

**Problem 1.****a.**

The set of all natural numbers  $q$  for which  $F(q) \geq 1.6^{q-2}$  is

$$\{q \in \mathbb{N} \mid q \geq 1\}.$$

Let  $P(q)$  be the statement:  $F(q) \geq 1.6^{q-2}$ .

**Theorem:** For all  $q \geq 1$ ,  $q \in \mathbb{N}$ ,  $P(q)$  is true. Proof by strong mathematical induction.

**Basis step:**

- $P(1)$  holds:  $1 \geq 1.6^{-1}$ .
- $P(2)$  holds:  $1 \geq 1$ .

**Inductive step:** [Show that for all natural numbers  $k \geq 2$ , if  $P(i)$  is true for all integers  $i$  such that  $1 \leq i \leq k$ , then  $P(k+1)$  is also true.] Let  $k \geq 2$  be an integer such that  $F(i) \geq 1.6^{i-2}$  for all  $1 \leq i \leq k$ .

By definition of  $F$ , we have

$$F(k+1) = F(k) + F(k-1).$$

Therefore, by the inductive hypothesis,

$$\begin{aligned}
 F(k+1) &\geq 1.6^{k-2} + 1.6^{k-3} \\
 &= 1.6 \cdot 1.6^{k-3} + 1.6^{k-3} \\
 &= 1.6^{k-3}(1.6 + 1) \\
 &= 2.6 \cdot 1.6^{k-3} \\
 &= 2.6 \cdot \frac{1.6^2}{1.6^2} \cdot 1.6^{k-3} \\
 &= \frac{2.6}{1.6^2} \cdot 1.6^{k-1} \\
 &= \frac{2.6}{2.56} \cdot 1.6^{k-1} \\
 &\geq 1.6^{k-1}.
 \end{aligned}$$

We have shown  $F(k+1) \geq 1.6^{k-1}$  and therefore  $P(k+1)$  holds. Now the conclusion follows from the basis step, the inductive step, and the principle of strong mathematical induction.

**b.**

Yes. We can choose  $c = 1.6^{-2}$  and  $n_0 = 1$ . Notice with this choice of  $c$  that the expression  $c \cdot 1.6^n$  becomes

$$\begin{aligned}(1.6^{-2})1.6^n \\ = 1.6^{n-2}.\end{aligned}$$

We showed in the previous part that  $F(n) \geq 1.6^{n-2}$  for all  $n \geq 1$ . Hence we conclude the assertion is implied by the previous part.

**c.**

Let  $P(n)$  be the statement: `fib`( $n$ ) is correct.

**Theorem:**  $P(n)$  is true for all  $n \in \mathbb{N}$ . Proof by strong mathematical induction.

**Basis step:**

- $P(0)$  holds:  $F(0)$  returns 0 which is correct.
- $P(1)$  holds:  $F(1)$  returns 1 which is correct.
- $P(2)$  holds:  $F(2)$  returns 1 which is correct.

**Inductive step:** [Show that for all natural numbers  $k \geq 2$ , if  $P(i)$  is true for all natural numbers  $n$  such that  $n \leq k$ , then  $P(k+1)$  is also true.] Let  $k \geq 2$  be an integer such that `fib`( $n$ ) is correct for all  $n \leq k$ .

For `fib`( $k+1$ ): by the inductive hypothesis,  $k+1 \geq 3$ , so we enter the `else` case meaning we have `fib`( $k+1$ ) = `fib`( $k$ ) + `fib`( $k-1$ ). By the inductive hypothesis, `fib`( $k$ ) will return the correct result and `fib`( $k-1$ ) will return the correct result. By definition, `fib`( $k+1$ ) = `fib`( $k$ ) + `fib`( $k-1$ ) so we have that `fib`( $k+1$ ) returns the correct result.

Therefore  $P(k+1)$  holds. Now the conclusion follows from the basis step, the inductive step, and the principle of strong mathematical induction.

## Problem 2.

a.

- **Claim:** For any array  $A$ , and natural number  $j$  such that (i)  $A$  has (at least)  $j + 1$  cells, and (ii) the subarray  $A[1..j]$  is sorted, when  $\text{PutInPlace}(A, j, x)$  terminates, the first  $j + 1$  cells of  $A$  contain all the elements that were originally in  $A[1..j]$  plus  $x$  in sorted order. Proof by induction.

**Basis step:** The base case is when  $j = 0$ , for which the claim is true as  $\text{PutInPlace}$  just puts  $x$  in the first cell of  $A$ .

**Inductive step:** Let  $j \geq 1$  be an arbitrary integer and assuming the claim holds for  $j - 1$  we show it also holds for  $j$ . If  $x$  is greater than  $A[j]$ , then  $x$  is greater than all elements in  $A[1..j]$ , so by putting  $x$  in the  $j + 1$ -th cell the array  $A[1..j + 1]$  satisfies the required: sorted, and contain all the required elements.

