

## \* Algorithm Insertion sort (a, n)

// sort the array  $a[1:n]$  into nondecreasing order,  $n \geq 1$

{

for  $j := 2$  to  $n$  do

{

//  $a[1:j-1]$  is already sorted.

item =  $a[i:j]; i := j-1;$

while ( $i \geq 1$ ) & ( $item < a[i:j]$ ) do

{

$a[i+1:j] := a[i:j]; i := i-1;$

{

$a[i+1:j] := item;$

?

if  $i \neq 0$  then  $i := i + 1$

else  $i := 0$  and  $item := a[1:j]$

↓  
2 3 4 5 6 7 8 9 10  
1 12 13 14 15 16 17 18 19 20  
do no

(2) ( $j=2$ )

$j-i$	item
2	$a[2:j] = 3$

item  $\leftarrow a[1:j] \rightarrow$   
 ↓  
 1 1 3 1 2 3 2 3 4 5 6 7 8 9 10  
 1 12 13 14 15 16 17 18 19 20  
 do no

$a[i+1:j] := a[i:j]; i := i-1$   
 $a[2] := a[1:j-1]; i = 0$

## \* Time Complexity of insertion sort

If we take a closer look at the insertion sort code, we can notice that every iteration of while loop reduces one inversion. The while loop executes only if  $arr[i] < arr[i+1]$

$\therefore$  total no of while loop iteration (for all value of  $i$ ) is same as no of inversions. Therefore overall time complexity of insertion sort is  $O(n + f(n))$  where  $f(n)$  is inversion count. If the inversion count is  $O(n)$ , then time complexity of insertion sort is  $O(n)$ . They can be  $n + (n - 1)/2$  inversions. Worst case time complexity in insertion sort is  $O(n^2)$ .

### \* Heap sort -

A max (min) heap is a complete binary tree with the property that the value at each node is at least as large as (as small as) the values at its children (if they exist).

- The def'n of max heap implies that one of the largest element is at the root of the heap.
- To insert an element into the heap, one adds it 'at the bottom' of the heap & then compare it with its parent, grandparent, greatgrandparent.

# ex -

Algorithm Insert ( $a, n$ )

S

1/1 insert  $a[n]$  into heap which is sorted in

$i = n$ ; item :=  $a[n]$ ; true

while (( $i > 1$ ) and ( $[a[i/2]] \geq item$ )) do

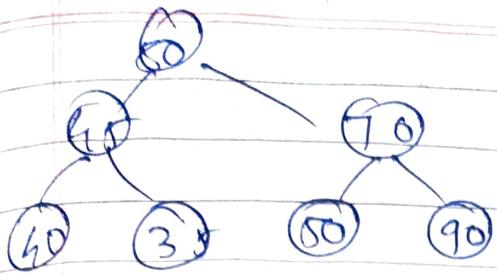
S

$a[i] := a[i/2]; i := [i/2];$

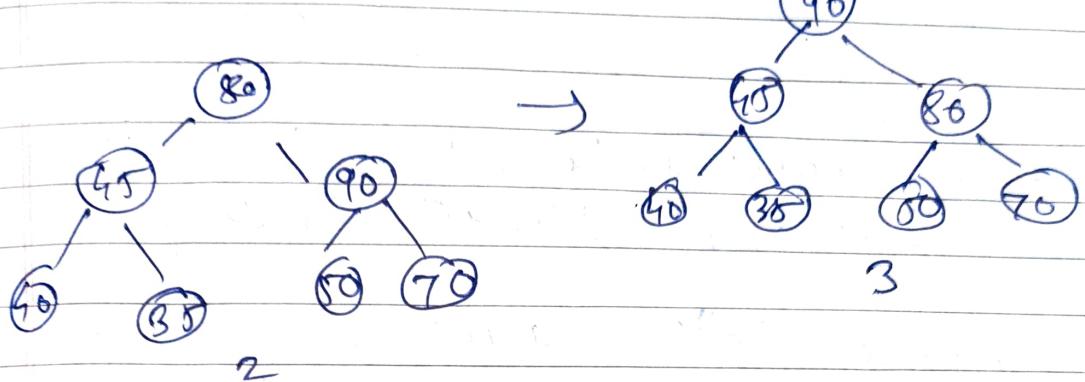
3

$a[i] := item$ ; return true;

9



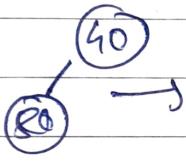
1



2

3

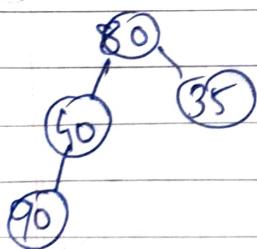
(a)



(b)



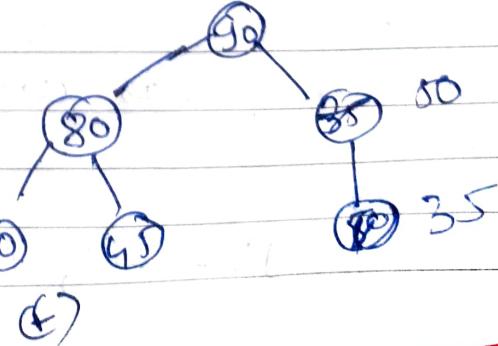
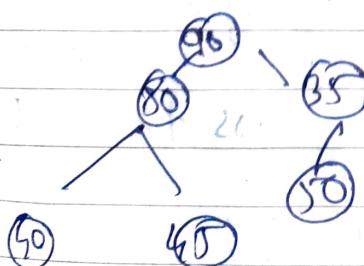
(c)



(d)



(e)

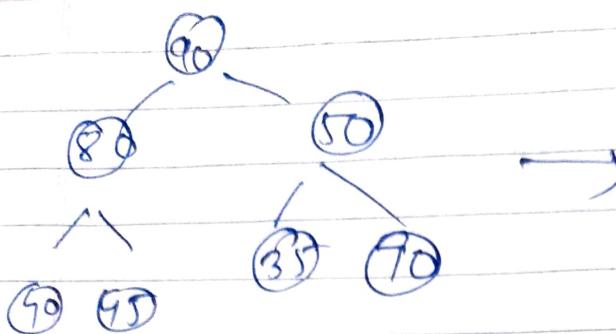


(g)

- 1) Insert  
2) Delete  
3) Adjust

Algorithm use

max heap sort



ex: forming a heap from the set  
 $\{40, 80, 35, 90, 45, 50, 70\}$

\* Algorithm DelMax ( $a, n, x$ )

// Delete the maximum from heap  $a[1:n]$ ,  
 store it in  $x$ .

{ if ( $n=0$ ) then

    write ("heap is empty"); return false;

$x := a[1]; a[1] := a[n];$   
 Adjust ( $a, 1, n-1$ ); return true;

\* Algorithm Adjust ( $a, i, n$ )

// the complete binary tree with roots  $2i$  &  $2i+1$   
 combined with nodes  $i$  to form a heap

// node has address greater than or

less than 1.

$j := 2i$ ; item :=  $a[i:j]$ ;

while ( $j < n$ ) do

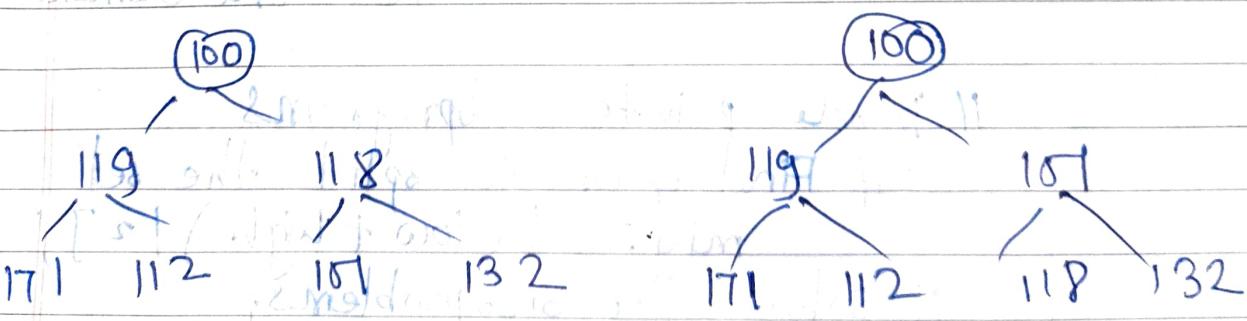
{  
if ( $(j < n) \wedge (a[i] < a[j+1])$ ) then  $j := j+1$   
// compare left & right child.  
// and let  $j$  be larger child.  
if ( $\text{item} > a[i]$ ) then break;  
// A position for item is found.  
 $a[i+2] := a[i]; \quad j := 2j$   
}  
}  
}  
a[i+2] := item;

\* Algorithm Heapify ( $a, n$ )

① // Readjust the element in  $a[1:n]$  to form a heap.

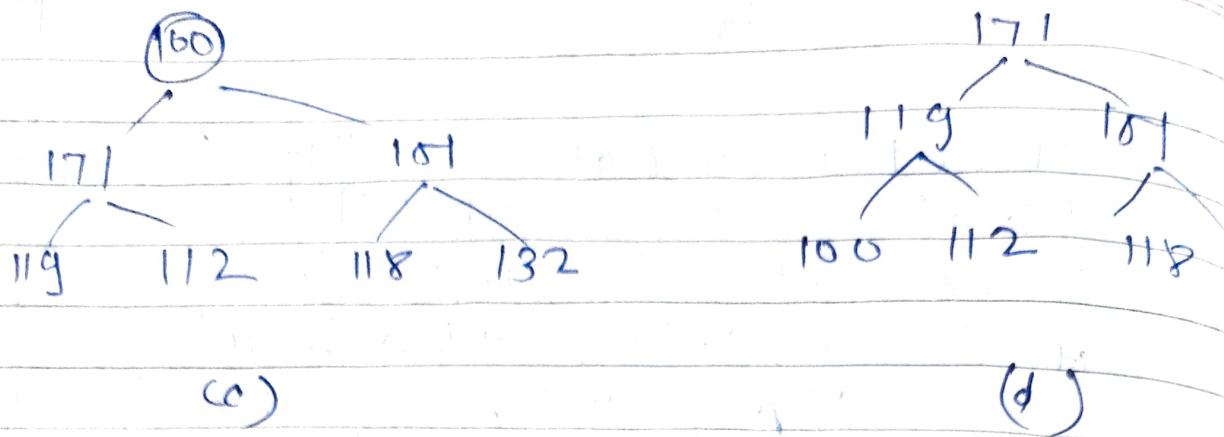
{  
for  $i = \lceil n/2 \rceil$  to  $\lceil \log_2 n \rceil - 1$  do  
    Adjust ( $a, i, n$ );  
}

350n log n steps, best O(n log n), worst O(n log n)



(a)  $\rightarrow$  if ( $a[i] < a[j]$ ) then swap  
if ( $a[i] < a[k]$ ) then swap

(b)



\* Heap sort tym complexity =

$O(n \log n)$  - Reverse tym complexity

$O(n)$  - Adjust

$O(n \log n)$  - worst tym complexity.

