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PII: S0010-4825(21)00808-8

DOI: <https://doi.org/10.1016/j.combiomed.2021.105014>

Reference: CBM 105014

To appear in: *Computers in Biology and Medicine*

Received Date: 13 October 2021

Revised Date: 1 November 2021

Accepted Date: 1 November 2021

Please cite this article as: K.U. Ahamed, M. Islam, A. Uddin, A. Akhter, B.K. Paul, M.A. Yousuf, S. Uddin, J.M.W. Quinn, M.A. Moni, A deep learning approach using effective preprocessing techniques to detect COVID-19 from chest CT-scan and X-ray images, *Computers in Biology and Medicine* (2021), doi: <https://doi.org/10.1016/j.combiomed.2021.105014>.

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# A Deep Learning Approach using Effective Preprocessing Techniques to Detect COVID-19 from Chest CT-scan and X-ray Images

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## Abstract

Coronavirus disease-19 (COVID-19) is a severe respiratory viral disease first reported in late 2019 that has spread worldwide. Although some wealthy countries have made significant progress in detecting and containing this disease, most underdeveloped countries are still struggling to identify COVID-19 cases in large populations. With the rising number of COVID-19 cases, there are often insufficient COVID-19 diagnostic kits and related resources in such countries. However, other basic diagnostic resources often do exist, which motivated us to develop Deep Learning models to assist clinicians and radiologists to provide prompt diagnostic support to the patients. In this study, we have developed a deep learning-based COVID-19 case detection model trained with a dataset consisting of chest CT scans and X-ray images. A modified ResNet50V2 architecture was employed as deep learning architecture in the proposed model. The dataset utilized to train the model was collected from various publicly available sources and included four class labels: confirmed COVID-19, normal controls and confirmed viral and bacterial pneumonia cases. The aggregated dataset was preprocessed through a sharpening filter before feeding the dataset into the proposed model. This model attained an accuracy of 96.452% for four-class cases (COVID-19/Normal/Bacterial pneumonia/Viral pneumonia), 97.242% for three-class cases (COVID-19/Normal/Bacterial pneumonia) and 98.954% for two-class cases (COVID-19/Viral pneumonia) using chest X-ray images. The model acquired a comprehensive

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accuracy of 99.012% for three-class cases (COVID-19/Normal/Community-acquired pneumonia) and 99.99% for two-class cases (Normal/COVID-19) using CT-scan images of the chest. This high accuracy presents a new and potentially important resource to enable radiologists to identify and rapidly diagnose COVID-19 cases with only basic but widely available equipment.

*Keywords:* Coronavirus, Respiratory Diseases, Convolutional Neural Network, Pneumonia, Deep Learning, Radiology

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## 1. Introduction

In 2019, the COVID-19 pandemic appeared as a dangerous infectious disease caused by the SARS-CoV-2 virus that can result in severe respiratory distress. The disease has caused millions of fatalities around the world since it was reported in Wuhan, China [1, 2]. COVID-19 has spread rapidly through human-to-human transmission since transmission of the virus can occur well before symptoms are evident. Over 130 million people worldwide have been infected at the time of writing [3], representing an enormous healthcare burden.

Infections by SARS-CoV cause symptoms broadly similar to those caused by the related severe acute respiratory syndrome coronavirus(SARS-CoV) and Middle East respiratory syndrome coronavirus (MERS-CoV), and range in severity from mild upper respiratory tract symptoms similar resembling a common cold to severe acute life-threatening illness [4]. Among the latter symptoms include fever, headache, dry coughing and sore throat, severe pneumonia and acute respiratory distress syndrome(ARDS) that can often include severe hypoxia, and serious exacerbation of existing chronic pulmonary and respiratory conditions are often seen [5]. This virus has caused major public health and economic problems and is particularly dangerous to people with certain comorbidities such as diabetes, cardiovascular disease and asthma [6, 7, 8, 9, 10, 11, 12, 13].

Many early symptoms of COVID-19 are similar to those of the common cold and influenza making detection of early stage COVID-19 cases problematic. Vaccines specific for SARS-CoV-2 have been developed and have been widely employed, reducing infection rates and greatly improving patient survival, although many poorer countries have only recently begun to vaccinate in significant numbers, so waves or surges of infections are still being experienced. No other medication with high efficacy against COVID-19 has been developed, although a number of anti-inflammatory drugs and other re-purposed drugs have proved useful in reducing disease severity. Newly approved antivirals such as Merck's Molnupiravir are showing promise but currently are very expensive. These factors mean that spread of SARS-CoV-2 is hard to monitor, detect and overcome in less developed countries, particularly with the emergence of newer more infectious strains.

Reverse transcription-polymerase chain reaction(RT-PCR) is currently the most commonly used for diagnosing COVID-19 patients, and is the only fully reliable method for detecting early stage (pre-symptom) SARS-CoV-2 infections. Cheaper antibody-based rapid tests are not reliable for early stage infections or those in immunosuppressed people. SARS-CoV-2 RT-PCR-based tests respiratory swabs from nasopharyngeal or oropharyngeal. although these may fail to identify COVID-19 cases in the early phases when viral load is low in the sampled tissues [14]. However, a more significant issue is that RT-PCR is expensive, requires highly developed facilities and technical expertise that in many countries there is limited accessibility outside of large towns.

Several researchers have previously shown that deep learning models trained on standard chest radiography images yield good accuracy in COVID-19 predictions, an approach that may be able to complement RT-PCR tests and would be highly accessible. It may also be possible to detect early mild symptomatic cases that give false negative RT-PCR results due to low viral particle numbers in the upper respiratory tract. Two kinds of chest radiographic images have been used for this approach: X-ray & computed tomography (CT) [15, 16]. CT scans provide very fine detail but require substantially more radiation exposure than X-ray images and require high cost equipment [17]. X-ray images are more accessible to a wider population through widely available X-ray imaging facilities at low cost. CT scans can, however, provide finer image features in 3 dimensions and can feasibly be used to train a deep learning model to identify COVID-19 as they give very rich datasets. Therefore, we investigated deep learning models trained with datasets consisting of chest CT-scan and X-ray to determine whether this would be a viable alternative to RT-PCR in detecting or confirming COVID-19 cases.

In this study, we review the literature related to chest image classifications. We have also investigated how effective deep learning approaches that employ chest X-ray and CT-scan images can classify potential COVID-19 cases. The proposed architecture was able to distinguish cases of COVID-19 from pneumonia cases and normal controls with a high level of accuracy. We propose that such deep CNN-based models trained on CT-scan and X-ray images could assist radiologists to make rapid, low cost and accessible diagnosis usefully early detection of infected patients at an early stage in the disease progression.

The following is a summary of the main contributions:

1. We employed a preprocessing technique on the image dataset to enable the dataset to be accurately and efficiently analysed by our deep learning model.
2. We developing an extended ResNet50V2-based deep learning model where fine-tuning was performed to facilitate rapid detection and diagnosis of COVID-19 cases with high accuracy.
3. Classifying COVID-19 patient images from normal and typical pneumonia cases by considering two, three and four class categories respectively.
4. We conducted a comparative performance analysis of our proposed methodology with other state-of-the-art approaches and showed that our model can identify COVID-19 cases with high accuracy using chest CT-scan and X-ray image datasets.

The rest of this article is arranged as follows: Section 2 provides a comprehensive overview of the studies in the field. The methodology of the proposed work is presented in Section 3. Experimental results with discussion and dataset description are presented in Section 4. In Section 5, we present our conclusions and proposals for future development work.

## 2. Related Works

COVID-19 testing kits give a significant number of FN (false negative) so ideally need supplementing with an alternative rapid method to detect lower respiratory tract issues. This can be based on chest x-ray and CT scan imaging. In addition, in locations where RT-PCR testing is not available due to cost and lack of expertise availability, chest x-ray imaging often is available [18]. This makes it appropriate to use in COVID-19 diagnosis if

the patient has begun to progress with the disease and a differential diagnosis is needed to exclude other respiratory ailments.

AI has proved effective for classifying a range of human activities [19] and AI use in the healthcare sector has risen dramatically in recent years, particularly in medical imaging technology. In imaging AI has particularly been used in the detection of cardiovascular diseases [20] and brain tumours [21]. In addition to these applications, there is now a move to use it in the diagnosis of COVID-19 cases, with CT scans and chest X-rays a common focus.

There have been a number of suggestions that COVID-19 testing should be performed using RT-PCR methods and a machine learning analysis of chest imaging to accurately confirm COVID-19 cases, although the latter approach is unlikely to be suitable for rapid mass screening [22, 23, 24, 25, 26, 27]. Studies have identified key changes that can be seen in patient chest X-ray and CT-scan images after COVID-19 has developed [28]. Yoon et al. [29] stated that one in every three patients had a single nodular opacity on the left side of the lower lung. Kong et al. [30] reported that opacities of infrahilar airways were detected in the right side in a COVID patient. However, most studies have found that ground glass opacity (GGO) is the most frequent finding in COVID-19 case lung images. Widespread inflammation, thrombi and vascular ischaemic lesions and other intrusive lung illnesses are associated with GGO [31]. GGO and blended GGO were discovered in the majority of patients, as well as vascular dilatation with consolidation in the affected area, according to Zhao et al. [22]. Kanne et al. [32] observed that 50–75% patients had multifocal GGO as well as peripheral focal impacts on both lungs. Figure 1 shows an example image of a GGO case.

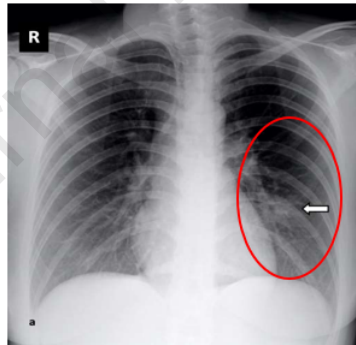


Figure 1: Ground Glass Opacity was identified in the left middle to lower lung opacity (shown by a white arrow inside the red circle). [33].

Researchers in this area have suggested a number of deep learning architectures that can be trained with radiographic images to extract COVID-19 status since radiography images are readily available. This is similar to recent work on skin cancer identification from images [34], pneumonia detection utilizing chest X-ray images [35], brain disorder classification [36], lung segmentation [37], detection of myocardial infarction [38]. These are just a few of the areas where Deep Learning methods have already been developed.

Chen et al. [39] designed a VGG-16 deep transfer learning approach for identifying COVID-19 in chest X-ray images, which took into account two classes: COVID-19 and Non-COVID-19. Gupta et al. [40] proposed InstaCovNet-19 that is an integrated stacking model. They used a variety of transfer learning frameworks, such as Nasnet, InceptionV3, Xception, Resnet101 and MobilenetV2. These models are integrated to form a stack-like

architecture. Furthermore, they used three separate classes for image classification and attained a higher accuracy. Jain et al. [41] employed an unbalanced database of three groups of 1345 normal cases, 3632 pneumonia cases, and 490 COVID-19 cases. They tested three architectures, including Xception net, ResNeXt and Inception net V3, and found that the Xception model had the highest accuracy of 97.97%. In [42], an AlexNet with a combination of SVM framework was provided, with fine-tuning on the proposed design to distinguish COVID-19 instances from pneumonia and normal cases. Ouchicha et al. [43] presented a deep CNN model called CVDNet to distinguish COVID-19 infections from pneumonia and normal cases. To validate their system, they utilized a 5-fold cross-validation technique. Ozturk et al. [33] presented an automated identification technique (DarkCovidNet) that worked on 2-class classification categories (COVID-19 cases vs. Normal) and a multi-class classification category (COVID-19 cases, Normal and Pneumonia cases) with a binary class accuracy of 98.08%. However, pre-processing actions on X-ray images were not considered in their study.

