

ASSOCIATE MEMBER (BROKERS) APPLICANT DECLARATION



APPLICANT DECLARATION

By submitting this application to become a member of FMDQ Securities Exchange Limited ("FMDQ Exchange") and signing this form in the manner below:

- We declare that the information provided is complete and accurate and we agree, if approved, to comply with and be bound by the Rules of FMDQ Exchange, which are or may be in force from time to time.
- We further declare that we will update our trading practices in line with the Rules. We will notify FMDQ Exchange of any additional information which is relevant to the application and of any significant changes in the information provided in this application form which occur after the date of submission of the application.
- We understand that misleading or attempting to mislead FMDQ Exchange during the application process will be deemed an act of misconduct and may render the applicant liable to disciplinary proceedings.
- We agree that any entity within the FMDQ Group may have access to the information contained herein for marketing purposes.
- We undertake to comply with FMDQ Exchange Rules, the Investment and Securities Act (ISA) 2007, SEC Rules and any other regulatory enactment relating to trading activities on the FMDQ Exchange.

| SIGNATURE | |
|------------|--------------------|
| DIRECTOR | DIRECTOR/SECRETARY |
| Name: | Name: |
| Address: | Address: |
| Signature: | Signature: |
| Date: | Date: |