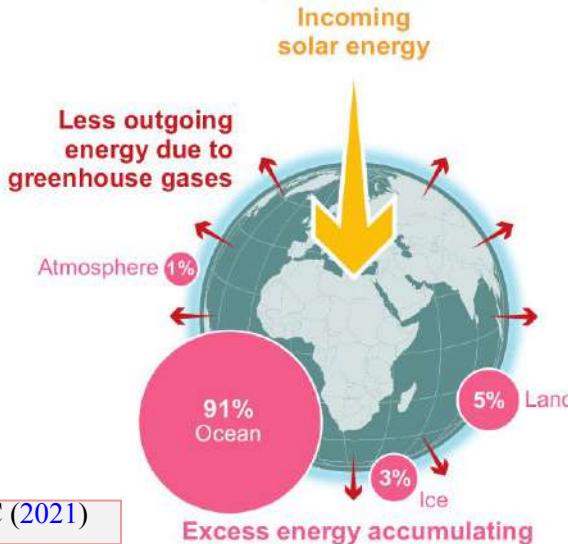
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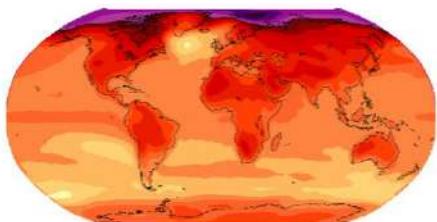


From IPCC (2021)

### Today: imbalanced



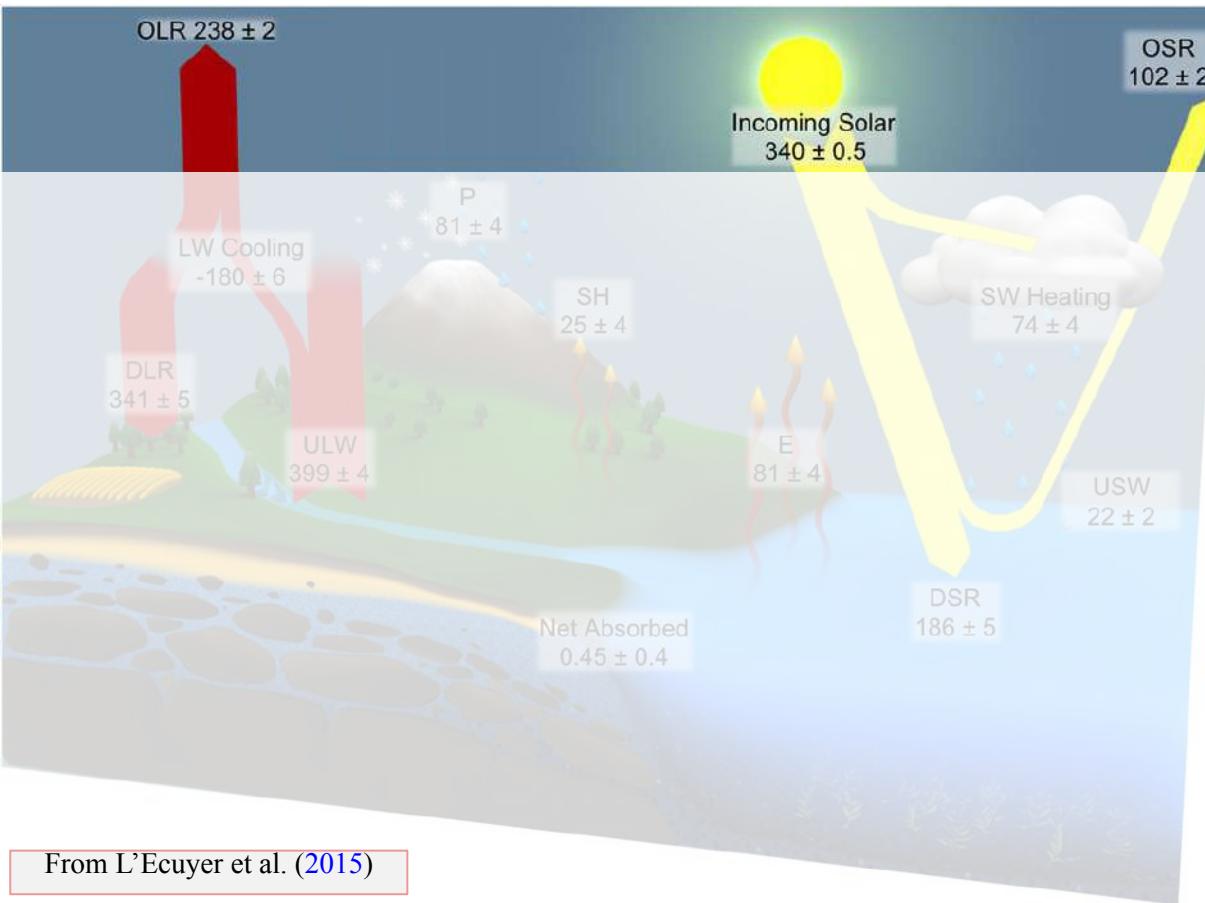
From Loeb et al., (2021)



TS



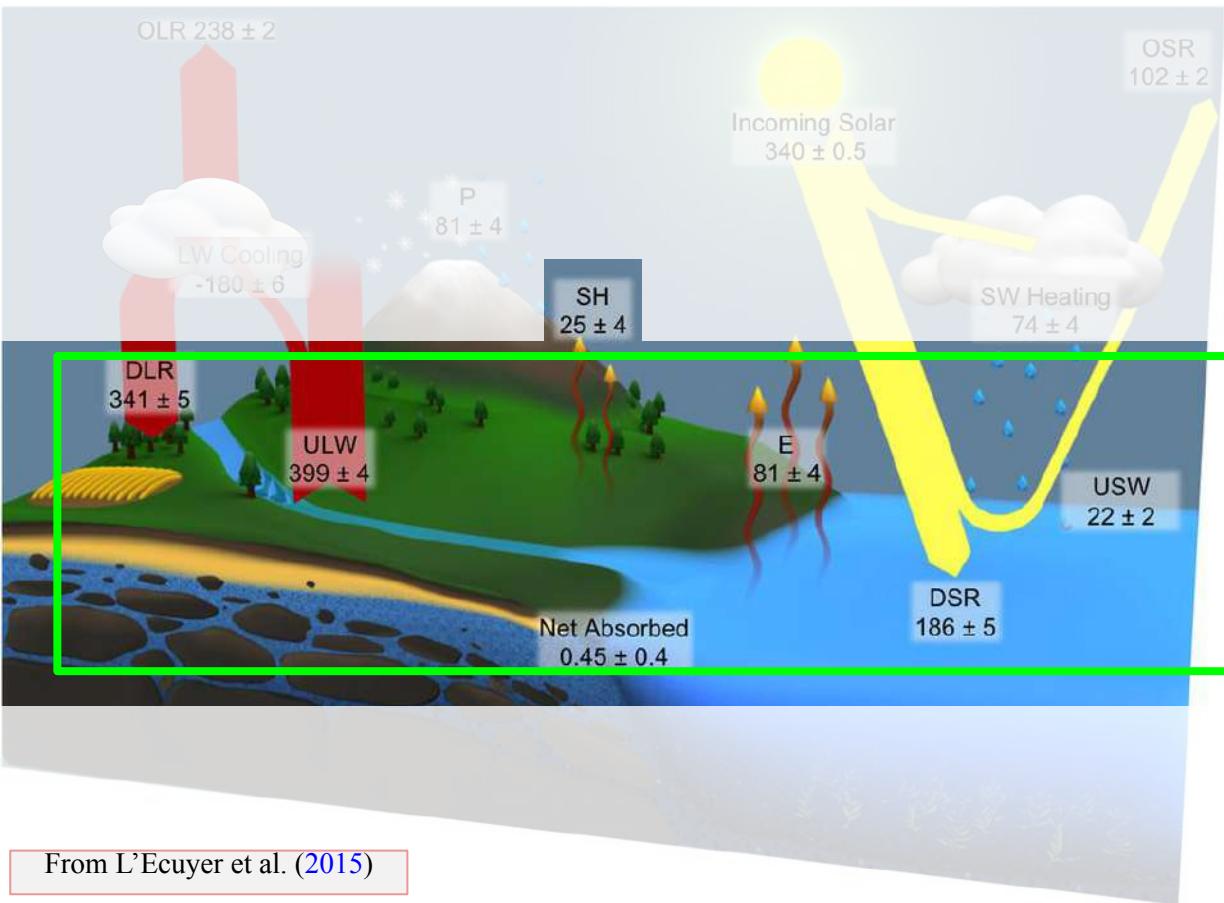
## Component of Earth energy budgets at the TOA



❖ Radiative only

(Dines, 1917; London, 1957, Wild et al., 2019)

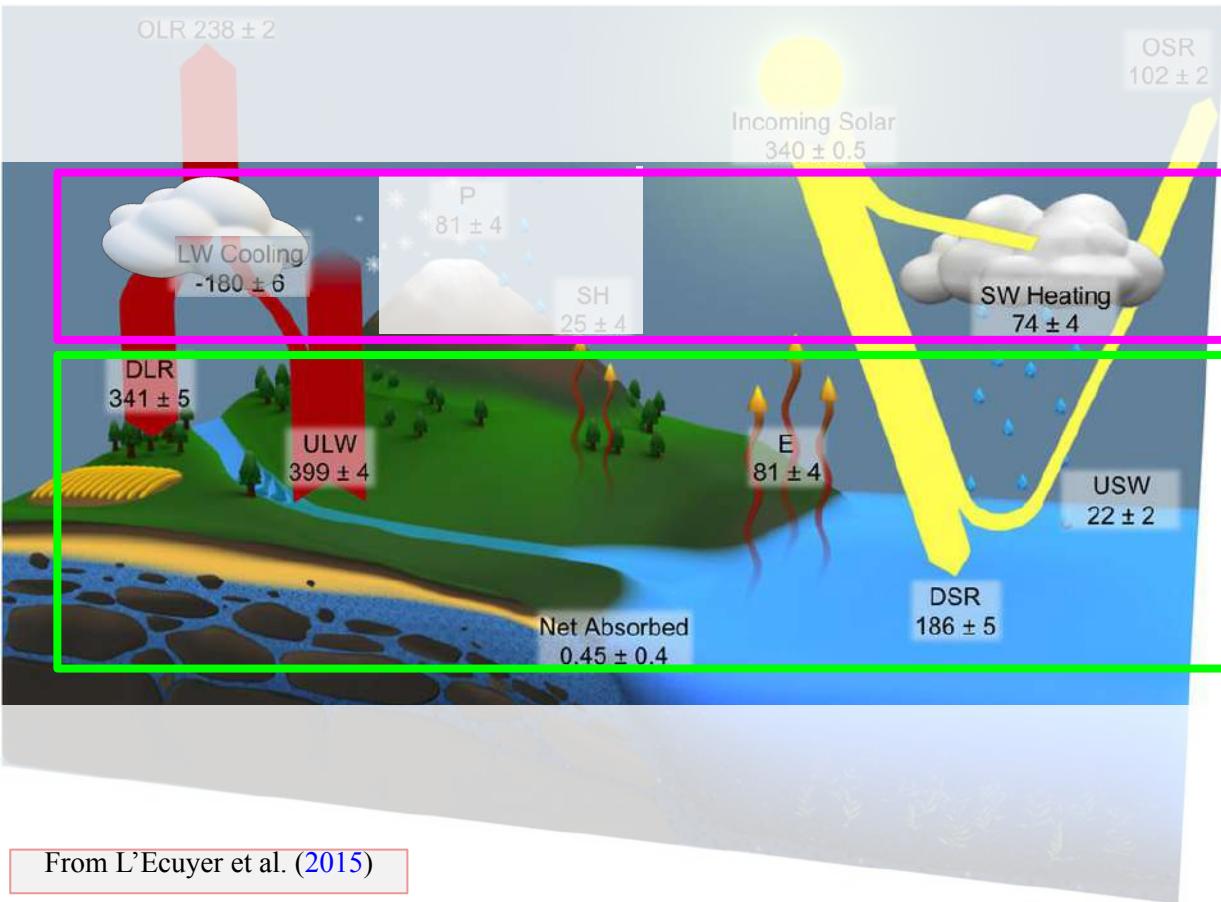
## Component of Earth energy budgets at the surface



### ❖ Radiative and convective

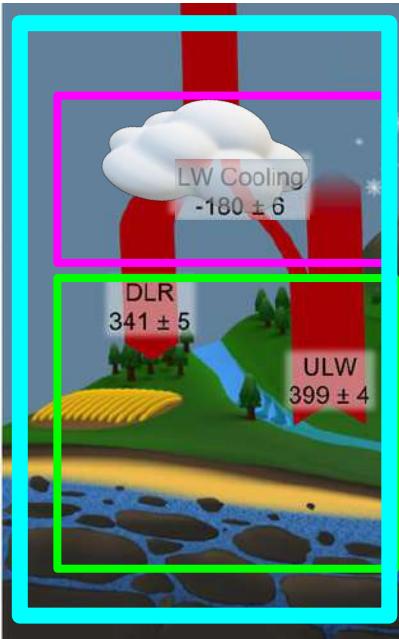
- Not well determined on global long time scale (Wild et al., 2019)

## Component of Earth energy budgets: Importance of clouds



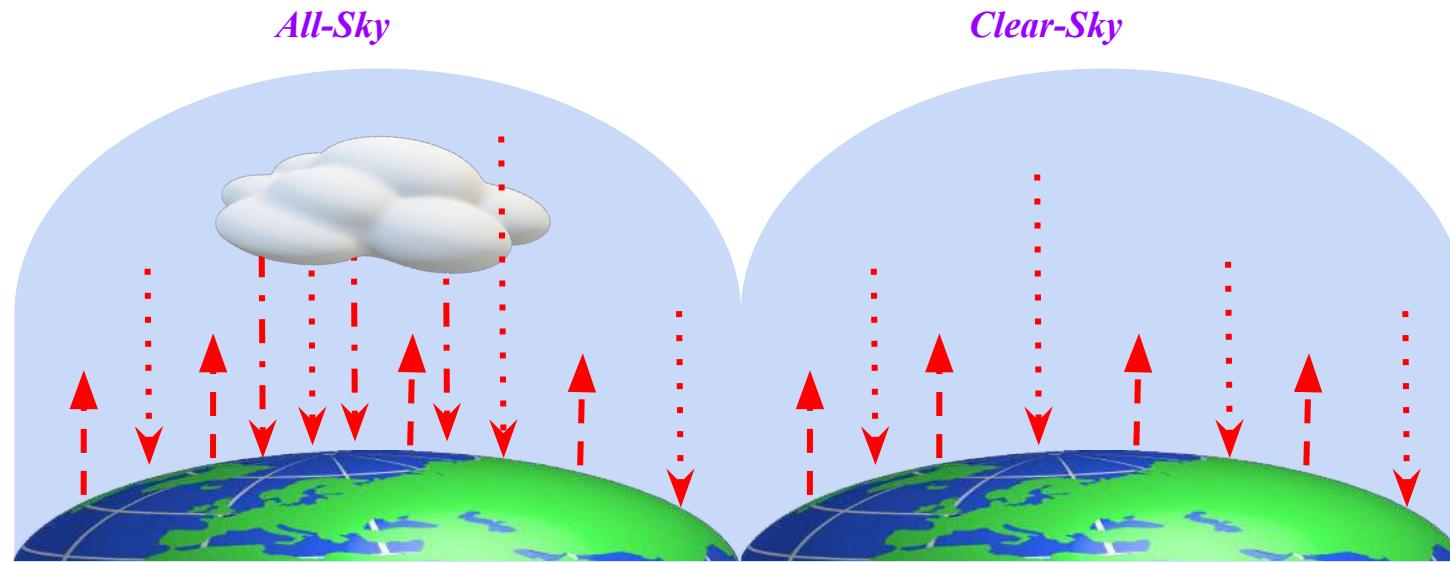
❖ *Clouds radiatively affect Earth's energy budgets*

## Component of Earth energy budgets at the surface in the longwave (LW)



- ❖ *Clouds radiatively warm the surface in the LW*
- *May affect Arctic sea ice melt*
- *Not well determined on global multi-decadal scale*

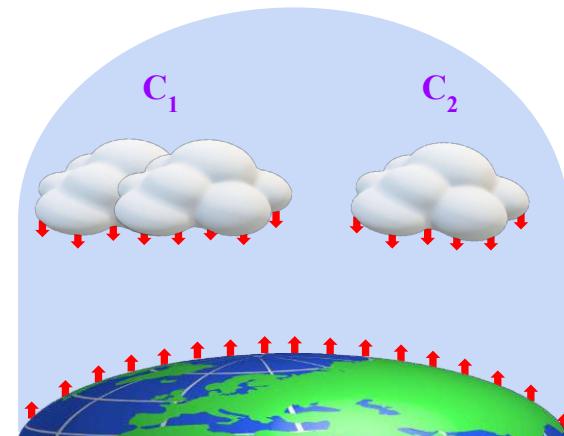
## The surface longwave (LW) Clouds Radiative Effects (CRE)



$$CRE_{SFC, LW} = (F \downarrow - F \uparrow)_{All-Sky, LW} - (F \downarrow - F \uparrow)_{Clear-Sky, LW} [W m^{-2}]$$

$CRE_{SFC, LW} > 0$  when clouds warm the surface.

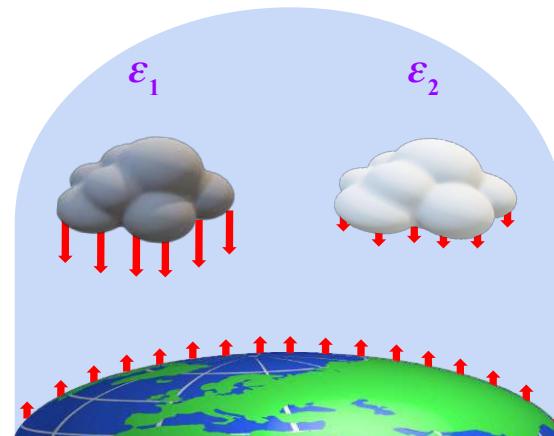
## Cloud properties driving surface LW cloud warming effect



1) Cover (C)

$$C_1 > C_2$$

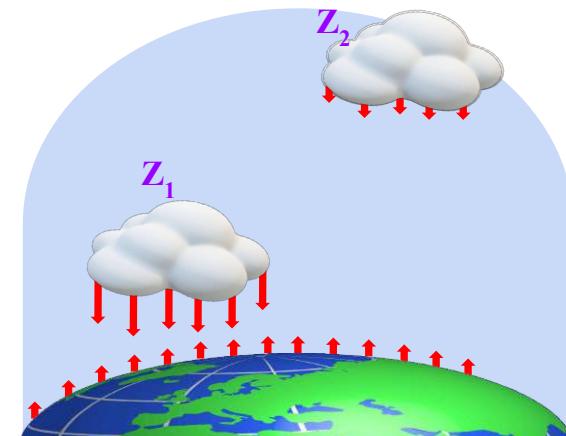
Warming 1 > Warming 2



2) Opacity / Emissivity ( $\epsilon$ )

$$\epsilon_1 > \epsilon_2$$

Warming 1 > Warming 2



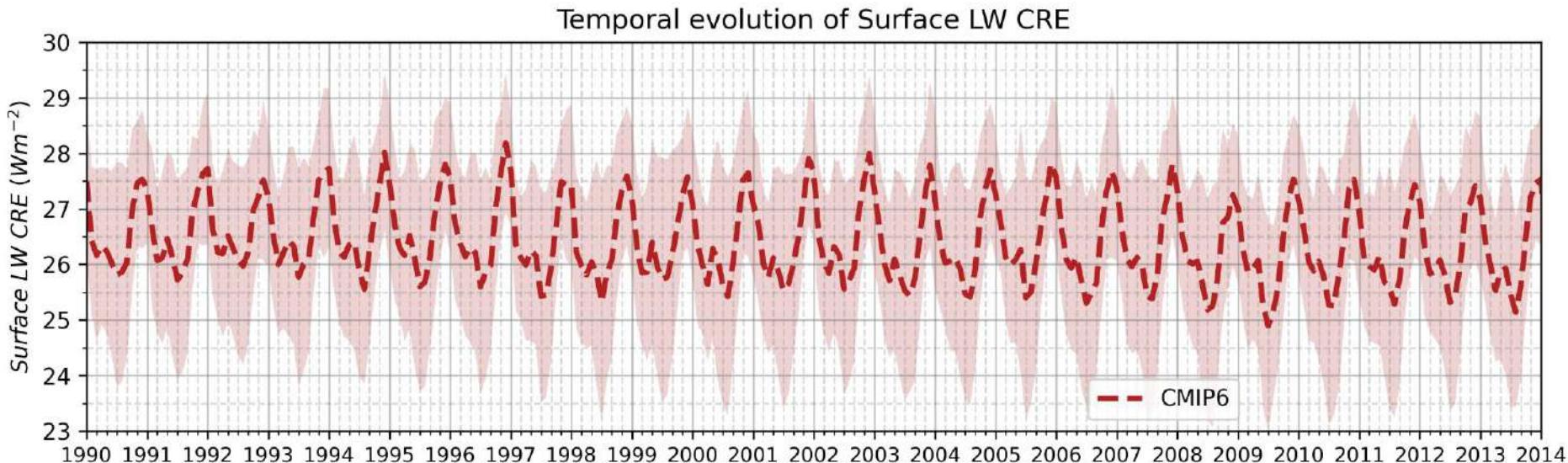
3) Altitude (Z)

$$\begin{aligned} Z_1 &< Z_2 \\ T_1 &> T_2 \end{aligned}$$

Warming 1 > Warming 2

- Cloud LW warming depend mostly on **cloud cover**, **cloud emissivity**, **cloud altitude**.

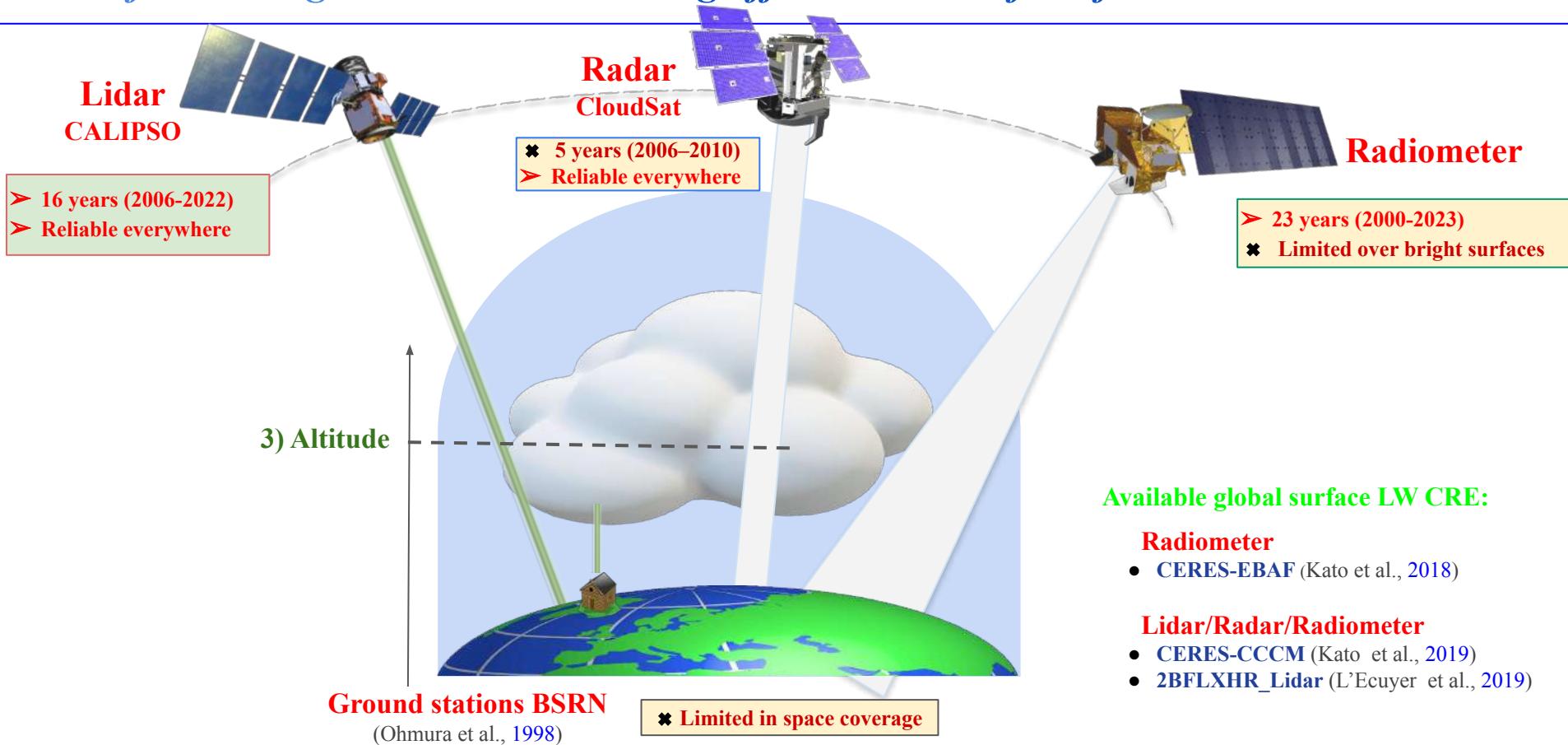
## *State of knowledge: LW cloud warming effect at the surface in CMIP6 models*



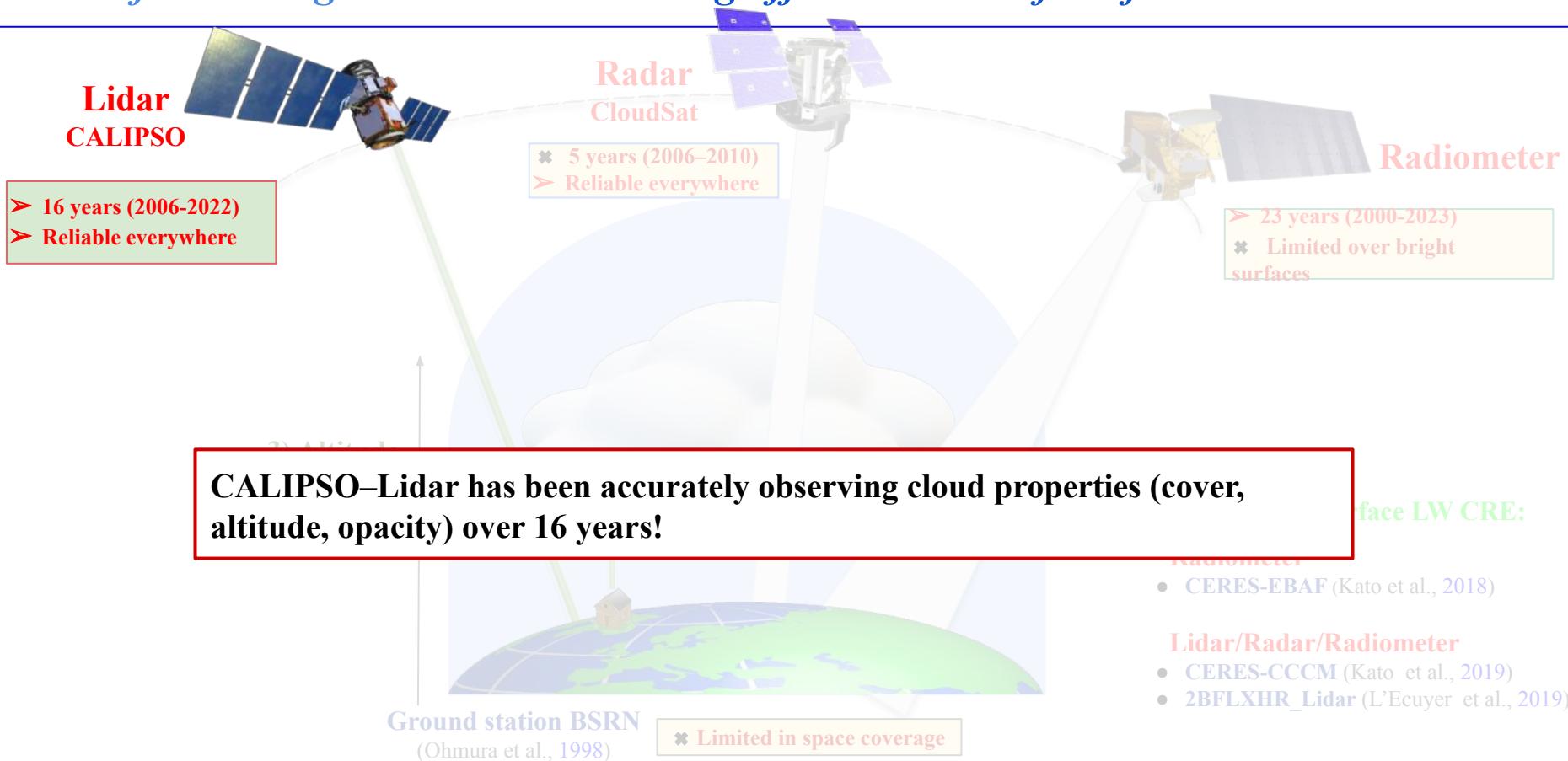
**Large spread of surface LW cloud warming in CMIP6**

*Need multidecadal global observation*

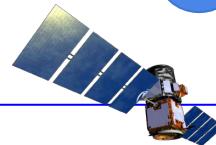
## *State of knowledge: LW cloud warming effect at the surface from observations*



## *State of knowledge: LW cloud warming effect at the surface from observations*



## Outline



### I Surface LW cloud warming over more than a decade from CALIPSO lidar observation



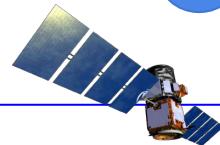
A Retrieval



B Evaluation against independent observations

### II Link between surface LW cloud warming and Arctic sea ice loss

## Outline



### I Surface LW cloud warming over more than a decade from CALIPSO lidar observation



A

#### Retrieval



##### Tools

- Cloud observations from CALIPSO

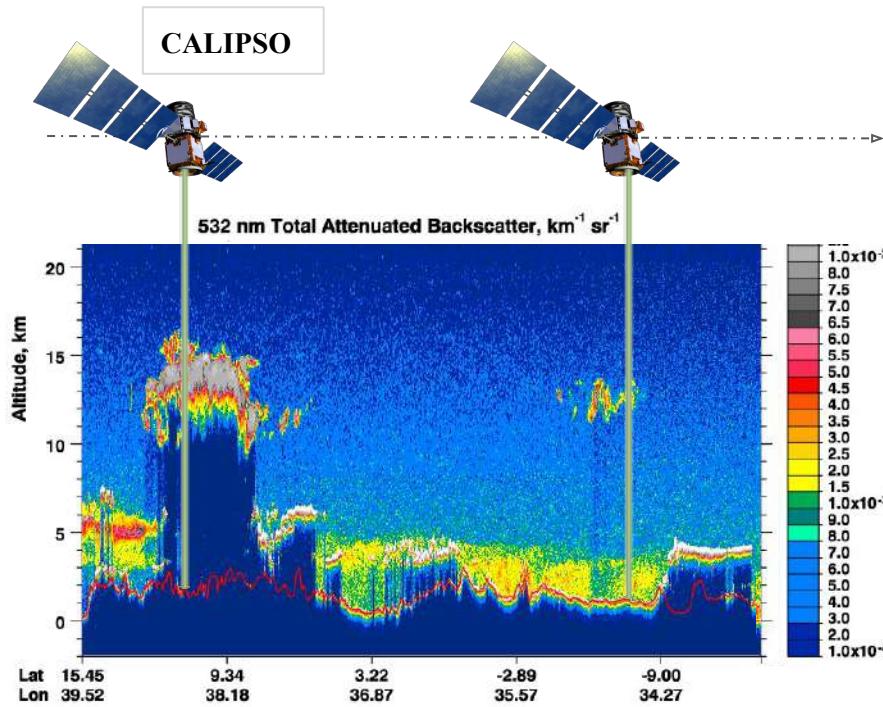
##### Method

- Radiative transfer computations

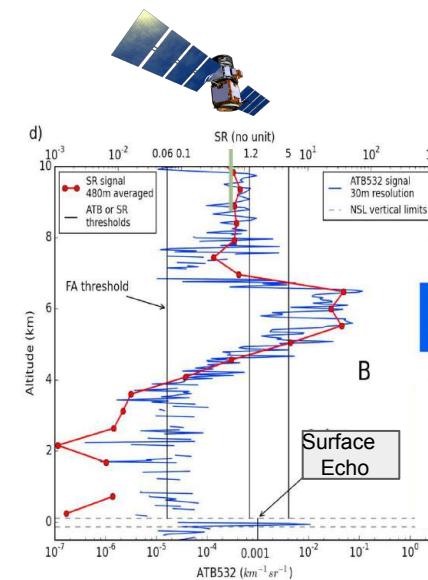
##### Results

- Linear parameterizations
- Retrieval of surface LWCRE–LIDAR

## Tools: CALIPSO spaceborne Lidar samples the atmosphere vertically



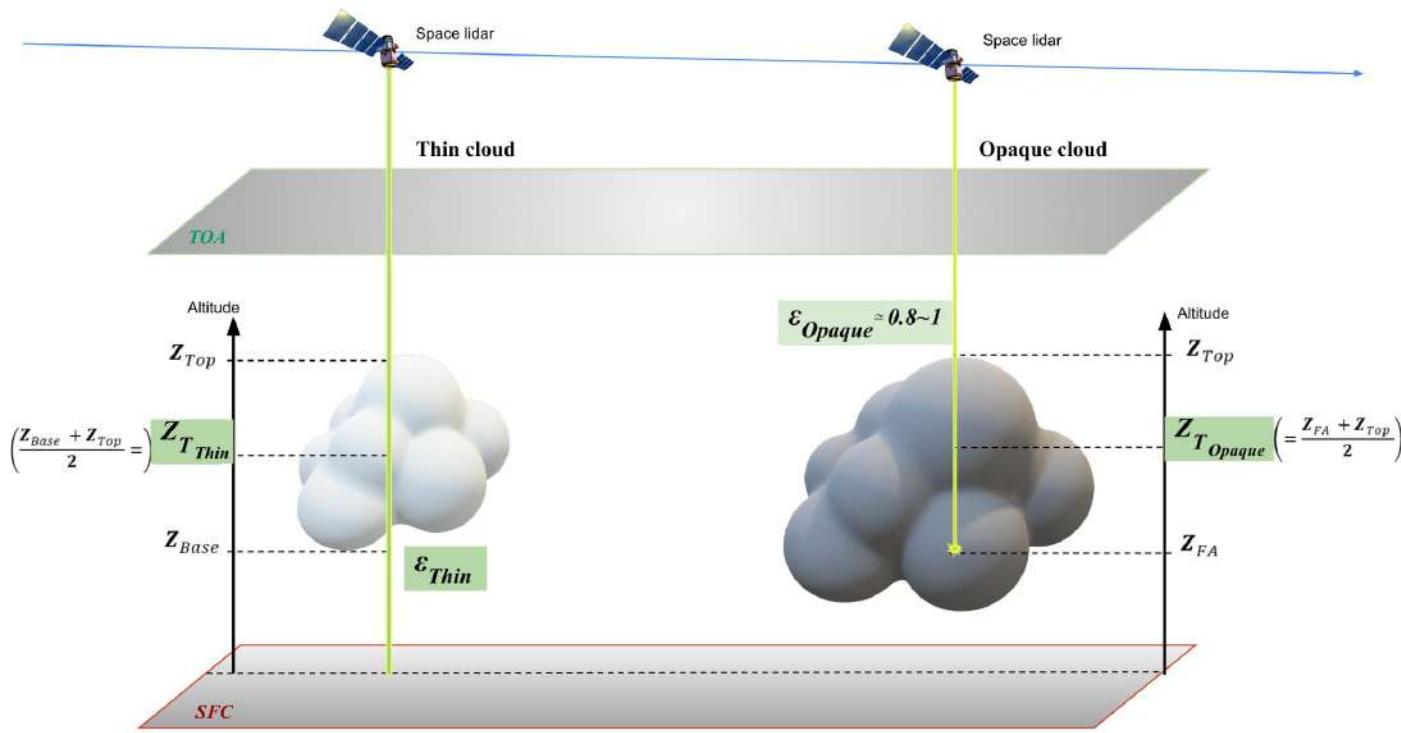
- CALIPSO**
- 90 m/330 m along orbit track
  - 2006–2022
  - Surface independent
  - Thick/Thin



$$\epsilon_{\text{Thin}} = 1 - e^{-\delta_{\text{IR}}}$$

(Vaillant de Guélis et al., 2017a  
Garnier et al., 2015)

## Tools: Cloud properties derived from CALIPSO–Lidar observation along orbit track



### CALIPSO–GOCCP

- A long orbit track
- 2008–2020

### CALIPSO–GOCCP

(Chepfer et al., 2010;  
Cesana et al., 2012;  
Guzman et al., 2017;

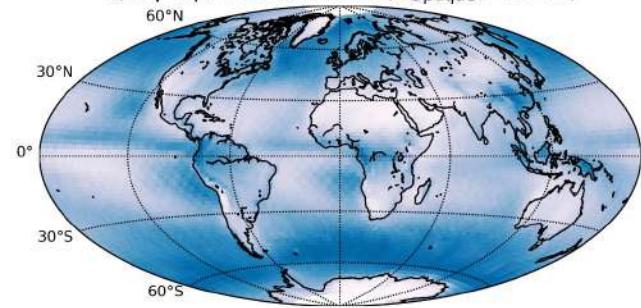
Vaillant de Guélis et al., 2017a)

- From CALIPSO profiles, we can retrieve **cloud cover**, **cloud emissivity**, **cloud altitude**.

