



Module 1 – Regular Languages & Finite Automata

Lecture 1:



of Theory of Computation



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Module 1 – Regular Languages & Finite Automata

Next Topic :

GATE weightage, books, complexity

Theory of Computation



Different names of the same course:

Theory of computation

Automata Theory

Theory of Formal Languages and Computation

Formal languages, Automata, Grammars

GATE : 8 - 10 marks ($\sim 10\%$)

Scoring ✓ Can Easily get 100% marks.

Books : Easy : Peter Linz

Good : Sipser ✓

Stanford ToC Course ✓

Practice
Peter Linz
John Martin

How to Study this Course:

Lectures

- + Every Concept
 - + No Role-learning
 - + A LOT of Questions
 - + Quizzes
 - + Test series (Go + Go Classes)
- + PYQs
 - + GATE
 - + IIT JEE
 - + TIFR



Theory of Computation

(After our
course)

→ Ullman
↓

Not easy to
understand
for beginner.





TOC — 1930

Computers — 1950 - 60

Programming languages, Compilers — 1970s

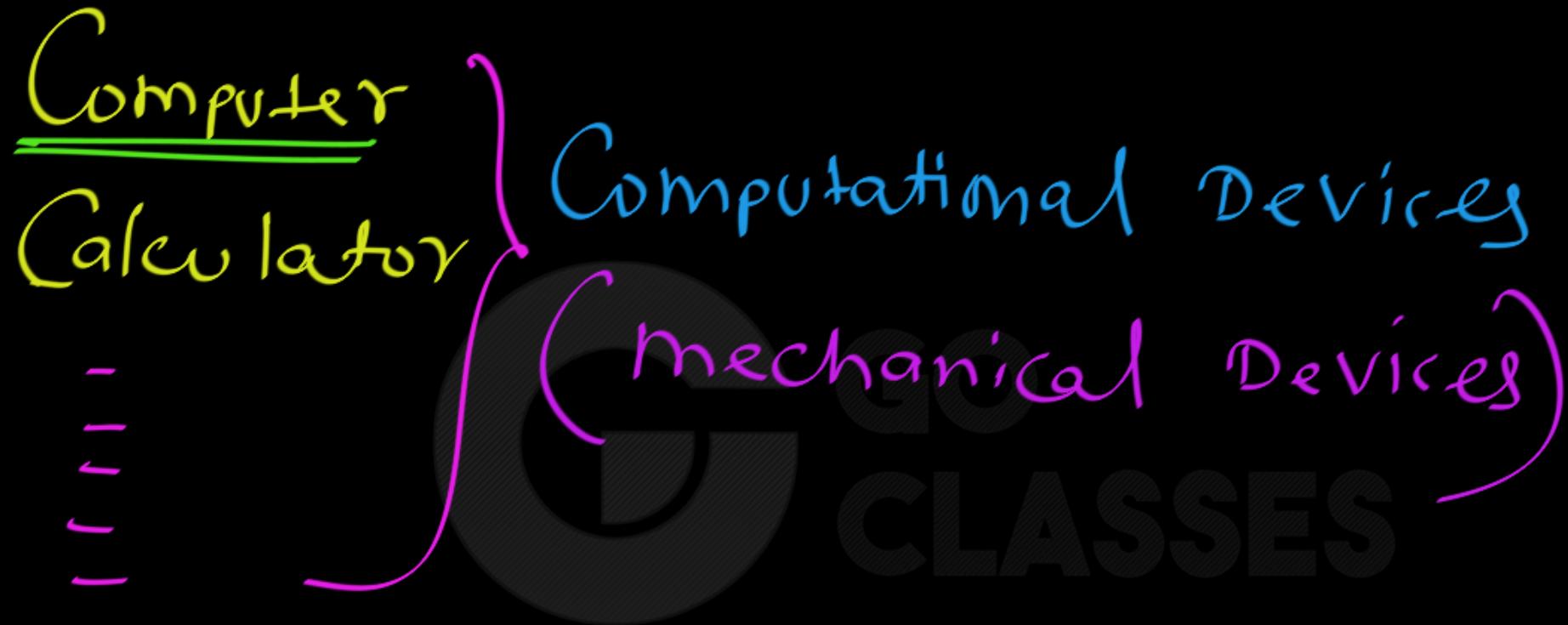


Module 1 – Regular Languages & Finite Automata

Next Topic :

WHAT IS this subject about???

- (Computational) Problems Solving





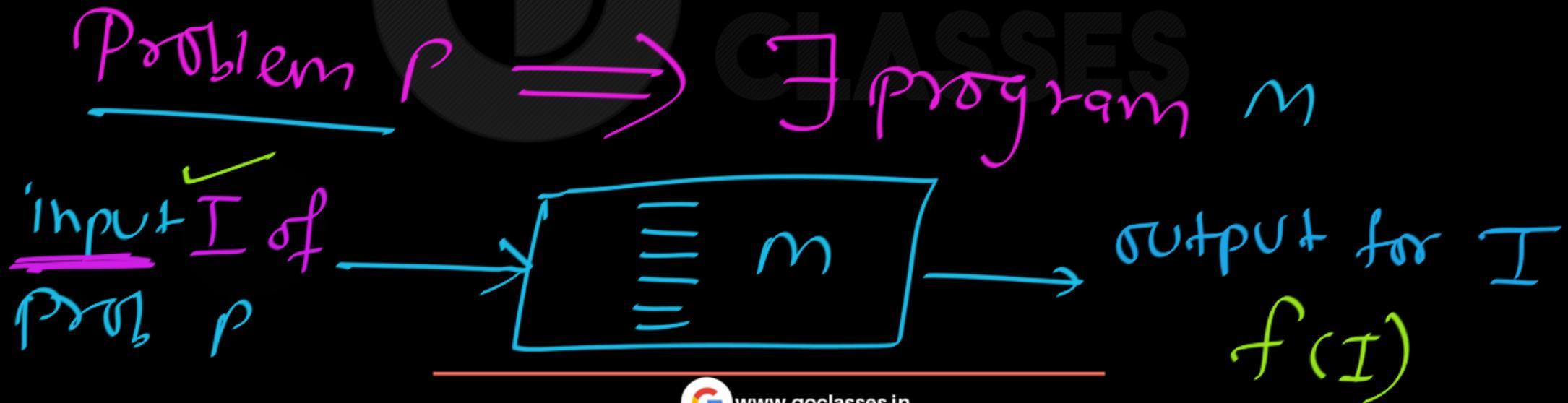
Computer Solves a Problem





Computer Solves a Problem P

means?





"Program" — A tool

to Express a

Algorithm

In some prof.
Language

A Program Expresses Algorithm.

Computer Solves a Problem P

↓
 \exists Program m

↓
 \exists Algorithm A

Problem P1 : Given a List of integers,
↓
find maximum?

Is there any Algorithm? Yes.

(Algo A₁)

→ O(n)

Scan list and find max



Problem P1:

