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# **FMDQ SECURITIES EXCHANGE LIMITED**

## **FX TRADING (CORPORATE) MEMBERSHIP APPLICATION FORM**

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**May 2021**

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**FMDQ SECURITIES EXCHANGE LIMITED  
FX TRADING CORPORATES APPLICATION FORM**

**APPLICANT INFORMATION**

<b>Name of Body Corporate:</b>	
<b>RC Number:</b>	
<b>Registered Office Address:</b>	
<b>Date of Incorporation:</b>	
<b>Place of Incorporation:</b>	
<b>Nature of Business:</b>	
<b>Company Telephone Number(s):</b>	
<b>Company Email Address:</b>	
<b>Corporate Website Address:</b>	

**KEY OFFICERS**

**MANAGING DIRECTOR/CHIEF EXECUTIVE OFFICER (MD/CEO)**

Name:	
Email:	Telephone/Mobile No.:

**TREASURER/CHIEF FINANCIAL OFFICER**

Name:	
Email:	Telephone/Mobile No.:

**CHIEF COMPLIANCE OFFICER**

Name:	
Email:	Telephone/Mobile No.:

**REQUIRED DOCUMENTS - TICK IF PROVIDED**

<b>Company Profile</b> <ul style="list-style-type: none"> <li>History &amp; Company Overview</li> <li>Details of Business Services</li> <li>Profiles of Board of Directors</li> <li>Profiles of Executive Management Staff</li> </ul>	
<b>Certificate of Incorporation</b>	
<b>Memorandum and Articles of Association or other equivalent documentation</b>	

Particulars of Directors or other equivalent documentation (e.g. CAC Form 7 for Nigerian Companies)	
Particulars of Shareholders or other equivalent documentation (e.g. CAC Form 2 for Nigerian Private Limited Companies)	
Most recent one (1) year audited financial statements, not exceeding eighteen (18) months from the previous financial year end	
List of Authorised Representatives <sup>1</sup> (stating their designations). The following are the minimum required Authorised Representatives to be provided: <ul style="list-style-type: none"> <li>▪ Managing Director/CEO</li> <li>▪ Treasurer/Chief Financial Officer (as applicable)</li> <li>▪ Chief Compliance Officer</li> <li>▪ Primary Contact(s)</li> </ul>	
Evidence of Payment of Onboarding Fee	

Email submission of documents MUST be made to [meg@fmdqgroup.com](mailto:meg@fmdqgroup.com)

**Physical copies may be sent to:**

**Member Regulation Group**

FMDQ Securities Exchange Limited  
Exchange Place  
35 Idowu Taylor Street  
Victoria Island  
Lagos  
Nigeria

**Attention: Member Regulation Group**

**APPLICANT DECLARATION**

*By submitting this application to become FX Trading Corporates of FMDQ Securities Exchange Limited:*

- *I/We declare that the information provided is complete and accurate and I/we agree, if approved, to comply with and be bound by all FMDQ Exchange Rules, Guidelines and such other regulation as may be in force from time to time*
- *I/We shall notify FMDQ Exchange of any additional information which is relevant to the application and of any significant changes in the information provided in this application which occur after the date of submission of the application*
- *I/We understand that misleading or attempting to mislead representatives of FMDQ Exchange during the application process shall render this application null and void*

<sup>1</sup> Authorised Representatives are persons authorised by the Member to perform activities on its behalf on FMDQ Securities Exchange Limited ('FMDQ Exchange' or 'the Exchange')

- *I/We agree that any entity within the FMDQ Group may have access to the information contained herein for marketing purposes*

**Signature:**

#### **FEE PAYMENT INFORMATION**

Accepted Modes of Payment: Cheque/Bank Draft/Online Transfer

*All cheques are payable to “FMDQ SECURITIES EXCHANGE LIMITED”*

**Account Details:**

Bank	Access Bank PLC
Account Name	FMDQ Holdings PLC
Account Number	0689977404
Sort Code	044151106

*In the case of online transfers, kindly specify payment reference in the format below:*

***“FMDQ Exchange/(Category of Membership)/(Company or Individual Name)/(Payment Date: DD.MM.YYYY)”***

***For example: FMDQ Exchange/FX Trading (Corporate)/OANDO/31.03.2021***

**THOMSON REUTERS REQUIREMENTS - TICK IF PROVIDED**

Completed Thomson Reuters Contract/Form	
Certificate of Incorporation	
Memorandum and Articles of Association or other equivalent documentation	
Particulars of Directors or other equivalent documentation (e.g. CAC Form 7 for Nigerian companies)	
Evidence of regulatory status from a financial regulator	
Certified company ownership structure	
Names and contact details of the FX traders	
Public Internet Protocol (IP) address and Internet Service Provider (ISP)	
Thomson Reuters account ID (for existing Thomson Reuters clients)	

