Memory Management with mmap

What if we use mmap instead of malloc always?

Wasteful

low utilization

need 16 bytes, get 4096

X Slow

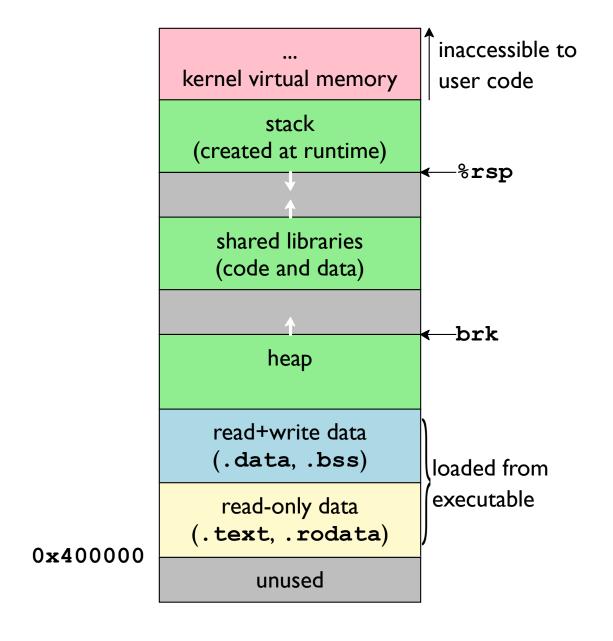
low throughput

have to interact with kernel every time, and those 4096 bytes are all zeroed

Complicated

have to remember the size to unmap

Process Memory Layout



Memory Management with sbrk

```
#include <unistd.h>
void *sbrk(intptr_t increment);
```

Grows the **program break**, a.k.a. **brk**, and returns the old program break

Effectively, allocates increment bytes

Do not use **sbrk** in a program that also uses **malloc** or anything that calls **malloc** (such as **printf**)

Memory Management with sbrk

What if we use sbrk instead of malloc always?

√ Economical

good utilization, at first need 16 bytes, get 16

X Somewhat slow **somewhat low throughput**have to interact with kernel every time

Complicated

have to remember the size to unsbrk(?)

Inexpressive low utilization when done with data at best, can free last chunk allocated

Standard C Allocation

```
#include <stdlib.h>

void *malloc(size_t size);
void free(void *p);

void *calloc(size_t count, size_t size);
void *realloc(void *p, size_t new_size);
```

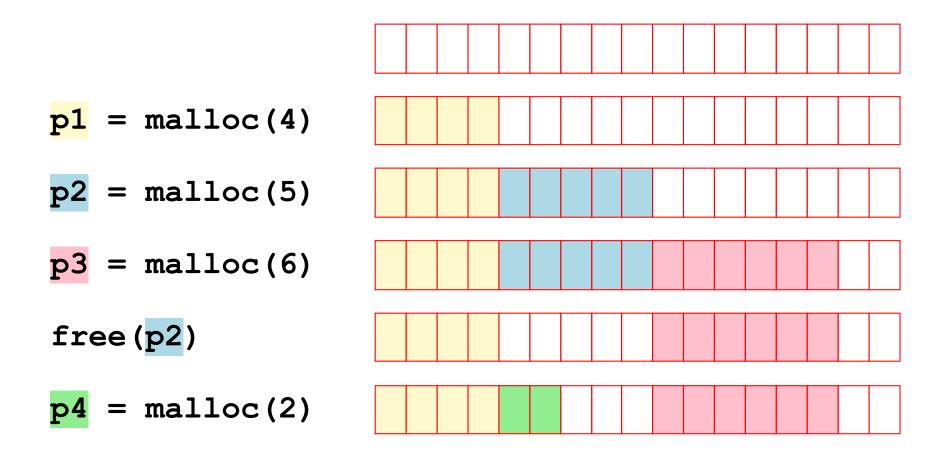
malloc allocates at least size bytes

free accepts a pointer (just once) from malloc

behind the scenes: mmap or sbrk, maybe munmap

calloc is multiply, then malloc, then bzero
realloc is malloc, then memcpy, then free
maybe with a shortcut

Allocation Example



Allocation: Application Side

Rights:

Call freely interleave malloc and free

Responsibilities:

- Must write to only allocated (not-yet-freed) blocks
- Must call free only once on each malloc result
- Must call free enough to limit memory use

Allocation: Allocator Side

Rights:

Can pick arbitrary virtual addresses

within alignment constraints

Responsibilities:

- Must accept any size request
- Must accept any number of requests
- Must return non-overlapping blocks
- Must not write to allocated (not-yet-freed) blocks
- Must respond immediately (i.e., can't reorder requests)

Allocation: Performance Goals

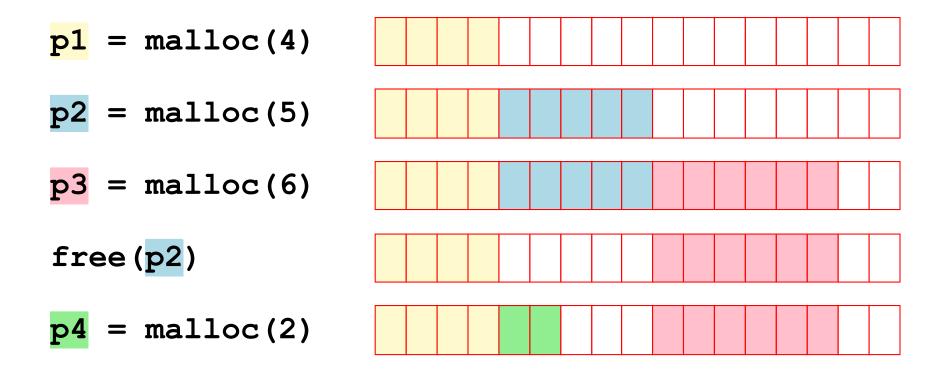
Utilization — use as few pages as possible

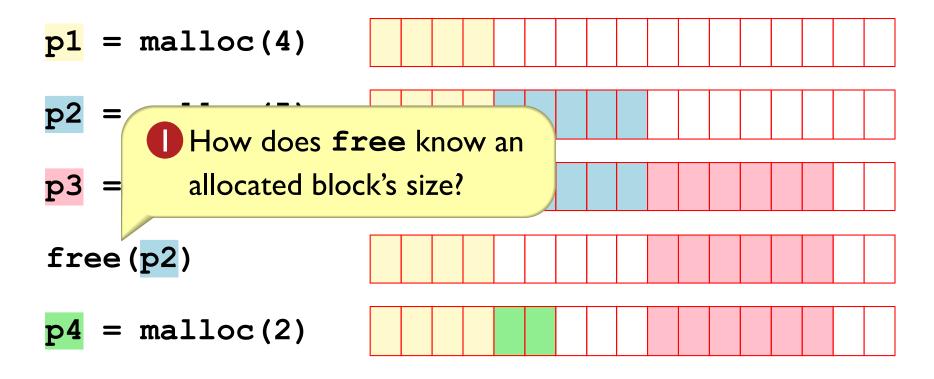
measure as aggregate payload pages used

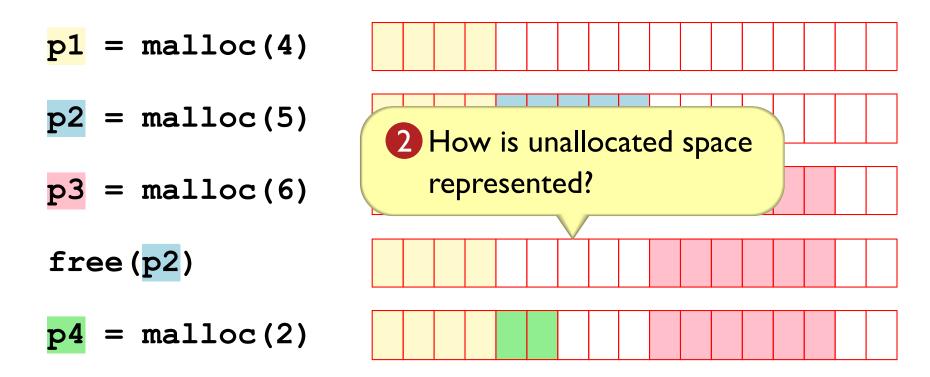
- malloc $(n) \Rightarrow payload$ size n
- Sum of n not yet freed = aggregate payload

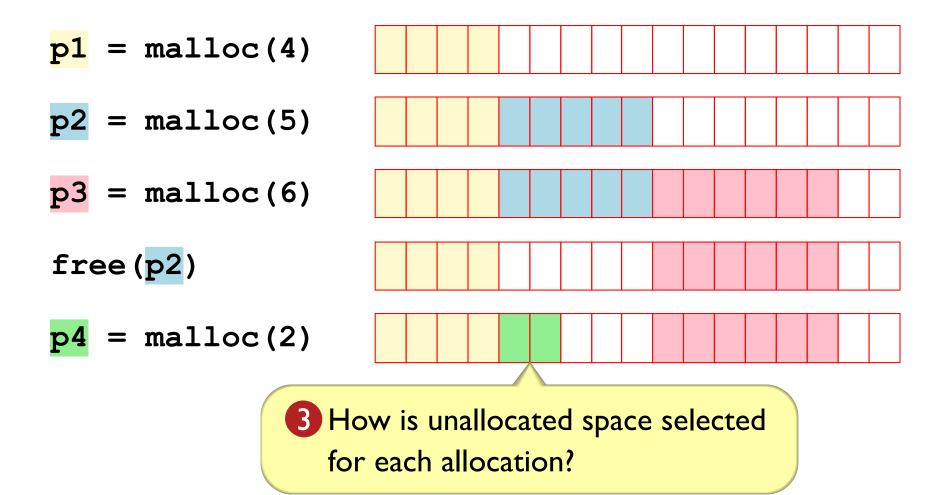
Throughput — malloc/free as fast as possible