For the detection of COVID-19, a framework based on the CNN technique was applied in [44]. Ghavami et al. [45] suggested a comprehensible artificial intelligent approach for identifying COVID-19 patients by considering COVID-19 patients, healthy patients, and non-COVID-19 lung infections utilizing chest CT scan data. A combined deep transfer learning architecture was presented [46] where they used 15 pre-trained convolutional neural networks (CNNs) models using chest CT-scan images. Li et al. [47] proposed a stacked auto-encoder based framework to classify covid-19 positive cases from the negative cases but they used a low amount of image data to train their model. Heidarian et al. [48] proposed a framework called COVID-fact that was trained with an unbalanced data set with three class categories, including covid-19, pneumonia cases and normal cases.

Using CT-scan images, Xu et al. [49] proposed an architecture called "ResNet18 + Location attention" for identifying COVID-19 cases. Despite their efficient architecture, their overall accuracy level was only 86.7%, which was insufficient.

Some studies have used a combination of chest CT scans and X-ray images to identify and treat COVID-19. Using a balanced dataset of chest CT-scan and X-ray images, Mukherjee et al. [50] suggested a CNN-based customized deep neural network (DNN) with extensive accuracy of 96.13 percent for X-ray and 95.83 percent for CT-scan. For identifying and diagnosing COVID-19, a standard tuned VGG-19 model was reported in [51] utilizing chest CT-scan & X-ray images. Ahsan et al. [52] proposed a pre-trained method to distinguish COVID-19 cases from non-COVID-19 cases obtaining an accuracy of 82.94 percent for CT-scan sample data and 93.94 for chest x-ray sample data.

To distinguish COVID-19 from streptococcus and SARS virus infections, Dansana et al. [53] used an unbalanced dataset of x-ray and CT-scan images. They employed a tuned pre-trained VGG19 model, a pre-trained InceptionV3, and a decision tree classifier with the tuned VGG19 model. The model showed the highest accuracy (91%). Sedik et al.[54] combined machine learning and deep learning algorithms to identify and diagnose covid-19 cases from chest x-ray and ct-scan images. The authors applied two methods of data-augmentation that improve the learnability of the Convolutional Long Short-Term Memory and Convolutional Neural Networks based deep learning models. However, using machine learning (ML) methods has several limitations, including complexity, overfitting and poor performance while training with unbalanced datasets.

The majority of the studies reviewed above used imbalanced datasets as well as a small database of COVID-

19 cases to train various machine learning models. Datasets of small size are likely to result in a CNN-based framework overfitting. As a result, the model would not report genuine and reliable classification performance outside the training datasets. Furthermore, many existing methods are trained and tested with raw images without any type of pre-processing, and augmentation. Thus, the network's generalization error is increased, and the training advantages are minimized. Moreover, the majority of the studies have used pre-trained approaches and trained their architectures using three or two classes. To overcome these issues, we constructed a balanced dataset and performed pre-processing and augmentation operations on the collected images instead of using raw images. Furthermore, in our study, a traditional deep learning model was modified and developed by fine-tuning effectively and optimizing the hyper-parameters to improve model robustness. In addition, multi-class comparisons among the image classes, such as four-class, three-class, and two-class categories made the suggested study more effective.

### 3. The Proposed COVID-19 Case Detection Model

This section describes data preprocessing, the CNN model and the proposed architecture which was implemented before conducting experiments to assess performance. The overview of the proposed methodology is illustrated in Figure 2. In this block diagram, chest CT-scan and X-ray images are used as input for the suggested COVID-19 case detection method. Next, the collected images are preprocessed by considering resizing, cropping and filtering techniques. After that, augmentation of the image data is performed. Finally, the model was trained and tested with the clinical datasets. The proposed model was built from the base deep learning model named ResNet50V2. In this study, this model was developed by adding extra layers to its base network. The added layers were modified by applying regularization and effective fine-tuning processes to make the experiment more robust and efficient. Moreover, this model can classify the images based on binary class, three class and four class categories.

#### 3.1. Data Preprocessing

The original size of the collected images had different pixels for different images. The inputs for the pre-trained models on ImageNet will be smaller or equal to  $224 \times 224$ . In the case of transfer learning, the inputs must be suited to the pre-trained model. Thus, for rigorous investigation purposes, all images were scaled down to  $224 \times 224$  pixels to make the training model faster.

##### 3.1.1. Cropping and Filtering of Images

In this study, cropping and sharpening filters of image processing techniques were applied to the collected datasets to enhance the images before feeding those images into the CNN model. However, cropping methods were only applied on the CT scan images in order to extract the main part of the lung image, i.e., removing the unwanted irrelevant parts of the images. The CT scan images were cropped by considering the proper height and width ratio. Figure 4 shows the original and cropped image of the CT scan.

A sharpening filter was then used to filter all of our collected images for enhancement. The concept of this filter comes from Laplacian filters picture or image highlights the regions of rapid intensity change and is an illustration of a  $2^{nd}$  order or  $2^{nd}$  a derivative system of enhancement [55]. This can be traditionally derived according to equation 1.



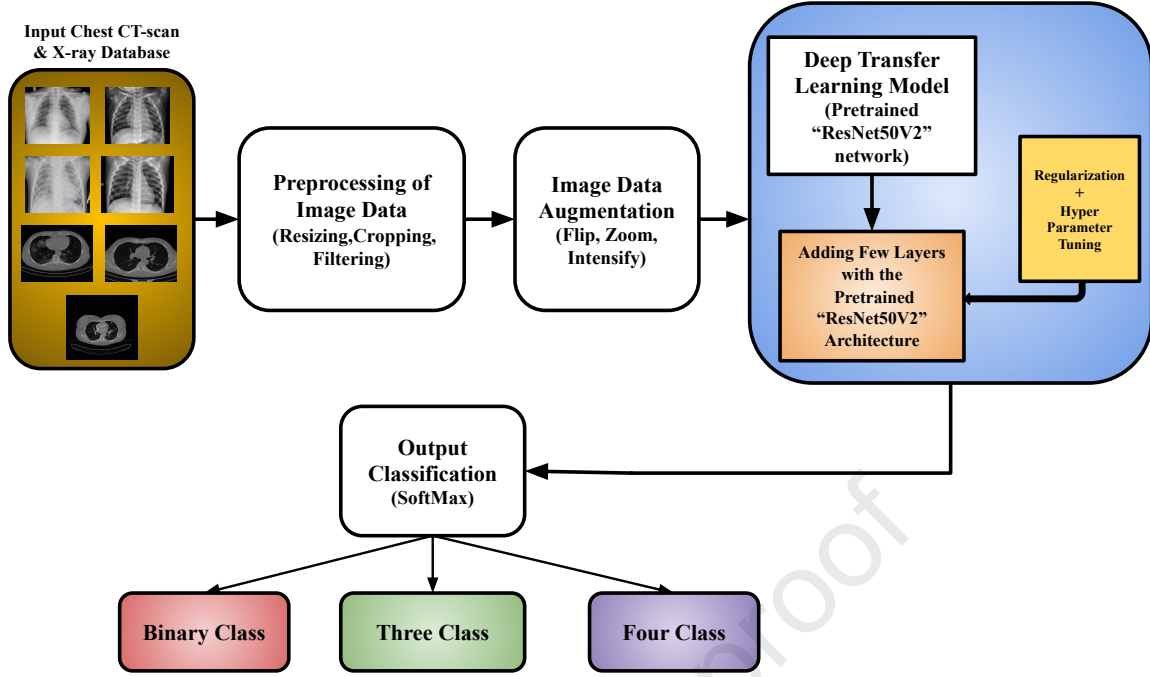


Figure 2: Block Diagram of Proposed methodology.

$$\nabla^2 f = \frac{\partial^2 f(x, y)}{\partial x^2} + \frac{\partial^2 f(x, y)}{\partial y^2} \quad (1)$$

Here,

$$\frac{\partial^2 f(x, y)}{\partial x^2} = f(x+1, y) + f(x-1, y) - 2f(x, y)$$

$$\frac{\partial^2 f(x, y)}{\partial y^2} = f(x, y+1) + f(x, y-1) - 2f(x, y)$$

Now, from equation 1 we get the following output presented in equation 2.

$$\nabla^2 f = [f(x+1, y) + f(x-1, y) + f(x, y+1) + f(x, y-1)] - 4f(x, y) \quad (2)$$

From the equation 2, a mask can be generated. Hence, the desired mask is represented in Figure 3 (a). Besides that mask, other types of Laplacian mask/filter also exist [56]. In this study, one of the variants of the Laplacian filter is used. The filter used in this study is shown in Figure 3 (b). From the given Laplacian filter, the intensity value is measured as the sum of center point of the mask along with the rest of the point that is computed as follows:  $W_5 + (W_1 + W_2 + W_3 + W_4 + W_6 + W_7 + W_8 + W_9)$ . Here, the intensity value “0” is found from the mask by adding the centre value and the other corresponding values. Again, when an original image is filtered through this mask, this produces a dark image where only the edge of the image is found for the 0-intensity value. Figure 4 shows the changes of the original image after applying the Laplacian mask. The original image can then be generated using the following rules given in equations 3 and 4.

$$g(x, y) = f(x, y) - \nabla^2 f; W_5 < 0 \quad (3)$$

$$g(x, y) = f(x, y) + \nabla^2 f; W_5 > 0 \quad (4)$$

Here,  $g(x, y)$  represents the output filter after performing the expected operation. Therefore, if the center value of the Laplacian filter is less than zero then it follows the equation 3 and equation 4 otherwise. Let us assume for the point  $f(x, y) \rightarrow W_5$  and  $W_1, W_2, \dots, W_9$  for the other corresponding points. By considering the corresponding Laplacian mask, from the equation 4, equation 5 can be written.

$$g(x, y) = 9W_5 - W_1 - W_2 - W_3 - W_4 - W_6 - W_7 - W_8 - W_9 \quad (5)$$

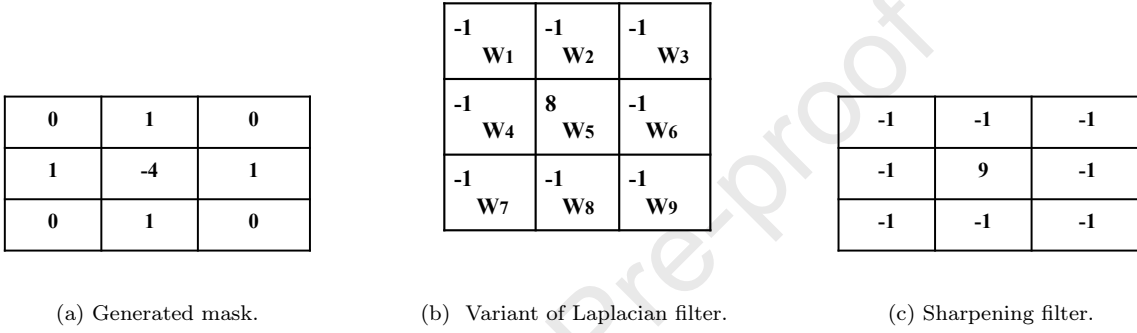


Figure 3: Illustration of different filters a. Generated mask b. Variant of Laplacian filter c. Sharpening filter.

Now from the equation 5, the generated mask or filter is presented in Figure 3 (c). The generated filter is also called the sharpening filter. This filter is used to sharpen as well as highlight the edges of the images. Besides this, it makes a transition between features, more recognizable and obvious compared to smooth noisy and blurry images.