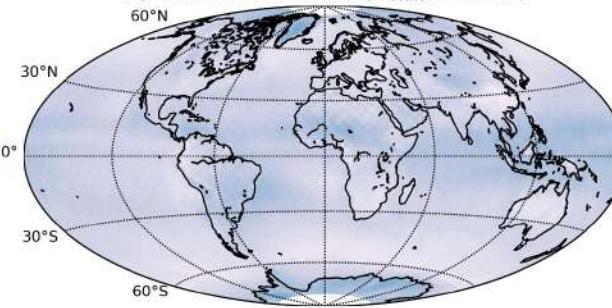
# Tools: Maps of cloud properties derived from CALIPSO–Lidar observations

## 1) Cover

a) Opaque cloud cover ( $C_{\text{Opaque}}$ , 42.2%)



b) Thin cloud cover ( $C_{\text{Thin}}$ , 25.0%)

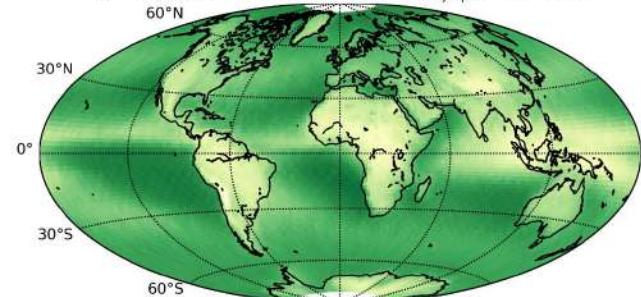


**CALIPSO–GOCCP**

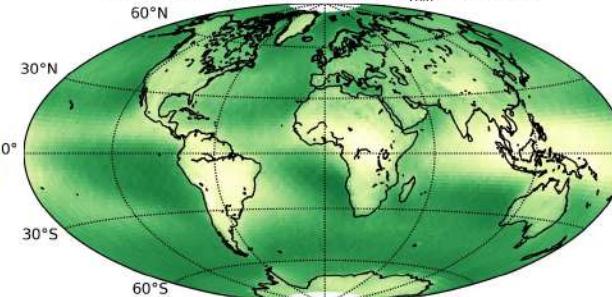
- Gridded  $2^{\circ} \times 2^{\circ}$
- 2008–2020

## 3) Altitude

c) Opaque cloud altitude ( $Z_{T_{\text{Opaque}}}$ , 4.7 km)

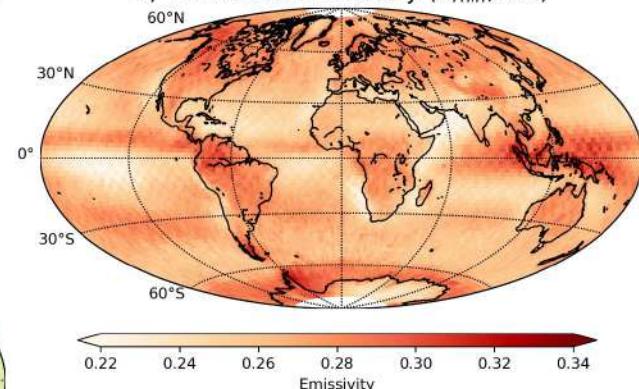


d) Thin cloud altitude ( $Z_{T_{\text{Thin}}}$ , 5.0 km)



## 2) Emissivity;

e) Thin cloud emissivity ( $\epsilon_{\text{Thin}}$ , 0.3)



(Chepfer et al., 2010;

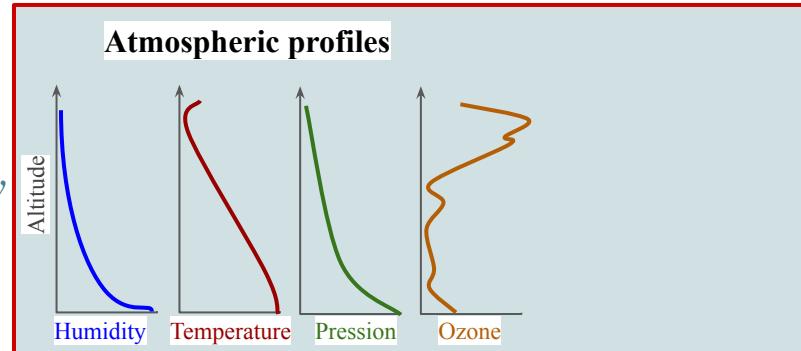
Cesana et al., 2012;

Guzman et al., 2017;

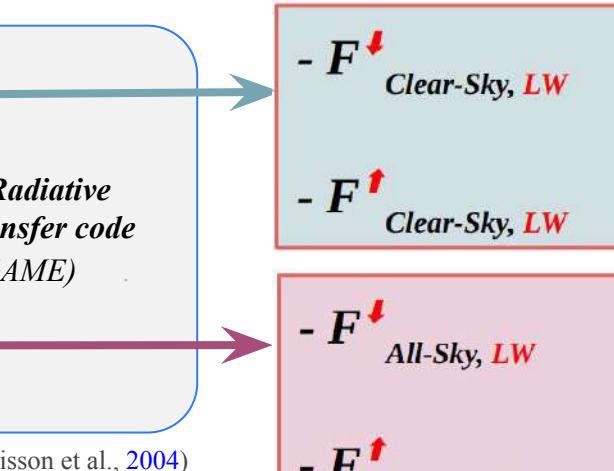
Vaillant de Guélis et al., 2017a)

## Method: One radiative transfer computation (1 month and a 2° latitude band)

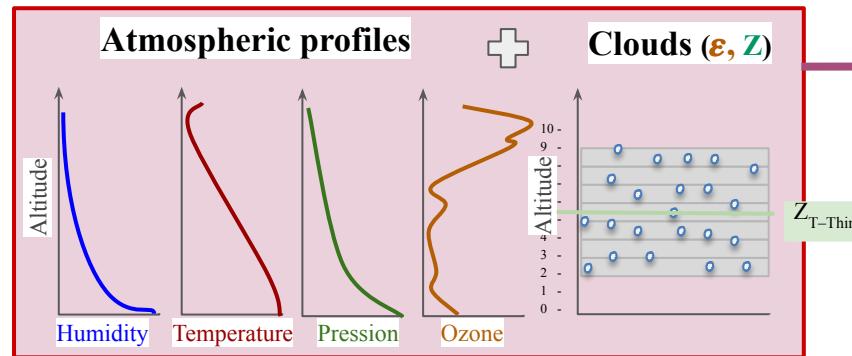
*Clear-Sky*



Influence on sea ice loss



*All-Sky*



(Dubuisson et al., 2004)



ERA-I (Dee et al., 2011)

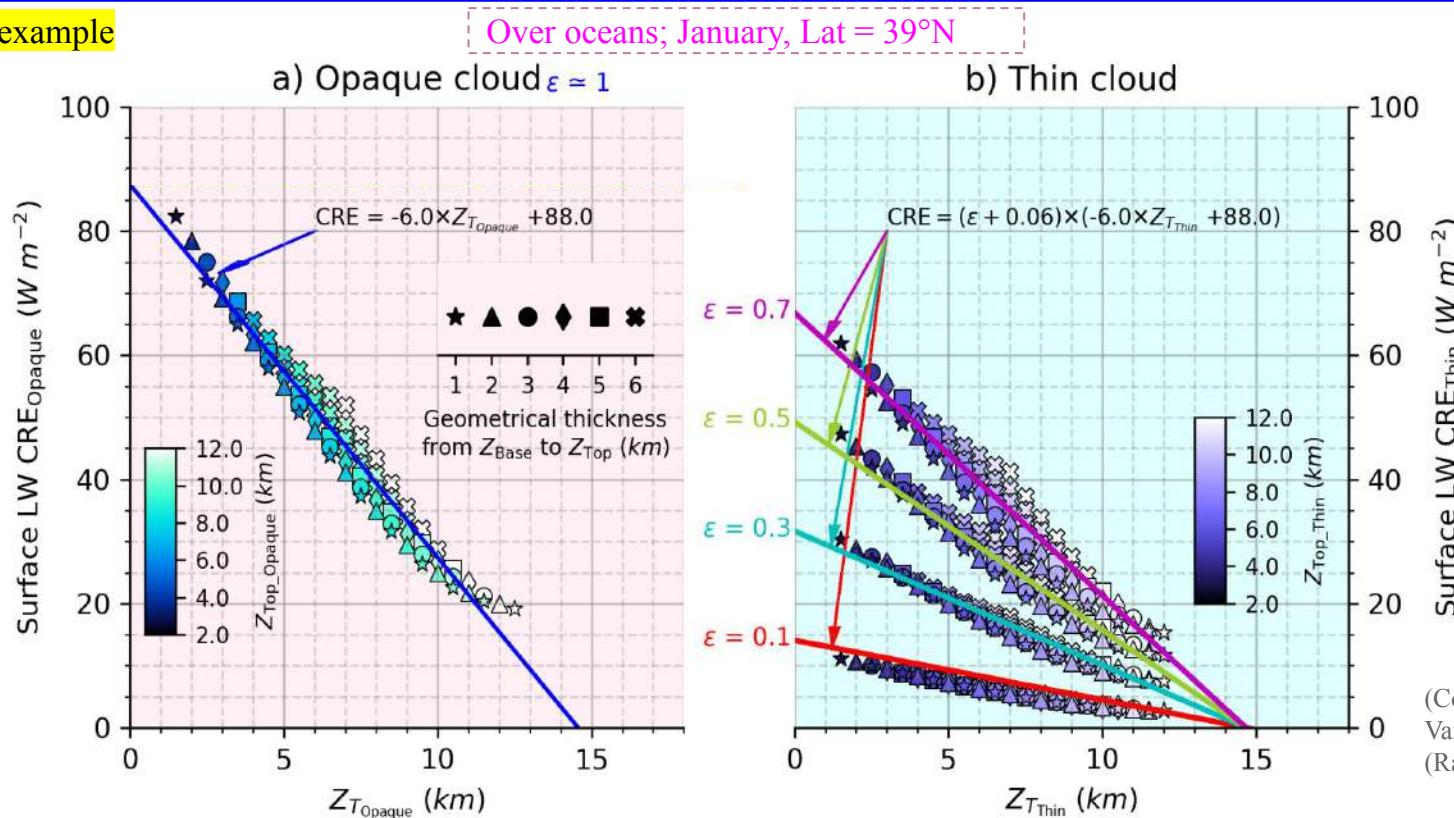
$$\text{CRE}_{SFC, LW} = (F \downarrow - F \uparrow)_{\text{All-Sky, LW}} - (F \downarrow - F \uparrow)_{\text{Clear-Sky, LW}}$$

Parametrization between the surface LW cloud radiative effect and

- Emissivity
- Altitude opaque, thin

## Results: Linear relationship between surface LW CRE and cloud properties

One example



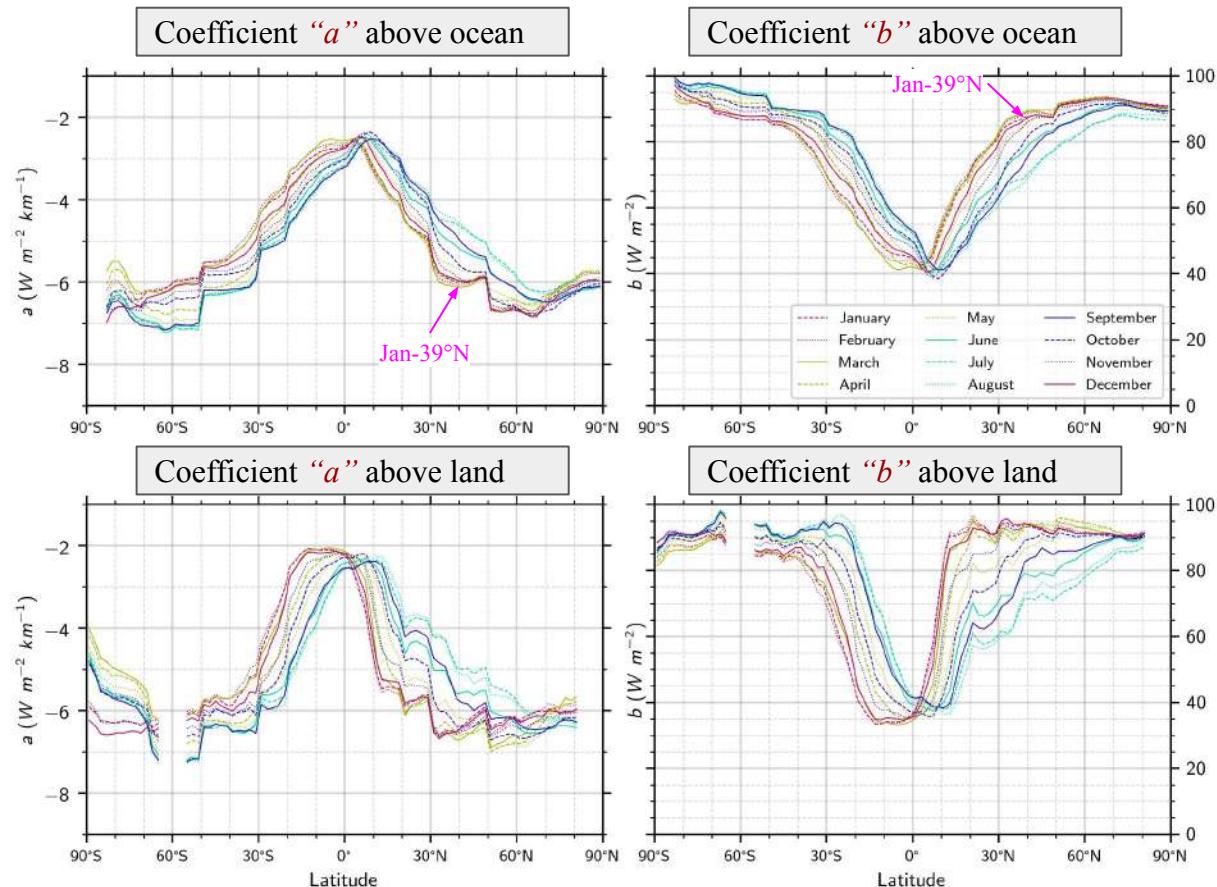
(Arouf et al., 2022)

(Consistent with  
Vaillant de Guélis et al., 2017a)  
(Ramanathan et al., 1977)

$$\text{LW CRE}_{\text{Opaque}} = a(H,T) \times Z_{T-\text{Opaque}} + b(H,T)$$

$$\text{LW CRE}_{\text{Thin}} = (\epsilon_{\text{Thin}} + 0.06) \times (a(H,T) \times Z_{T-\text{Thin}} + b(H,T))$$

## Results: Sensitivity of the coefficients ‘a’ and ‘b’ to humidity and temperature profiles.

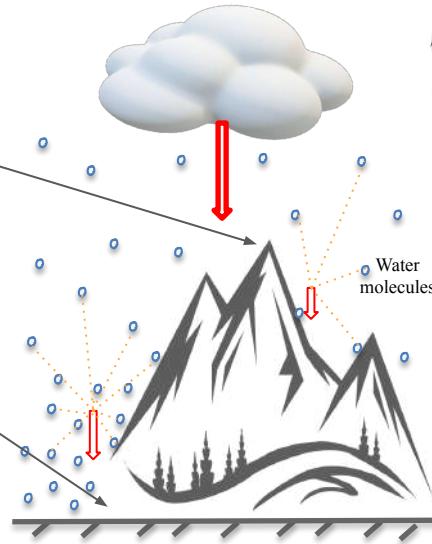
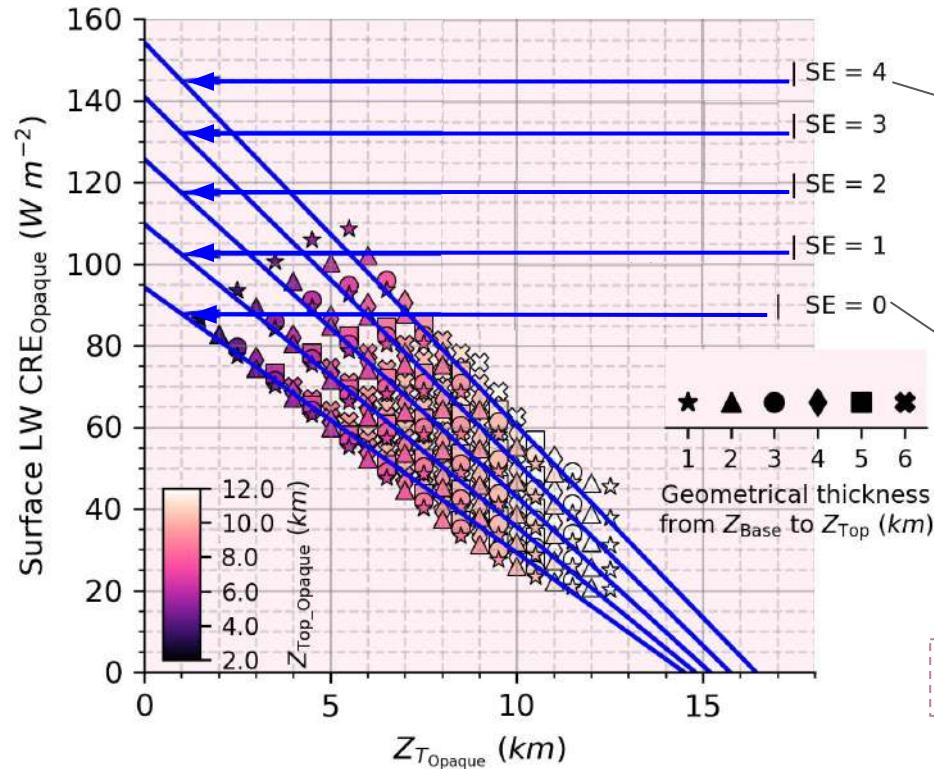


$$\text{LW CRE}_{\text{Opaque}} = a(H,T) \times Z_{T-\text{Opaque}} + b(H,T)$$

The surface LW cloud radiative effect varies according to the latitude and the month due to variations in humidity and temperature profiles.

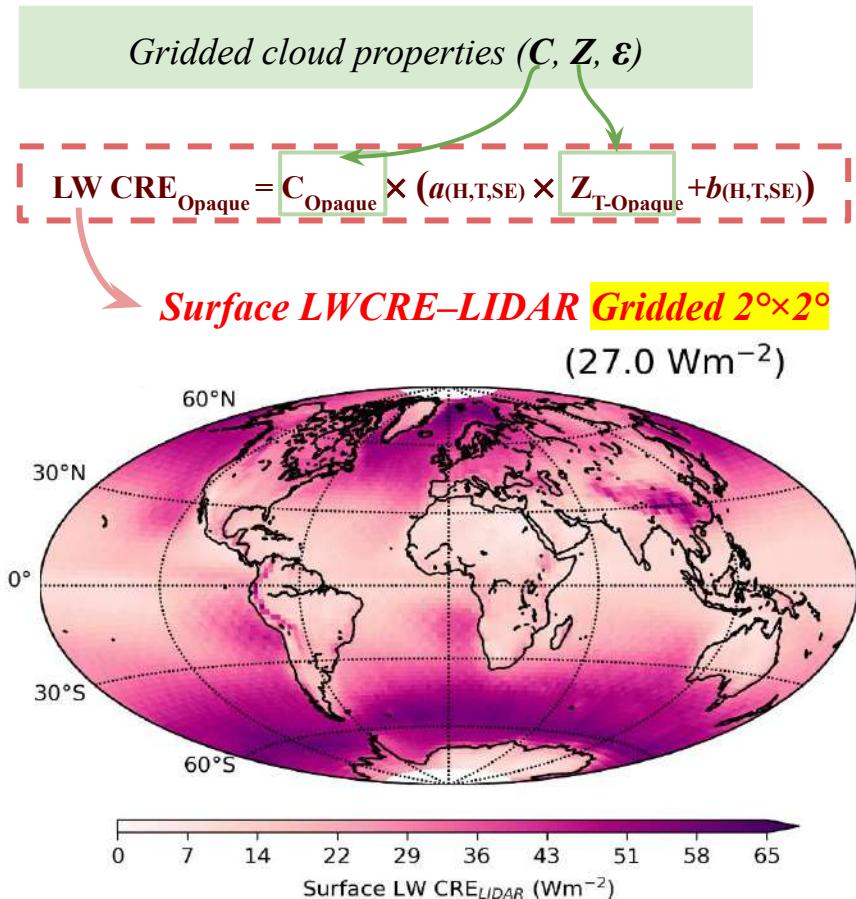
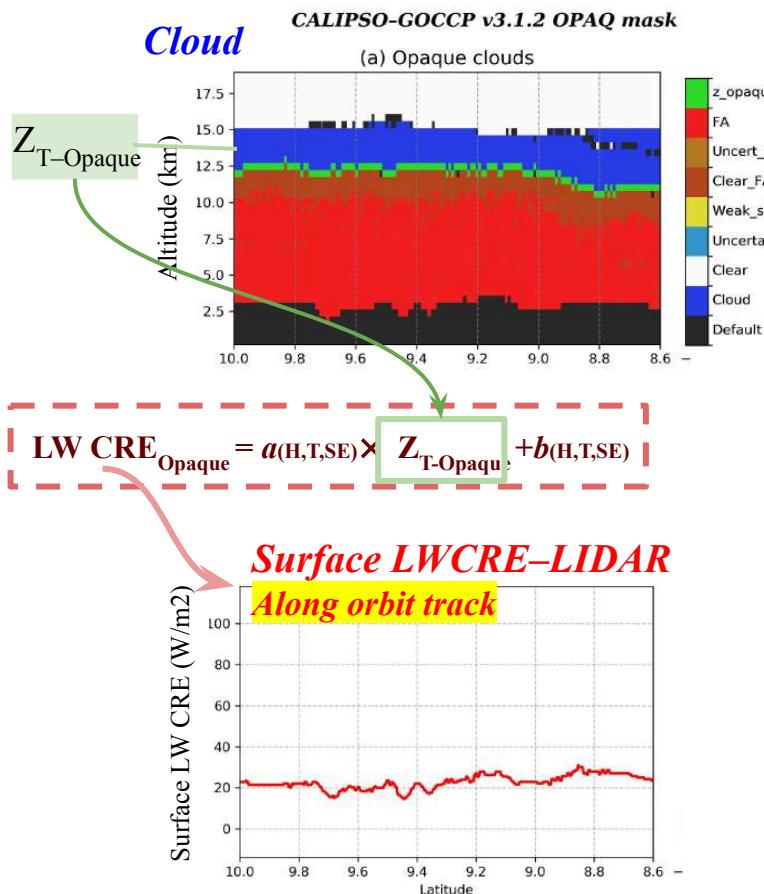
## Results: Surface LW CRE increase with surface elevation (SE), for a given cloud profile

Radiative transfer simulations for different surface elevations  
Opaque cloud over land : January, Latitude 39° N

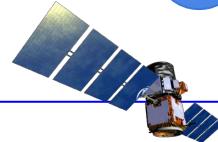


$$\text{LW CRE}_{\text{Opaque}} = a(H, T, \text{SE}) \times Z_{T-\text{Opaque}} + b(H, T, \text{SE})$$

# Results: New retrieval of surface LWCRE-LIDAR from CALIPSO (2008–2020)



## Outline



### I Surface LW cloud warming over more than a decade from CALIPSO lidar observation



#### A Retrieval



#### B Evaluation against independent observations

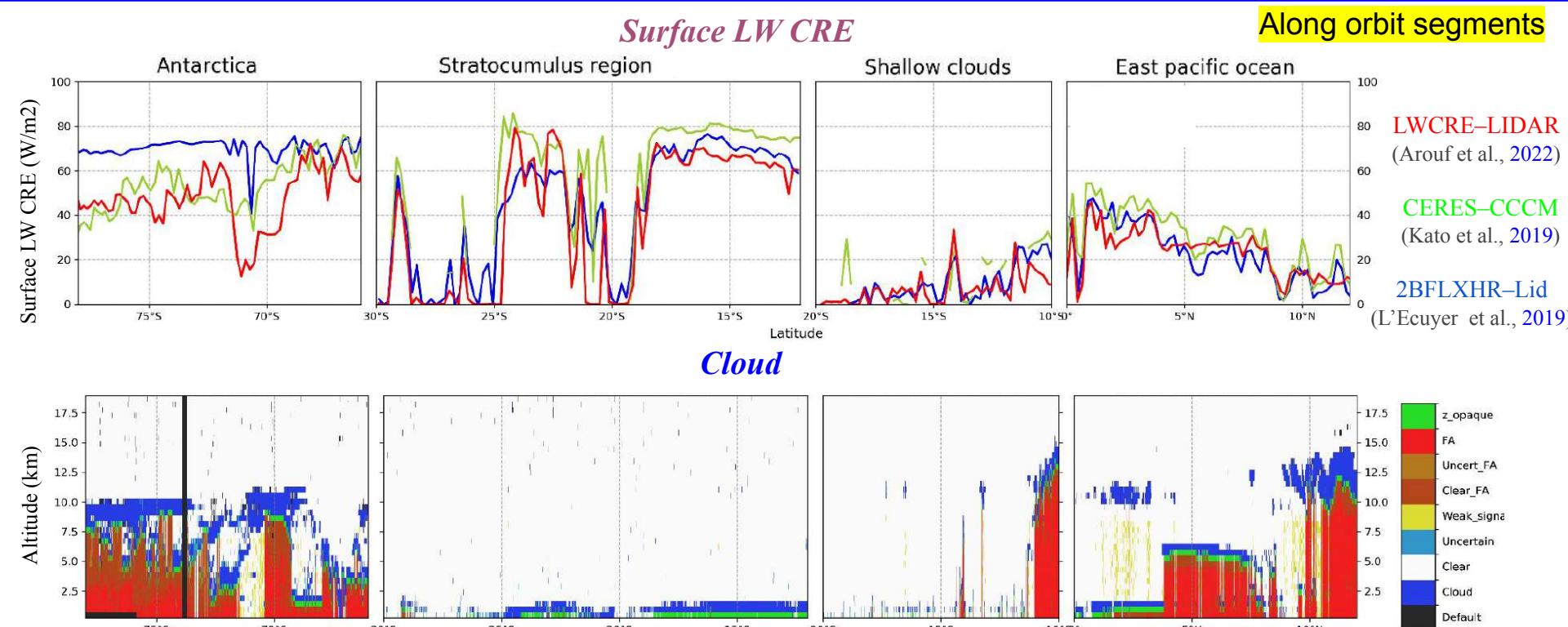


*Comparison of LWCRE-LIDAR with other satellite products*

- *along orbit tracks*
- *to instantaneous collocated data*
- *to gridded products*

*Comparison of LWCRE satellite products with ground stations*

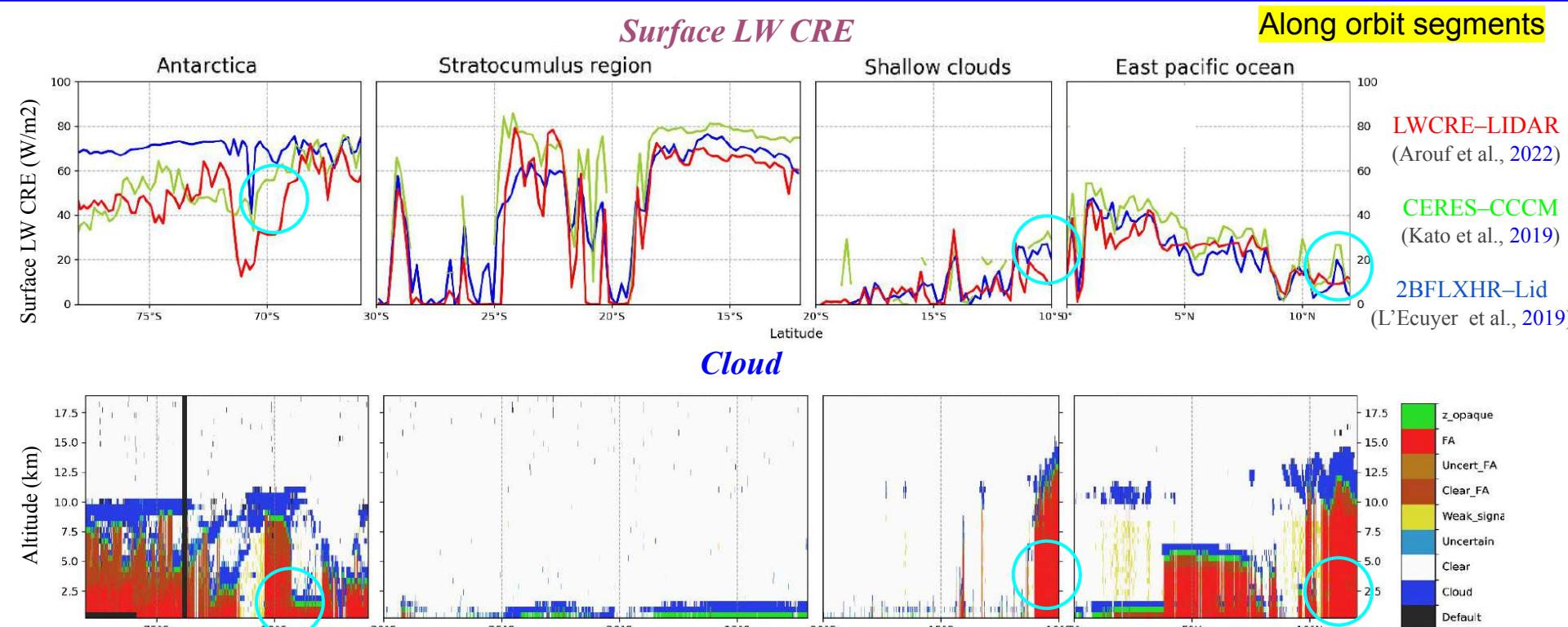
## Results: Comparison of satellite retrieval along orbit track



(Guzman et al., 2017)

Surface LW CREs agree well except in deep convective clouds (up to  $15 \text{ W m}^{-2}$ )

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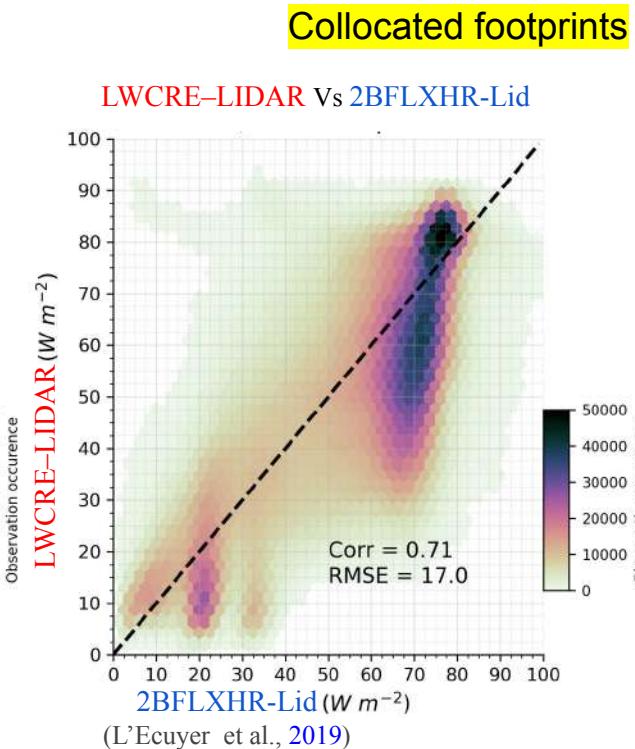
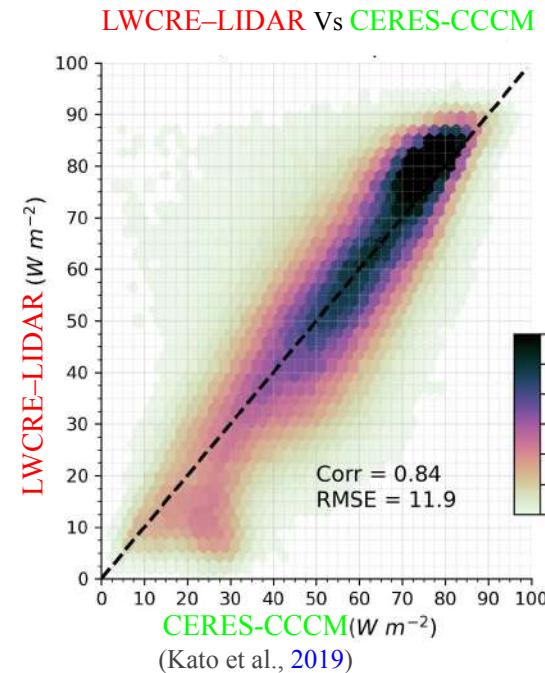
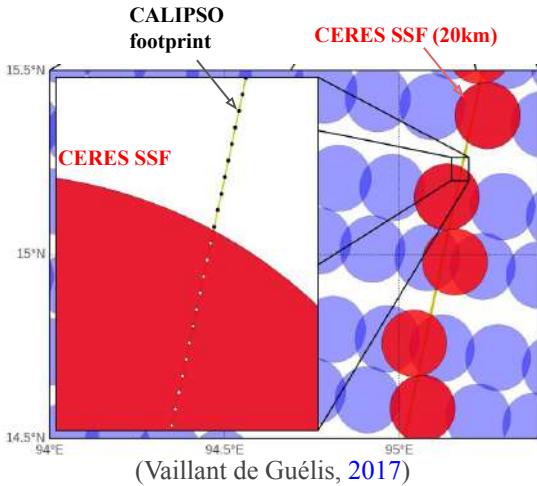


(Guzman et al., 2017)

Surface LW CREs agree well except in deep convective clouds ( $up to 15 W m^{-2}$ )

## Results: Comparison of instantaneous collocated data along orbit track

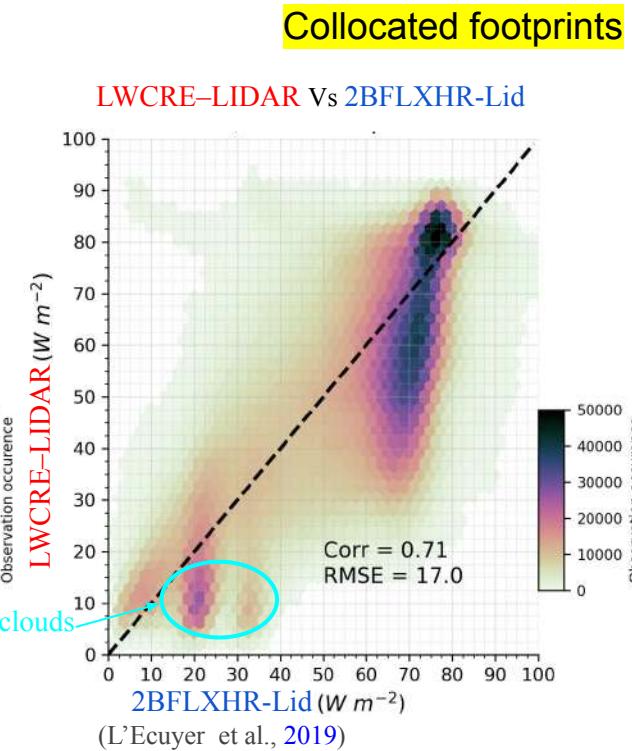
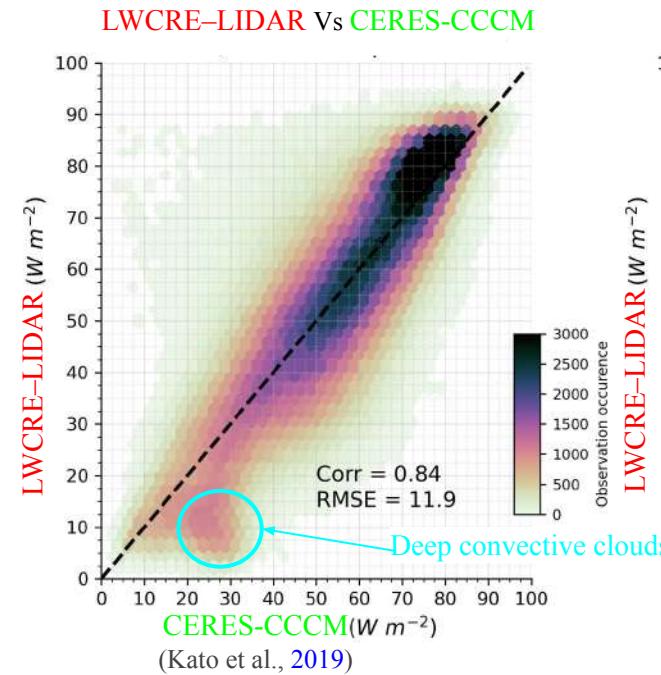
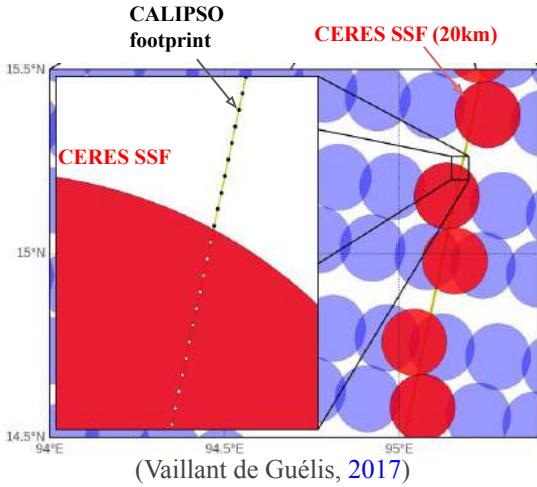
- Statistical comparison (2008 over Oceans)



- Correlations  $> 0.7$  between the datasets

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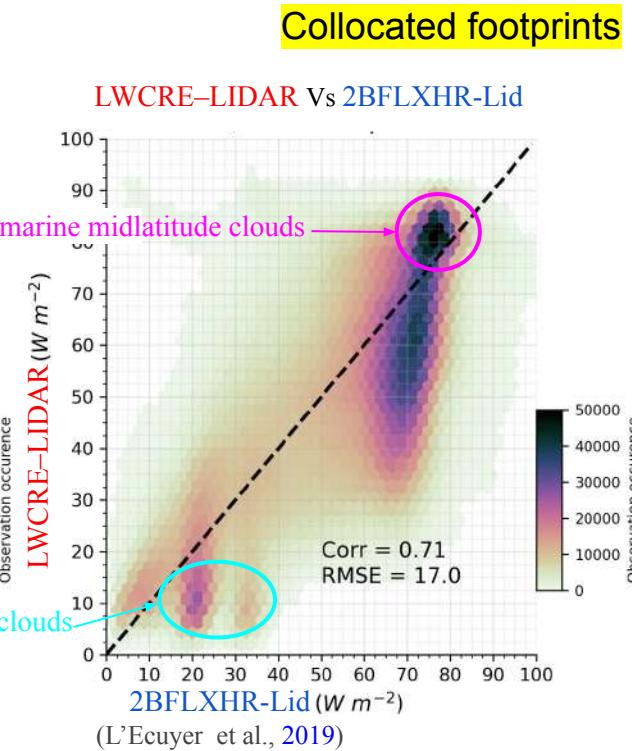
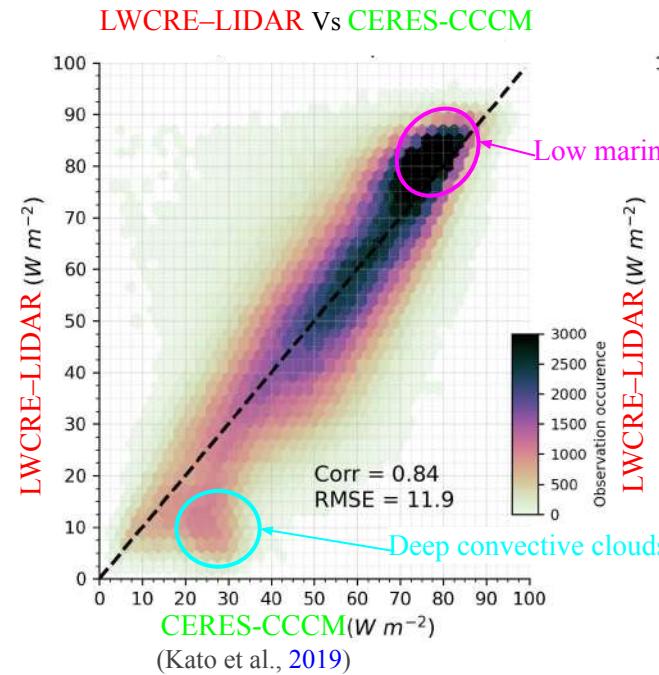
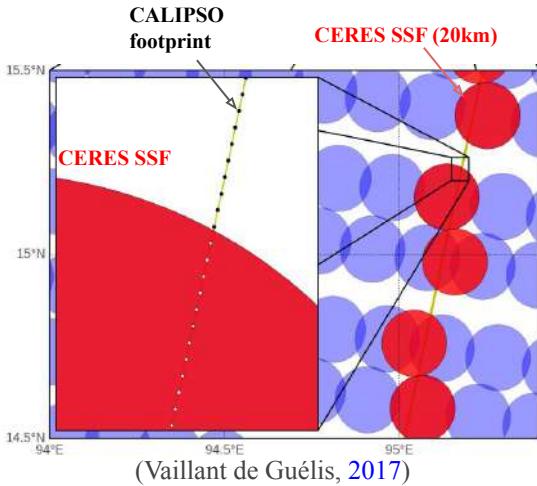
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- Correlations > 0.7 between the datasets
- 7% of CALIPSO profiles have differences reaching 15 W m<sup>-2</sup>

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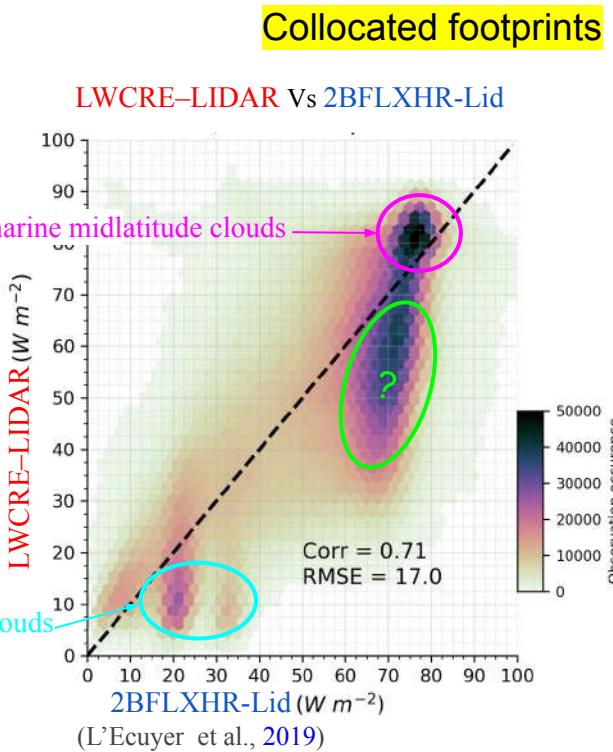
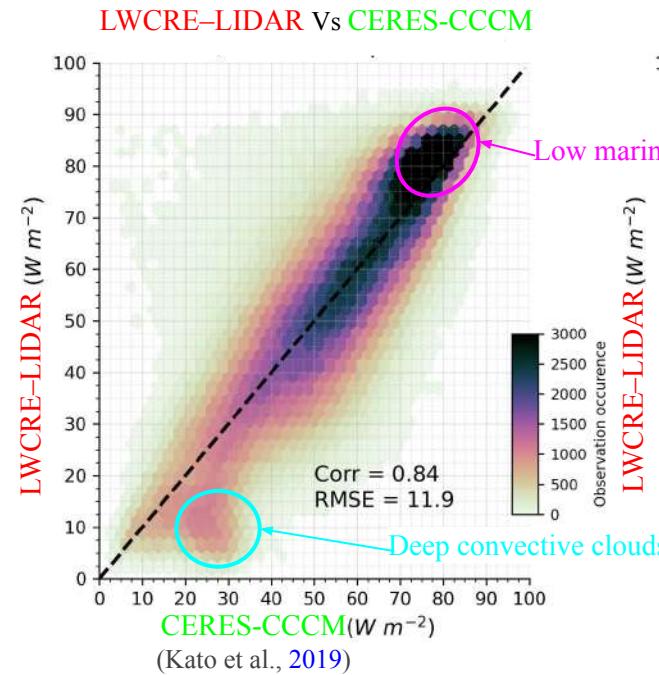
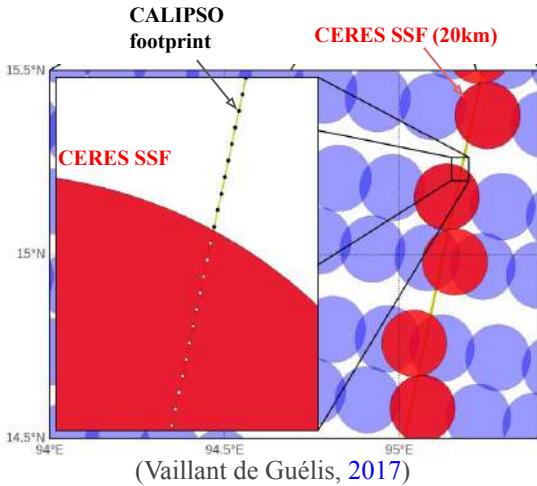
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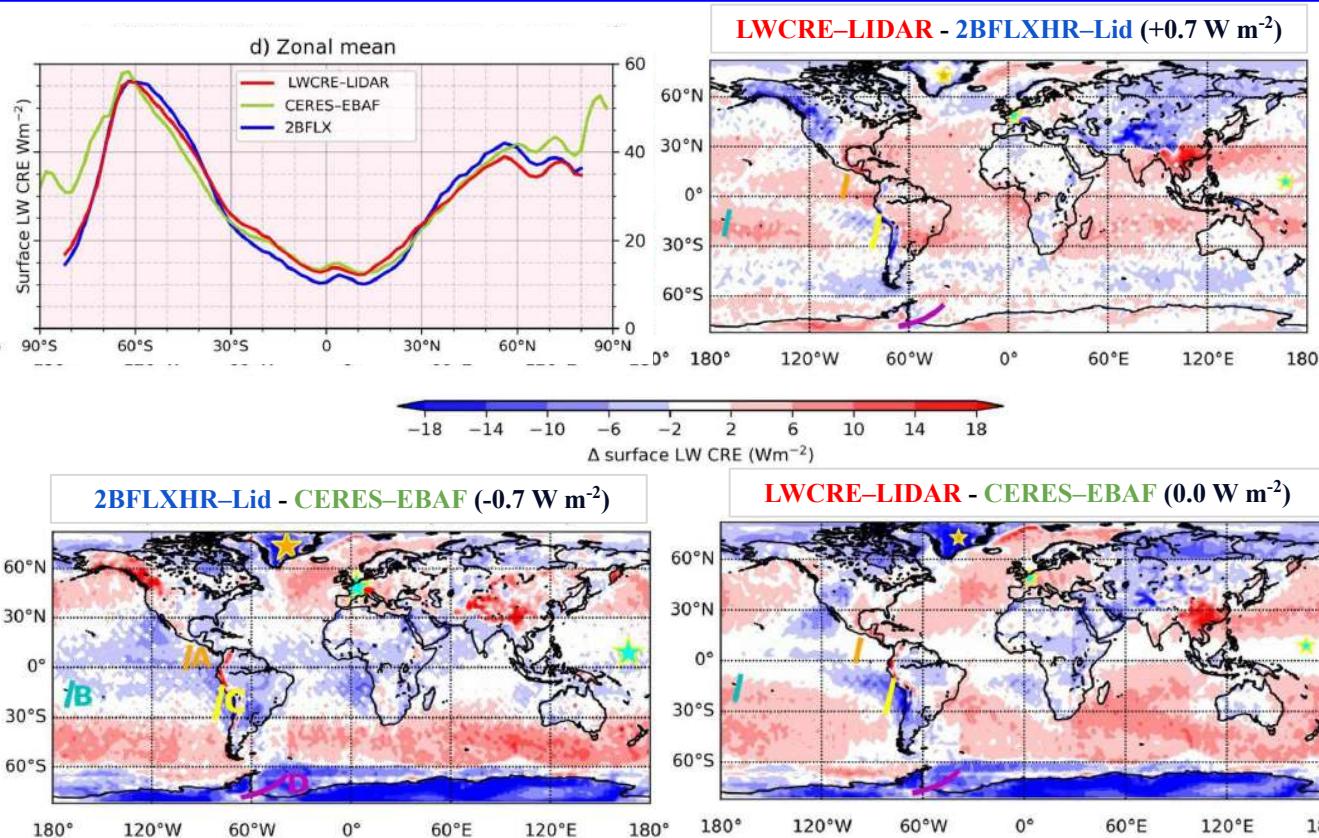
## Results: Comparison of instantaneous collocated data along orbit track