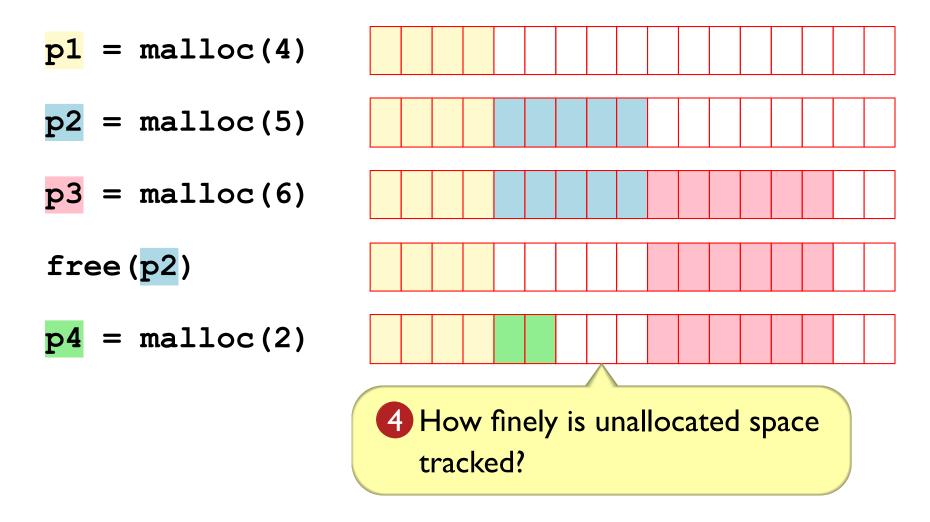
measure as number of operations performed seconds used

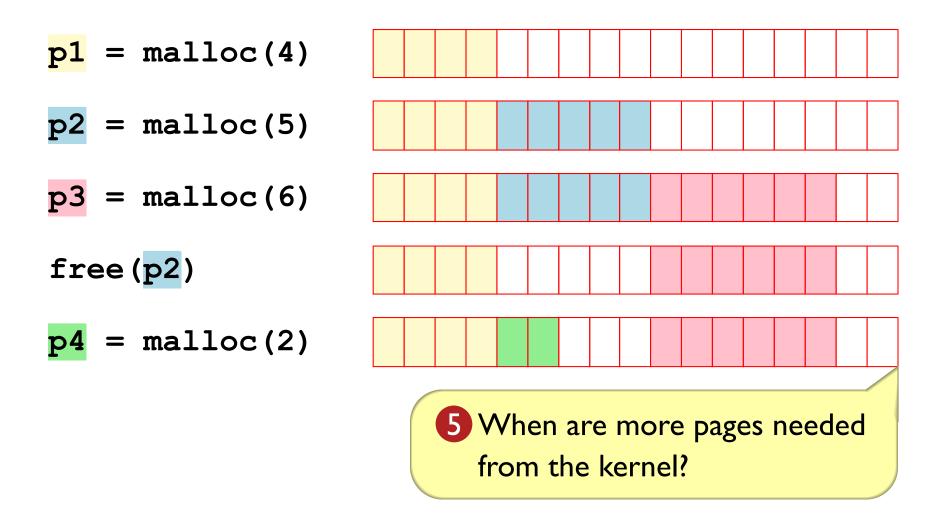




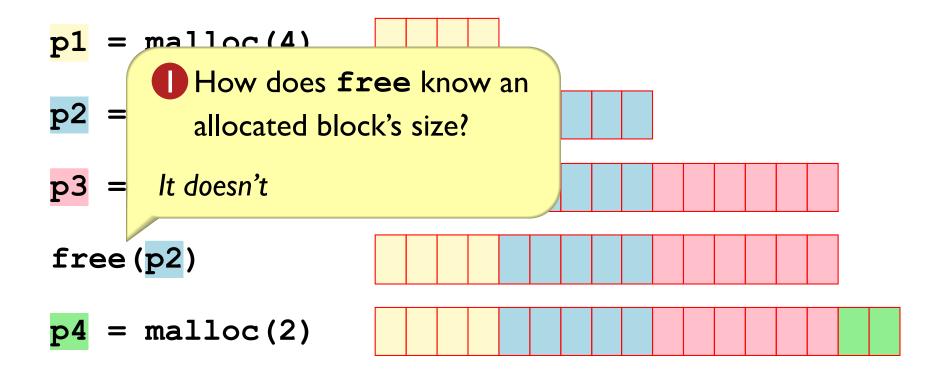


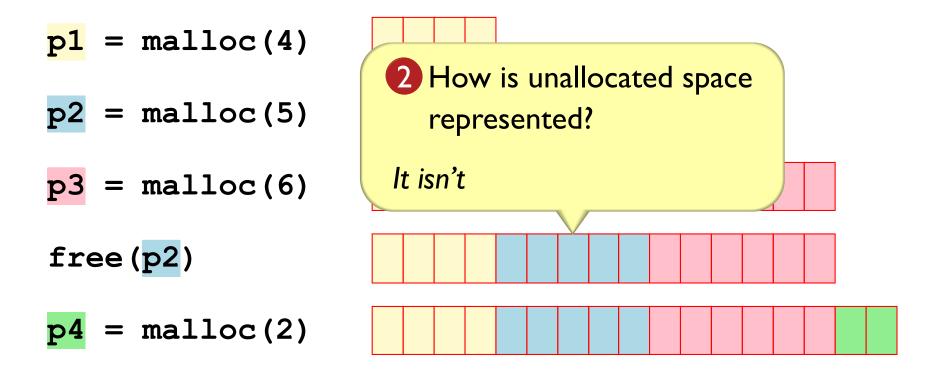


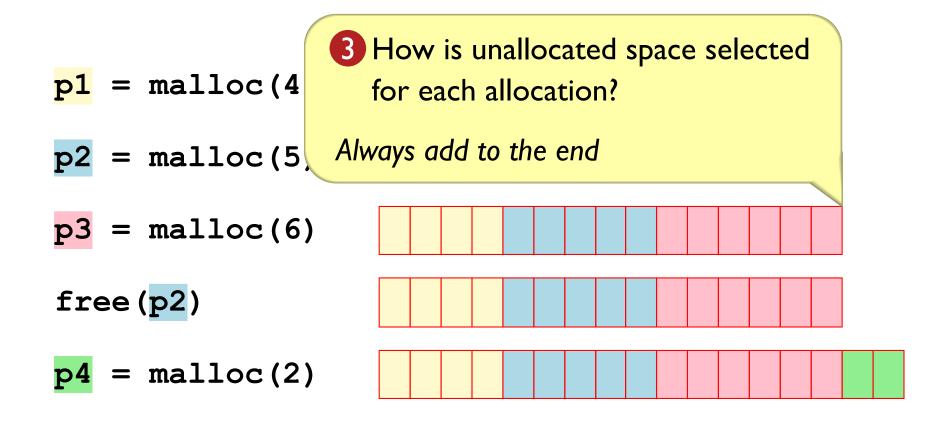


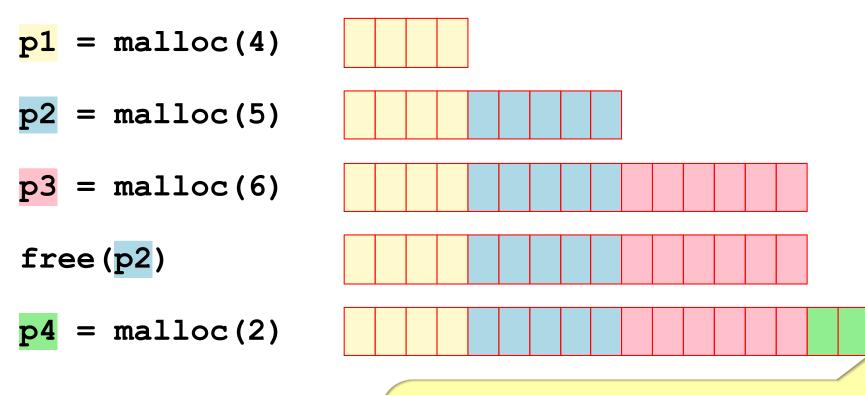


- How does **free** know an allocated block's size?
- 2 How is unallocated space represented?
- 13 How is unallocated space selected for each allocation?
- 4 How finely is unallocated space tracked?
- When are more pages needed from the kernel?









When are more pages needed from the kernel?