### 3.2. Data augmentation

Instead of gathering new data, practitioners can use data augmentation to significantly boost the diversity of the data samples for the training models. Image augmentation approaches may help to reduce network generalization errors, improve training amenities, and address data overfitting concerns. In this article, augmentation methods [57] on image data were used to create the diversity of images based on rescaling, zooming, horizontal flipping and shearing operations. These procedures were carried out using the functionality of the ImageDataGenerator from TensorFlow, Keras framework. In the image data augmentation settings, the values of the above mentioned criteria following rescaling = 1./255, zoom\_range = 0.2, shear\_range = 0.2 and horizontal\_flip = True. Some samples of augmented images are presented in Figure 5.

### 3.3. CNN based transfer learning

The suggested model methodology is based on a deep transfer learning architecture. Researchers have recently become interested in using transfer learning-based CNN models to handle a variety of computer vision problems. Over the previous few decades, these models have been widely employed in medical disease diagnostics [58], industry

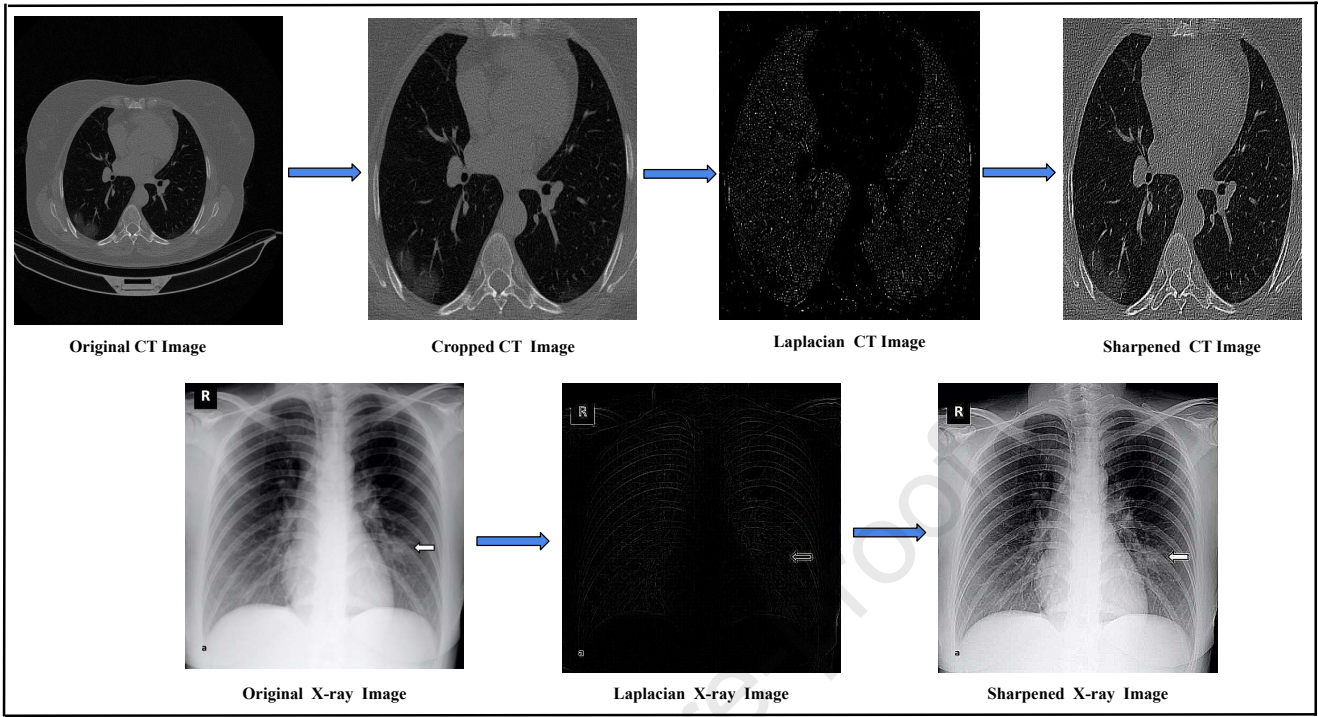


Figure 4: Preprocessing operations on Chest CT-scan &amp; X-ray images.

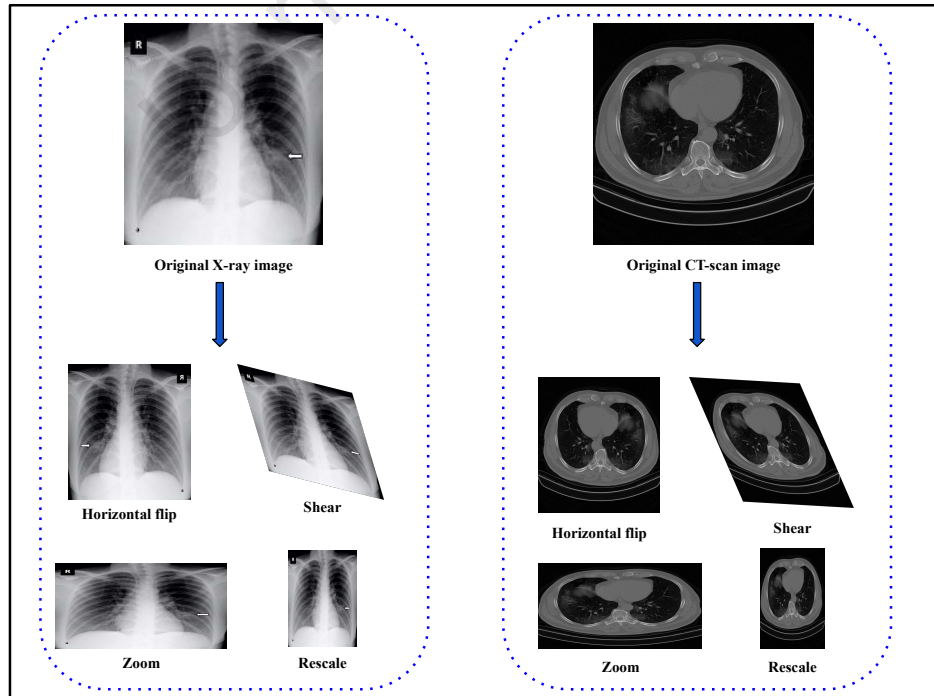


Figure 5: Samples of augmented images of Chest CT-scan &amp; X-ray .

and agriculture [59, 60]. A CNN-based transfer learning architecture was developed and applied for chest CT-scan and X-ray image classifications in this research.

### 3.3.1. Convolutional Layer

The convolution layer is the main building block of a CNN (convolutional neural network). Rather than basic matrix multiplication, it performs a convolution operation, denoted by  $*$ . Its parameters are constructed using a collection of learnable filters, often known as kernels. The purpose of this layer is to find features in the native regions of input samples (here, the images) and produce a feature map that diminishes the presence of the observed features in the input data. The basic convolution operation can be written according to equation 6.

$$F(i, j) = (I * K)(i, j) = \sum_m \sum_n I(i + m, j + n)K(m, n) \quad (6)$$

Here,  $I$  refers to an input matrix (such as an image),  $m \times n$  represents dimension, and  $K$  represents a 2D filter. The kernel is another name for  $K$ . The outcome of the 2D characteristic map is  $F$ .  $F$  is generated by convolving input  $I$  with  $K$ . Therefore,  $I * K$  specifies the convolution action. Where  $*$  indicates a discrete convolution process. The matrix  $k$  scans over the input matrix while taking the stride parameter into account. Furthermore, for the construction of non-linearity, the results of each layer of the convolution are compiled utilizing a function called the activation function. Various types of activation functions have lately been used more commonly, with ReLU (rectified linear unit) being one of the most well-known in the deep learning field. The activation function is usually calculated by normalizing the input to zero in ReLU. ReLU also produces 0 output if the input is less than 0 and the raw output if the input is more than 0. Equation 7 can be used to represent it mathematically.

$$f(x) = \max(0, x) \quad (7)$$

So, if the input value of the  $x$  is less than zero, then the function  $f(x)$  returns 0; if the input value of the  $x$  is larger than or equal to zero, the function  $f(x)$  returns 1.

### 3.4. Subsampling (Pooling) Layer

Pooling layers are an important part of the convolution layer sequences in a CNN. These layers reduce spatial dimensions of the input data by collecting the outputs of the neuron bunches at one layer and turning them into a single neuron at the next layer. Pooling layers entail sliding a 2-D(dimensional) filter over each channel of the feature map and summarizing the features within the filter field of coverage. The dimensions of a feature map can be written as  $n_h \times n_w \times n_c$ , and the output dimensions after a pooling layer operation can be derived using the given formula.

$$(n_h - f + 1) / s \times (n_w - f + 1) / s \times n_c \quad (8)$$

Here,  $n_h$  signifies the feature map height,  $n_w$  indicates the feature map width, and  $n_c$  specifies the number of channels utilized in the feature map, where  $f$  is filter size and  $s$  is stride length. Max pooling, L2-norm pooling, global pooling layers and average pooling are some of the pooling layers utilized in convolutional neural networks.

Compared to other pooling techniques, max pooling delivers the maximum value while being employed in the input zone.

### 3.5. Fully connected layer

The fully connected layer is a fundamental part of CNN where the entire neuron from the former layer is connected to the entire neuron in the following layer and then conveys to the vaticination of how nearly every value matches with every particular class. The final FC (fully connected) layer output is then coupled with a function called "activation function", which provides output class scores. CNN employs a variety of classifiers, including Sigmoid, SVM (support vector machine), SoftMax etc. SoftMax, as indicated in equation 9, may calculate the probability distribution of n number of output categories.

$$Z^k = \frac{e^{x^k}}{\sum_{i=1}^n e^{x^n}} \quad (9)$$

Here, the input vector is marked as x, n denotes the number of classification classes while the output vector is labelled as Z, here,  $k = 1, \dots, n$ . The sum of all outputs (Z) is equivalent to one. The Softmax classifier is used in this model to classify the input chest CT-scan and X-ray images.

### 3.6. Model architecture and improvement

A CNN-based transfer learning architecture was developed and used in this study. Transfer learning defines as a machine learning technique that takes previously learned knowledge (a pre-trained model) and applies it to a new problem that is related [61]. Using a traditional CNN model has some shortcomings, such as not working effectively with insufficient data, as well as being time-consuming and costly in data labelling and learning. Transfer learning methods can readily deal with insufficient data in these circumstances and alleviate model completion time. The proposed model of this study is created by extending and tuning the ResNet50V2 CNN architecture. ResNet50V2 [62] is an improved version of ResNet-50 that outperforms than ResNet50 and ResNet101 on the ImageNet datasets. An adjustment or modification was conducted to the extended formulation of the links within blocks in ResNet50V2. Again, deeper models are better at extracting features in general. However, due to the characteristics or diversity of feed-forward (passing inputs to the architecture to obtain a forecasting result from complicated computations in the framework) and the back-propagation (weights of the parameter upgrade on the grounds of the prediction outcome), which is also the particle of testing deep learning models, heavily deep models are hard to train due to vanishing or exploding gradients. ResNets overcome this shortcoming by forming a residual link, which reduces the influence of vanishing or exploding gradients and hence improves the performance of very deep models. ResNet50V2 has eliminated the very last non-linearity, resulting in an input-to-output path that resembles the identity connection depicted in Figure 6.