- Statistical comparison (2008 over Oceans)



- Correlations  $> 0.7$  between the datasets
- 7% of CALIPSO profiles have differences reaching  $15\ W\ m^{-2}$

## Results: Comparison of global maps ( $2^\circ \times 2^\circ$ , 2008–2010)

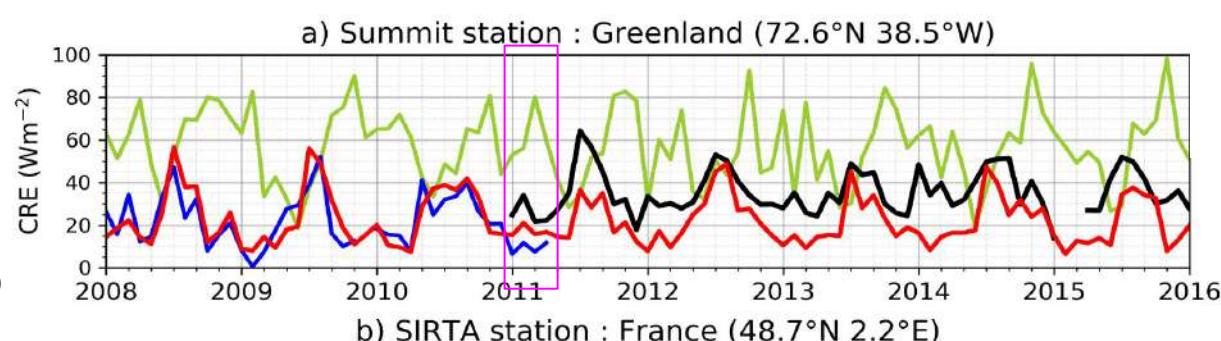


Better agreement between **CRE Lidar** and **CRE Radar/Lidar/Radiometer** than with **CRE Radiometer** especially over polar regions

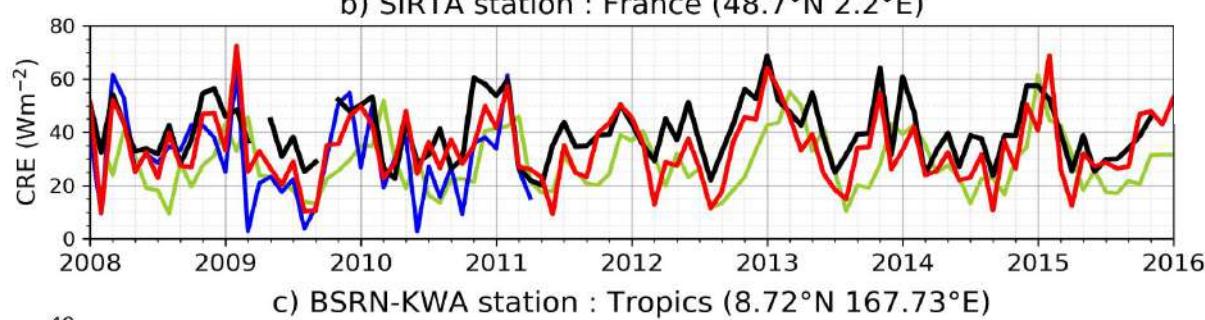
## Results: The surface LWCRE–LIDAR is the closest to ground based observations

Polaires  
 (Shupe et al., 2013)

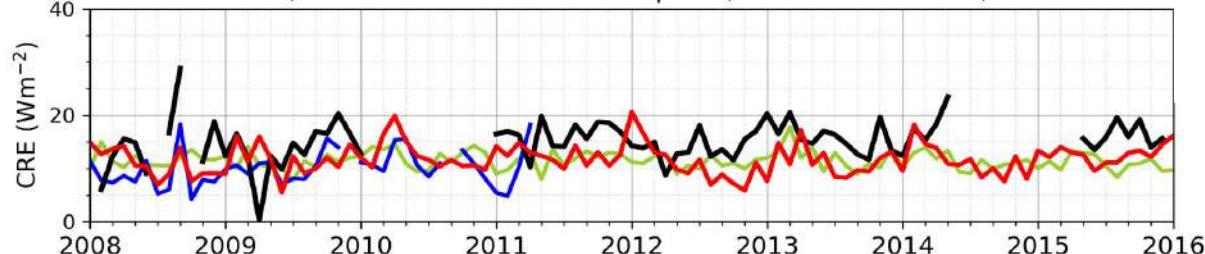
(Lacour et al., 2017)



Mid-latitudes  
 (Chiriaco et al., 2018)



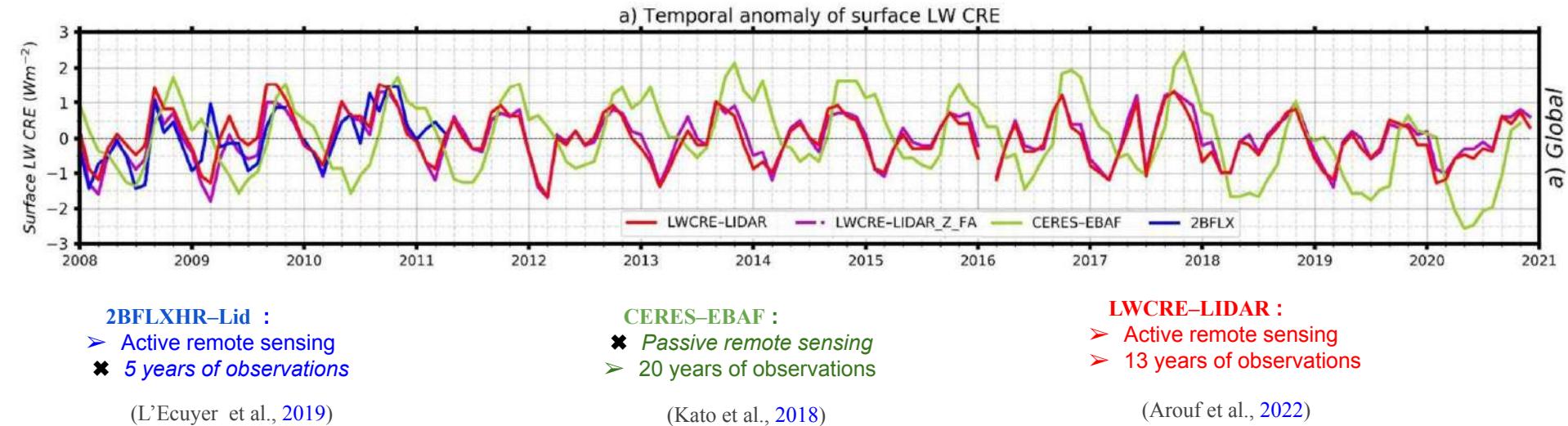
Tropiques  
 (Roesch et al., 2011)



Ground stations

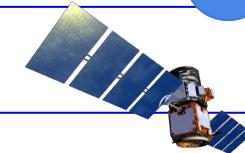
## Results: 13 years global interannual variations of the surface LWCRE–LIDAR

Global scale (satellites)



- **CRE Lidar** and **CRE Radar/Lidar/Radiometer** agree well over 3 years and **CRE Lidar** last for 13 years.
- **CRE Radiometer** is shifted by 2 to 3 months compared to **CRE Lidar** and **CRE Radar/Lidar/Radiometer**.

## First conclusions



- New retrieval of surface LW CRE from CALIPSO between 2008-2020 on global scale.
- Max local differences of  $13 \text{ W m}^{-2}$  in the gridded product over surfaces  $\geq 3 \text{ km}$ .
- Max local differences of 10 to  $15 \text{ W m}^{-2}$  in the orbit product in deep convective clouds but occur only 7% of the time.
- **13 years of surface LWCRE–LIDAR reliable over polar regions.**

### The surface longwave cloud radiative effect derived from space lidar observations

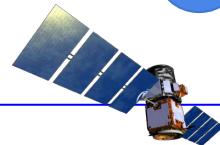
Assia Arouf<sup>1</sup>, Hélène Chepfer<sup>1</sup>, Thibault Vaillant de Guélis<sup>2,3</sup>, Marjolaine Chiriaco<sup>4</sup>, Matthew D. Shupe<sup>5,6</sup>, Rodrigo Guzman<sup>1</sup>, Artem Feofilov<sup>1</sup>, Patrick Raberanto<sup>7</sup>, Tristan S. L'Ecuyer<sup>8</sup>, Seiji Kato<sup>3</sup>, and Michael R. Gallagher<sup>5,6</sup>

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Atmospheric  
Measurement  
Techniques  
Open Access  


<https://doi.org/10.5194/amt-15-3893-2022>



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II Link between surface LW cloud warming and Arctic sea ice loss



### Context

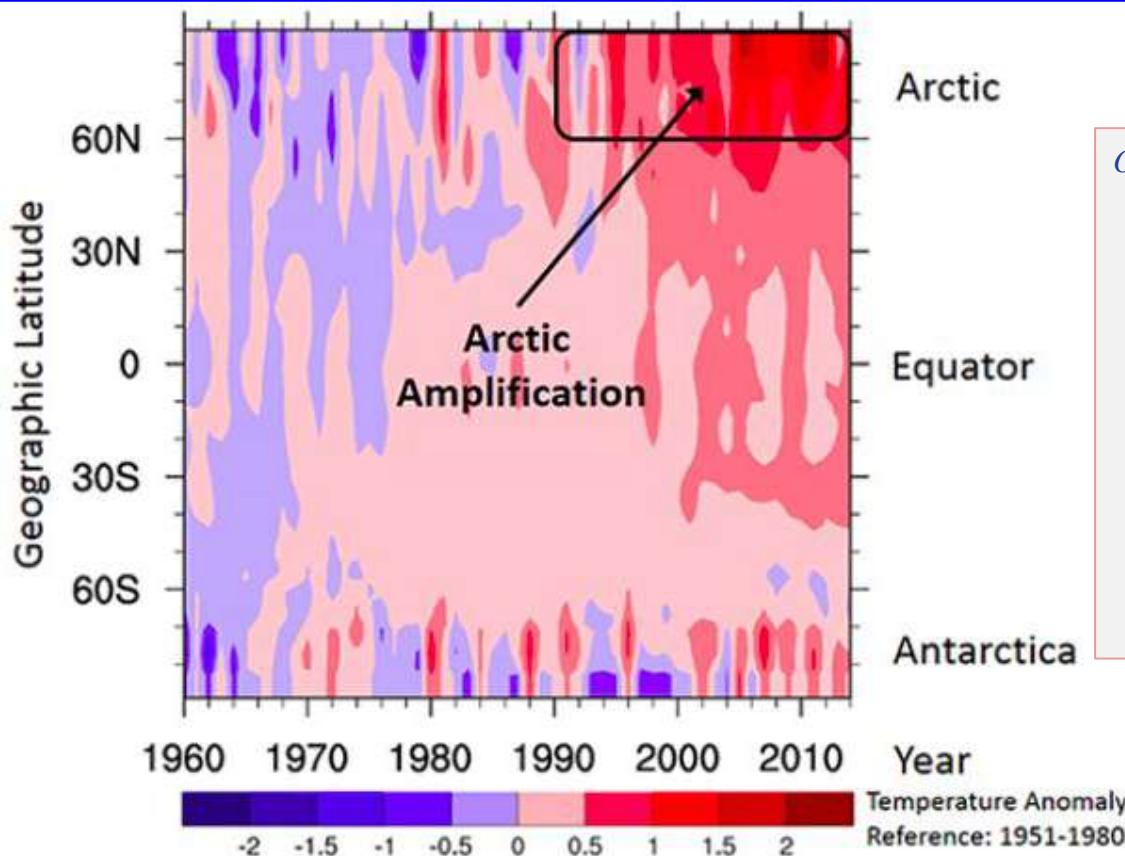
### Method

- Isolate a region where Arctic sea ice varies

### Results

- Distribution of surface cloud warming within this region
- Split surface cloud warming into over open water and over sea ice

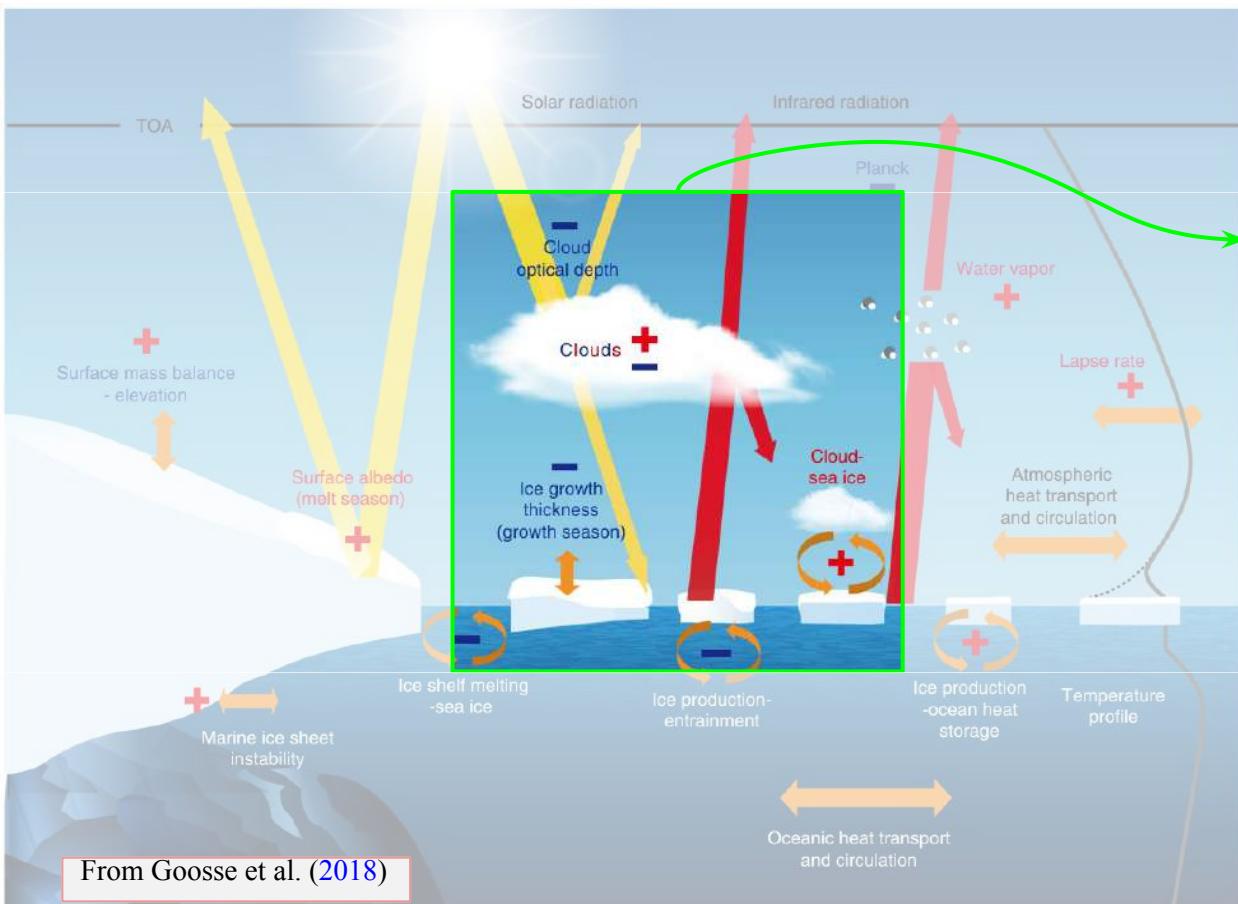
## Context: Fast surface temperature increase in the Arctic



Over the past 40 years:

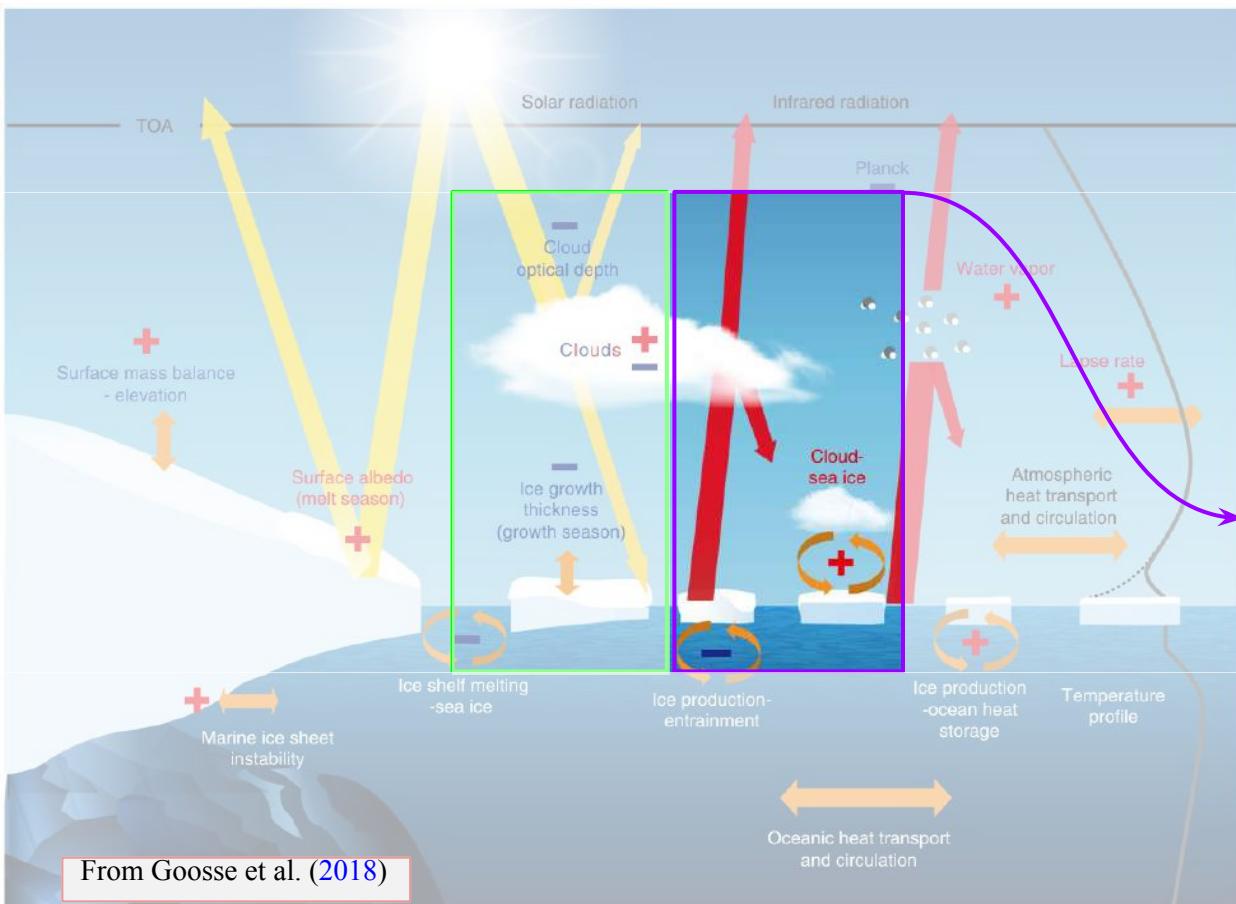
- Arctic has warmed nearly four times faster than the global average (Rantanen et al., 2022)
- Larger summer melt and longer melt season (Stroeve et al., 2012, Boisvert & Stroeve, 2015)
- More SW absorption in the Arctic ocean and greater ocean warming (Manabe & Stouffer, 1980)
- Ocean warming is the driver of Arctic sea ice loss

## Context: Clouds influence on Arctic's surface energy budget



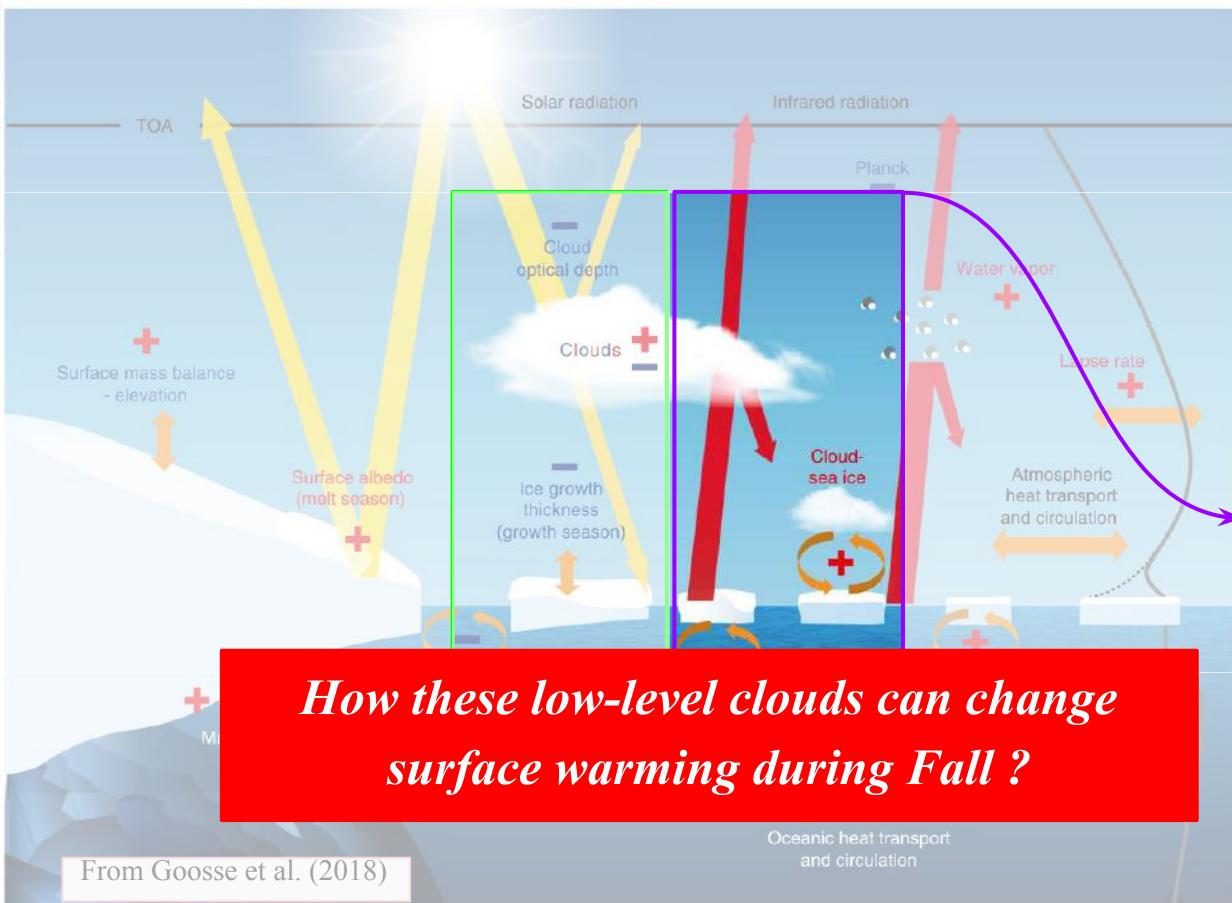
- During Arctic summer, any increase in low-level clouds may increase Earth's albedo and dump sea ice loss.

## Context: Clouds influence on Arctic's surface energy budget



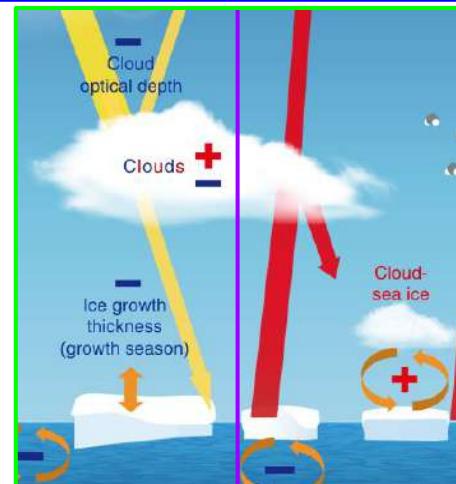
- During **Arctic night (non-summer)**, any increase in low-level clouds may increase downwelling LW radiation and **amplify sea ice loss** (Kay & Gettelman, 2009; Morrison et al., 2018).
- Observations show an increase in low-level clouds during **non-summer seasons** (Morrison et al., 2018).

## Context: Clouds influence on Arctic's surface energy budget

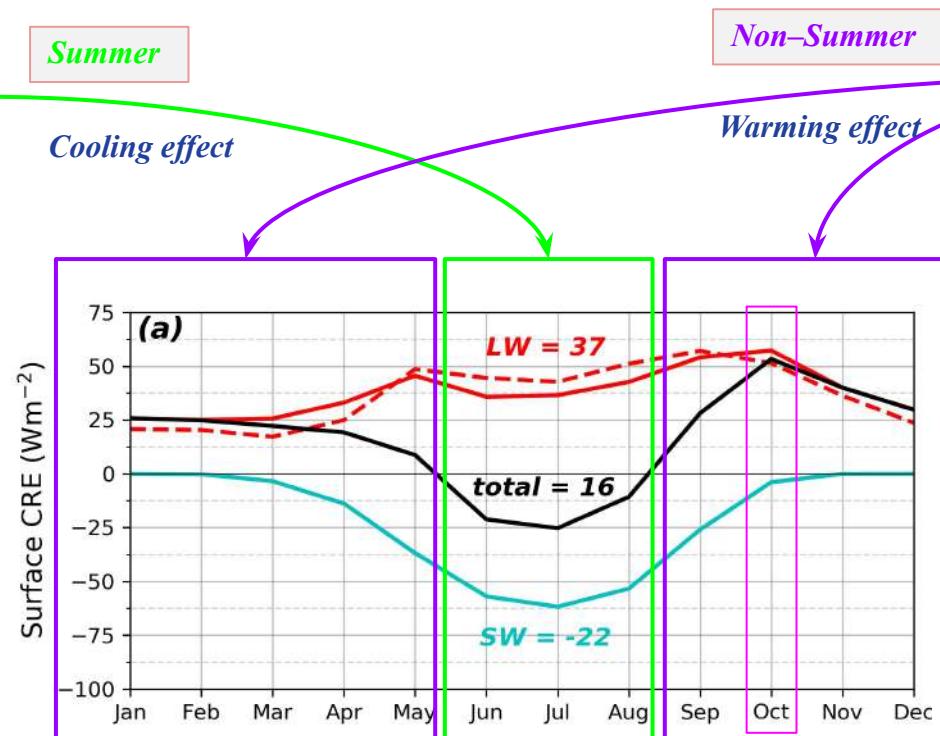


- During **Arctic night (non-summer)**, any increase in low-level clouds may increase downwelling LW radiation and **amplify** sea ice loss (Kay & Gettelman, 2009; Morrison et al., 2018).
- Observations show an increase in low-level clouds during **non-summer seasons** (Morrison et al., 2018).

## Context: Cloud warming and cooling effect on Arctic's surface energy budget



Summer  
SW dominates

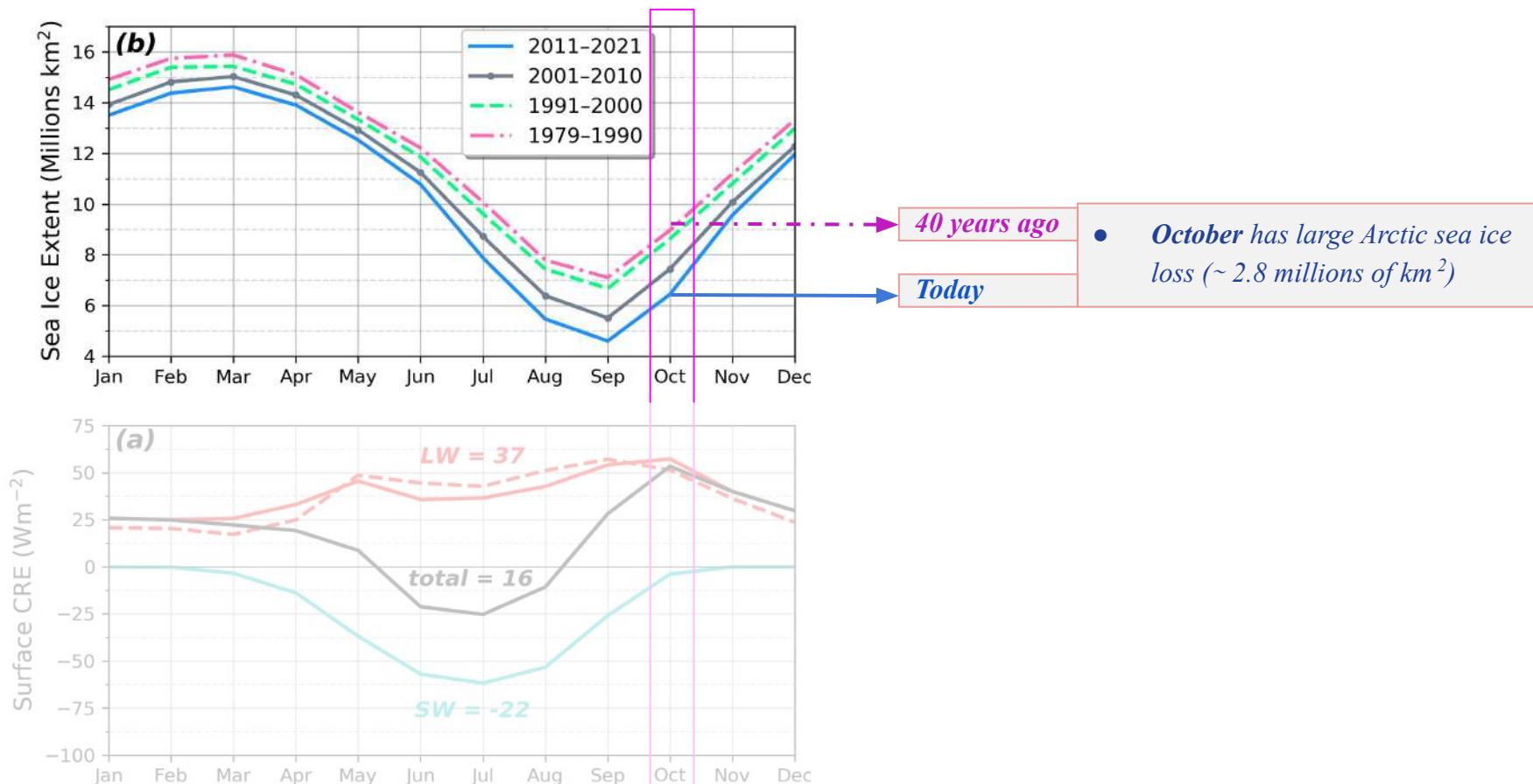


(Goosse et al., 2018)

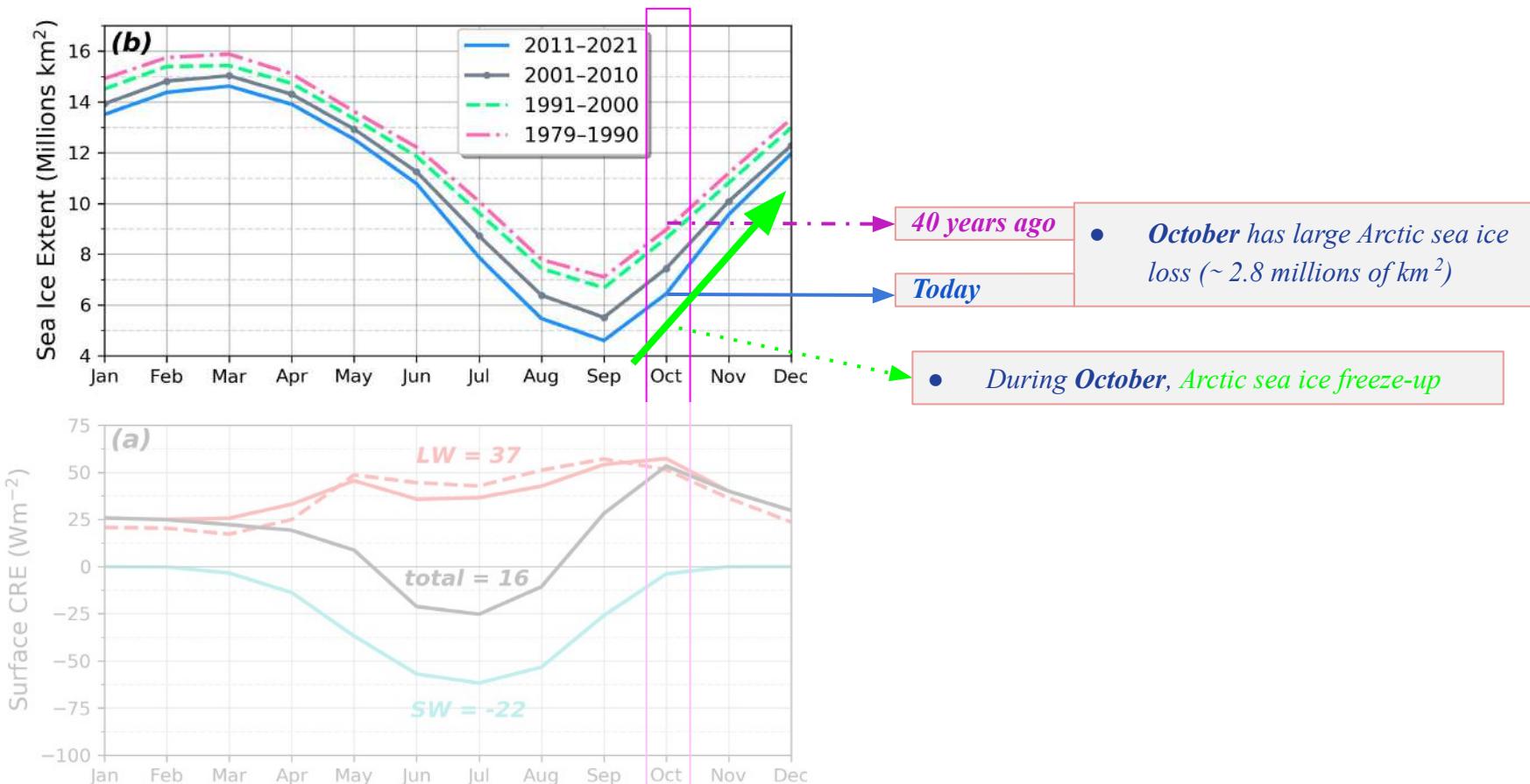


Non-Summer  
LW dominates

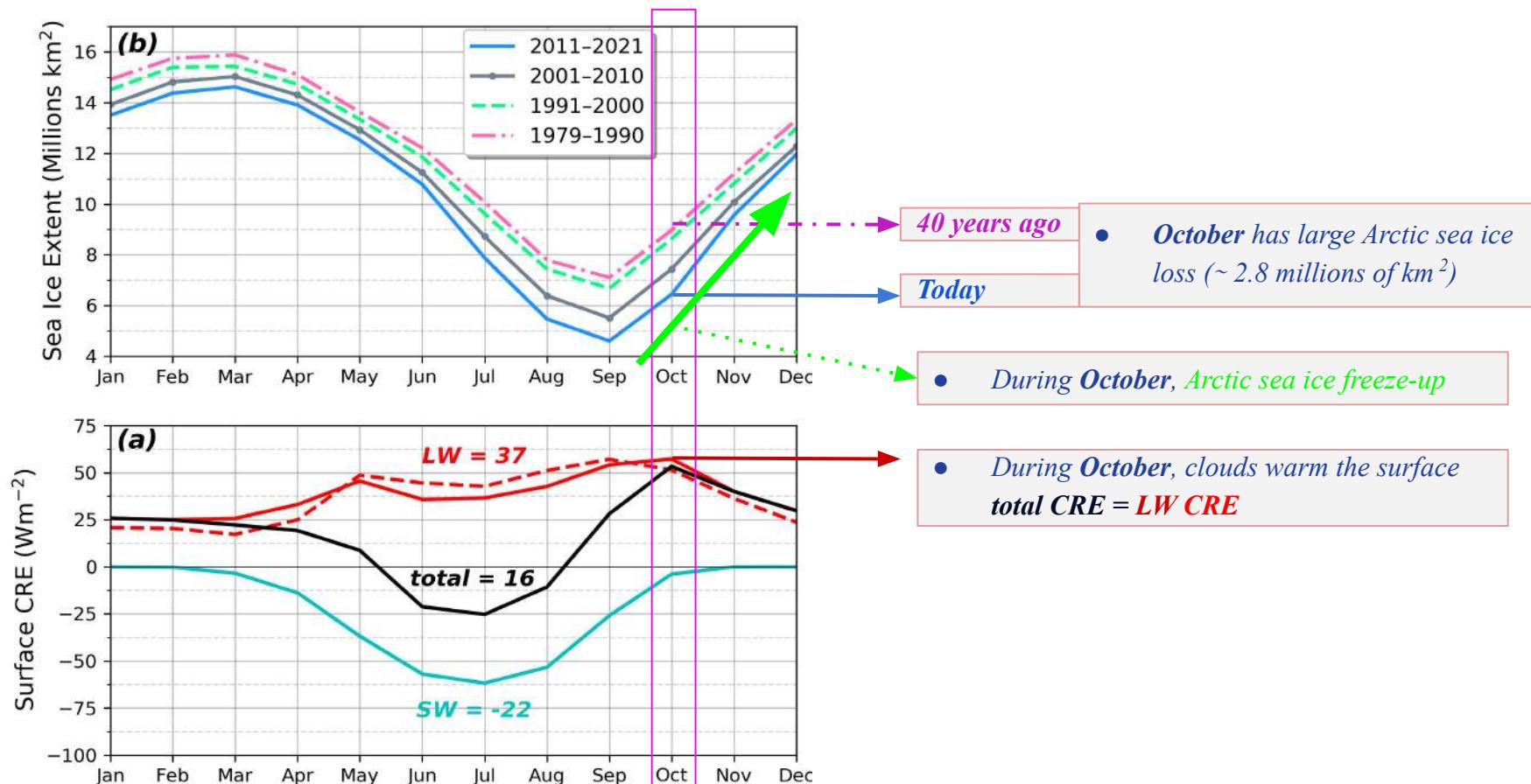
## Context: October is interesting to investigate the sea ice–surface LW cloud warming co-variability



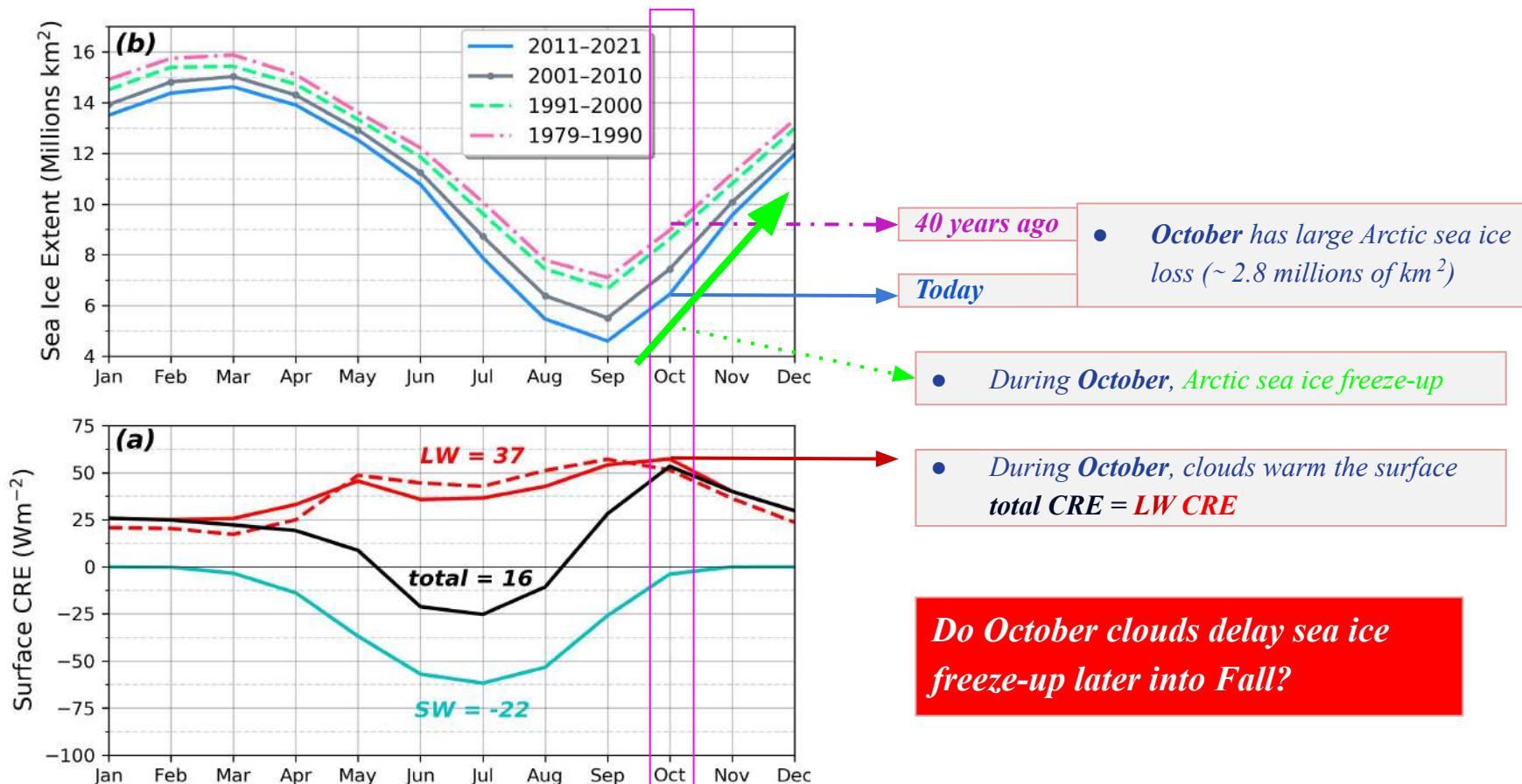
## Context: October is interesting to investigate the sea ice–surface LW cloud warming co-variability



## Context: October is interesting to investigate the sea ice–surface LW cloud warming co-variability



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## Method

### Approach

- Isolate a region where Arctic sea ice cover varies during October.
- Look where low-level clouds form the most in response to Arctic sea ice loss.
- Quantify the warming effect of clouds at the surface formed in response to Arctic sea ice loss.
- Look at the evolution of surface LW cloud warming through Fall.

