Software Foundations in Athena

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Chapter 2

Basics

2.1 Introduction

This chapter develops the same material that is presented in the corresponding chapter ("Basics", Chapter 2) of the SF book, but in Athena. This includes solutions for most of the exercises in the SF book. In addition, it introduces new material that is not present in the SF book.

2.2 Enumerated Types

Here is how we introduce a data type to represent the days of the week:

```
datatype Day := Monday | Tuesday | Wednesday | Thursday | Friday | Saturday | Sunday
```

This is an inductively generated type that is also a free algebra. Its defining axioms can be seen and inserted into the assumption base as follows:

```
assert (datatype-axioms "Day")
```

Let's introduce some handy variables for this sort. We'll also load the files prolog-solver and nat-plus, since we'll use that functionality:

```
load "nat-plus"
load "prolog-solver"
define [d d' d1 d2 d3] := [?d:Day ?d':Day ?d1:Day ?d2:Day ?d3:Day]
```

The following defines the nextWeekday function introduced in Section 2.1 of SF:

Let's now eval a few terms to make sure the definition works properly:

```
> (eval nextWeekday Monday)

Term: Tuesday
```

```
> (eval nextWeekday nextWeekday Tuesday)

Term: Thursday
```

Using a relational approach (based on logic programming), we can evaluate/solve backwards as well, e.g., we can answer the following question: which day is the predecessor of the predecessor of Wednesday?

```
> (Prolog.auto-solve nextWeekday nextWeekday ?d = Wednesday)
List: [true {?d:Day --> Monday}]
```

Here is our first proof—showing deductively that the twice-next weekday after Monday is Wednesday:

There are many different ways in which this trivial result could be proved, some of which are stylistic variants of the above proof and others are more substantially different. In terms of style, the **conclude** annotation is optional and could have been ommitted:

Also, the two equational steps could have been collapsed into one, since Athena will attempt arbitrarily long rewriting chains:

```
(!chain [(nextWeekday nextWeekday Monday) = Wednesday [nextWeekday-def]])
```

Of course, this trivial result could also be easily proved via external ATPs:

```
(!prove (nextWeekday nextWeekday Monday = Wednesday) (ab))
```

Let's introduce a more generic next-day function that produces the following day of any given day, week or weekend:

Let's now introduce an *addition* operation on days, so that, for example, adding 1 to Monday gives Tuesday, adding 2 to Friday gives Sunday, and so on:

```
declare day-add: [Day N] -> Day [+ [id int->nat]]
# We've overloaded the + symbol and also made it accept integer numerals
# in the second argument position. We define this function axiomatically as follows:
```

Now here is a conjecture: Day addition is periodic with a period of 6:

```
define conjecture := (forall d . d + 6 = d)
```

We can *test* this conjecture automatically using model-checking, up to a bound of let's say 100:

```
> (falsify conjecture 100)
List: ['success | {?d:Day := Monday}|]
```

So Monday is a counterexample to the conjecture. How come?

```
> (eval Monday + 6)

Term: Sunday
```

Of course, the correct period is 7, not 6:

```
define conjecture := (forall d . d + 7 = d)
# Now we're no longer able to falsify it:
> (falsify conjecture 100)
Term: 'failure
```

Can we *prove* this conjecture deductively? Yes, in a number of different ways. For example:

```
define premises := [day-add-def N.Plus.Plus-def nextWeekday-def]
datatype-cases conjecture {
Monday => (!chain [(Monday + 7) = Monday
                                                  [premises]])
| Tuesday => (!chain [(Tuesday + 7) = Tuesday
                                                  [premises]])
| Wednesday => (!chain [(Wednesday + 7) = Wednesday [premises]])
| Thursday => (!chain [(Thursday + 7) = Thursday
                                                  [premises]])
          => (!chain [(Friday + 7) = Friday
| Friday
                                                  [premises]])
| Saturday => (!chain [(Saturday + 7) = Saturday
                                                  [premises]])
          => (!chain [(Sunday + 7)
                                     = Sunday
                                                  [premises]])
```