The suggested basic model ResNet50V2 has fifty deeper layers and 25,613,800 parameters, according to [63]. The model receives input of the pre-processed dataset of images as  $224 \times 224$  pixels and produces output like  $7 \times 7 \times 2048$  features map in its very last feature extractor layer. As shown in Figure 7, several operations are conducted on the entire execution phase in the suggested core architecture of the ResNet50V2. These operations include Convolution, Batch Normalization, Relu(rectified linear unit), Pooling and Padding. ReLU activation function

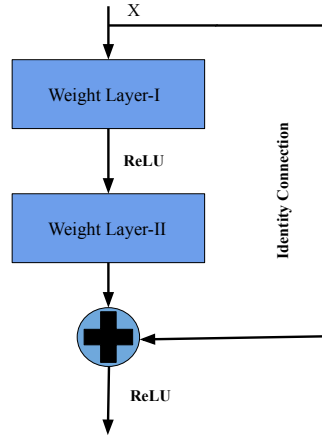


Figure 6: The overview of Residual connection.

and batch normalization are driven by ResNet50V2 in the input before the multiplication actions with weights matrix (operations of convolution). The Rectified Linear Unit (ReLU) is a form of activation function that is often employed in deep learning frameworks or models. ReLU assists in the recording of non-linear influences and prevents vanishing gradient problems.

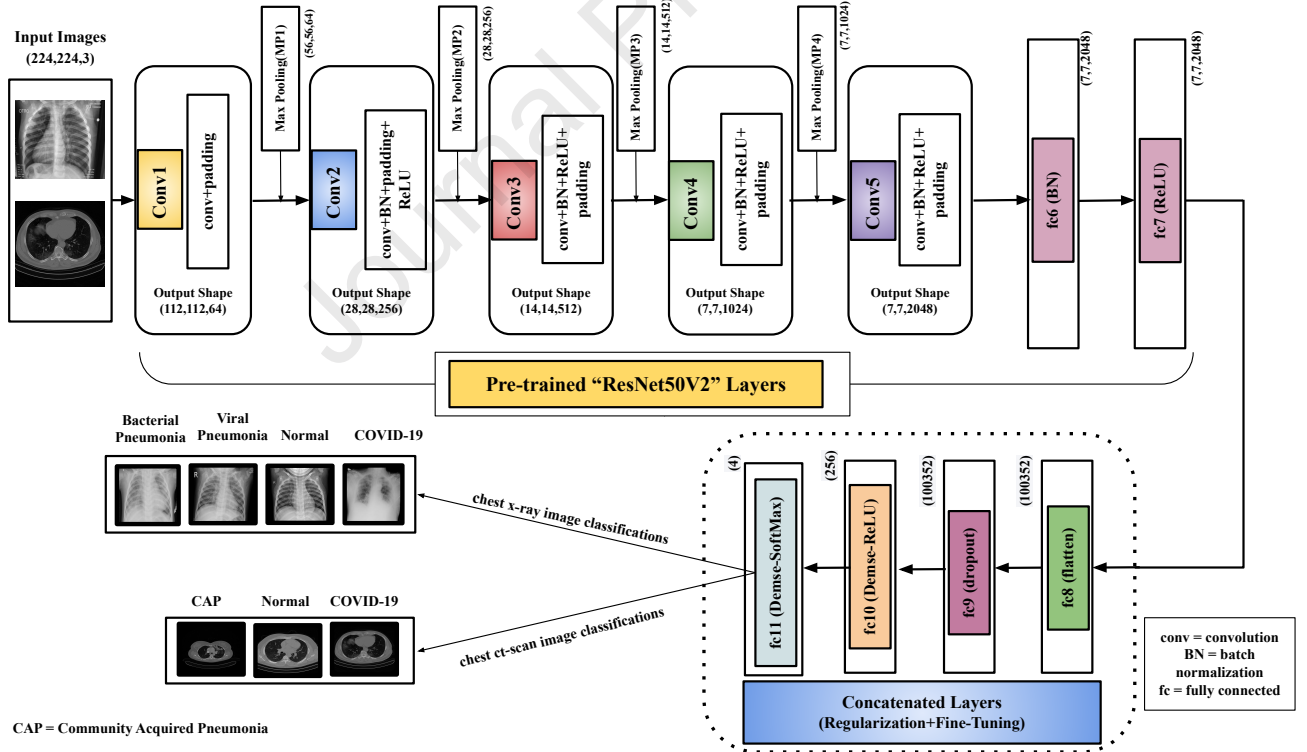


Figure 7: Proposed Architecture.

### 3.6.1. Batch Normalization

Batch Normalization is usually referred to as `bn_norm` ("Batch Norm"). Recently this has been utilized in the deep learning field broadly. Batch normalization (BN/`bn_norm`) is a method that transforms the inter-layer outputs of the neural networks into conventional ordination, and it's called normalizing. This approach effectively 'resets' the output distributions of the previous layer, allowing the current layer to be processed more efficiently. Batch normalization increases Neural Network performance during training, avoids overfitting, and provides regularization.

### 3.6.2. Padding

The term "padding" is associated with convolutional neural networks since it represents the number of pixel values that are added to a photo or image whenever it is created by the segment of a CNN. Padding works by expanding the measurement range of convolutional neural networks. "Kernel" is the general name for the neural networks filter. It scans over each pixel in the target image and transforms the data samples or values into a larger or smaller format. To facilitate the kernel in managing the photo or image, padding is assembled to the picture frame to give the kernel enough room to cover the image. Incorporating padding to a CNN-processed image allows for more obvious image analysis.

### 3.6.3. Proposed Transfer Learning Architecture

In order to make the proposed model more feasible, effective and robust, we further developed the fundamental architecture of the pre-trained ResNet50V2 by adding extra four layers. Firstly, we modify the top layer of the original ResNet50V2 to set the custom input of the images. Secondly, several layers are concatenated with the pre-trained ResNet50V2 network. Finally, regularization and effective fine-tuning operations were performed on the additional layers. As illustrated in Figure 7, one flatten layer, then one dropout, and after that two fc (Fully Connected) dense layers were assembled with the base architecture of ResNet50V2. The flatten layer receives data from the previous layer and converts the data into a 1-D (one-dimensional) array, which was fed to the next layer as input. The output of the preceding convolutional layer was flattened to produce a single feature vector. The proposed model was flattened to allow for rapid feedforward execution. Figure 8 shows an example of a flattening procedure.

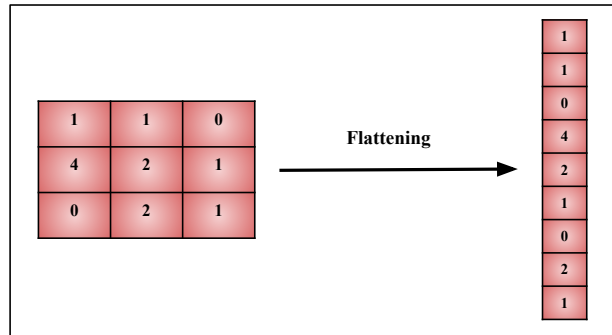


Figure 8: Flattening operation..

The dropout layer was the second adding layer used in the suggested framework. Dropout is one of the most commonly used regularization techniques used in deep learning. This layer acts as a mask, preventing some neurons



from contributing to the next layer while leaving the rest unconverted. The dropout layer was employed because this plays a vital role in controlling the overfitting issues of CNN based deep learning architecture. Figure 9 depicts the basic operation of the dropout layer.

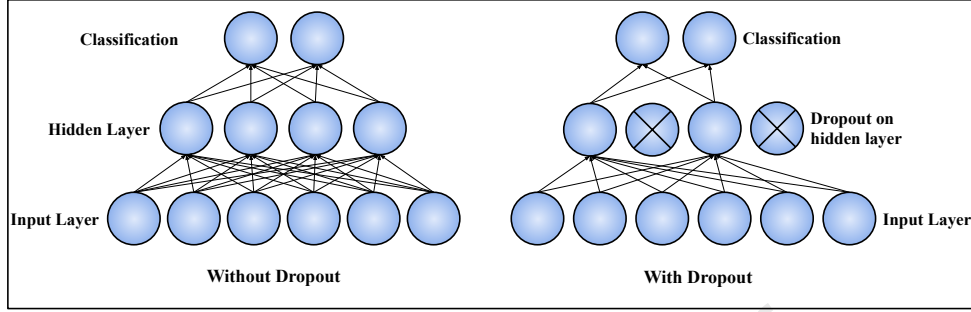


Figure 9: A sample of dropout operation.

The result was optimized and generated using two dense layers. Each neuron in the dense layer receives inputs from all the other neurons in the previous layers, making them densely connected. The fully connected layer is another name for the dense layer. Again, direct usage of hyper-parameters in the model becomes critical because they control the behaviour of the model directly. Due to this circumstance, fine-tuning of the hyper-parameters were performed to improve the performance of the proposed model. The suggested model used the image size of (224x224) and ImageNet weights with the Adam optimizer [64], batch size with 32, learning rate with 1e-5, dropout ratio 0.5. In addition, the model utilized an activation function named SoftMax to classify the images into both two-class and multiclass categories. There were a total of 49,256,196 parameters in the suggested model, including 49,210,756 trainable and 45,440 non-trainable parameters. Table 1 shows the output shape of the developed architecture along with a concise model summary.

Table 1: Summary of the proposed model based on four-class classification.

Layer (type)	Output Shape	Param #
resnet50v2 (Functional)	(None, 7, 7, 2048)	23564800
flatten (Flatten)	(None, 100352)	0
dropout (Dropout)	(None, 100352)	0
dense (Dense)	(None, 256)	25690368
dense_1 (Dense)	(None, 4)	1028
Total parameters: 49,256,196		
Trainable parameters: 49,210,756		
Non-trainable parameters: 45,440		



## 4. Results Analysis

### 4.1. Dataset Description

The dataset used for the study was collected from three separate publicly open and available sources. A total of 4,593 chest X-rays and 3000 chest CT-scan images are included in the dataset. Balanced datasets have been used in this study to avoid class imbalanced problems. To begin, the dataset of chest X-ray images contains 1143 X-ray images of COVID-19 cases, 1150 Normal, 1150 Viral Pneumonia images from Kaggle’s repository “COVID-19 Radiography Database” offered by Tawsifur Rahman [65] and 1150 Bacterial Pneumonia images from Paul Mooney’s repository “Chest X-Ray Images (Pneumonia)” offered by Paul Mooney [66]. Figure 10 (a) depicts the sample chest X-ray images of four different cases. Again, in the dataset of CT-scan images, 1000 images of COVID-19 cases, 1000 normal images and 1000 CAP(community-acquired pneumonia) images are gathered from the Kaggle repository “Large COVID-19 CT scan slice dataset” offered by Maede Maftouni [67]. Figure 10 (b) shows the sample chest CT-scan images of three different cases. From these datasets of chest CT and X-ray images, 80% of available dataset samples were used for training purposes, with the remaining 20% of samples used for testing.