$I_1 : 60, 65, 50$

$$f(I_1) = 65$$

$I_2 : 2, 3, 4, 5, 6, 1, 0$

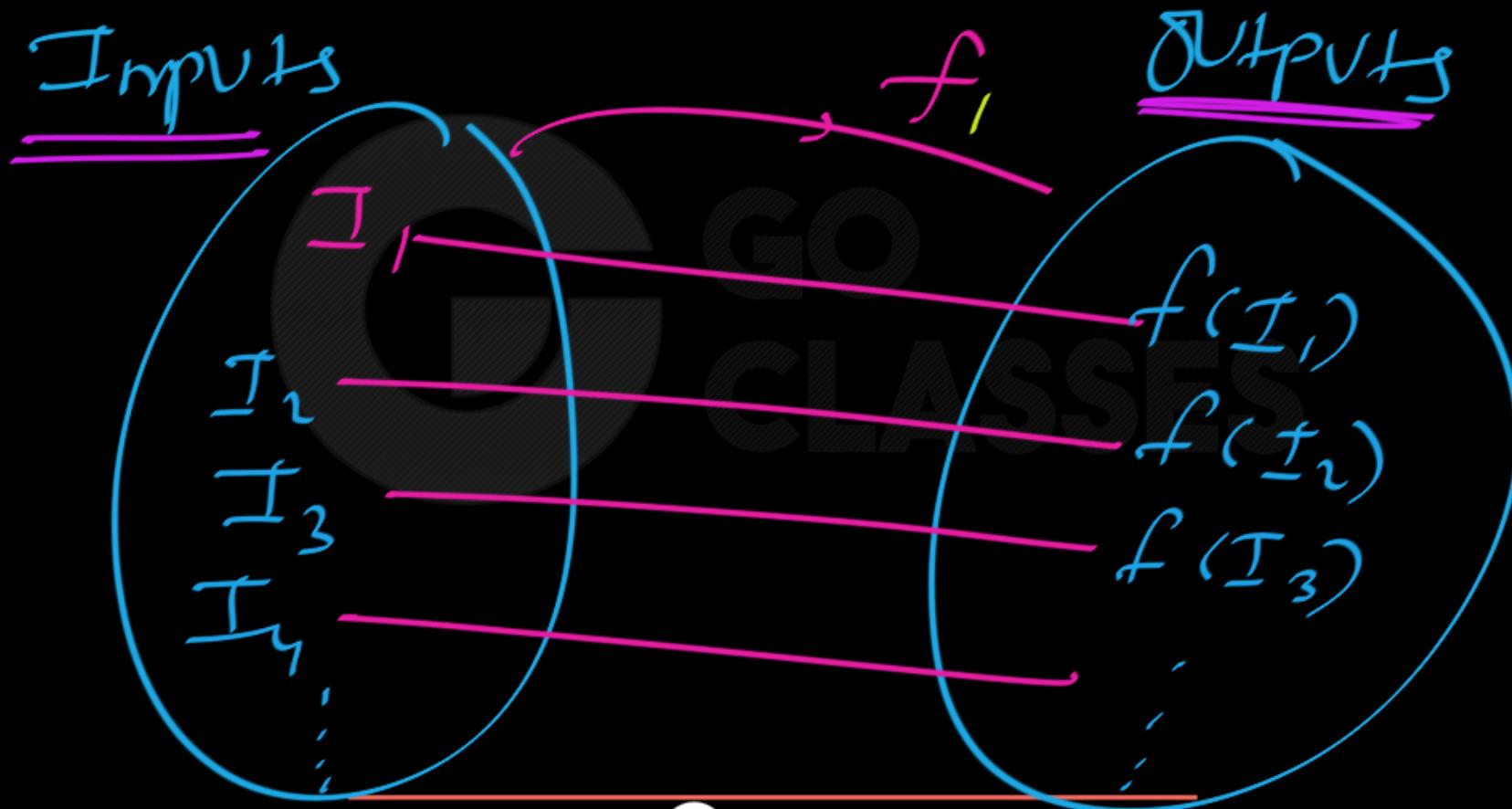
$$f(I_2) = 6$$

$I_3 : -1, -2, 1, 2$

$$f(I_3) = 2$$



Problem \equiv function





[Algo A_1 solves P_1]

means

Algo A_1 Computes function f_1

Every Algo solves a problem.

" " Computes a function.

Problem P2: Given a list of integers,
↓
Sort it.

∃ Algorithm? — Yes

$O(n \log n)$

Alg 0 A_2

merge sort



Problem Pr :

$I_1 : 3, 2, 4, 1$

$f(I_1) = 1, 2, 3, 4$

$I_2 : 4, 3, 1, 2$

$f(I_2) = 1, 2, 3, 4$

$I_3 : 4, 3, 1, 2, 5, 4$

$f(I_3) = 1, 2, 3, 4, 4, 5$

Problem Pr : \equiv function f_2

Inputs

Outputs

$$\mathcal{I}_1 : \underline{3, 2, 4, 1}$$

$$\mathcal{I}_2 : \underline{4, 3, 1, 2}$$

$$\mathcal{I}_3 : \underline{4, 3, 1, 2, 5, 4}$$

f_2

$$f(\mathcal{I}_1) = \underline{1, 2, 3, 4}$$

$$f(\mathcal{I}_2) = \underline{1, 2, 3, 4}$$

$$f(\mathcal{I}_3) = \underline{1, 2, 3, 4, 4, 5}$$

Algo A₂solvesSorting Problem P₂

means

Algo A₂Computes function f₂



(Computational) Problem \equiv function

Solving a problem \equiv Computing
function.

Prob P₃: Given two graphs, are they
Isomorphic ?, G, H $\frac{\# \text{vertices} = h}{n!}$

∃ Algo ? - Yes.

Algo A₃ \rightarrow check all bijections
 $O(n!)$ b/w $V(G), V(H)$ for
Adjacency preservation

Problem P_1
" P_2
" P_3

Very Hard
NP Hard

Input size	Time
64 integers	$O(n) \approx 64 \text{ sec}$
64 "	$O(n \log n) \approx 400 \text{ sec}$
64 vertices	$O(n!) =$
	$O(64!) \Rightarrow$
	5×10^{18} years

Yes or No

$P_1, P_2, P_3 \Rightarrow$ Computable / Solvable
by Algorithm (Computer)

Different Amount of Resources

{ Time ✓
Space ✓ }

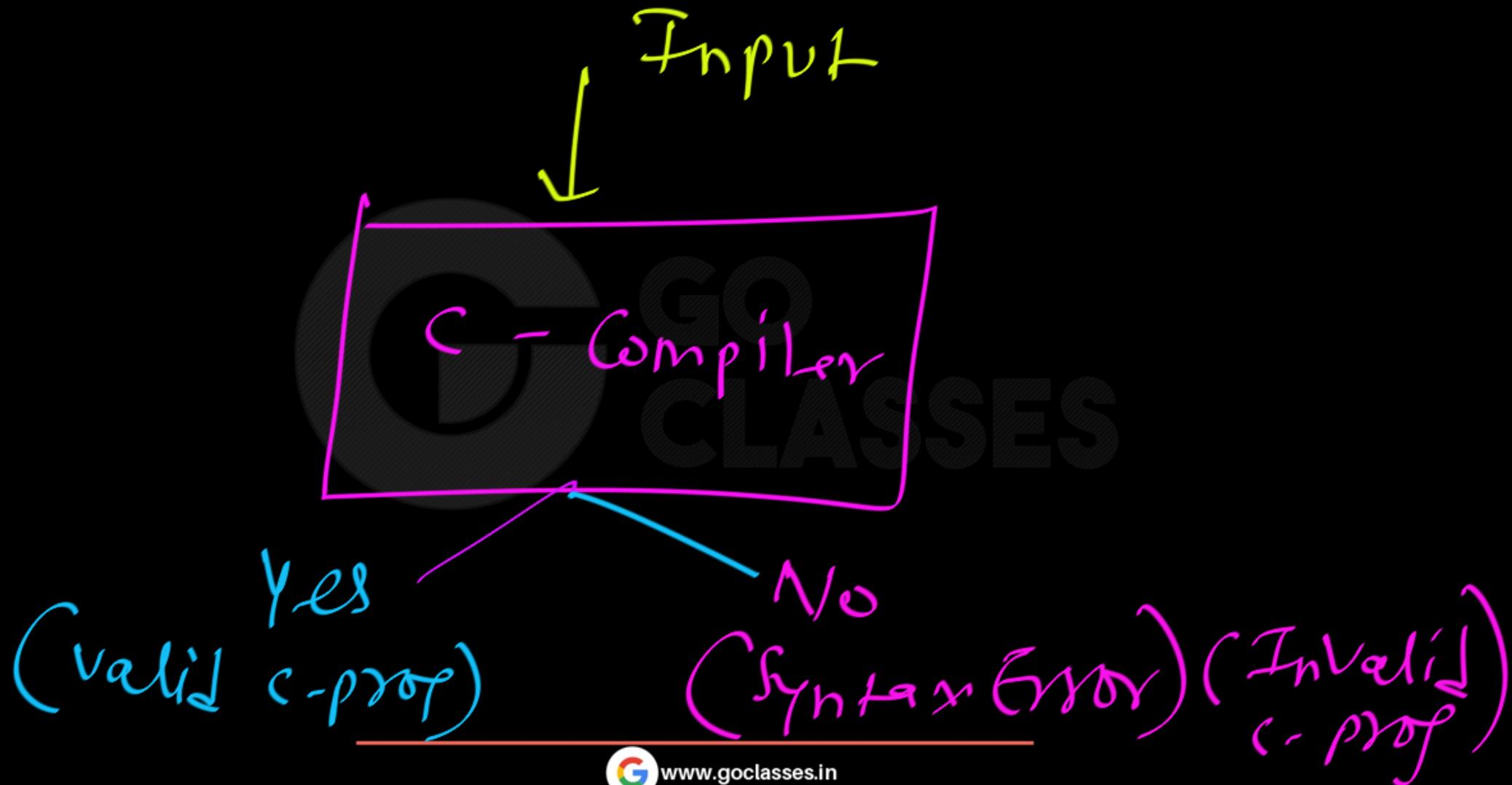
Problem P₄: Given a program,
↓
Is it Valid C-program?