Every allocation

Real allocator needs to produce pointers aligned on 16 bytes:

```
#define ALIGNMENT 16
#define ALIGN(size) (((size) + (ALIGNMENT-1)) & ~(ALIGNMENT-1))
```

```
void *mm_malloc(size_t size) {
  return sbrk(ALIGN(size));
}

void mm_free(void *p) {
}
```

4 How finely is unallocated space tracked?

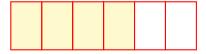
Some unallocated space can be left in a block for alignment padding

Picture Conventions

Since an implementation aligns to 16 bytes:

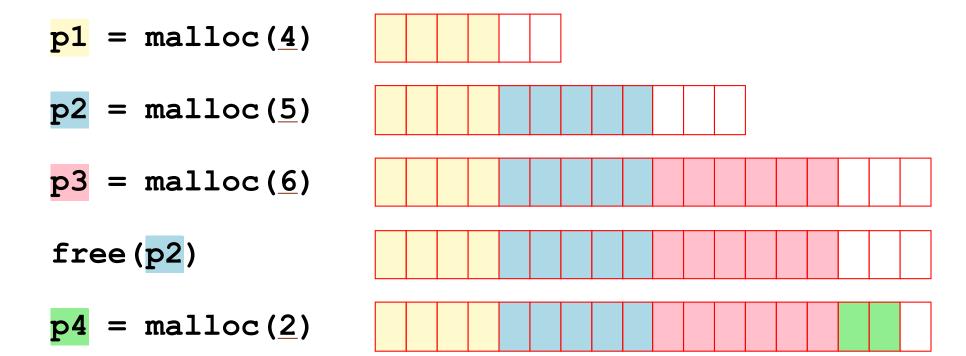
$$N = N \times 16$$
 bytes

$$p1 = malloc(4)$$



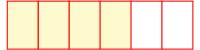
allocation of 64 bytes

Chunk size of 6:



Chunk size of <u>6</u>:

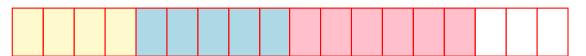
$$p1 = malloc(4)$$



$$p2 = malloc(5)$$



$$p3 = malloc(6)$$



free(p2)

When are more pages needed from the kernel?

p4 = mall

When more is needed for an allocation

Pick a chunk size:

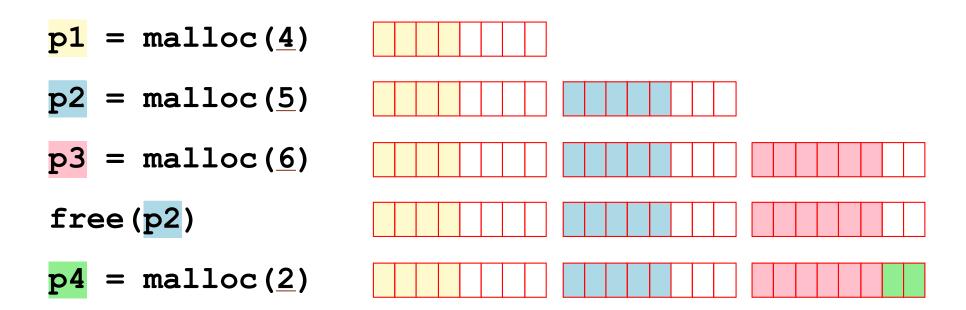
```
#define CHUNK_SIZE (1 << 14)
#define CHUNK_ALIGN(size) (((size) + (CHUNK_SIZE-1)) & ~(CHUNK_SIZE-1))</pre>
```

```
void *current_avail = NULL;
size_t current_avail_size = 0;
int mm_init() {
  current_avail = sbrk(0);
  current_avail_size = 0;
  return 0;
}
```

```
void *mm malloc(size t size) {
  size t newsize = ALIGN(size);
  void *p;
  if (current avail size < newsize) {</pre>
    sbrk(CHUNK ALIGN(newsize));
    current_avail_size += CHUNK_ALIGN(newsize);
  p = current avail;
  current avail += newsize;
  current_avail_size -= newsize;
  return p;
                                                Сору
```

Naive mmap Allocator

Page size of 8:



Naive mmap Allocator

5 When are more pages needed from the kernel?

Page size of 8:

When the most recent page doesn't have space

$$p1 = malloc(4)$$

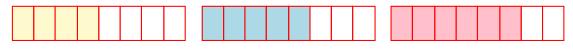


$$p2 = malloc(5)$$



$$p3 = malloc(6)$$





$$p4 = malloc(2)$$

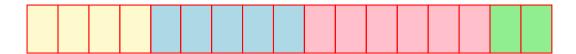


Naive mmap Allocator

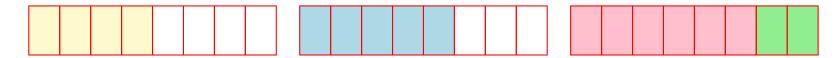
```
void *current avail = NULL;
size t current avail size = 0;
void *mm malloc(size t size) {
  size t newsize = ALIGN(size);
 void *p;
  if (current avail size < newsize) {</pre>
    current avail = mmap(0, CHUNK ALIGN(newsize),
                         PROT READ | PROT WRITE, MAP PRIVATE | MAP ANON,
                          -1, 0);
    current avail size = CHUNK ALIGN(newsize);
 p = current avail;
  current avail += newsize;
  current avail size -= newsize;
  return p;
```

Unallocated space in mapped pages is wasted

Naive sbrk:

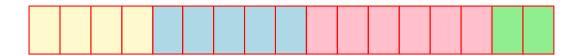


Naive mmap:

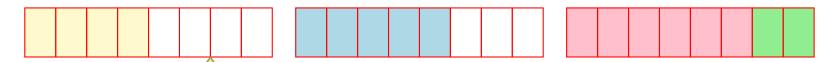


Unallocated space in mapped pages is wasted

Naive sbrk:



Naive mmap:



wasted space = **fragmentation**

Unallocated space in mapped pages is wasted

Naive sbrk:



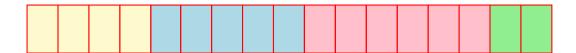
Naive mmap:



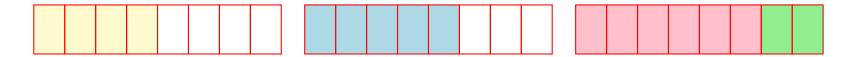
Pick page chunk ≫ allocation size

Unallocated space in mapped pages is wasted

Naive sbrk:



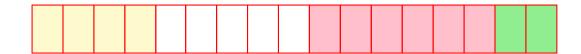
Naive mmap:



Fragmentation

Unallocated space in mapped pages is wasted

Naive sbrk:



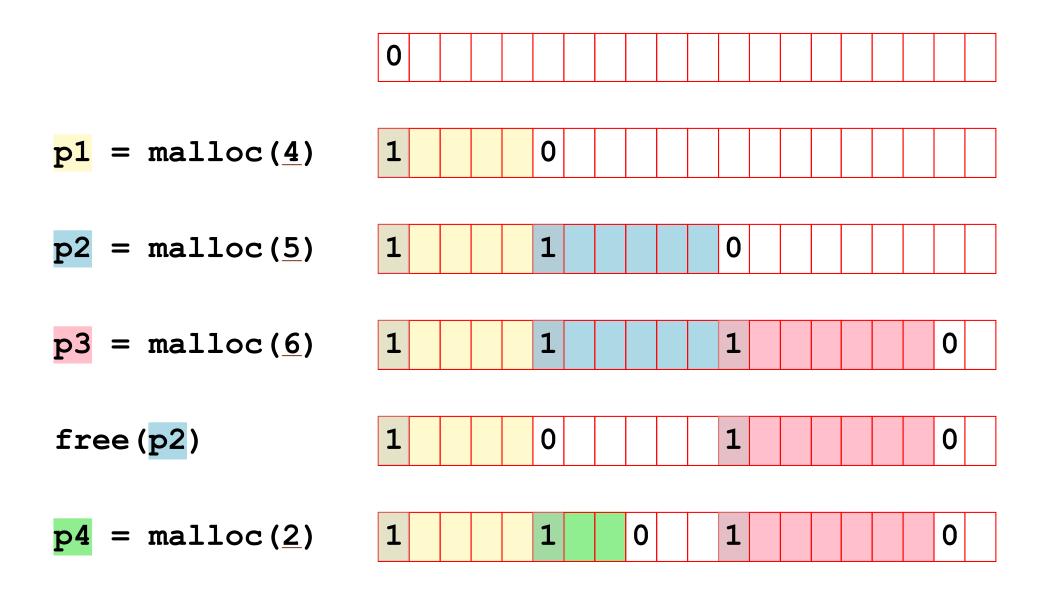
Naive mmap:



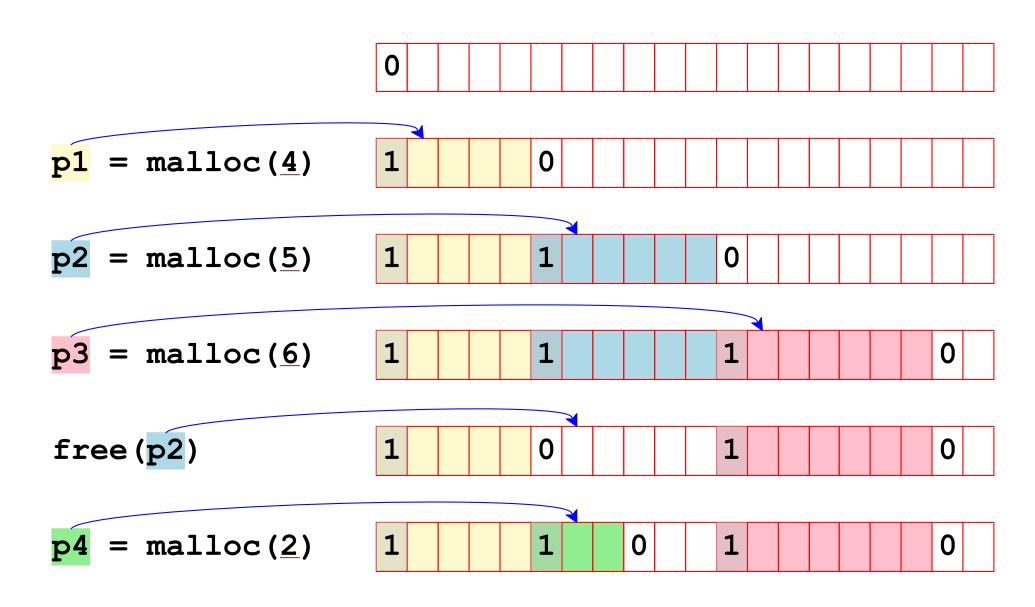
Taking **free** into account, both naive implementations suffer from extreme fragmentation

