### Neural Network and Backpropagation Questions

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## Question 1: Step Activation Function <sup>1</sup>

Suppose we have a neural network with one hidden layer.

$$f(x) = w_0 + \sum_i w_i h_i(x); \quad h_i(x) = g(b_i + v_i x),$$

where activation function g is defined as

$$g(z) = \begin{cases} 1 & \text{if } z \geqslant 0 \\ 0 & \text{if } z < 0 \end{cases}$$

Which of the following functions can be exactly represented by this neural network?

- polynomials of degree one: I(x) = ax + b
- hinge loss: I(x) = max(1-x,0)
- polynomials of degree two:  $I(x) = ax^2 + bx + c$
- piecewise constant functions

<sup>&</sup>lt;sup>1</sup>From CMU

#### Question 2: Power of ReLU <sup>2</sup>

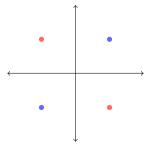
Consider the following small NN:

$$w_2^{\top} \text{ReLU}(W_1 x + b_1) + b_2$$

where the data is 2D,  $W_1$  is 2 by 2,  $b_1$  is 2D,  $w_2$  is 2D and  $b_2$  is 1D.

$$x_1 = (1,1)$$
  $y_1 = 1$ ;  $x_2 = (1,-1)$   $y_2 = -1$ ;  $x_3 = (-1,1)$   $y_3 = -1$ ;  $x_4 = (-1,-1)$   $y_4 = 1$ 

Find  $b_1, b_2, W_1, w_2$  to solve the problem. (Separate points from class y = 1 and y = -1.)



<sup>&</sup>lt;sup>2</sup>From Harvard

# Question 3: Backpropagation <sup>3</sup>

Suppose we have a one hidden layer network and computation is:

$$\begin{split} h &= \mathsf{RELU}(\mathit{Wx} + b1) \\ \hat{y} &= \mathsf{softmax}(\mathit{Uh} + b_2) \\ J &= \mathsf{Cross\ entropy}(y, \hat{y}) = -\sum_i y_i \log \hat{y}_i \end{split}$$

The dimensions of the matrices are:

$$W \in \mathbb{R}^{m \times n}$$
  $x \in \mathbb{R}^n$   $b_1 \in \mathbb{R}^m$   $U \in \mathbb{R}^{k \times m}$   $b_2 \in \mathbb{R}^k$ 

Use backpropagation to calculate these four gradients

$$\frac{\partial J}{\partial b_2}$$
  $\frac{\partial J}{\partial U}$   $\frac{\partial J}{\partial b_1}$   $\frac{\partial J}{\partial W}$ 

<sup>&</sup>lt;sup>3</sup>From Stanford

#### Question 4: Backpropagation in RNN

Suppose we have a recurrent neural network (RNN). The recursive function is:

$$egin{aligned} oldsymbol{z}_{t-1} &= oldsymbol{W} oldsymbol{x}_{t-1} + oldsymbol{U} oldsymbol{h}_{t-1}, \ oldsymbol{h}_t &= oldsymbol{g}(oldsymbol{z}_{t-1}), \end{aligned}$$

where  $h_t$  is the hidden state and  $x_t$  is the input at time step t. W and U are the weighted matrix. g is an element-wise activation function. And  $h_0$  is a given fixed initial hidden state.

- Assume loss function  $\mathcal{L}$  is a function of  $\boldsymbol{h}_T$ . Given  $\partial \mathcal{L}/\partial \boldsymbol{h}_T$ , calculate  $\partial \mathcal{L}/\partial \boldsymbol{U}$  and  $\partial \mathcal{L}/\partial \boldsymbol{W}$ .
- Suppose g' is always greater than  $\lambda$  and the smallest singular value of U is larger than  $1/\lambda$ . What will happen to the gradient  $\partial \mathcal{L}/\partial \boldsymbol{U}$  and  $\partial \mathcal{L}/\partial \boldsymbol{W}$ ?
- Suppose g' is always smaller than  $\lambda$  and the largest singular value of U is smaller than  $1/\lambda$ . What will happen to the gradient  $\partial \mathcal{L}/\partial \boldsymbol{U}$  and  $\partial \mathcal{L}/\partial \boldsymbol{W}$ ?