~~Merge sort what is divide & conquer strategy?~~

\* Algorithm Merge Sort (low, high)

① // allow : high is a global array to be sorted.  
// small (cp.) is true if these is only one element to sort. list is already sorted.

if (low < high) then // if these are more than one element

// Divide p into subprograms

// Find where to split the set.

mid :=  $\lceil (low + high) / 2 \rceil$ ;

// solve the subproblems.

Merge Sort (low, mid);

Merge Sort (mid + 1, high);

// Combine the solutions

Merge (low, mid, high);

\* Divide & Conquer

①

Algorithm DAndC( $P$ )

{

if Small( $P$ ) then return  $S(P)$ ;

else

{

divide  $P$  into smaller instances

$P_1, P_2, \dots, P_k, k \geq 1$ ;

Apply DAndC to each of these

return Combine(DAndC( $P_1$ ), DAndC( $P_2$ ),  
DAndC( $P_k$ ));

? ?

\* Counting Sort:

- ② let  $A[1..n]$  be an array of numbers to be sorted. Let  $s \leq A[i] \leq k$ , for  $i = 1, 2, \dots, n$ .
- for each element in  $A[i]$ , the number of elements of  $A < A[i]$  is determined. If these are  $s$  elements  $< A[3]$ , then  $A[3]$  goes to  $s^{th}$  place in the sorted array.
  - $B[1..n]$  stores the sorted array &  $C[1..k]$  provides temporary working space. Array  $C$  is necessary to handle situation in which several elements have same value.

## Algorithm: Counting Sort

### ④ Algorithm Counting sort (A, B, k)

{

```
for (i := 1 to k) // loop 1
```

```
c[i] := 0;
```

```
for (j := 1 to length of [A]) // loop 2
```

```
C[A[j]] := C[A[j]] + 1;
```

//  $C[i]$  = the no of elements

equal to i

```
for (i := 2 to k) // loop 3
```

```
C[i] := C[i] + C[i - 1];
```

//  $C[i]$  = the no of elements

less than or equal to i

```
for (j := length [A] down to 1) // loop 4
```

{

```
B[C[A[j]]] = A[j];
```

```
C[A[j]] = C[A[j] - 1];
```

{

## \* Binary Search

⑤

### Algorithm BinSearch(a, n, x)

// Given an array  $a[1:n]$  of elements in nondecreasing

order,  $n \geq 0$ , determine whether  $x$  is present

// If so, return j such that  $x = a[j]$ ;

else return 0.

{

low := 1; high := n;  
 while (low < high) do  
 {  
     mid :=  $\lceil (\text{low} + \text{high}) / 2 \rceil$ ;  
     if ( $x < a[\text{mid}]$ ) then high := mid - 1;  
     else if ( $x > a[\text{mid}]$ ) then low := mid + 1;  
     else return mid;  
 }  
 return 0;

### \* Recursive Binary Search

Algorithm BinSrch (a, i, l, x)  
 // Given an array  $a[i:l]$  of elements in  
 // nondecreasing order,  $1 \leq i \leq l$ , determine whether  $x$   
 // is present  
 // If so, return j such that  $x = a[i:j]$ ;  
 // else return 0.

if ( $i = l$ ) then // If small (P)

if ( $x = a[i:j]$ ) then return j;  
 else return 0;

else

// Reduce P into a smaller subproblem

$\text{mid} := \lceil (i+1)/2 \rceil;$   
 if ( $x = a[\text{mid}]$ ) then return mid;  
 else if ( $x < a[\text{mid}]$ ) then  
     return BinSrch ( $a, i, \text{mid}-1$ );  
 else return BinSrch ( $a, \text{mid}+1, l, x$ );

7  
9

Ex:- let select the 14 entries

-15, -6, 0, 7, 9, 23, 54, 82, 101, 112, 125  
131, 142, 151

place in  $a[1:14]$ , & simulate the steps  
that BinSearch goes through as it searches  
for different value of  $x$ . only variables  
low, high & mid need to be traced. we  
try following value for  $x$ : 151, -14 & 9 two  
successful search & one unsuccessful.



$x: 151$  low high mid

1	14	7
8	14	11
12	14	13
14	14	14

found

$x: -14$  low high mid

1	14	7
1	6	3
1	2	1
2	2	2
2	1	

not found

$a : [$	$] low$	$high$	$mid$
1	14	7	
1	6	3	
4	6	5	

found

\* Algorithm Merge (low, mid, high)

//  $a[low: high]$  is global array containing two sorted.

// subsets in  $a[low: mid]$  & in  $a[mid+1: high]$   
 // the goal is to merge these two sets into a single set residing

// in  $a[low: high]$ .  $b[i]$  is an auxiliary global array.

{

$h := low$ ;  $i := low$ ;  $j := mid + 1$ ;  
 while ( $(h \leq mid) \& (j \leq high)$ ) do

{

if ( $a[h] \leq a[j]$ ) then

{

$b[i] := a[h]$ ;  $h := h + 1$ ;

}

else

{

$b[i] := a[j]$ ;  $j := j + 1$ ;

}

$i := i + 1$

{

if ( $h > mid$ ) then

for  $k := j$  to  $high$  do

{

$b[i] := a[k]$ ;  $i := i + 1$ ;

{

```
else  
    for k := h to mid do
```

```
        { b[i:j] = a[i:k]; i := i+1; }
```

```
    { for k := low to high do a[k:j] := b[k:j]
```

{

## Quick Sort

In quicksort, the division into two subarray is made so that the sorted subarray do not need to be merged later. This is accomplished by rearranging the elements in  $a[1:n]$  such that  $a[i] \leq a[j]$  for all  $i$  bet<sup>n</sup> 1 & m & all  $j$  bet<sup>n</sup> m + 1 & n for some  $m$ ,  $1 \leq m \leq n$ . Thus the elements in  $a[1:m] + a[m+1:n]$  can be ~~in~~ independently sorted. No merge is needed. The rearrangement of element is accomplished by picking some element of  $a[1:n]$ , say  $t = a[s]$ , & recording the other elements for all element appearing ~~after~~ before  $t$  in  $a[1:n]$  are less than or equal to  $t$  and all element appearing after  $t$  are greater than or equal to  $t$ . This is the partitioning.

\* Algorithm Quick Sort ( $p, q$ )  
 ① sorts the elements  $a[p], \dots, a[q]$  which  
 reside in the global

② array  $a[1:n]$  into ascending order;  
 a  $[n \times 1]$  is considered to  
 be defined & must be  $\geq 1$ , all element in  
 $a[1:n]$

③ if ( $p < q$ ) then ④ if there are one more

than one element

⑤ ⑥ divide  $q$  into two subproblems

⑦  $j :=$  partition ( $a, p, q+1$ );  
 ⑧  $j$  is the position of partitioning  
 elements.

⑨ solve the subproblems

QuickSort ( $p, j-1$ );

QuickSort ( $j+1, q$ );

⑩ there is no need for combining  
 ⑪

⑫

\* Algorithm Partition ( $a[m], p$ )

⑬ within  $a[m], a[m+1], \dots, a[p-1]$  the  
 elements are

⑭ rearranged in such a manner that if

initially  $t = a[m]$ ,

⑮ then after completion of quicksort for  $q$   
 between  $m$  &  $p-1$ ,  $a[t:p]$  for  $m \leq k < t$ ,  $a[k:p]$

⑯ for  $q \leq k < p$ .  $q$  is returned. set  $a[p] = \infty$ .

\* Algorithm interexchange( $a[i,j]$ )  
|| exchange  $a[i,j]$  with  $a[i,j]$ .

{  
    ~~for~~:  $p := a[i,j]$ ;  
     $oriJ := a[i,j]$ ;  
     $a[i,j] := p$ ;  
}

\* Algorithm Partition( $a, m, p$ )

{  
     $v := a[m, j], i := m, j := p;$   
    repeat  
        {  
            repeat  
                 $i := i + 1;$   
                until ( $a[i] \geq v$ );  
            repeat  
                 $j := j - 1;$   
                until ( $a[j] \leq v$ );  
            if ( $i < j$ ) then interexchange( $a, i, j$ );  
        }  
         $a[m] := a[i]; a[i] := v;$   
        return  $j;$   
    }  
}

base case.

- Best case behavior of quicksort occur two regions of size  $n/2$

$$T(n) = 2T(n/2) + \Theta(n) = O(n \log n)$$

- the average case running time of quick sort is much closer to the best case than worst case
- worst case is rare occurrence it occurs array is already sorted.
- Average case sorting time of quick sort is  $O(n \log n)$ . It is high space complexity being recursive
- If it is an place algorithm, it is not stable
- quick sort, merge sort both we divide & conquer strategy
- quick sort spends more time in dividing while merge sort spends more time in combining.

i	per:	①	②	③	④	⑤	⑥	⑦	⑧	⑨	⑩
2	9	65	70	75	80	85	60	55	50	45	too
3	8	65	45	75	80	85	60	55	50	40	too
4	1	65	45	50	80	85	60	55	45	70	too
5	6	65	45	80	55	85	60	80	75	70	too
6	5	65	45	50	55	60	85	80	75	70	too
60	45	50	55	65	85	80	75	70	60	55	45

Notice that remaining elements are unsorted but partitioned about a pivot=65.

