## lib/search/binary-search-nat0.ath

```
# Binary search function for searching in a binary search tree (here
  # restricted to natural number elements) and correctness theorems.
  load "search/binary-search-tree-nat"
  extend-module BinTree {
10 declare binary-search: [N (BinTree N)] -> (BinTree N)
11
12 module binary-search {
14 define (axioms as [at-root go-left go-right empty]) :=
     [(binary-search x (node L y R)) =
16
          [(node L y R) when (x = y)
17
           (binary-search x L) when (x < y)
18
           (binary-search x R) when (x = /= y \& \sim x < y)]
19
20
      (binary-search x null) = null)
22 assert axioms
23
  define found :=
24
    (forall T .
25
      BST T ==>
        forall x L y R .
27
           (binary-search x T) = (node L y R) ==> x = y \& x in T)
28
30 define not-found :=
    (forall T .
      BST T ==>
32
         forall x . (binary-search x T) = null ==> \sim x in T)
33
35 define binary-search-found-base :=
36
   method ()
37
     conclude (BST null ==>
                 (forall x L y R .
38
                    (binary-search x null) = (node L y R) ==>
                    x = y & x in null))
       assume (BST null)
41
        pick-any x:N L: (BinTree N) y:N R: (BinTree N)
           assume i := ((binary-search x null) = (node L y R))
43
             let {A := conclude (null = (node L y R))
44
                          (!chain
                           [null:(BinTree N) = (binary-search x null)
46
                                                [empty]
                            = (node L y R)
                                                  [i]]);
                   B := (!chain-> [true ==> (null =/= (node L y R))
49
                              [(exclusive-constructors "BinTree")]])}
              (!from-complements (x = y & x in null) A B)
51
52
  define binary-search-found-step :=
   method (T)
54
55
     \textbf{match} \ \ \mathbb{T} \quad \{
       (node L: (BinTree N) y:N R: (BinTree N)) =>
56
        let {p1 := (forall ?x ?L1 ?y1 ?R1 .
57
                       (binary-search ?x L) = (node ?L1 ?y1 ?R1) ==>
59
                       ?x = ?y1 \& ?x in L);
              p2 := (forall ?x ?L1 ?y1 ?R1 .
60
                       (binary-search ?x R) = (node ?L1 ?y1 ?R1) ==>
                       ?x = ?y1 \& ?x in R);
62
              ind-hyp1 := (BST L ==> p1);
              ind-hyp2 := (BST R ==> p2) }
         assume i := (BST (node L y R))
65
              conclude
                (forall ?x ?L1 ?y1 ?R1 .
```

```
(binary-search ?x (node L y R)) = (node ?L1 ?y1 ?R1)
68
                       => ?x = ?y1 & ?x in (node L y R))
69
                 71
72
                  _ := (!chain-> [i ==> p0 [BST.nonempty]]);
73
                  _ := (!chain-> [p0 ==> (BST L) [prop-taut]
74
                                    ==> p1
                                                [ind-hyp1]]);
                  _ := (!chain-> [p0 ==> (BST R) [prop-taut]
76
                                    ==> p2 [ind-hyp2]])}
77
                pick-any x:N L1 y1:N R1
                  assume p3 := ((binary-search x (node L y R)) =
79
                                (node L1 y1 R1))
                    conclude (x = y1 & x in (node L y R))
81
                      (!two-cases
82
                        assume (x = y)
                          let {A := conclude (x = y1)
84
85
                                      (!chain->
                                       [(node L y R)
                                      = (binary-search x (node L y R))
87
88
                                          [at-root]
                                      = (node L1 y1 R1) [p3]
                                      ==> (& (L = L1) (y = y1) (R = R1))
90
                                          [(datatype-axioms "BinTree")]
                                      ==> (y = y1)
92
                                         [(compose right-and left-and)]
93
                                      ==> (x = y1) [(x = y)]]);
94
                               B := conclude (x in (node L y R))
95
                                      (!chain->
                                       [(x = y) ==> (x in (node L y R))
                                                     [in.root]])}
98
                           (!both A B)
                        assume (x = /= y)
100
101
                           (!two-cases
                             assume (x < y)
                               (!chain->
103
104
                                 [(binary-search x L)
                                  = (binary-search x (node L y R))
105
                                            [go-left]
106
107
                                  = (node L1 y1 R1)
                                  ==> (x = y1 \& x in L)
108
                                                                   [p1]
                                  ==> (x = y1 \& x in (node L y R))
109
110
                                                   [in.left]])
                             assume (\sim x < y)
111
112
                               (!chain->
                                [(binary-search x R)
113
                                 = (binary-search x (node L y R))
114
                                       [go-right]
                                 = (node L1 y1 R1)
                                                               [p3]
116
                                 = > (x = y1 & x in R)
= > (x = y1 & x in R)
117
                                                                [p2]
                                 ==> (x = y1 \& x in (node L y R))
118
                                        [in.right]])))
119
120
       }
122 by-induction found {
    null => (!binary-search-found-base)
123
   | (node L y:N R) => (!binary-search-found-step (node L y R))
124
125 }
126
127 #....