... so we need to keep track of unallocated space

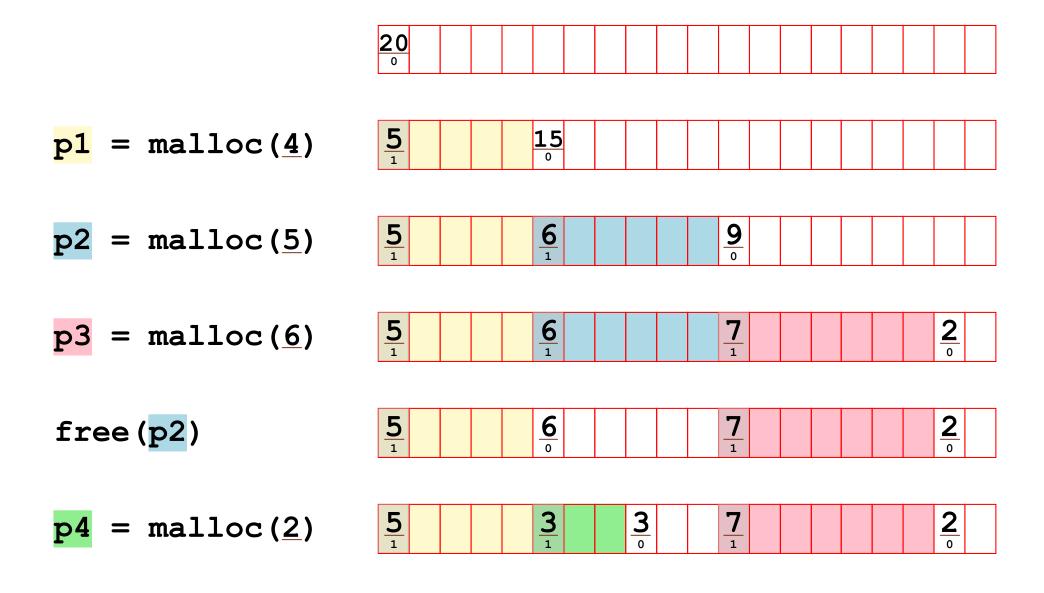
Allocation Bit in a Block Header

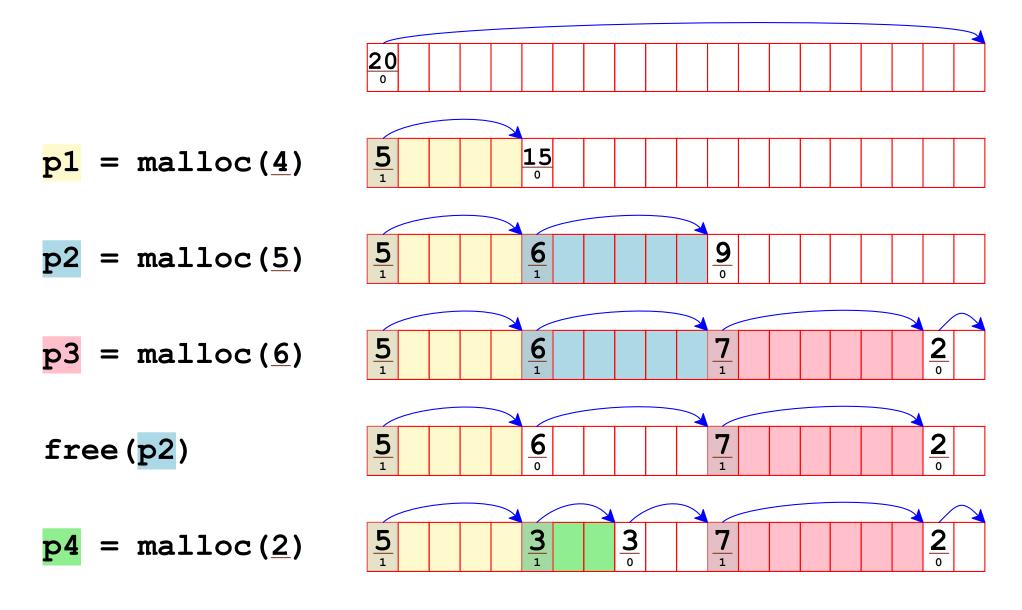


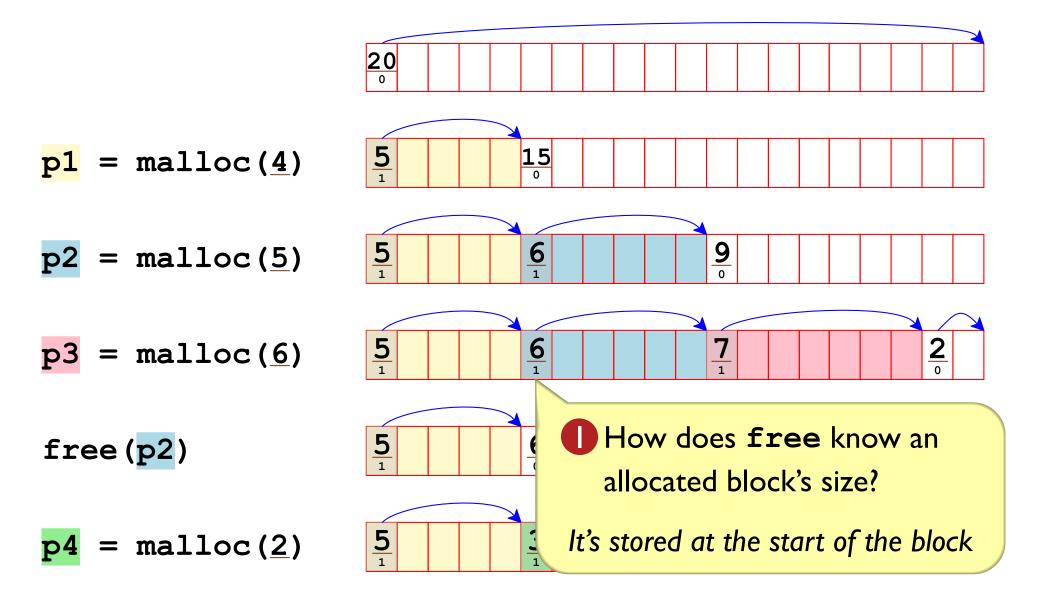
Allocation Bit in a Block Header

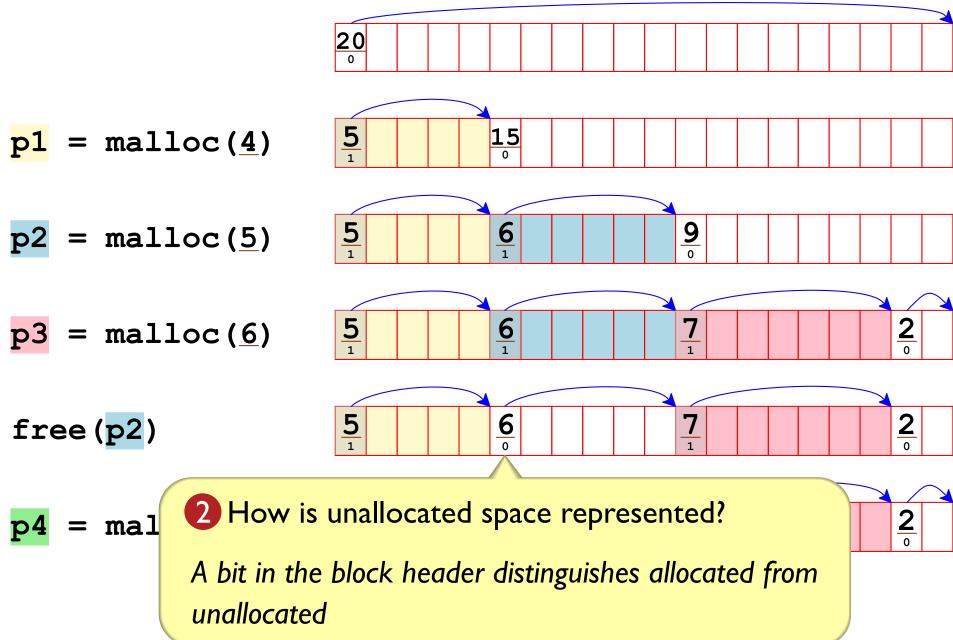


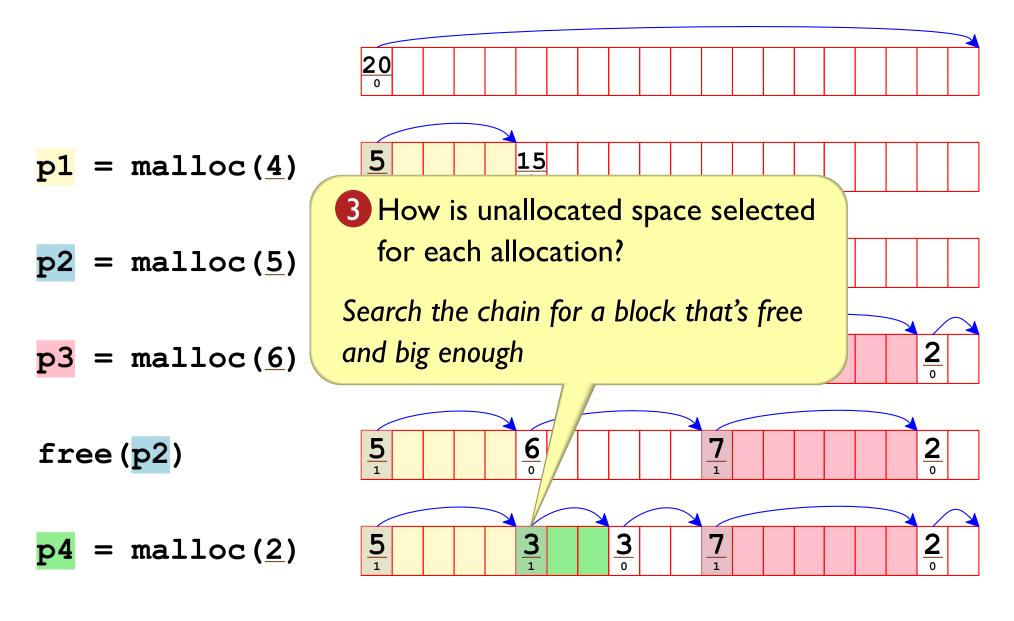
Size + Allocation Bit in a Block Header

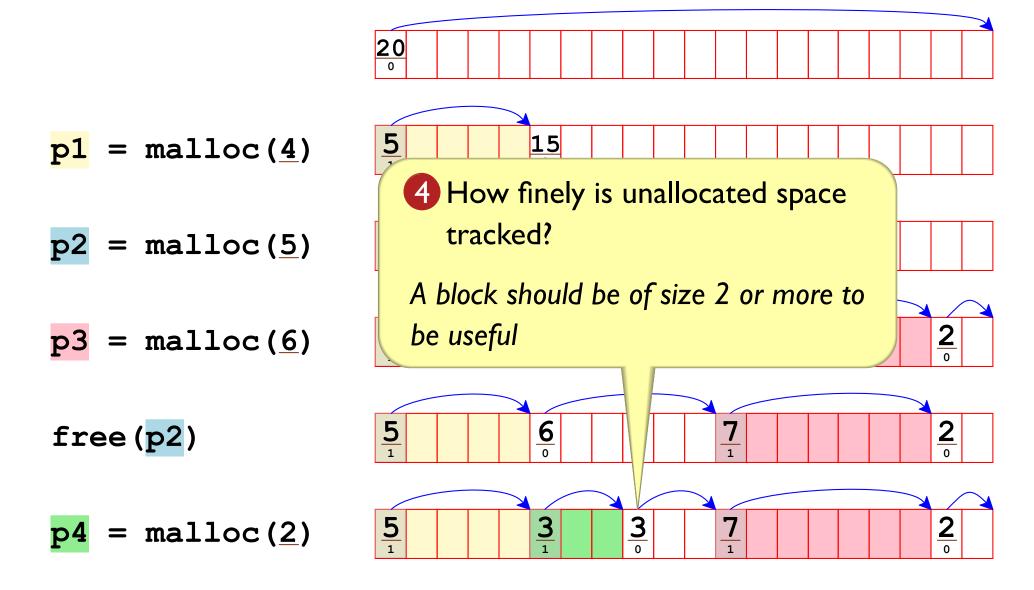


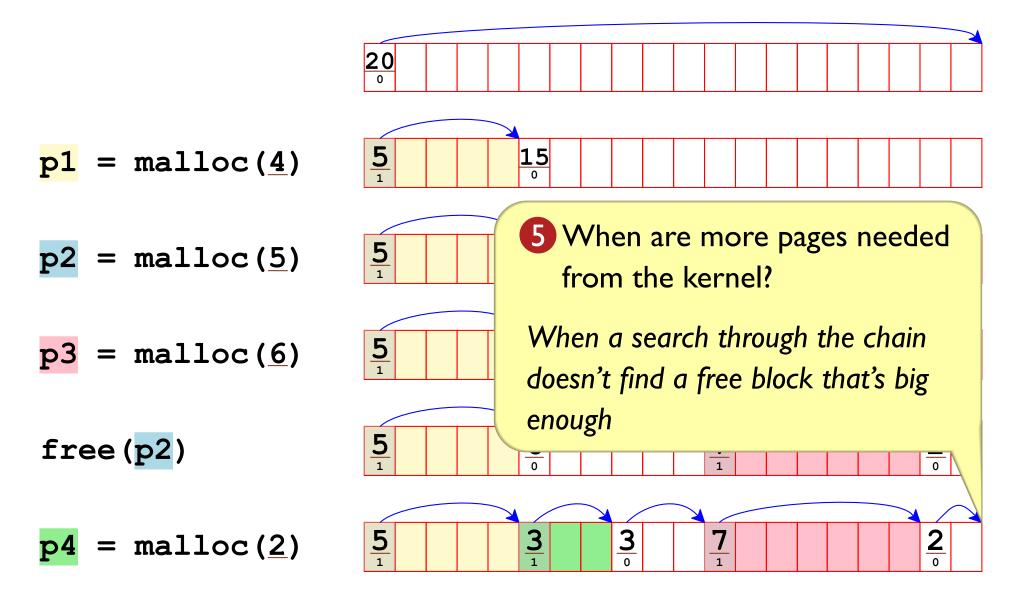




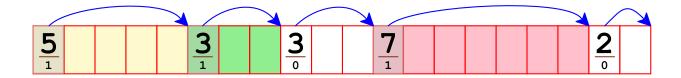






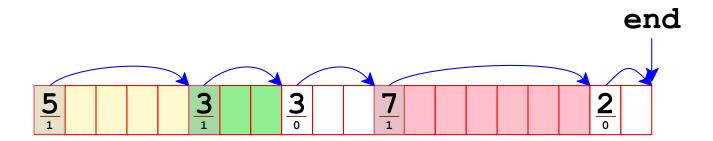


Terminating the Block List

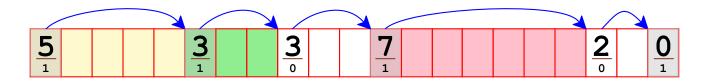


How does the allocator know that the size-2 block is the last one?