∃ Algorithm? — Yes

Algo A₄ → C-Compiler



Theory of Computation

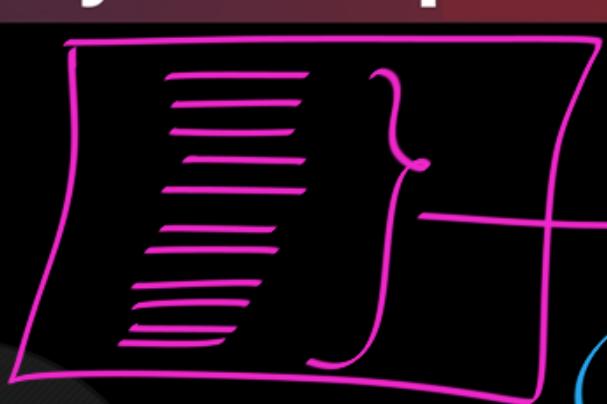


Prob P5: Given a C-prog ,
Will it Ever halt when Executed?

∃ Algorithm — CLASSES

→ NEVER will Exist .

Algorithm:

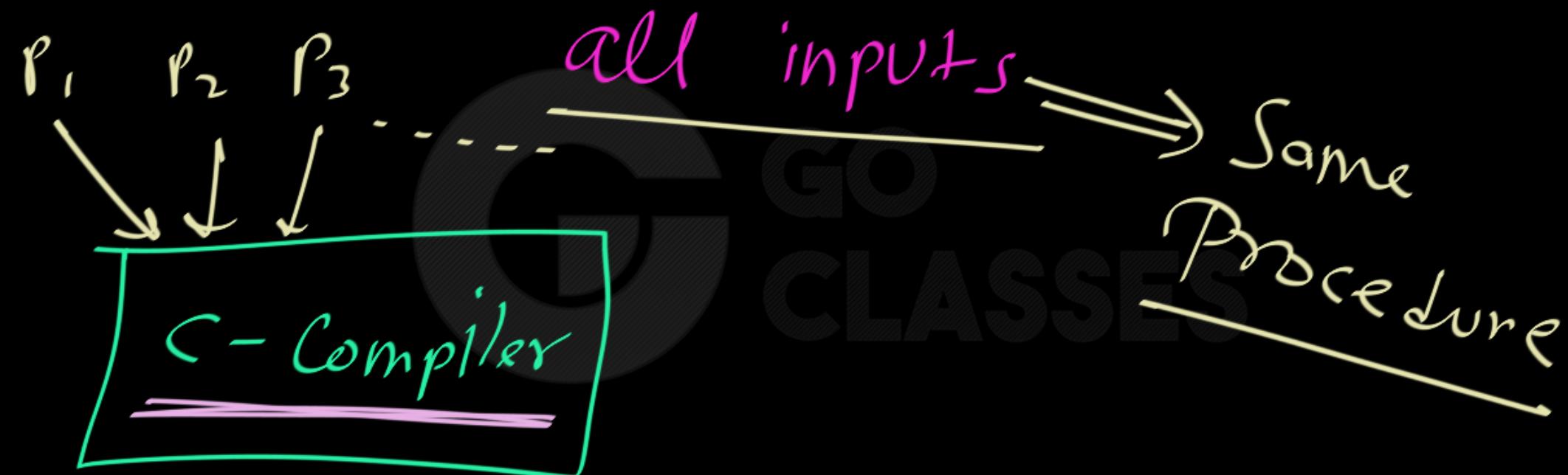


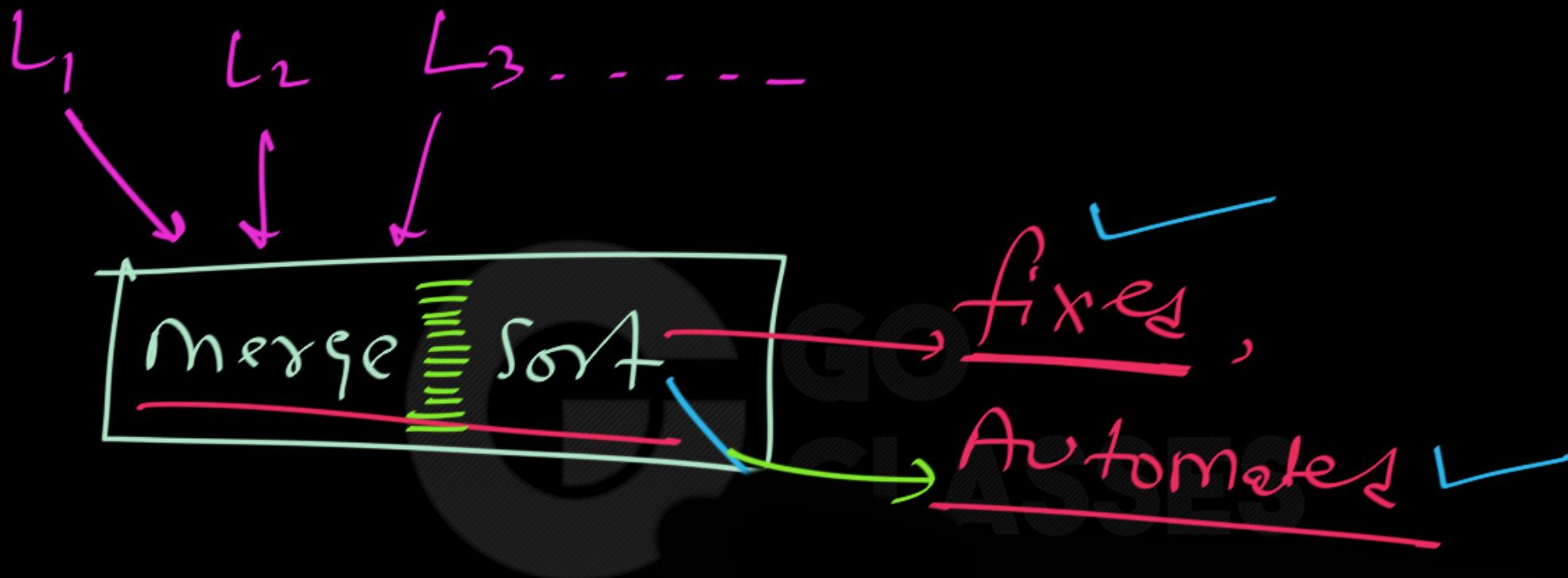
"finite"
set of

instructions
(However easy or
Complicated)

- ② must Halt on
Every Input (can take VEERRYY long)
- ③ Automated procedure time

Algo → Automated procedure for





Prob P₅:

Halting Prob of

programs

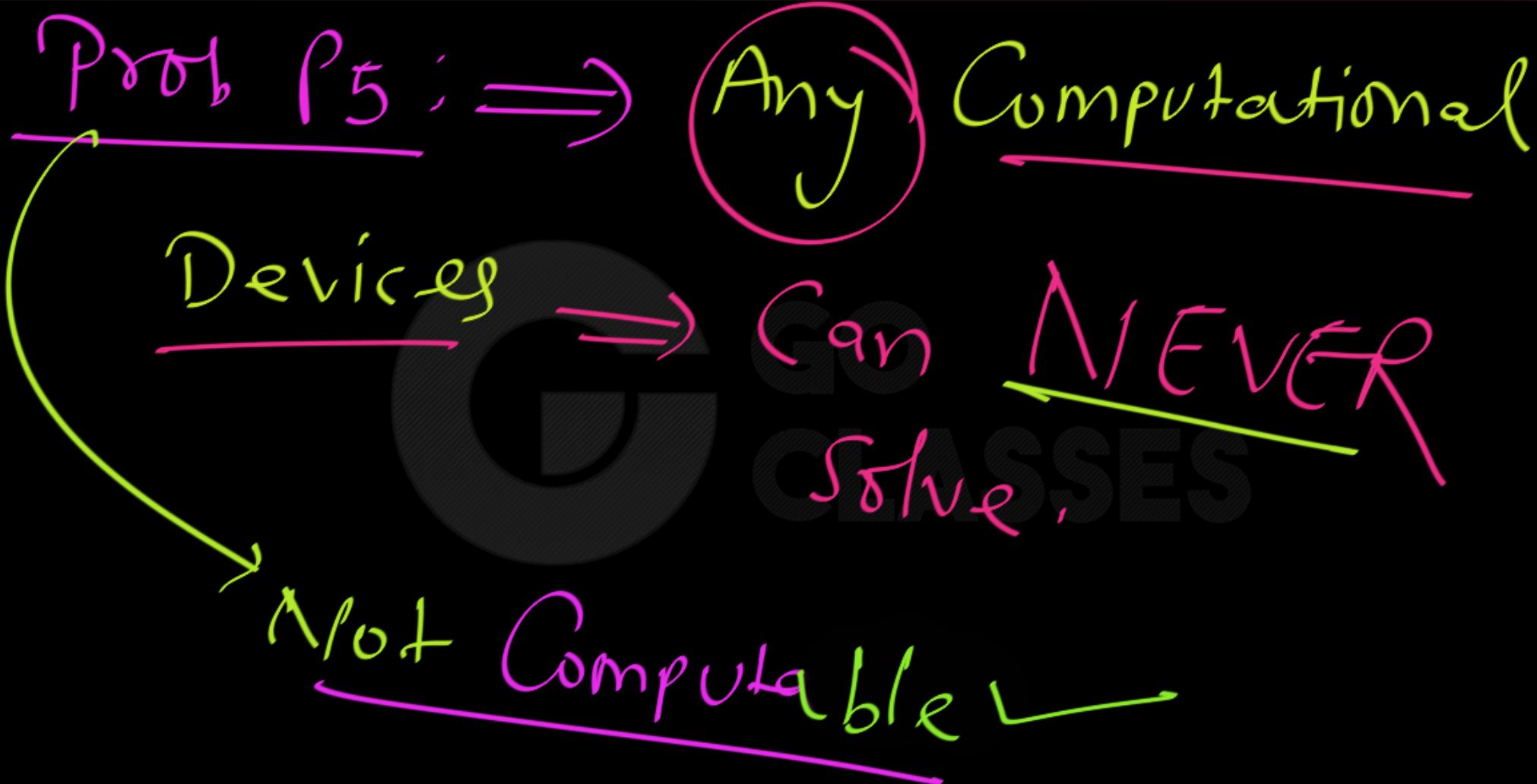


No Algorithm



(Does
not
exist)

NEVER will Exist.



Problem | function

Algorithm exists

Ex: Sorting, Sortest path,
searching, longest path,
MST

Coloring - - - -

Isomorphism

No Alg°
(NEVER)

Ex: Halting problem
of programs?

Computer can
solve

All

✓
Computation
Problems

Algo
exists

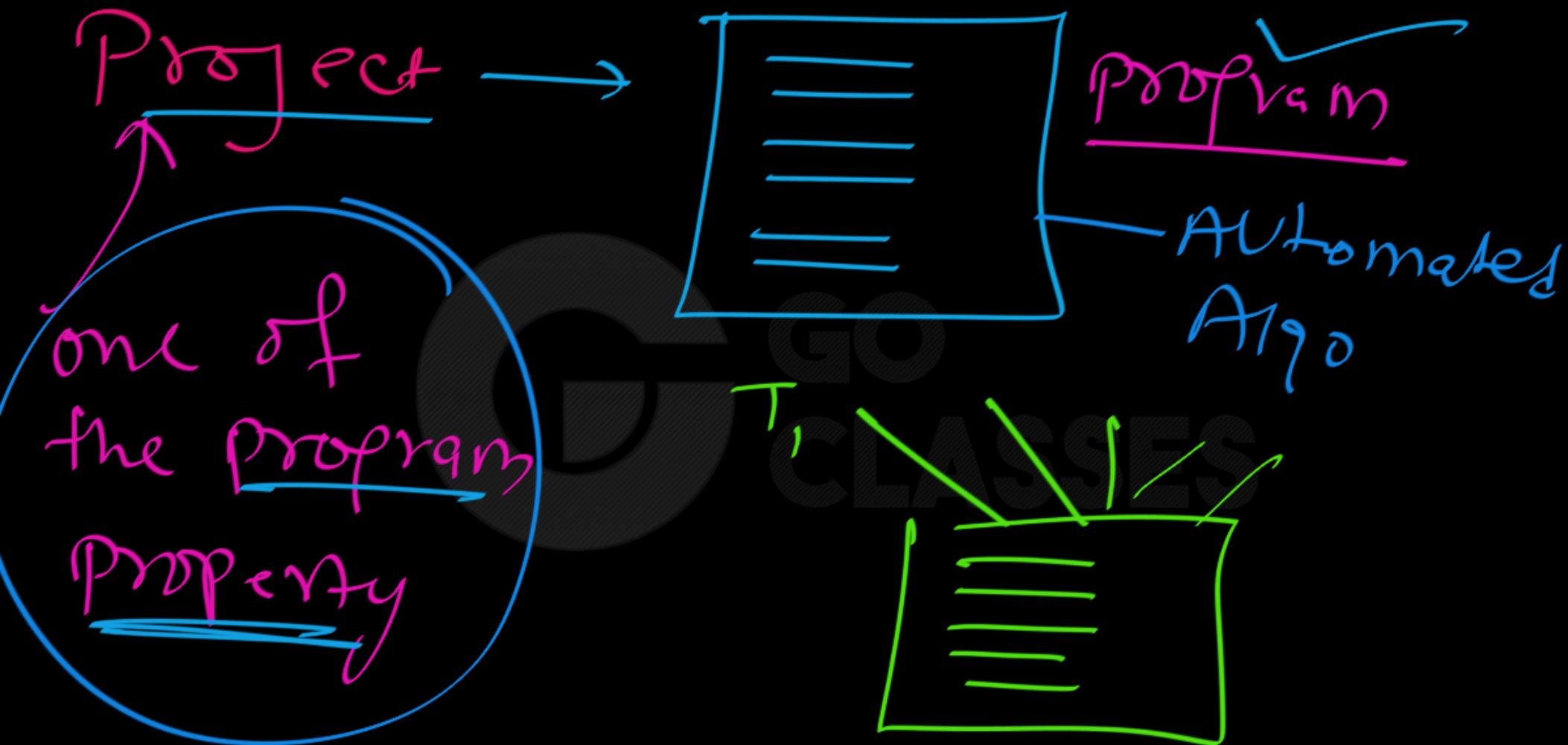
No Algorithm
Never write
an Algorithm

IISc → 1st sem : PAV

Prof "Analysis"

Prof "Verification"

Study many properties of programs
will given prog halt?
Does prog has null pointer?



