

Lecture 8:

CNN Architectures

Assignment 3

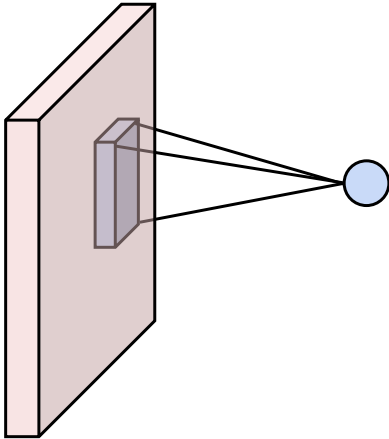
Assignment 3 is released! It covers:

- Fully-connected networks
- Dropout
- Update rules: SGD+Momentum, RMSprop, Adam
- Convolutional networks
- Batch normalization

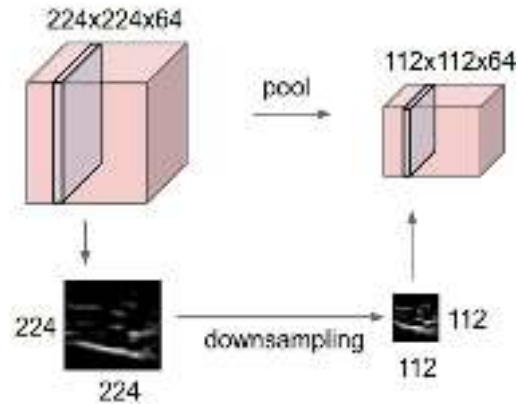
Due Friday February 11, 11:59pm ET

Last Time: Components of Convolutional Networks

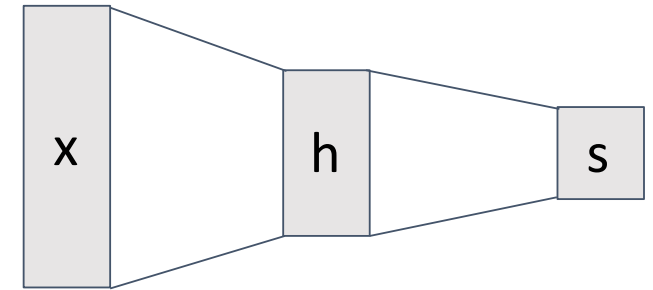
Convolution Layers



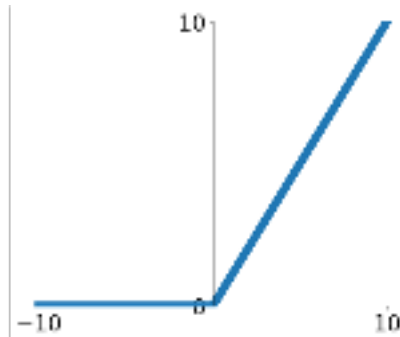
Pooling Layers



Fully-Connected Layers



Activation Function

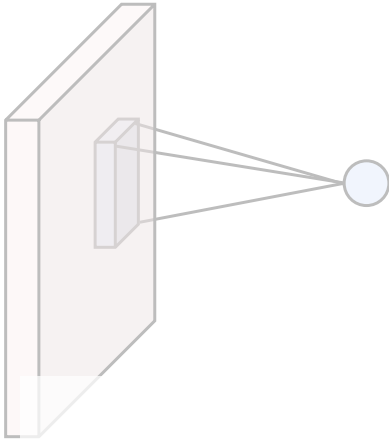


Normalization

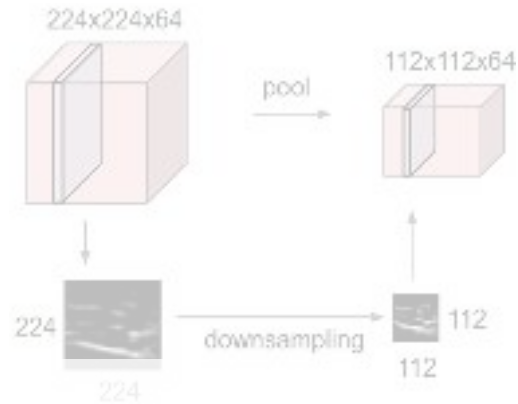
$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Last Time: Components of Convolutional Networks

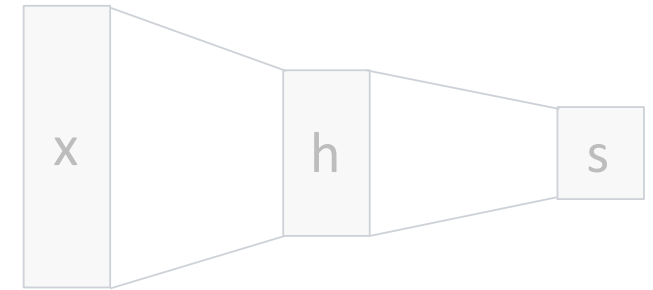
Convolution Layers



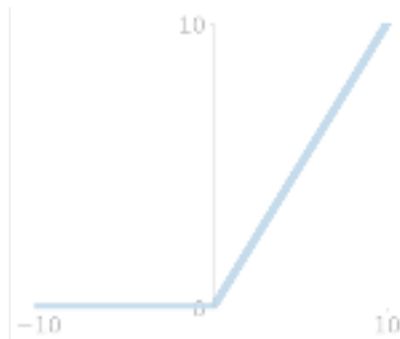
Pooling Layers



Fully-Connected Layers



Activation Function



Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Batch Normalization

Consider a single layer $y = Wx$

The following could lead to tough optimization:

- Inputs x are not *centered around zero* (need large bias)
- Inputs x have different scaling per-element
(entries in W will need to vary a lot)

Idea: force inputs to be “nicely scaled” at each layer!

Batch Normalization

Idea: “Normalize” the inputs of a layer so they have zero mean and unit variance

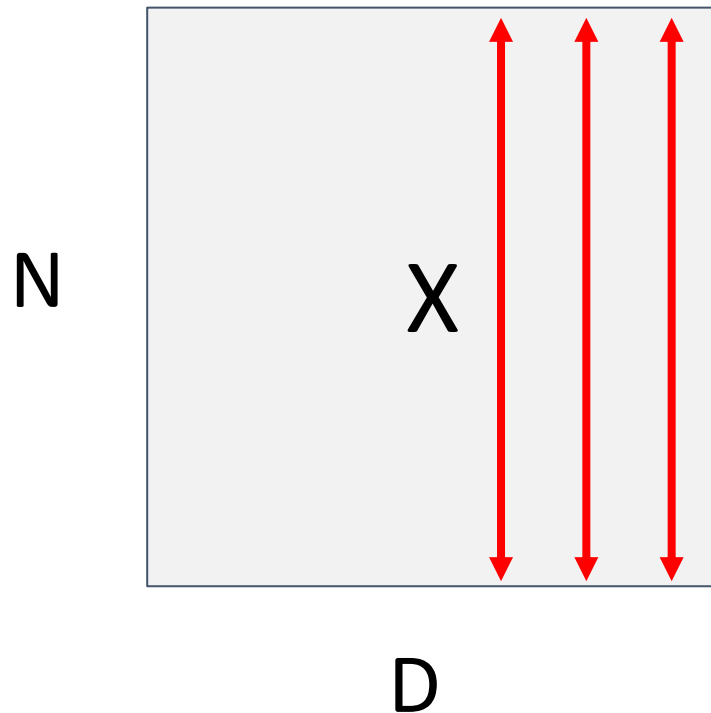
We can normalize a batch of activations like this:

$$\hat{x} = \frac{x - E[x]}{\sqrt{Var[x]}}$$

This is a **differentiable function**, so we can use it as an operator in our networks and backprop through it!

Batch Normalization

Input: $x \in \mathbb{R}^{N \times D}$



$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel
mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

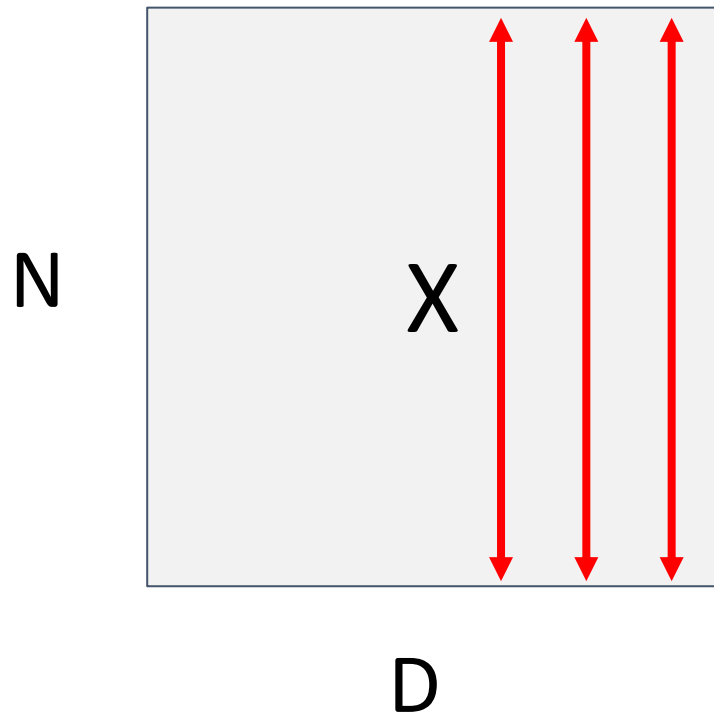
Per-channel
std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Normalized x,
Shape is N x D

Batch Normalization

Input: $x \in \mathbb{R}^{N \times D}$



$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel
mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel
std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x ,
Shape is $N \times D$

**Problem: What if zero-mean, unit
variance is too hard of a constraint?**

Batch Normalization

Input: $x \in \mathbb{R}^{N \times D}$

Learnable scale and shift parameters:

$$\gamma, \beta \in \mathbb{R}^D$$

Learning $\gamma = \sigma$, $\beta = \mu$
will recover the identity
function (in expectation)

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel
mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel
std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,
Shape is N x D

Batch Normalization

Problem: Estimates depend on minibatch; can't do this at test-time!

Input: $x \in \mathbb{R}^{N \times D}$

Learnable scale and shift parameters:

$$\gamma, \beta \in \mathbb{R}^D$$

Learning $\gamma = \sigma$, $\beta = \mu$
will recover the identity
function (in expectation)

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel
mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel
std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Normalized x,
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,
Shape is N x D

Batch Normalization: Test-Time

Input: $x \in \mathbb{R}^{N \times D}$

$\mu_j =$ (Running) average of
values seen during
training

Per-channel
mean, shape is D

**Learnable scale and
shift parameters:**

$\gamma, \beta \in \mathbb{R}^D$

Learning $\gamma = \sigma, \beta = \mu$
will recover the identity
function (in expectation)

$\sigma_j^2 =$ (Running) average of
values seen during training

Per-channel
std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,
Shape is N x D

Batch Normalization: Test-Time

Input: $x \in \mathbb{R}^{N \times D}$

$\mu_j =$ (Running) average of
values seen during
training

Per-channel
mean, shape is D

**Learnable scale and
shift parameters:**

$$\gamma, \beta \in \mathbb{R}^D$$

Learning $\gamma = \sigma$, $\beta = \mu$
will recover the identity
function (in expectation)

$$\mu_j^{test} = 0$$

For each training iteration:

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

$$\mu_j^{test} = 0.99 \mu_j^{test} + 0.01 \mu_j$$

(Similar for σ)

Batch Normalization: Test-Time

Input: $x \in \mathbb{R}^{N \times D}$

$\mu_j =$ (Running) average of values seen during training

Per-channel mean, shape is D

Learnable scale and shift parameters:

$\gamma, \beta \in \mathbb{R}^D$

$\sigma_j^2 =$ (Running) average of values seen during training

Per-channel std, shape is D

Learning $\gamma = \sigma, \beta = \mu$ will recover the identity function (in expectation)

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Normalized x,
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,
Shape is N x D

Batch Normalization: Test-Time

Input: $x \in \mathbb{R}^{N \times D}$

$\mu_j =$ (Running) average of values seen during training

Per-channel mean, shape is D

Learnable scale and shift parameters:

$\gamma, \beta \in \mathbb{R}^D$

$\sigma_j^2 =$ (Running) average of values seen during training

Per-channel std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,
Shape is N x D

During testing batchnorm becomes a linear operator!
Can be fused with the previous fully-connected or conv layer

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,
Shape is N x D

Batch Normalization for ConvNets

Batch Normalization for
fully-connected networks

$$x : N \times D$$

Normalize



$$\mu, \sigma : 1 \times D$$

$$\gamma, \beta : 1 \times D$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