However, this required us to think carefully about the exact set of assumptions that are needed for the result. Alternatively, we can let Athena figure those out on its own. And to cut down our typing, we define a procedure that builds the desired statement for any given day automatically:

```
retract conjecture

define (periodic d) := (d + 7 = d)

> datatype-cases conjecture {
   Monday => (!chain [(Monday + 7) = Monday])
| Tuesday => (!chain [(Tuesday + 7) = Tuesday])
```

That is cleaner, but it still contains a lot of repetition. Let's write one single **datatype-cases** method for Day to rule them all. This will be a binary method that takes any goal g of the form

```
(\forall d: \texttt{Day} . body)
```

and an input method M that takes any particular day d' (constructor of Day) and derives the conclusion obtained from body by replacing every free occurrence of d by d':

```
define (auto-dt-for-Day goal M) :=
  datatype-cases goal {
    Monday => (!M Monday)
    | Tuesday => (!M Tuesday)
    | Wednesday => (!M Wednesday)
    | Thursday => (!M Thursday)
    | Friday => (!M Friday)
    | Saturday => (!M Saturday)
    | Sunday => (!M Sunday)
}
```

Using this generic method, we can now express the above proof in one single line:

Exercise 1

Give a constructive definition of a *precedence* relation on Day, call it prec, which holds between two days d_1 and d_2 iff d_1 comes "before" d_2 . Thus, for example, Monday should precede every day other than itself, but Thursday should not precede, say Tuesday.^a Your definition should not use any auxiliary symbols other than those already defined above, and it should pass all of the following tests:

```
(eval Monday prec Tuesday)  # true
(eval Monday prec Wednesday)  # true
(eval Monday prec Thursday)  # true
(eval Monday prec Sunday)  # true
(eval Monday prec Monday)  # false
(eval Saturday prec Sunday)  # true
(eval Saturday prec Monday)  # false
(eval Saturday prec Monday)  # false
(eval Saturday prec Friday)  # false
(thint: Use the function next.)
```

```
<sup>a</sup>More precisely: If you were to assign an integer code code(d) between 1 and 7 to any day d by mapping Monday to 1, Tuesday to 2, and so on, then d_1 should precede d_2 iff code(d_1) < code(d_2).
```

2.3 Booleans

Athena already has Booleans are already built into Athena (the datatype Boolean), but let's go through the exercise of building them from scratch:

```
datatype Bool := T | F

assert (datatype-axioms "Bool")

define [b b' b1 b2 b3] := [?b ?b' ?b1 ?b2 ?b3]
```

We can now introduce the three main logical operations: negation, conjunction, and disjunction:

```
declare notb: [Bool] -> Bool [--]

declare andb: [Bool Bool] -> Bool [/\]
declare orb: [Bool Bool] -> Bool [\/]
```

We overload – for negation, /\ for conjunction, and \/ for disjunction. We start by defining negation:

Let's prove that negation is involutive: (forall b . - - b = b). We can do this with a simple structural analysis:

If we are so inclined, we can collapse both two-step chains into single-step chains:

```
retract notb-involutive

conclude notb-involutive := (forall b . -- -- b = b)

datatype-cases notb-involutive {
   T => (!chain [(-- -- T) = T [notb-def]])
   | F => (!chain [(-- -- F) = F [notb-def]])
   }
}
```

We proceed with conjunction and disjunction:

Let's prove some simple consequences, e.g., that T is also a right identity:

```
conclude andb-lemma-1 := (forall b . b /\ T = b)
  datatype-cases andb-lemma-1 {
    T => (!chain [(T /\ T) = T [andb-def]])
    | F => (!chain [(F /\ T) = F [andb-def]])
}

conclude andb-lemma-2 := (forall b . b /\ F = F)
  datatype-cases andb-lemma-2 {
    T => (!chain [(T /\ F) = F [andb-def]])
    | F => (!chain [(F /\ F) = F [andb-def]])
}
```

We go on to prove that conjunction is commutative (this is

Exercise 2: (SF Exercise 3.0.1)

Define the binary function nandb as specified in SF, p. 14.

```
Solution
declare nandb: [Bool Bool] -> Bool
assert* nandnb-def := [(b1 nandb b2 = -- b1 /\ b2)]
> [(eval T nandb F) (eval F nandb T) (eval F nandb F) (eval T nandb T)]
List: [T T T F]
```

Exercise 3: (SF Exercise 3.0.2)

Define the ternary function andb3 so that it returns T iff all three inputs are T.