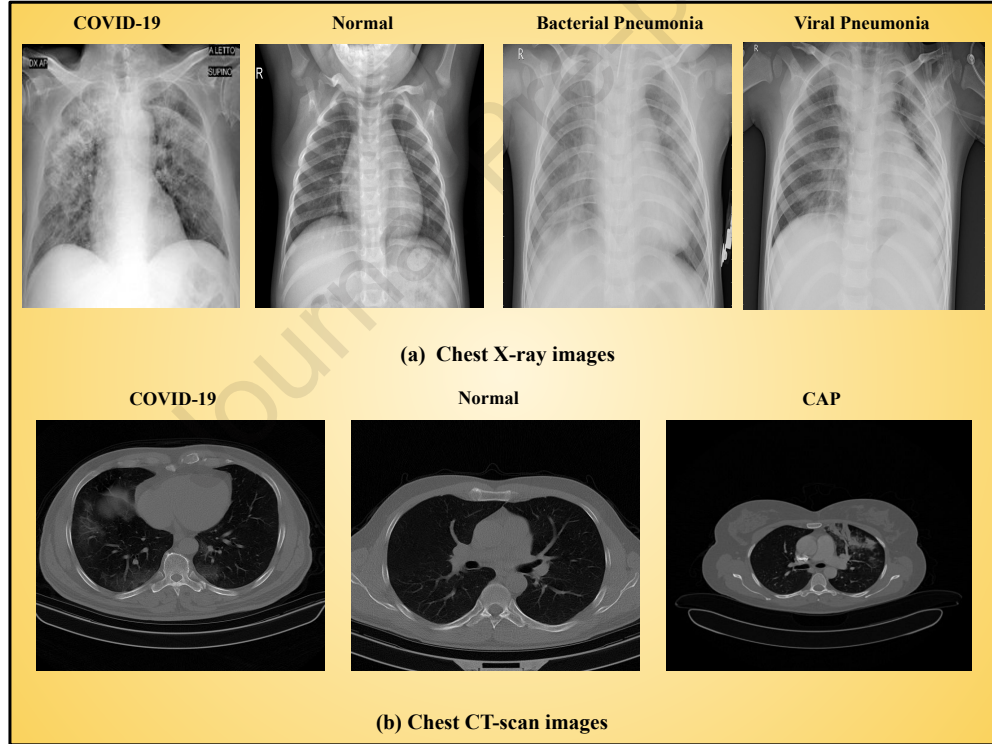


Figure 10: Sample images from the collected dataset a. Chest X-ray images of different types of cases of interest: COVID-19, normal(control), pneumonia with bacterial infection and Pneumonia with viral infection. b. Chest CT-scan images: COVID-19, normal (control) and community acquired pneumonia cases.

### 4.2. Experimental Setup and Implementation

The proposed framework was implemented in Keras with the Tensor flow GPU support. The entire experiment, training, as well as testing, was carried out in the Google Colaboratory environment, which includes a Tesla T4

graphics card, 12.75 GB RAM, & 68.50 GB of disk space. The proposed architecture used Adam Optimizer which is an optimization technique for gradient descent. This method is quite efficient when dealing with problems involving a lot of data samples or parameters. Adam is not the same as the traditional stochastic gradient descent. For all weight updates, stochastic gradient descent used the same learning rate (called alpha), which remains constant during training. On the other hand, during training, Adam was utilizing the characteristics of AdaGrad and the RMSProp algorithm to update weights iteratively inside a network. Adam configuration parameters include alpha, beta1, beta2, epsilon and others. Here, alpha was regarded as the step size or learning rate. Therefore, the proposed model used an adaptive learning rate with 1e-5 from the Adam Optimizer. The proposed model was implemented to classify COVID-19 cases from normal as well as regular pneumonia cases by considering four, three, and binary classes on the chest X-ray images dataset. The dataset containing CT-scan images was used to classify COVID-19 cases, normal controls and CAP cases considering three and two class categories respectively.

#### 4.3. Evaluation of Performance Metrics

Four metrics were utilized in this study to evaluate the performance of the proposed architecture. The evaluation was performed with respect to the accuracy, sensitivity(recall), precision and f1-score. The mathematical formulae for these metrics are presented in the equations below 10,11,12 and 13 respectively.

$$Accuracy = \frac{(TP + TN)}{(TP + FP + FN + TN)} \quad (10)$$

$$Precision = \frac{TP}{(TP + FP)} \quad (11)$$

$$Recall = \frac{TP}{(TP + FN)} \quad (12)$$

$$F1 - Score = \frac{(2 * Precision * Recall)}{(Precision + Recall)} \quad (13)$$

where TN, FN, TP, FP and represent true-negative, false-negative, true-positive and false-positive respectively.

Furthermore, the loss function was applied in this study to assess the effectiveness of the predicted model. The model was trained using a categorical cross-entropy loss. The loss function was also utilized to reduce the cost of the model parameters. The loss function will be reduced by increasing the number of epochs. Equation 14 expresses the mathematical interpretation of the loss function.

$$L(Y, \hat{Y}) = - \left( \sum Y * \log(\hat{Y}) + (1 - Y) * \log(1 - \hat{Y}) \right) \quad (14)$$

Here,  $Y$  = True label,  $\hat{Y}$  = Predicted Labels &  $L(Y, \hat{Y})$  = Loss function.

#### 4.4. Evaluation of The Model

A five-fold cross-validation technique was applied on four, three and binary class classifications. For each of the cases, 80 percent of the data is allotted for training and 20 percent for validation. As shown in Figure 11, the operations are repeated five times.

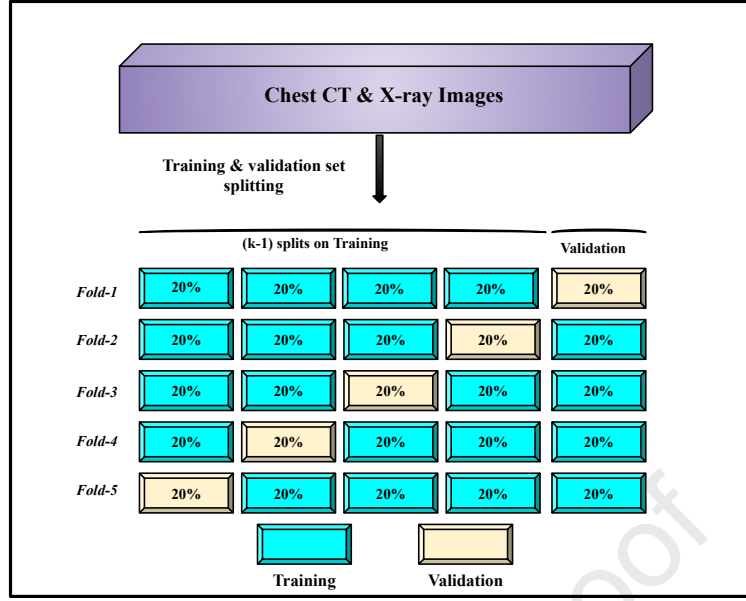


Figure 11: Schematic illustration of five -fold cross validation approach.

#### 4.4.1. Performance Results on Chest X-ray Image Dataset

The performance results on the chest x-ray image dataset were evaluated for four, three and two class categories using a five-fold cross-validation approach based on the given performance metrics in 4.3. As demonstrated in Table 2, the overall performance was obtained by averaging the values of each fold. The classification performance results of fold-3 using 4-class and 3-class classification are presented in Figure 12 and 13. Again, the performance results of fold-2 using 2-class classification are presented in Figure 14.

The fivefold cross-validation approach was not applied on some sub-class categories. The sub-classes are experimented with just once, where we classify 2-class COVID-19 vs pneumonia with bacterial infection cases and COVID-19 vs normal control cases) and 3-class (COVID-19 vs pneumonia with viral infection vs normal control cases). The class-wise performance results are presented in Table 3.

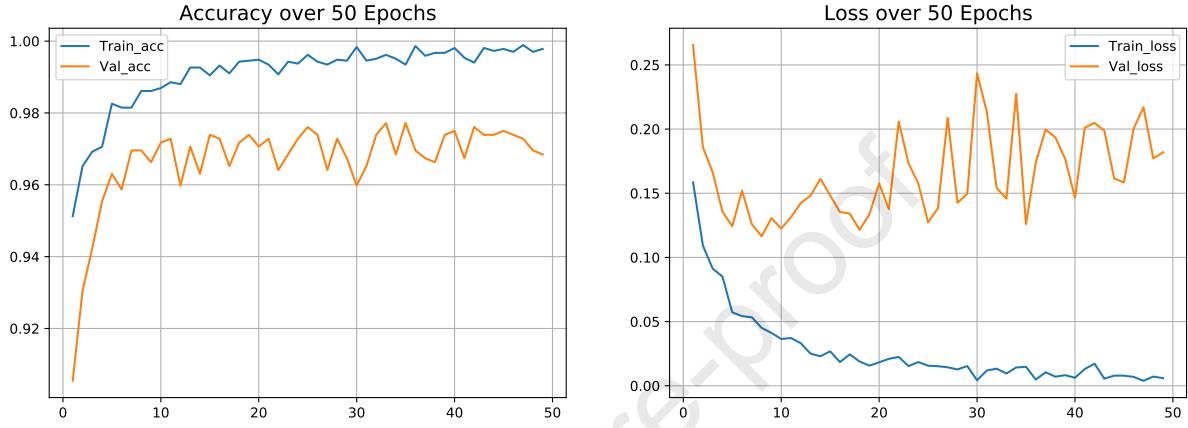
The performance analysis presented in the Table 2 shows that the proposed model achieved an average accuracy of 96.452% for 4-class, 97.242% for 3-class and 98.954% for 2-class with a comprehensive number of average precision, recall and f1-score of 96.7%, 96.45% and 96.45% for 4-class, 97.402%, 97.4% & 97.268% for 3-class and 98.9%, 98.9% and 99.0% for 2-class respectively. Table 3 shows that the proposed model achieved an accuracy of 97.10% for 3-class and with a high level of accuracy of 99.35% and 99.57% while categorizing COVID-19 cases, normal cases and COVID-19 cases with bacterial pneumonia. The average precision, recall and f1-score of all category classes are illustrated in Figure 15 where the red bar represents precision, green and blue represent recall or sensitivity and f1-score respectively. The cross-validation approach is applied for some classes including 4-class, 3-class (COVID19 vs pneumonia with bacterial infection vs normal control cases) and 2-class (COVID19 vs pneumonia with viral infection cases). The accuracy of each fold for the mentioned classes is presented in Figure 16 (a) where the blue line represents the 4-class, orange line and green line represent the 3-class and 2-class respectively. The average accuracy of these classes is plotted in Figure 16 (b).

Table 2: Performance of the proposed model on each fold using four, three and two class categories.

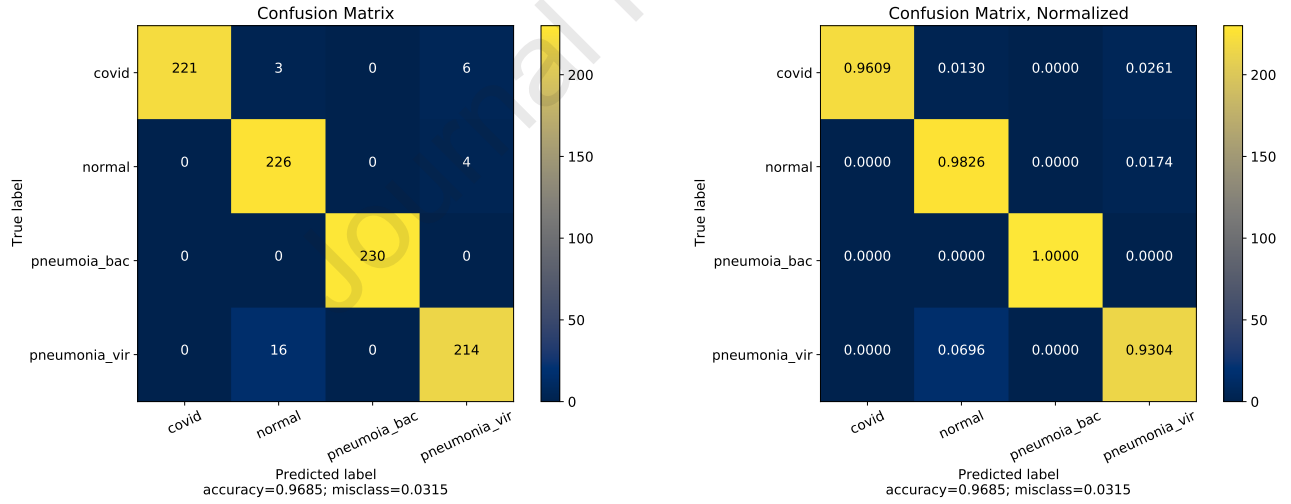
Class	Folds	Precision	Recall	F1-Score	Accuracy (%)
Four (covid-19/bacterial pneumonia/normal/viral pneumonia)	Fold1	0.9625	0.9625	0.9625	96.09
	Fold2	0.9725	0.97	0.97	96.96
	Fold3	0.97	0.9675	0.9675	96.85
	Fold4	0.9675	0.965	0.9625	96.52
	Fold5	0.9625	0.9575	0.96	95.84
	Average	0.967	0.9645	0.9645	96.452
Three (covid-19/bacterial pneumonia/normal)	Fold1	0.9767	0.9767	0.9767	97.54
	Fold2	0.9833	0.9833	0.9833	98.12
	Fold3	0.9767	0.98	0.9767	97.68
	Fold4	0.9467	0.9433	0.94	94.19
	Fold5	0.9867	0.9867	0.9867	98.68
	Average	0.97402	0.974	0.97268	97.242
Two (covid-19/viral pneumonia)	Fold1	0.98	0.98	0.98	98.26
	Fold2	0.995	0.995	0.99	99.35
	Fold3	0.985	0.985	0.99	98.70
	Fold4	1.00	1.00	1.00	99.78
	Fold5	0.985	0.985	0.99	98.68
	Average	0.989	0.989	0.99	98.954

Table 3: Class-wise performance results on three and two class categories.