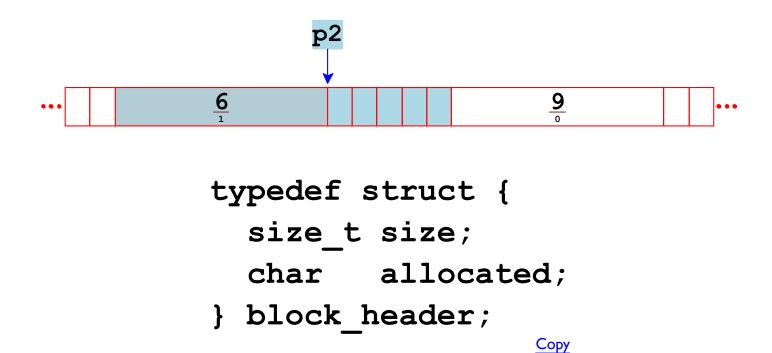
Compare the next pointer to an end-of-heap address

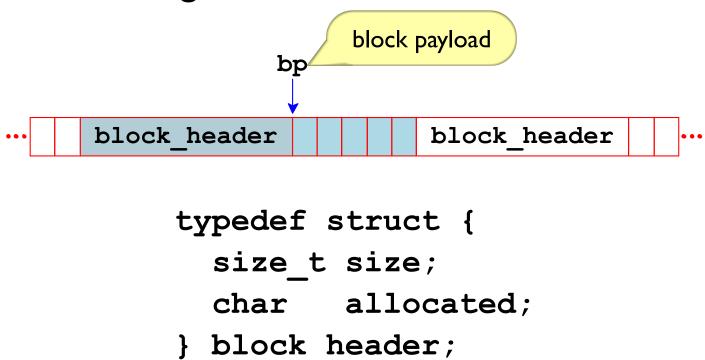


or Add a "zero"-sized block to terminate the chain



```
typedef struct {
    size_t size;
    char allocated;
} block_header;
```





Сору

```
typedef struct {
    size_t size;
    char allocated;
} block_header;

sizeof(block_header) = 16
```

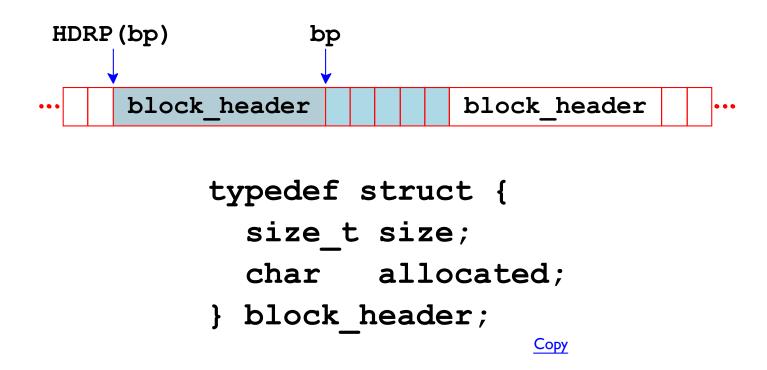
Aligned payload size ⇒ 16-byte alignment preserved

... although that's a lot of empty space

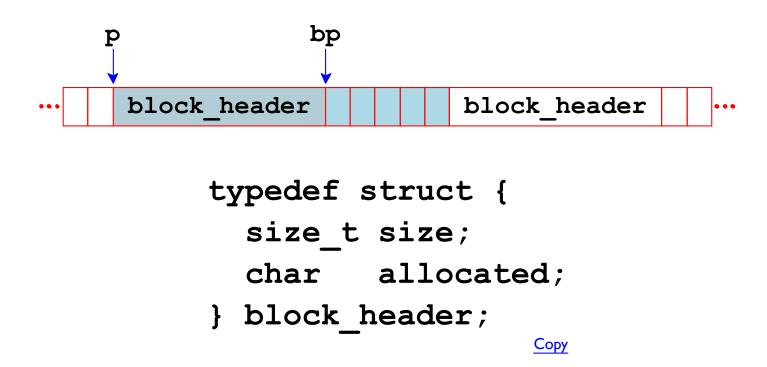
```
bp

typedef struct {
    size_t size;
    char allocated;
} block_header;
```