## \* Storassen's Matrix multiplication

Let  $A$  &  $B$  be two  $n \times n$  matrices. The product matrix  $C = AB$  is also an  $n \times n$  matrix whose  $i, j$ th element is formed by multiplying the elements in the  $i$ th row of  $A$  & the  $j$ th column of  $B$  & multiplying them.

$$c_{(i,j)} = \sum_{1 \leq k \leq n} a_{(i,k)} b_{(k,j)}$$

for all  $i \neq j$  between 1 &  $n$ . To compute  $c_{(i,j)}$  using formula, we need  $n$  multiplications. As the matrix  $C$  has  $n^2$  elements, the time for the most resulting matrix multiplication algorithm which we refer to as the conventional methods is  $\Theta(n^3)$ .

The divide & conquer strategy suggests the another way to compute the product of two  $n \times n$  matrices. For simplicity consider power of 2, that exists a nonnegative integer  $k$  such that  $n = 2^k$ .

- Since matrix multiplication is more expensive than matrix addition we can attempt to reformulate  $c_{ij}$  for  $c_{ij}$  so as to have fewer multiplication & possibly more additions.

$$\begin{aligned} P &= (A_{11} + A_{21})(B_{11} + B_{21}) \\ Q &= (A_{21} + A_{22})(B_{11}) \\ R &= A_{11}(B_{12} - B_{22}) \\ S &= A_{22}(B_{21} - B_{11}) \end{aligned}$$

$$\begin{aligned} T &= (A_{11} + A_{12}) B_{22} \\ U &= (A_{21} - A_{11}) (B_{11} + B_{12}) \\ V &= (A_{12} - A_{22}) (B_{21} + B_{22}) \end{aligned}$$

$$\begin{aligned} C_{11} &= P + S - T + V \\ C_{12} &= R + T \\ C_{21} &= Q + S \\ C_{22} &= P + R - Q + U \end{aligned}$$

\* Matrix multiplication

$$\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}$$

$$\begin{aligned} C_{11} &= A_{11}B_{11} + A_{12}B_{21} \\ C_{12} &= A_{11}B_{12} + A_{12}B_{22} \\ C_{21} &= A_{21}B_{11} + \cancel{A_{22}B_{21}} \\ C_{22} &= A_{21}B_{12} + A_{22}B_{22} \end{aligned}$$

\* Intro  
- Consider a problem p with n inputs say  $x_1, x_2, \dots, x_n$ .

- we want to find values of  $x_1, x_2, \dots, x_n$  satisfying given constraints called feasible soln, p is called objective function.
- A feasible soln that maximizes or minimizes objective function called optimal soln.
- Algorithm for most of the optimization problem can be obtained using greedy method.

\* Elements of greedy strategy.  
A greedy algorithm obtains an optimal soln to problem by making a sequence of choices.  
This strategy does not always produce an optimal soln.

Td has two property:-

① Greedy choice property:-  
- a globally optimal soln can be obtained by making locally optimal (Greedy) choice.  
- we make whatever choice seems best at the moment & solve the subproblems arising after choice is made.

- A choice made by greedy algorithm may depend on choices so far, it is independent on any future choice.

- Greedy strategy usually progresses on a top down fashion, iteratively reducing each problem instance to smaller one.

② Optimal substructure:-

A problem is said to have optimal substructure property if an optimal soln to the problem contains optimal soln to its subproblems.

- control abstraction:-  
let  $a[1:n]$  be set of  $n$  inputs we want to find optimal soln for this input. let select the function which select an to input from a.  
- Assign this selected input to variable say x. feasible is a function which return true if  $x$  can include in soln vector. feasible returns false otherwise.

function union update solution vector  
this method may not always give optimal  
soln.

### \* Greedy Algorithm

#### ⑩ Algorithm Greedy (ain)

```
{  
    soln :=  $\emptyset$ ;           // initialize the soln  
    for (i:=1 to n) do  
    {  
         $x_i$  := select (a),  
        if (Feasible (solution,  $x_i$ )) then  
            Solution := Union (solution,  $x_i$ );  
    }  
    return solution;  
}
```

\* knapsack problem  
suppose a student is going on a trip  
He has a single knapsack that can  
carry items of weight at most 'm'  
he is allowed to break items into  
fraction arbitrarily (like 0.25 kg - clips)  
each item is has some utility value  $s_i$   
 $p_i$  He wants to fill his knapsack with  
items most suited to him with total  
weight at most m.  
This problem can be formally put in

- Given: A knapsack of capacity  $m$  &  $n$  objects  
each object  $i$  has weight  $w_i$ ;  $i = 1, 2, \dots, n$   
- If fraction  $x_i$  of object  $i$  is included  
profit  $p_i + x_i$  is earned.

- objective: To find solution vector  $(x_1, x_2, \dots, x_n)$   
such that the total profit earned is

$$\begin{aligned} & \text{minimize } \sum_{i=1}^n p_i x_i \\ & \text{subject to } \sum_{i=1}^n w_i x_i \leq m \\ & \text{and } 0 \leq x_i \leq 1, \quad i = 1, \dots, n \end{aligned}$$

i) It is easy to observe that: if

$$\sum_{i=1}^n w_i > m$$

then  $x_i = 1$  for all  $i$  is optimal soln.  
ii) All optimal solution. There are 3 approaches

① Greedy by profit: we try to fill the knapsack by including next the object with largest profit. If that object does not fit in the knapsack, then the fraction of it is included to fill the knapsack.

A thief enters a store & see following items:  
A [ \$100 ]   B [ \$10 ]   C [ \$120 ]  
2pd   3pd

His knapsack holds 4 pounds. What should he steal to maximize profit?  
→ soln:

① Fractional knapsack problem: Thief can take a fraction of an item

→ solution = + 2 pounds of item A  
+ 2 pounds of item C

2 pds	2 pds
A	C
\$100	\$80

② 0-1 knapsack problem: Thief can only take or leave item. He can't take a fraction.

→ Solution = 3 pounds of item C

3 pds	
C	
\$120	

Algorithm Greedy knapsack (m,n)

① - If  $Pr[i] / w[i] >= Pr[j] / w[j]$  continue the profits  
+ weight of object ordered such that

-  $Pr[i] / w[i] >= Pr[j] / w[j]$  if  $i \neq j$   
+ m is the size of knapsack &  $x[1:n]$  is the solution vector

```

    for i := 1 to n
    {
        do  $x[i] := 0.0$ ;  $\gamma$  // initialize;
         $U := m$ ;
        for  $i_1 := 1$  to n do
        {
            if ( $w[i] > U$ ) then break;
             $x[i] := 1.0$ ;
             $U := U - w[i]$ ;
             $\gamma$  // end of for
            if ( $i = n$ ) then
                 $x[i] := U / w[i]$ ;
        }
    }

```

~~(C)~~

• Find a soln for knapsack problem

$$n = 3 \quad p = (25, 24, 15)$$

$$m = 20 \quad w = (18, 15, 10)$$

~~(C)~~

→ method 1 : Arrange the object in non-increasing i.e. decreasing order of profit.

$$p = (25(p_1), 24(p_2), 15(p_3))$$

$$w = (18, 15, 10)$$

select object initially	solution	remaining capacity
1	$x_1 = 1$	$m - w = 20 - 18 = 2$
2	$x_2 = 2 / 15$	$(2 - 15) = 0$
3	$x_3 = 0$	0

Now  $x = (x_4, x_2, x_3) = (1, 2, 1)$

- To find profit

$$\sum p_i x_i = (x_1)(P_1) + (x_2)(P_2) + (x_3)(P_3)$$

$$= (1)(25) + (2)(15) + (1)(15)$$

$$= 25 + 30$$

$$\sum p_i x_i = 55$$

Method 2 : Arrange the object in increasing order of weight :

$$P = (15, 24, 25) \quad w = (10, 15, 18)$$

select object initially	solution	remaining capacity
3	$x_3 = 1$	10
2	$x_2 = 10/15 = 2/3$	$[10 - 15] = 0$
1	$x_4 = 0$	0

Now  $x = (x_4, x_2, x_3) = (0, 2/3, 1)$

To find profit

$$\sum p_i x_i = (x_1)(P_1) + (x_2)(P_2) + (x_3)(P_3)$$

$$= (0)(25) + (2/3)(24) + (1)(15)$$

$$= 16 + 15$$

$$\sum p_i x_i = 31$$

Method 3 : Arrange the  $P + w$  in non-increasing order of P/w ratio i.e decreasing order.

$$P = (25, 24, 15)$$

$$w = (18, 15, 10) \quad P/w = (25/18, 24/15, 15/10)$$

$$= (1.37, 1.6, 1.5) \quad 1.2 \downarrow$$

Now arrange plw ration in decreasing order

$$plw = (1.6, 1.5, 1.3, 1)$$

Arrange weight in decreasing order

$$\omega = (15, 10, 18)$$

select object initially	solution	remaining capacity
2	$x_2 = 1$	5
3	$x_3 = 5/10 = 1/2$	$[5 - 10] = 0$
1	$x_4 = 0$	0

Now  $x_1, x_2, x_3, x_4 = (0, 1, 1/2)$

To find profit

$$\begin{aligned}
 \text{spixi} &= (x_1)(p_1) + (x_2)(p_2) + (x_3)(p_3) \\
 &= (0)(25) + (1)(24) + (1/2)(15) \\
 &= 24 + 7.5 \\
 \text{spixi} &= 31.5
 \end{aligned}$$

② Find the soln of knapsack problem

$$\begin{aligned}
 n &= 7 & p &= (10, 5, 15, 18, 6, 18, 3) \\
 m &= 15 & \omega &= (2, 3, 15, 1, 6, 1, 4, 1)
 \end{aligned}$$



method 3: Arranging p & ω in non increasing order of plw ratio.

$$\begin{aligned}
 p &= (10, 5, 15, 7, 6, 18, 3) & \omega &= (2, 3, 15, 7, 1, 4, 1) \\
 plw &= (5, 1.6, 1.5, 1, 6, 4, 1) & &
 \end{aligned}$$

Now arrange plw ration in decreasing order

$$p1w = (6, 5, 4, 5, 3, 3, 1, 6, 1)$$

$$5 \quad 1 \quad 6 \quad 3 \quad 7 \quad 2 \quad 4$$

Arrange weight in decreasing order  
 $w = (1, 2, 4, 5, 1, 3, 7)$

selected object	solution	remaining capacity
initially	-	$m = 15$
$x_5 = 1$	$x_5 = 1$	14
$x_6 = 1$	$x_6 = 1$	12
$x_3 = 1$	$x_3 = 1$	8
$x_7 = 1$	$x_7 = 1$	3
$x_2 = 2$	$x_2 = 2$	0
$x_4 = 0$	$x_4 = 0$	0

$$\text{Now } X = (x_1, x_2, x_3, x_4, x_5, x_6, x_7)$$

$$\begin{aligned}
 &= (1, 2, 3, 1, 0, 1, 1, 1) \\
 \text{to find profit, } x_i &= (x_4)(p_1) + (x_2)(p_2) + (x_3)(p_3) \\
 &+ (x_4)(p_4) + (x_5)(p_5) + (x_6)(p_6) + (x_7)(p_7) \\
 &= (1)(10) + (2)(3)(5) + (1)(15) + (0)(7) + \\
 &\quad (1)(6) + (1)(18) + (1)(8) + (1)(5) \\
 &= 10 + 3 \cdot 3 + 15 + 18 + 3 \\
 \text{so profit} &= 55.33
 \end{aligned}$$

In case sum of all weights is less than  
 $x_i = 1, i \leq n$  is an optimal soln.  
If  $p_1/p_1 > p_2/p_2 \dots p_n/p_n$ , then Greedy  
Knapsack generates an optimal soln to the  
given instance of knapsack problem.