Class	Task	Precision	Recall	F1-Score	Accuracy (%)
Three	COVID-19	1.00	0.98	0.99	97.10
	Normal	0.97	0.95	0.96	
	Viral Pneumonia	0.94	0.99	0.96	
Two	COVID-19	0.99	1.00	0.99	99.35
	Normal	1.00	0.99	0.99	99.57
	Bacterial Pneumonia	0.99	1.00	1.00	



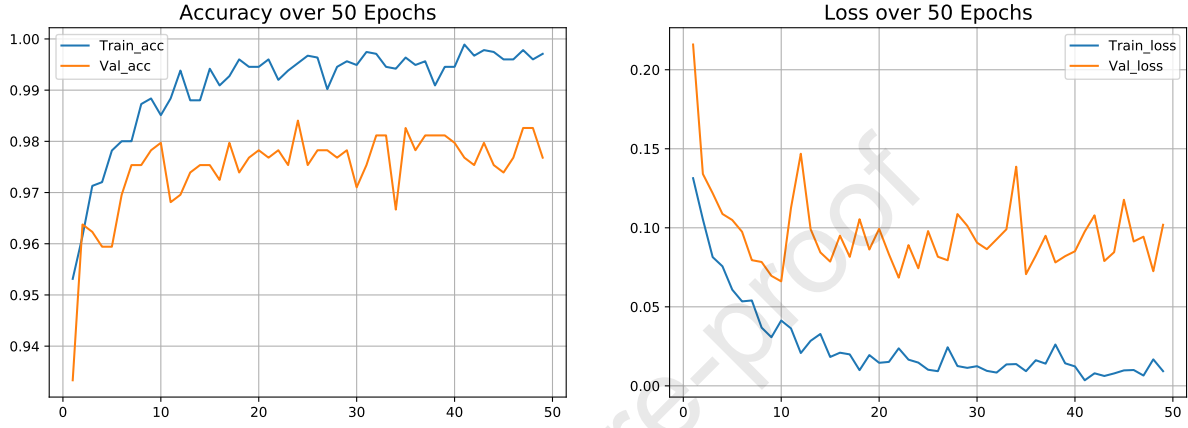
(a) Accuracy and loss curve of 4-class classification.



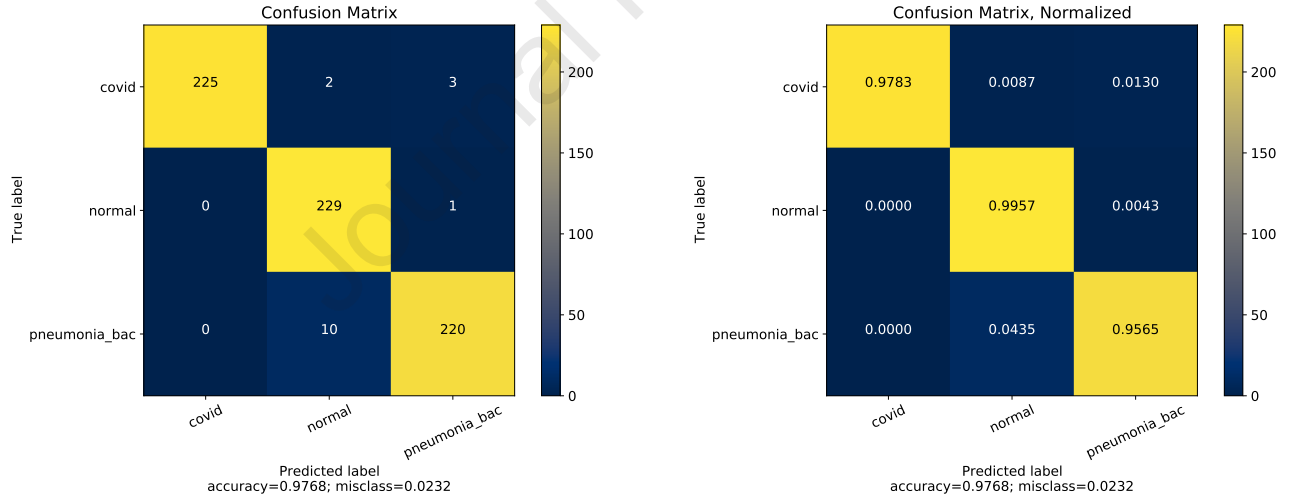
(b) Before normalize.

(c) After normalized.

Figure 12: Classification performance results of 4-class using fold-3 chest x-ray dataset.



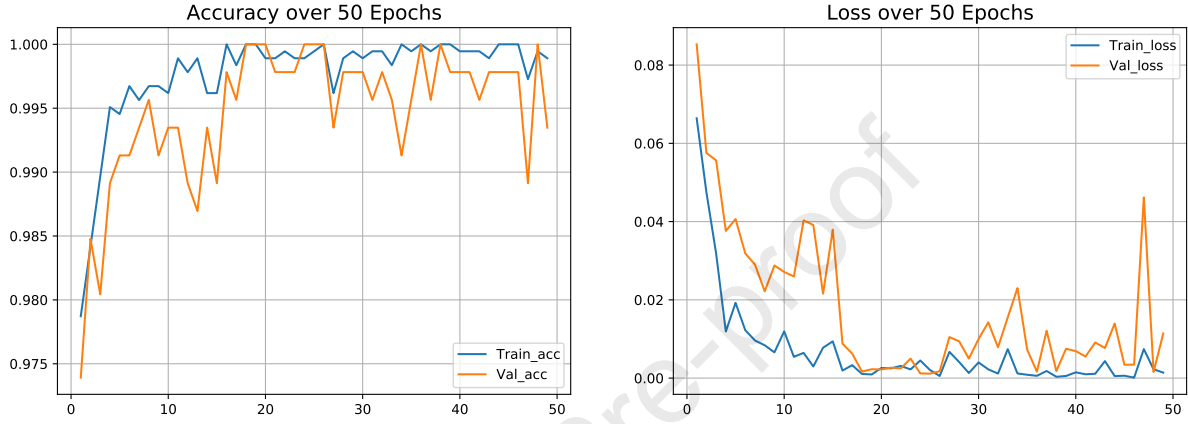
(a) Accuracy and loss curve of 3-class classification.



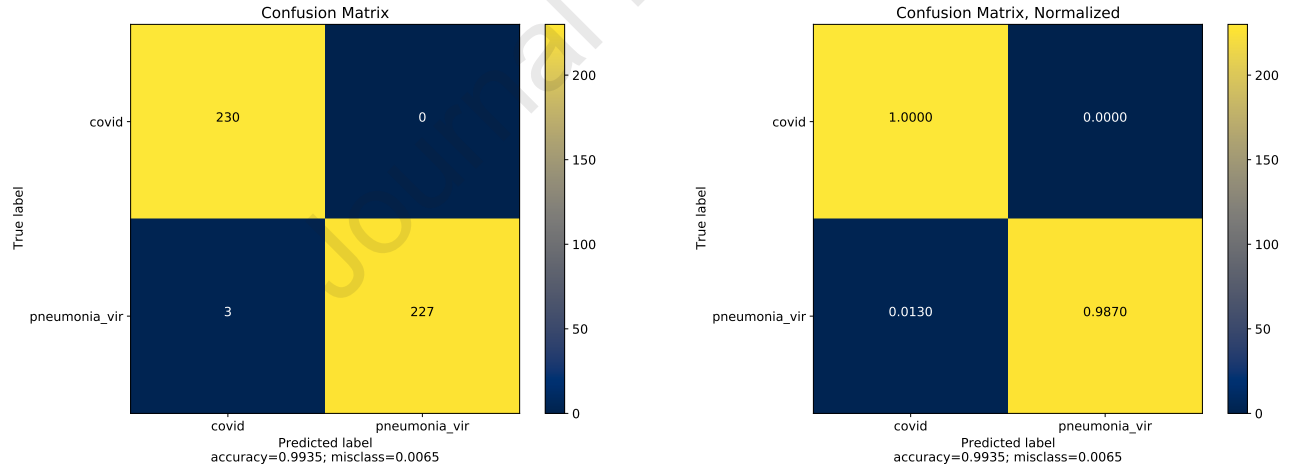
(b) Before normalize.

(c) After normalized.

Figure 13: Classification performance results on 3-class using fold-3 chest x-ray datasets.



(a) Accuracy and loss curve of 2-class classification.



(b) Before normalize.

(c) After normalized.

Figure 14: Classification performance results on 2-class using fold-2 chest x-ray dataset.

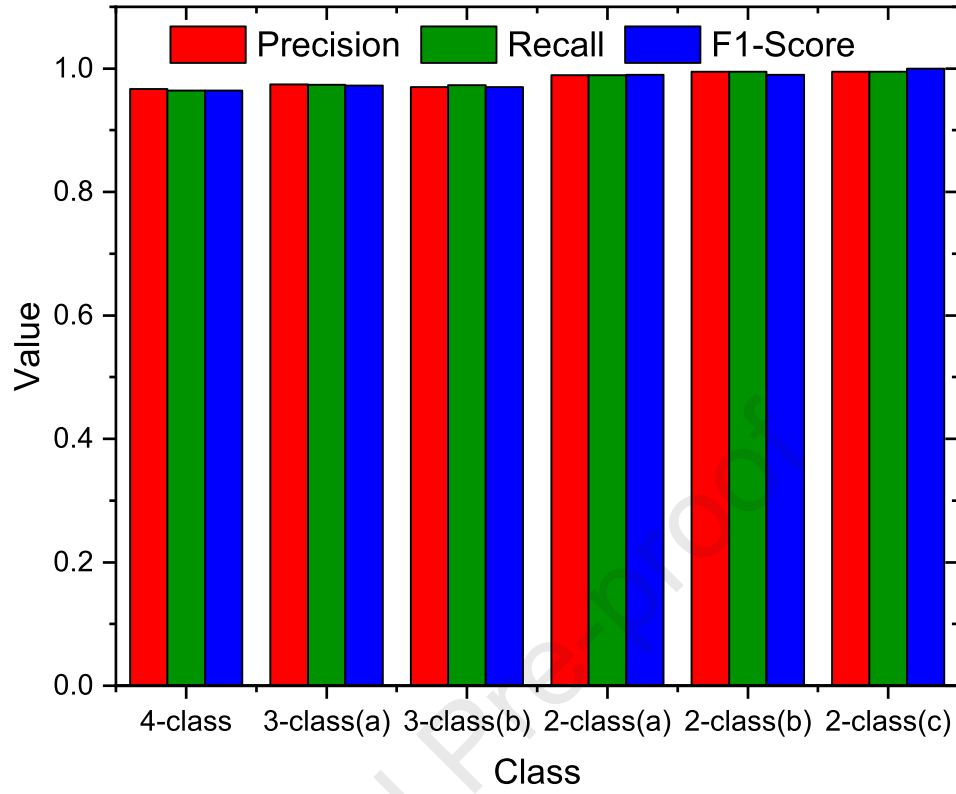
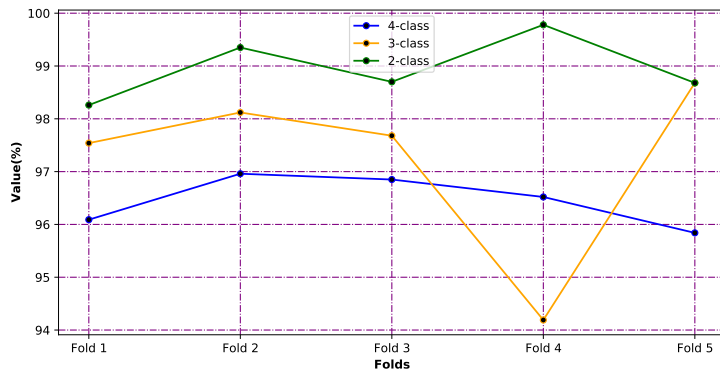
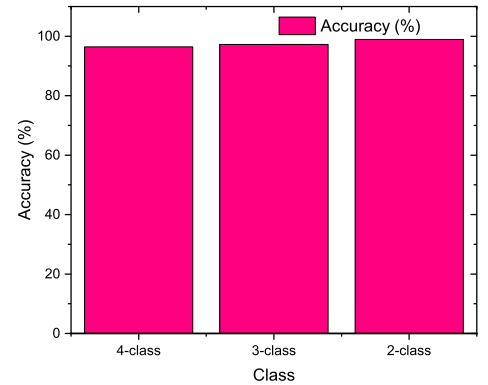