128 #
129 by-induction not-found {
   null =>
130
131
      assume (BST null)
        conclude (forall ?x .
132
                    (binary-search ?x null) = null ==> \sim ?x in null)
133
           pick-any x:N
134
135
             assume ((binary-search x null) = null)
              (!chain-> [true ==> (~ x in null) [in.empty]])
136
137 | (node L y:N R) =>
```

```
let {p1 := (forall ?x . (binary-search ?x L) = null ==> ~ ?x in L);
138
             p2 := (forall ?x . (binary-search ?x R) = null ==> \sim ?x in R);
139
             ind-hyp1 := (BST L ==> p1);
             ind-hyp2 := (BST R ==> p2)}
141
       assume i := (BST (node L y R))
142
         conclude (forall ?x . (binary-search ?x (node L y R)) = null ==>
143
                                 \sim ?x in (node L y R))
144
145
            smaller-in-left := (forall ?x . ?x in L ==> ?x <= y);</pre>
146
             larger-in-right := (forall ?z . ?z in R ==> y <= ?z);
147
148
             p0 := (BST L & smaller-in-left & BST R &
                    larger-in-right);
149
150
             _ := (!chain-> [i ==> p0
                                             [BST.nonempty]]);
             _ := (!chain-> [p0 ==> (BST L)
151
                                                       [prop-taut]
                                 ==> p1
                                                       [ind-hyp1]]);
152
             _ := (!chain-> [p0 ==> smaller-in-left [prop-taut]]);
             _ := (!chain-> [p0 ==> (BST R) [prop-taut]
154
                                ==> p2
                                                       [ind-hyp2]]);
155
             _ := (!chain-> [p0 ==> larger-in-right [prop-taut]])}
157
           pick-anv x
158
              assume p3 := ((binary-search x (node L y R)) = null)
                (!by-contradiction (~ x in (node L y R))
159
                  assume (x in (node L y R))
160
161
                    let {p4 := (!chain-> [(x in (node L y R))
                                            ==> (x = y | x in L | x in R)
162
163
                                                    [in.nonempty]])}
                     (!two-cases
164
                     assume (x = y)
165
166
                       let {A := (!chain
167
                                   [null: (BinTree N)
                                 = (binary-search x (node L y R))
                                                                      [Eq]
168
                                 = (node L y R) [at-root]]);
169
                             B := (!chain->
170
                                    [true ==> (null =/= (node L y R))
171
                                       [(exclusive-constructors "BinTree")]])}
172
                        (!absurd A B)
173
                     assume (x = /= y)
174
                        (!two-cases
                         assume (x < y)
176
177
                           (!cases p4
                            assume (x = y)
178
                             (!absurd (x = y) (x =/= y))
179
180
                            assume A := (x in L)
                              let {B := (!chain->
181
182
                                          [(binary-search x L)
                                         = (binary-search x (node L y R))
                                             [go-left]
184
                                         = null: (BinTree N)
                                                                    [p3]
185
                                         ==> (\sim x in L)
                                                                     [p1]])}
186
                              (!absurd A B)
187
                            assume (x in R)
188
                              let {_ := (!chain->
189
190
                                          [(x in R)
                                           ==> (y <= x)
                                                            [larger-in-right]
                                           ==> (~ x < y)
                                                            [N.Less=.trichotomy4]])}
192
193
                              (!absurd (x < y) (\sim x < y)))
                         assume (\sim x < y)
194
195
                           (!cases p4
                            assume (x = y)
196
                              (!absurd (x = y) (x =/= y))
197
198
                            assume (x in L)
                              let {_ :=
199
                                (!chain->
200
201
                                 [(x in L)
                                                        [smaller-in-left]
202
                                   ==> (x <= y)
                                  ==> (x < y | x = y) [N.Less=.definition]
203
                                  ==> (\sim x < y \& (x < y | x = y))
204
205
                                                        [augment]
                                  ==> (x = y)
206
                                                        [prop-taut]])}
                              (!absurd (x = y) (x =/= y))
```

```
assume (x in R)
208
                             let {_ :=
209
                               (!chain->
                                [(binary-search x R)
211
                                 = (binary-search x (node L y R))
212
                                           [go-right]
213
                                 = null:(BinTree N)
                                                            [Eq]
214
                                 ==> (~ x in R)
215
                                                             [[[[[ga]
                             (!absurd (x in R) (~ x in R)))))
216
217 } # by-induction
219 #....