2.4 Function Types

Since Athena is based on first-order logic, it does not have function types.

2.5 Modules

Athena has a simple module system, described in Chapter 7 of FPMICS.

2.6 Numbers

This section of the SF books call for building up natural numbers for scratch. Since we have already imported natural numbers from the Athena library (by loading smttnat-plus.ath), we will put all of our new work into a module named Nat to avoid any conflicts. We start by defining natural numbers as a free algebra (a datatype), asserting the relevant axioms, and defining some variables along with some handy conversions to and from nonnegative integers:

```
}
| else => n
}
define (Nat->Int n) :=
    match n {
    Zero => 0
| (Succ k) => (plus 1 (Nat->Int k))
| _ => n
}
transform-output eval [Nat->Int]
```

We also introduced an output transformation for eval so that it prints out natural number using integer numerals.

We introduce a predecessor function pred in the way it is defined in the SF book, as a total function, so that the predecessor of Zero is taken to be Zero. We overload – to be an alias for pred:

Here's the minus Two function defined in SF on p. 16:

And the recursive definition of even parity:

The definition of odd is simpler:

```
assert* odd-def := [(odd n <==> ~ even n)]
> (eval odd 101)
Term: true
```

At this point the SF text goes to introduce binary addition, multiplication, and subtraction on the natural numbers:

```
declare plus-nat: [Nat Nat] -> Nat [+ [int->Nat int->Nat]]
declare mult-nat: [Nat Nat] -> Nat [* [int->Nat int->Nat]]
declare minus-nat: [Nat Nat] -> Nat [- [int->Nat int->Nat]]
assert* plus-nat-def := [(0 + m = m)
                         ((Succ n) + m = Succ (n + m))]
assert* mult-nat-def := [(_ * Zero = Zero)
                         (n * Succ m = n + (n * m))]
assert* minus-nat-def := [(Zero - _ = Zero)
                          (n - Zero = n)
                          ((Succ n) - (Succ m) = n - m)]
> (eval 2 + 3)
Term: 5
> (Prolog.auto-solve 2 + ?What = int->Nat 5)
List: [true {?What:Nat --> (Succ (Succ (Succ Zero)))}]
> (eval 7 - 4)
Term: 3
> (Prolog.auto-solve 5 - ?What = int->Nat 2)
List: [true {?What:Nat --> (Succ (Succ (Succ Zero)))}]
> (eval 3 * 5)
Term: 15
> (Prolog.auto-solve 3 * ?What = int->Nat 15)
List: [true {?What:Nat --> (Succ (Succ (Succ (Succ (Succ Zero)))))}]
```

Note that division is automatically performed by constraint solving through logic programming. And here is their factorial definition in Athena:

Note that it is not necessary to define equality explicitly on the natural numbers as is done in Idris. For the

same of the example we give the definition here, but then explain why it is not necessary:

The reason why this is not necessary is because Athena has a built-in definition of equality for every free algebra defined in it, which is essentially syntactic identity:

```
> (eval Succ Succ Zero = Succ Succ Zero)
Term: true
> (eval Succ Succ Zero = Succ Zero)
Term: false
```

We continue with the definition of lte. We overload the symbol <= to serve as an alias for lte:

Exercise 4: (SF Exercise 6.0.2, pp. 19-20)

Define a function blt on the natural numbers that implements the less-than relation.

```
Solution
declare blt: [Nat Nat] -> Boolean [< [int->Nat int->Nat]]
assert* blt-def := [(n < m <==> n <= m & n =/= m)]
> (eval 3 < 4)</pre>
```

```
Term: true
> (eval 3 < 2)

Term: false

Note that we could have also defined blt as follows: (n < m <==> n <= m & ~m <= n).
```

Foo bar.