Batch Normalization for
convolutional networks
(Spatial Batchnorm, BatchNorm2D)

$$x : N \times C \times H \times W$$

Normalize

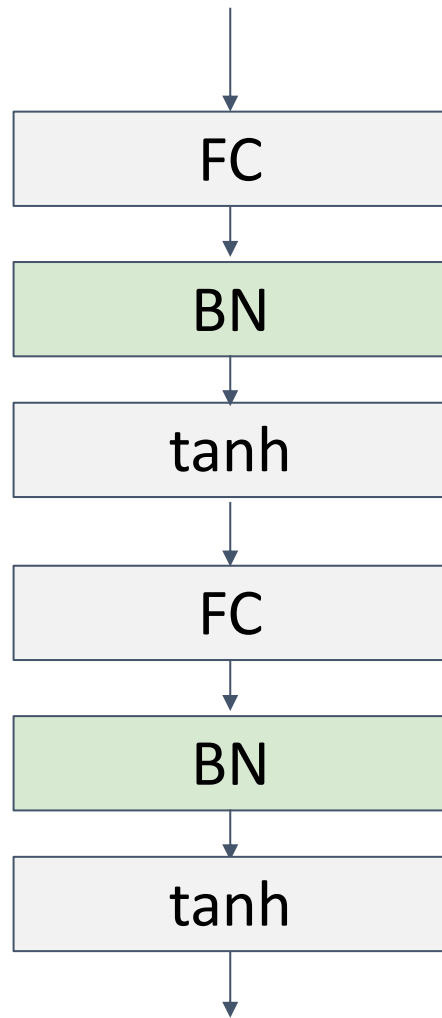


$$\mu, \sigma : 1 \times C \times 1 \times 1$$

$$\gamma, \beta : 1 \times C \times 1 \times 1$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

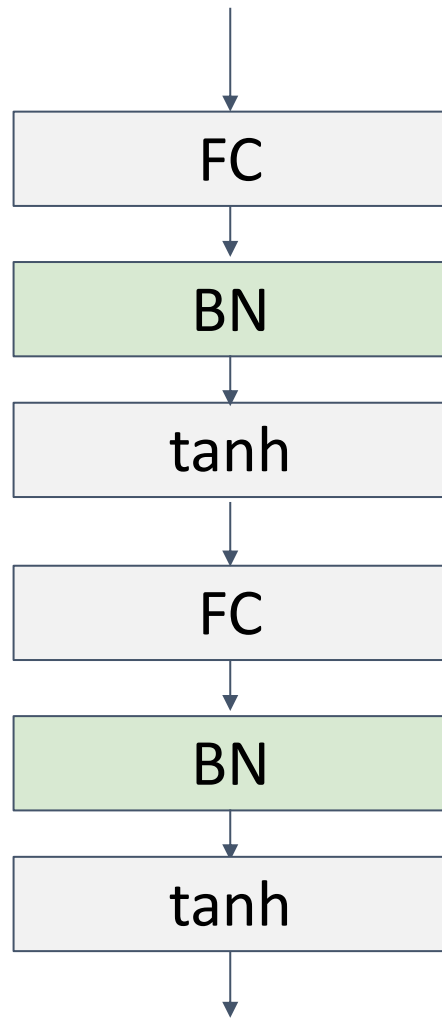
Batch Normalization



Usually inserted after Fully Connected or Convolutional layers, and before nonlinearity.

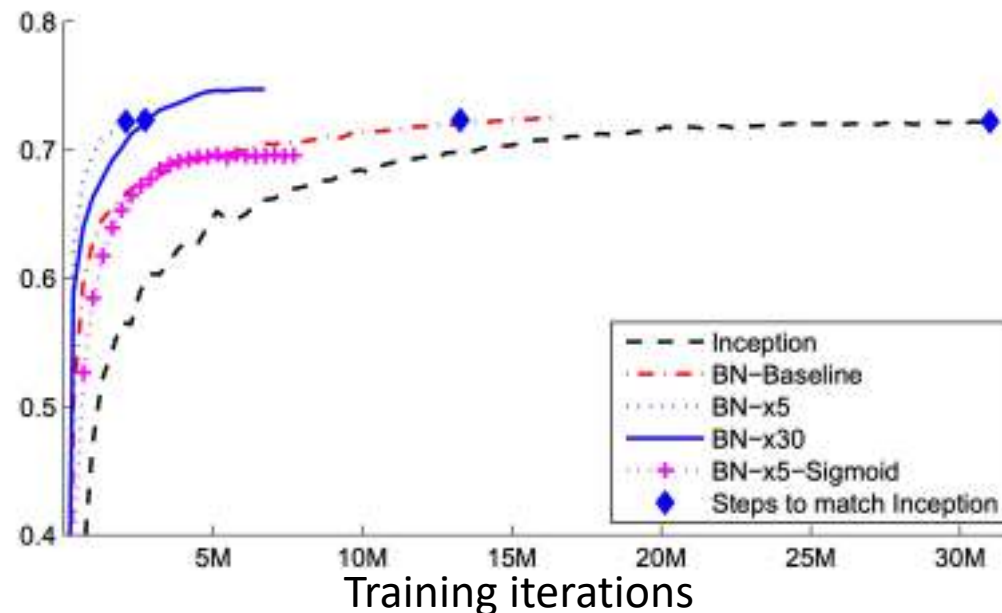
$$\hat{x} = \frac{x - E[x]}{\sqrt{Var[x]}}$$

Batch Normalization



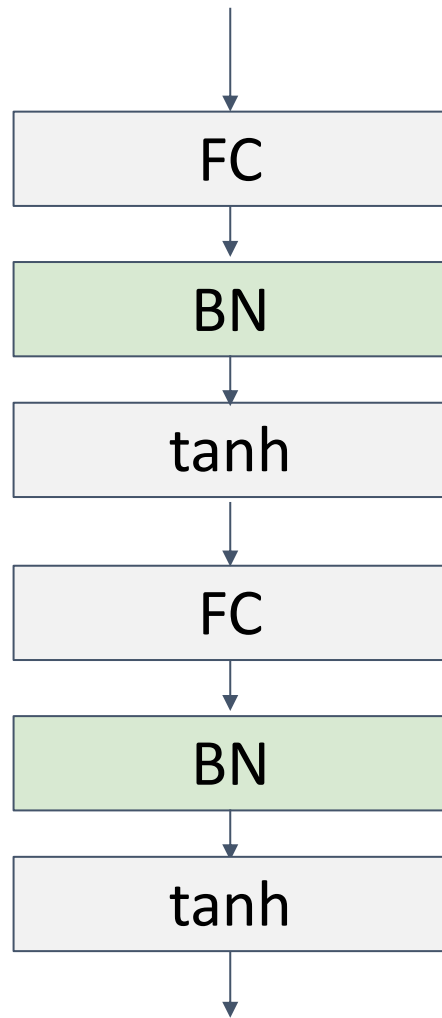
- Makes deep networks **much** easier to train!
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Zero overhead at test-time: can be fused with conv!

ImageNet
accuracy



Ioffe and Szegedy, "Batch normalization: Accelerating deep network training by reducing internal covariate shift", ICML 2015

Batch Normalization



- Makes deep networks **much** easier to train!
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Zero overhead at test-time: can be fused with conv!
- Not well-understood theoretically (yet)
- Behaves differently during training and testing: this is a very common source of bugs!

Layer Normalization

Batch Normalization for
fully-connected networks

$$x : N \times D$$

Normalize

$$\mu, \sigma : 1 \times D$$

$$\gamma, \beta : 1 \times D$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

Layer Normalization for fully-
connected networks
Same behavior at train and test!
Used in RNNs, Transformers

$$x : N \times D$$

Normalize

$$\mu, \sigma : N \times 1$$

$$\gamma, \beta : 1 \times D$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

Instance Normalization

Batch Normalization for
convolutional networks

$$x : N \times C \times H \times W$$

Normalize

$$\mu, \sigma : 1 \times C \times 1 \times 1$$

$$\gamma, \beta : 1 \times C \times 1 \times 1$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

Instance Normalization for
convolutional networks

$$x : N \times C \times H \times W$$

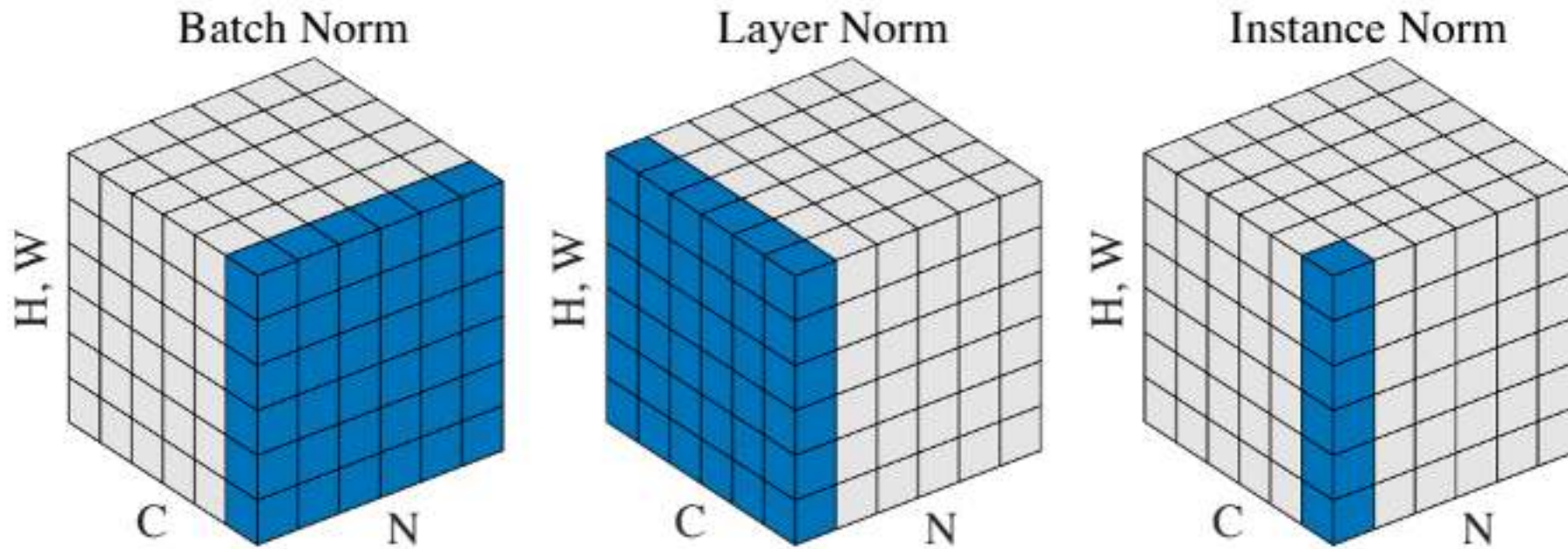
Normalize

$$\mu, \sigma : N \times C \times 1 \times 1$$

$$\gamma, \beta : 1 \times C \times 1 \times 1$$

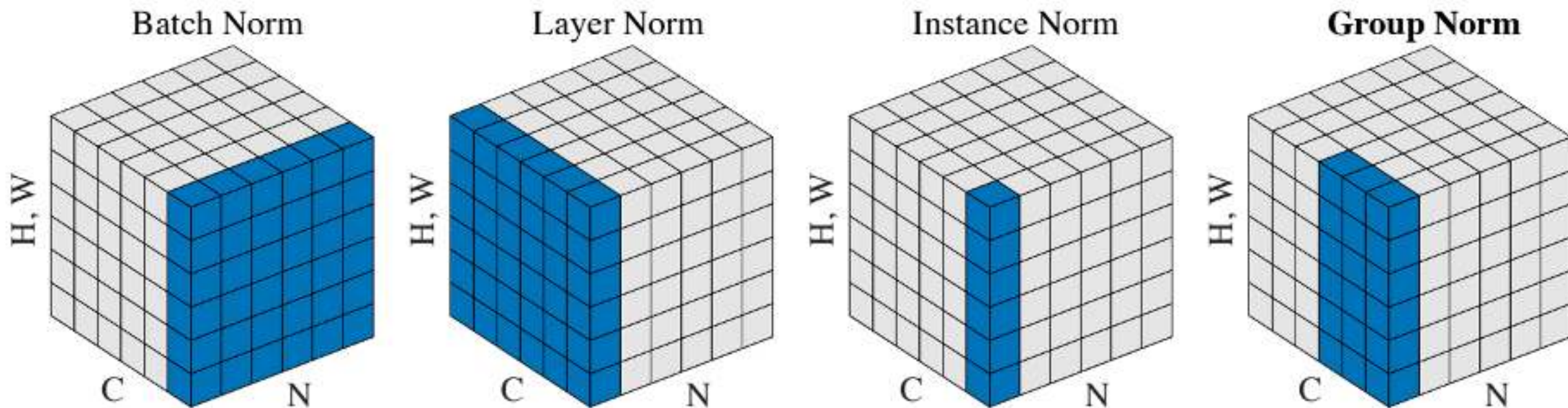
$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

Comparison of Normalization Layers



Wu and He, "Group Normalization", ECCV 2018

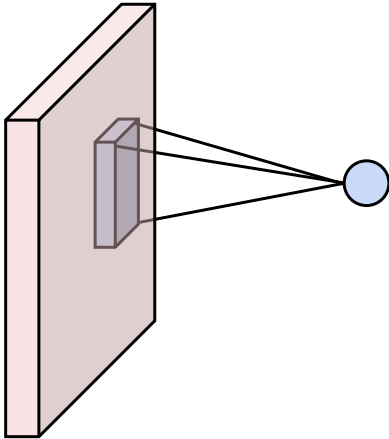
Group Normalization



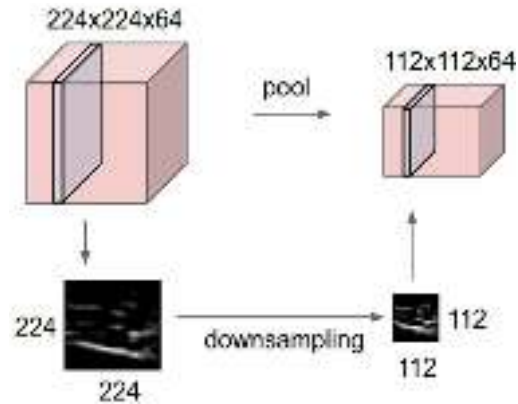
Wu and He, "Group Normalization", ECCV 2018