## *Method: Isolate a region where Arctic sea ice cover varies during October*

### *Intermittent*

*Daily sea ice cover varies  
(2008–2020 during October)*

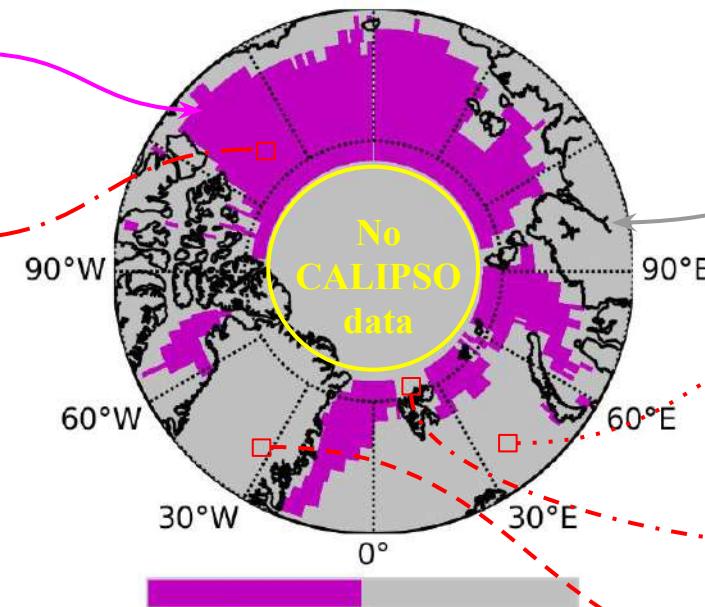
- **Sea ice cover changes**

Day 1, Day 2, ..... Day N



- Gridded  $1^\circ \times 1^\circ$
- NSIDC

### **October surface masks**



*Intermittent*

*Perennial*

### *Perennial*

*Daily sea ice cover does not vary  
(2008–2020 during October)*

- **Every day ocean**

Day 1, Day 2, ..... Day N



- **Every day ice covered**

Day 1, Day 2, ..... Day N

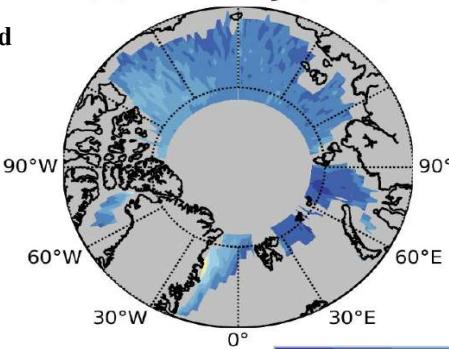


• **Continents**

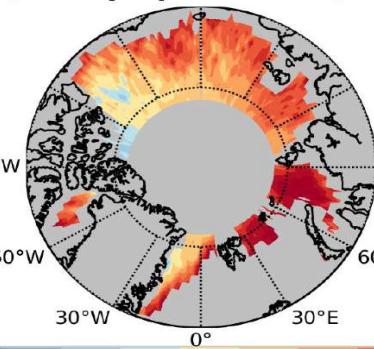
## Results: October is very cloudy throughout the entire intermittent mask

- Along orbit track Gridded  
1°×1°
- 2008-2020

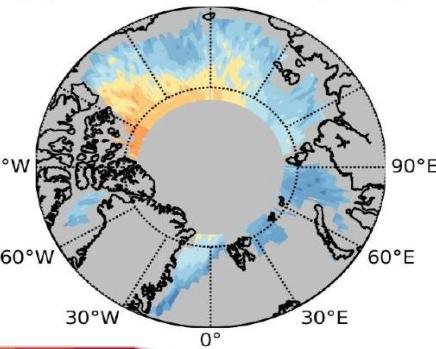
(a) Clear sky (13%)



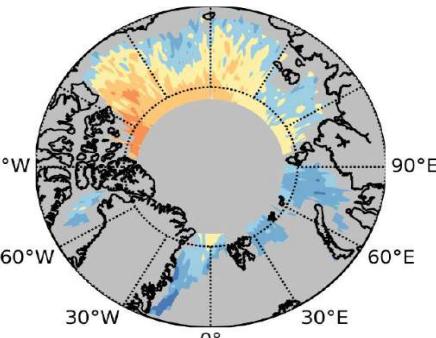
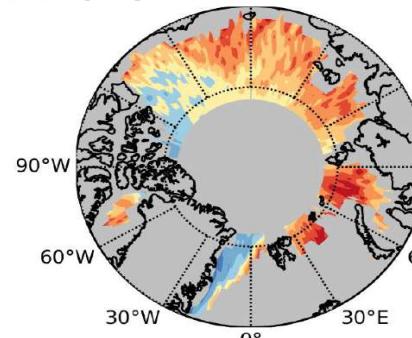
(c) All Opaque clouds (52%)



(e) All Thin clouds (29%)



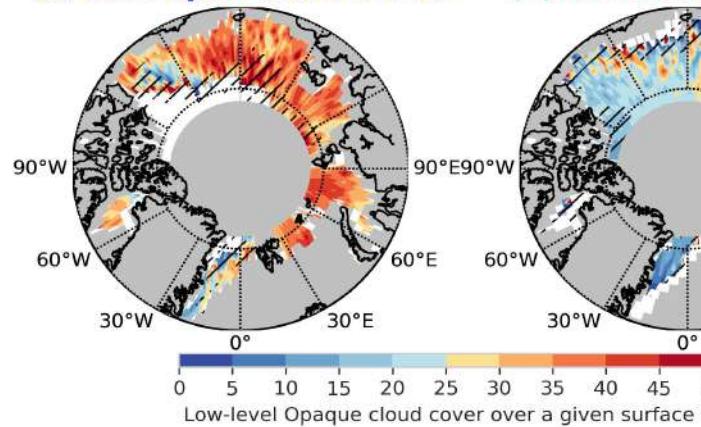
(d) Opaque clouds&lt;2 km (27%) (f) Thin clouds&lt;2 km (19%)



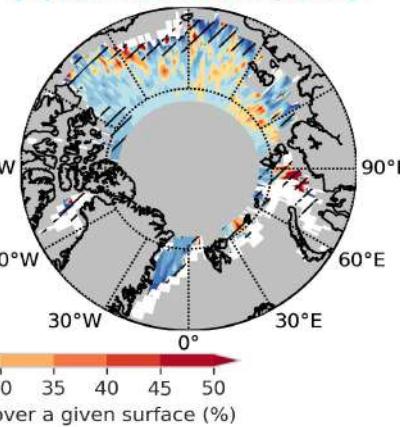
- Low-level opaque clouds largely warm the surface (Arouf et al. 2022; Matus and l'Ecuyer 2017) and are the numerest (27 %).

## Results: Low-level opaque clouds are more numerous over open water than over sea ice

(a) Over open water (33%)



(b) Over sea ice (21%)

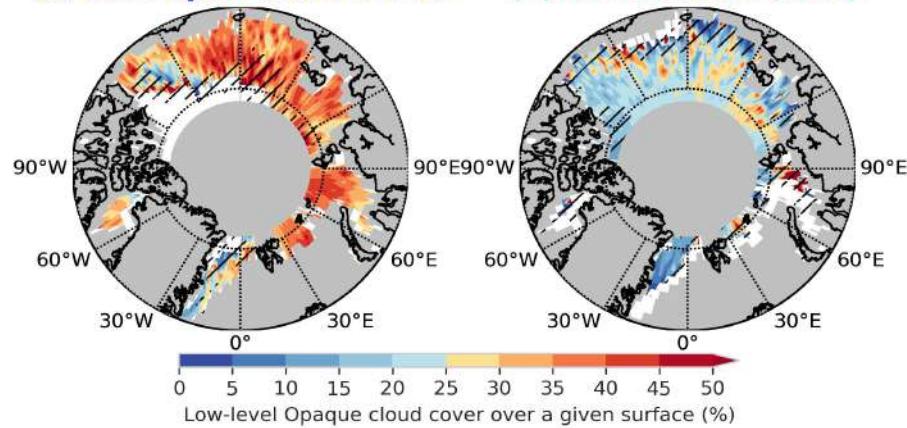


- Along orbit track gridded on  $1^\circ \times 1^\circ$
- 2008-2020

- Intermittent mask is a region where we assume that sea ice variability affects more low-level clouds than large scale atmospheric circulation (Morrison et al., 2018).

## Results: Large surface LW CRE are much more frequent over open water than over sea ice

(a) Over open water (33%)

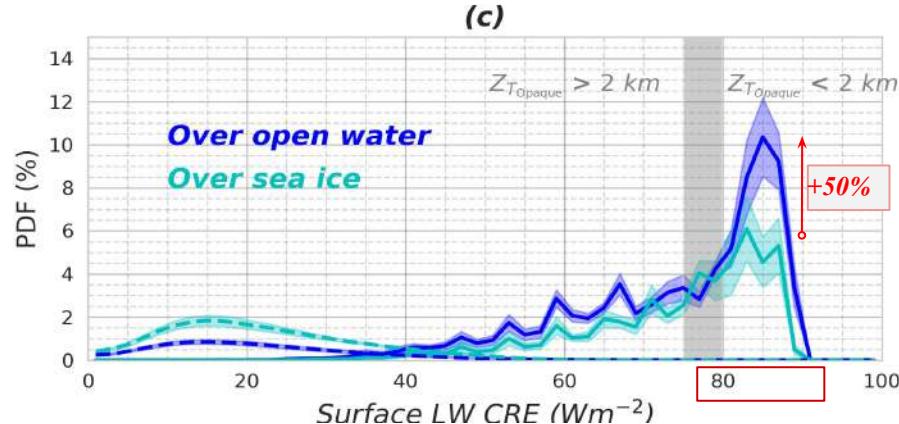


(b) Over sea ice (21%)

- Along orbit track gridded on  $1^\circ \times 1^\circ$
- 2008-2020

(c)

Over open water  
Over sea ice

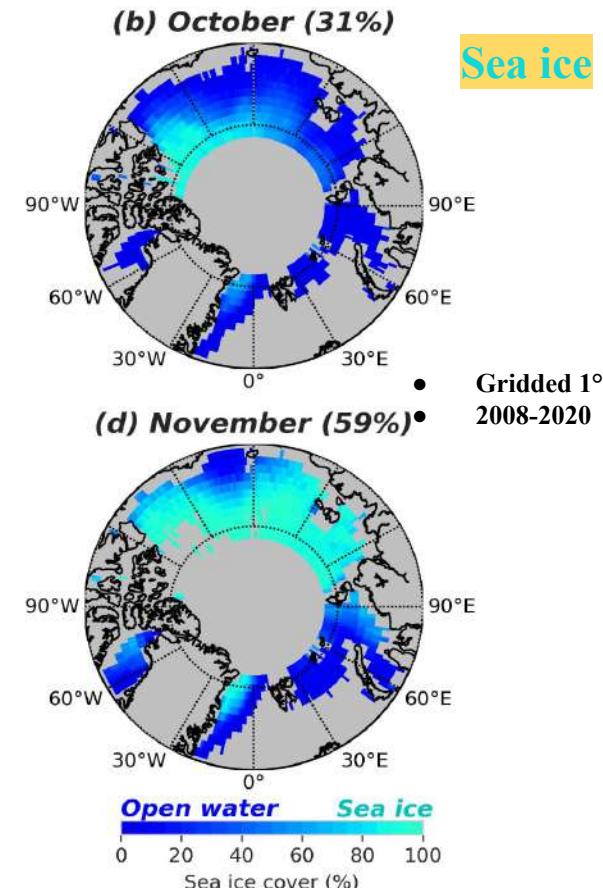
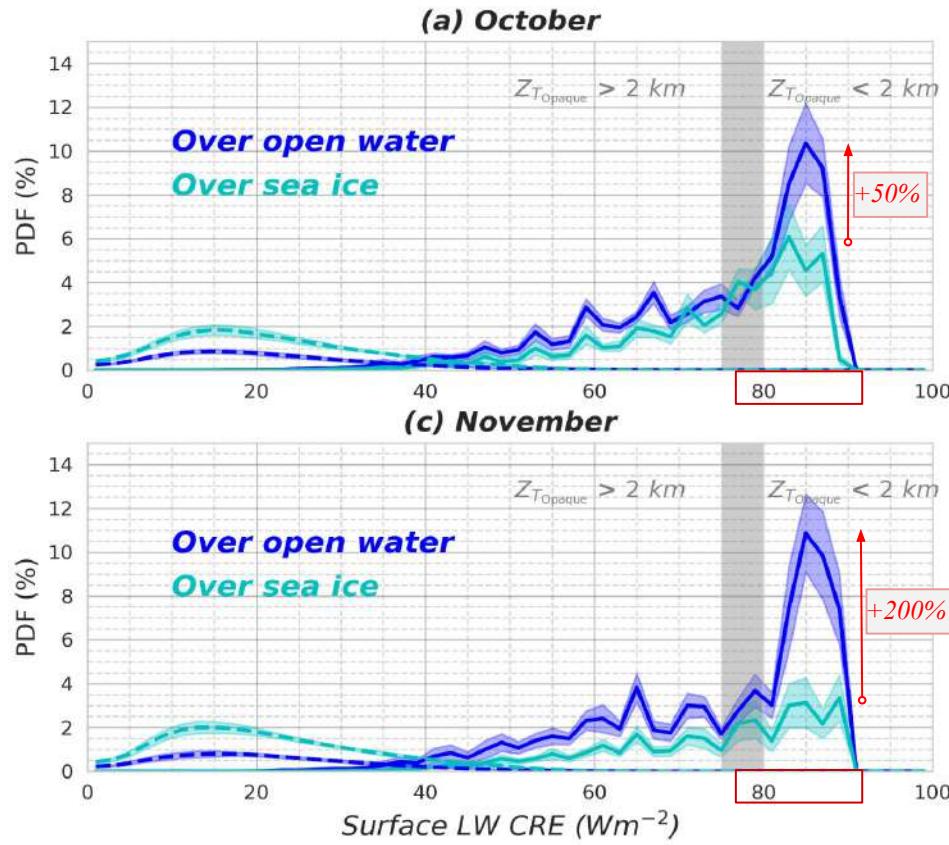


(c) Accumulating 13 years of orbit data (possible with LWCRE-LIDAR)

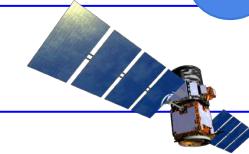
**Large surface LW cloud warming (CRE > 80 W m<sup>-2</sup>) occurs +50% more often over open water than over sea ice.**

## Results: More large surface cloud warming as open water persists later into Fall

Accumulating orbit  
data (2008-2020)



## Second conclusions



**High values of surface LW cloud warming ( $> 80 \text{ W m}^{-2}$ ) occur  $\sim +50\%$  ( $+200\%$ ) more often over open water than over sea ice during October (November) months.**

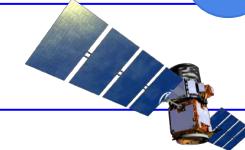
**As the climate warms up due to human activities, clouds would contribute to lengthen the melt season by potentially delaying ice freeze-up later into the Fall.**

manuscript submitted to *Geophysical Research Letters*

<sup>1</sup> Surface cloud warming increases as Fall Arctic sea ice cover decreases  
<sup>2</sup>

<sup>3</sup> Assia Arouf<sup>1</sup>, Hélène Chepfer<sup>1</sup>, Jennifer E. Kay<sup>2,3</sup>, Tristan S. L'Ecuyer<sup>4</sup>, Jean  
<sup>4</sup> Lac<sup>1</sup>

## General conclusions



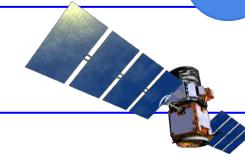
### I Surface LW cloud warming over more than a decade from CALIPSO lidar observation

*CALIPSO observations allowed us to retrieve the surface LW CRE over 13 years and to study its variability. This retrieval might be lengthen with future spaceborne lidars (e.g. EarthCARE)*

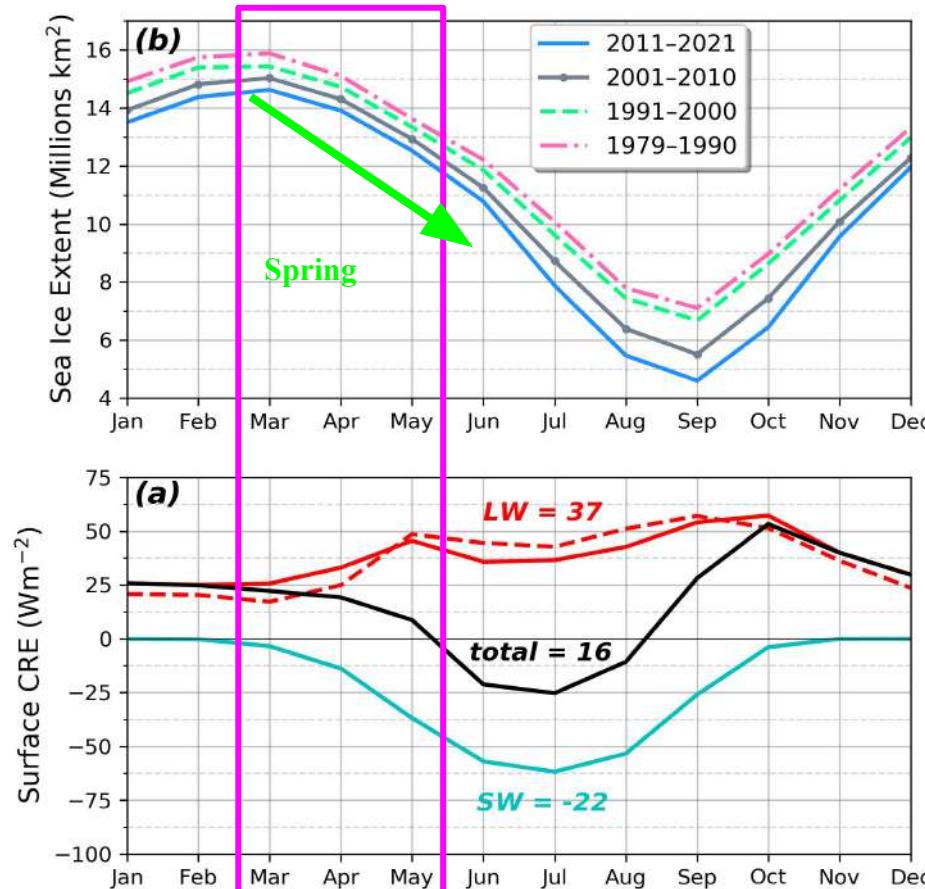
### II Link between surface LW cloud warming and Arctic sea ice loss

*Clouds warm the surface in response to sea ice loss (surface LW CRE > 80 W m<sup>-2</sup>) and may delay sea ice freeze-up later into the fall as climate warms up.*

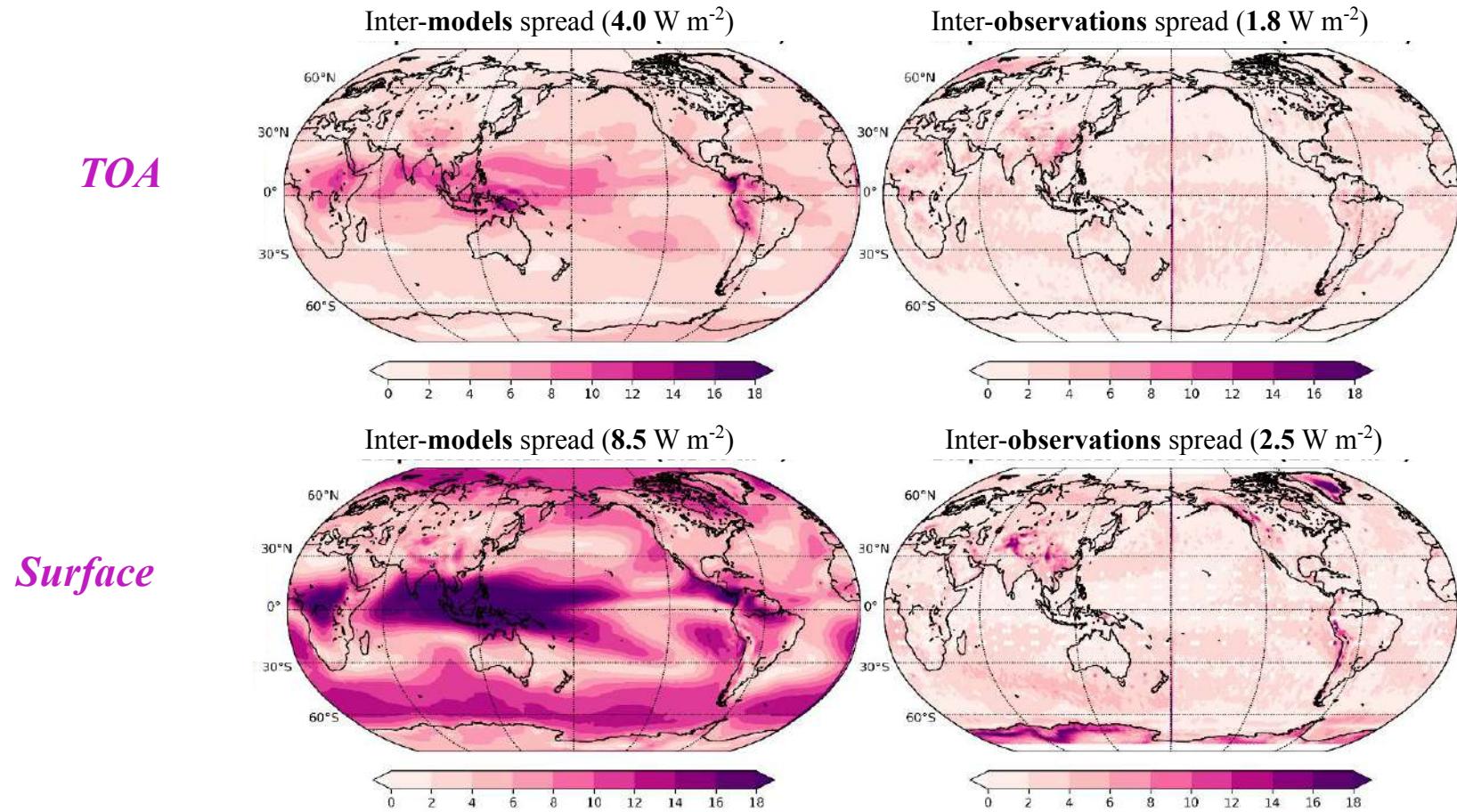
## Perspective 1: Do clouds help to set up the sea ice melt onset during Spring?



(Huang et al., 2019)



## Perspective 2: Evaluate surface LW CRE in CMIP6 climate models





*Surface longwave cloud radiative effect derived from  
space lidar over the Arctic: Application in the Arctic*

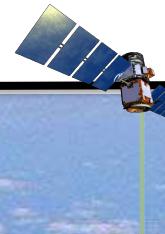
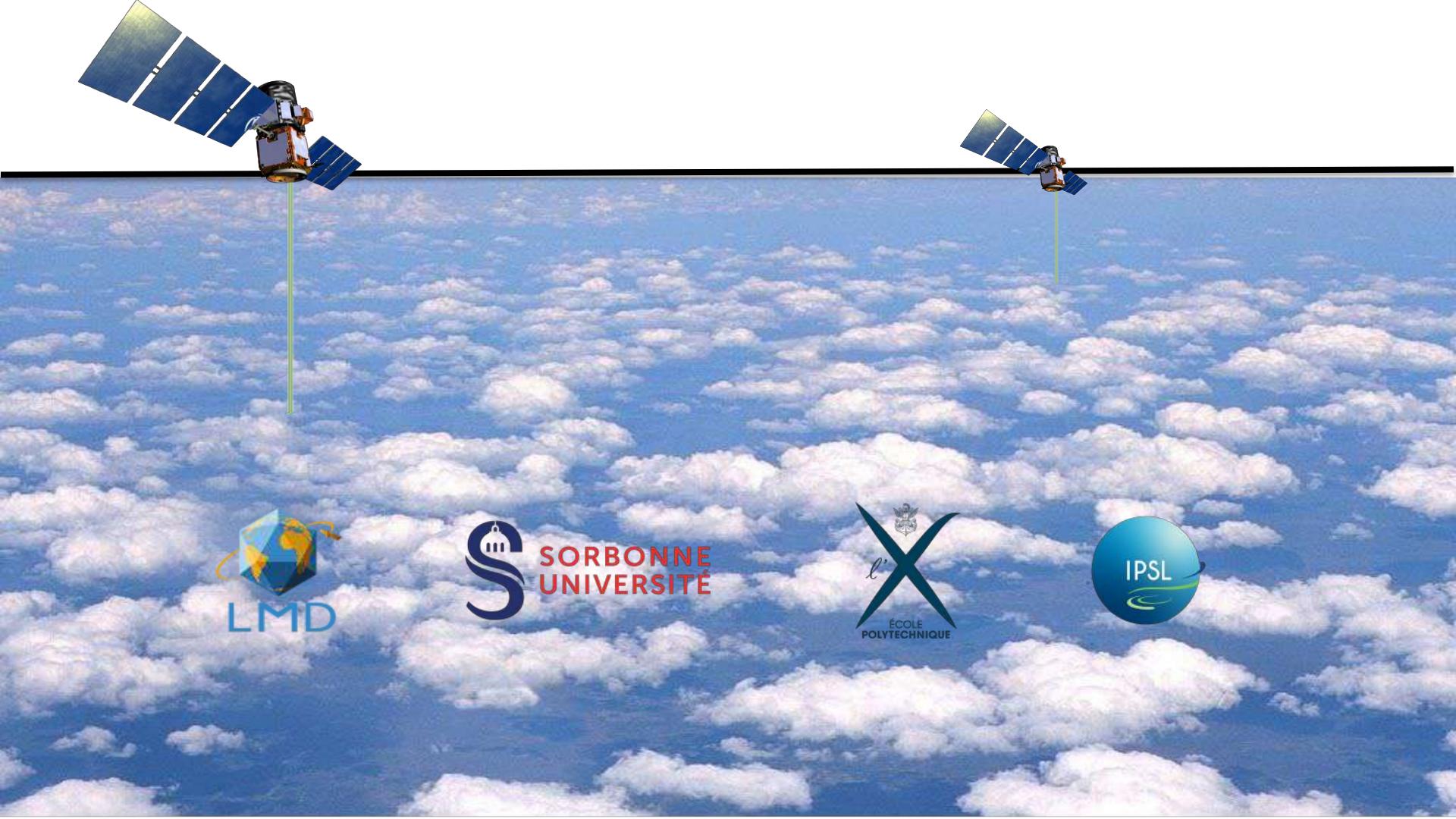
**Thank you!**

*April 21<sup>st</sup>, 2023: PhD defense*

Assia Arouf

*Supervisor: Hélène Chepfer*







*Surface longwave cloud radiative effect derived from  
space lidar observations: application in the Arctic  
**Annex Context***

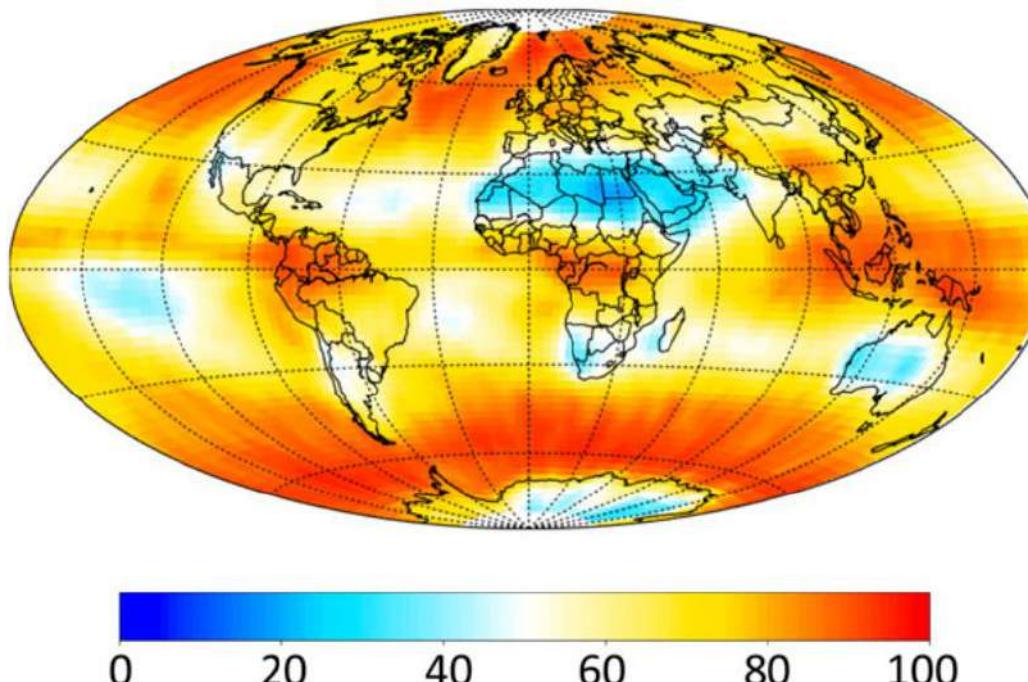
April 21<sup>st</sup>, 2023: PhD defense

Assia Arouf

Supervisor: Hélène Chepfer

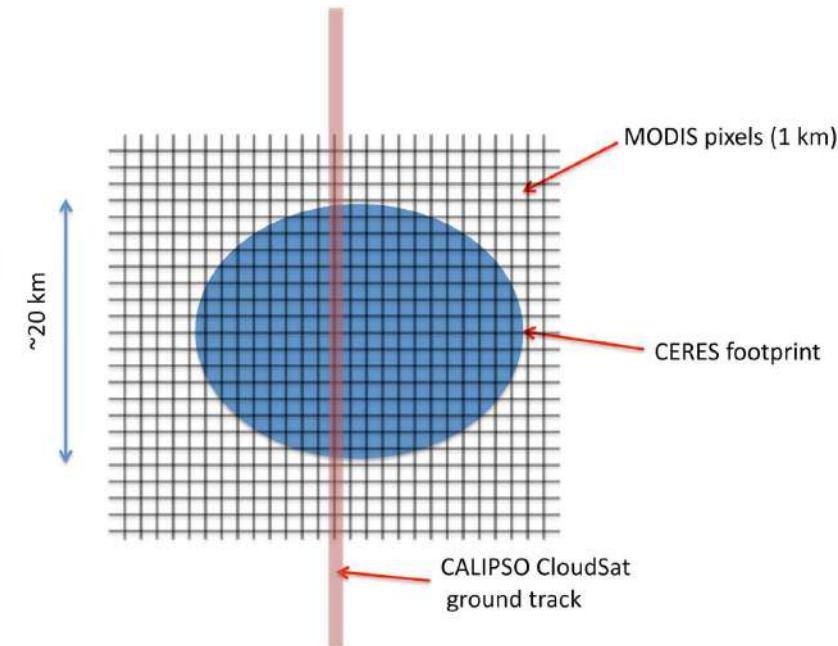


## Cloud fraction



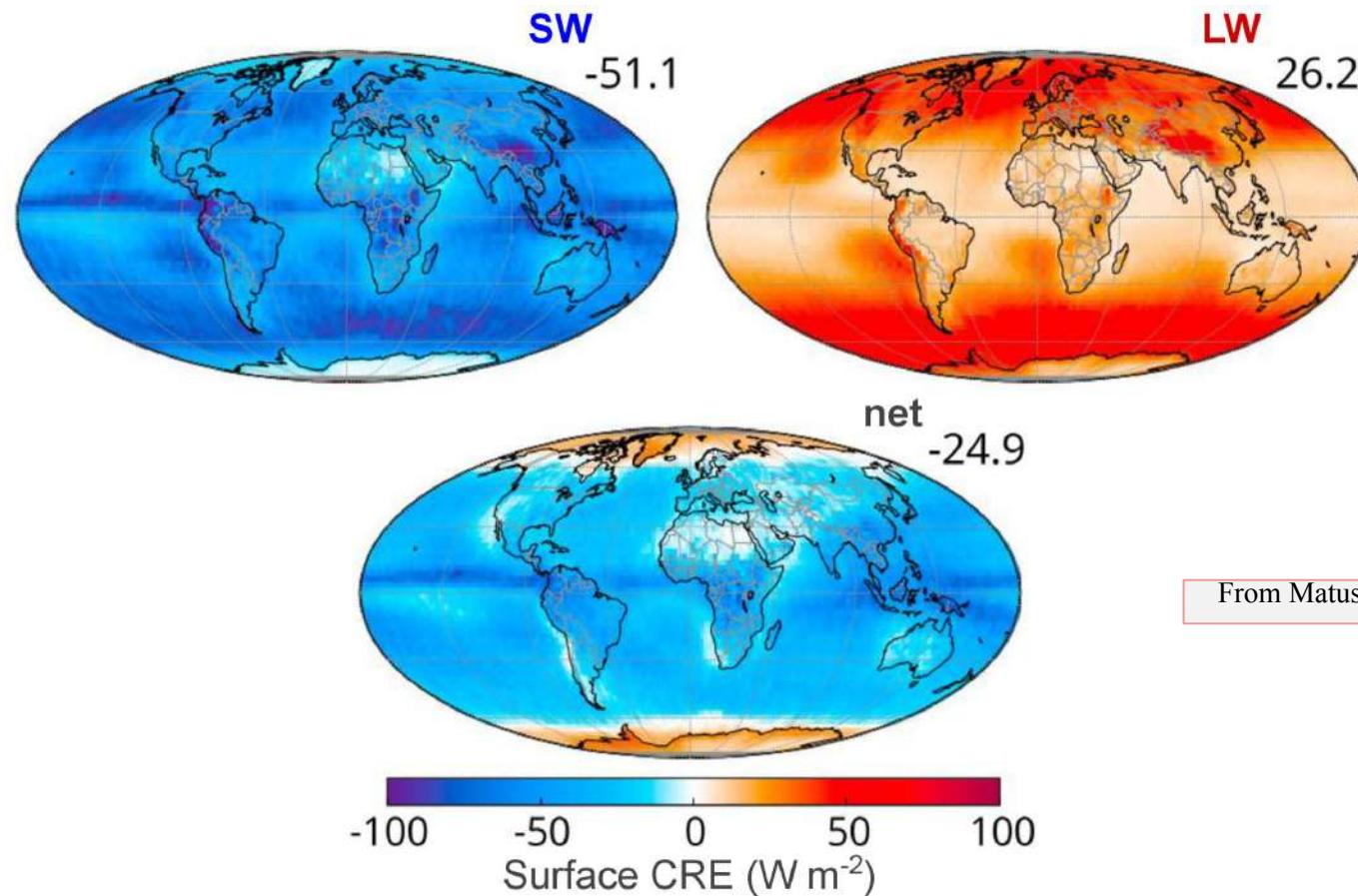
From L'Ecuyer et al. (2019)

## CERES CCCM footprints



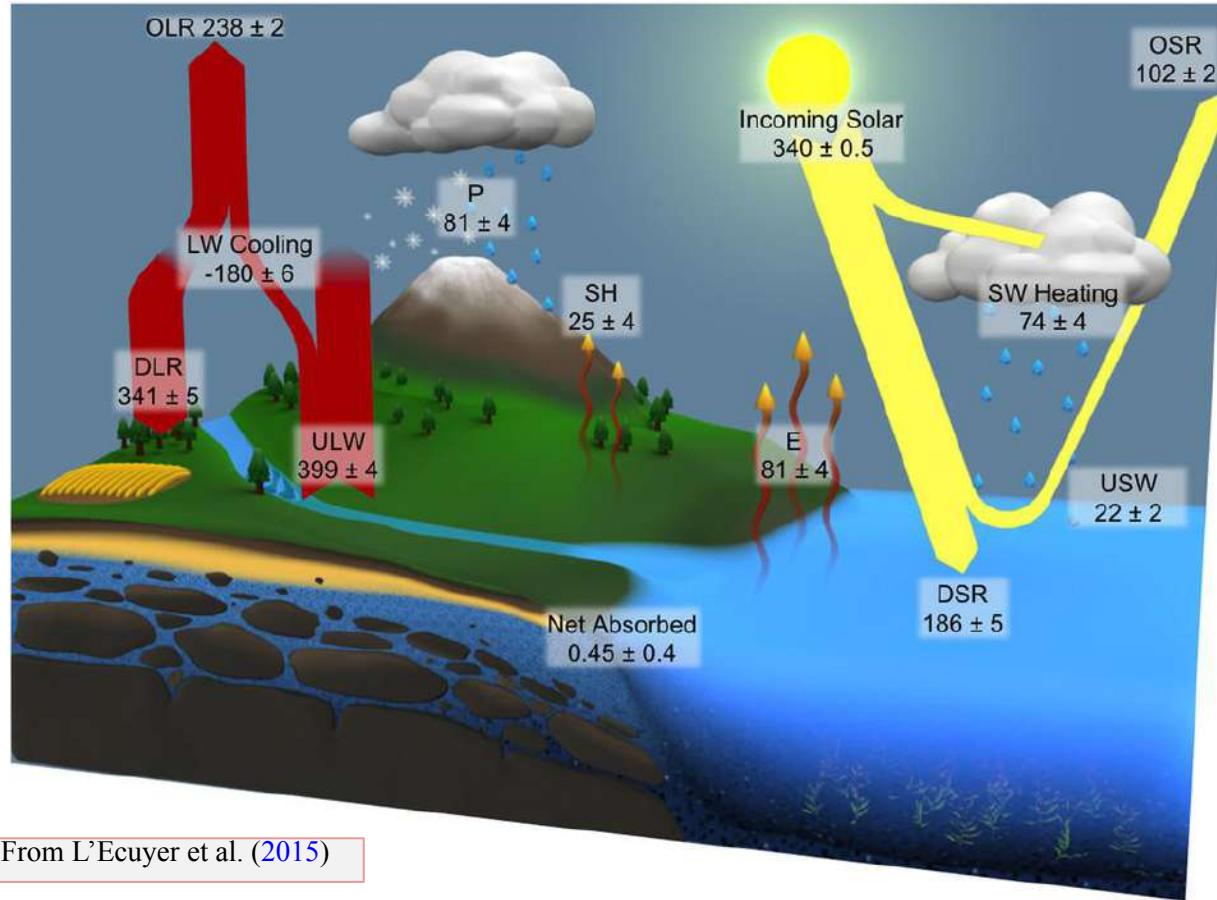
From Kato et al. (2011)

## Surface SW, LW, Net CREs

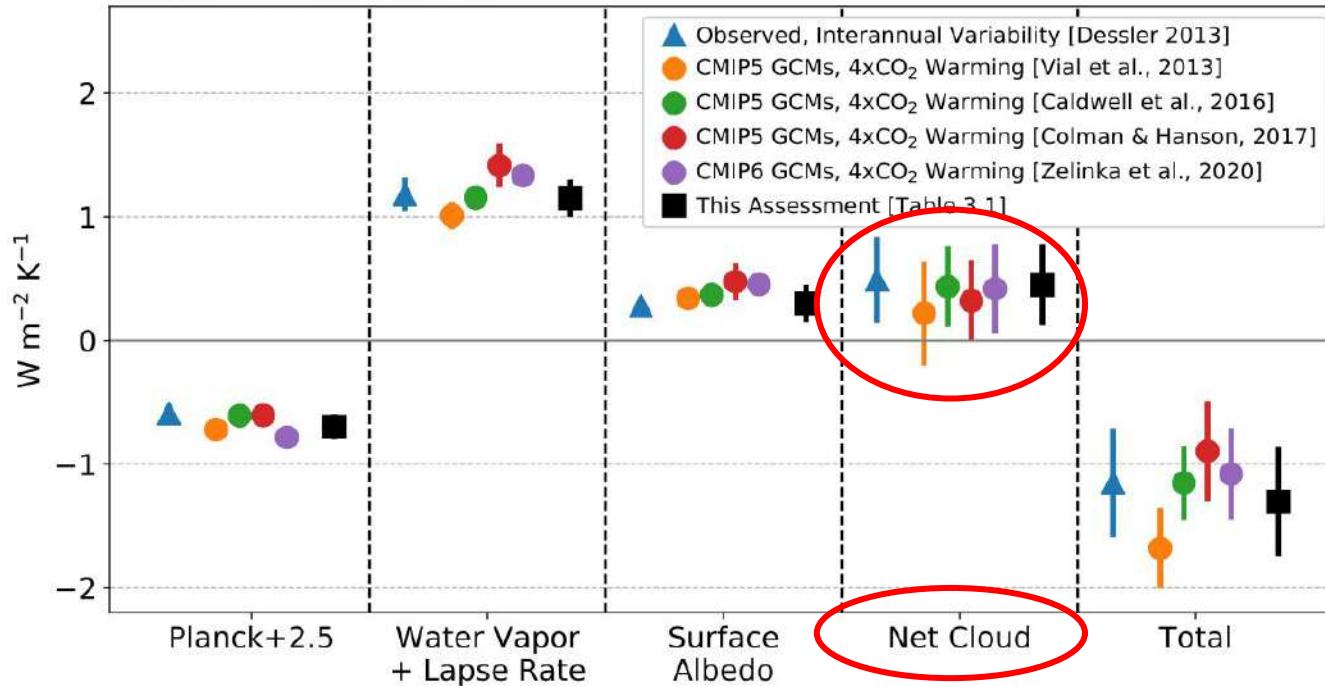


From Matus and L'Ecuyer (2017)

## Earth energy budget (2000–2009)



## Climate Feedbacks



From Sherwood et al. (2020)

Large uncertainties in cloud feedbacks

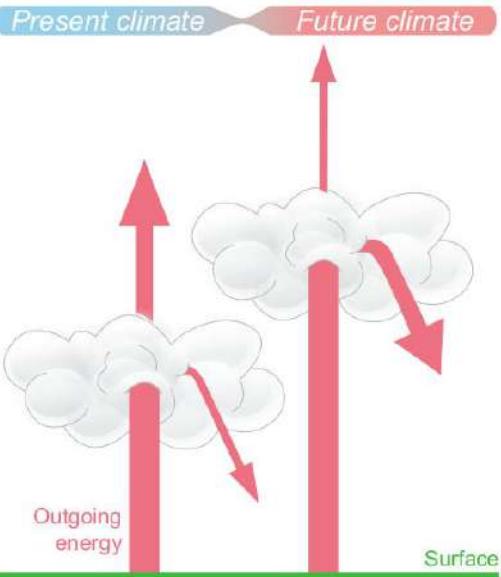
## FAQ 7.2: What is the role of clouds in a warming climate?