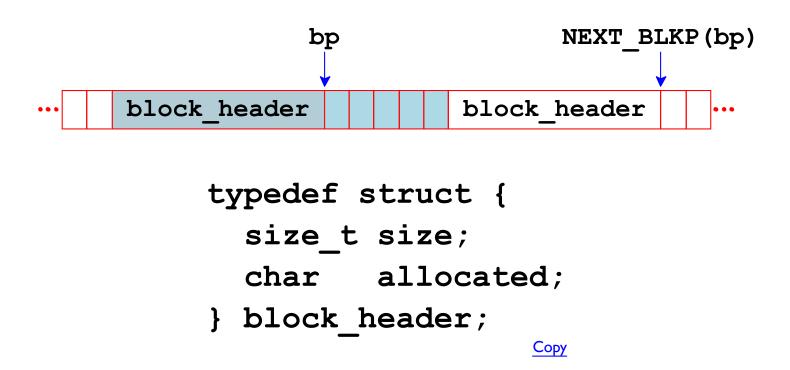
Macro for block overhead:



Macro for getting the header from a payload pointer:



Macros for working with a raw pointer as the header:



Macro for getting the next block's payload:

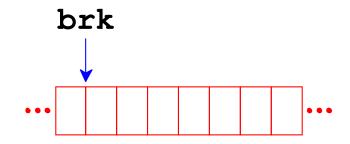


```
void *first_bp;

int mm_init() {
    sbrk(sizeof(block_header));
    first_bp = sbrk(0);

GET_SIZE(HDRP(first_bp)) = 0;
    GET_ALLOC(HDRP(first_bp)) = 1;

return 0;
}
```



```
void *first_bp;

int mm_init() {
    sbrk(sizeof(block_header));
    first_bp = sbrk(0);

GET_SIZE(HDRP(first_bp)) = 0;
    GET_ALLOC(HDRP(first_bp)) = 1;

return 0;
}
```

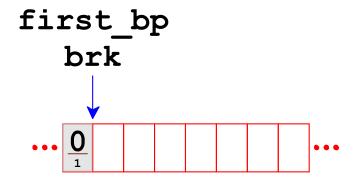
```
first_bp
brk
...
```

```
void *first_bp;

int mm_init() {
    sbrk(sizeof(block_header));
    first_bp = sbrk(0);

GET_SIZE(HDRP(first_bp)) = 0;
    GET_ALLOC(HDRP(first_bp)) = 1;

return 0;
}
```

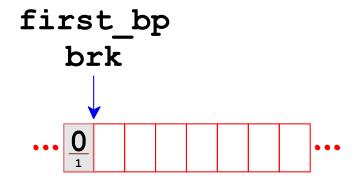


```
void *first_bp;

int mm_init() {
    sbrk(sizeof(block_header));
    first_bp = sbrk(0);

GET_SIZE(HDRP(first_bp)) = 0;
    GET_ALLOC(HDRP(first_bp)) = 1;

    return 0;
}
```

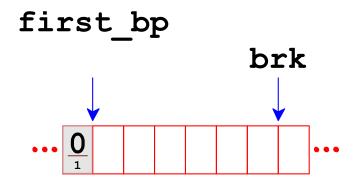


```
void extend(size_t new_size) {
  size_t chunk_size = CHUNK_ALIGN(new_size);
  void *bp = sbrk(chunk_size);

GET_SIZE(HDRP(bp)) = chunk_size;
GET_ALLOC(HDRP(bp)) = 0;

GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;

GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```

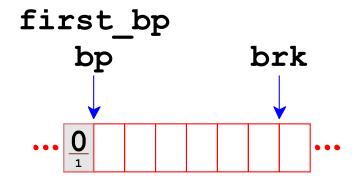


```
void extend(size_t new_size) {
   size_t chunk_size = CHUNK_ALIGN(new_size);
   void *bp = sbrk(chunk_size);

GET_SIZE(HDRP(bp)) = chunk_size;
GET_ALLOC(HDRP(bp)) = 0;

GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;

GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```

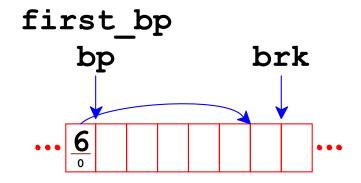


```
void extend(size_t new_size) {
  size_t chunk_size = CHUNK_ALIGN(new_size);
  void *bp = sbrk(chunk_size);

GET_SIZE(HDRP(bp)) = chunk_size;
GET_ALLOC(HDRP(bp)) = 0;

GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;

GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```

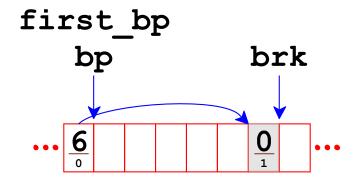


```
void extend(size_t new_size) {
  size_t chunk_size = CHUNK_ALIGN(new_size);
  void *bp = sbrk(chunk_size);

GET_SIZE(HDRP(bp)) = chunk_size;
GET_ALLOC(HDRP(bp)) = 0;

GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;

GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```



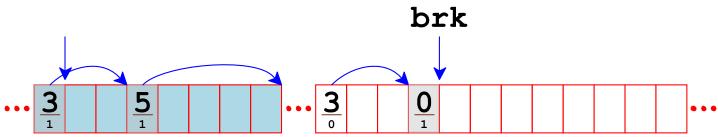
```
void extend(size_t new_size) {
  size_t chunk_size = CHUNK_ALIGN(new_size);
  void *bp = sbrk(chunk_size);

GET_SIZE(HDRP(bp)) = chunk_size;
GET_ALLOC(HDRP(bp)) = 0;

GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;

GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```

first_bp

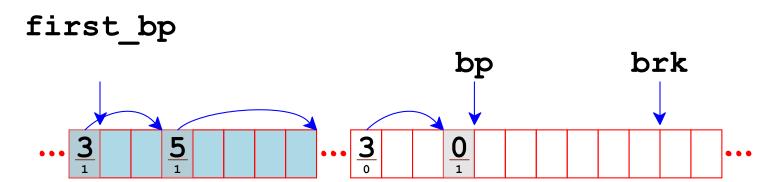


```
void extend(size_t new_size) {
  size_t chunk_size = CHUNK_ALIGN(new_size);
  void *bp = sbrk(chunk_size);

GET_SIZE(HDRP(bp)) = chunk_size;
  GET_ALLOC(HDRP(bp)) = 0;

GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;

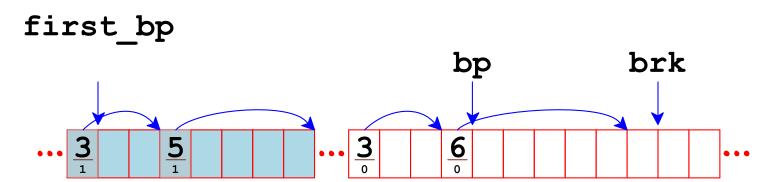
GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```



```
void extend(size_t new_size) {
   size_t chunk_size = CHUNK_ALIGN(new_size);
   void *bp = sbrk(chunk_size);

   GET_SIZE(HDRP(bp)) = chunk_size;
   GET_ALLOC(HDRP(bp)) = 0;

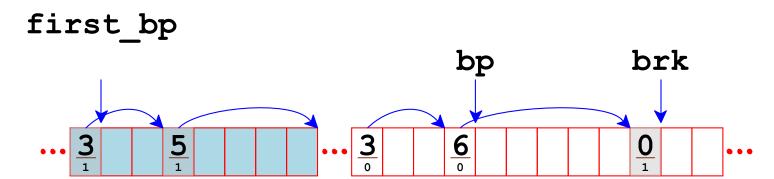
   GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;
   GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```



```
void extend(size_t new_size) {
   size_t chunk_size = CHUNK_ALIGN(new_size);
   void *bp = sbrk(chunk_size);

   GET_SIZE(HDRP(bp)) = chunk_size;
   GET_ALLOC(HDRP(bp)) = 0;

   GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;
   GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```



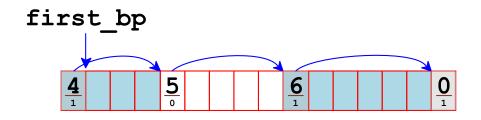
```
void extend(size_t new_size) {
  size_t chunk_size = CHUNK_ALIGN(new_size);
  void *bp = sbrk(chunk_size);

GET_SIZE(HDRP(bp)) = chunk_size;
  GET_ALLOC(HDRP(bp)) = 0;

GET_SIZE(HDRP(NEXT_BLKP(bp))) = 0;

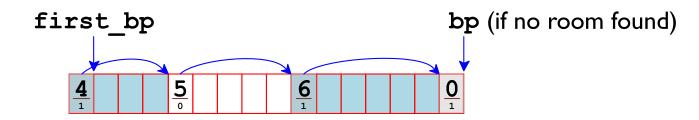
GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 1;
}
```

Finding a Block to Allocate



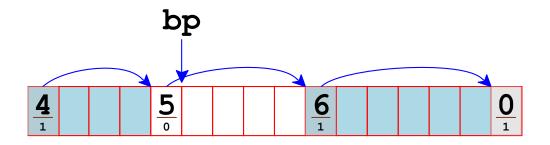
```
void *mm malloc(size t size) {
  int new size = ALIGN(size + OVERHEAD);
 void *bp = first bp;
 while (GET SIZE(HDRP(bp)) != 0) {
    if (!GET ALLOC(HDRP(bp))
        && (GET SIZE(HDRP(bp)) >= new size)) {
      set allocated(bp, new_size);
      return bp;
   bp = NEXT BLKP(bp);
  extend(new size);
  set allocated(bp, new size);
  return bp;
                                              Сору
```