## \* job sequencing with deadlines:

- we are given a set of  $n$  jobs associated with job  $i$  is an integer deadline  $d_i \geq 0$  & profit  $p_i \geq 0$ .
  - for any job  $i$  the profit  $p_i$  is earned if & only if the job is completed by its deadline.
  - To complete a job, one has to process the job on machine for one unit of time
  - only one machine is available for processing jobs
  - A feasible sol<sup>n</sup> for this problem is subset  $J$  of jobs such that each job in this subset can be completed by its deadline.
  - The value of feasible sol<sup>n</sup> is the sum of profits of jobs in  $J$ .
  - optimal sol<sup>n</sup> is feasible sol<sup>n</sup> with max value
- problem: given jobs  $\{J_1, \dots, J_n\}$  with deadlines  $(d_1, \dots, d_n)$  & profits  $(p_1, \dots, p_n)$  to find subset of  $\{J_1, \dots, J_n\}$ ,  $J = \{J_{i_1}, \dots, J_{i_k}\}$  such that  $\sum_{j=1}^k p_{i_j}$  is max.

## \* Strategies:

- ① we shall arrange the jobs in non increasing order of profit. A subset of jobs  $i_1, i_2, \dots, i_k$  is feasible if the sum with  $d(i_1) \leq d(i_2) \leq \dots \leq d(i_k)$  is true.
- ② thus we shall select a sequence such that

$$d(j_1) \leq d(j_2) \leq \dots \leq d(j_k)$$

② for each selected job we must have  
 $d(j_r) \geq r$

- The place for  $i$ th job is obtained

a)  $d(j_1) \leq \dots \leq d(j_r) \leq d(i) \leq d(j_{r+1}) \leq \dots$

b) we can push the jobs from  $j_{r+1}$  to  $j_k$  by one shot

c) Also,  $d(i) \geq (r+1)$

in case we shall select job  $i$  otherwise reject.

Ex: using job sequencing find the profit for given data

$$n=4 \quad p=(100, 10, 15, 27) \quad d=(2, 1, 2, 1)$$

1    2    3    4

→ Arrange the data in non increasing order of given profit

$$n=4 \quad p=(100, 27, 15, 10) \quad d=(2, 1, 2, 1)$$

1    4    3    2

Job considered	Job sequence	Solution
1	[1, 2]	{1, 3}
4	{4, 1, 3} [0, 1]	{1, 3}
3	Reject 3	{1, 4, 3}
2	Reject 2	{1, 4, 3}

$$\begin{aligned} \text{profit} &= \text{job 1} + \text{job 2} \\ &= 100 + 27 = 127 \end{aligned}$$

① since job 2 can be executed in 0-1 or 1-2 interval so it is executed.

④ job 4 can be executed in  $0-1$  as it has deadline 2 because if job 1 is getting executed in interval  $1-2$  then we have  $0-1$  interval free, so job 4 can get executed in  $0-1$  interval.

⑤ job 3 is rejected as it has deadline 2 i.e  $0-1 + 1-2$  interval in which job 4 + job 1 has already been executed, so there is no interval left for job 3 to execute.

⑥ job 2 is rejected as it has deadline 1 i.e  $0-1$  in which job 4 has already been executed, so there is no interval left for job 2 to execute.

⑦ \* Algorithm JS( $d, j, n$ )

//  $d[i] \geq 1, 1 \leq i \leq n$  are the deadlines.  $n \geq 1$ .

// are ordered such that  $p[1] \geq p[2] \geq \dots \geq p[n]$

//  $j$  is the  $i^{\text{th}}$  job in the optimal soln.  $1 \leq k$ .

// Also, at termination  $d[j[i]] \leq d[j[j+1]]$   
 $1 \leq i < k$ .

{

$d[0] := j[0] := 0;$  // Initialize

$j[1] := 1;$  // include job 1.

$k := 1;$

for  $i := 2$  to  $n$  do

{

// consider jobs in nonincreasing order  $p[i]$ .

// position for  $i$  to check feasibility of insertion  
 $r := k;$

while ( $(d[j[r]] > d[i]) \&$

$(d[j[r]] \neq r))$  do  $r := r - 1;$

if ( $(d[j[r]] \leq d[i]) \& (d[i] > r)$ ) then

```

    {
        // Insert i into J[r].
        for q := k to (r+1) step -1 do J[q+1] := J[q];
        J[r+1] := i; k := k+1;
    }
    return k;
}

```

### \* Algorithm GreedyJob (d, J, N)

// J is a set of jobs that can be completed by their deadlines

{

J := {};

for i := 2 to n do

{

if (all jobs in J ∪ {i} can be completed by their deadlines) then J := J ∪ {i};

}

ex Let n = 4,  $(P_1, P_2, P_3, P_4) = (100, 10, 15, 27)$  &  $(d_1, d_2, d_3, d_4) = (2, 1, 2, 1)$ . The feasible sol<sup>n</sup> & values are:

1

feasible sol <sup>n</sup>	processing sequence	value
		110
1 (1, 2)	2, 1	115
2 (1, 3)	1, 3, or 3, 1	127
3 (1, 4)	4, 1	25
4 (2, 3)	2, 3	42

Job set	Job considered	Assigned slot	Actn sequence	Assign slot
$\emptyset$	2	$\{0\}$ $\{1\}$ $\{2\}$	Assign	$\{2\}$
$\{2\}$	3	$\{0\} \cup \{1\}$ $\{2\}$	Assign	$\{3\}$
$\{2, 3\}$	4	$\{0\} \cup \{1\} \cup \{2\}$	Assign	$\{4\}$
$\{2, 3, 4\}$	1	$\{0\} \cup \{1\} \cup \{2\} \cup \{3\}$	Assign	$\{1\}$
$\{2, 3, 4\}$	3	$\{0\} \cup \{1\} \cup \{2\} \cup \{3\}$	Assign	$\{3\}$
$\{2, 3, 4\}$	5	$\{0\} \cup \{1\} \cup \{2\} \cup \{3\}$	Reject job 5	$\{2, 3, 4\}$

$$\text{profit} = \text{job 2} + \text{job 3} + \text{job 4}$$

$$= 15 + 7 + 12$$

$$= 34$$

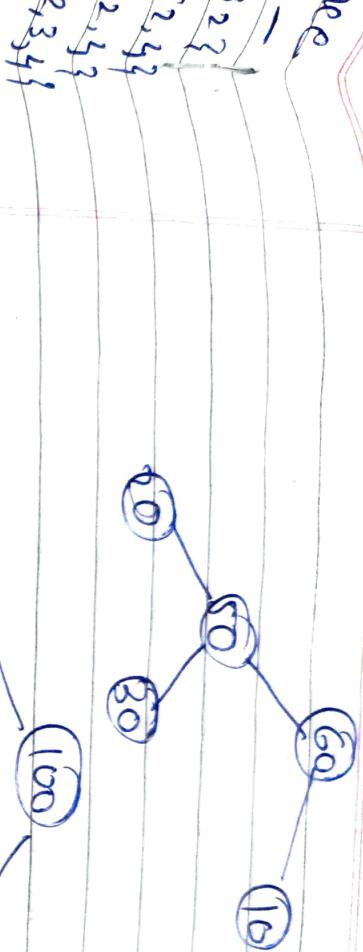
## \* Optimal Merge Pattern

- Two sorted files containing  $m+n$  records can be merged together to get a sorted file in  $O(m+n)$ .
- If there are  $n$  files to be merged, there are several possible orders in which merging can take place with different associated costs.

ex  $n=3$  tiles of size 20, 30 & 10

1.  $\{r_1, r_2, r_3\}$   $20 + 30 = 50$   $50 + 10 = 60$   $T_{110}$
2.  $\{r_1, r_2, r_3\}$   $30 + 10 = 40$   $20 + 40 = 60$   $T_{100}$
3.  $\{r_1, r_3, r_2\}$   $20 + 10 = 30$   $30 + 30 = 60$   $T_{90}$

The above merged patterns are two way merge patterns & can be represented by means of binary merge trees.



The optimal two way merge pattern consists -  
pond to a binary merge tree with minimum  
weighted external path length

The greedy approach is at every stage  
select the two smallest files & merge them

The new file obtained is a wed in sub-segment merges.

- Each file is a tree node with left child,
- right child & weight which is file length.
- The tree nodes are maintained as a list with two option.

- least (list) - returns the smallest element
- insert (list, t) - insert the tree node in the list

#### \* Dynamic Programming :-

- It is typically applied to optimization problem
- optimizer problem - the soln are plenty with each soln there is an associated value - it can be cost or profit. The soln that minimizes cost is known as optimal soln

Solving optimiz<sup>n</sup> problem is to get optimal sol<sup>n</sup> for ex: 0/1 knapsack prblm.

- A brute force approach is generating all sol<sup>n</sup> & then finding amongst them the one having lowest cost or highest profit. This will required a lots of efforts. Effort can be reduced in two ways.