Clouds affect and are affected by climate change. Overall, scientists expect clouds to **amplify future warming**.

### Altitude (Warming)

#### Higher clouds

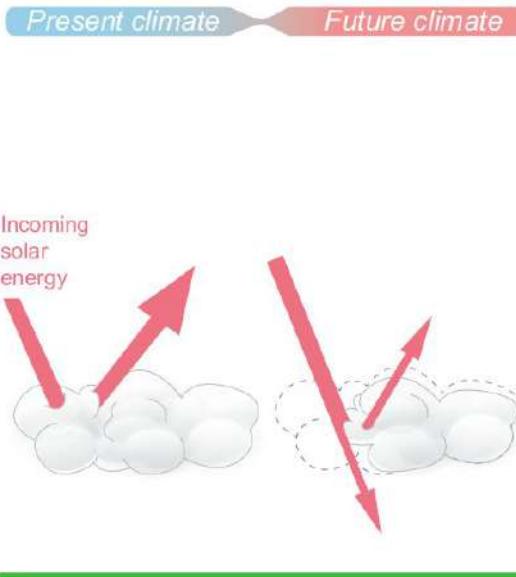
More outgoing energy trapped by clouds



### Amount (Warming)

#### Fewer (low level) clouds

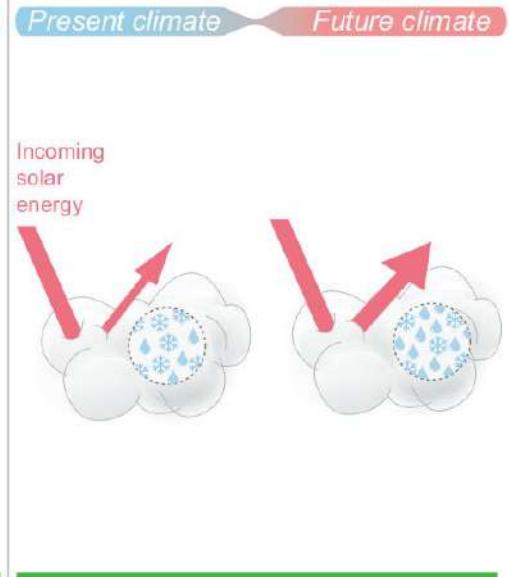
Less incoming energy reflected back to space



### Composition (Cooling)

#### More water droplets

More incoming energy reflected back to space

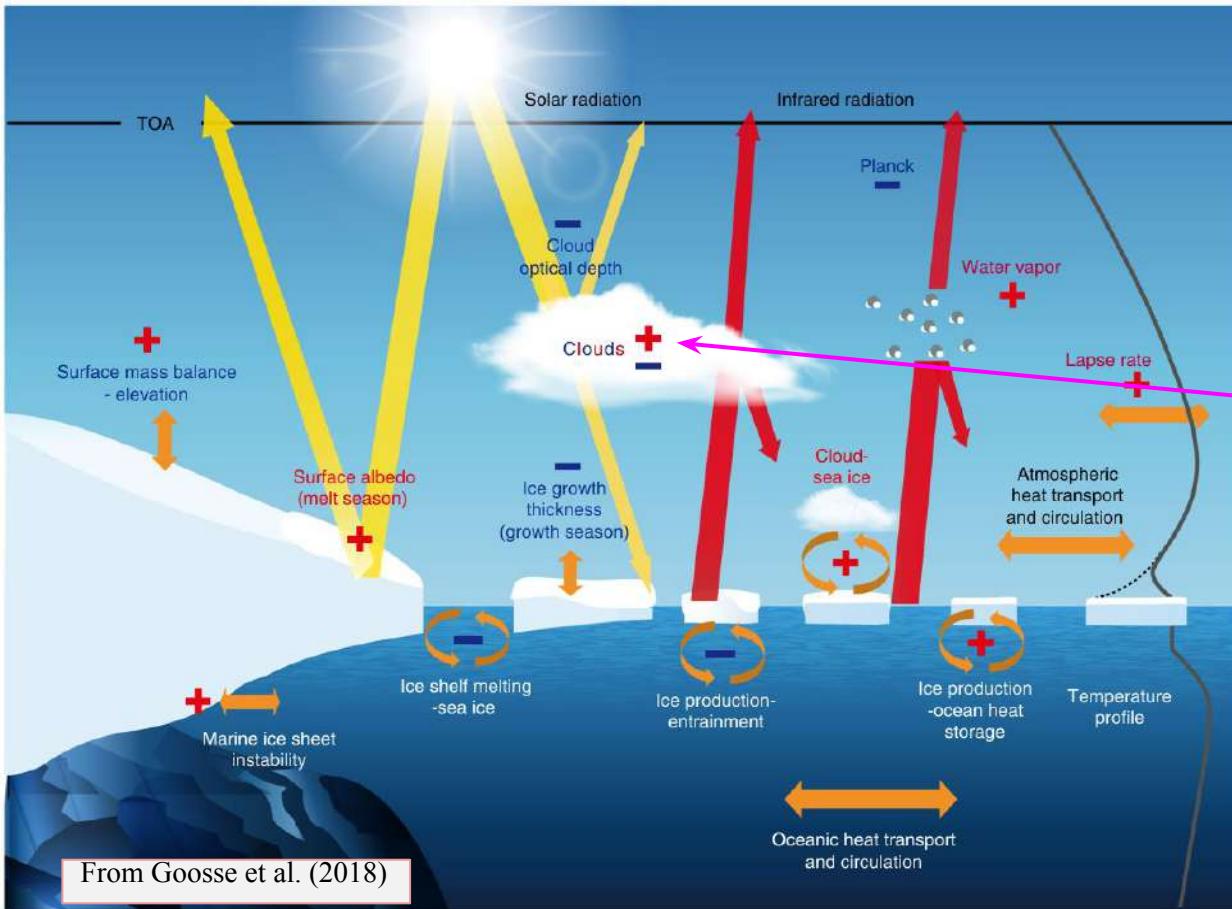


From IPCC (2021)

Do observations detect these changes in cloud properties?

=> Need observations from active remote sensing instruments **stable in time and well calibrated**

## Feedbacks



Both positive and negative feedback



*Surface longwave cloud radiative effect derived from  
space lidar observations: application in the Arctic*  
**Annex Tools**

April 21<sup>st</sup>, 2023: PhD defense

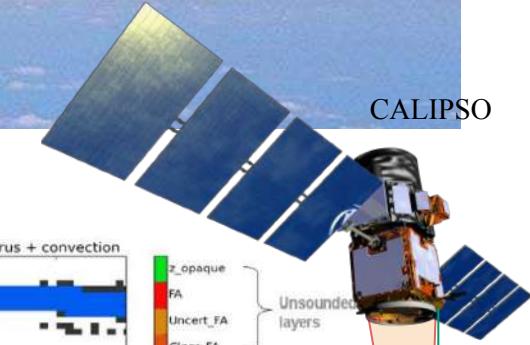
Assia Arouf

Supervisor: Hélène Chepfer



# Lidar: télédétection active = émet sa propre source de rayonnement

CALIPSO



## CALIPSO

Lancé 28/4/2006

Altitude : 700 km // dans la constellation A-Train

Inclinaison : 98.2°

Un tour de la terre : 99 min

## CALIOP :

Rétrodiffusion élastique (sans changer la longueur d'onde)

Longueur d'onde 532 et 1064

**Seule la diffusion élastique par les molécules et particules affecte le signal.**

Téléscope : 1m, empreinte sol 90 m

Angle de tire : 3° au nadir (0.3° avant nov 2007)

Echantillonnage horizontal : 333 m

## GOCCP

conçu pour évaluer la représentation des nuages dans les modèles de climat

Résolution verticale : 480 m

Detection nuage : SR = ATB<sub>480</sub>\ATB<sub>480</sub>mol

Signal complètement atténué : tau<sub>vis</sub> = 3-5

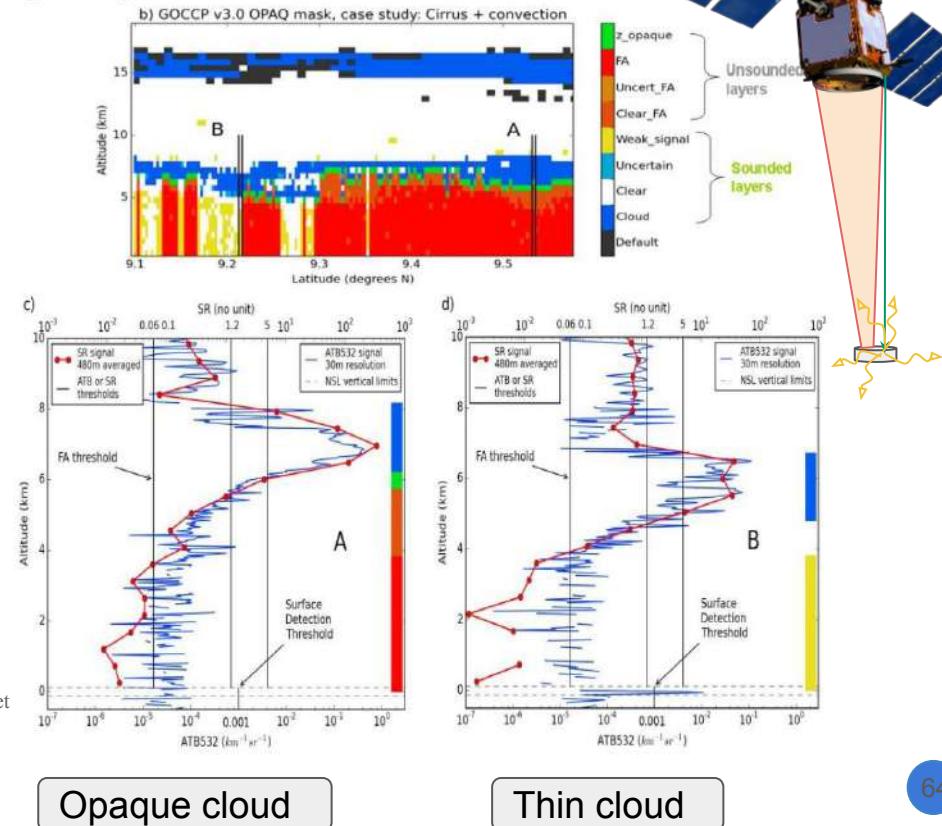
Tau<sub>vis</sub> = 2 \* tau<sub>IR</sub>

## Limites

Ne voit pas en dessous de Z<sub>FA</sub>

uncertainties in the atmospheric state variables from the ERA-I

(Guzman et al., 2017)



Opaque cloud

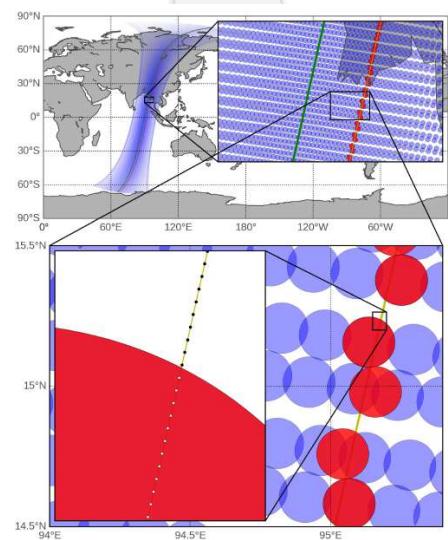
Thin cloud

# Radiomètre: télédétection passive = mesure le rayonnement naturel



Aqua

CERES-EBAF  
(Kato et al., 2018)



AQUA

Lancé : 4 mai 2002

Dans la constellation **A-Train**

**CERES** :

Radiomètre spatial estime le bilan radiatif au TOA

**3 canaux en bande large.**

- Rayonnement total entre 0,3 µm et 200 µm
- rayonnement visible et P-IR entre 0,3 µm et 5 µm
- 8 µm et 12 µm (transparence de l'atmosphère)

empreinte sol 20 km

Mesure une luminance (W/m<sup>2</sup>/sr) puis convertis en Fluw (W/m<sup>2</sup>)

Balayage horizontal le long de sa trace

**CERES EBAF**

Utilise MODIS pour détecter les nuages (résolution plus fine)

Geostationary imager (1h, 60°S - 60°N) are also used to account for cloud-radiation changes between CERES observation times.

**CERES CCCM** (CALIPSO, CloudSat, CERES, and MODIS Merged Product ; KATO et al., 2011)

SSF se trouve sur la trajectoire de CALIPSO (60 profils calipso)

**Limites**

- Positive bias of cloud fraction over high elevation regions. In particular, low-mid and high-mid cloud fractions are biased high over the Summit site except for summer time (Kato et al. rapport 2020)
- trend analyses with surface fluxes over polar regions from Ed4.0 EBAF-Surface should be avoided.

## Radar : télédétection active = émet sa propre source de rayonnement



CloudSat

2BFLX

(L'Ecuyer et al., 2019)

### CloudSat

Lancé 28/4/2006

Fin : avril 2011 à cause d'une anomalie de batterie de CloudSat

Dans la constellation A-Train

#### CPR :

un radar à visée nadir de 94 GHz

Résolution verticale 500 m

Empreinte sol 5 km

### 2BFLX

CALIOP provide properties for clouds and aerosol undetected by CloudSat

MODIS based 2B-TAU to obtain the optical depth and mean effective radius of single layer clouds

### Limites

- Only 5 years during nighttime

- "CloudSat CPR's long powerful pulse also generates a surface clutter echo which tends to partially mask signals from cloud and precipitation forming below circa 1 km (Marchand et al., 2008)"

- the largest sources of LW flux uncertainty are prescribed surface temperature and lower-tropospheric humidity (HENDERSON et al 2013) (atmospheric state variables from the ECMWF-AUX)

# **GAME : radiative transfer code**

(Dubuisson et al., 2004)

## **GAME**

Simule l'interaction matière rayonnement dans l'atmosphère.

Prend en compte la diffusion multiple

C'est un code à bande étroite (bande de 20 cm<sup>-1</sup>)

Approximation plans-parallèles infinis

Résolution verticale de 1 km (entre 0 et 25 km)

On utilise le rayonnement simulé entre 5µm et 200µm (même que CERES)

Emissivité de surface 0.98 Ocean // 0.5 Land

CO<sub>2</sub>, CH<sub>4</sub>, CO fixe dans game (gaze stable)

## **On fait varier :**

La température de surface

Les profils d'humidité, de température, de pression et d'ozone de 0 à 120 km.

Profils T, vapeur d'eau sont extraits des réanalyse de 0km à 45 km.

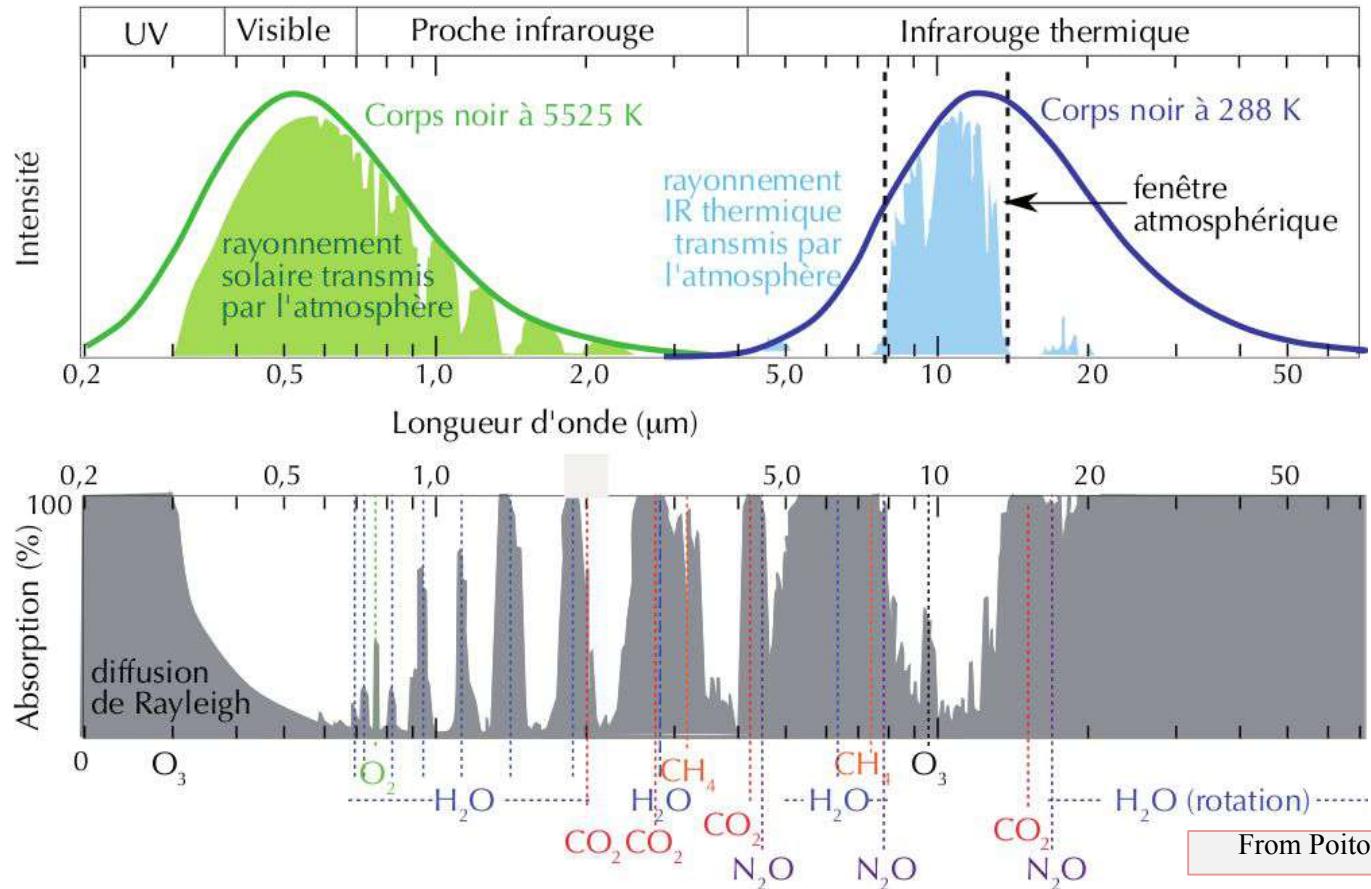
Ozone, 0km à 120 km, T, Vap de 45km à 120 km proviennent de AFGL.

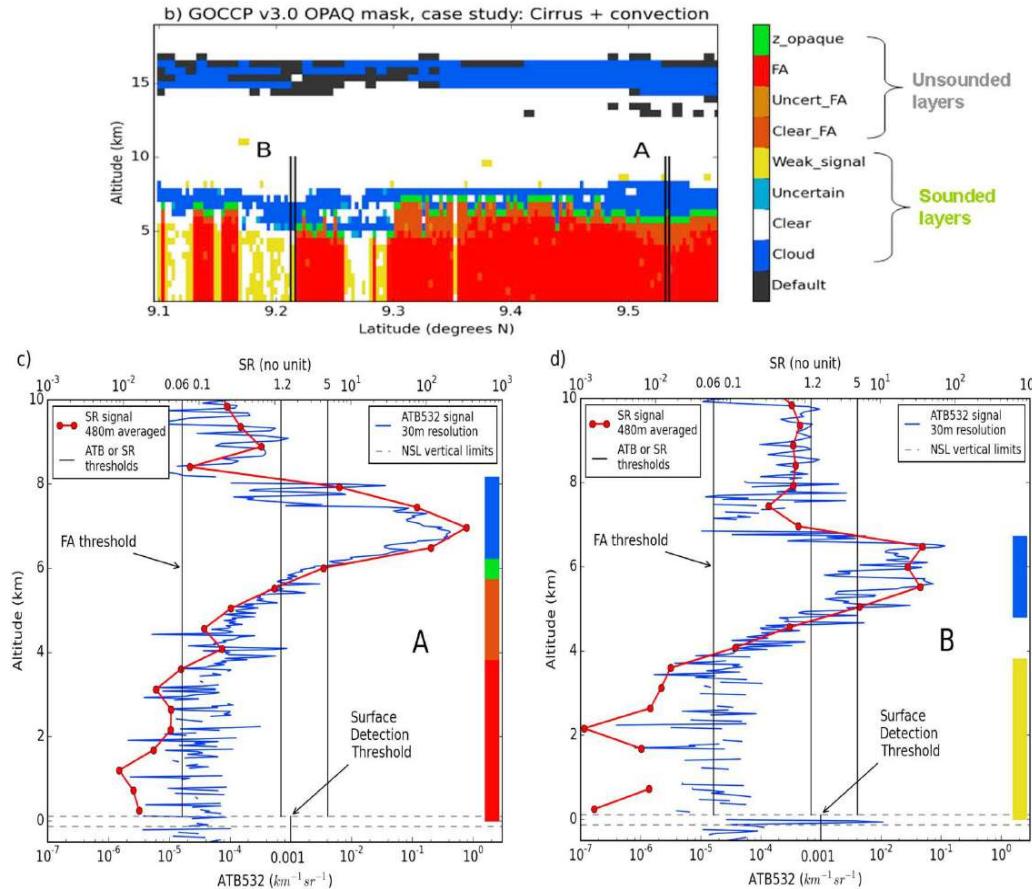
Profil de nuage en spécifiant le type de particule nuageuse, distribution de taille et l'épaisseur optique dans chaque couches de nuages.

## **Réanalyses :**

Données issus d'un modèle de prévision météorologique et d'observations

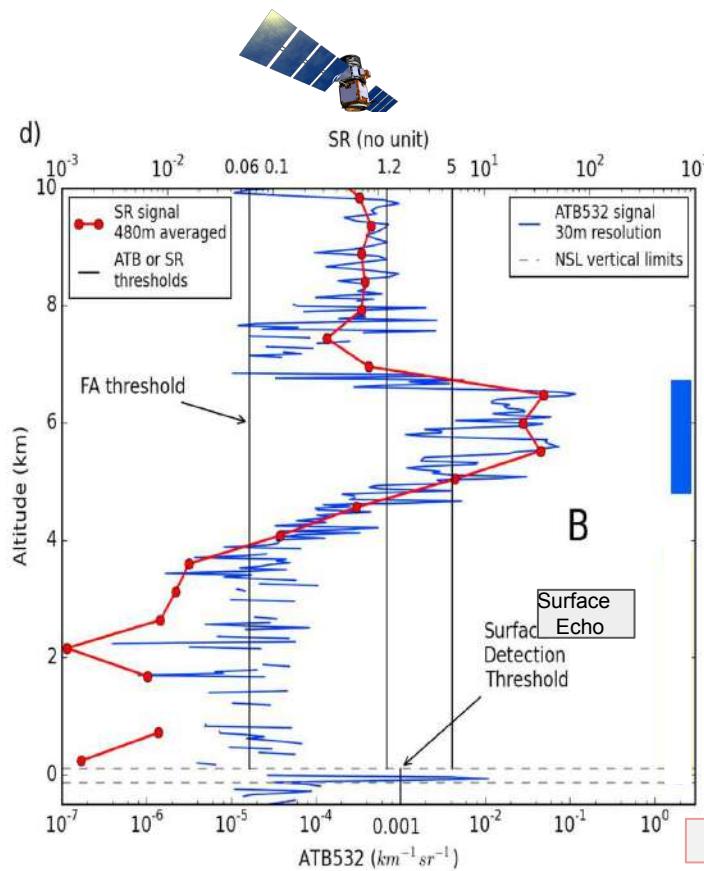
Permet d'avoir un portrait global du système Terre depuis 1979.





From Guzman et al. (2017)

# Tools: CALIPSO LW Emissivity retrieval



## CALIPSO

- 90 m/330 m along orbit track
- 2006–2022
- Surface independent
- Thick/Thin

$$\text{SR}(z) = \frac{\text{ATB}_{480m}(z)}{\text{ATB}_{480m,\text{mol}}(z)}$$

$$\tau_{app}^2 = \frac{\tilde{SR}_{below}}{\tilde{SR}_{above}}$$

$$\tau_\lambda = e^{(-\delta_\lambda)}$$

$$\delta_{VIS} = \delta_{app}/\eta,$$

$$\delta_{VIS} = 2 * \delta_{IR}$$

$$\varepsilon_{Thin} = 1 - e^{-\delta_{Thin}^{LW}}$$

(Vaillant de Guélis et al., 2017a)

Garnier et al., 2015)

From Guzman et al. (2017)

Annex  
emissivity

## *Restitution emissivity*

- Interaction radiation object :

$$A + R + \tau = 1$$

in IR :  $R=0 \Rightarrow A+\tau=1 \Rightarrow A=1-\tau$

optical depth  $\delta_{VIS} = 3$  to 5 for opaque clouds (depending on cloud microphysical properties : liquid particle are smaller than ice particles and therefore reflect more SW rad back to space and attenuates at optical depth smaller (3))

$$\varepsilon = A \text{ and } \tau = \exp(-\delta) \text{ and } \delta_{VIS} = 2 * \delta_{IR}$$

$$\Rightarrow \varepsilon_{IR} = 1 - \exp(-\delta_{IR}) \Rightarrow \varepsilon_{IR} = 1 - \exp(-1.5) = 0.80 //$$

$$\varepsilon_{IR} = 1 - \exp(-2.5) = 0.99$$



*Surface longwave cloud radiative effect derived from  
space lidar observations: application in the Arctic  
**Annex RT computations***

April 21<sup>st</sup>, 2023: PhD defense

Assia Arouf

Supervisor: Hélène Chepfer



## Surface LW cloud radiative effect equations

$$CRE_{SFC, LW} = (F^{\downarrow} - F^{\uparrow})_{All-Sky, LW} - (F^{\downarrow} - F^{\uparrow})_{Clear-Sky, LW}$$

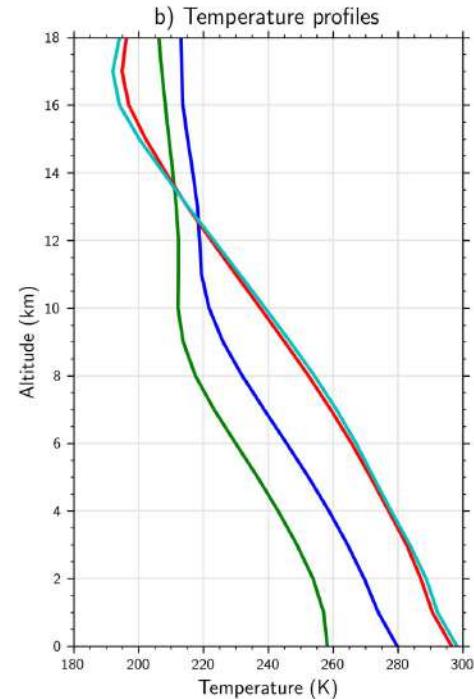
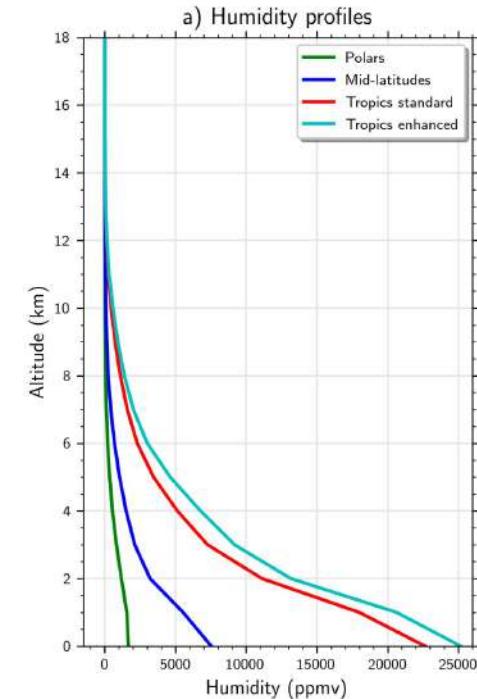
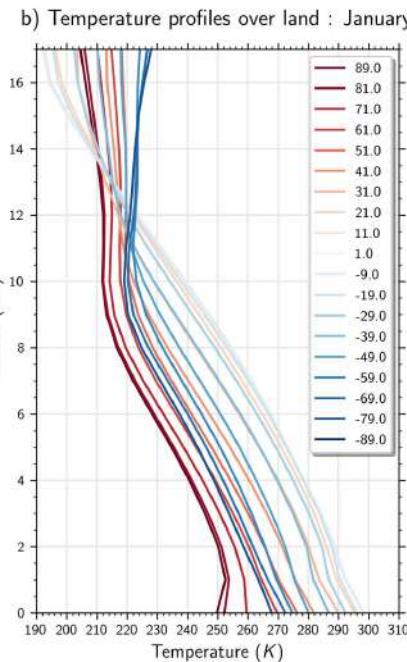
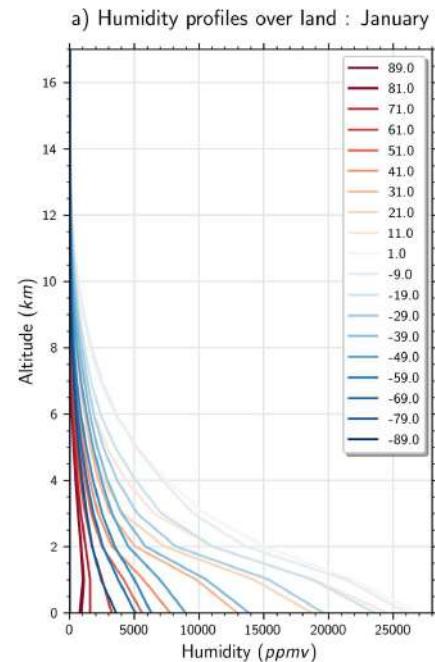
$$CRE_{SFC, LW} = (F^{\downarrow}_{All-Sky, LW} - F^{\downarrow}_{Clear-Sky, LW}) - (F^{\uparrow}_{All-Sky, LW} - F^{\uparrow}_{Clear-Sky, LW})$$

$$CRE_{SFC, LW} = (CRE^{\downarrow}_{SFC, LW}) - (CRE^{\uparrow}_{SFC, LW})$$

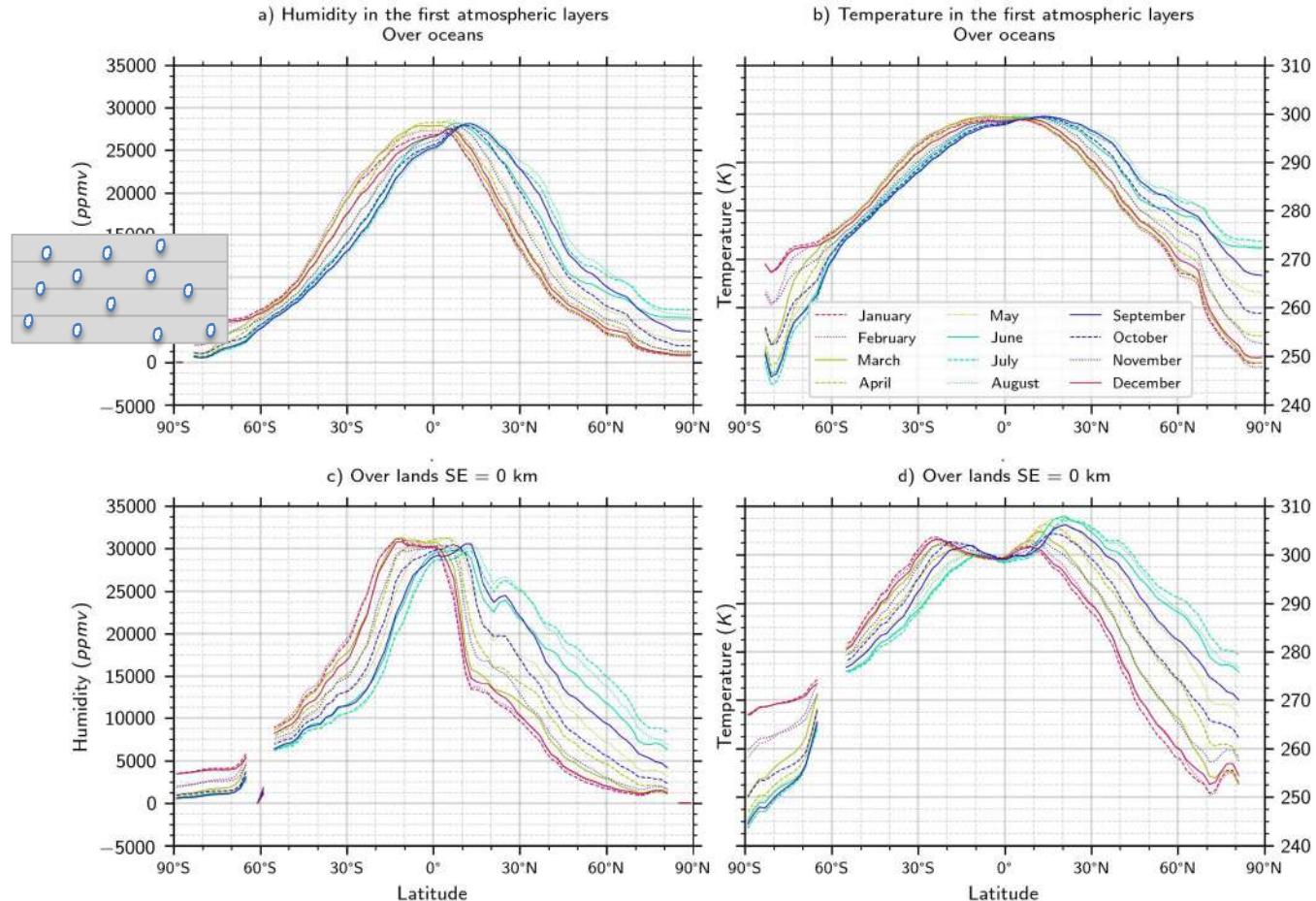
The driver

$\approx 1 \text{ W m}^{-2}$

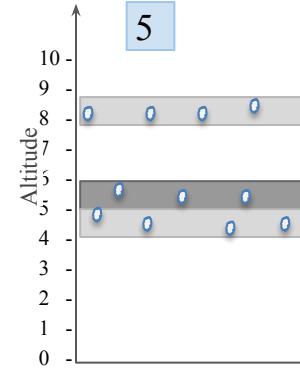
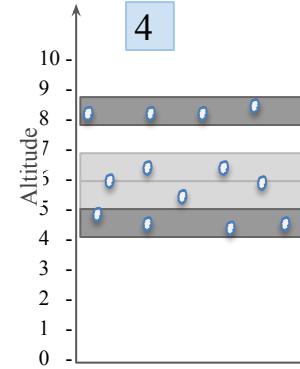
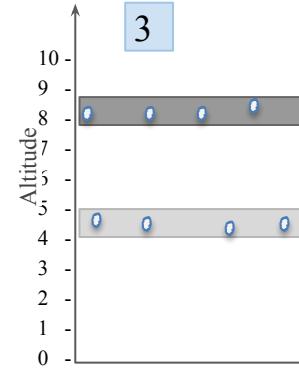
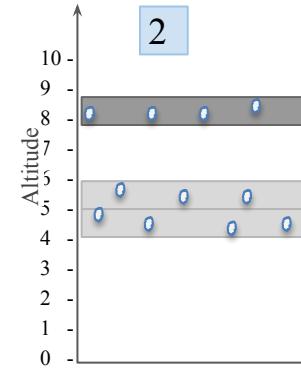
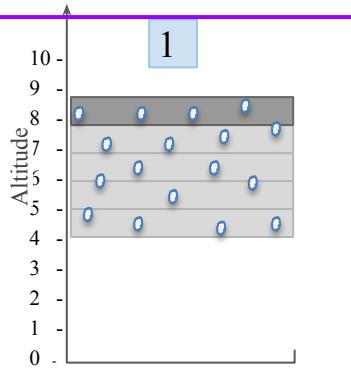
# Humidity and temperature profiles



# Comportement latitudinal et saisonnier de l'humidité et de températures à la surface



## Method: Sensitivity of surface LW fluxes to cloud profile



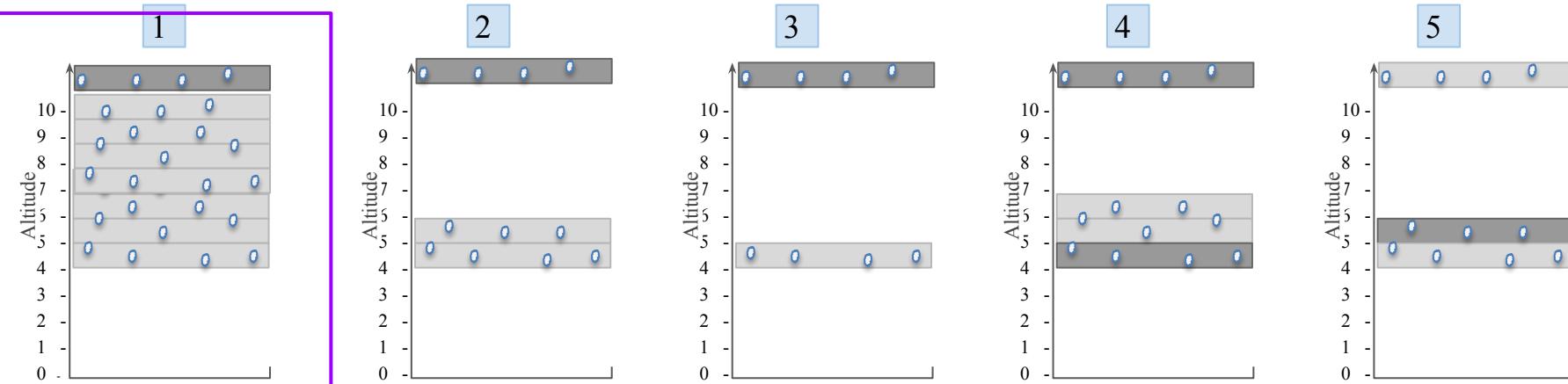
LW optical depth of the opaque cloud = 20 and the LW optical depth of thin cloud integrated over the column = to 1.6

$$F_{\text{All-Sky}, \text{LW}}^{\downarrow} = 316.1 \text{ W m}^{-2} = 319.9 \text{ W m}^{-2} = 321.9 \text{ W m}^{-2} = 327.7 \text{ W m}^{-2} = 323.4 \text{ W m}^{-2}$$

LW optical depth of the opaque cloud = 20 and the LW optical depth of each thin cloud layer = to 0.4

$$F_{\text{All-Sky}, \text{LW}}^{\downarrow} = 316.1 \text{ W m}^{-2} = 314.6 \text{ W m}^{-2} = 310.7 \text{ W m}^{-2} = 327.7 \text{ W m}^{-2} = 322.2 \text{ W m}^{-2}$$

## Method: Sensitivity of surface LW fluxes to cloud profile



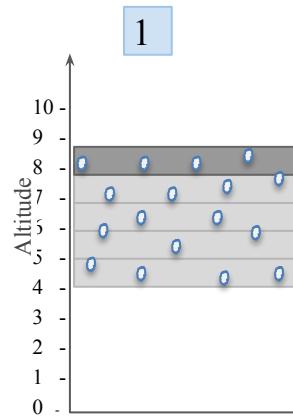
LW optical depth of the opaque cloud = 20 and the LW optical depth of thin cloud integrated over the column = to 1.6

$$F_{\downarrow \text{All-Sky, LW}} = 313.9 \text{ W m}^{-2} = 317.8 \text{ W m}^{-2} = 319.7 \text{ W m}^{-2} = 327.7 \text{ W m}^{-2} = 323.4 \text{ W m}^{-2}$$

LW optical depth of the opaque cloud = 20 and the LW optical depth of each thin cloud layer = to 0.4

$$F_{\downarrow \text{All-Sky, LW}} = 313.9 \text{ W m}^{-2} = 309.4 \text{ W m}^{-2} = 302.3 \text{ W m}^{-2} = 327.7 \text{ W m}^{-2} = 322.2 \text{ W m}^{-2}$$

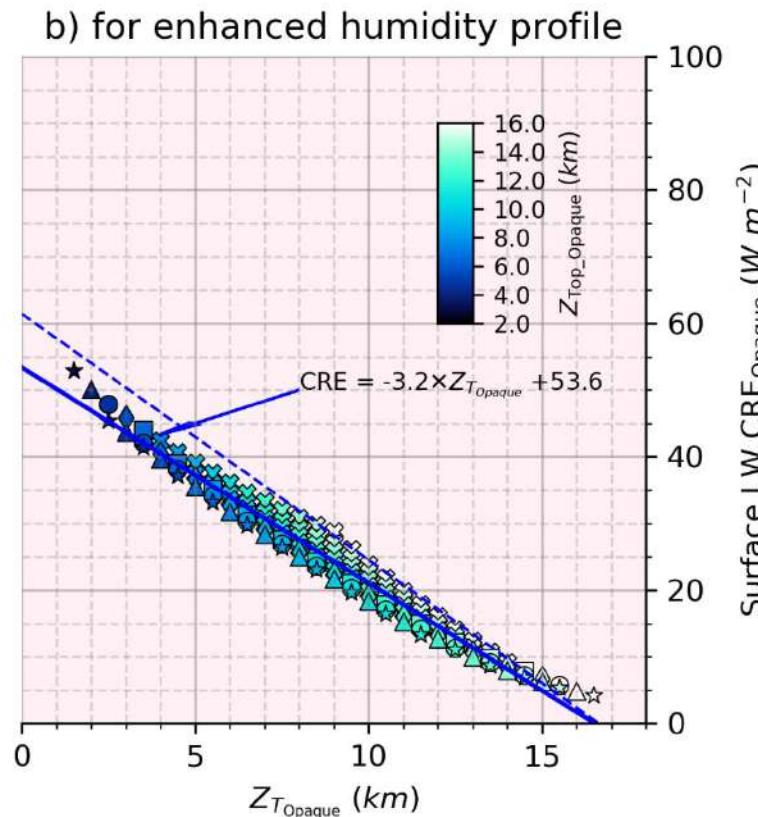
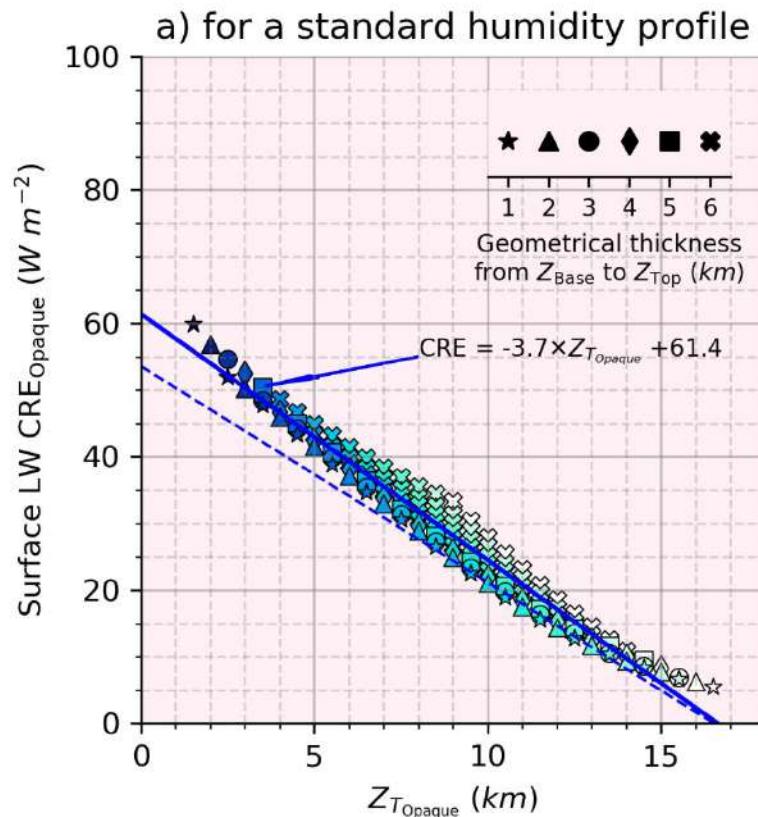
## Method: Sensitivity of surface LW fluxes to particle shape