Finding a Block to Allocate



```
void *mm malloc(size t size) {
  int new size = ALIGN(size + OVERHEAD);
 void *bp = first bp;
 while (GET SIZE(HDRP(bp)) != 0) {
    if (!GET ALLOC(HDRP(bp))
        && (GET SIZE(HDRP(bp)) >= new size)) {
      set allocated(bp, new_size);
      return bp;
   bp = NEXT BLKP(bp);
  extend(new size);
  set allocated(bp, new size);
  return bp;
                                              Сору
```

Marking a Block as Allocated

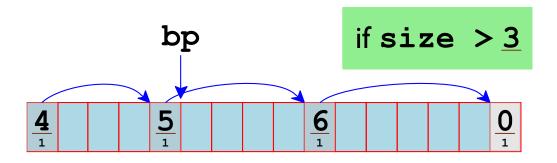


```
void set_allocated(void *bp, size_t size) {
    size_t extra_size = GET_SIZE(HDRP(bp)) - size;

if (extra_size > ALIGN(1 + OVERHEAD)) {
    GET_SIZE(HDRP(bp)) = size;
    GET_SIZE(HDRP(NEXT_BLKP(bp))) = extra_size;
    GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 0;
}

GET_ALLOC(HDRP(bp)) = 1;
}
```

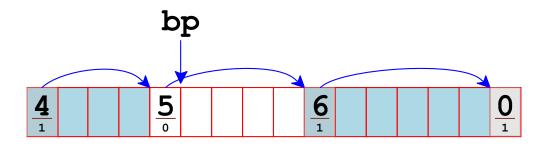
Marking a Block as Allocated



```
void set_allocated(void *bp, size_t size) {
    size_t extra_size = GET_SIZE(HDRP(bp)) - size;

if (extra_size > ALIGN(1 + OVERHEAD)) {
    GET_SIZE(HDRP(bp)) = size;
    GET_SIZE(HDRP(NEXT_BLKP(bp))) = extra_size;
    GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 0;
}

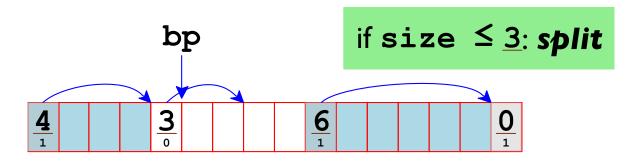
GET_ALLOC(HDRP(bp)) = 1;
}
```



```
void set_allocated(void *bp, size_t size) {
    size_t extra_size = GET_SIZE(HDRP(bp)) - size;

if (extra_size > ALIGN(1 + OVERHEAD)) {
    GET_SIZE(HDRP(bp)) = size;
    GET_SIZE(HDRP(NEXT_BLKP(bp))) = extra_size;
    GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 0;
}

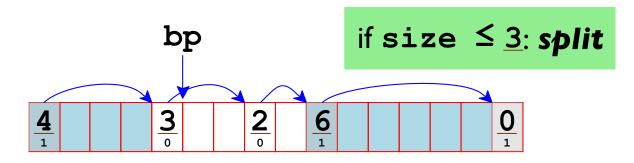
GET_ALLOC(HDRP(bp)) = 1;
}
```



```
void set_allocated(void *bp, size_t size) {
    size_t extra_size = GET_SIZE(HDRP(bp)) - size;

if (extra_size > ALIGN(1 + OVERHEAD)) {
    GET_SIZE(HDRP(bp)) = size;
    GET_SIZE(HDRP(NEXT_BLKP(bp))) = extra_size;
    GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 0;
}

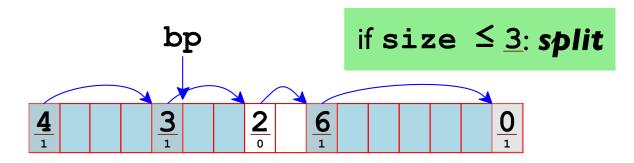
GET_ALLOC(HDRP(bp)) = 1;
}
```



```
void set_allocated(void *bp, size_t size) {
    size_t extra_size = GET_SIZE(HDRP(bp)) - size;

if (extra_size > ALIGN(1 + OVERHEAD)) {
    GET_SIZE(HDRP(bp)) = size;
    GET_SIZE(HDRP(NEXT_BLKP(bp))) = extra_size;
    GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 0;
}

GET_ALLOC(HDRP(bp)) = 1;
}
```

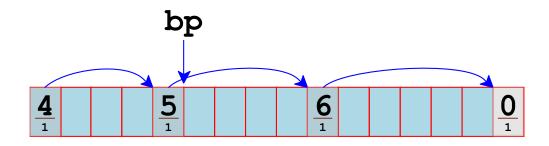


```
void set_allocated(void *bp, size_t size) {
    size_t extra_size = GET_SIZE(HDRP(bp)) - size;

if (extra_size > ALIGN(1 + OVERHEAD)) {
    GET_SIZE(HDRP(bp)) = size;
    GET_SIZE(HDRP(NEXT_BLKP(bp))) = extra_size;
    GET_ALLOC(HDRP(NEXT_BLKP(bp))) = 0;
}

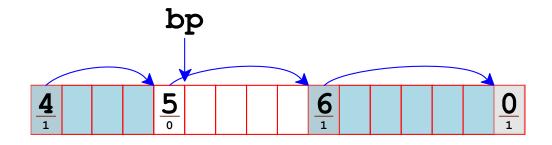
GET_ALLOC(HDRP(bp)) = 1;
}
```

Freeing a Block



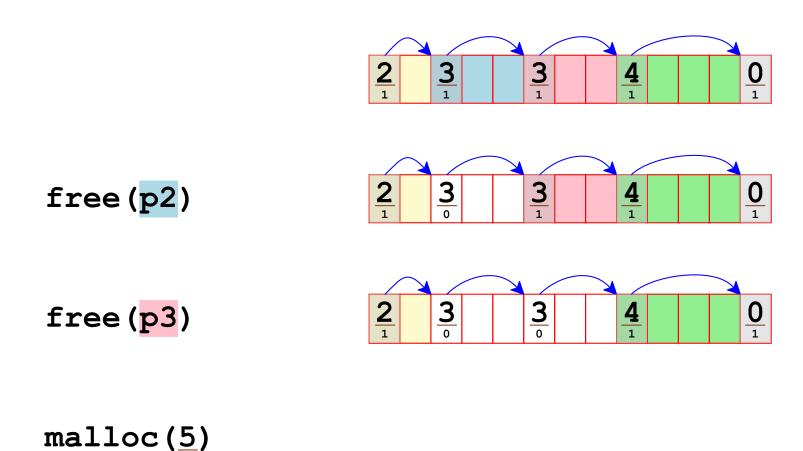
```
void mm_free(void *bp) {
   GET_ALLOC(HDRP(bp)) = 0;
}
```

Freeing a Block

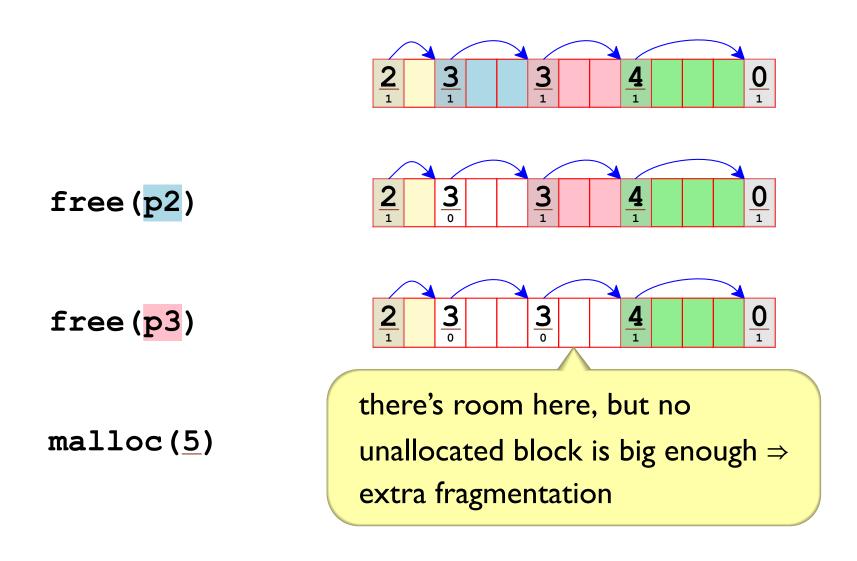


```
void mm_free(void *bp) {
  GET_ALLOC(HDRP(bp)) = 0;
}
```

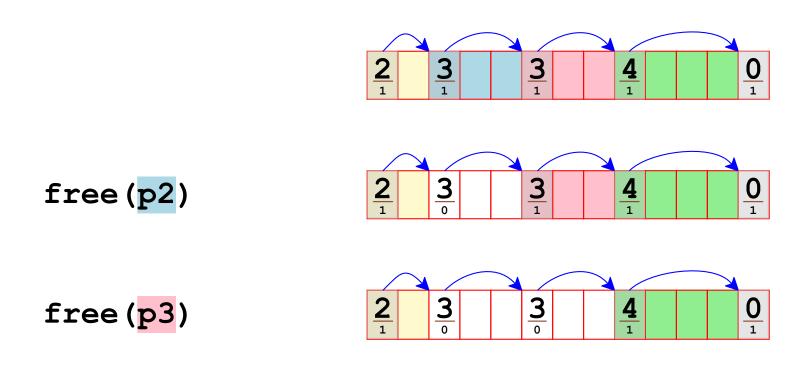
Freeing Multiple Blocks



Freeing Multiple Blocks



Freeing Multiple Blocks



malloc(5)