^{220} # Alternative proof of not-found with top level case analysis based
221 # on cases (x = y \mid x \text{ in } L \mid x \text{ in } R):
222 by-induction not-found {
    null => assume (BST null)
224
               conclude (forall ?x .
                          (binary-search ?x null) = null ==> \sim ?x in null)
225
                 pick-any x:N
                   assume ((binary-search x null) = null)
227
                     (!chain-> [true ==> (~ x in null) [BinTree.in.empty]])
228
229 | (node L y:N R) =>
       let {p1 := (forall ?x . (binary-search ?x L) = null ==> \sim ?x in L);
230
            p2 := (forall ?x . (binary-search ?x R) = null ==> \sim ?x in R);
            ind-hyp1 := (BST L ==> p1);
232
233
            ind-hyp2 := (BST R ==> p2)}
       assume i := (BST (node L y R))
234
         conclude (forall ?x . (binary-search ?x (node L y R)) = null ==>
235
                               \sim ?x in (node L y R))
236
           let {smaller-in-left := (forall ?x . ?x in L ==> ?x <= y);</pre>
                larger-in-right := (forall ?z . ?z in R ==> y <= ?z);</pre>
238
                p0 := (BST L & smaller-in-left & BST R &
                        larger-in-right);
240
                _ := (!chain-> [i ==> p0 [BinTree.BST.nonempty]]);
241
                _ := (!chain-> [p0 ==> (BST L) [prop-taut]]);
                _ := (!chain-> [p0 ==> smaller-in-left [prop-taut]]);
243
                _ := (!chain-> [p0 ==> (BST R) [prop-taut]]);
244
                 _ := (!chain-> [p0 ==> larger-in-right [prop-taut]])}
           pick-any x
246
             let {_ := (!chain-> [(BST L) ==> p1
                  247
248
              assume p3 := ((binary-search x (node L y R)) = null)
249
250
               let {_ :=
                    (!by-contradiction (x =/= y)
251
252
                    assume (x = y)
                       (!absurd
                        (!chain
254
                         [null: (BinTree N)
256
                               = (binary-search x (node L y R))
                               = (node L y R) [at-root]])
257
                        (!chain-> [true ==> (\sim (null = (node L y R)))
258
                               [(first (datatype-axioms "BinTree"))]])))}
259
                (!by-contradiction (\sim x in (node L y R))
260
                 assume (x in (node L y R))
                  let {to-consider :=
262
                        (!chain-> [(x in (node L y R))
263
                                   ==> (x = y | x in L | x in R)
264
265
                                                   [BinTree.in.nonempty]])}
                   (!cases to-consider
266
                   assume (x = y)
267
                     (!absurd (x = y) (x =/= y))
268
                    assume (x in L)
                     let {p4 := ((binary-search x (node L y R))
270
271
                                  = (binary-search x L));
                           _ := (!chain->
272
                                 [(x in L)
273
                                  ==> (x <= y)
                                                 [smaller-in-left]
274
                                  ==> (x < y | x = y) [Less=.definition]]);
275
276
                           _ := conclude p4
                                  (!cases (x < y | x = y)
```

```
(!chain
278
                                                                             [(x < y) ==> p4 [go-left]])
279
                                                                            assume (x = y)
                                                                               (!from-complements p4 (x = y) (x = /= y)));
281
                                                      _ := conclude (~ x in L)
282
                                                                     (!chain->
283
                                                                       [(binary-search x L)
284
                                                                         = (binary-search x (node L y R)) [p4]
                                                                         = null:(BinTree N)
                                                                                                                                                [p3]
286
                                                                         ==> (\sim x in L)
287
                                                                                                                                                [p1]])}
288
                                              (!absurd (x in L) (~ (x in L)))
                                         assume (x in R)
289
                                              let {p5 := ((binary-search x (node L y R))
290
                                                                       = (binary-search x R));
291
                                                          _ := conclude p5
292
                                                                       (!chain->
                                                                         [(x in R)
294
                                                                           ==> (y <= x)
                                                                                                             [larger-in-right]
295
                                                                           ==> (\sim x < y) [Less=.trichotomy4]
                                                                           ==> p5
                                                                                                            [go-right]]);
297
                                                        _ := conclude (~ x in R)
298
                                                                        (!chain->
                                                                         [(binary-search x R)
300
301
                                                                           = (binary-search x (node L y R)) [p5]
                                                                           = null:(BinTree N)
302
                                                                                                                                                  [p3]
303
                                                                           ==> (\sim (x in R))
                                                                                                                                                  [p2]])}
                                              (!absurd (x in R) (\sim x in R))))
304
305
306
308 # Converse of binary-search.not-found follows from
309 # binary-search.found:
310 define not-in-implies-null-result :=
        (forall T .