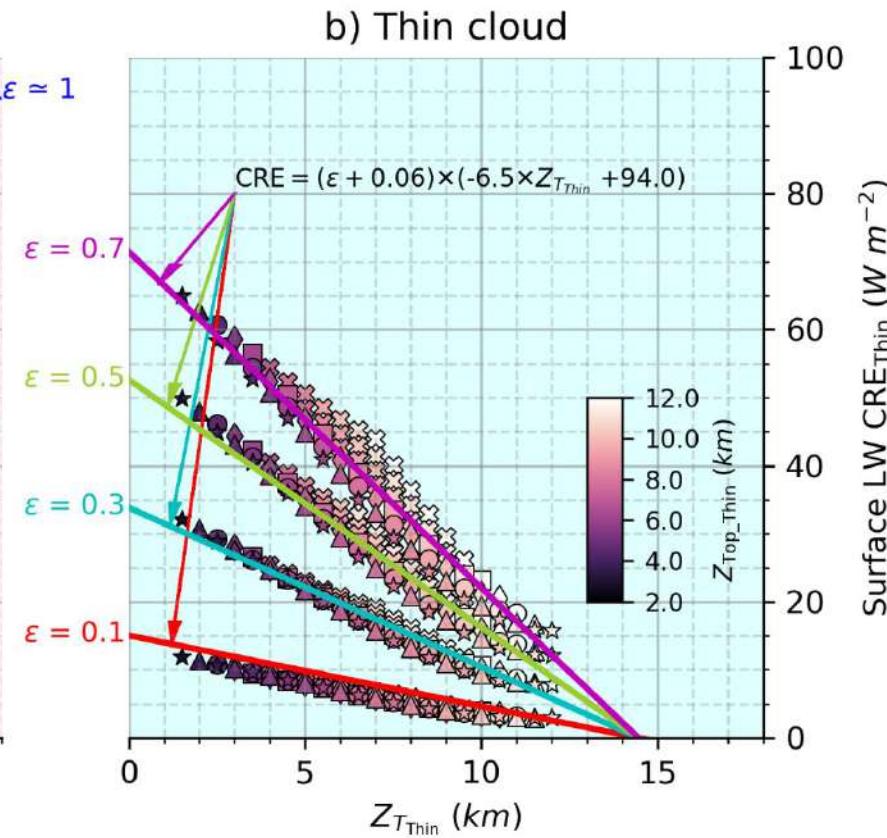
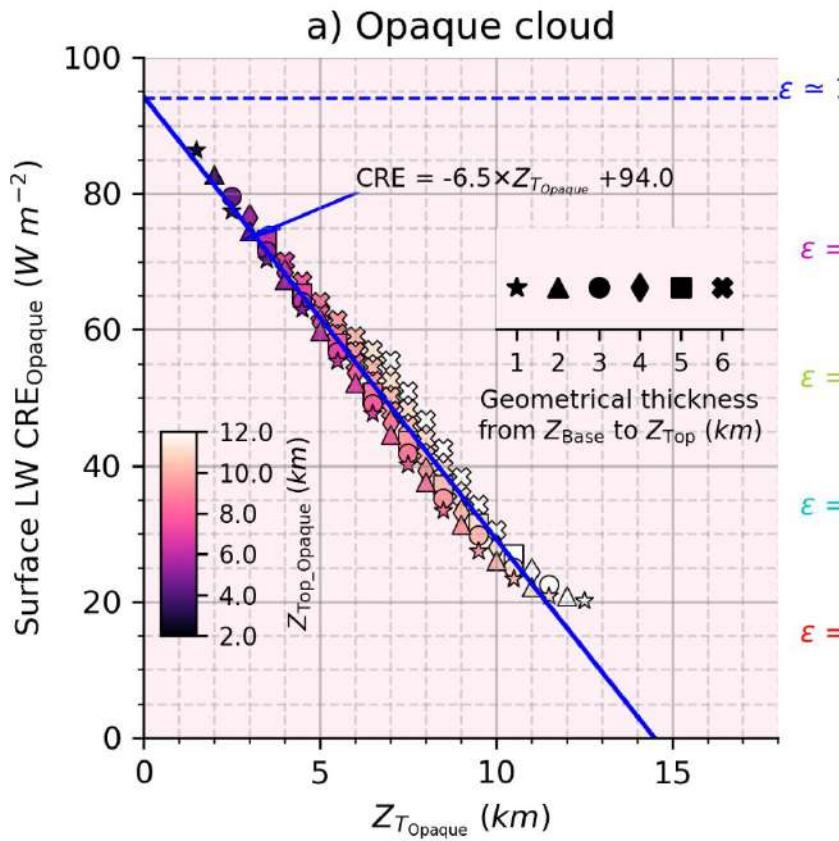
Particule type	$F_{\downarrow}$ All-Sky, LW
1	= 315.0 W m <sup>-2</sup>
2	= 315.2 W m <sup>-2</sup>
3: Spherical	= 316.1 W m <sup>-2</sup>
4	= 315.4 W m <sup>-2</sup>
5	= 315.1 W m <sup>-2</sup>
6	= 315.9 W m <sup>-2</sup>
7	= 316.4 W m <sup>-2</sup>

## Sensitivity to humidity profiles

Radiative transfer simulations over ocean : Tropics



## Radiative transfer simulations over land



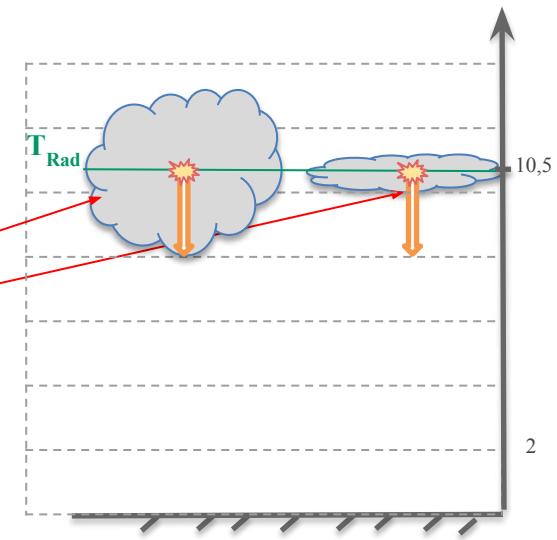
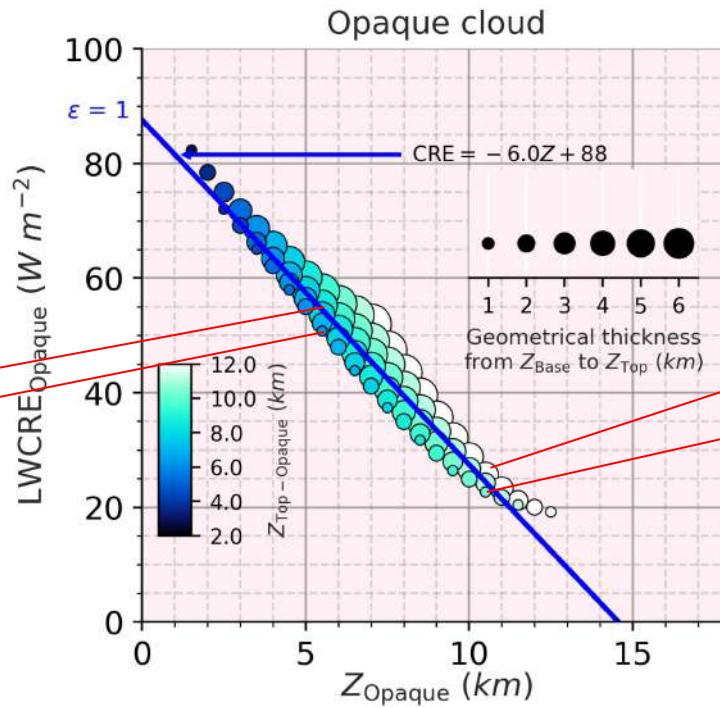
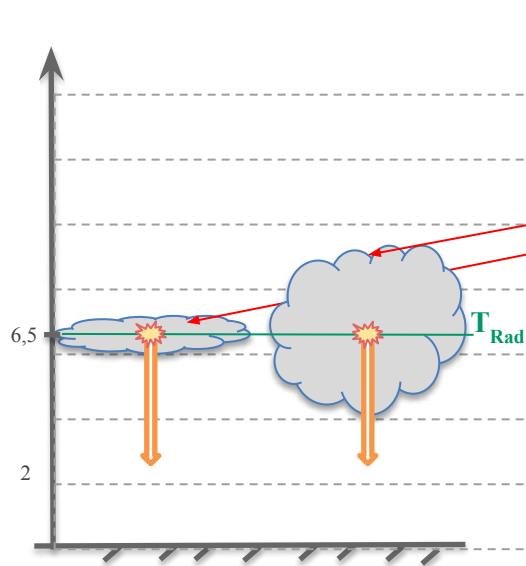
# Radiative transfer simulations: Trad

Radiative transfer simulations over oceans : Month01\_Lat+39

(Dubuisson et al., 2004)

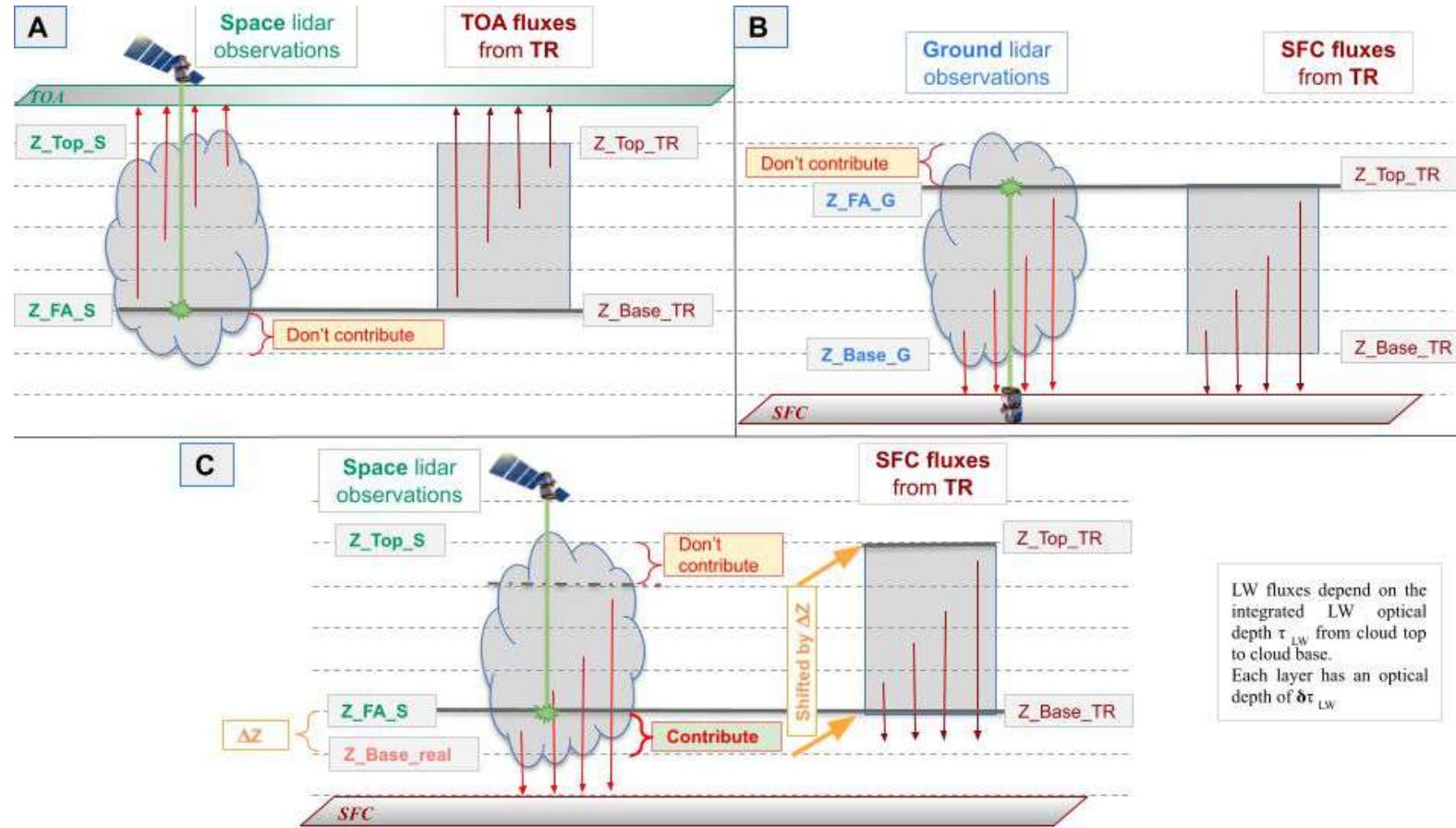
$$\text{Flux}_{\text{LW}} = \varepsilon \sigma T_{\text{Rad}}^4$$

(Vérifié au TOA par  
Vaillant de Guélis et al., 2017a)

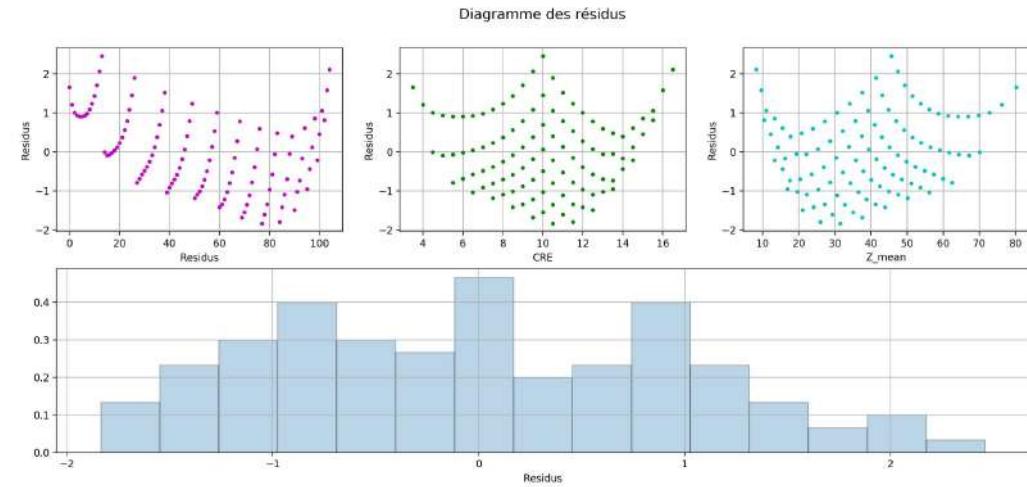
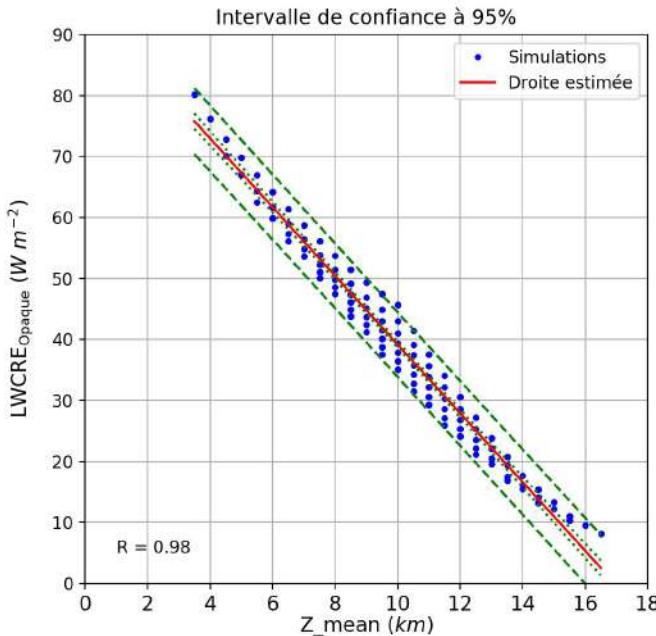


$$\text{CRE}_{\text{LW}} = [\text{Flux}_{\text{All Sky}} - \text{Flux}_{\text{Clear-Sky}}]_{\text{LW}}$$

## Issue: the space lidar doesn't observe the opaque cloud base altitude



## Radiative transfer simulations: Linear regression



$$CRE_{\textit{Opaque}} = -5.635 \times Z_{\textit{Opaque}} + 95.517$$

$$b (=95.517) \in [93.696 ; 97.338]$$

$$a (-5.635) \in [-5.810 ; -5.460].$$

- errors in global cloud-forcing estimates are extremely difficult to assess because of the need to account for uncertainties in clear-sky radiative fluxes, to represent errors in the specification of cloud properties, and to distinguish random errors from systematic errors (HENDERSON et al 2013)

month01\_lat+39\_Ocean\_thin4\_6\_thick8 Nom de ce fichier (pour GAME\_paral)  
"/homedata/aarouf/GAME\_PhD/atm\_prof\_Ocean/1990\_2017\_month01\_lat+39\_Ocean\_prof"  
Profil atmosphérique (avec chemin et "")  
50.0 2000.0 Limites spectrales w1, w2 (en cm-1)  
0.02 282.2 .TRUE. Albedo de Surface, Température de surface, Diffusion multiple  
3 Nombre de nuages  
4 3 13 0.400 numero couche nuage, type nuage, index taille effective, cot @ 12mc  
5 3 13 0.400 numero couche nuage, type nuage, index taille effective, cot @ 12mc  
8 3 13 1000.000 numero couche nuage, type nuage, index taille effective, cot @ 12mc  
8 NSTR  
0 UMU0



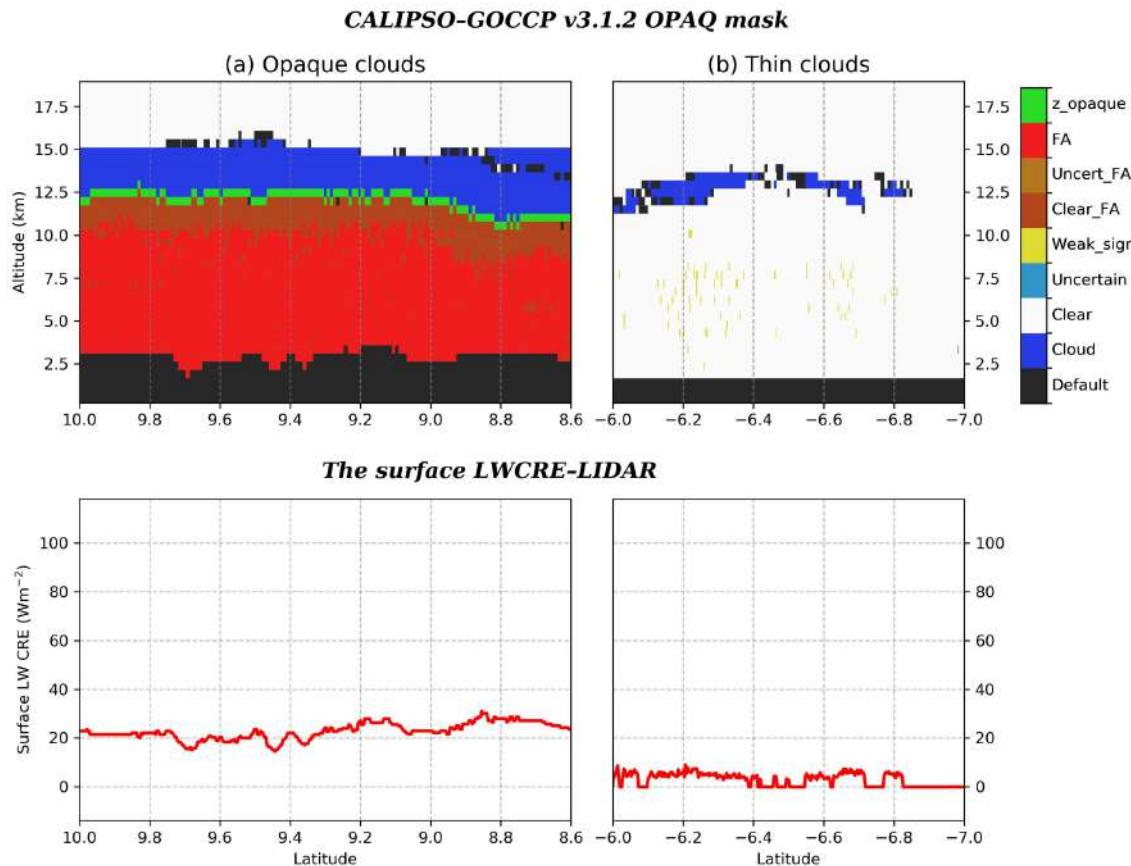
*Surface longwave cloud radiative effect derived from  
space lidar observations: application in the Arctic*  
**Annex LWCRe-LIDAR**

April 21<sup>st</sup>, 2023: PhD defense

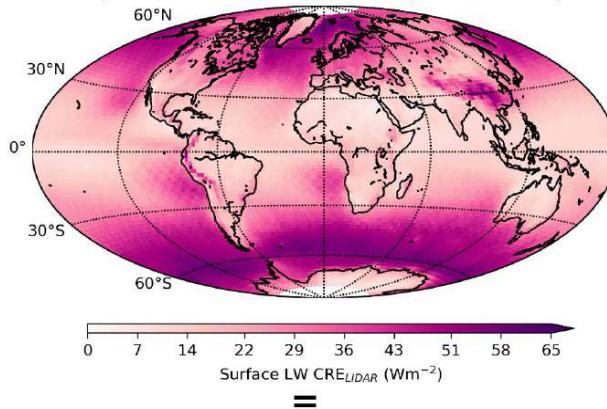
Assia Arouf

Supervisor: Hélène Chepfer

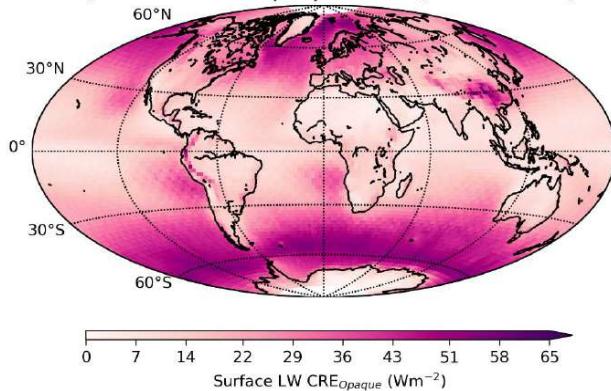




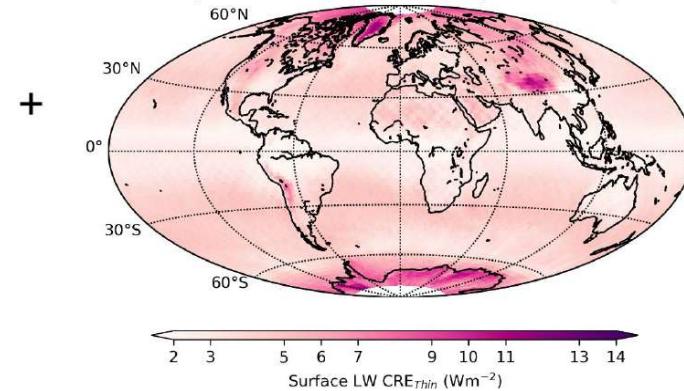
a) Surface LWCRE-LIDAR ( $27.0 \text{ Wm}^{-2}$ )



b) Surface LW opaque CRE ( $23.0 \text{ Wm}^{-2}$ )

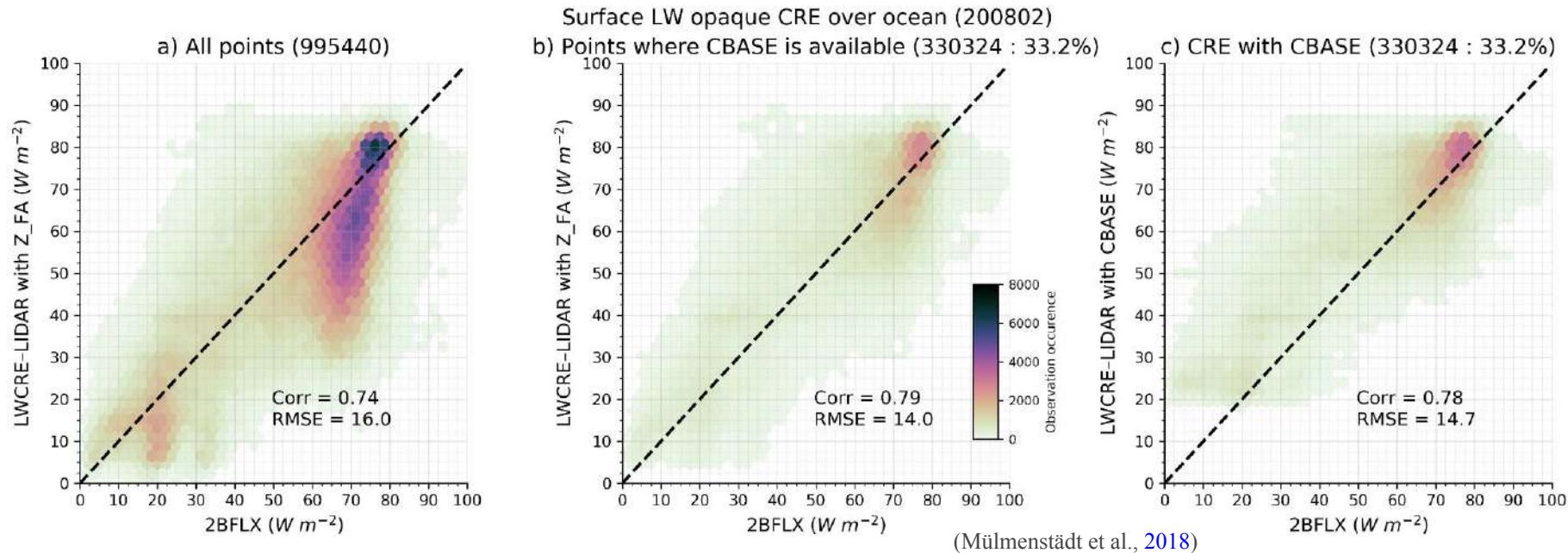


c) Surface LW thin CRE ( $4.0 \text{ Wm}^{-2}$ )



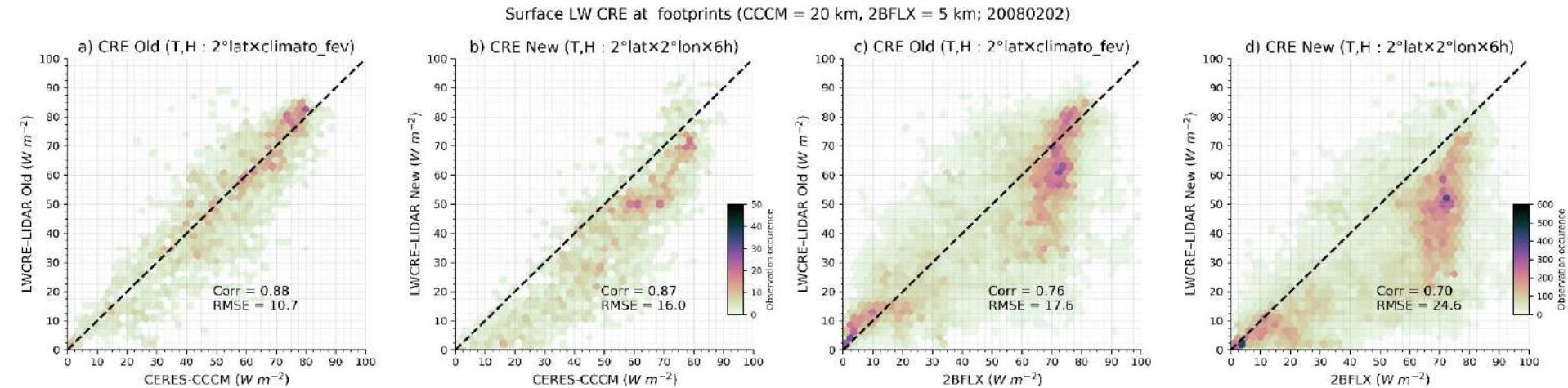
# Validation Méthode

## Using a better representation of cloud base from spaceborne lidar



- Using a more advanced cloud base in the LWCRE–LIDAR algorithm will increase the surface LW CRE value retrieved in some opaque cloud profiles slightly, but it does not fundamentally change the results.

# Using sub-daily humidity and temperature profiles



- Sub-daily profiles in LWCRE–LIDAR retrieval makes the comparison to other satellite products worse at footprint scale.
- This suggests that the differences between the three daily products are likely due to other causes than LWCRE–LIDAR using monthly mean temperature/humidity profiles.

# Comparaison avec d'autres produits spatiaux : données instantanées colocalisées

Contexte

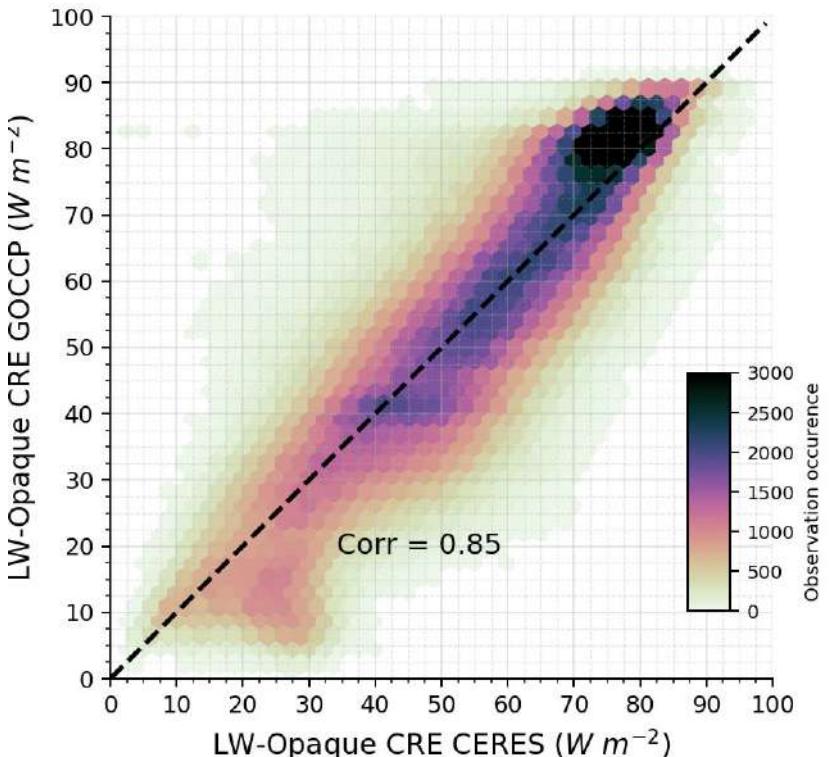
Transfert radiatif

Observations+Relationships

Conclusion

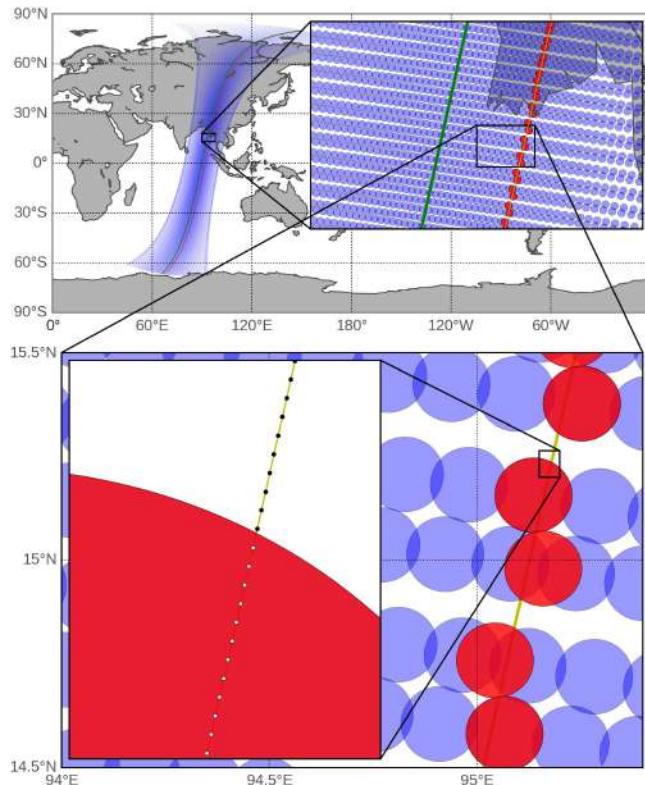
CRE lidar

LW opaque CRE at the surface over oceans (2008)



CRE radiomètre

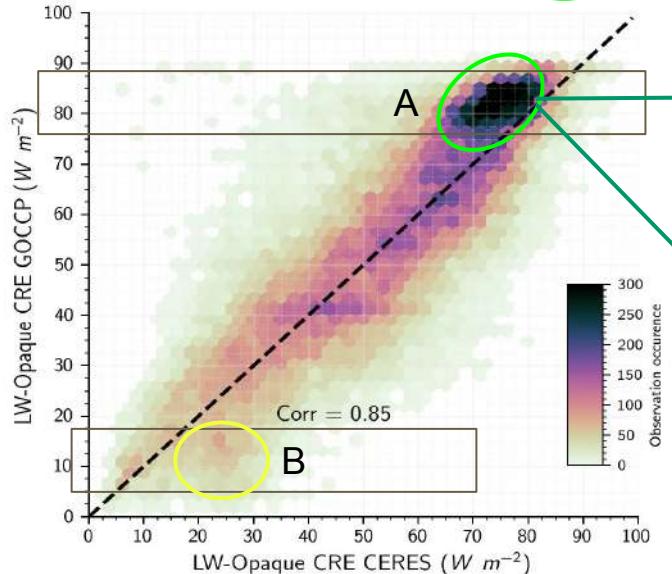
CERES  
(Kato et al., 2018)



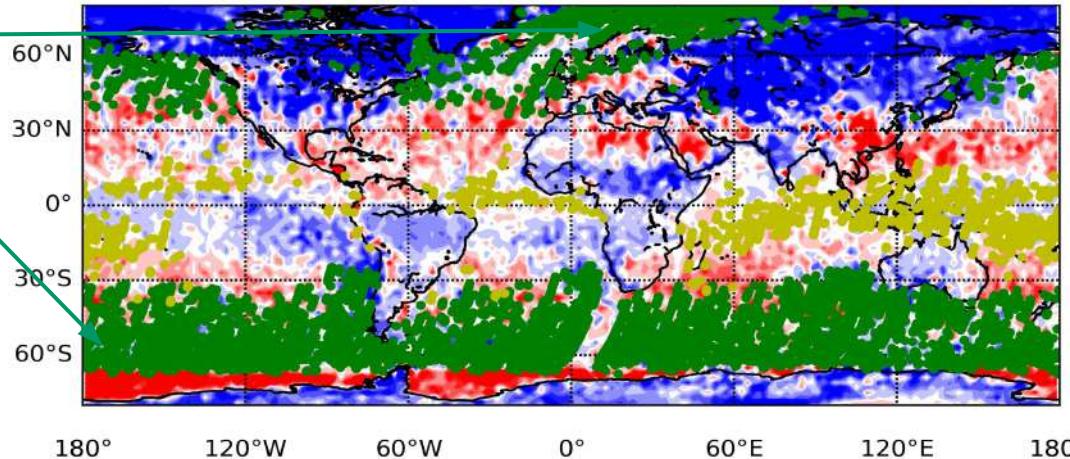
(Vaillant de Guélis 2018)

# Analyse des CRE colocalisés : paquet A

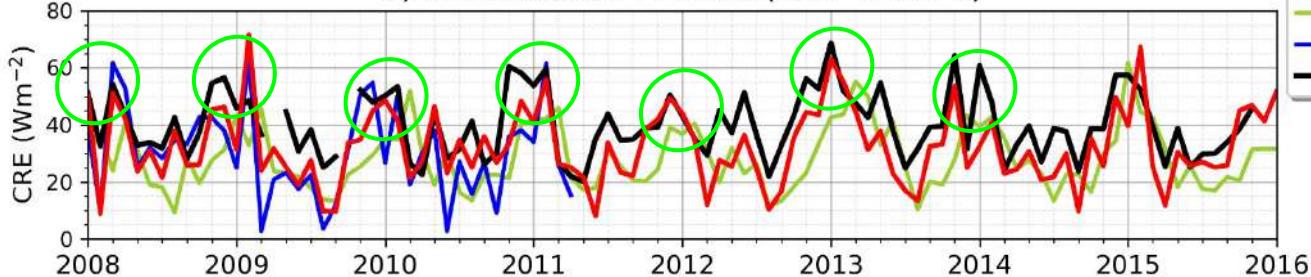
LW opaque CRE at the surface over oceans (January 2008)



a) CRE-GOCCP - CRE-CERES (-1.6  $W\ m^{-2}$ )



b) SIRTA station : France (48.7°N 2.2°E)



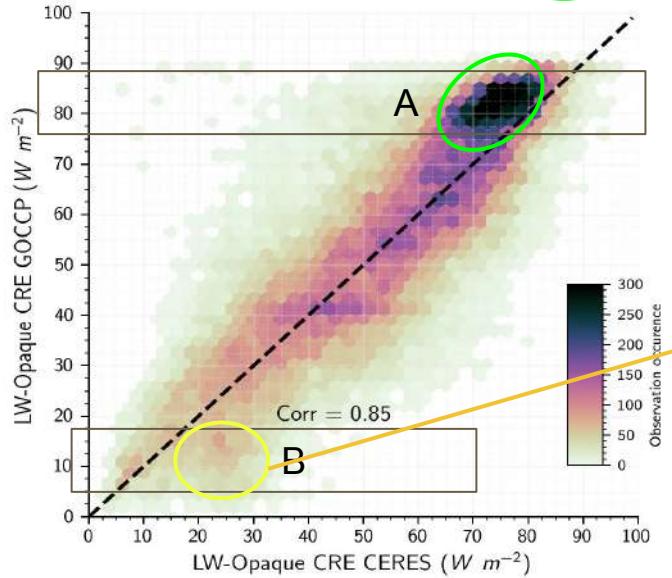
Le CRE GOCCP est supérieur au CRE CERES dans les MidLat. En comparant à la station sol des Midlat pour les mois de janvier, Le CRE GOCCP est proche des mesures sols et sont plus large que le CRE CERES de 20  $W/m^2$

Pour réconcilier :

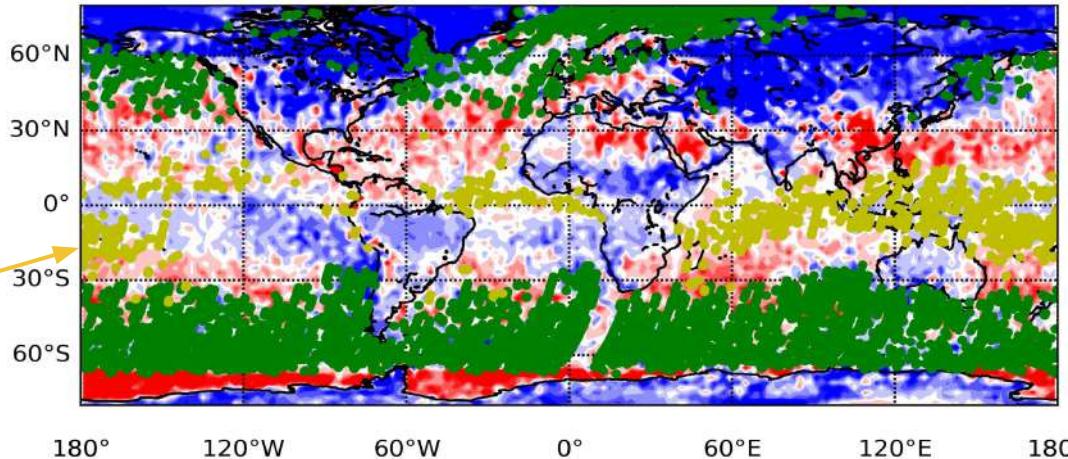
- diminuer la couverture GOCCP
  - augmenter l'altitude des nuages GOCCP,
- /!\ impossible

# Analyse des CRE colocalisés : paquet B

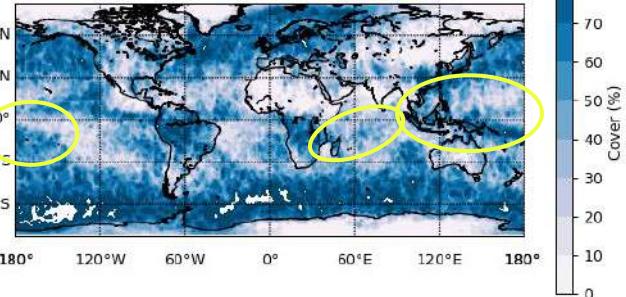
LW opaque CRE at the surface over oceans (January 2008)