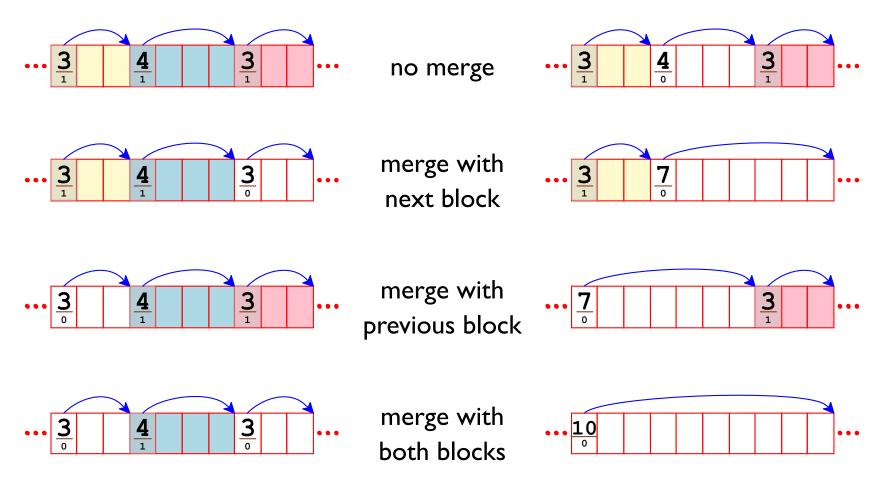
free should coalesce adjacent unallocated blocks

Coalescing Unallocated Blocks

Needed invariant: no two unallocated blocks are adjacent

can maintain at each free call

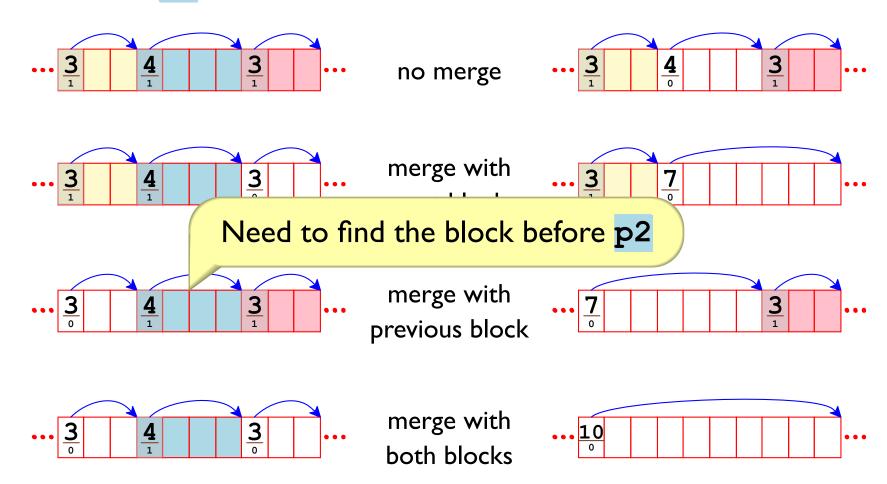
For free (p2):

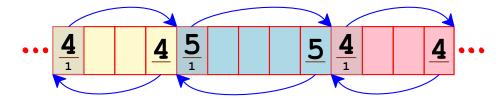


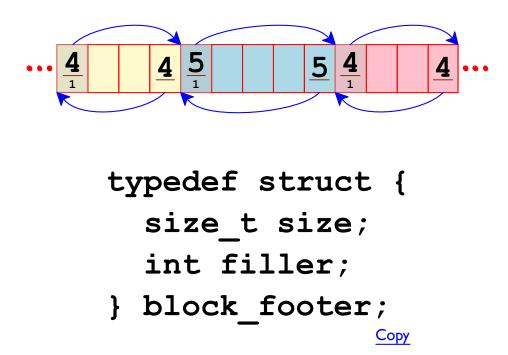
Coalescing Unallocated Blocks

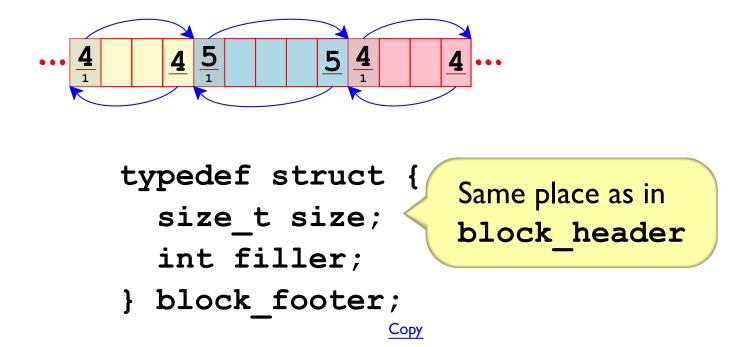
Needed invariant: no two unallocated blocks are adjacent can maintain at each **free** call

For free (p2):









```
typedef struct {
    size_t size;
    int filler;
} block_footer;
```

```
PREV BLKP (bp) bp
                                    4
                     typedef struct {
                       size t size;
                       int filler;
                     } block footer;
#define PREV_BLKP(bp) ((char *)(bp)-GET_SIZE((char *)(bp)-OVERHEAD))
                                                            Сору
```

```
PREV BLKP(bp) bp FTRP(bp)
                               4
                typedef struct {
                   size t size;
                   int filler;
                } block footer;
#define FTRP(bp) ((char *)(bp)+GET SIZE(HDRP(bp))-OVERHEAD)
                                                   Сору
```

Setting Block Sizes in Footers

```
void extend(size t new size) {
  GET SIZE(HDRP(bp)) = chunk size;
  GET SIZE(FTRP(bp)) = chunk size;
void set allocated(void *bp, size t size) {
    GET SIZE(HDRP(bp)) = size;
    GET SIZE(FTRP(bp)) = size;
    GET SIZE(HDRP(NEXT BLKP(bp))) = extra size;
    GET SIZE(FTRP(NEXT BLKP(bp))) = extra_size;
                                              Сору
```

Coalescing after Free

```
void mm_free(void *bp) {
   GET_ALLOC(HDRP(bp)) = 0;
   coalesce(bp);
}
```

```
void *coalesce(void *bp) {
    size_t prev_alloc = GET_ALLOC(HDRP(PREV_BLKP(bp)));
    size_t next_alloc = GET_ALLOC(HDRP(NEXT_BLKP(bp)));
    size_t size = GET_SIZE(HDRP(bp));
    ....

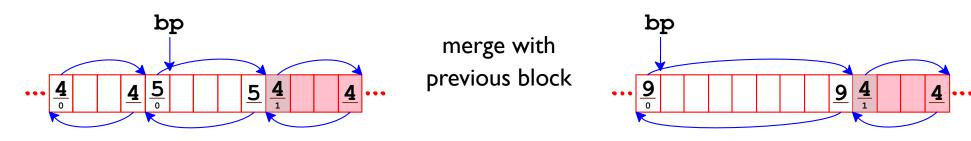
return bp;
}
```



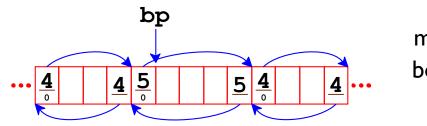
```
void *coalesce(void *bp) {
  size t prev alloc = GET ALLOC(HDRP(PREV BLKP(bp)));
  size t next_alloc = GET_ALLOC(HDRP(NEXT_BLKP(bp)));
  size t size = GET_SIZE(HDRP(bp));
  else if (prev_alloc && !next_alloc) {      /* Case 2 */
     size += GET SIZE(HDRP(NEXT BLKP(bp)));
     GET SIZE(HDRP(bp)) = size;
     GET SIZE(FTRP(bp)) = size;
                                                        Сору
```



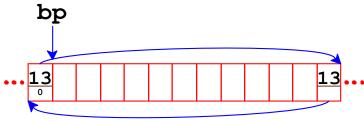
```
void *coalesce(void *bp) {
  size t prev alloc = GET ALLOC(HDRP(PREV BLKP(bp)));
  size t next alloc = GET ALLOC(HDRP(NEXT BLKP(bp)));
  size t size = GET SIZE(HDRP(bp));
  else if (!prev_alloc && next_alloc) {      /* Case 3 */
    size += GET SIZE(HDRP(PREV BLKP(bp)));
    GET SIZE(FTRP(bp)) = size;
    GET SIZE(HDRP(PREV BLKP(bp))) = size;
    bp = PREV BLKP(bp);
                                                        Сору
```



```
void *coalesce(void *bp) {
  size t prev alloc = GET ALLOC(HDRP(PREV BLKP(bp)));
  size t next alloc = GET ALLOC(HDRP(NEXT BLKP(bp)));
  size t size = GET SIZE(HDRP(bp));
                                             /* Case 4 */
  else {
    size += (GET SIZE(HDRP(PREV BLKP(bp)))
             + GET SIZE (HDRP (NEXT BLKP (bp)));
    GET SIZE(HDRP(PREV_BLKP(bp))) = size;
    GET SIZE(FTRP(NEXT BLKP(bp))) = size;
    bp = PREV BLKP(bp);
                                                        Сору
```

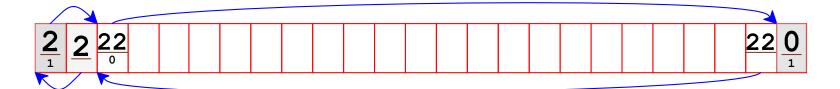


merge with both blocks



Prolog Block

Create a prolog block so coalesce can always look backwards



```
int mm_init() {
   ....
  mm_malloc(0); /* never freed */
   ....
}
```