Components of Convolutional Networks

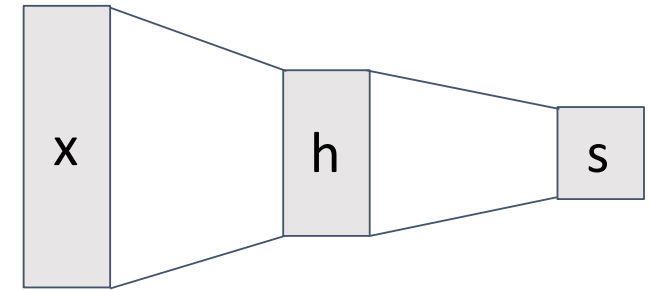
Convolution Layers



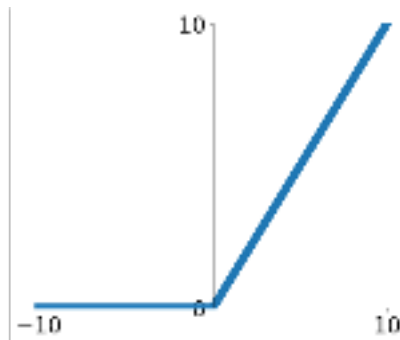
Pooling Layers



Fully-Connected Layers



Activation Function

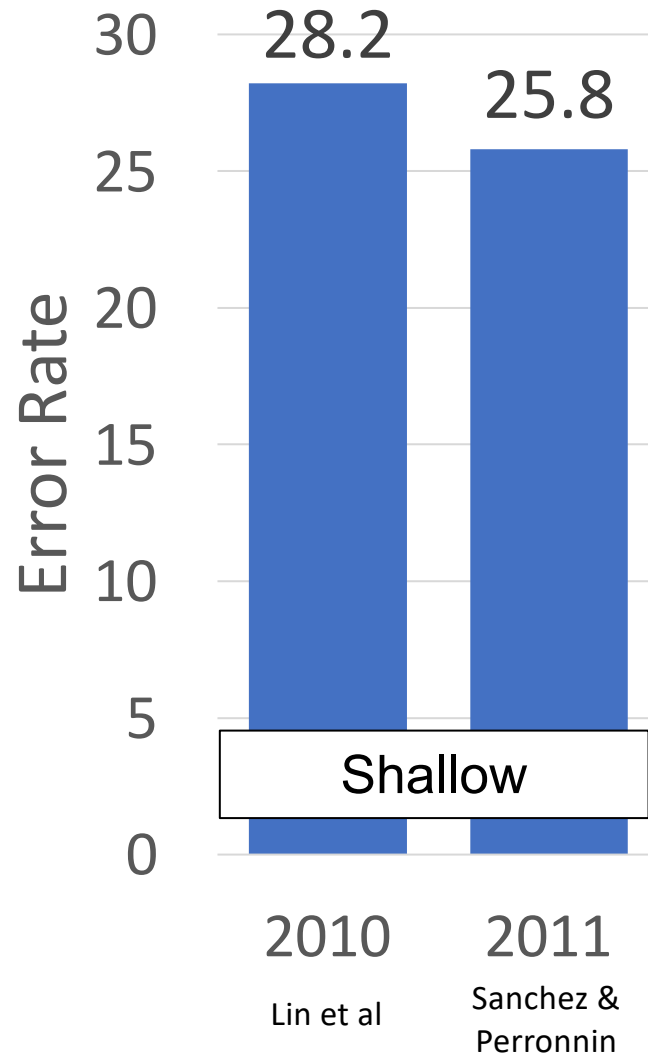


Normalization

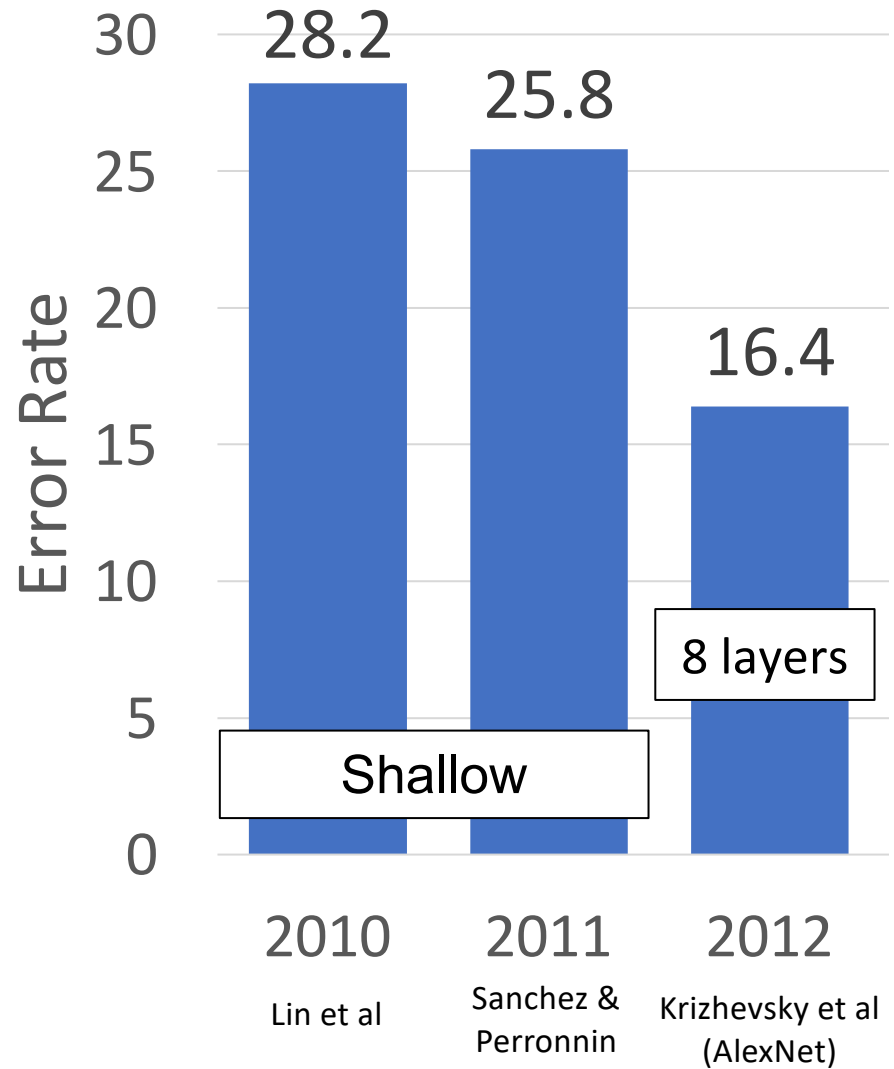
$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Question: How should we put them together?

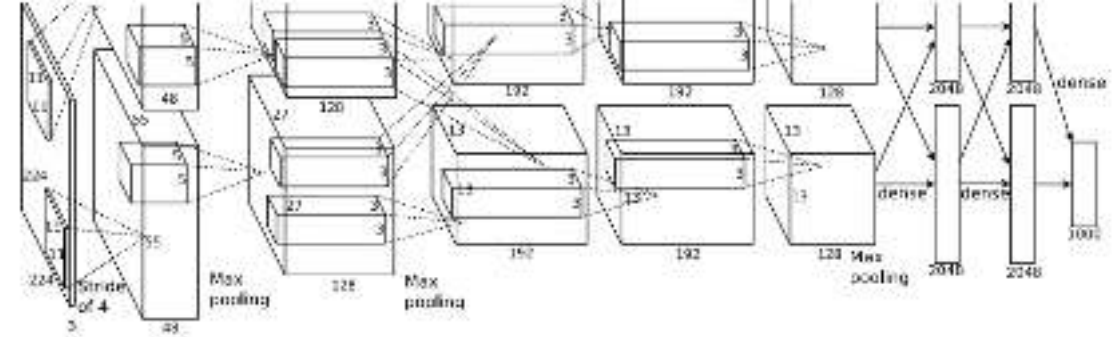
ImageNet Classification Challenge



ImageNet Classification Challenge



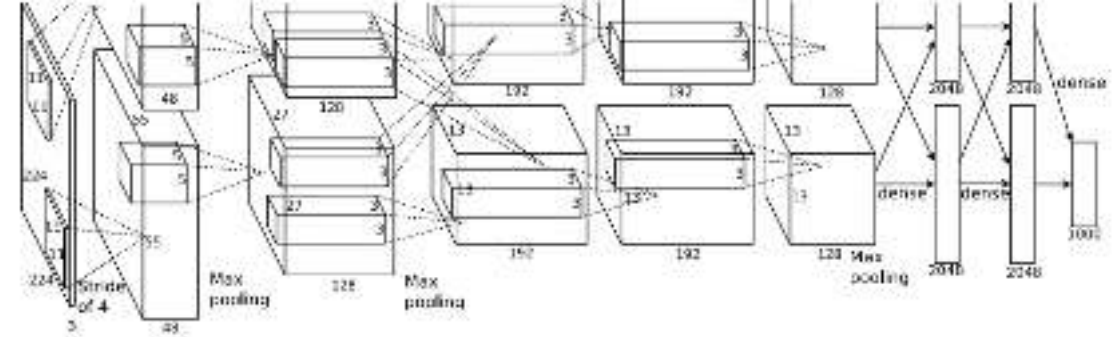
AlexNet



227 x 227 inputs
5 Convolutional layers
Max pooling
3 fully-connected layers
ReLU nonlinearities

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



227 x 227 inputs
5 Convolutional layers
Max pooling
3 fully-connected layers
ReLU nonlinearities

Used “Local response normalization”;
Not used anymore

Trained on two GTX 580 GPUs – only
3GB of memory each! Model split
over two GPUs

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet

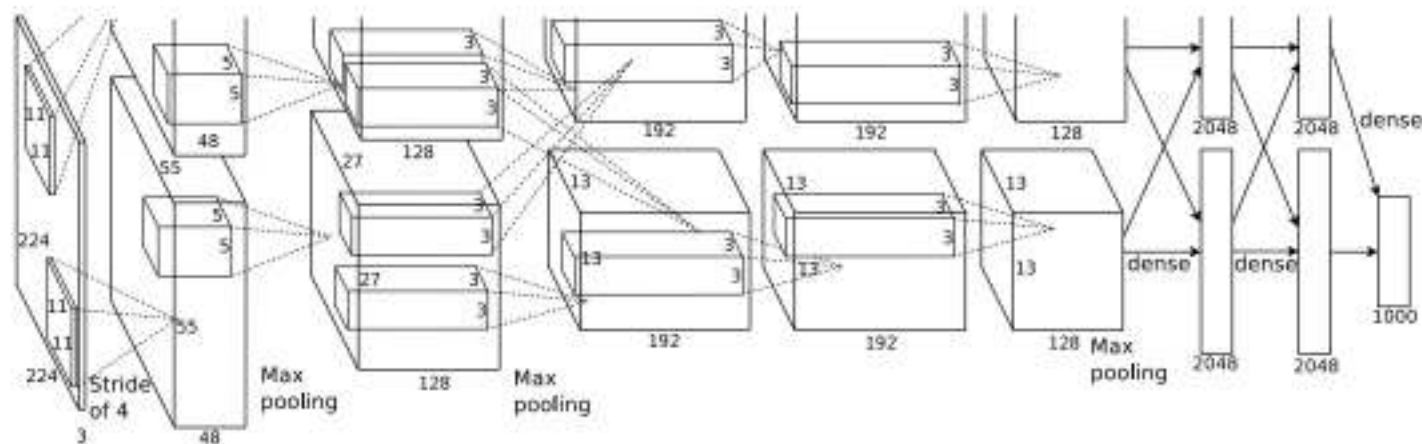
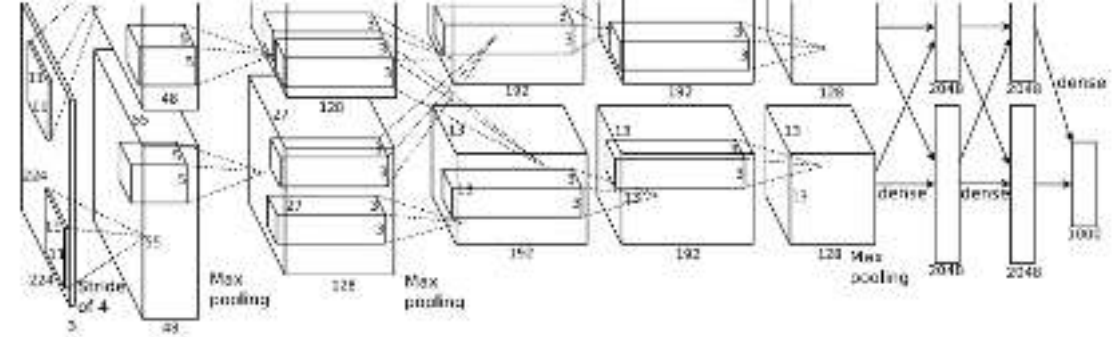