- \* In obtaining sol<sup>n</sup> a strategy similar to divide & conquer strategy can be used divide prblm into subprblm, find the sol<sup>n</sup> of main prblm. since process is carried out of get all possible sol<sup>n</sup>, there is possibility of overlapping subprblm, same subprblm a part of two or more subprblm. The solving of same subprblm repeatedly can be avoided by storing its value once it is computed & using all over again.
- \* Avoid solving those subprblm which are no way anyhow near optimal sol<sup>n</sup> by attaching value with each subprblm.

### \* Matrix chain Multiplicat<sup>n</sup> problem

Given a sequence (chain)  $(A_1, A_2, \dots, A_n)$  of  $n$  matrices, the product  $A_1 A_2 A_3 \dots A_n$  is to be computed.

- There is already an algorithm to compute the product of two matrices which is to be repeatedly applied
- The matrix multiplication algo for two matrix of order  $n \times m$  by  $m \times l$  involves  $n \times m \times l$  multiplicat<sup>n</sup>

The cost of multiplying  $n$  matrices will depend on how the chain is parenthesized.

ex:  $N=3$  ( $A_1 A_2 A_3$ )  $A_1 = 10 \times 100$   ~~$A_2$~~

$$A_2 = 100 \times 10 A_3$$

$$A_3 = 10 \times 100$$

\* 1  $[ [ A_1, A_2 ] A_3 ]$

- the product  $[ A_1, A_2 ]$  involves  $10 \times 100 \times 10 = 10000$ .

multiplication giving a matrix which is  $10 \times 10$ .

- the product of this  $10 \times 10$  matrix with  $A_3$  involves  $10 \times 10 \times 100$  multiplication.

$$\text{Total multiplication} = 10000 + 10000 = 20000$$

\* 2  $[ A_1 [ A_2, A_3 ] ]$

- the product  $[ A_2, A_3 ]$  involves  $100 \times 10 \times 100 = 100000$ . multiplying giving a matrix which is  $100 \times 100$ .

- the product of  $A_1$  with this  $100 \times 100$  matrix involves  $10 \times 100 \times 1000$  multiplication.

$$\text{Total multiplication} = 100000 + 100000 = 200000$$

- thus the way the chain is parenthesized decides the cost of evaluating the product.

- the optimal solution to matrix chain multiplication problem is that parenthesization that gives minimum cost.

- Given a set of  $n$  matrices there can be many ways of parenthesizing it.

1)  $[ [ A_1 \dots A_7 ] [ A_8 \dots A_{16} ] ]$

$$[ [ [ A_1 \dots A_4 ] [ A_5 \dots A_7 ] [ A_8 \dots A_{10} ] [ A_{11} \dots A_{16} ] ] ]$$

2.  $[ [ A_1 \dots A_2 ] [ A_3 \dots A_{16} ] ]$

$$[ [ [ A_1 \dots A_2 ] [ A_3 \dots [ [ A_5 \dots A_7 ] [ A_8 \dots A_{10} ] ] ] ] ]$$

$$[ [ [ [ A_1 \dots A_7 ] [ A_8 \dots A_{10} ] ] ] ]$$

- Dynamic programming problems which exhibit the substructure property.
- A problem exhibits optimal substructure property if an optimal soln to the problem contains within it optimal soln to subproblem.
- dynamic programming algorithm can be broken into 4 steps:
  - ① characterize the structure of an optimal soln.
  - ② recursively define the value of an optimal soln
  - ③ compute the value of an optimal soln, typically in a bottom-up fashion
  - ④ construct an optimal soln from the computed information.

Travelling salesperson problem:

The travelling salesperson problem is to find a tour of minimum cost.

Let  $G = (V, E)$  be directed graph with  $|V| = n \geq 0$  & edge costs  $c_{ij}$  where  $c_{ij} \geq 0$ .

\* Dynamic programming can be applied to those problems which exhibit optimal substructure property.

- A problem exhibits optimal substructure property if an optimal sol<sup>n</sup> to the problem contains within it optimal sol<sup>r</sup> to subproblem.

\* dynamic programming algorithm can be broken into 4 steps.

① characterize the structure of an optimal sol<sup>n</sup>.

② recursively define the value of an optimal sol<sup>n</sup>

\* ③ compute the value of an optimal sol<sup>n</sup>, typically in a bottom-up fashion

④ construct an optimal sol<sup>n</sup> from the computed information.

\* Travelling salesperson problem:

The travelling salesperson problem is to find a tour of minimum cost

- let  $G_1 = (V, E)$  be directed graph with  $|V| = n \geq 0$  & edge costs  $c_{ij}$  where  $c_{ii} > 0$  for  $i \neq j$  &  $c_{ii} = -\infty$  if  $(i, j) \notin E$

- A tour of  $G_1$  is a directed simple cycle that includes every vertex in  $V$ .

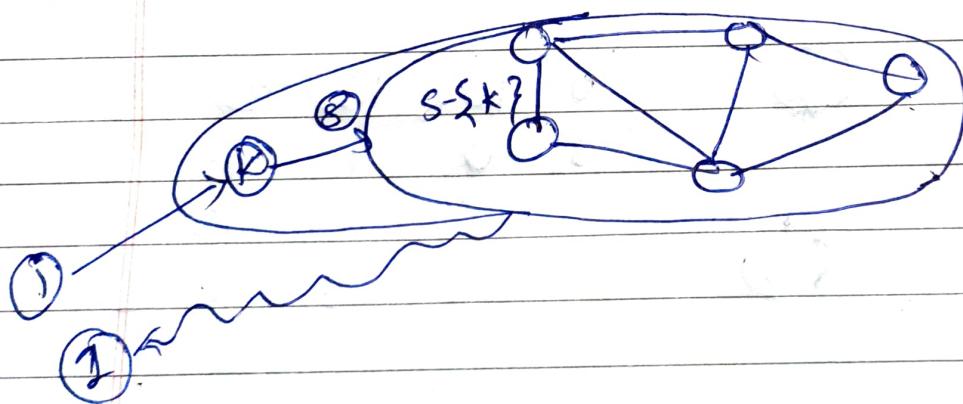
The cost of tour is sum of cost edges on the tour without loss of generality assume tour starts & end at vertex 1. Every tour consists of an edge  $(1, k)$  for some  $k \in \{j \in V \setminus \{1\}\}$  & path from

vertex  $k$  to  $z$  going through each vertex in  $V - \{1, k\}$  exactly once.

① Let us denote by  $T(i, s)$  the problem of starting at vertex  $i$  & travelling through all vertices in set  $s$  & then reaching back to  $z$  as tour should always end at  $z$ .

② The optimal soln to  $T(i, s)$  will involve going from  $i$  to some vertex  $k$  & then travelling through all vertices in  $s - \{k\}$  in an optimal manner which is the optimal soln to the prblm  $T(k, s - \{k\})$ .

Hence the problem satisfies substructure property.



② Let  $g(i, s)$  denote the cost of the optimal tour starting from  $i$ , visiting all vertices in  $s$  & ending at  $z$ .

- If TSP problem is to get  $g(1, S)$

- If  $S$  is empty  $g(i, \emptyset)$  will be the cost of the tour starting at  $i$  visiting no vertices as  $S$  is empty & reaching  $z$ .

thus the cost is of directly moving from i to j i.e  $c_{ij}$

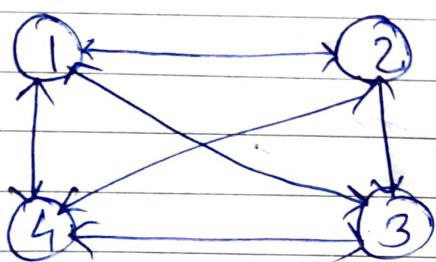
$$g(i, \emptyset) = c_{ij} \quad 1 \leq i \neq j$$

If  $S$  is not empty. The cost of moving from  $i$  to some  $k$  is  $c_{ik}$  then  $g(k, S - \{k\})$  is the optimal cost of reaching  $j$  after travelling through remaining vertices.

We can choose that  $k$  in  $S$  which gives the minimum cost  $g(i, S) = \min_{k \in S} \{c_{ik} + g(k, S - \{k\})\}$

3. Compute the values in bottom up manner

\* Consider a TSP instance given by following graph & cost matrix.



	1	2	3	4
1	0	10	15	20
2	5	0	9	16
3	6	13	0	12
4	8	8	9	0

$$\Rightarrow g(i, S) = \min_{k \in S} \{c_{ik} + g(k, S - \{k\})\}$$

$$g(2, \emptyset) = c_{21} = 5$$

$$g(3, \emptyset) = c_{31} = 6$$

$$g(4, \emptyset) = c_{41} = 8$$

Next Consider Singleton set  $\{2\}$ ,  $\{3\}$  &  $\{4\}$

$$g(i, S) = \min_{k \in S} \{c_{ik} + g(k, S - \{k\})\}$$

$$g(2, \{3\}) = \min_{k \in 3} \{ C_{2k} + g(k, \{3\} - \{3\}) \}$$

$$= C_{23} + g(3, \emptyset) = 9 + 6 = 15$$

$$g(2, \{4\}) = \min_{k \in 4} \{ C_{2k} + g(k, \{4\} - \{4\}) \}$$

$$= C_{24} + g(4, \emptyset) = 10 + 8 = 18$$

$$g(3, \{2\}) = \min_{k \in 2} \{ C_{3k} + g(k, \{2\} - \{2\}) \}$$

$$= C_{32} + g(2, \emptyset) = 13 + 5 = 18$$

$$g(3, \{4\}) = \min_{k \in 4} \{ C_{3k} + g(k, \{4\} - \{4\}) \}$$

$$= C_{34} + g(4, \emptyset) = 12 + 8 = 20$$

$$g(4, \{2\}) = \min_{k \in 2} \{ C_{4k} + g(k, \{2\} - \{2\}) \}$$

$$= C_{42} + g(2, \emptyset) = 8 + 5 = 13$$

$$g(4, \{3\}) = \min_{k \in 3} \{ C_{4k} + g(k, \{3\} - \{3\}) \}$$

$$= C_{43} + g(3, \emptyset) = 9 + 6 = 15$$

Next, compute  $g(i, s)$  with  $s$  containing 2 elements

$$\begin{aligned}
 g(2, \{3, 4\}) &= \min (c_{23} + g(3, \{4\}), \\
 &\quad c_{24} + g(4, \{3\})) \\
 &= \min (9 + 20, 10 + 15) \\
 &= \min (29, 25) \\
 &= \boxed{25}
 \end{aligned}$$

$$\begin{aligned}
 g(3, \{2, 4\}) &= \min (c_{32} + g(2, \{4\}), \\
 &\quad c_{34} + g(4, \{2\})) \\
 &= \min (13 + 18, 12 + 13) \\
 &= \min (31, 25)
 \end{aligned}$$

$$\begin{aligned}
 g(4, \{2, 3\}) &= \min (c_{42} + g(2, \{3\}), \\
 &\quad c_{43} + g(3, \{2\})) \\
 &= \min (8 + 15, 9 + 18) \\
 &= \min (23, 27) \\
 &= \boxed{23}
 \end{aligned}$$