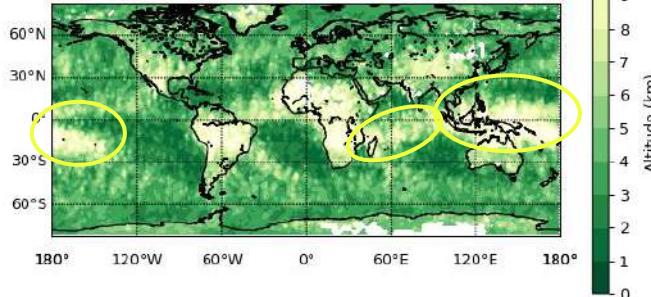
a) CRE-GOCCP - CRE-CERES (-1.6  $\text{W m}^{-2}$ )



a) Opaque cloud cover 200801 ( $C_{\text{opaque}}$ , 42.9 %)



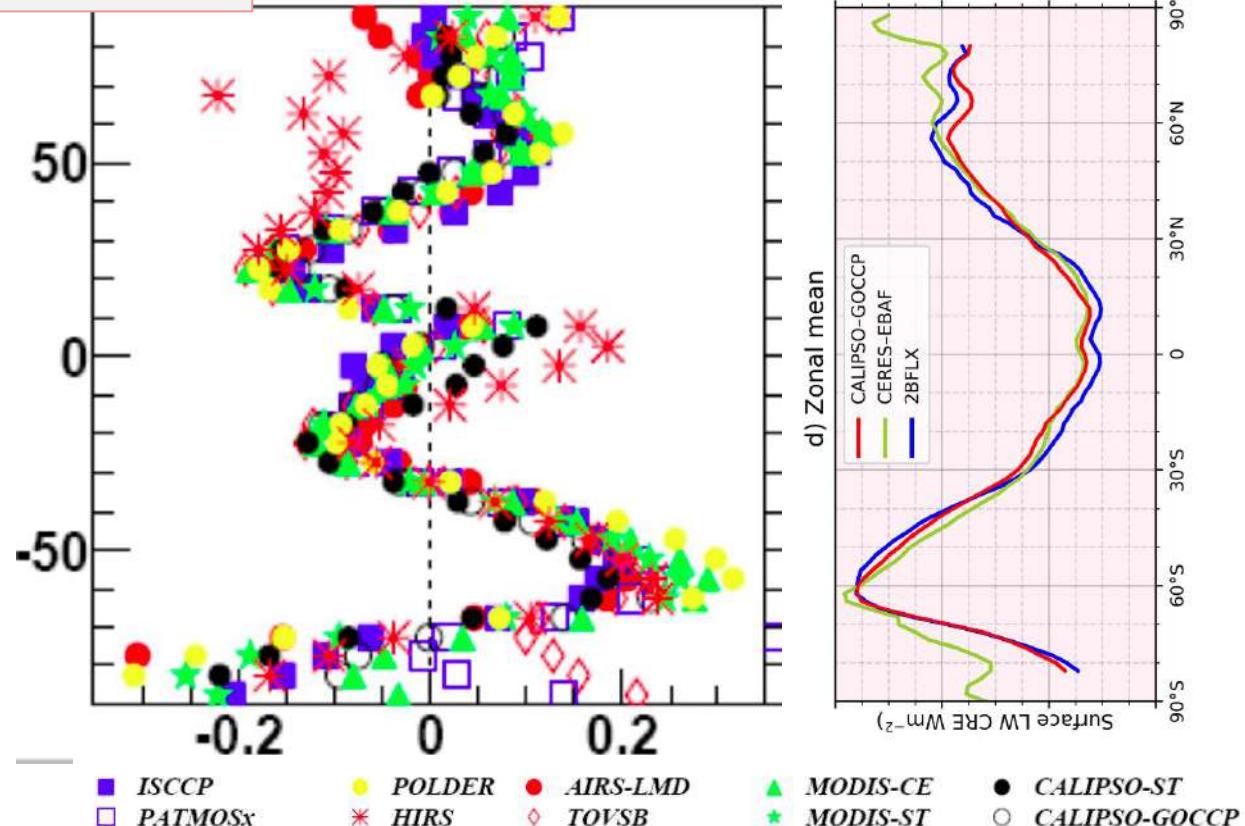
b) Opaque cloud altitude 200801 ( $Z_{\text{opaque}}$ , 4.6 km)



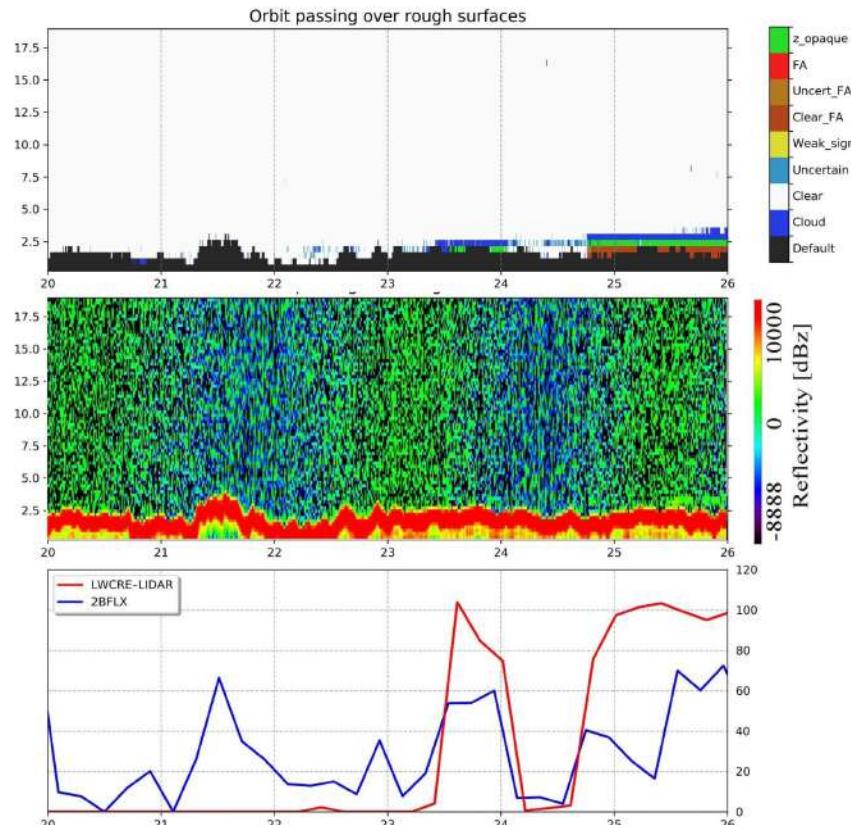
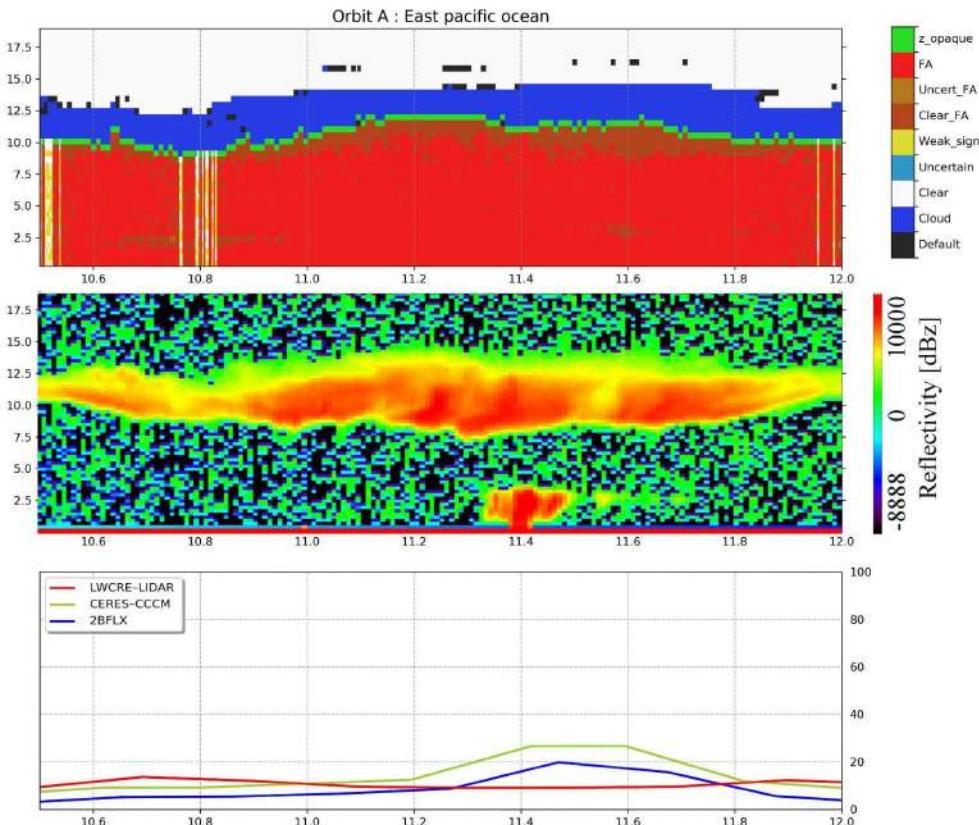
Le CRE GOCCP est inférieur au CRE CERES dans les Tropiques le long de l'ITCZ et dans la warmpool. dans ces régions la couverture opaque est large(40-60%) et les nuages sont à de hautes altitudes (8-10 km). le signal lidar va être atténué rapidement dans le nuage et ne verra pas la base/(majorité) du nuage.

# MODIS over detect clouds in polar regions : GEWEX Cloud Assessment

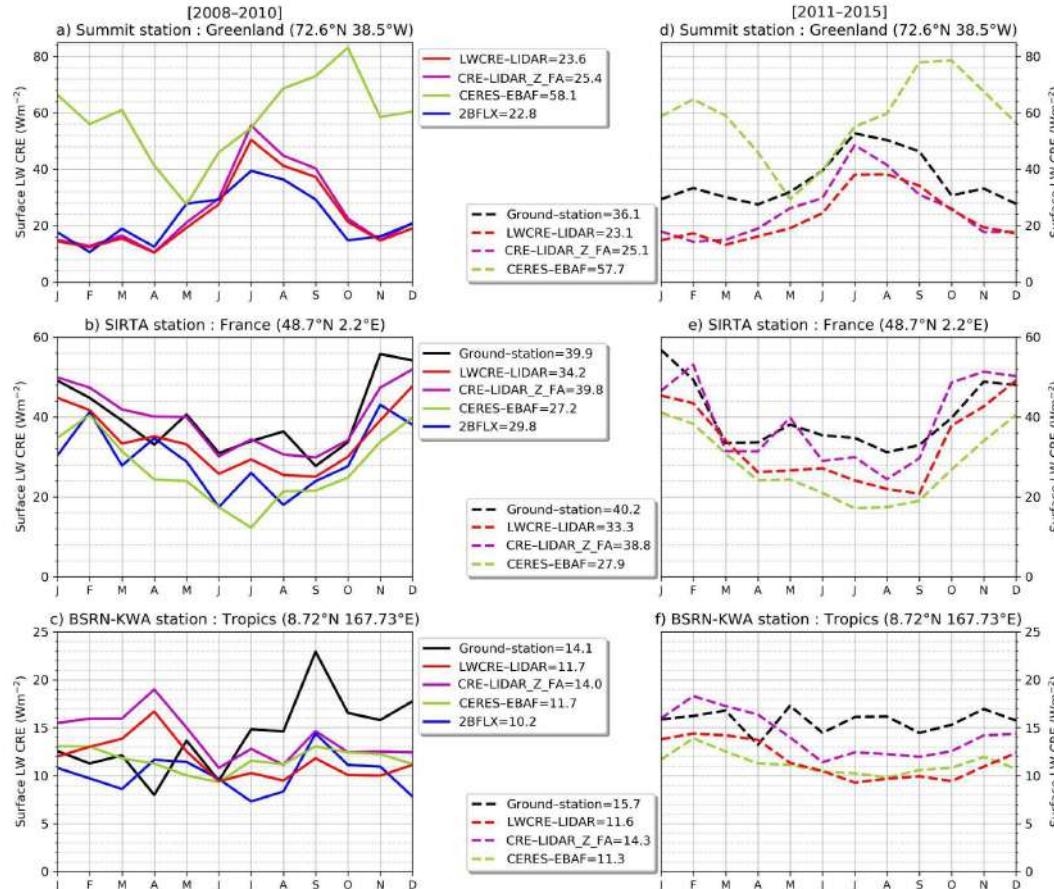
GEWEX CA (2012)



**Figure 3.1.6:** Latitudinal variation of annual mean cloud amount CA, effective cloud amount CAE, cloud emissivity CEM and cloud temperature CT, as well as of their height-stratified averages (relative to CA), presented as differences between latitudinal averages and global mean. Statistics at 1:30 PM LT (3:00 PM for ISCCP).

*CALIPSO-GOCCP-OPAQ mask and CloudSat CPR reflectivity*

## Comparison to ground stations: space lidar doesn't observe opaque cloud base altitude



- 1) Use the lowest altitude Z\_FA of the observable opaque clouds:
  - a) by definition,  $Z_FA < Z_T_Opaque$
  - b)  $\Rightarrow T_FA > T_Opaque$
  - c)  $\Rightarrow CRE_Z_FA > CRE_Z_T_Opaque$
- 2) Slightly reduces the differences with respect to the ground stations
  - a)  $\Rightarrow$  the fact of not seeing the cloud base has an impact on the CRE LW at the surface
- 3) Increases the differences with other space products but reduces the differences with ground stations
  - a)  $\Rightarrow$  there are other sources of uncertainties (which may also be present in other space products)

## The added value of surface LWCORE-LIDAR compared to CERES-EBAF

- 1) Better restitution over icy surfaces (Fig.a) where CERES-EBAF relies on MODIS to detect clouds  
!\\ MODIS detects more clouds than other sensors in polar regions (GEWEX CA, Fig.2)
- 2) Better seasonal cycle over icy surfaces => could have a significant impact on climate related processes, such as cryosphere melting (Fig. d).

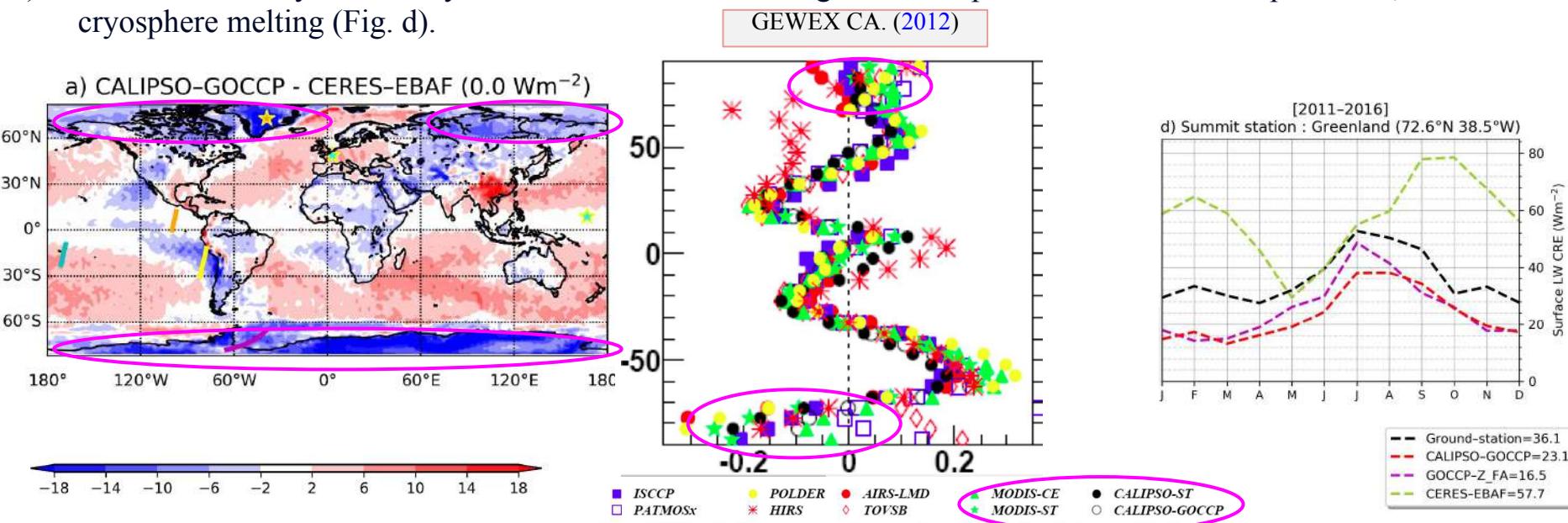
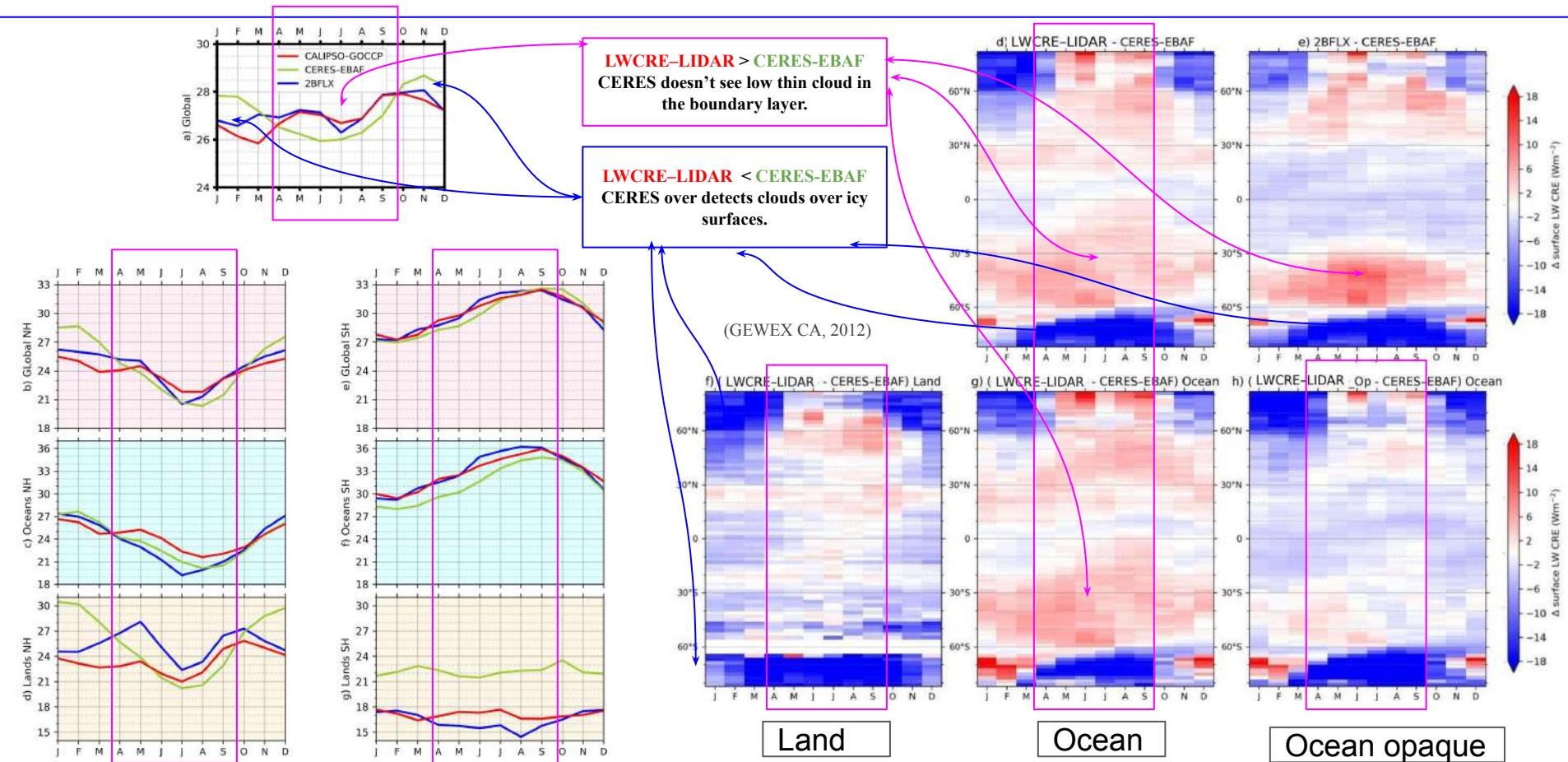


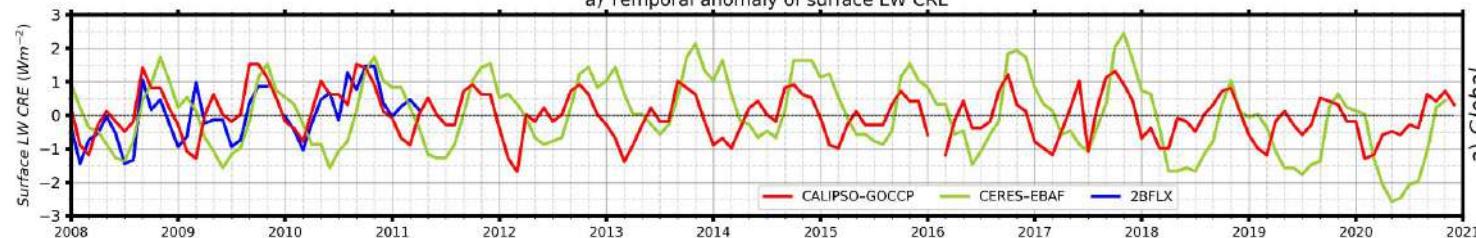
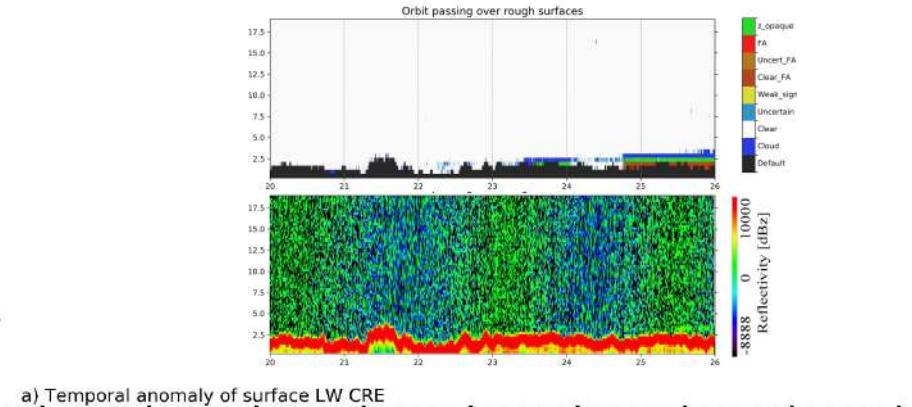
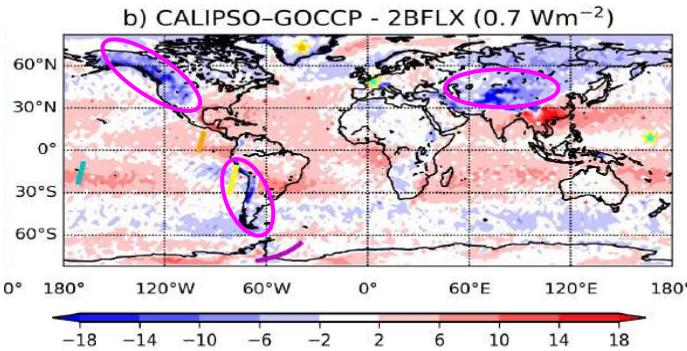
Figure 3.1.6: Latitudinal variation of annual mean cloud amount CA, effective cloud amount CAE, cloud emissivity CEM and cloud temperature CT, as well as of their height-stratified averages (relative to CA), presented as differences between latitudinal averages and global mean. Statistics at 1:30 PM LT (3:00 PM for ISCCP).

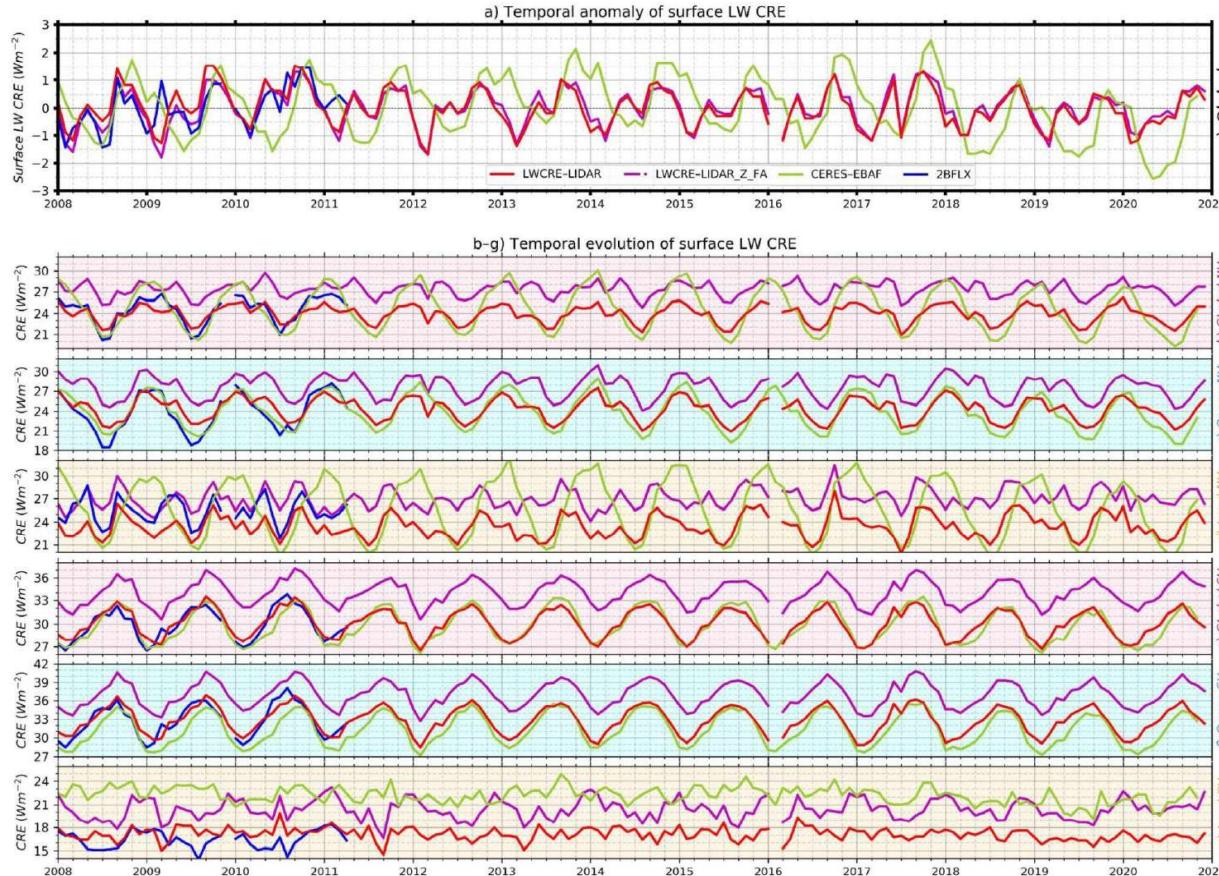
# The added value of surface LWCRE-LIDAR compared to CERES-EBAF



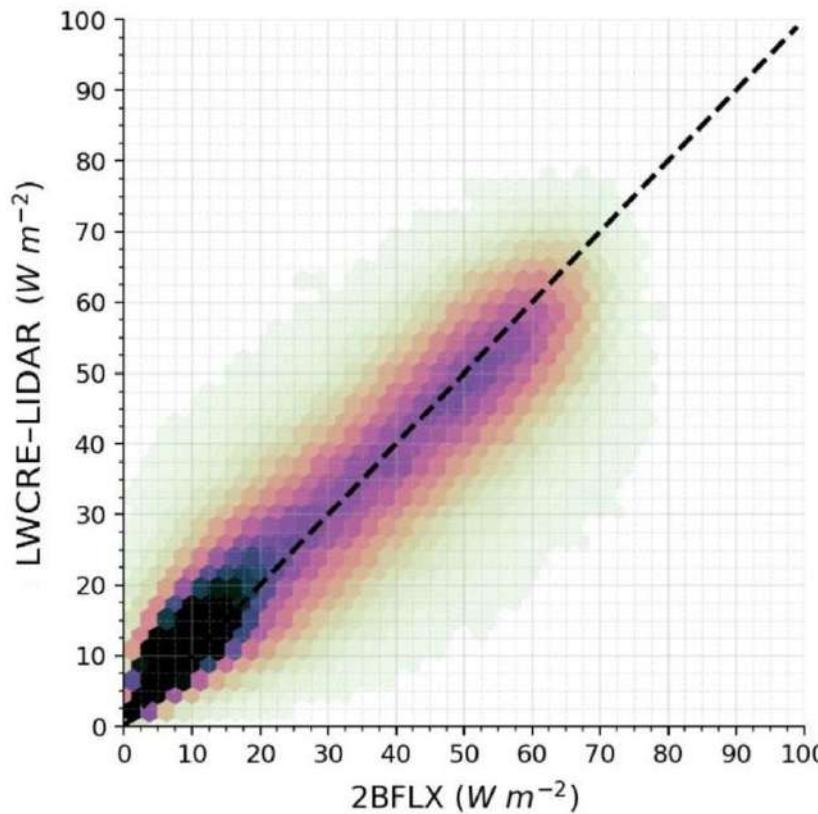
## The added value of surface LWCRE–LIDAR compared to 2BFLXHR–LIDAR

- 1) Better restitution over surfaces with strong orography (F.1) where the radar is polluted by the surface echo (F.2)
  - a) Detects more clouds where there are none
- 2) A longer time series (15 years Vs 5 years) (Fig. 3)
  - a) => Possibility to study a 2016 El-Nino event.
  - b) => Possibility to study variations of the CPR and the cloud properties driving it and their trends.
- 3) "CloudSat CPR's long powerful pulse also generates a surface clutter echo which tends to partially mask signals from cloud and precipitation forming below circa 1 km (Marchand et al., 2008)"



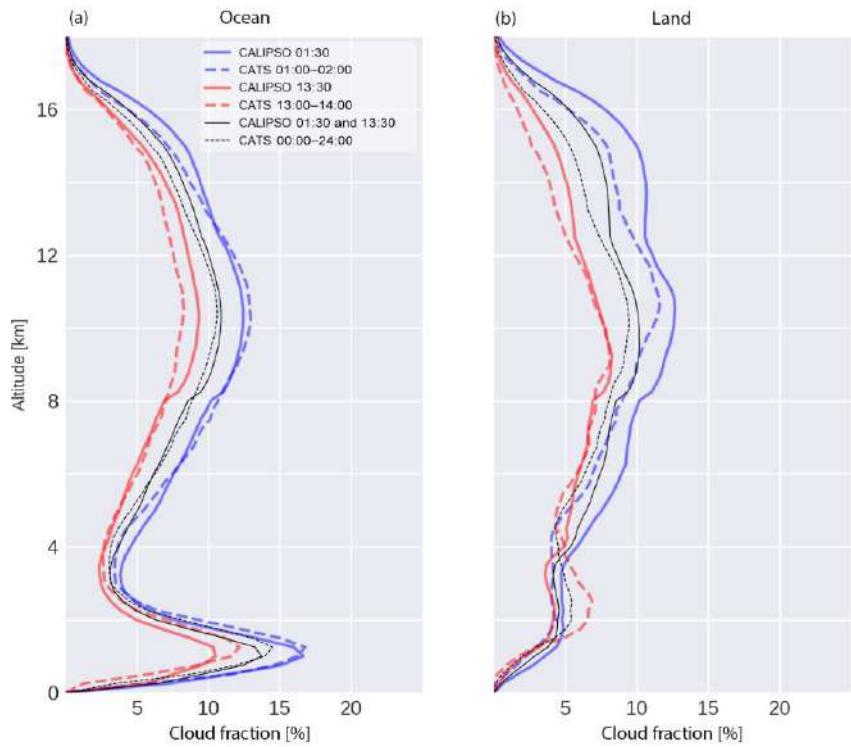


Surface LW CRE from gridded products (2008-2010)

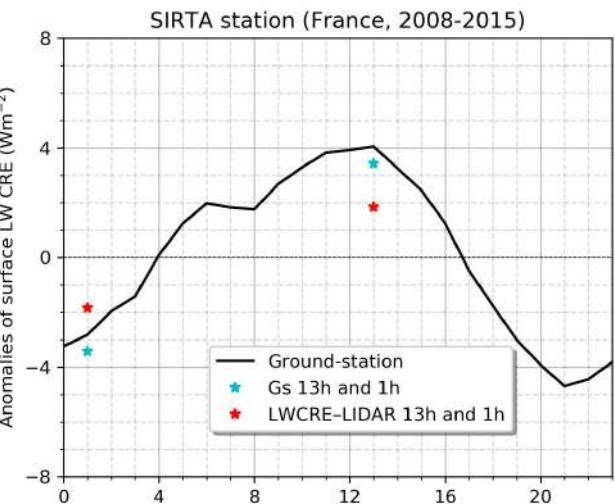
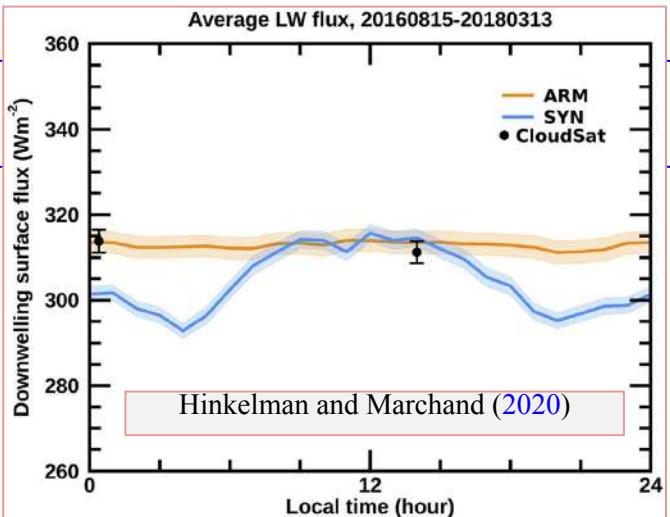


## Diurnal cycle of clouds

Diurnal cycle of vertical cloud profiles from space lidar

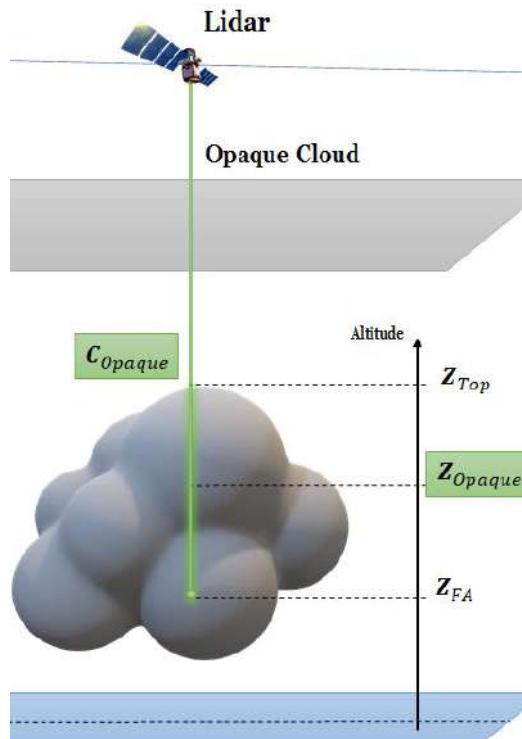


From Noel et al. (2017)



		2008/01-2011/04 periode			2008-2015 periode	
		LWCRE-LIDAR	LWCRE-LIDAR_Z_FA	2BFLX	LWCRE-LIDAR	LWCRE-LIDAR_Z_FA
Greenland	Bias	-8.5	-7.9	-16.4	-13.6	-11.6
	RMSE	9.0	8.4	16.9	15.9	15.0
	Correlation	0.91	0.95	0.45	0.69	0.70
SIRTA	Bias	-5.7	-0.1	-9.9	-6.6	-0.8
	RMSE	11.0	10.4	15.5	10.8	9.5
	Correlation	0.73	0.73	0.67	0.77	0.77
KWA	Bias	-2.3	-0.3	-4.1	-3.4	-0.9
	RMSE	6.1	5.6	6.9	5.7	4.9
	Correlation	0.03	0.15	0.23	0.08	0.21

## Issue: the space lidar doesn't observe the opaque cloud base altitude



We used  $Z_{FA}$  to retrieve the surface LW cloud radiative effect instead of  $Z_{Opaque}$  and that increased the differences with ground stations and other space products in the wrong way.

In the tropics in deep convective regions

$$Z_{FA} \gg Z_{Base-REAL}$$

**To reconcile** : Use  $Z_{FA}$  and not  $Z_{Opaque}$  ??

$$\begin{aligned} Z_{FA} &< Z_{Opaque} \\ T(Z_{FA}) &> T(Z_{Opaque}) \\ CRE(Z_{FA}) &> CRE(Z_{Opaque}) \end{aligned}$$

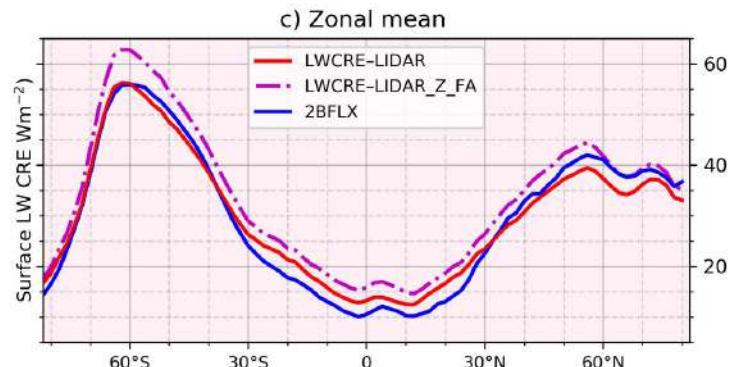
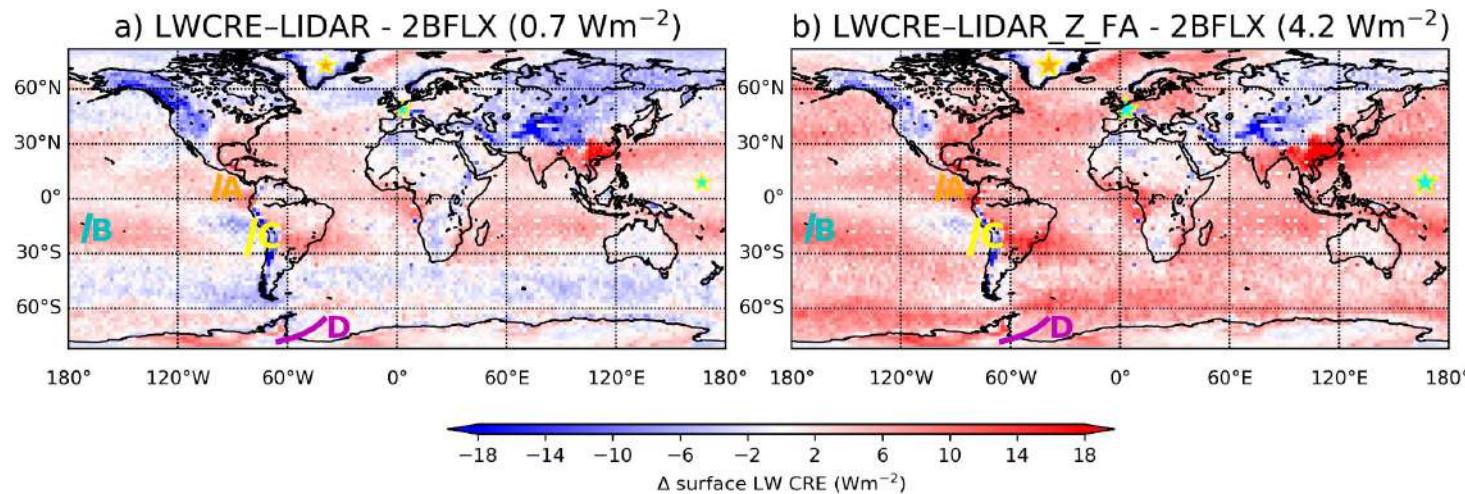
**but !!**

$$\begin{aligned} CRE_{GOCCP}(Z_{Opaque}) &> CRE_{CERES} && (\text{Kato et al., 2018}) \\ &> CRE_{2BFLX} && (\text{L'Eucyer et al., 2019}) \\ &> CRE_{KWA} && (\text{Roesch et al., 2011}) \end{aligned}$$

In addition, the lidar is fully attenuated at an altitude lower than 3 km above the surface most of the time (Guzman et al. 2017) except in deep convective regions.

This limitation only poorly influences the surface LW cloud radiative effect retrieval most likely in the tropics along the ITCZ and the warm-pool ( $10$  to  $15$   $\text{Wm}^{-2}$  compared to CERES).