Goal of Toc:

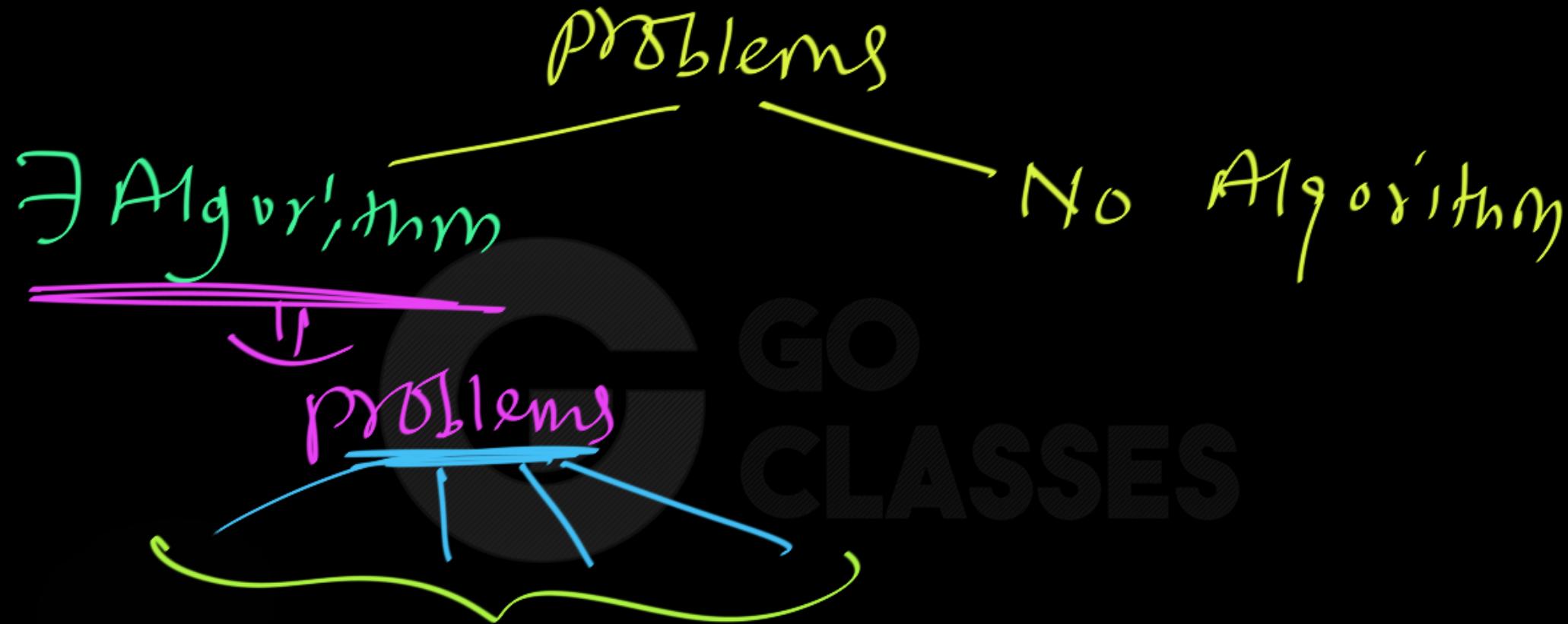
- ① Which Problems Can "Never" be solved using any Computational Device?

Goal of ToC:

- ① Which functions can "Never" be Computed using any algorithm.

Goal of ToC ?

- 2) Among the problems that
are solvable, How much
resources they take
 $\begin{array}{l} \text{Time} \\ \text{Space} \end{array}$



Depending on time, space they need.



Module 1 – Regular Languages & Finite Automata

Next Topic :

G GO
WHAT IS

Theory of Computation???



What do you understand by Computation?

How do you “Compute” something?



Theory of Computation

1, " Programs



What do you understand by Program?





What do you understand by Program?

Programs Express Algorithms (in some programming language)





What do you understand by Algorithm?



WHAT IS A RECIPE

/Algorithm

- 1) sequence of simple **steps**
- 2) **flow of control** process
that specifies when each
step is executed
- 3) a means of determining
when to stop

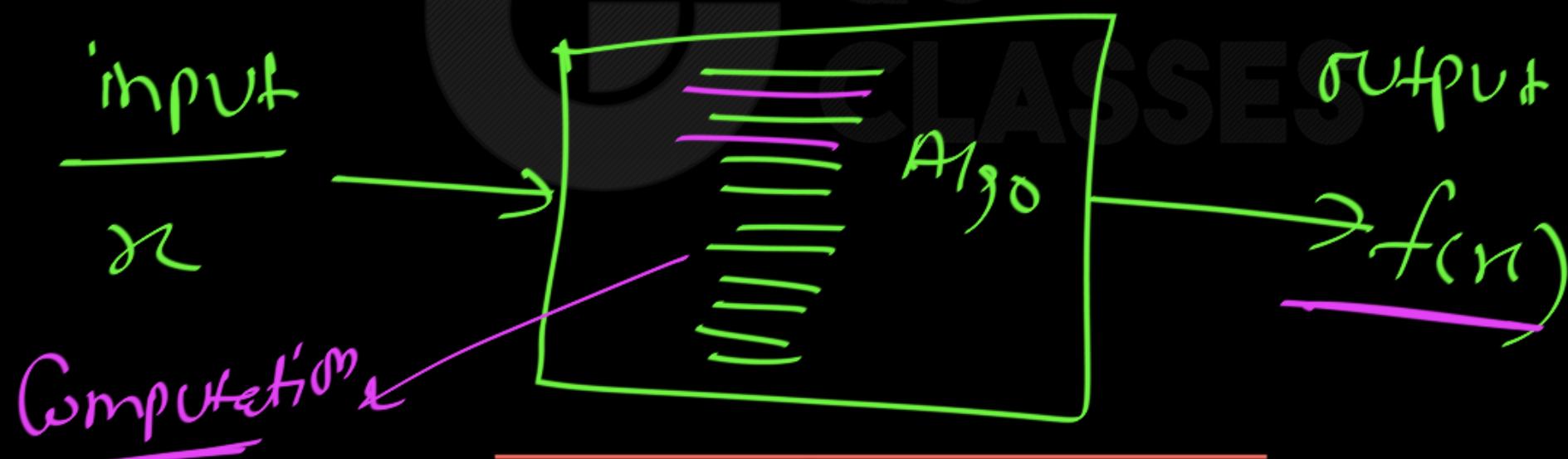


1+2+3 = an **algorithm!**



What do you understand by Algorithm?

An algorithm is a Recipe for carrying out input to output transformation.





Problem = function ✓

Algorithm Computes function.
" Solves Problem .



Algorithms Compute Functions?



What do you understand by Algorithm?

An algorithm is a Recipe for carrying out input to output transformation.

So, Algorithm computes a function.

An Algorithm tells us HOW TO Compute a function.



GOAL of Our Subject TOC?

Finding Class of Functions/Problems for which Algorithm exists that computes that function(solves that problem)





GOAL of Our Subject TOC?

To find out, for which Functions/Problems, There exists an Algorithm that computes it.

Every Algorithm computes a function.

BUT can every function/problem be computed by some algorithm?



GOAL of Our Subject TOC?

Every Algorithm computes a function.

BUT can every function/problem be computed by some algorithm?



GOAL of Our Subject TOC?

If you can define a function/problem, does it mean you can compute it?