Finally,

$$\begin{aligned}
 g(1, \{2, 3, 4\}) &= \min (c_{12} + g(2, \{3, 4\}), c_{13} + g(3, \{2, 4\}), \\
 &\quad c_{14} + g(4, \{2, 3\})) \\
 &= \min (35, 40, 43) \\
 &= \underline{\underline{35}}
 \end{aligned}$$

\* Computing the values in bottom up manner  
 Consider the knapsack instances  $n=4, m=20$

Prblm  $(w_1, w_2, w_3, w_4) = (16, 12, 8, 6)$  &  
 $(p_1, p_2, p_3, p_4) = (32, 20, 14, 9)$

H.W  $\rightarrow n=4, m=20$   
 $p = (15, 11, 13, 9)$   
 $w = (9, 6, 7, 4)$

$$\textcircled{1} \quad n=5, m=12, P = (10, 15, 6, 8, 4) \\ \omega = (4, 6, 3, 4, 2) \\ \Rightarrow f_5(12) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_4(12) \\ f_4(12-2) + 4 \end{array} \right.$$

$$f_4(12) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_3(12) \\ f_3(12-4) + 8 \end{array} \right.$$

$$f_4(10) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_3(10) \\ f_3(10-4) + 8 \end{array} \right.$$

$$f_3(12) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_2(12) \\ f_2(12-3) + 6 \end{array} \right.$$

$$f_3(8) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_2(8) \\ f_2(8-3) + 6 \end{array} \right.$$

$$f_3(10) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_2(10) \\ f_2(10-3) + 6 \end{array} \right.$$

$$f_3(6) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_2(6) \\ f_2(6-3) + 6 \end{array} \right.$$

$$f_2(12) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_1(12) \\ f_1(12-6) + 15 \end{array} \right. \begin{array}{l} 10 \\ 10 = 25 \end{array}$$

$$f_2(9) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_1(9) \\ f_1(9-6) + 15 \end{array} \right. \begin{array}{l} 10 \\ 15 \end{array}$$

$$f_2(8) = \max_{\substack{1 \\ 1}} \left\{ \begin{array}{l} f_1(8) \\ f_1(8-6) + 15 \end{array} \right. \begin{array}{l} 10 \\ 15 \end{array}$$

$$f_2(5) = \begin{cases} f_1(15) & -\infty \\ 1 \cdot f_1(5-6) + 15 \end{cases}$$

$$f_2(10) = \begin{cases} f_1(10) & 10 \\ 1 \cdot f_1(10-6) + 15 & 10 \end{cases}$$

$$f_2(7) = \begin{cases} f_1(7) & 10 \\ 1 \cdot f_1(7-6) + 15 & 10 \end{cases}$$

$$f_2(6) = \begin{cases} f_1(6) & 0+15 \\ 1 \cdot f_1(6-6) + 15 & 0+15 \end{cases}$$

$$f_2(3) = \begin{cases} f_1(3) & 0 \\ 1 \cdot f_1(3-6) + 15 & -8 \end{cases}$$

$$\therefore f_1(m) = p_1 \text{ if } \omega \leq m \\ = 0 \quad \omega > m$$

$$f_1(12) = 10 = f_1(7) -$$

$$f_1(6) = 10$$

$$f_1(9) = 10 = f_1(5) -$$

$$f_1(8) = 10 = f_1(6) -$$

$$f_1(1) = 0$$

$$f_1(3) = 0 = f_1(2) -$$

$$f_1(4) = 10$$

$$f_1(-3) = -\infty$$

$$f_1(0) = 0$$

$$= (1, 1, 0, 0, 1) = 4+6+2$$

## \* String editing :-

- given two strings  $X = x_1, x_2, \dots, x_n$   $Y = y_1, y_2, \dots, y_m$  where  $x_i$ 's &  $y_i$ 's are elements of finite set of symbol called alphabet.

$X = \text{sort}$

$Y = \text{sort}$

- we want to transform  $X$  into  $Y$  using a sequence of edit oper<sup>n</sup> on  $X$ .

- these edit oper<sup>n</sup> are insert, delete & change.

- there is cost associated with each oper<sup>n</sup>

- the cost of sequence of oper<sup>n</sup> is sum of cost of individual oper<sup>n</sup> in the sequence.

- the problem of string editing is to find a minimum cost sequence of edit oper<sup>n</sup> that will transform  $X$  into  $Y$ .

- let  $D(x_i)$  = the cost of deleting  $x_i$  from  $X$

$I(y_i)$  = the cost of inserting  $y_i$  into  $X$

$C(x_i, y_i)$  = the cost of changing  $x_i$  of  $X$  into  $y_i$

- define  $c_{03}(i, j)$  = minimum cost of edit sequence for transforming  $x_1, x_2, \dots, x_i$  to  $y_1, y_2, \dots, y_j$  where  $0 \leq i \leq n$  &  $0 \leq j \leq m$

-  $c_{03}(i, j) = 0$  if  $i = j = 0$

- to find  $c_{03}(m, n)$  = minimum cost of transforming  $X$  into  $Y$ .

- If  $j=0$   $\Rightarrow$  to transform  $X$  to  $Y$  by seq<sup>n</sup> of delete oper<sup>n</sup>

$$c_{03}(i, 0) = c_{03}(i-1, 0) + D(x_i)$$

if  $i=0$  &  $j \geq 0$  transform  $x \rightarrow y$  by sequence  
of insert operat<sup>n</sup>

$$c_{03}(0, j) = c_{03}(0, j-1) + l(y_j)$$

- if  $i \neq 0$  &  $j \neq 0$  one of the three ways  
can be used

① Transform  $x_1, x_2, \dots, x_{i-1}$  into  $y_1, y_2, \dots, y_i$  using a minimum cost edit sequence  
& then delete  $x_i$ .  $c_{03}(i, j) = c_{03}(i-1, j) + D(x_i) \rightarrow$

② Transform  $x_1, x_2, \dots, x_{i-1}$  into  $y_1, y_2, \dots, y_{j-1}$   
using a minimum cost edit sequence & then  
change  $x_i$  to  $y_j$ .  $c_{03}(i, j) = c_{03}(i-1, j-1) +$   
 $c(x_i, y_j)$

③ Transform  $x_1, x_2, \dots, x_i$  into  $y_1, y_2, \dots, y_{j-1}$   
using a minimum cost edit sequence &  
then insert  $y_j$ .  $c_{03}(i, j) = c_{03}(i, j-1) + l(y_j)$

$$\begin{aligned} c_{03}(i, j) &= 0 && \text{if } i=j=0 \\ &= c_{03}(i-1, 0) + D(x_i) && \text{if } j=0, i>0 \\ &= c_{03}(0, j-1) + l(y_i) && \text{if } i=0, j>0 \end{aligned}$$

$$\begin{aligned} \text{if } x_i = y_j, & D + C(x_{i-1}, y_{j-1}) \text{ else } & \text{if } i>0, j>0 \\ &= \min\{c_{03}(i-1, j-1) + C(x_i, y_j)\} \\ &\geq c_{03}(i-1, j-1) + C(x_i, y_j) \\ &= c_{03}(i, j-1) + l(y_j) \end{aligned}$$

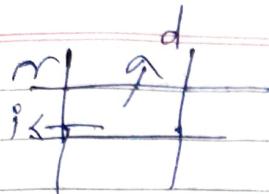
method to find  $c_{03}(m, n)$

1. Compute 1<sup>st</sup> row of table with  $i=0$

2. Compute 1<sup>st</sup> column of table with  $j=0$

3. for ( $i=1$  to  $n$ )

{ for ( $j=1$  to  $m$ ) compute  $c_{03}(i, j)$  : }



$\min \{ \text{diag} + 2, \text{left} + 1, \text{hp} + 1 \}$

(Operation 3)

- $x = a, a, b, a, b$        $y = b, a, b, b$        $r(x_3, y_4)$

		b	a	b	b
	j	$y_1$	$y_2$	$y_3$	$y_4$
	a	0	1+*	2	3
$\rightarrow a$	a	1	2ij	1	2
	a	2	3+2	2+1	3
$i-1$	b	3	2+2	3+2	3+1
	a	4	3+2	2+1	3+1
$j+$	b	5	4+1	3	2

$$\begin{aligned} r(x_5, y_4) &= 0 \\ d(x_4) &= 1+ \\ r(x_3, y_3) &= 0+ \\ r(x_2, y_2) &= 0+ \\ i(y_1) &= 1+ \\ d(x_1) &= 1+ \end{aligned} \quad \left. \begin{array}{l} \\ \\ \\ \\ \end{array} \right\} 3$$

$$x = a \ a \ b \ a \ b \quad y = b \ a \ b \ b$$

$x_1 \ x_2 \ x_3 \ x_4 \ x_5 \quad y_1 \ y_2 \ y_3 \ y_4$

$$y = b \ a \ b \ b$$

$y_1 \ y_2 \ y_3 \ y_4$

②  $x = a \ b \ c \ d \ e$       ans = 5

$$y = a \ 2 \ c \ e \ d$$

## \* longest common subsequence (LCS)

- given a sequence  $X = \langle x_1, x_2, \dots, x_m \rangle$ , another sequence  $Y = \langle y_1, y_2, \dots, y_n \rangle$  is a subsequence of  $X$  if there exist a strictly increasing sequence  $\langle i_1, i_2, \dots, i_k \rangle$  of indices of  $X$  such that for all  $j = 1, 2, \dots, k$  we have  $x_{i_j} = y_j$ .
- Given two sequences  $X \neq Y$  we say that
  - $Z$  is a common subsequence of  $X \neq Y$  if  $Z$  is a subsequence of both  $X \neq Y$
- If  $X = \langle A, B, C, B, D, A, B \rangle$  AND  
 $Y = \langle B, D, C, A, B, A \rangle$  Then  
 $Z = \langle B, C, A, B \rangle$  is a common sequence of both  $X \neq Y$ .
- given two sequences  $X \neq Y$ , a maximum length common subsequence of  $X \neq Y$  is called longest common subsequence (LCS) of  $X \neq Y$ .