311
               BST T ==> forall x . \sim x in T ==> (binary-search x T) = null)
312
313
314 conclude not-in-implies-null-result
        pick-any T: (BinTree N)
315
              assume (BST T)
316
317
                   let {exhaustive := (!constructor-exhaustiveness "BinTree")}
                   pick-any x:N
318
319
                        assume (\sim x in T)
320
                            (!by-contradiction ((binary-search x T) = null)
                              assume ii := ((binary-search x T) =/= null)
321
322
                                  let {p := (exists ?L ?y ?R .
                                                             (binary-search x T) = (node ?L ?y ?R));
                                              _ := (!chain->
324
                                                         [true
                                                  ==> ((binary-search x T) = null | p) [exhaustive]
326
                                                 ==> p
327
                                                                                                                        [(dsyl with ii)]])}
                                   \begin{pick-witnesses} \begin{pick-witness
                                       let {_ := (!chain-> [p' ==> (x = y & x in T) [found]
329
                                                                                          ==> (x in T) [right-and]])}
330
                                       (!absurd (x in T) (\sim x in T)))
332
333
334 # Combining the implications:
      define not-found-iff-not-in :=
335
336
           (forall T .
              BST T ==> forall x . (binary-search x T) = null <==> \sim x in T)
337
338
339 conclude not-found-iff-not-in
         pick-any T: (BinTree N)
340
341
               assume (BST T)
342
                  pick-any x:N
                       let {A := (!chain
343
                                                [((binary-search x T) = null) ==> (\sim x in T)
344
345
                                                  [not-found]]);
                                  B := (!chain
346
                                                [(\sim x in T) ==> ((binary-search x T) = null)
```

```
[not-in-implies-null-result]])}
348
           (!equiv A B)
349
  #.....
351 define in-implies-node-result :=
352
    (forall T .
     BST T ==>
353
        forall x .
354
355
          x in T ==> exists L R . (binary-search x T) = (node L x R))
356
357 conclude in-implies-node-result
358
    pick-any T: (BinTree N)
      assume (BST T)
359
360
        pick-any x:N
          assume (x in T)
361
            let {p := (exists ?L ?y ?R .
362
                         (binary-search x T) = (node ?L ?y ?R));
                 q := ((binary-search x T) =/= null);
364
                  _{-} := (!by-contradiction q
365
                        assume i := ((binary-search x T) = null)
                         let {_ := (!chain->
367
                                    [i ==> (~ x in T) [not-found]])}
368
                          (!absurd (x in T) (~ x in T)));
                 exhaustive := (!constructor-exhaustiveness "BinTree");
370
                  _ := (!chain->
                       [true
372
                     ==> ((binary-search x T) = null | p) [exhaustive]
373
                    ==> p
374
                                                      [(dsyl with q)]])}
             pick-witnesses L y R for p p'
375
376
               let {_ := (!chain->
377
                          [(binary-search x T)
                          = (node L y R) [p']
378
                          ==> (x = y \& x in T) [found]
                           ==> (x = y)
                                                [left-and]])}
380
               (!chain->
381
                [(binary-search x T)
                 = (node L y R) [p']
383
                 = (node L x R) [(x = y)]
384
                 ==> (exists ?L ?R .
                       (binary-search x T) = (node ?L x ?R))
386
                               [existence]])
388 } # binary-search
389 } # BinTree
```