2.7 Proofs by Simplification

Finally, some proofs:

If we were to do it manually, however:

2.8 Proof by Rewriting

We consider the following theorem:

The method fcong (for "function congruence") is built into Athena.¹

```
Exercise 5: (SF Exercise 8.0.1, p. 21)

Prove: (forall n m k . n = m ==> m = k ==> n + m = m + k).
```

```
Solution

conclude (forall n m k . n = m ==> m = k ==> n + m = m + k)
```

¹See p. 122 of FPMICS.

```
Exercise 6: (SF Exercise 8.0.2, p. 22)
```

Prove: (forall n m . m = Succ n ==> m * (1 + n) = m * m).

Foo bar.

2.9 Proof by Case Analysis

Consider the following statement:

```
define plus-1-is-not-zero := (forall n . n + 1 =/= Zero)
```

In Athena this can be proved automatically. This time we use Vampire with a time limit of 100 seconds:

But suppose we wanted to do it manually, and at a fairly low level of detail. Let's first write a general method that takes a premise of the form $(t = Succ _)$ and derives the conclusion (t = /= Zero):

With this method under our belt, we can prove plus-1-is-not-zero by a simple structural analysis of the universally quantified variable:

```
datatype-cases plus-1-is-not-zero {
  Zero => (!chain-> [true
```

```
==> (Zero + Succ Zero = Succ Zero) [plus-nat-def]
==> (Zero + Succ Zero =/= Zero) [not-zero]])

| (Succ k) =>
    (!chain-> [true
==> ((Succ k) + Succ Zero = Succ (k + Succ Zero)) [plus-nat-def]
==> ((Succ k) + Succ Zero =/= Zero) [not-zero]])
}
```

This concludes this section (recall that we proved that negation is involutive and conjunction is commutative earlier).

2.10 Structural Recursion (Optional)

Unlike Idris, in Athena there is no requirement that all function definitions must terminate.

2.11 More Exercises

```
Exercise 7: (SF Exercise 11.0.1, p. 25)
Supposing you have a function from the Booleans to the Booleans:

declare f: [Bool] -> Bool

prove the following conjecture:

define conjecture := (forall b . f b = b ==> f f b = b)
```

Solution

This is trivial to prove automatically, but let's do it manually:

Exercise 8: (SF Exercise 11.0.2, p. 25)

Prove the following: (forall b1 b2 . b1 / b2 = b1 b2 ==> b1 = b2).

Solution

This is also trivial to prove automatically, but let's try to do it the hard way. We start with a couple of lemmas:

```
==> false
                                           [prop-taut]
                    ==> (F = /= F)
                                           [(method (_ q) (!from-false q))]])
            assume hyp := (F = /= F)
              (!from-complements (F = T) (!reflex F) hyp))
conclude bool-struc-lemma-2 := (forall b . b = F <==> b =/= T)
 pick-any b:Bool
    (!chain [(b = F) <==> (b =/= T) [bool-struc-lemma-1]])
conclude bool-struc-lemma :=
          (forall b1 b2 . b1 =/= b2 ==> b1 = T & b2 = F | b1 = F & b2 = T)
 pick-any b1:Bool b2:Bool
    assume hyp := (b1 = /= b2)
       (!cases (!chain<- [(b1 = T \mid b1 = F) \le true [(datatype-axioms "Bool")]])
         assume case1 := (b1 = T)
           (!chain-> [case1
                 ==> (T =/= b2)
                                                            [hyp]
                 ==> (b2 = /= T)
                 ==> (b2 = F)
                                                            [bool-struc-lemma-2]
                 ==> (b1 = T \& b2 = F)
                                                            [augment]
                 ==> (b1 = T \& b2 = F | b1 = F \& b2 = T) [alternate]])
         assume case2 := (b1 = F)
           (!chain-> [case2
                ==> (F = /= b2)
                                                            [hyp]
                 ==> (b2 =/= F)
                 ==> (b2 = T)
                                                            [bool-struc-lemma-1]
                 ==> (b1 = F \& b2 = T)
                                                            [augment]
                 ==> (b1 = T \& b2 = F | b1 = F \& b2 = T) [alternate]))
```

Exercise 9

Here is the phrasing of the exercise from SF:

Consider a different, more efficient representation of natural numbers using a binary rather than unary system. That is, instead of saying that each natural number is either zero or the successor of a natural number, we can say that each binary number is either

- zero,
- twice a binary number, or
- one more than twice a binary number.
- (a) First, write an inductive definition of the type Bin corresponding to this description of binary numbers.
- (b) Next, write an increment function incr for binary numbers, and a function bin_to_nat to convert binary numbers to unary numbers.
- (c) Write five unit tests test_bin_incr_1, test_bin_incr_2, etc. for your increment and binary-to-unary functions. Notice that incrementing a binary number and then converting it to unary should yield the same result as first converting it to unary and then incrementing.