## \* longest common subsequence problem :

- given two sequences  $X = \langle x_1, x_2, \dots, x_m \rangle$  &  $Y = \langle y_1, y_2, \dots, y_n \rangle$  to find a maximum length common subsequence of  $X \neq Y$
- let  $c[i, j]$  = length of an LCS of  $x_i \neq y_j$ .
- let  $c[0, 0] = 0$   
 Hence  
 $c[i, j] = 0$ 
  - $\rightarrow c[i-1, j-1] + 1$   $i, j > 0 \text{ & } x_i = y_j$
  - $= \max\{c[i, j-1], c[i-1, j]\}$   $i, j > 0 \text{ & } x_i \neq y_j$

i.e if  $x_i = y_j$  then  $c[i, j] = 1 + \text{diagonal}$   
 else  $c[i, j] = \max \{ \text{up}, \text{left} \}$

$$X = AB \quad CB \quad DAB \quad Y = B \quad D \quad CAB \quad A$$

V <sub>i</sub>	y <sub>0</sub>	y <sub>1</sub>	y <sub>2</sub>	y <sub>3</sub>	y <sub>4</sub>	y <sub>5</sub>	y <sub>6</sub>	A
X <sub>0</sub>	0	0	0	0	0	0	0	0
A	24	0	0	0	0	1	1	1
B	X <sub>2</sub>	0	1	1	1	1	2	2
C	X <sub>3</sub>	0	1	1	3	2	2	2
D	X <sub>4</sub>	0	1	1	2	2	3	3
A	X <sub>6</sub>	0	1	2	2	2	3	3
B	X <sub>7</sub>	0	1	2	2	3	4	4

## \* BFS

the breadth-first-search procedure BFS shown on the next slide assume that the input graph  $G = (V, E)$  is represented using adjacency lists.

- it maintains several additional data structures with each vertex in the graph
- the color of each vertex  $u \in V$  is stored in the variable  $\text{color}[u]$  & predecessor of  $u$  is stored in the variable  $\pi[u]$ .
- if  $u$  has no predecessor (e.g.  $u$  is s or u or not been discovered) then  $\pi[u] = \text{NIL}$
- the distance from the source  $s$  to vertex  $u$  computed by the algorithm is stored in  $d[u]$ .

The algorithm also uses a FIFO queue  $Q$  to manage the set of gray vertices.

BFS( $G, s$ )

for each vertex  $u \in V[G] - \{s\}$

do  $\text{color}[u] \leftarrow \text{WHITE}$

$d[u] \leftarrow \infty$

$\pi[u] \leftarrow \text{NIL}$

$\text{color}[s] \leftarrow \text{GRAY}$

$d[s] \leftarrow 0$

$\pi[s] \leftarrow \text{NIL}$

$Q \leftarrow \emptyset$

ENQUEUE( $Q, s$ )

while  $Q \neq \emptyset$

do  $u \leftarrow \text{DEQUEUE}(Q)$

for each  $v \in \text{Adj}[u]$

do if  $\text{color}[v] = \text{WHITE}$

then  $\text{color}[v] \leftarrow \text{GRAY}$

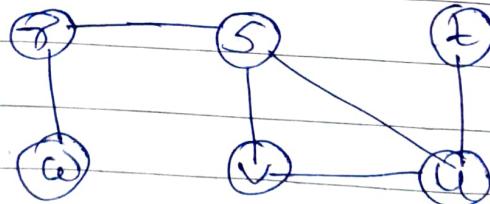
$d[v] \leftarrow d[u] + 1$

$\pi[v] \leftarrow u$

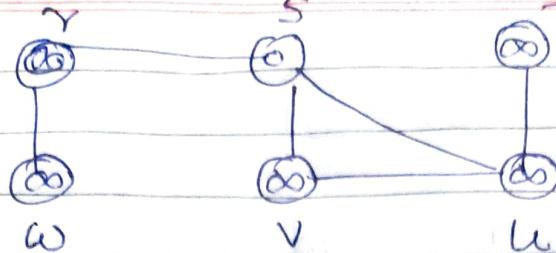
ENQUEUE( $Q, v$ )

$\text{color}[u] \leftarrow \text{BLACK}$

(Ex: Draw) BFS tree for  $G$  by starting at the vertex  $s$ .

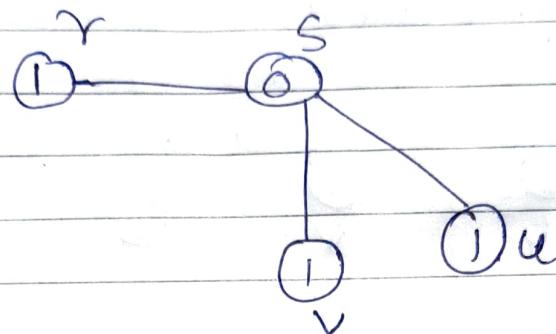


→ Let label with vertex  $v, x$ , denote  $d[v]$ .  $\otimes$

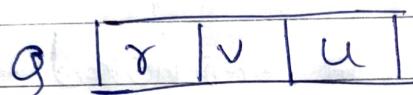


① visit vertices adjacent to  $s \setminus g$  add to  $\emptyset$

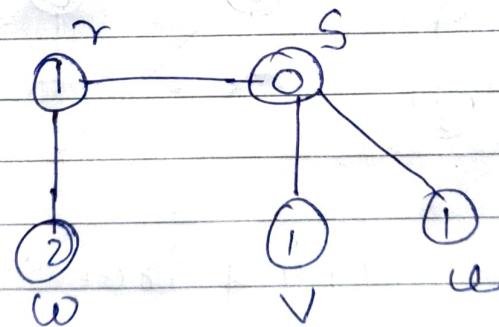
(II)



color  $s$  black &  
delete it from  
 $\emptyset$ .



③ explore  $r$  and add all the vertices adjacent to  $r$  to  $\emptyset$



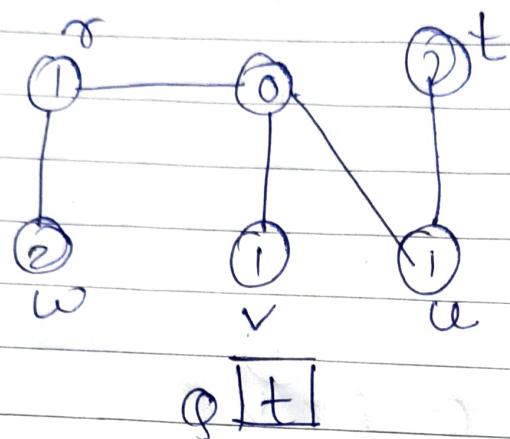
④ color  $r$  black & remove it from  $\emptyset$ .



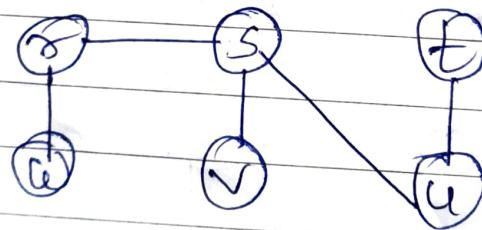
⑤ explore  $v$ . observe that all the vertices adjacent to  $v$  are already visited color  $v$  black delete from  $\emptyset$ .

Q. [u]

- ⑥ explore u. add all the vertices adjacent to u to Q, color u black & delete u from Q.



- ⑦ observe that t is explored. color it black & remove from Q. Q is empty. Hence algorithm terminates.



\* Minimum Spanning Tree :-

\* Spanning Tree :-

- a tree (i.e., connected, acyclic graph) which contain all vertices of the graph

\* Minimum Spanning Tree :-

- the tree with the minimum sum of weights.

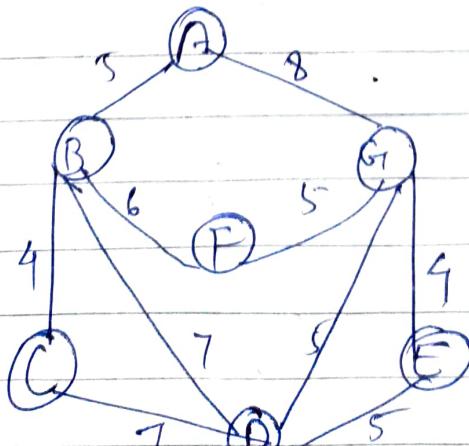
\* spanning forest :-

If a graph is not connected, then there is a spanning tree for each connected component of the graph.

\* Kruskal's Algorithms :-

- 1) list all the edges of the graph  $G_1$  in the increasing order of weights.
- 2) select the smallest edge from the list & add it into the spanning tree  $T$  constructed so far, if the inclusion of this edge does not make a cycle.
- 3) If the selected edge with smallest weight forms a cycle, remove it from the list if empty.
- 4) Repeat steps 2 & 3 until tree contains  $n-1$  edges or the list is empty.
- 5) If tree  $T$  contains less than  $n-1$  edges  
4) list is empty, no spanning tree is possible for graph, else return the MST  $T$ .

(Q1) Using Kruskal algorithm, find spanning tree of given graph  $G_1$ .