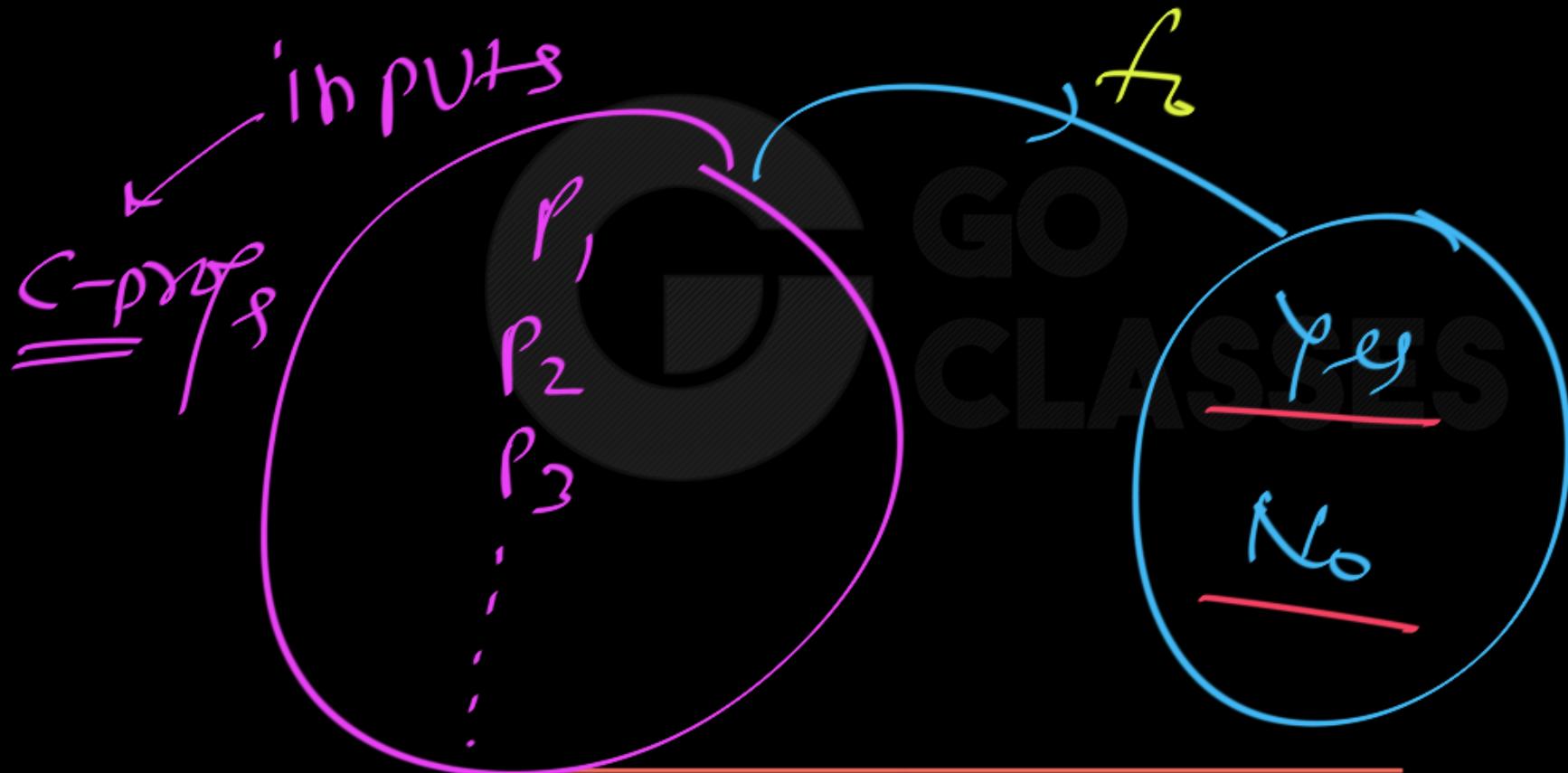
GOAL of Our Subject TOC?

If you can define a function/problem, does it mean you can compute it? No

Problem: Given a C-PROG, Does it Ever Halt? \Rightarrow NOT solvable



Prob Pr : function f_c



fun f_C \longrightarrow Defined ✓

T \hookrightarrow NOT Computable ✓

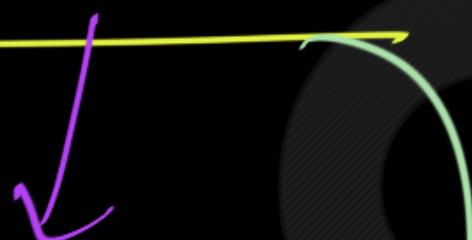
Non-Computable function \in
" - Solvable Problem



function

Vs

Algorithm



"What is"

"How to"

Problem Statement

Op: function: Is-prime(x)

Inputs = Natural Numbers ✓

Op = {Yes, No} ✓

Definition
of
function

: Is-prime(n) = $\begin{cases} \text{Yes ; If } n \text{ is prime} \\ \text{No ; If } n \text{ is not prime} \end{cases}$



fun \rightarrow Is_prime(x)

\exists Alg. ✓ Yes.

A₇

$2 \rightarrow \sqrt{5}$

| n



Algorithm

Computes

function.

ii

Solves

Problem.





Theory of Computation

NOT
Computable



No
Algo
exists

All
functions

Computable
(by Algorithm)



Computable functions



Algo exists ✓



Non-Computable functions

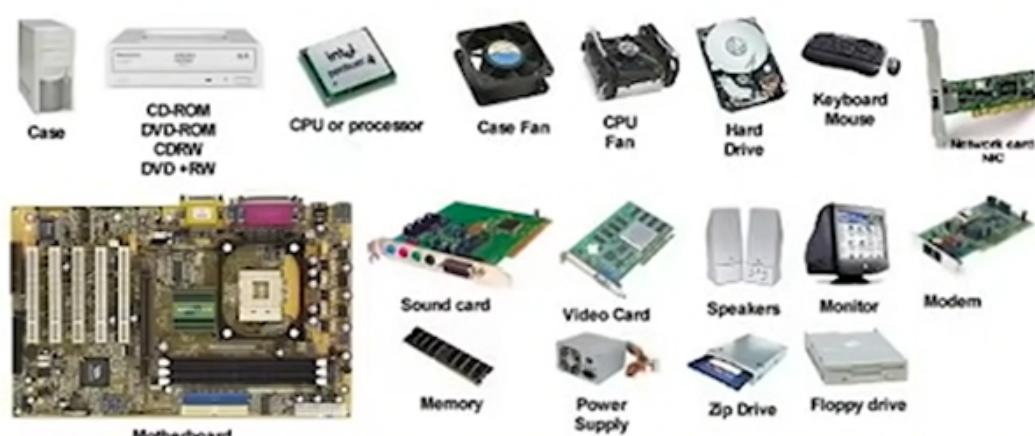


No Algo exists

(NEVER Algo will exist)

COMPUTERS ARE MACHINES

- how to capture a recipe in a mechanical process
- **fixed program** computer
 - calculator
- **stored program** computer
 - machine stores and executes instructions



Alan Turing — Mathematician
founder / father of Computer science

~1930-40 : Studies Limitations
of any Computational Devices

Alan Turing \Rightarrow to study
Limitations of Computational Device

Created a Theoretical / Mathematical
Device \Rightarrow Turing Machine

Turing m/c

Claimed : Turing m/c is the
model of Computation.
→ "Not Computable by Tm" ⇒ Not
Computable by any mechanical Device

Proved \Rightarrow many problems have
No Algorithm $\left(\begin{matrix} \text{will never} \\ \text{have any} \\ \text{Algo} \end{matrix} \right)$

Alan Turing

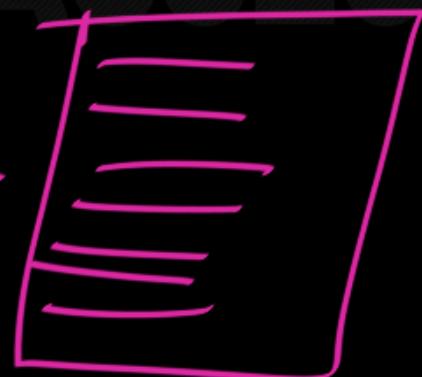


movie: "The Imitation Game"