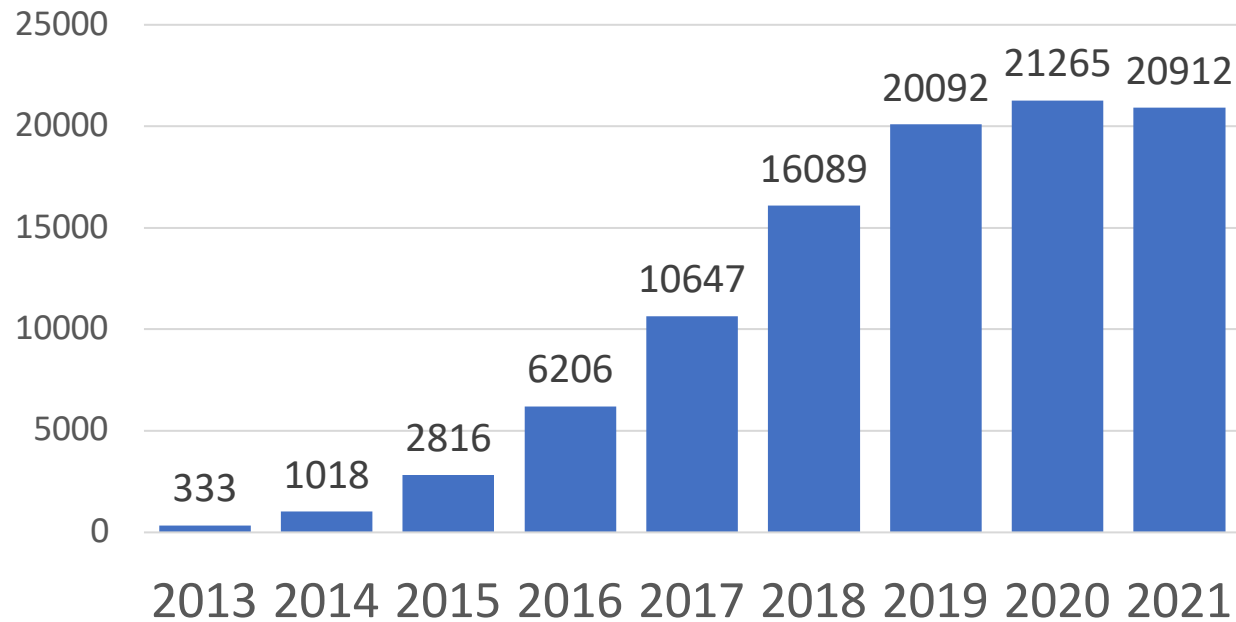
Figure 2: An illustration of the architecture of our CNN, explicitly showing the delineation of responsibilities between the two GPUs. One GPU runs the layer-parts at the top of the figure while the other runs the layer-parts at the bottom. The GPUs communicate only at certain layers. The network's input is 150,528-dimensional, and the number of neurons in the network's remaining layers is given by 253,440–186,624–64,896–64,896–43,264–4096–4096–1000.

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



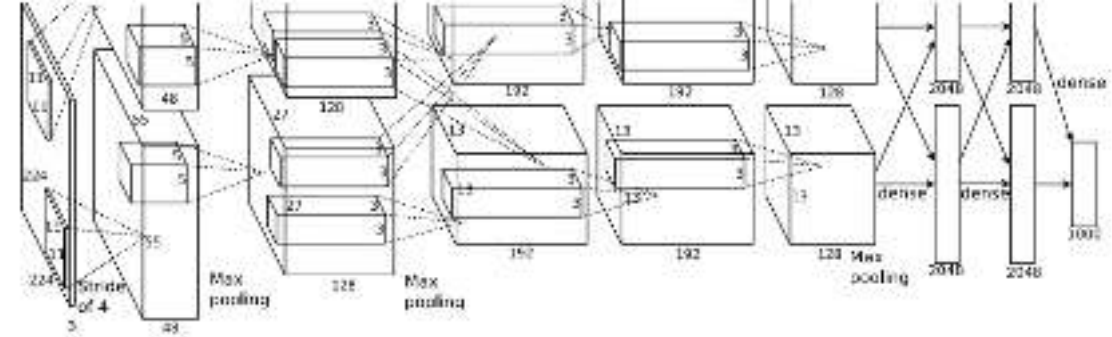
AlexNet Citations per year
(as of 2/2/2022)



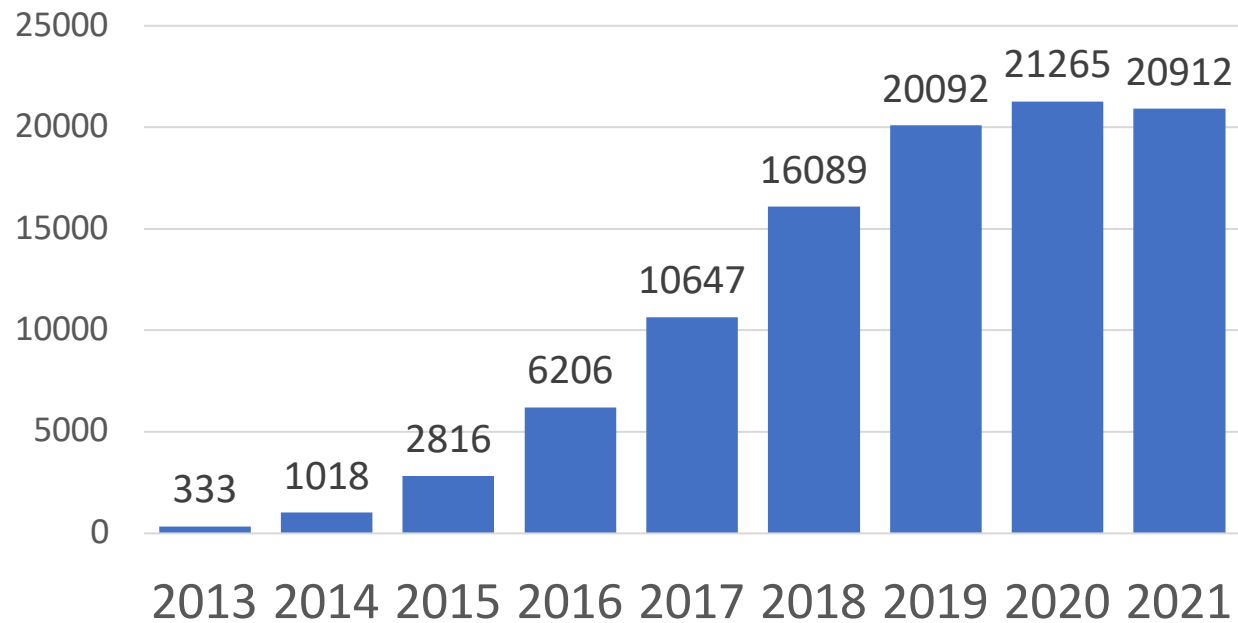
Total Citations: **102,486**

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



AlexNet Citations per year
(as of 2/2/2022)



Total Citations: **102,486**

Citation Counts

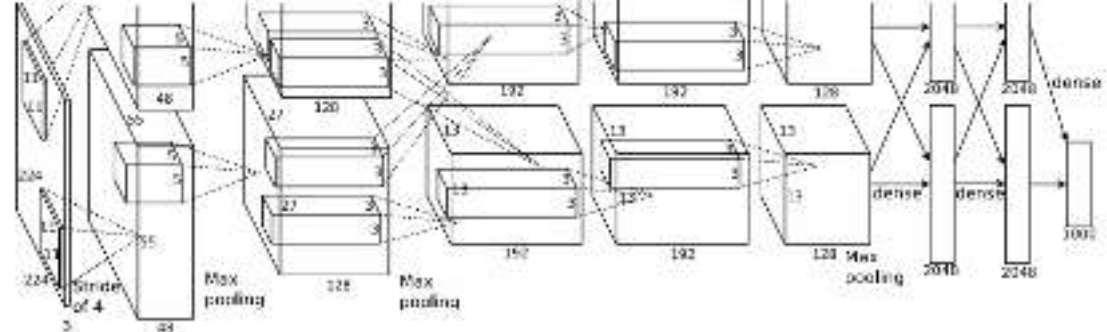
Darwin, “On the origin of species”, 1859: **60,117**

Shannon, “A mathematical theory of communication”, 1948: **140,459**

Watson and Crick, “Molecular Structure of Nucleic Acids”, 1953: **16,298**

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

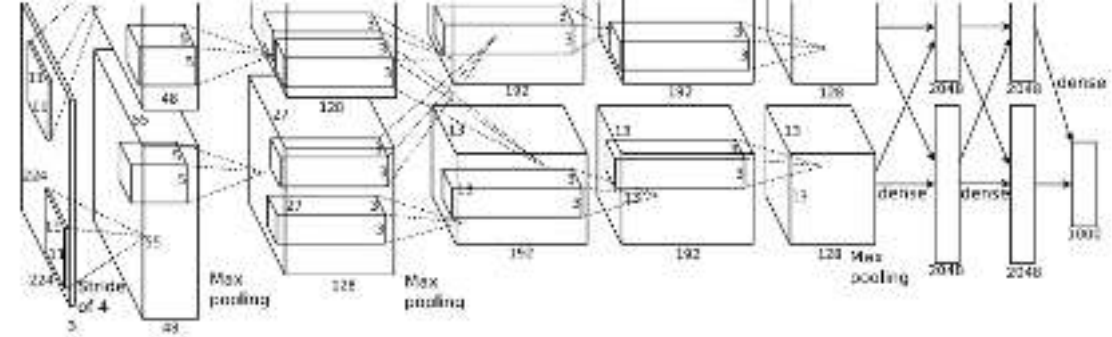
AlexNet



	Input size		Layer				Output size	
Layer	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	?	

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet

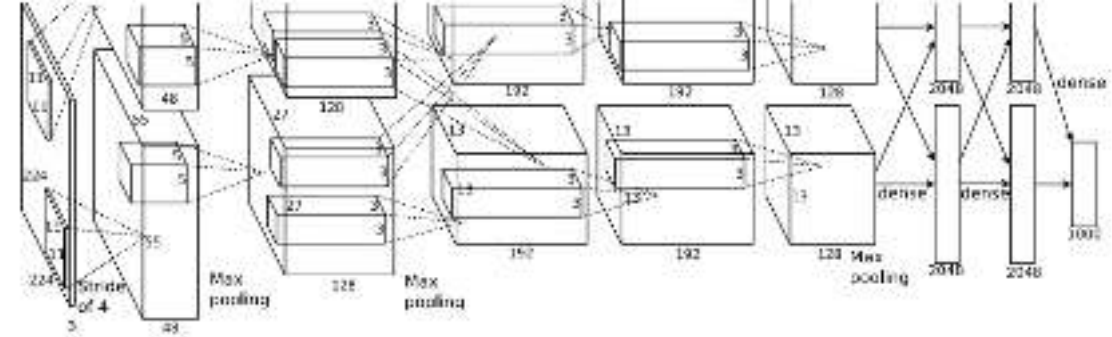


	Input size		Layer				Output size	
Layer	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	64	?

Recall: Output channels = number of filters

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet

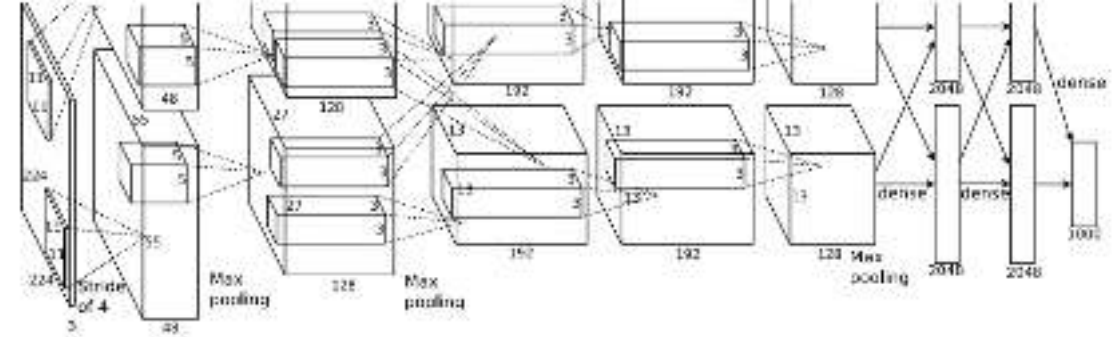


	Input size		Layer				Output size	
Layer	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	64	56

$$\begin{aligned}
 \text{Recall: } W' &= (W - K + 2P) / S + 1 \\
 &= 227 - 11 + 2 \cdot 2) / 4 + 1 \\
 &= 220 / 4 + 1 = 56
 \end{aligned}$$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

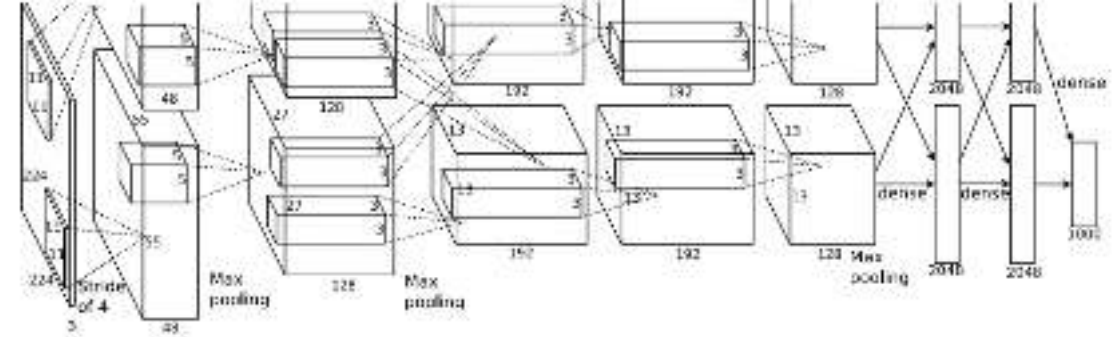
AlexNet



	Input size		Layer				Output size		
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)
conv1	3	227	64	11	4	2	64	56	?