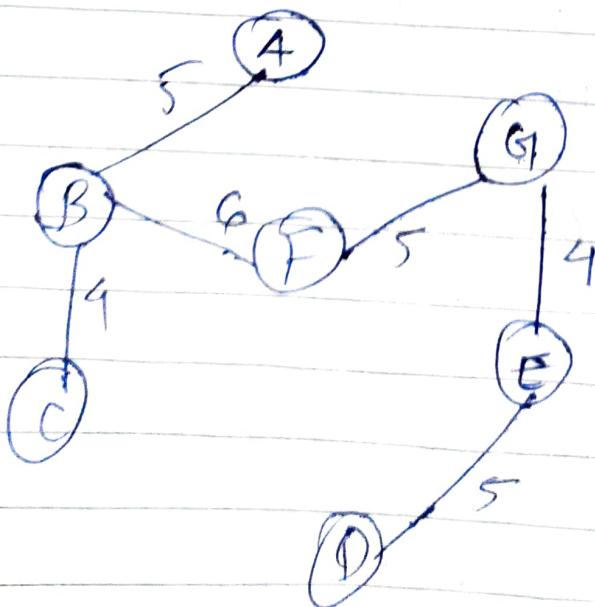
→ The edges in increasing order are

Edge.	BC	EG	DE	DG	DF	AB	BF	CD	BD	AG
$w(e)$	4	4	5	5	5	5	6	7	7	8

Now we construct table which implemented Kruskal's algorithm in which number of edges selected in spanning tree  $(u, v)$  is edge checked for inclusion in spanning tree &  $k$  are root of the trees to which  $u$  &  $v$  belong.

i	u	v	j	k	A	B	C	D	E	F	G	mincost
0					A	B	C	D	E	F	G	0
1	B	C	B	C	A	B	B	D	E	F	G	4
2	E	G	E	G	A	B	B	D	E	F	G	8
3	D	E	D	E	A	B	B	D	D	F	E	13
	D	G	D	D						Reject		
4	G	F	D	F	A	B	B	D	D	D	D	18
5	A	B	A	B	A	A	A	D	D	D	D	23
6	B	F	A	B	A	A	A	A	A	A	A	29

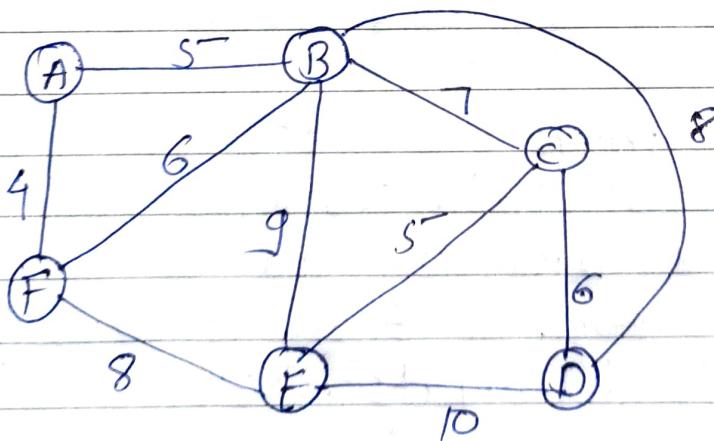
TAST



## \* prim's algorithm :-

The idea as follows "at any stage in the algorithm, we can see that we have a set of vertices have already been included in the tree, the rest of vertices have not. The prim's algorithm then finds new vertex to add it to the tree by choosing the edge  $(v_i, v_j)$  to smallest among all edges, where  $v_i$  is in the tree  $v_j$ 's yet to be included in the tree. The algorithm starts by selecting a vertex arbitrarily, & then in each stage, we add an edge to the tree.

1) Use prim's algorithm, find MST of G



$\rightarrow$  1  
in columns for vertices A to F give near if the respective vertex  $j$  & cost  $[j, \text{near}[j]]$

	i	k	j	A	B	C	D	E	F	min cost
1	A	F	-	0	A [E]	A [G]	A [H]	F [I]	0	4
2	-	-	B	0	0	B [J]	A [K]	B [L]	0	9
3	-	-	C	0	0	0	C [M]	G [N]	0	16
4	-	-	E	0	0	0	C [G]	0	0	24
5	0	-	D	0	0	0	0	0	0	27

### \* shortest path

- in a shortest path problems we are given a weighted, directed graph  $G = (V, E)$  with weight function  $w: E \rightarrow \mathbb{R}$  mapping edges to real valued weights. The weight of path  $p = \{v_0, v_1, \dots, v_k\}$  is the sum of the weight of its constituent edges.
- $w(p) = \sum w(v_{i-1}, v_i)$  from 1 to  $k$ .
- we define the shortest path weight from  $u$  to  $v$  by ...
- $s(u, v) = \min(w(p): u \rightarrow v)$  if there is path from  $u$  to  $v$   
∞ otherwise

~~cost~~

The shortest path from vertex  $u$  to  $v$  is then defined as any path  $p$  with weight  $\omega(p) = \delta(u, v)$

### \* Single source shortest paths

- Given a graph  $G = (V, E)$ , we want to find a shortest path from a given source vertex  $s$  from  $v$  to each vertex  $v \in V$
- initialize - single source ( $G, s$ )
  - for each vertex  $v \in V[G]$ 
    - do  $d[v] = \infty$
    - $\Pi[v] = \text{nil}$
    - $d[s] = 0$

### \* Algorithm relax

- $\text{relax}(u, v, w)$ 
  - if  $d[v] > d[u] + \omega(u, v)$
  - then  $d[v] = d[u] + \omega(u, v)$
  - $\Pi[v] = u$

### \* Dijkstra's algorithm

- it solves the single source shortest path problem on weighted directed graph  $G = (V, E)$  for which all edge weight are non negative
- Here we assume that  $\omega(u, v) \geq 0$  for each edge  $(u, v) \in E$ .
- it maintains set  $S$  of vertices whose final shortest path weight from source  $s$  have already been determined. The algorithm repeatedly selects the vertex  $u \in V - S$  with minimum shortest path.

estimate, add  $u$  to  $S$  & relax all edges leaving  $u$ .

In following implementation, we use a min priority queue  $\emptyset$  of vertices keyed by their  $d$  values.

DJKSTRA ( $G, \omega, S$ )

INITIALIZE-SINGLE-SOURCE ( $G, S$ )

$S \leftarrow \emptyset$

$Q \leftarrow V(G)$

while  $Q \neq \emptyset$

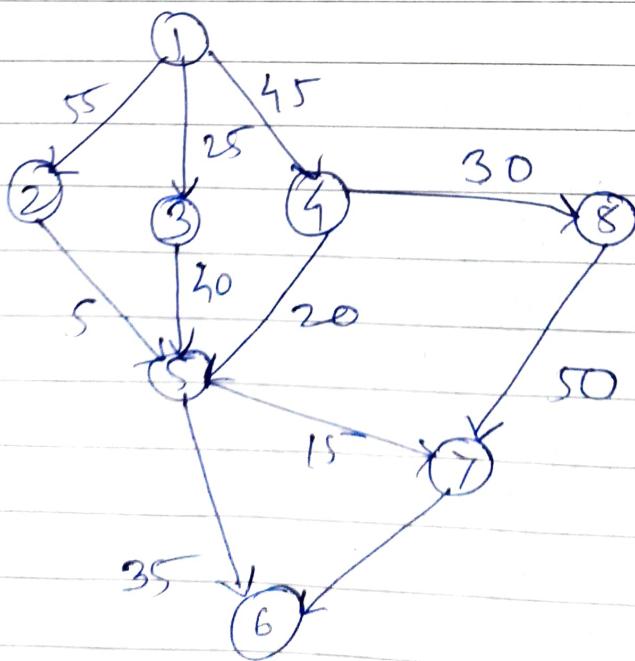
Do  $u \leftarrow \text{Extract-Min}(Q)$

$S \leftarrow S \cup \{u\}$

For each vertex  $v \in \text{Adj}[u]$

DO RELAX ( $u, v, \omega$ )

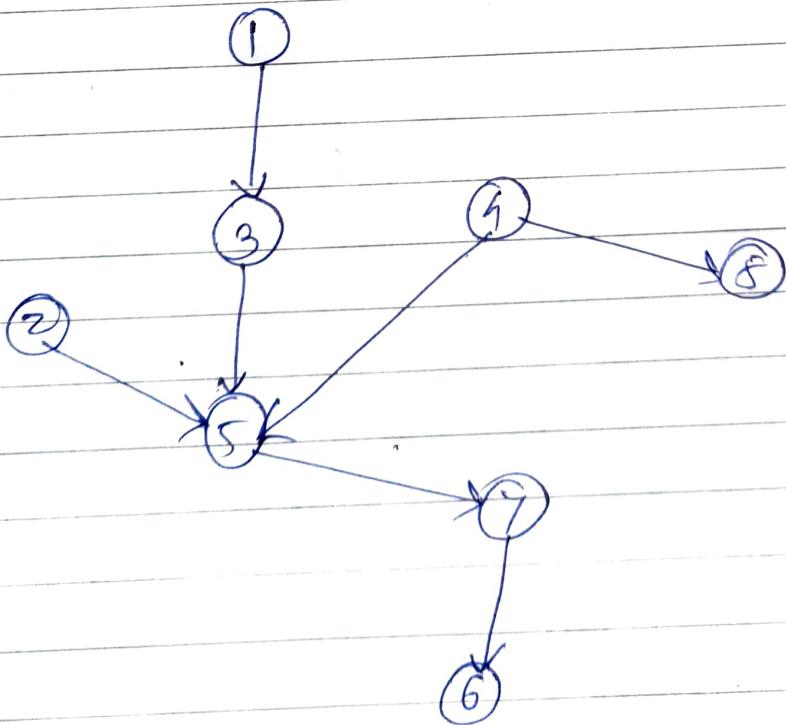
Apply Dijkstra's algorithm on following graph:



start from vertex 1.

vertex selected	1	2	3	4	5	6	7
initially	0	55	25	45	$\infty$	$\infty$	$\infty$
1 <sup>st</sup>	0	55	25	45	$\infty$	$\infty$	$\infty$
3	0	55	25	45	65	$\infty$	$\infty$
4	0	55	25	45	65	$\infty$	$\infty$
2	0	55	25	45	66	$\infty$	$\infty$
5	0	55	25	45	60	95	75
7	0	55	25	45	66	85	75
6	0	55	25	45	66	85	75
8	0	55	25	45	66	85	125

get shortest path:



## \* Bellman Ford Algorithm:

BELLMAN-FORD ( $G, w, s$ )

initialize - Single-Source ( $G, s$ )

for  $i = 1$  to  $|V[G]| - 1$

do for each edge  $(u, v) \in E[G]$

do relax( $u, v, w$ )

for each edge  $(u, v) \in E[G]$

do if  $d[v] > d[u] + w(u, v)$

then return false

return false

→ ex: Bellman Ford algorithm find length  
of shortest paths from source  $s$  to all  
other vertices.