NOT

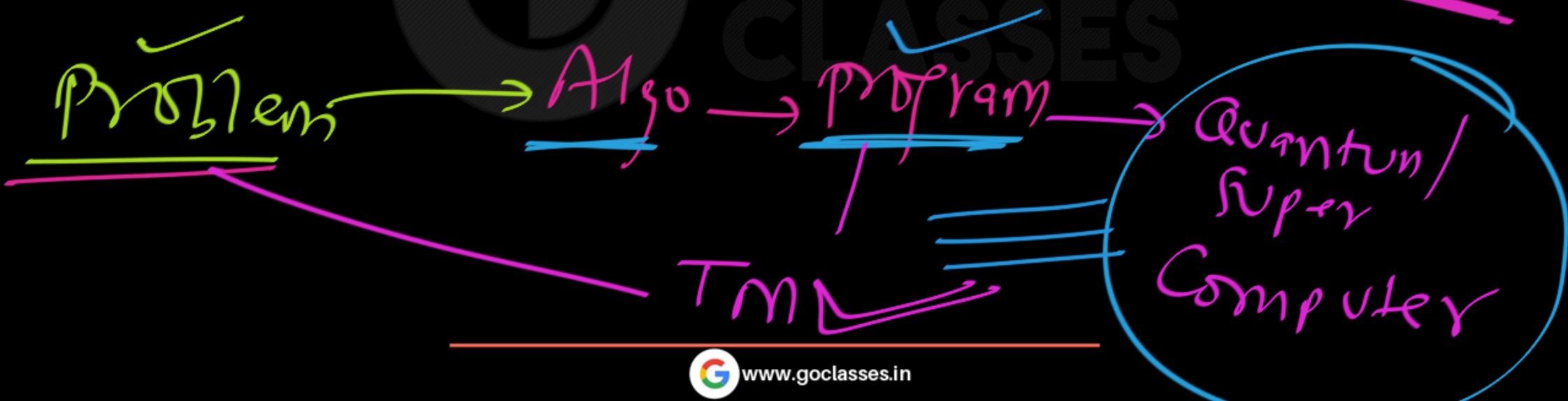
the Tm

Machine



Tm ≡ modern Computation Device

Tm ≡ Program ≡ Proj. Language





1930 - 40

— "Turing machine"

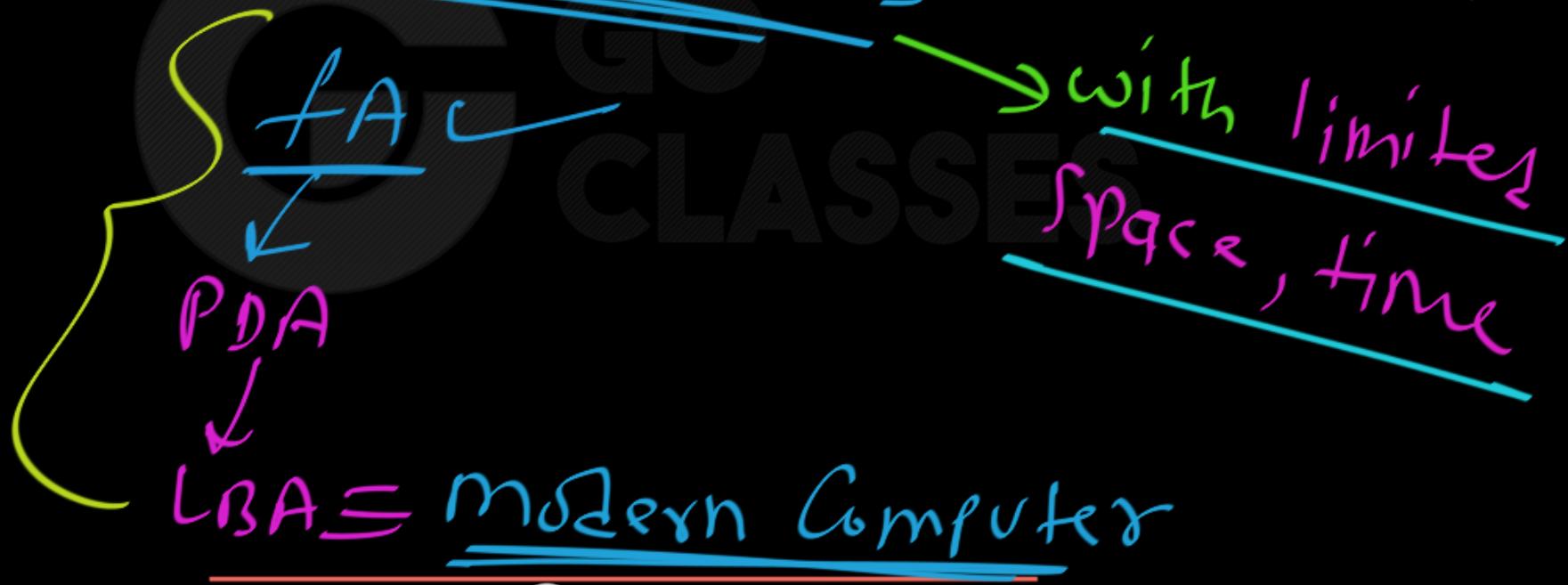
very powerful
machine

most powerful
model of
computation



1930-40 — Turing most powerful model of computation

1950-60 — 'Simple models' - limitation

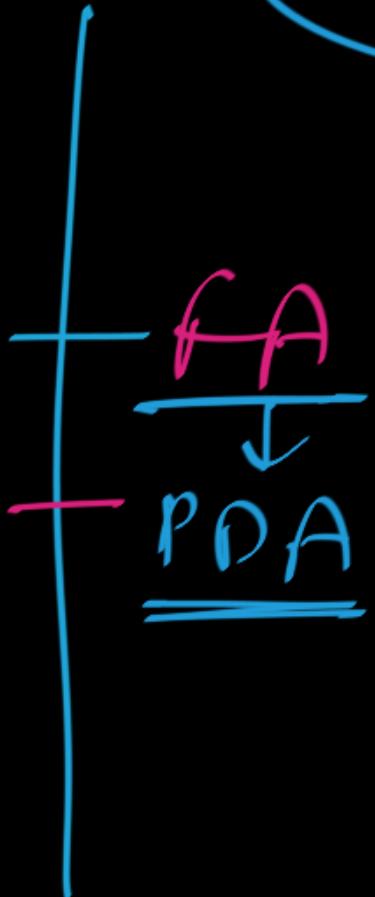




$TM = \frac{\text{Infinite space}}{\text{No Limit on time}} \left\{ \frac{\text{finite amount of time}}{\text{finite amount of time}} \right\}$

models of Computation :

Theoretical / mathematical
Devices to compute functions
(to solve problems)



FA = finite Automata — finite memory

PDA = Push Down Automata — infinite memory

LBA = linear bounded Automata

modern computer

Tm — infinite memory

Analogy :

FA \equiv Calculator

PDA \equiv mobile

LBA \equiv Computer ✓

TM \equiv most powerful device



Module 1 – Regular Languages & Finite Automata

Next Topic :

Roadmap of this Subject



FA ✓
PDA
LBA
Tm

GO CLASSES

No Algorithm

Undecidability



Module 1 – Regular Languages & Finite Automata

Next Topic :

G SyllabusES

Theory of Computation???



Section 6: Theory of Computation

Regular expressions and finite automata. Context-free grammars and push-down automata. Regular and context-free languages, pumping lemma. Turing machines and undecidability.

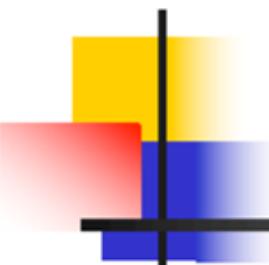




Theory of Computation Syllabus :

Formal languages, machine (mathematical) models, Grammars.

- Regular languages, finite automata, Type 3 grammar(regular grammar), regular expression, Myhill-Nerode theorem
- Context-free languages, Context-free grammars, pushdown automata
- Unrestricted grammars / Turing machines
- Non-determinism
- Closure properties
- Pumping lemma
- Undecidable problems
- Countability etc...



Course Organization

- Very broadly, the course will contain three parts:
 - Part I) Regular languages (FA)
 - Part II) Context-free languages (PDA)
 - Part III) Turing machines & decidability



Module 1 – Regular Languages & Finite Automata

Next Topic :

WHY Study

Theory of Computation???



Theory of Computation tells you why Computer Science is NOT only about computers, but that it is actually a science.

“Computer science is no more about computers than astronomy is about telescopes.” — *Dijkstra*



Theory of Computation



Computer science is no more about computers than astronomy is about telescopes, biology is about microscopes or chemistry is about beakers and test tubes. Science is not about tools. It is about how we use them, and what we find out when we do.

— Edsger Dijkstra —

AZ QUOTES

Why Finite Automata and Regular Expressions?