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



Layer	Input size		Layer				Output size		memory (KB)
	C	H / W	filters	kernel	stride	pad	C	H / W	
conv1	3	227	64	11	4	2	64	56	784

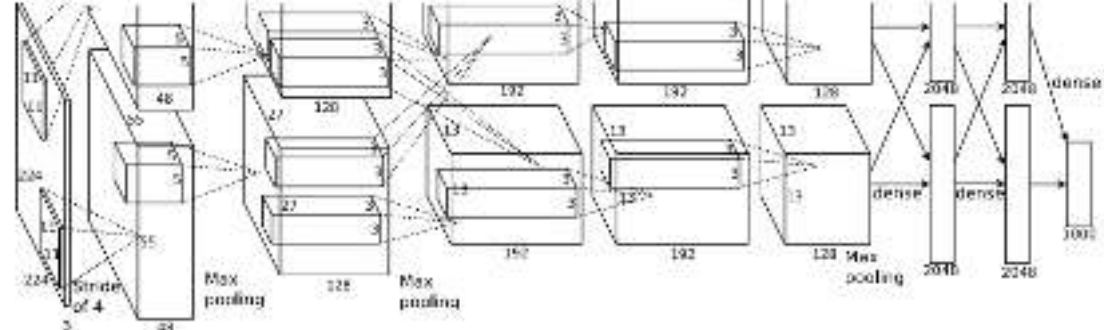
$$\begin{aligned}\text{Number of output elements} &= C * H' * W' \\ &= 64 * 56 * 56 = 200,704\end{aligned}$$

$$\text{Bytes per element} = 4 \text{ (for 32-bit floating point)}$$

$$\begin{aligned}\text{KB} &= (\text{number of elements}) * (\text{bytes per elem}) / 1024 \\ &= 200704 * 4 / 1024 \\ &= \mathbf{784}\end{aligned}$$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

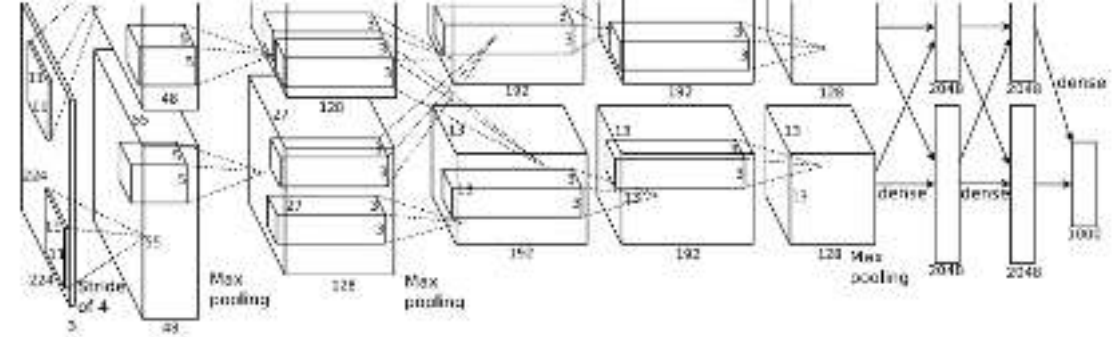
AlexNet



	Input size		Layer				Output size			
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)
conv1	3	227	64	11	4	2	64	56	784	?

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



	Input size		Layer				Output size			
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)
conv1	3	227	64	11	4	2	64	56	784	23

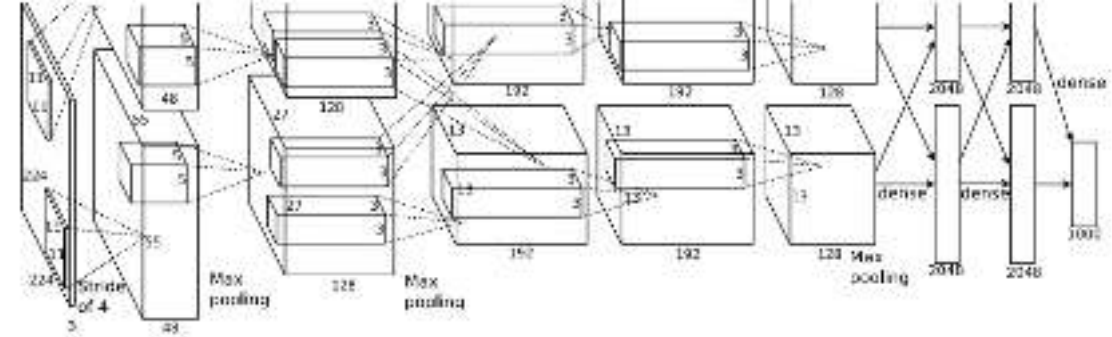
$$\begin{aligned}\text{Weight shape} &= C_{\text{out}} \times C_{\text{in}} \times K \times K \\ &= 64 \times 3 \times 11 \times 11\end{aligned}$$

$$\text{Bias shape} = C_{\text{out}} = 64$$

$$\begin{aligned}\text{Number of weights} &= 64 \times 3 \times 11 \times 11 + 64 \\ &= \mathbf{23,296}\end{aligned}$$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

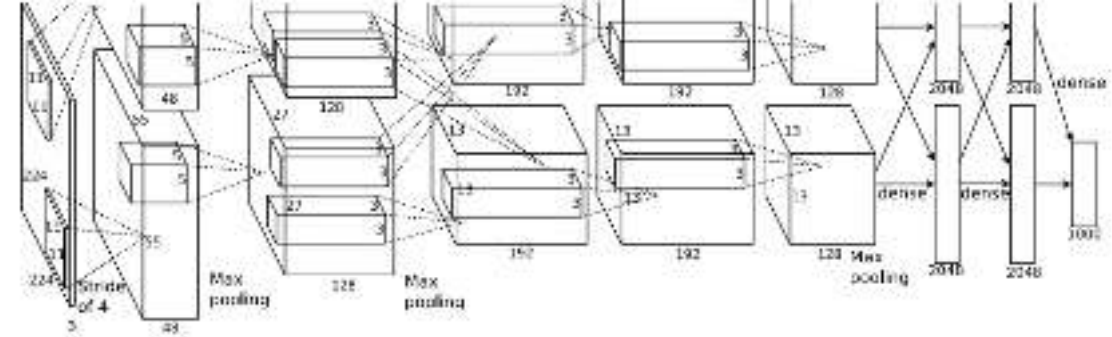
AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	?

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet

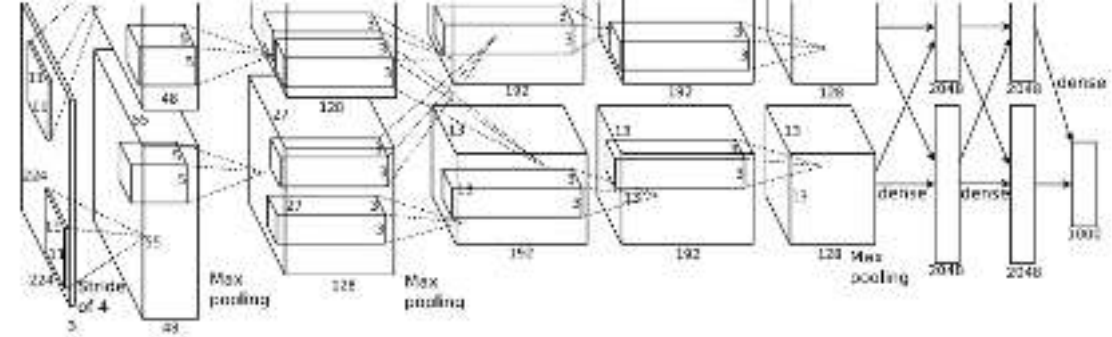


	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73

Number of floating point operations (multiply+add)
 = (number of output elements) * (ops per output elem)
 = $(C_{out} \times H' \times W') * (C_{in} \times K \times K)$
 = $(64 * 56 * 56) * (3 * 11 * 11)$
 = $200,704 * 363$
 = **72,855,552**

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

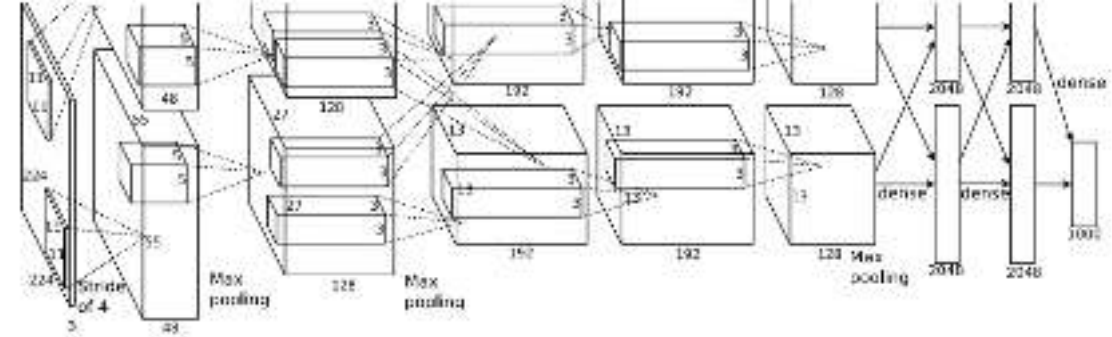
AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	?				

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27			

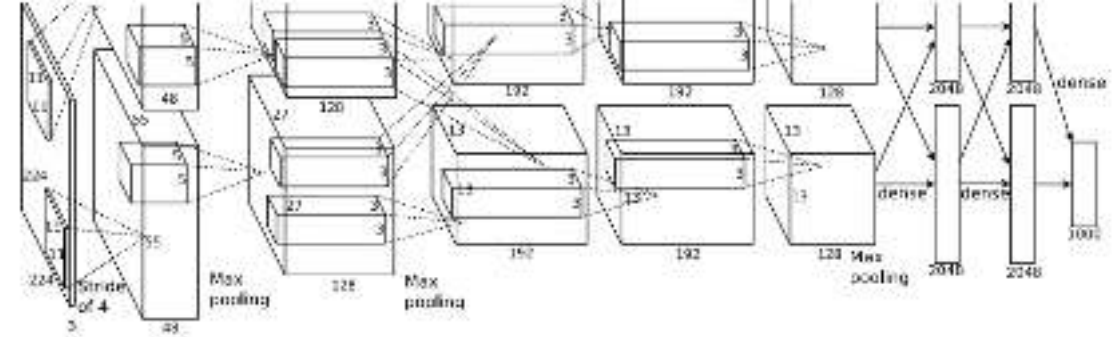
For pooling layer:

#output channels = #input channels = 64

$$\begin{aligned}
 W' &= \text{floor}((W - K) / S + 1) \\
 &= \text{floor}(53 / 2 + 1) = \text{floor}(27.5) = \mathbf{27}
 \end{aligned}$$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



Layer	Input size		Layer				Output size				
	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	?	

#output elems = $C_{out} \times H' \times W'$

Bytes per elem = 4

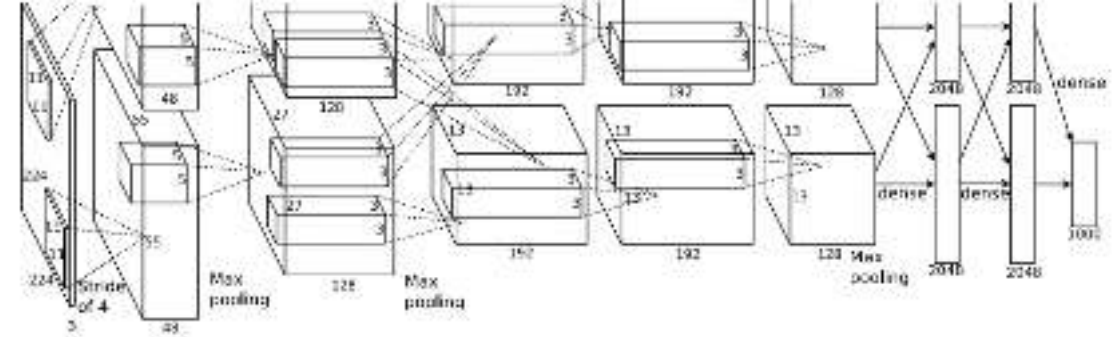
KB = $C_{out} * H' * W' * 4 / 1024$

= $64 * 27 * 27 * 4 / 1024$

= **182.25**

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet

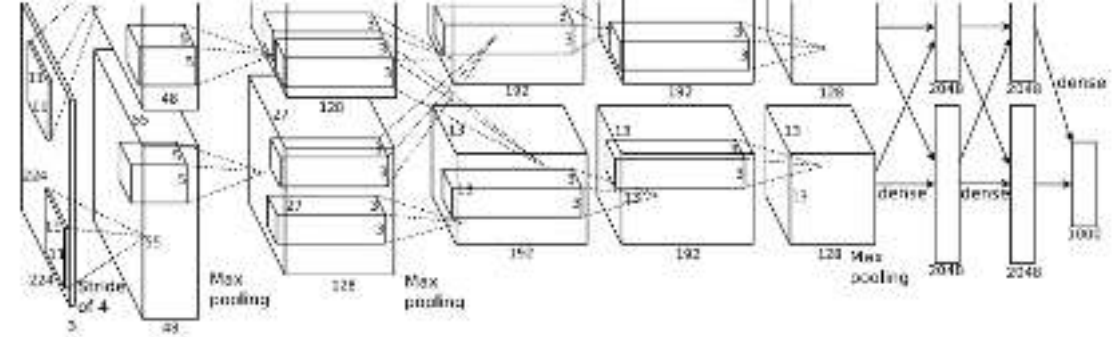


	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	?