***In addition to the above, please note the following:***

**a. Document Certification**

Personal Identity documents and Corporate documents need to be certified by a solicitor (lawyer), a qualified accountant or a notary public, using the following language: *"I, [name of certifying person] a [position] have seen the original document from which this copy was produced and I can confirm that it appears to me to be a genuine document."* [signed & dated]

**b. Document Format**

All documents must be current and identity documents must be valid

***All Thomson Reuters application requirements MUST BE forwarded via email to [samuel.ngadi@thomsonreuters.com](mailto:samuel.ngadi@thomsonreuters.com) and [uche.nneji@thomsonreuters.com](mailto:uche.nneji@thomsonreuters.com)***

## FMDQ SECURITIES EXCHANGE LIMITED FX TRADING CORPORATES AGREEMENT

We/I \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_ hereby agree to be an FX Trading Corporate on FMDQ Securities Exchange Limited ("FMDQ Exchange" or the "Exchange"), a Securities Exchange organised under the laws of the Federal Republic of Nigeria (together, the "Parties"), subject to the terms and conditions below.

### AS AN FX TRADING CORPORATE OF FMDQ WE UNDERTAKE TO:

1. Abide by all the FMDQ Exchange Rules, Guidelines, Bulletins and such other regulation as FMDQ Exchange may introduce to the market from time to time
2. Abide by the provisions of the Foreign Exchange (Monitoring and Miscellaneous Provisions) Act 2004 and all Circulars issued by the Central Bank of Nigeria (CBN) from time to time
3. Use the FMDQ Thomson Reuters FX Trading System strictly for the purpose of engaging in FX trading activities with CBN FX Authorised Dealers
4. Ensure that all our Authorised Representatives<sup>2</sup> act in good faith in respect of all our affairs with the Exchange and in relation to all activities as a Member on FMDQ Exchange
5. Notify FMDQ Exchange immediately in writing of any material changes to the information submitted during the course of our membership application
6. Notify FMDQ Exchange of any facts or circumstances which may affect the legal form of our organisation and any such occurrences that may affect our FX Trading Corporate membership status on the Exchange
7. Promptly pay the annual subscription fee and other charges, where applicable, as may be prescribed by the Exchange

### WE UNDERSTAND THAT:

8. This membership category of FMDQ Exchange only grants the FX market Authorised participants access to the FMDQ Thomson Reuters FX Trading System strictly for the purpose of engaging in FX trading activities with CBN FX Authorised Dealers
9. This membership category does not confer upon us participatory rights as a full Member of the Exchange, but only allows us to engage in FX trading as outlined above
10. In accessing the data and information provided via this portal, we agree that we will not, without the prior written consent of the Exchange, sell, licence, sub-licence, distribute, lease or otherwise transfer or allow the transfer of the data or information, or any backup copy, to third parties, or use the data and information in any manner inconsistent with the rights granted by way of the aforesaid access. Where the

<sup>2</sup> Authorised Representatives are persons authorised by the Member to make representations to FMDQ Exchange on its behalf in respect of its membership on the FMDQ Exchange platform

data or information is disseminated, or used in a manner that is prohibited, FMDQ Exchange reserves the right to penalise erring entities in line with provisions laid down in its rules

11. Payment of the annual subscription fee supports Affiliate Membership on the Exchange. Therefore, FMDQ Exchange shall send a reminder *via* email, not less than thirty (30) days before the end of the subscription period to confirm and validate renewal of membership towards a new subscription period. In the event that no payment in respect of the annual subscription fee is made and received by the end of the current subscription period, Affiliate Membership on the Exchange shall be terminated and access to relevant portals restricted. The Exchange is at the discretion to revise the subscription fee for the succeeding twelve (12) month period by providing written notice to the Member not less than thirty (30) days prior to the beginning of such twelve (12) month period

The Parties have caused their authorised signatories to execute this Agreement in the manner below, the day and year first above written.

Signed for and on behalf of  
**FMDQ SECURITIES EXCHANGE LIMITED:**

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**Authorised Signatory**

**Name:**

**Designation:**

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**Authorised Signatory**

**Name:**

**Designation:**

Signed for and on behalf of  
the aforementioned **FX Trading (Corporate):**

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**Authorised Signatory**

**Name:**

**Designation:**

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**Authorised Signatory**

**Name:**

**Designation:**