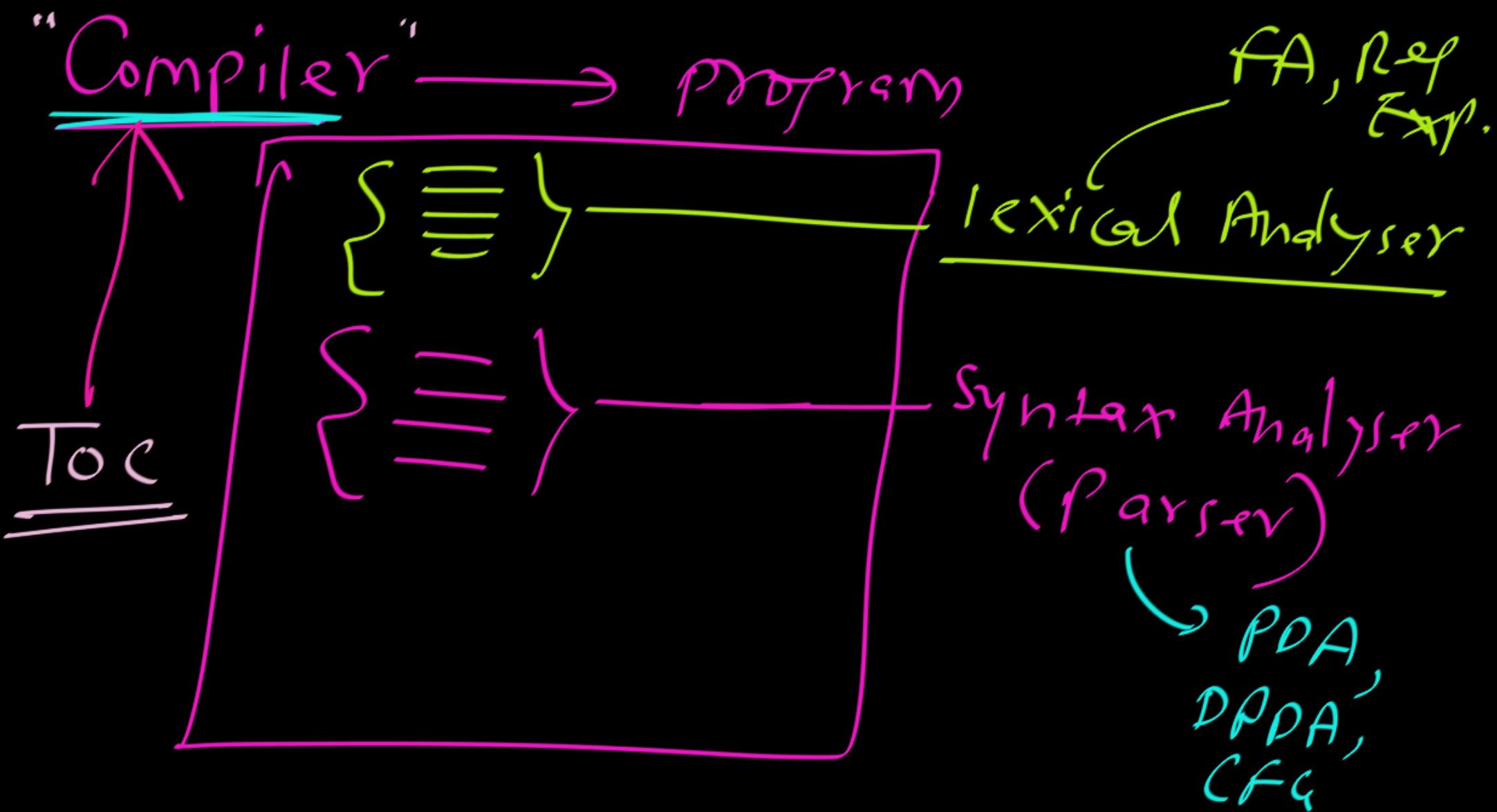
Used in Compilers. (Compiler Design)

Regular expressions (REs) are used in many systems.

- E.g., UNIX, Linux, OS X,... $a.^*b$.

Finite automata model electronic circuits.

Sequential Circuits
(Mealy)
(Moore)





Why Context-Free Grammars?

- Context-free grammars (CFGs) are used to describe the syntax of essentially every modern programming language.
- Every modern compiler uses CFG concepts to parse programs



Why Turing Machines?

- When developing solutions to real problems, we often confront the limitations of what software can do.
- Undecidable things – no program can do it 100% of the time with 100% accuracy.
- Intractable things – there are programs, but no fast programs.
- A course on Automata Theory and Formal Languages tells you about which problems can NEVER be solved.



What is Automata Theory?

- *Study of abstract computing devices, or “machines”*
- **Automaton = an abstract computing device**
 - Note: A “device” need not even be a physical hardware!
- A fundamental question in computer science:
 - Find out what different models of machines can do and cannot do
 - The *theory of computation*
- Computability vs. Complexity

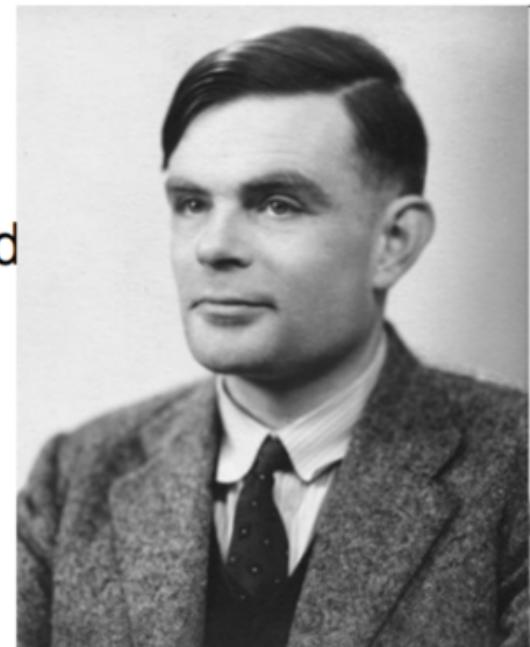
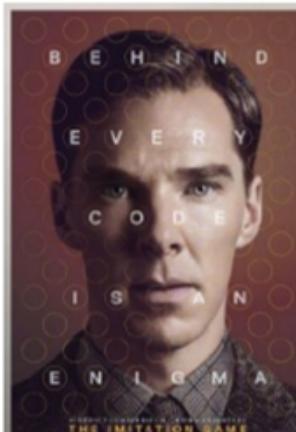
Introduction to Automata Theory

- Automata theory : **the study of abstract computing devices, or "machines"**
- Before computers (1930), **A. Turing** studied an abstract machine (*Turing machine*) that had all the capabilities of today's computers (concerning what they could compute). His goal was to describe precisely the boundary between what a computing machine could do and what it could not do.
- Simpler kinds of machines (**finite automata**) were studied by a number of researchers and **useful for a variety of purposes**.
- Theoretical developments bear directly on what computer scientists do today
 - Finite automata, formal grammars: design/ construction of software
 - Turing machines: help us understand what we can expect from a software
 - Theory of intractable problems: are we likely to be able to write a program to solve a given problem? Or we should try an approximation, a heuristic...

Alan Turing (1912-1954)

- Father of Modern Computer Science
- English mathematician
- Studied abstract machines called **Turing machines** even before computers existed

Heard of the Turing test?



Historical Perspectives

Alan Turing (1912-1954)

- Mathematician, logician, cryptanalyst, and **founder of computer science**
- First to **formally define computation / algorithm**
- Invented the **Turing machine model**
 - **theoretical basis** of all modern computers
- Investigated computational “**universality**”
- Introduced “**definable**” real numbers
- Proved **undecidability** of halting problem
- Originated **oracles** and the “**Turing test**”
- Pioneered **artificial intelligence**
- Anticipated **neural networks**
- Designed the **Manchester Mark 1** (1948)
- Helped break the **German Enigma cypher**
- **Turing Award** was created in his honor



The
Imitation
Game
Movie

Theory of Computation: A Historical Perspective



1930s	<ul style="list-style-type: none">• Alan Turing studies Turing machines• Decidability• Halting problem
1940-1950s	<ul style="list-style-type: none">• “Finite automata” machines studied• Noam Chomsky proposes the “Chomsky Hierarchy” for formal languages
1969	Cook introduces “intractable” problems or “ NP-Hard ” problems
1970-	Modern computer science: compilers , computational & complexity theory evolve