Pooling layers have no learnable parameters!

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0

Floating-point ops for pooling layer

= (number of output positions) * (flops per output position)

= $(C_{\text{out}} * H' * W') * (K * K)$

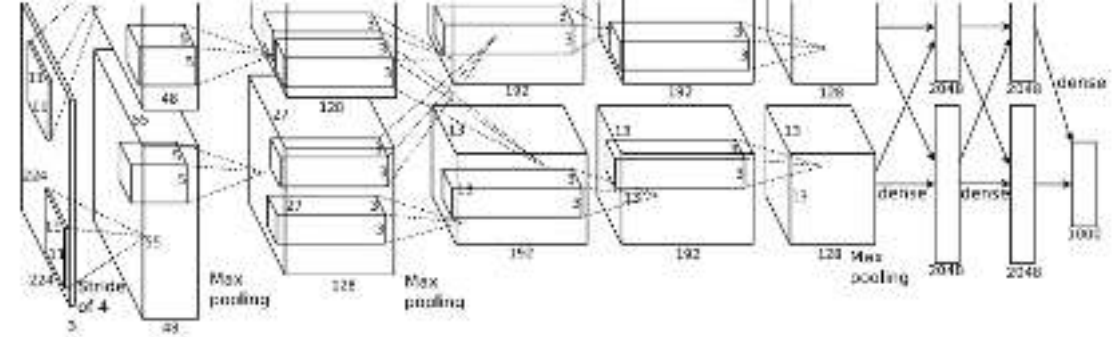
= $(64 * 27 * 27) * (3 * 3)$

= 419,904

= **0.4 MFLOP**

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet

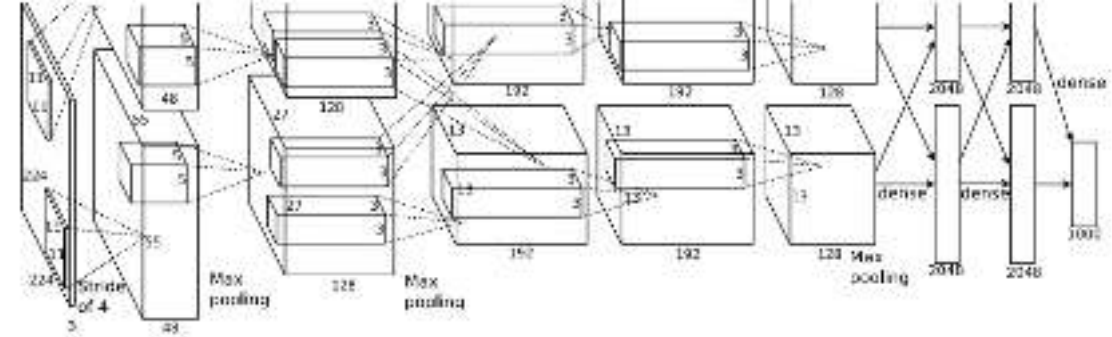


	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0

$$\begin{aligned}
 \text{Flatten output size} &= C_{\text{in}} \times H \times W \\
 &= 256 * 6 * 6 \\
 &= \mathbf{9216}
 \end{aligned}$$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

AlexNet

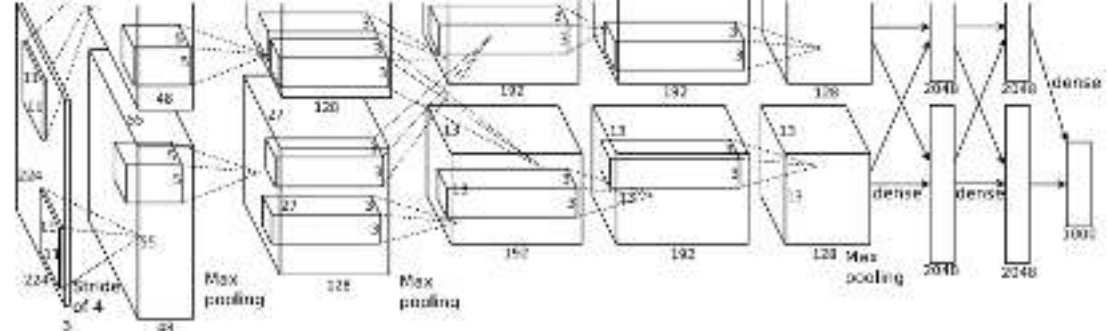


	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,726	38

$$\begin{aligned}
 \text{FC params} &= C_{\text{in}} * C_{\text{out}} + C_{\text{out}} \\
 &= 9216 * 4096 + 4096 \\
 &= 37,725,832
 \end{aligned}$$

$$\begin{aligned}
 \text{FC flops} &= C_{\text{in}} * C_{\text{out}} \\
 &= 9216 * 4096 \\
 &= 37,748,736
 \end{aligned}$$

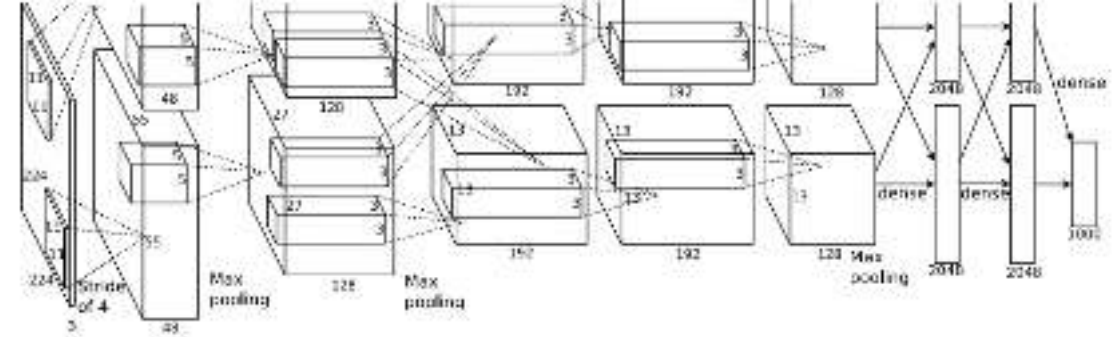
AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38
fc7	4096		4096				4096		16	16,777	17
fc8	4096		1000				1000		4	4,096	4

AlexNet

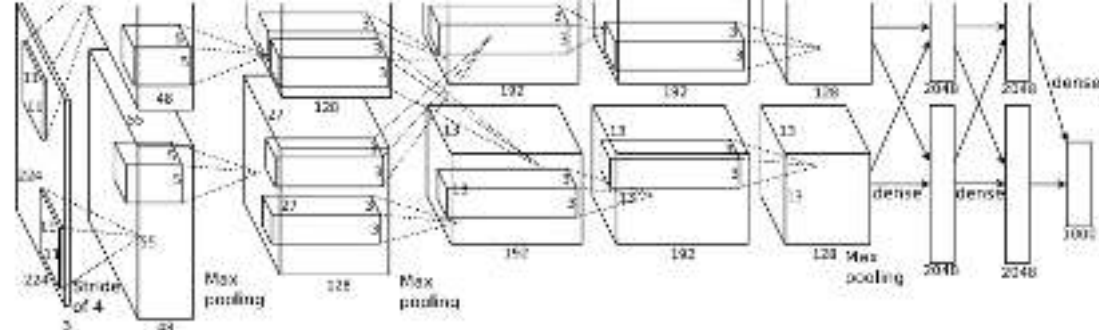
How to choose this?
Trial and error =(



Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38
fc7	4096		4096				4096		16	16,777	17
fc8	4096		1000				1000		4	4,096	4

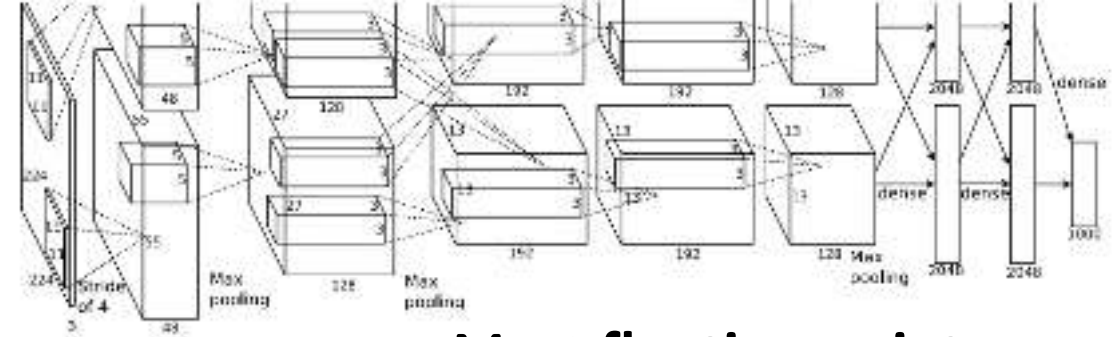
AlexNet

Interesting trends here!



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38
fc7	4096		4096				4096		16	16,777	17
fc8	4096		1000				1000		4	4,096	4

AlexNet

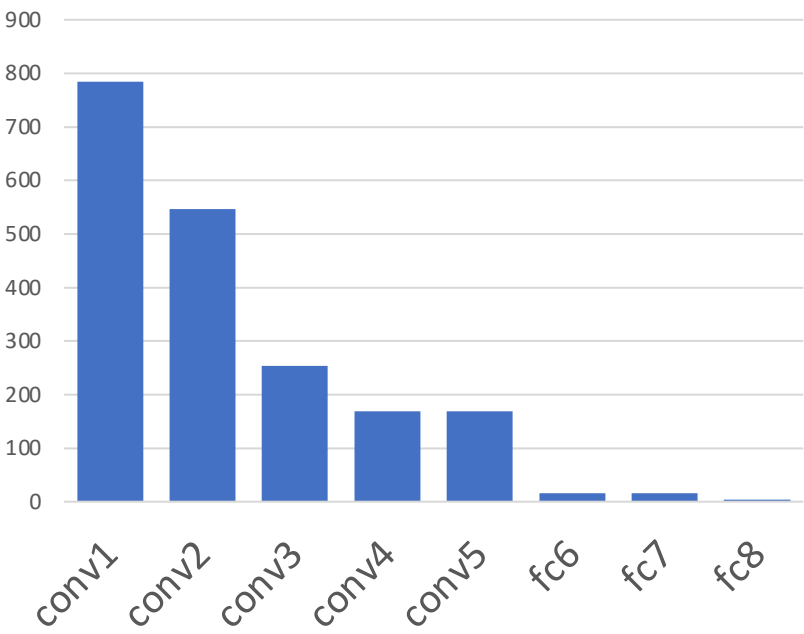


Most of the **memory usage** is in the early convolution layers

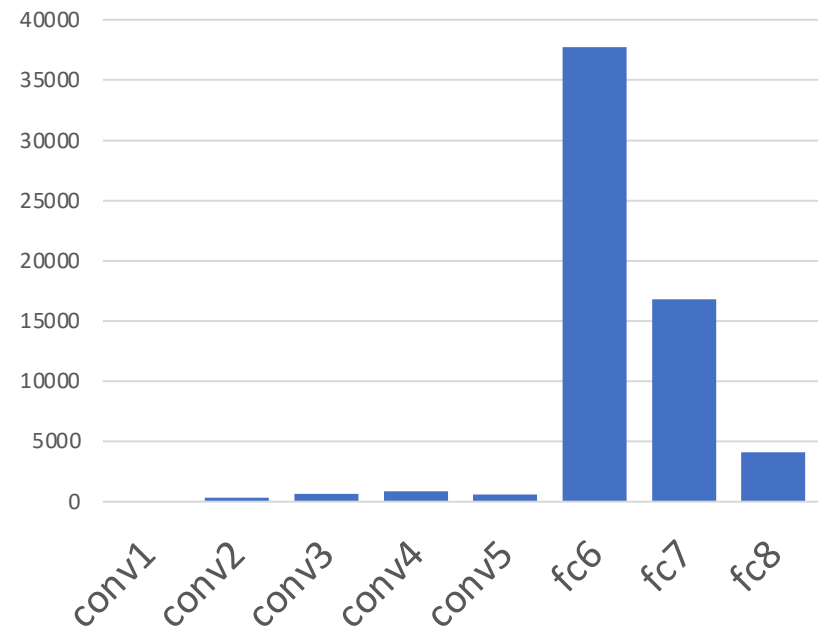
Nearly all **parameters** are in the fully-connected layers