Otherwise (if  $x$  is less than or equal to  $A[j]$ ), we put the element at  $A[j]$  into the  $j + 1$ -th cell and call  $\text{PutInPlace}(A, j - 1, x)$ . Now, the largest element of subarray  $A[1..j + 1]$  is at position  $j + 1$  since we know the subarray  $A[1..j - 1]$  was sorted by the inductive hypothesis and hence the element at position  $j + 1$  is the largest element in the subarray  $A[1..j + 1]$ . Furthermore, the inductive hypothesis states that when  $\text{PutInPlace}(A, j - 1, x)$  terminates, the subarray  $A[1..j]$  is sorted and contains all elements for  $A[1..j]$ . Hence when,  $\text{PutInPlace}(A, j, x)$  terminates, subarray  $A[1..j + 1]$  is sorted and contains all required elements and hence the claim holds for  $j$ . Now the conclusion follows from the basis step, the inductive step, and the principle of induction.

- **Claim:** For any array  $A$  and natural number  $n$  such that  $n \geq 0$  and array  $A$  has  $n$  cells, when  $\text{InsertionSort}(A, n)$  terminates, array  $A$  contains all the elements that were originally in  $A$  in sorted order. Proof by induction.

**Basis step:** The base cases are:

- $n = 0$ : the claim is true as  $\text{InsertionSort}(A, n)$  terminates right away and the array  $A$  contains all original elements and is sorted since it is empty.
- $n = 1$ : the claim is true as  $\text{InsertionSort}(A, n)$  terminates right away and the array  $A$  contains all original elements and is sorted since it only contains one element.

**Inductive step:** Let  $n > 1$  be an arbitrary integer and assuming the claim holds for  $n - 1$  we show it also holds for  $n$ .

Since  $n > 1$  by the inductive hypothesis, we enter the branch. By the inductive hypothesis, when the call to  $\text{InsertionSort}(A, n - 1)$  terminates, the subarray  $A[1..n - 1]$  is sorted and contains all original elements up to  $n - 1$ . We then store the element at cell  $n$  into variable

$x$ . We then call `PutInPlace( $A, n - 1, x$ )`. As we proved previously, subarray  $A[1..n]$  will be sorted and contain all original elements when `PutInPlace( $A, n - 1, x$ )` terminates. After this, `InsertionSort( $A, n$ )` terminates and  $A[1..n]$  will be sorted and contain all original elements. Hence the claim holds for  $n$ . Now the conclusion follows from the basis step, the inductive step, and the principle of induction.

**b.**

During the sort, the following intermediate results occur:

1.  $[4, 7, 2, 8, 6, 5]$
2.  $[2, 4, 7, 8, 6, 5]$
3.  $[2, 4, 6, 7, 8, 5]$
4.  $[2, 4, 5, 6, 7, 8]$

Hence, the following occur: ii, iv, and vii.

**Problem 3.****i**

The procedure returns 26.

**ii**

At the start of each iteration  $i$  ( $1 \leq i \leq n$ ), we have

- when  $i = 1$ , the value of  $p$  is  $(A[1] + 1)$ ;
- when  $i = 2$ , the value of  $p$  is  $(A[1] + 1) + (A[2] + 2)$ ;
- when  $i = 3$ , the value of  $p$  is  $(A[1] + 1) + (A[2] + 2) + (A[3] + 3)$ ;
- ...
- when  $i = k$  for any  $k$  such that  $1 \leq k < n$ , the value of  $p$  is  $(A[1] + 1) + \dots + (A[k] + k)$ ;
- when  $i = n$ , the loop terminates.

So, the loop invariant is: at the beginning of each iteration,

$$p = \sum_{k=1}^i (A[k] + k).$$

**iii**

- Initially: before the loop begins,  $p = A[1] + 1 = \sum_{k=1}^1 (A[k] + k)$ .
- Maintenance: suppose that at the beginning of iteration  $i$ ,  $p = \sum_{k=1}^i (A[k] + k)$ . Then, at the beginning of iteration  $i + 1$ ,

$$\begin{aligned}
 p^{\text{after}} &= p^{\text{before}} + (A[j] + j) \\
 &= p^{\text{before}} + (A[i + 1] + i + 1) \quad (\text{since } j = i + 1) \\
 &= \sum_{k=1}^i (A[k] + k) + (A[i + 1] + i + 1) \quad (\text{the LI}) \\
 &= \sum_{k=1}^{i+1} (A[k] + k)
 \end{aligned}$$

$$= \sum_{k=1}^{i^{\text{after}}} (A[k] + k).$$

- Termination #1: the loop terminates as we only increment  $i$ , so eventually we will have  $i \geq n$ .
- Termination #2: when the loop terminates,  $i = n$ , in which case the loop invariant implies  $p = \sum_{k=1}^i (A[k] + k)$ . The procedure sums up each element and the indices of each element and returns the result. This is clearly implied by the loop invariant: for each index in the array, the loop adds the index and the element at that index to a running total.