Do all of the above in Athena.

Solution datatype Bin := Z | (Twice Bin) | (Twice+1 Bin) assert (datatype-axioms "Bin") define [n m] := [?n:Bin ?m:Bin] declare inc: [Bin] -> Bin assert* inc-def := [(inc Z = Twice+1 Z) (inc Twice n = Twice+1 n) (inc Twice+1 n = Twice inc n)] declare bin->nat: [Bin] -> Nat.Nat assert* bin->nat-def := [(bin->nat Z = Nat.Zero) (bin->nat Twice n = 2 Nat.* bin->nat n) (bin->nat Twice+1 n = 1 Nat.+ 2 Nat.* bin->nat n)] define one := (Twice+1 Z) # This should give 5. Read it from right to # left: inc(twice(twice(one))) = 1 + 2 * (2 * 1)(eval bin->nat inc Twice Twice one) declare nat->bin: [Nat.Nat] -> Bin [[int->nat]] assert* nat->bin-def := [(nat->bin Nat.Zero = Z) (nat->bin Nat.Succ x = inc nat->bin x)] (eval nat->bin Nat.Succ Nat.Succ Nat.Succ Nat.Succ Nat.Zero)

Chapter 3

Induction: Proof by Induction

3.1 Proof by Induction

In the last chapter we proved that Zero is a neutral left element for addition. It is also a neutral element for addition on the right:

```
define plus-n-Z := (forall n . n + 0 = n)
```

However, proving this requires induction.

In Athena, this can be proved automatically with the built-in method induction*:

But here is a *declarative* proof of it (make sure to retract plus-n-Z first):

This proof could be shortened, e.g., we could omit the explicit justifications:

Here is the corresponding proof in Idris:

```
plus_n_Z : (n : Nat) -> n = n + 0
plus_n_Z Z = Ref1
plus_n_Z (S k) =
  let inductiveHypothesis = plus_n_Z k in
    rewrite inductiveHypothesis in Ref1
```

Note that this is a purely *procedural* proof script, not a declarative proof. It is not at all clear how the rewriting is done—what the rewriting steps are or how they are justified.

Exercise 10: (SF Exercise 1.0.1, p. 28)

```
Prove: define mult-0-r := (forall n . n * Zero = Zero).
```

Solution

We need a few lemmas first, whose proofs are left as exercises:

```
define plus-n-Sm := (forall n m . Succ (n + m) = n + Succ m)

define plus-comm := (commutative +)

define plus-assoc := (associative +)
```

Using the above results, mult-0-r can be proved as follows:

Exercise 11

Prove the following (informally, in English): (forall n . even n <==> ~even Succ n).

Solution

We use structural induction. When n is Zero, we need to show (~even Succ Zero), which follows directly from the definition of even.

For the inductive case, assume that n is of the form (Succ k), so that our inductive hypothesis becomes

(even
$$k \le > \sim \text{even Succ } k$$
) (3.1)

We now need to derive the following conditional:

(even Succ
$$k \le \sim \text{even Succ Succ } k$$
). (3.2)

In the left-to-right direction, assume (even Succ k). Then, from the inductive hypothesis (3.1) we infer

$$(\sim \text{even } k)$$
. (3.3)

But, by the definition of even, we have

(even Succ Succ
$$k \le even k$$
), (3.4)

thus (3.3) becomes the desired conclusion (~even Succ Succ k).

For the right-to-left direction of the goal (3.2), assume (\sim even Succ Succ k). By using (3.4) again (the definition of even), this assumption yields (\sim even k), and applying the inductive hypothesis (3.1) to (\sim even k) yields the desired conclusion (even Succ k).

Exercise 12: Foo Bar

Prove the same result in Athena: (forall n . even n $\leq = > \sim \text{even Succ n}$).

Solution