Figure 15: Average precision, recall & f1-score of 4-class, 3-class (a. covid vs pneu\_bac vs normal, b. covid vs pneu\_vir vs normal), 2-class (a. covid vs pneu\_vir, b. covid vs normal, c. covid vs pneu\_bac).



(a)



(b)

Figure 16: a. Cross folding accuracy on each fold considering 4-class, 3-class (covid vs pneu\_bac vs normal), 2-class (covid vs pneu\_vir) and b. Average accuracy on the considered class.



#### 4.4.2. Performance Results on Chest CT-scan Image Dataset

Using a five-fold cross-validation approach based on the stated performance metrics in 4.3, the performance results on the chest CT-scan image dataset were evaluated on three class categories. As demonstrated in Table 4, the overall performance was obtained by averaging the values of each fold. The classification performance results of fold-1 using 3-class classification are presented in Figure 17.

Table 4: Performance of the proposed model on each fold using three class categories.

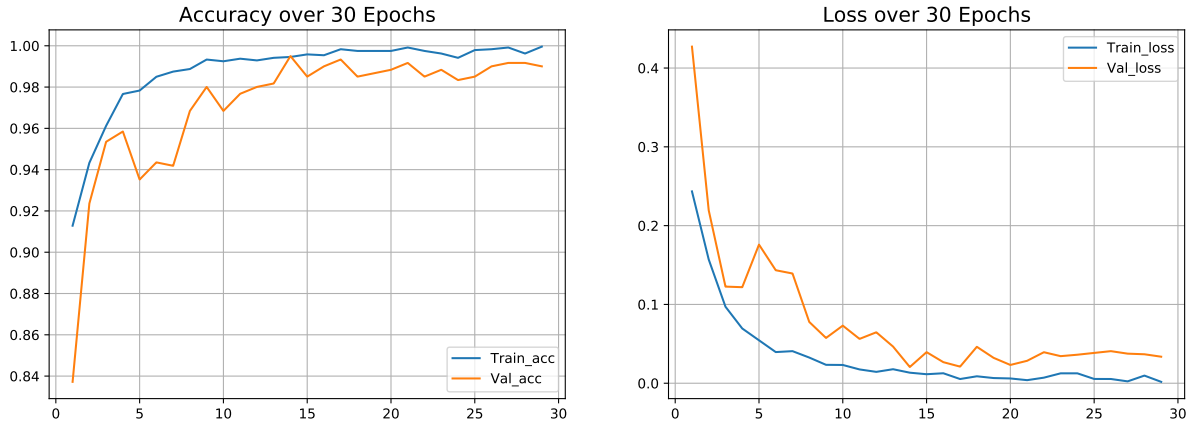
Class	Folds	Precision	Recall	F1-Score	Accuracy (%)
Four(covid-19/CAP /normal)	Fold1	0.9933	0.9933	0.99	99.00
	Fold2	0.985	0.985	0.99	98.78
	Fold3	0.995	0.995	0.99	99.25
	Fold4	0.985	0.985	0.99	98.68
	Fold5	0.995	0.995	0.99	99.35
	Average	0.99066	0.99066	0.99	99.012

The fivefold cross-validation approach was not applied on some sub-class categories. The sub-classes are experimented with just once, where we classify 2-class classifications including COVID-19 vs CAP and COVID-19 vs normal control cases. The class-wise performance results are presented in Table 5.

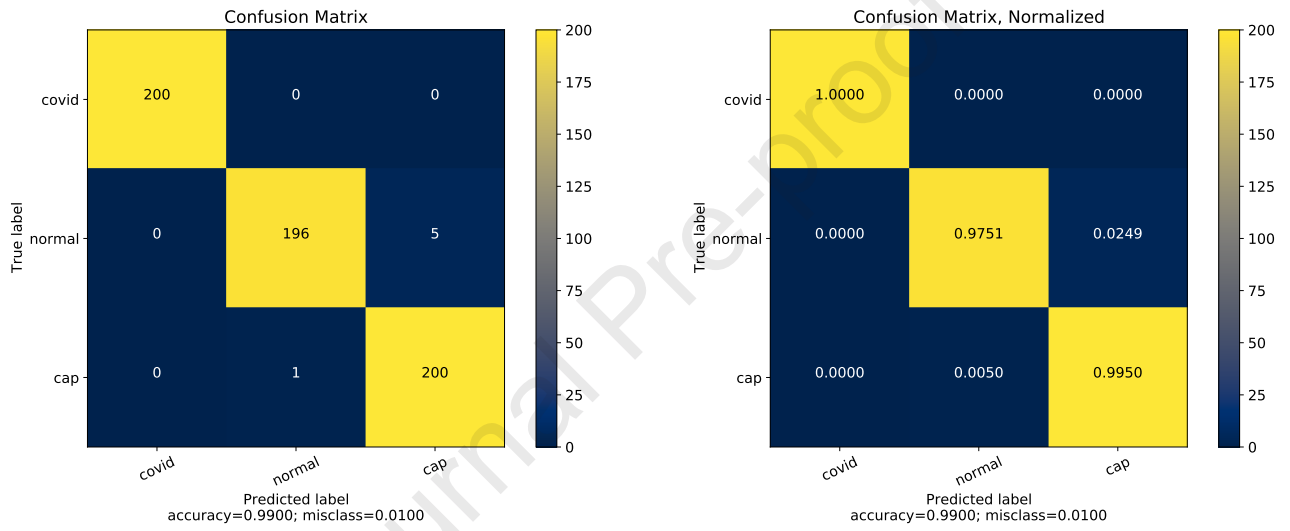
Table 5: Class-wise performance results on two class categories.

Class	Task	Precision	Recall	F1-Score	Accuracy (%)
Two	COVID-19	1.00	1.00	1.00	99.99
	CAP	1.00	1.00	1.00	
Two	COVID-19	1.00	1.00	1.00	99.99
	Normal	1.00	1.00	1.00	

The classification performance analysis reported in Table 4 shows that the proposed model achieved an overall average accuracy of 99.012% on 3-class with high levels of average precision, recall and f1-score of 99.066%, 99.066% and 99.00% on 3-class respectively, while categorizing COVID-19, cases with CAP and normal images. Again from Table 5 shows the proposed model achieved comprehensively high accuracy of 99.99% and 99.99% while categorizing COVID-19 & normal control cases and COVID-19 & CAP cases with almost a hundred percent precision, recall, and f1-score value for both classifications. Figure 18 (b) depicts the average precision, recall, and f1-score of all category classes, where the red bar represents precision, the green and blue bars represent recall or sensitivity, and the f1-score, respectively. As the cross-validation approach is applied on the 3-class, so in this case, the accuracy of each fold on that class is presented in Figure 18 (a) where the indigo line represents the 3-class category.



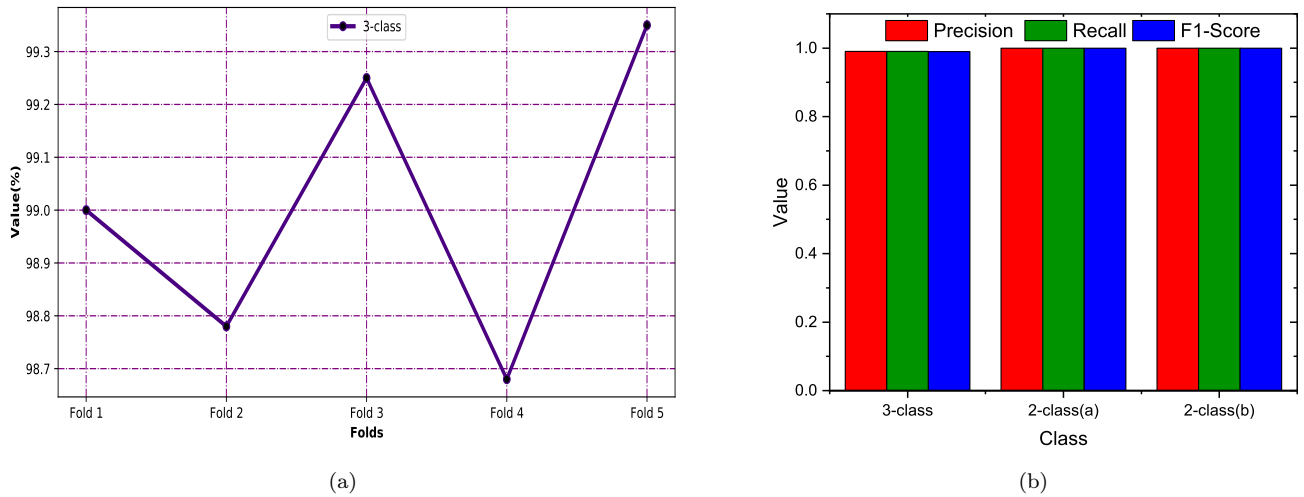
(a) Accuracy and loss curve of 3-class classification.



(b) Before normalize.

(c) After normalized.

Figure 17: Classification performance results on 3-class using fold-1 chest ct-scan dataset.



(a)

(b)

Figure 18: a. Cross folding accuracy on each fold considering 3-class (covid vs cap vs normal) b. Average precision, recall &amp; f1-score of 3-class (covid vs cap vs normal), 2-class (a.covid vs cap, b.covid vs normal).