**Problem 4.**

The algorithm pseudocode is provided below:

```
procedure FindMinMax( $A, n$ )
     $min \leftarrow A[1]$ 
     $max \leftarrow A[1]$ 
     $i \leftarrow 2$ 
    if ( $n \% 2 = 0$ ) then
        if ( $A[1] < A[2]$ ) then
             $max = A[2]$ 
        else
             $min = A[1]$ 
        end if
         $i \leftarrow 3$ 
    end if
    while ( $i < n$ ) do
        if ( $A[i] < A[i + 1]$ ) then
            if ( $A[i] < min$ ) then
                 $min = A[i]$ 
            end if
            if ( $A[i + 1] > max$ ) then
                 $max = A[i + 1]$ 
            end if
        else
            if ( $A[i] > max$ ) then
                 $max = A[i]$ 
            end if
            if ( $A[i + 1] < min$ ) then
                 $min = A[i + 1]$ 
            end if
        end if
         $i \leftarrow i + 2$ 
    return  $min, max$ 
```

The algorithm always uses less than  $3n/2$  key comparisons. We have two cases:

- When  $n$  is even, we enter the first **if then** statement where we perform one key comparison between  $A[1]$  and  $A[2]$  thus handling the first two elements of  $A$ . We then enter the **while** loop where each iteration handles a pair of elements. Since we handled the first pair of elements

in the `if then` statement before the `while` loop, we have to handle  $(n/2 - 1)$  more pairs. As we can see, there are three key comparisons in all scenarios for each pair of elements, so the number of key comparisons completed inside the `while` loop is  $3 \cdot (n/2 - 1)$ . As such, the total number of key comparisons when  $n$  is even is  $1 + 3 \cdot (n/2 - 1) = 3n/2 - 2$ .

- When  $n$  is odd, we skip the `if then` statement and proceed straight to the `while` loop. Notice we handle the first element in  $A$ , leaving us with an even number of remaining elements that can be paired up. Hence, inside the `while` loop, we will perform comparisons of  $(n - 1)/2$  pairs of elements. As with the case where  $n$  is even, each pair of elements requires three key comparisons to process. As such, the number of key comparisons when  $n$  is odd is  $3 \cdot (n - 1)/2$ .

Hence, for all cases, there are less than  $3n/2$  key comparisons.

We next derive a loop invariant. We define the loop invariant to be: at the beginning of each iteration  $i$  ( $2 \leq i < n$ ),  $\text{min} = \min\{A[1], A[2], \dots, A[i-1]\}$  and  $\text{max} = \max\{A[1], A[2], \dots, A[i-1]\}$ . In other words,  $\text{min}$  and  $\text{max}$  contain the minimum and maximum values of subarray  $A[1..i-1]$ .

- Initially: before the loop begins:
  - If  $n$  is even, we enter the `if else` statement and set  $\text{min}$  to  $\min\{A[1], A[2]\}$  and  $\text{max}$  to  $\max\{A[1], A[2]\}$ . Initially,  $i = 3$  in this case. We can see that  $\text{min}$  and  $\text{max}$  contain the minimum and maximum values of subarray  $A[1..i-1] = A[1..2]$ .
  - If  $n$  is odd, we simply have that  $\text{min} = A[1]$  and  $\text{max} = A[1]$ . Initially,  $i = 2$  in this case. We can see that  $\text{min}$  and  $\text{max}$  contain the minimum and maximum values of subarray  $A[1..i-1] = A[1..1]$ .
- Maintenance: assume the LI holds at the start of iteration  $i$  for each  $i \geq 2$ . After the code in the loop is executed,  $\text{min}$  holds the smallest value between  $\text{min}$ ,  $A[i]$ , and  $A[i+1]$ . Furthermore,  $\text{max}$  holds the largest value between  $\text{max}$ ,  $A[i]$ , and  $A[i+1]$ .  
 By the assumption that  $\text{min}$  holds the smallest of the first  $i-1$  elements at the beginning of iteration  $i$ , at the start of the next iteration,  $\text{min} = \min\{A[1], A[2], \dots, A[i^{\text{new}} - 1]\}$  where  $i^{\text{new}} = i + 2$ . Furthermore, by the assumption that  $\text{max}$  holds the largest of the first  $i-1$  elements at the beginning of iteration  $i$ , at the start of the next iteration,  $\text{max} = \max\{A[1], A[2], \dots, A[i^{\text{new}} - 1]\}$  where  $i^{\text{new}} = i + 2$ . This shows that the LI holds again.
- Termination #1: the loop terminates as we only increment  $i$ , so eventually we will have  $i \geq n$ .
- Termination #2: when the loop terminates, whether  $n$  is even or odd,  $i = n + 1$  and the LI implies that  $\text{min} = \min\{A[1], A[2], \dots, A[i-1]\} = \min\{A[1], A[2], \dots, A[n]\}$  and  $\text{max} = \max\{A[1], A[2], \dots, A[i-1]\} = \max\{A[1], A[2], \dots, A[n]\}$ .