*Issue: the space lidar doesn't observe the opaque cloud base altitude*





*Surface longwave cloud radiative effect derived from  
space lidar observations: application in the Arctic*  
**Annex Influence on sea ice loss**

April 21<sup>st</sup>, 2023: PhD defense

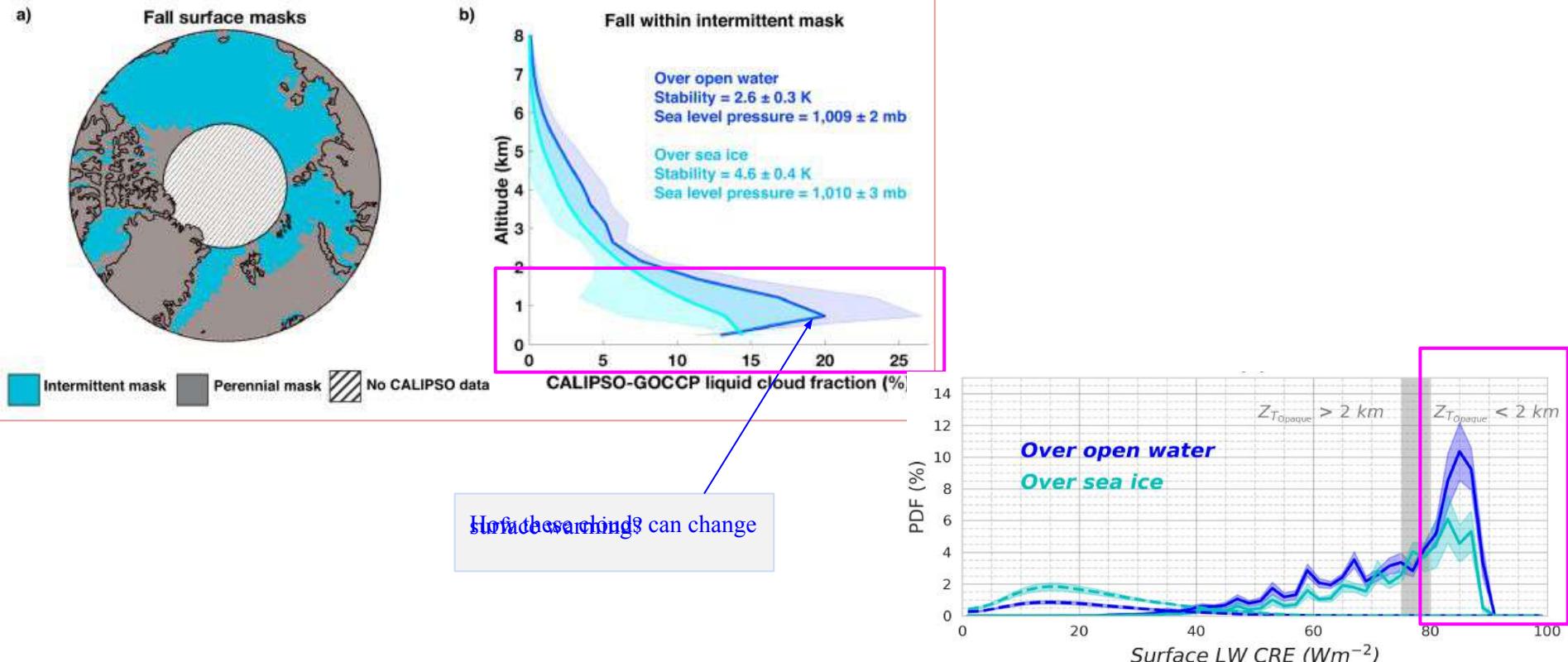
Assia Arouf

Supervisor: Hélène Chepfer

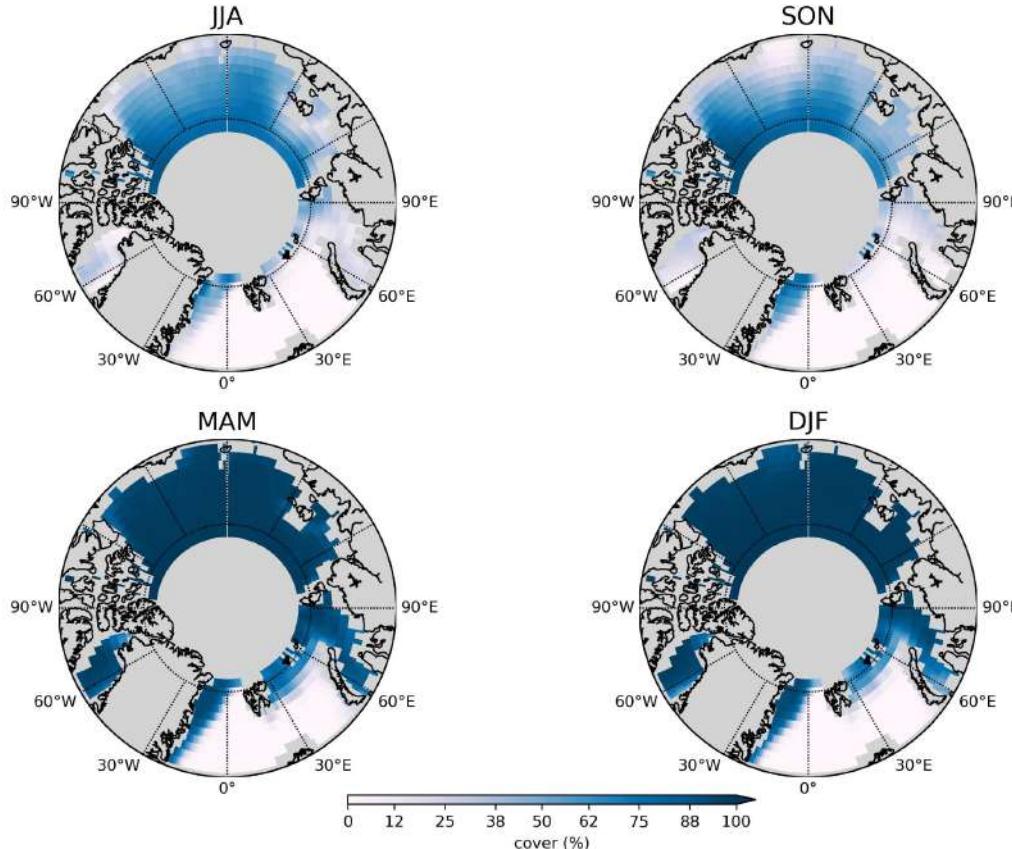


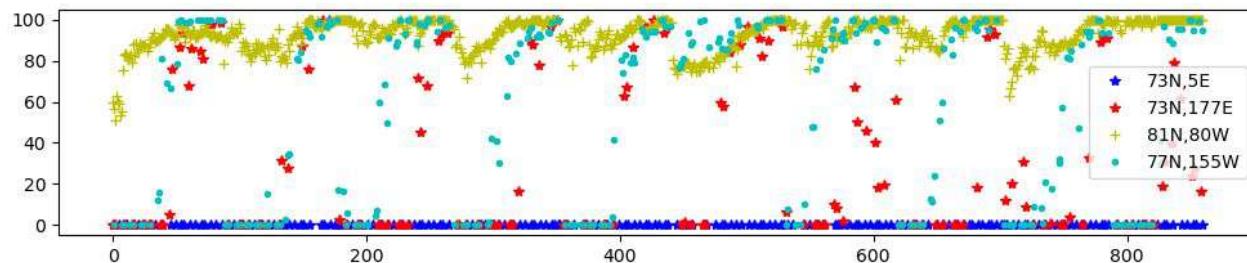
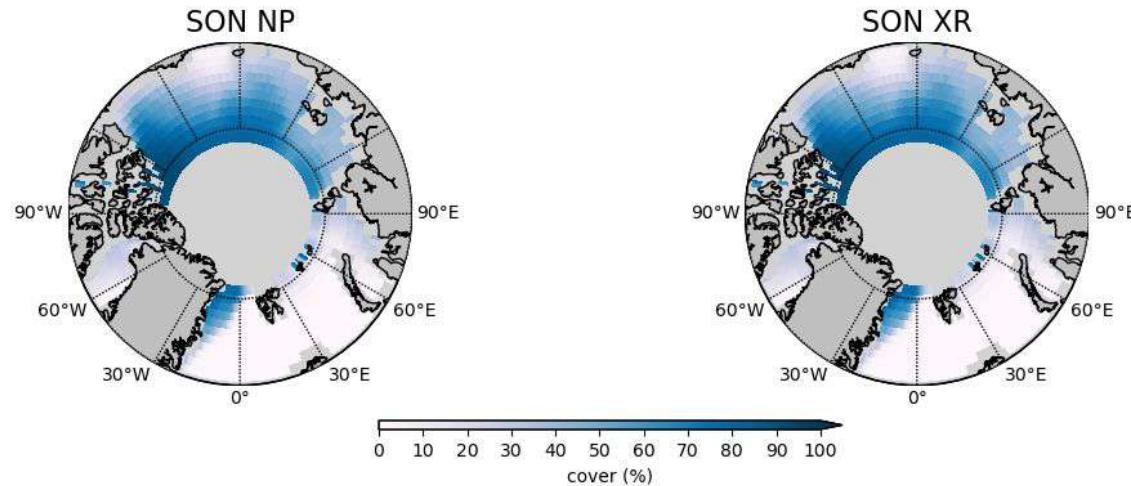
# Split clouds into low-level clouds (< 2 km) and high-level clouds (> 2 km)

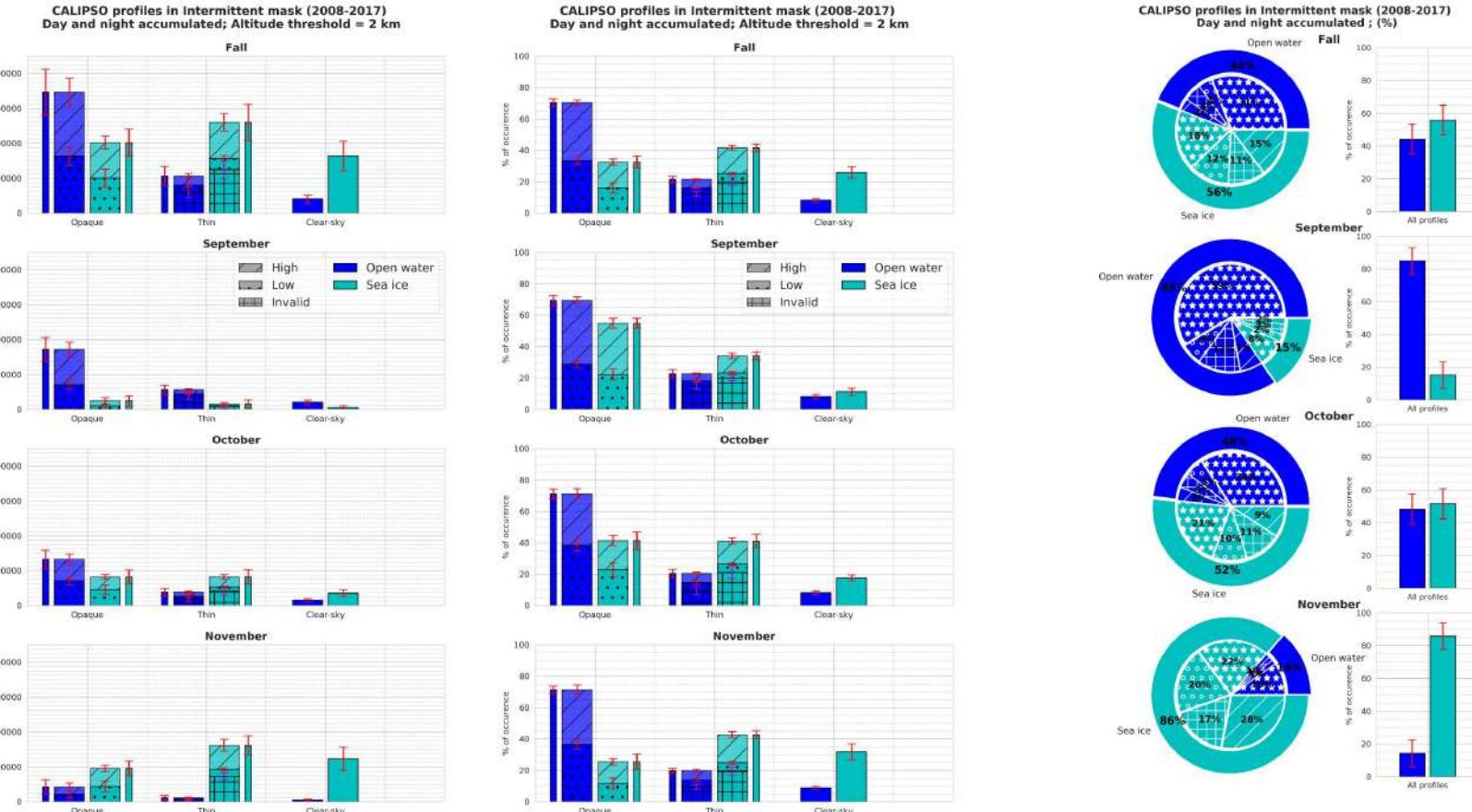
From Morrison et al. (2018)



**NCDIC sea ice cover daily 1°\*1° from GOCCP files**



**NCDIC sea ice cover daily 1°\*1° from GOCCP files**





*Surface longwave cloud radiative effect derived from  
space lidar observations: application in the Arctic*  
**Annex A**

April 21<sup>st</sup>, 2023: PhD defense

Assia Arouf

Supervisor: Hélène Chepfer



## Absorption by a cloud particle

- The absorption of radiation by a cloud particle depend only on the imaginary part of the index of refraction
  - in the **VIS** :  $m_i$  is small and cloud particle **absorption can be neglected**
  - in the **IR** :  $m_i$  is large and cloud particle **absorption is importante**

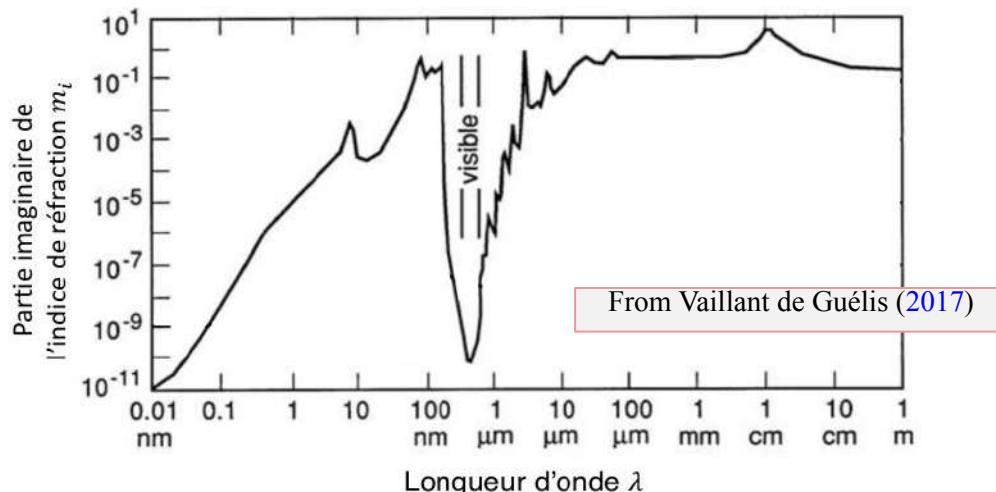
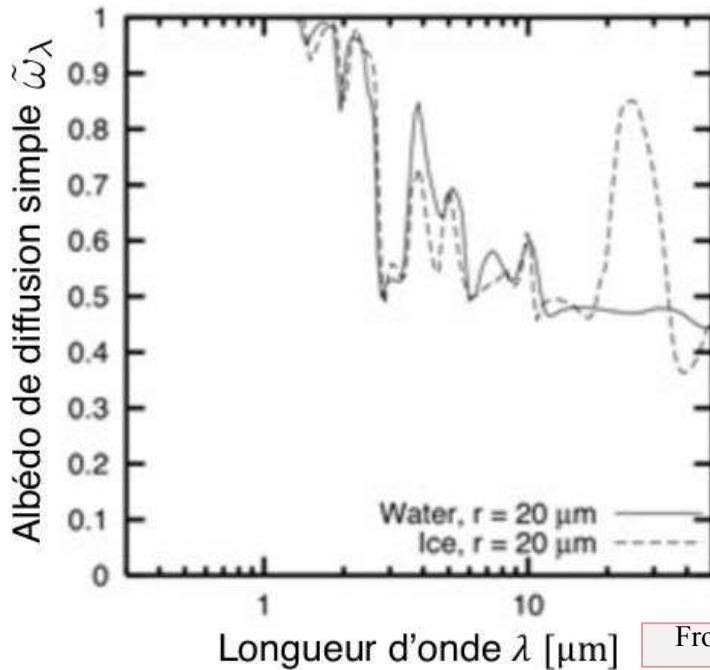


FIGURE A.6 : Partie imaginaire de l'indice de réfraction de l'eau en fonction de la longueur d'onde. Source : ZOLORATEV et DEMIN (1977)

## Scattering by a cloud particle



From Vaillant de Guélis (2017)

- In the **VIS** :  $\tilde{\omega}_\lambda = 1 \Rightarrow$  cloud particle **scatter a lot**
- In the **IR** :  $\tilde{\omega}_\lambda \ll 1 \Rightarrow$  **scattering by clouds is small**

$$\tilde{\omega}_\lambda = \frac{\sigma_{\lambda,s}}{\sigma_{\lambda,e}}$$

size parameter

$$x = \frac{2\pi r}{\lambda}$$

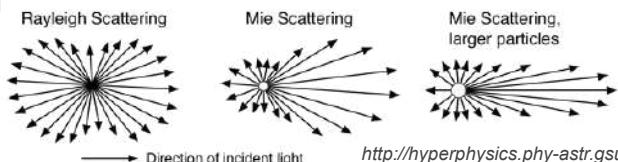
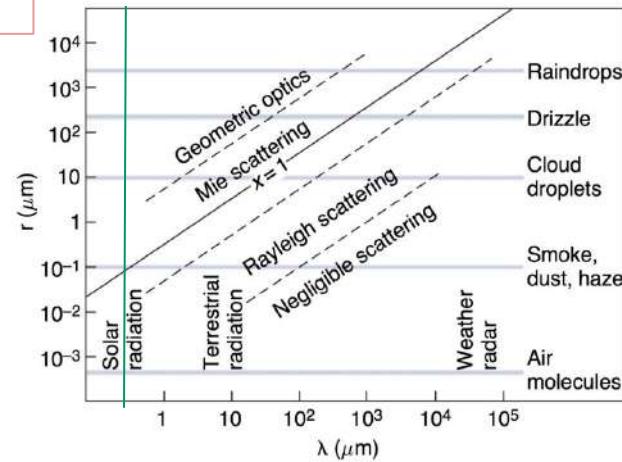
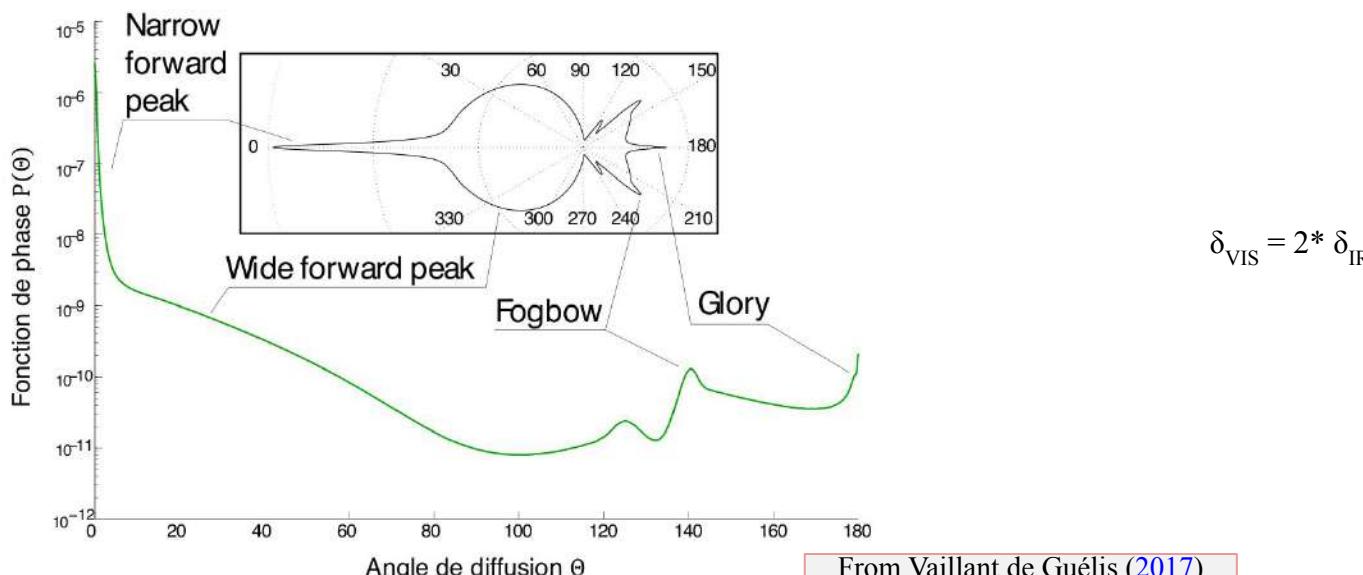


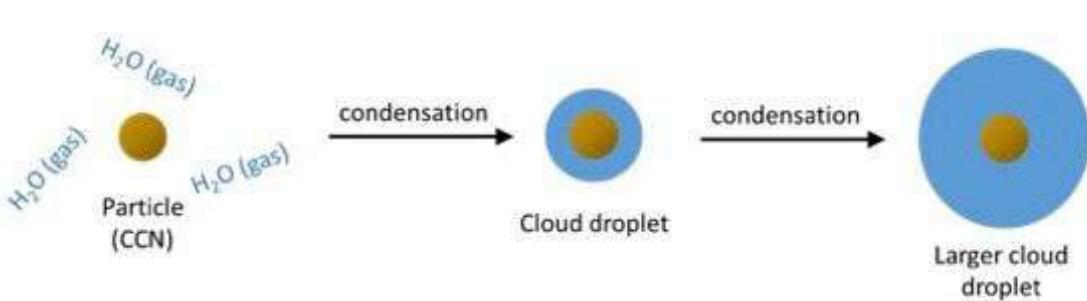
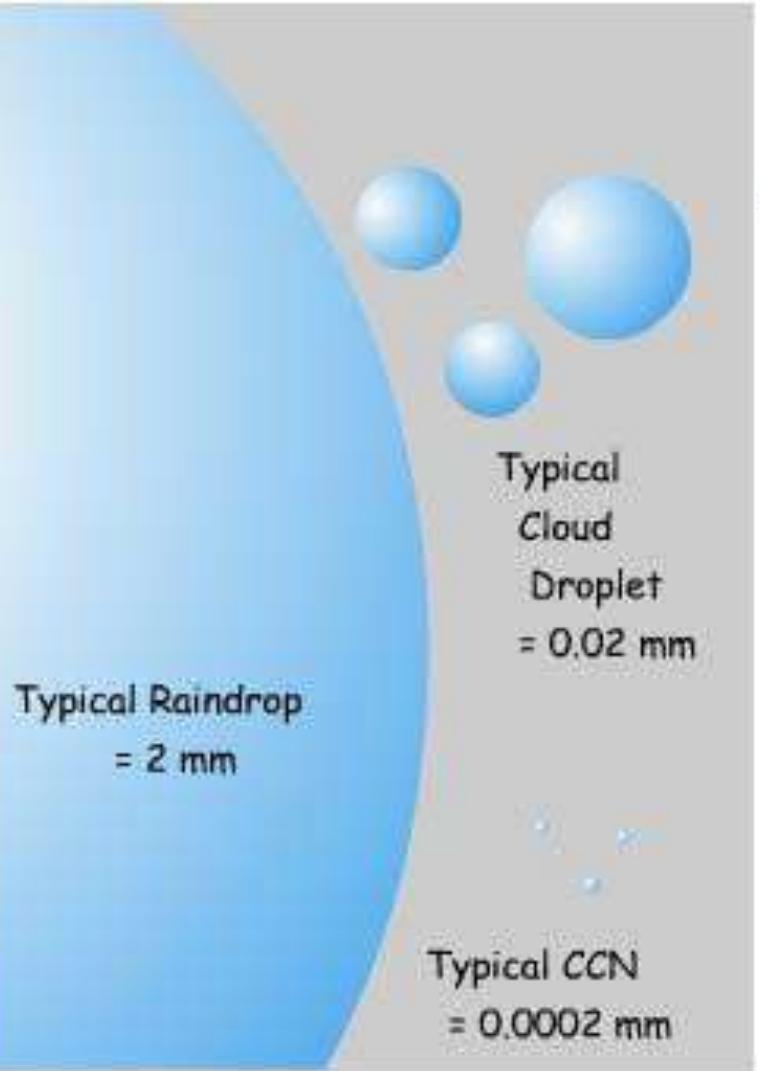
FIGURE A.9 : Albédo de diffusion simple pour une sphère d'eau liquide ou de glace de  $20 \mu\text{m}$  de rayon en fonction de la longueur d'onde dérivé de la théorie de Mie. Source : Cours Patrick Arnott Chap. 12 – University of Nevada Reno.

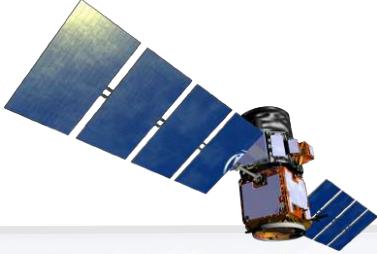
## Fonction de phase

- Inform about the angular distribution of light scattered by a particule (depend on the wavelength, particle size, and particle optical property)
  - Plus la particule augmente par rapport à la longueur d'onde, elle aura tendance à diffuser vers l'avant. **Et créer de la diffusion multiple**



**FIGURE B.3 :** Fonction de phase  $P(\Theta)$  en fonction de l'angle de diffusion  $\Theta$  pour une gouttelette sphérique de  $20\ \mu\text{m}$  pour un rayonnement incident correspondant à la longueur d'onde du vert. Source : BOUTHORS et al. (2006).





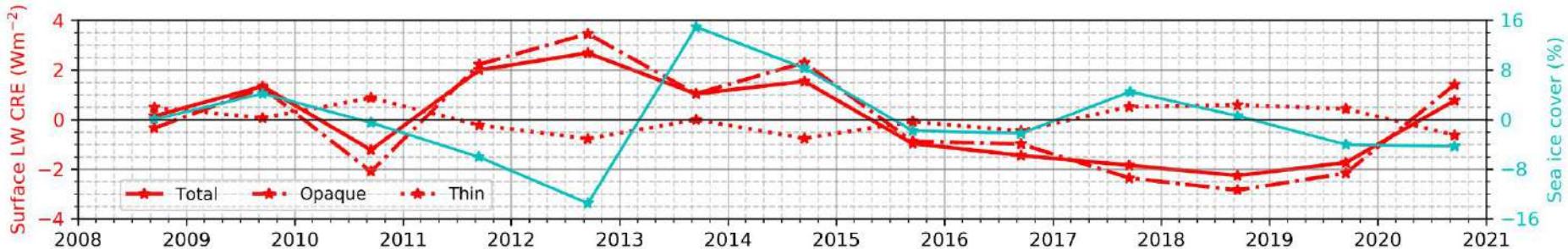
*Surface longwave cloud radiative effect derived from  
space lidar observations: application in the Arctic*  
**Annex C**

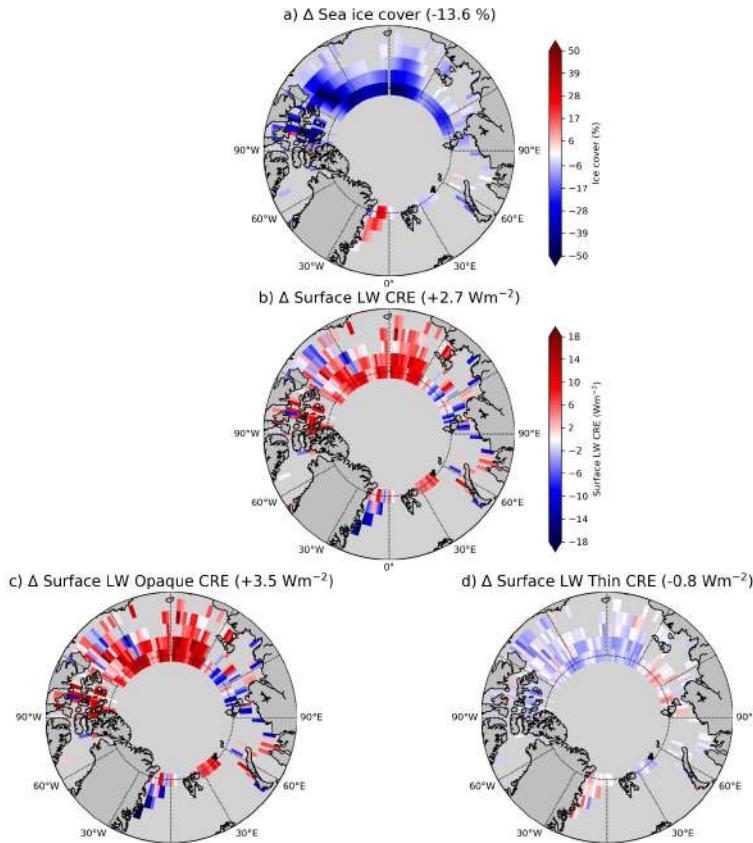
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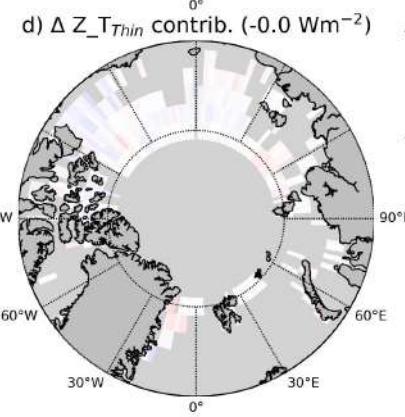
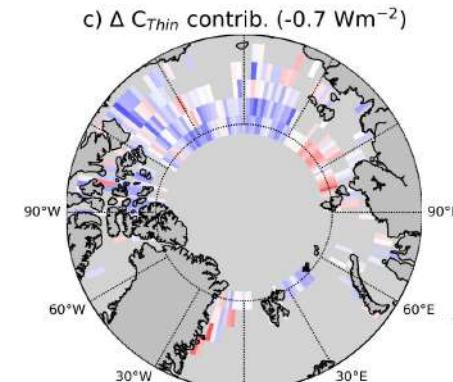
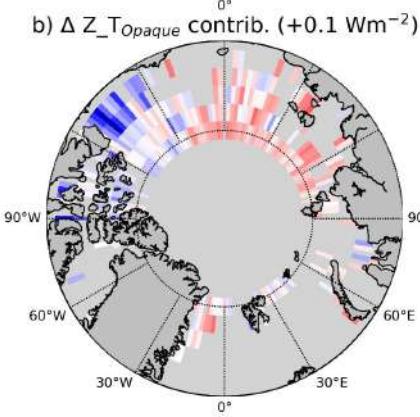
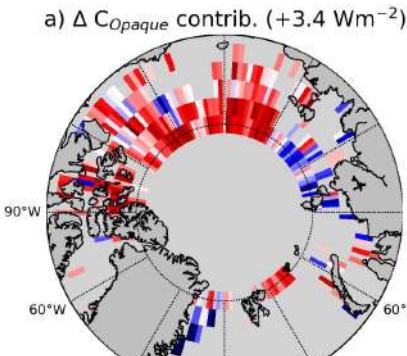
Supervisor: Hélène Chepfer







(Vaillant de Guélis et al., 2017a : Obs TOA)  
(Zhou et al., 2014 : Cirrus)  
(Zelinka et al., 2012 : Models)



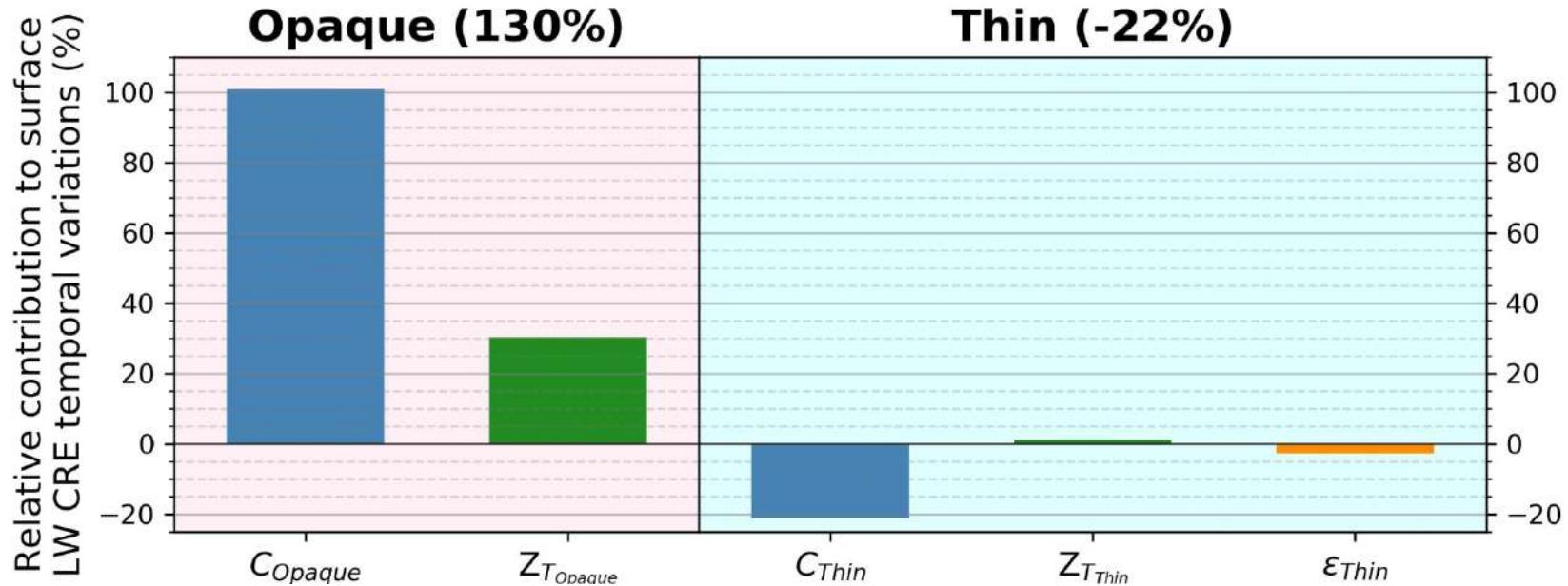
Surface LW CRE ( $\text{Wm}^{-2}$ )

$$\Delta CRE_{Opaque}^{SFC} = \frac{\partial CRE_{Opaque}}{\partial C_{Opaque}} \Delta C_{Opaque} + \frac{\partial CRE_{Opaque}}{\partial Z_{Opaque}} \Delta Z_{Opaque}$$

$$\Delta CRE_{Thin}^{SFC} = \frac{\partial CRE_{Thin}}{\partial C_{Thin}} \Delta C_{Thin} + \frac{\partial CRE_{Thin}}{\partial Z_{Thin}} \Delta Z_{Thin} + \frac{\partial CRE_{Thin}}{\partial \varepsilon_{Thin}} \Delta \varepsilon_{Thin}$$

$$\Delta CRE_{Total}^{SFC} = \Delta CRE_{Opaque}^{SFC} + \Delta CRE_{Thin}^{SFC}$$

(Vaillant de Guélis et al., 2017b : Obs TOA)  
 (Zhou et al., 2014 : Cirrus)  
 (Zelinka et al., 2012 : Models)



$$X_i = \frac{cov(\Delta CRE_{Total}^{SFC}(t), \Delta CRE_{V_i}^{SFC}(t))}{\sigma_{\Delta CRE_{Total}^{SFC}}^2(t)}$$

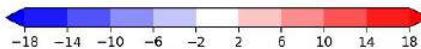
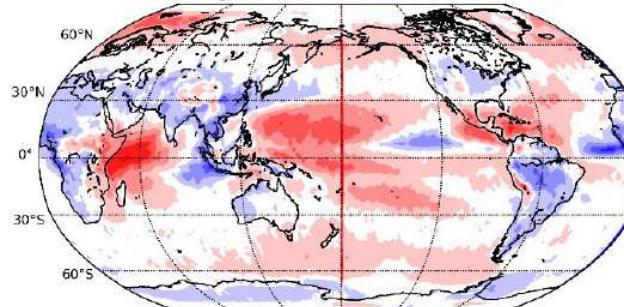
(Vaillant de Guélis et al., 2017b )  
 (Boer and Yu., 2003 : Spatial)

# Incertitude de l'effet radiatif LW des nuages des modèles CMIP6

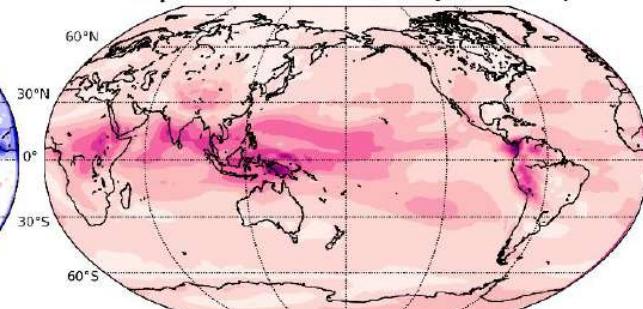
Preliminary

**TOA**

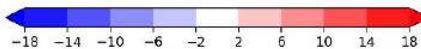
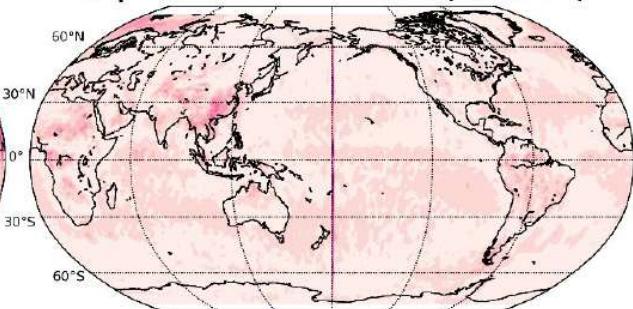
Biais moyen des modèles ( $1.0 \text{ W m}^{-2}$ )



Dispersion inter-modèles ( $4.0 \text{ W m}^{-2}$ )

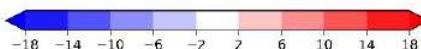
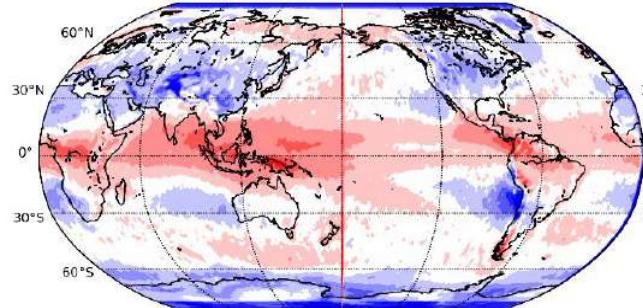


Dispersion inter-observations ( $1.8 \text{ W m}^{-2}$ )

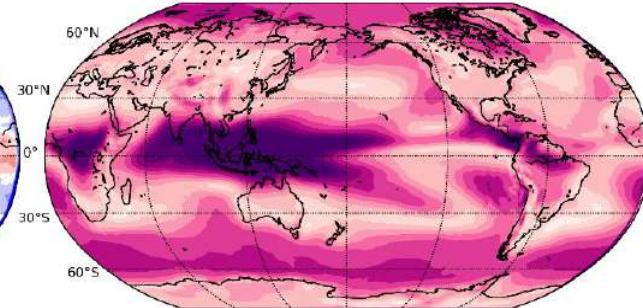


**SFC**

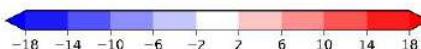
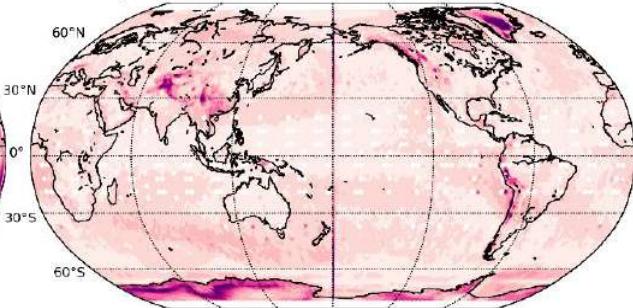
Biais moyen des modèles ( $0.6 \text{ W m}^{-2}$ )



Dispersion inter-modèles ( $8.5 \text{ W m}^{-2}$ )

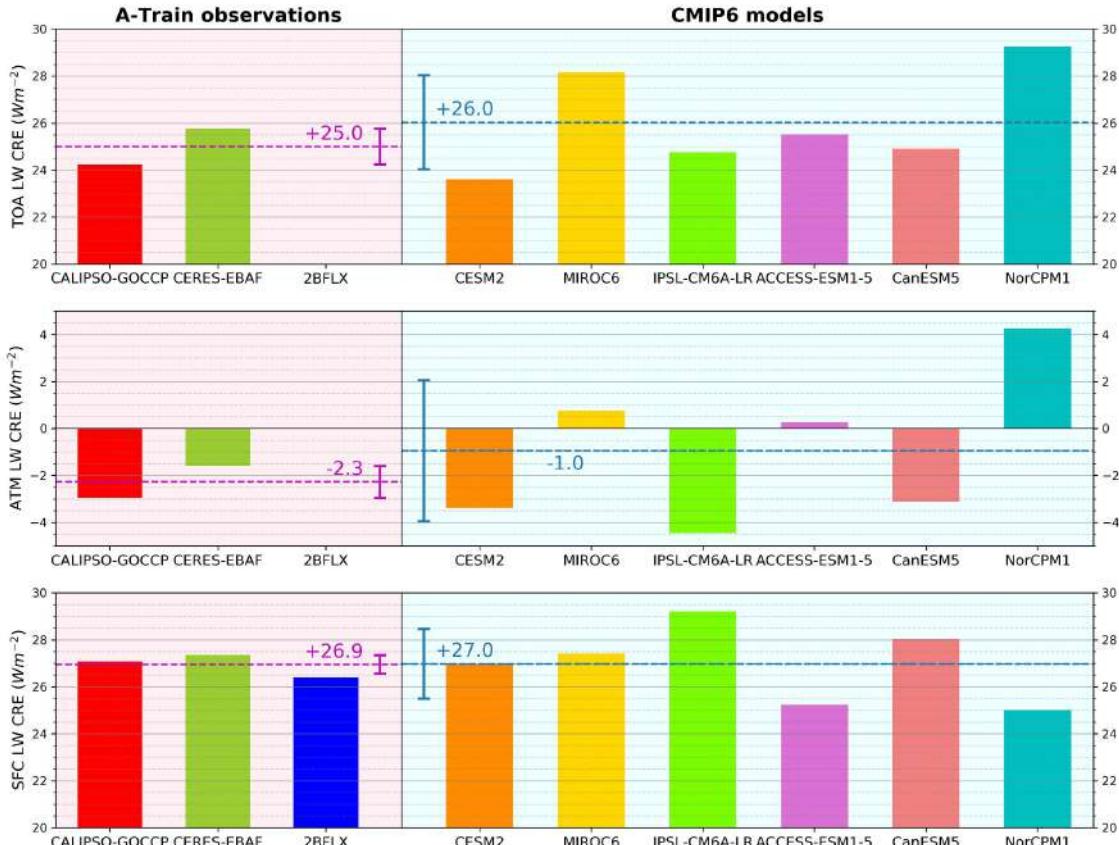


Dispersion inter-observations ( $2.5 \text{ W m}^{-2}$ )



# Incertitude sur la moyenne globale de l'effet radiatif LW des nuages

Preliminary



(2008–2014)

**TOA**

Biais moyen des modèles  $+1.0 \text{ W m}^{-2}$   
Variance sur la moyenne globale  $\pm 1.8 \text{ W m}^{-2}$

**ATM**

Biais moyen des modèles  $+1.3 \text{ W m}^{-2}$   
Variance sur la moyenne globale  $\pm 2.7 \text{ W m}^{-2}$

**SFC**

Biais moyen des modèles  $+0.1 \text{ W m}^{-2}$   
Variance sur la moyenne globale  $\pm 1.2 \text{ W m}^{-2}$