#### 4.4.3. Discussion

In this study, the results of the proposed model were compared with other pre-trained models and some recent state-of-the-art studies from this field. The proposed model was first compared with other pre-trained models that included VGG19, ResNet50 and InceptionV3. In these cases, comparisons were performed by considering both the two datasets of chest CT-scan and X-ray images with four class and three class classifications. In four class classifications, the classifications were performed on images of COVID-19, viral pneumonia, bacterial pneumonia and uninfected (normal) control cases. Three-class classifications compared COVID-19, pneumonia and normal cases, while another three-class classification category compared COVID-19, community-acquired pneumonia and normal control cases. The comparisons between the proposed model and the other pre-trained models are demonstrated in Table 6. Thus, it is shown from Table 6 that, when the models are trained without using preprocessed data they produce low accuracy as well as low precision, recall and f1-score value, compared to models trained with preprocessed data. On the other hand, the proposed model achieved comprehensively high accuracy, specifically 96.452% for four class categories, 97.242% for three class categories using chest x-ray images and 99.012% for three class categories using chest CT-scan images. Moreover, the precision, recall and f1-score values achieved using the proposed model were also very high, as shown in Table 6. Thus, it is evident that effective preprocessing on image data and development of the pre-trained "ResNet50V2" model can result in the proposed architecture being far more effective and robust.

The evaluation results of the proposed study were also compared with examples of similar previous state-of-the-art work. The proposed study obtained 96.452% accuracy for four class categories comprising COVID-19, pneumonia (bacterial and viral) cases and normal control cases; these achieved 97.242% for three class categories considering normal, COVID-19 cases and bacterial pneumonia cases, 99.35% for two class categories consisting of normal cases and COVID-19 cases using chest x-ray images as presented in Table 7. Besides this the proposed model achieved an accuracy of 97.10% for three class categories considering viral pneumonia cases, normal cases and COVID-19 cases, 99.57% for two class categories comprising COVID-19 cases and pneumonia bacterial cases using x-ray images as presented in 4.4.1, Table 3. However, Mahmud et al. [69] proposed a multi-dilation convolutional neural network termed CovXNet for the identification and classification of COVID-19 cases from other cases using chest x-ray images. This model had a 90.3 % accuracy for four different classes, including COVID-19 cases, bacterial pneumonia cases, normal cases and viral Pneumonia cases, and 89.6% for three classes considering COVID-19 cases, viral pneumonia cases and bacterial pneumonia cases and 94.7 % while classifying just COVID-19 cases and bacterial pneumonia cases. Khan et al. [68] suggested a model CoroNet for COVID-19 identification using chest x-ray images, which obtained 89.6% accuracy in four-class classification, comprising COVID-19, normal controls, viral and bacterial pneumonia cases, 95% accuracy for three classes while comprising COVID-19, cases with pneumonia and normal controls and 99.6% accuracy achieved for the binary class considering normal controls and COVID-19 cases. The aforementioned research did not employ further patient data. However, our proposed model employed larger amounts of patient data and a balanced dataset of chest x-ray images for the experiment, and we obtained accuracy comparable to or greater than these studies, as shown in Table 7. Arsenovic et al. reported [70] a model ResNetCOVID19 to identify COVID-19 using X-ray images that achieved 94.1 % accuracy for three class incidents comprising pneumonia bacterial cases, normal control cases & COVID-19 cases. They did not include

Table 6: Comparison of the proposed model with the other pretrained models.

Models	Dataset	Number of classes	Preprocessing on image data	Precision	Recall	F1-score	Accuracy (%)
VGG19	chest x-ray	4	No	0.90	0.89	0.885	88.98
			Yes	0.9425	0.93	0.945	94.56
ResNet50	chest x-ray	4	No	0.88	0.875	0.8725	87.50
			Yes	0.93	0.9275	0.925	93.04
InceptionV3	chest x-ray	4	No	0.875	0.875	0.8725	87.39
			Yes	0.94	0.9325	0.93	94.35
VGG19	chest x-ray	3	No	0.9325	0.94	0.93	94.35
			Yes	0.96	0.955	0.9525	96.16
ResNet50	chest x-ray	3	No	0.95	0.94	0.935	94.88
			Yes	0.9525	0.94	0.945	96.47
InceptionV3	chest x-ray	3	No	0.93	0.9275	0.9225	93.27
			Yes	0.945	0.95	0.94	95.02
VGG19	chest ct-scan	3	No	0.82	0.815	0.8125	81.75
			Yes	0.9625	0.96	0.955	96.18
ResNet50	chest ct-scan	3	No	0.78	0.775	0.7725	78.05
			Yes	0.88	0.885	0.87	89.08
InceptionV3	chest ct-scan	3	No	0.83	0.82	0.83	83.55
			Yes	0.955	0.96	0.9575	96.35
Propoosed model	chest x-ray	4	without preprocessing	0.9015	0.8991	0.89706	<b>89.902</b>
	chest x-ray	3		0.9525	0.95	0.9505	<b>95.105</b>
	chest ct-scan	3		0.8375	0.8305	0.8335	<b>83.605</b>
Propoosed model	chest x-ray	4	with preprocessing	0.967	0.9645	0.9645	<b>96.452</b>
	chest x-ray	3		0.97402	0.974	0.97268	<b>97.242</b>
	chest ct-scan	3		0.99066	0.99066	0.99	<b>99.012</b>

Table 7: Comparison of the proposed model with previously published state-of-art models.

Study	Architecture	Amount of chest CT or X-ray images	Class	Accuracy (%)
Khan et al. [68]	CoroNet	284 covid-19, 327 viral pneumonia,	4	89.6
		310 normal, 330 bacterial pneumonia	3	95
		X-ray images.	2	99
Mahmud et al. [69]	CovXNet	305 covid-19, 305 viral pneumonia,	4	90.3
		305 normal, 305 bacterial pneumonia	3	89.6
		X-ray images.	2	94.7
Ozturk et al. [33]	DarkCovidNet	125 covid-19, 500 pneumonia, 500	3	98.08
		no-findings X-ray images.	2	87.02
Arsenovic et al. [70]	ResNetCOVID19	434 covid-19, 1100 bacterial pneumonia, 1100 normal X-ray images.	3	94.1
Sethy et al. [71]	ResNet50 plus	25 covid-19 & 25 non-covid-19	2	95.38
Heidarian et al. [48]	COVID-FACT	171 COVID-19, 60 Pneumonia, 76 normal CT-scan images.	3	90.82
Xu et al. [49]	ResNet + Location Attention	224 Influenza-A , 175 Normal, 219 covid-19 CT-scan images.	3	86.7
Shalbaf et al. [46]	15 Pre-Trained (CNNs) models	349 covid-19 (+) & 397 covid-19(-) CT-scan images.	2	85.0
Mukherjee et al. [50]	CNNs-tailored Deep NN	168 covid-19 & 168 non-covid-19 X-ray images.	2	96.13
		+ 168 covid-19 & 168 non-covid-19 CT-scan images	2	95.83
Proposed model	Modified & Tuned ResNet50V2	1143 covid-19, 1150 normal, 1150	4	<b>96.452</b>
		viral pneumonia, 1150 bacterial	3	<b>97.242</b>
		pneumonia X-ray images.	2	<b>99.35</b>
		+		
		1000 covid-19, 1000 normal, 1000	3	<b>99.012</b>
		CAP (community acquired pneumonia) CT-scan images.	2	<b>99.99</b>

data containing viral pneumonia but our proposed model did (Table 7) not only considering data that included viral pneumonia but also comparing data from other different categories and achieved superior accuracy when compared to their work on the three classes. Ozturk et al. [33] proposed DarkCovidNet, an automated COVID-19 identification system using x-ray images of the chest that attained 98.08% for binary classes considering normal cases and COVID-19 cases and 87.02% accuracy for three classes combining pneumonia cases with the other cases. For their raw data, they did not use any augmentation procedures. As data augmentation improves the robustness of the deep learning model, the proposed model in this study applied augmentation techniques on the raw images and obtained better results as demonstrated in Table 7. Sethy et al. [71] established a model that coupled a transfer learning architecture ResNet50 with SVM to accurately distinguish select COVID-19 positive cases from COVID-19 negative cases using x-ray images of the chest with a 95.38 % accuracy. They employed an old transfer learning architecture and SVM (support vector machine) classifiers for case classification, whereas the proposed model utilized an upgraded version of ResNet50 and extended and tuned it for improved performance in this study. Furthermore, instead of employing the SVM classifier, the proposed model employed SoftMax classifier and obtained better results from their model as shown in Table 7.

Our proposed study obtained 99.012% accuracy for three classes comprising COVID-19, CAP cases, normal cases, 99.99% for two classes considering normal cases and COVID-19 cases using chest CT-scan images as presented in Table 7. Besides this, our model achieved an accuracy of 99.99% for two classes considering COVID-19 cases and CAP cases using CT-scan images as presented in 4.4.2, Table 5. Heidarian et al. [48] proposed a model named COVID-FACT to identify COVID-19 using CT-scan images where they achieved an accuracy of 90.82% for three-class classification. A combined architecture ResNet + Location attention was built by Xu et al. [49] to identify COVID-19 patients using chest CT-images. They worked on three-class classifications and obtained a lower accuracy of 86.7% due to using the old architecture of ResNet. Shalbaf et al. [46] worked on 15 pre-trained models to identify COVID-19 cases from non-COVID-19 cases and achieved a lower accuracy of 85.0% using CT-scan images. Mukherjee et al. [50] proposed CNNs-tailored deep neural networks to identify COVID-19 cases from non-COVID cases by using both the ct and x-ray images of the chest. The aforementioned studies did not deal with more patient data. Moreover, using pre-trained models did not make their model more robust. Therefore, our proposed model employed more patient data with a balanced dataset of CT-scan images for the experiment and developed a pre-trained model to make the model more robust and obtain better accuracy compared to these studies, as demonstrated in Table 7.

In sum, the encouraging and promising results of our proposed model in the identification of COVID-19 cases from CT-scan and X-ray images suggest that deep learning could play an important role in combating the current pandemic in the near future.

Although we collected a large number of X-ray and CT-scan images to train our model, the model needs to be evaluated with a large number of patient images from different countries to ensure its robustness. To enhance the collected images, we sharpened the images through a sharpening filter. To improve the accuracy and robustness of the model, advanced image processing techniques such as hybrid filtering (combination of several filters) need to be incorporated. We used ResNet50V2 as our base model and also added some extra layers to it. Generally, ResNet50V2 is a deeper model, and by adding some new layers to the existing layers, the proposed architecture

becomes more deep and complex. Although deeper models perform well in feature extraction, training the model with a large dataset is time-consuming. Hence, in future, we intend to build a deep learning model that might have low complexity and be more feasible and robust.

## 5. Conclusion

SARS-CoV-2 is a serious continuing threat to human health, but the shortage of testing resources in many countries limits patient testing. Thus, alternative strategies may be needed to aid the rapid diagnosis of COVID-19 patients. Hence, we examined a deep learning framework based on the ResNet50V2 architecture and effective preprocessing techniques identification and classification of COVID-19 cases using CT-scan and X-ray images. The architecture was examined using a balanced and updated dataset collected from different open sources. The model was capable of working with both the binary class and the multiclass classifications. Performance analysis shows that this model performed well on the prepared datasets. This raises the possibility that this or a similar image analysis approach can assist radiologists and clinicians in the diagnosis of COVID-19, and provide timely services to patients and thereby help to limit community transmission. Future research will focus on federated learning and Blockchain technology to establish a distributed trust-less COVID-19 detection protocol.

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### Highlights:

The following is a summary of the main contributions:

- Performing an effective preprocessing technique on collected images to make the dataset of images fit for the proposed deep learning model properly.
- Developing an extended ResNet50V2 based deep learning model where fine-tuning has been performed to rapidly detect and diagnose COVID-19 infected cases with higher accuracy.
- Classifying COVID-19 images from normal and typical pneumonia cases by considering two, three and four class categories respectively.
- Conducting comparative performance analysis of the suggested work with other preceding state-of-the-art efforts by identifying the COVID-19 cases with the most flawless classification findings using chest CT-scan and X-ray image datasets.

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We do not have any conflict of interest.

Sincerely Yours

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