Most **floating-point ops** occur in the convolution layers

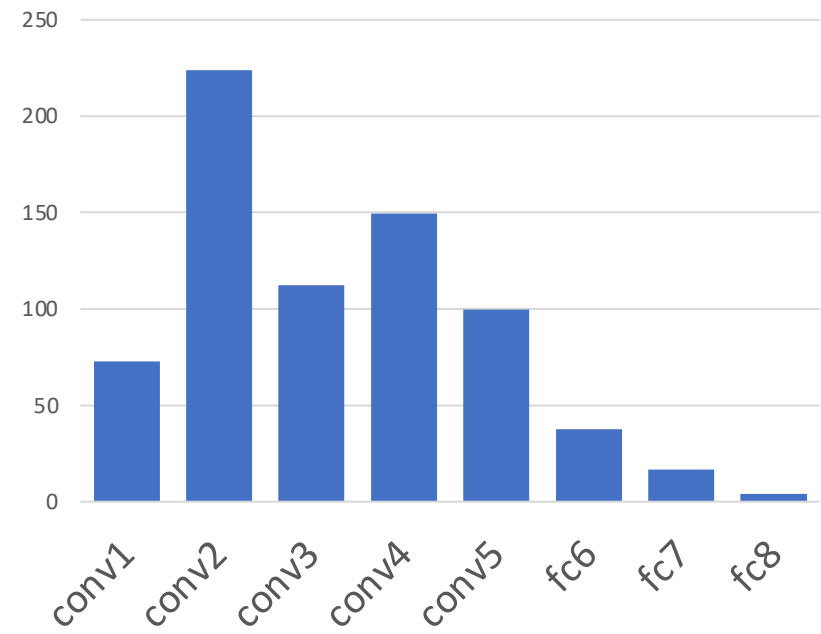
Memory (KB)



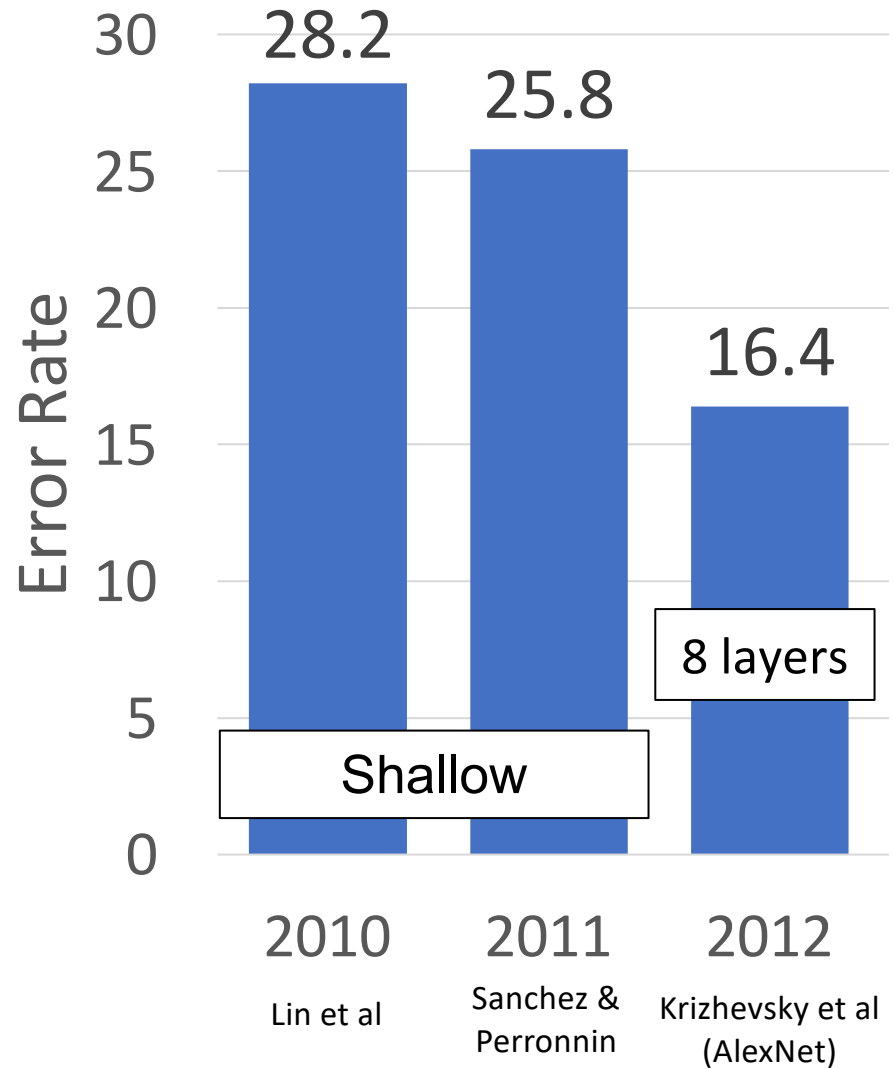
Params (K)



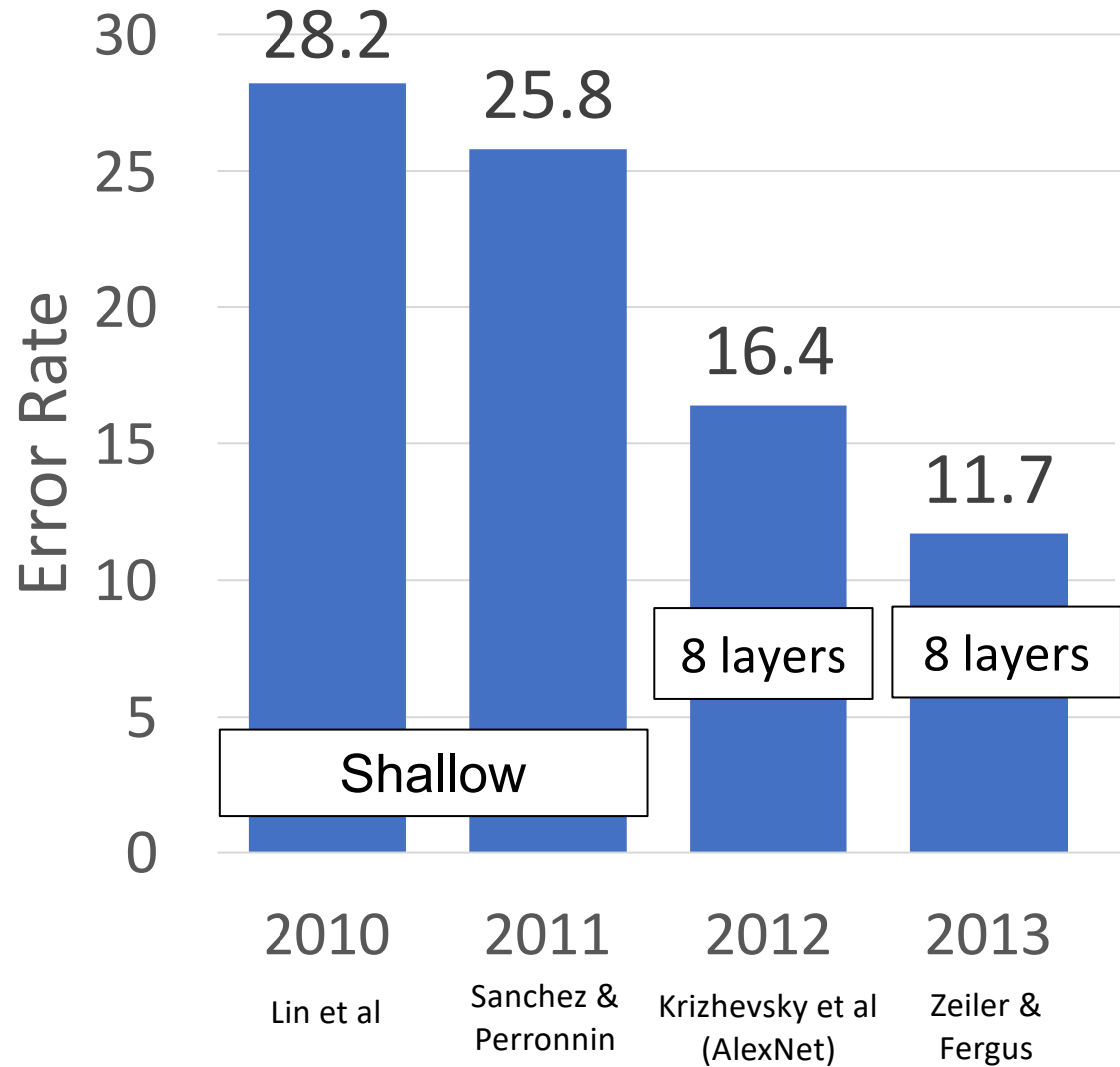
MFLOP



ImageNet Classification Challenge

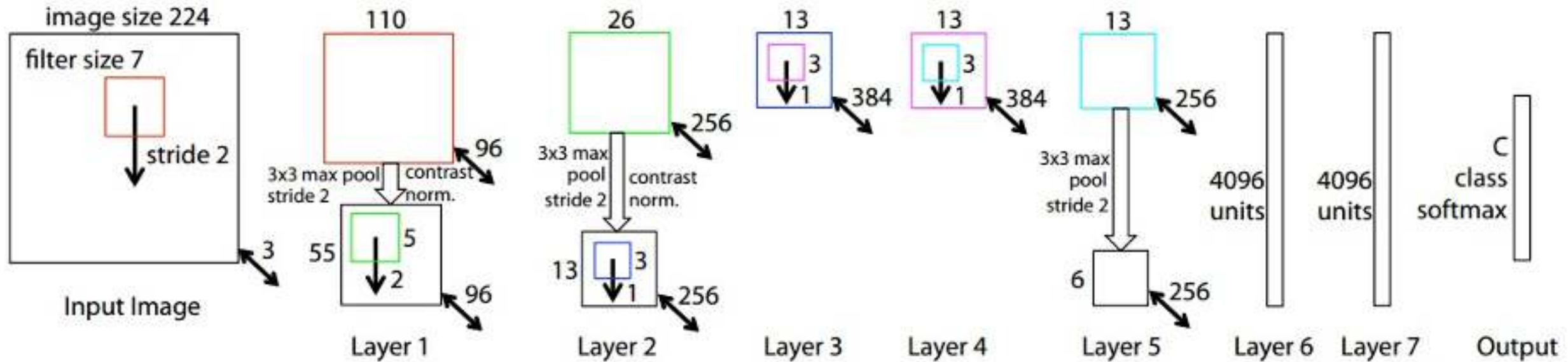


ImageNet Classification Challenge



ZFNet: A Bigger AlexNet

ImageNet top 5 error: 16.4% -> 11.7%



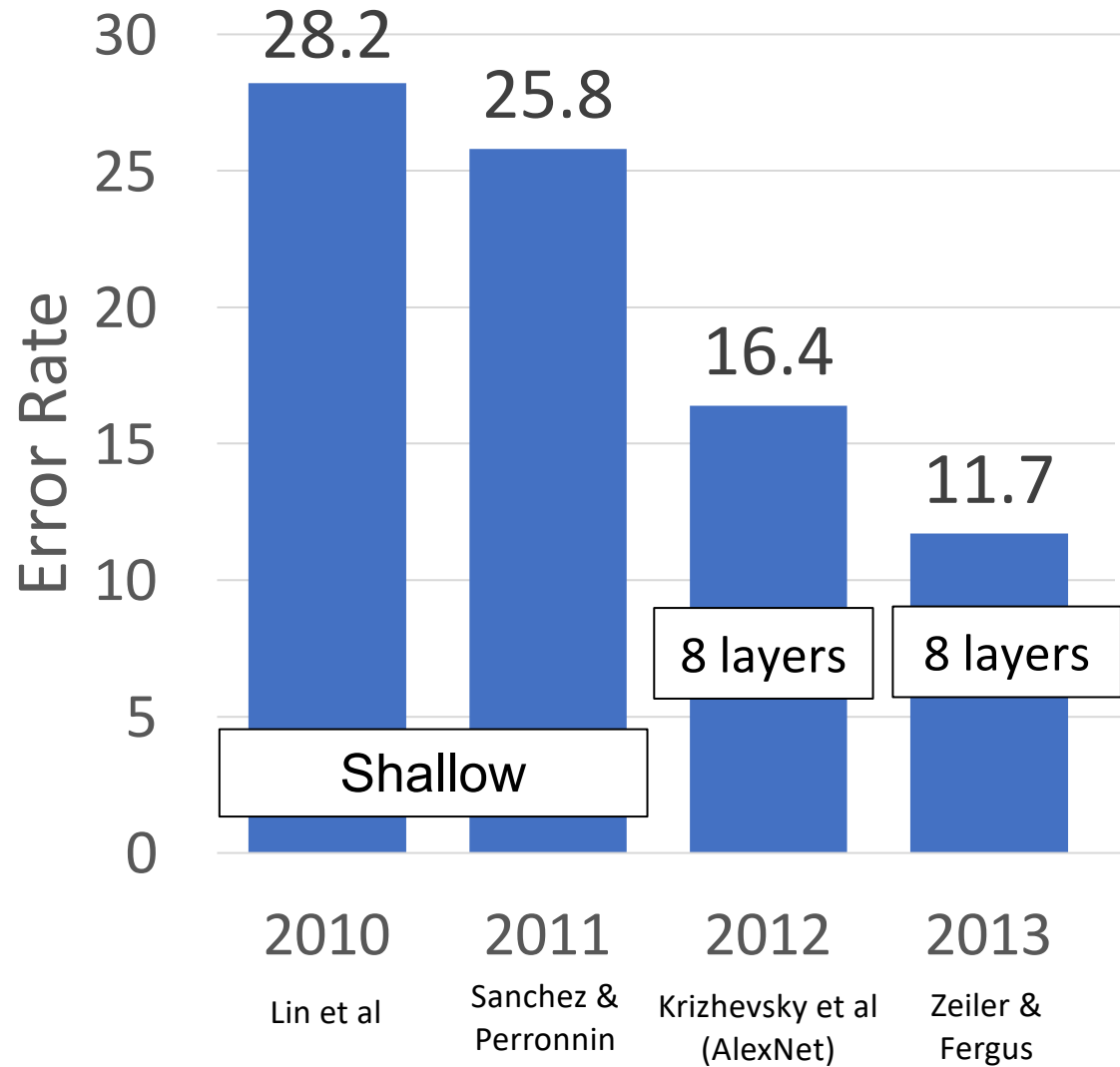
AlexNet but:

CONV1: change from (11x11 stride 4) to (7x7 stride 2)

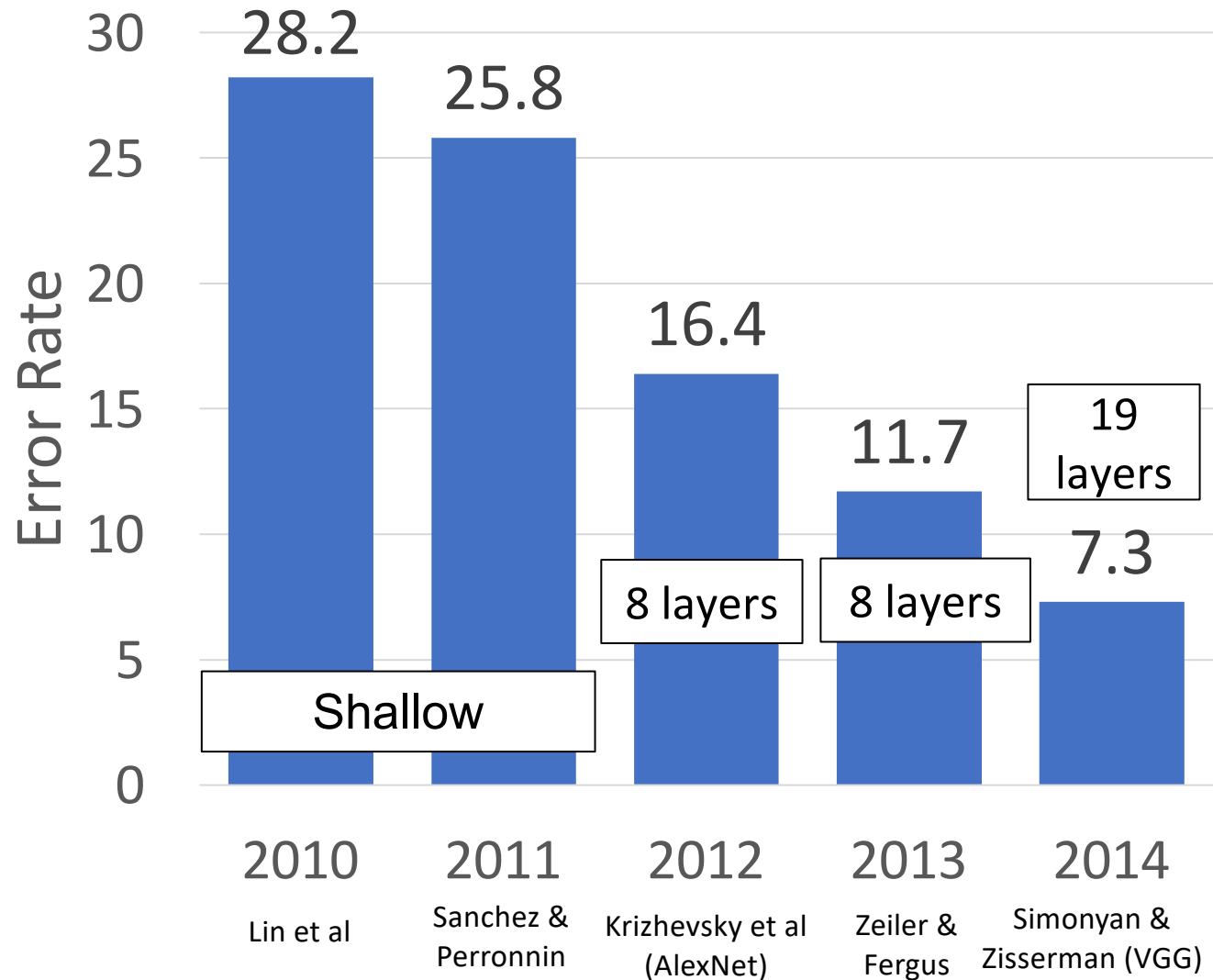
CONV3,4,5: instead of 384, 384, 256 filters use 512, 1024, 512

More trial and error =(

ImageNet Classification Challenge



ImageNet Classification Challenge



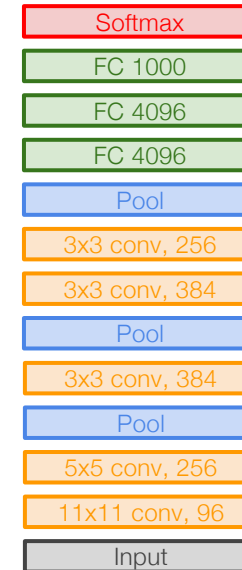
VGG: Deeper Networks, Regular Design

VGG Design rules:

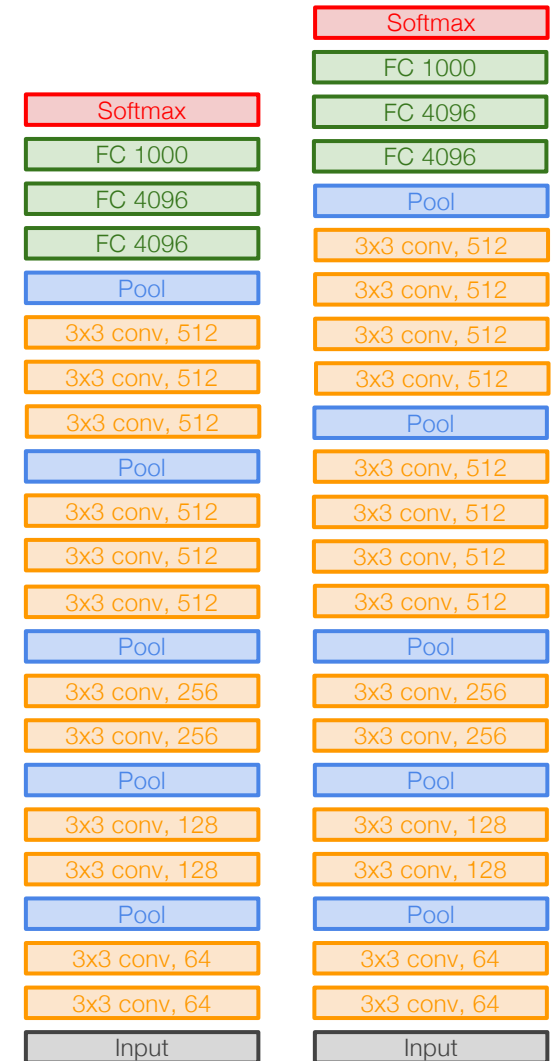
All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels



AlexNet



VGG16

VGG19

Simonyan and Zissermann, "Very Deep Convolutional Networks for Large-Scale Image Recognition", ICLR 2015

VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Network has 5 convolutional **stages**:

Stage 1: conv-conv-pool

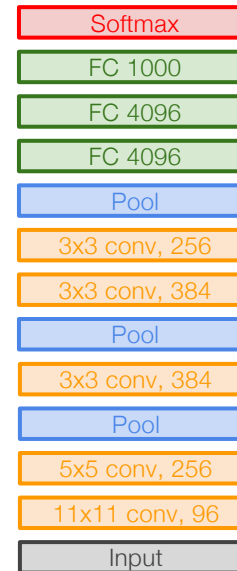
Stage 2: conv-conv-pool

Stage 3: conv-conv-pool

Stage 4: conv-conv-conv-[conv]-pool

Stage 5: conv-conv-conv-[conv]-pool

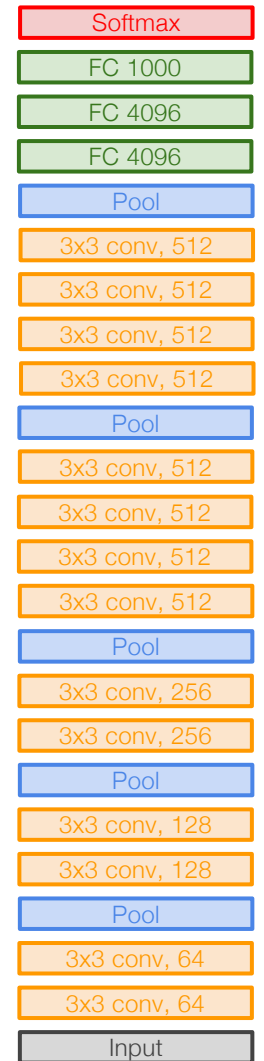
(VGG-19 has 4 conv in stages 4 and 5)



AlexNet



VGG16



VGG19

VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

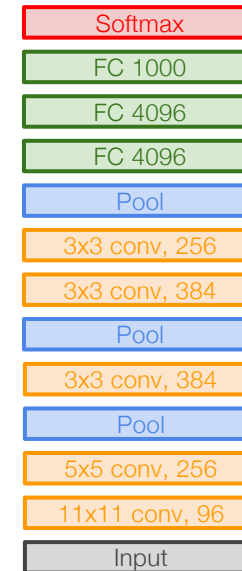
After pool, double #channels

Option 1:

Conv(5x5, C -> C)

Params: $25C^2$

FLOPs: $25C^2HW$



AlexNet



VGG16

VGG19

VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Option 1:

Conv(5x5, C → C)

Params: $25C^2$

FLOPs: $25C^2HW$

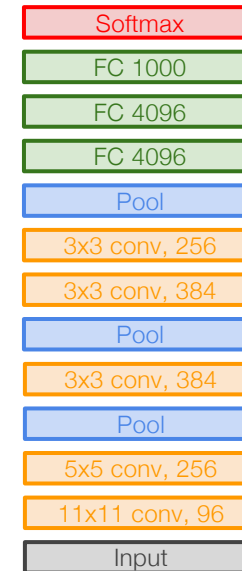
Option 2:

Conv(3x3, C → C)

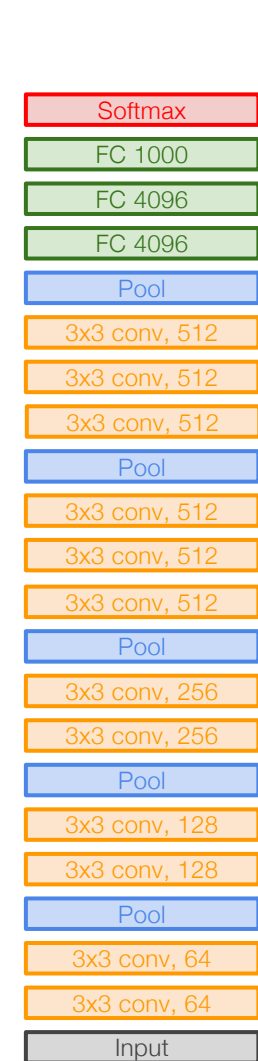
Conv(3x3, C → C)

Params: $18C^2$

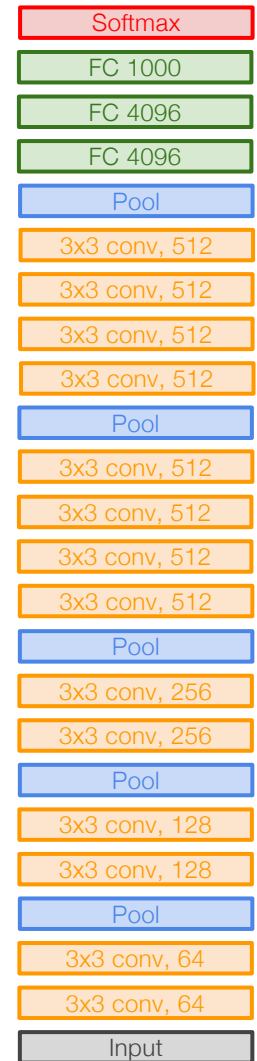
FLOPs: $18C^2HW$



AlexNet



VGG16



VGG19

VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Two 3x3 conv has same receptive field as a single 5x5 conv, but has fewer parameters and takes less computation!

Option 1:

Conv(5x5, C -> C)

Params: $25C^2$

FLOPs: $25C^2HW$

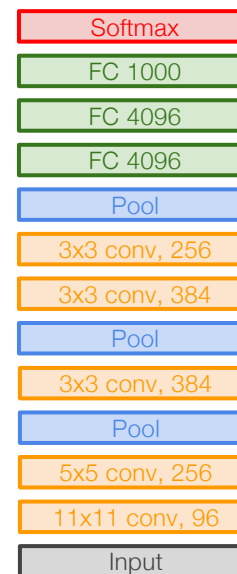
Option 2:

Conv(3x3, C -> C)

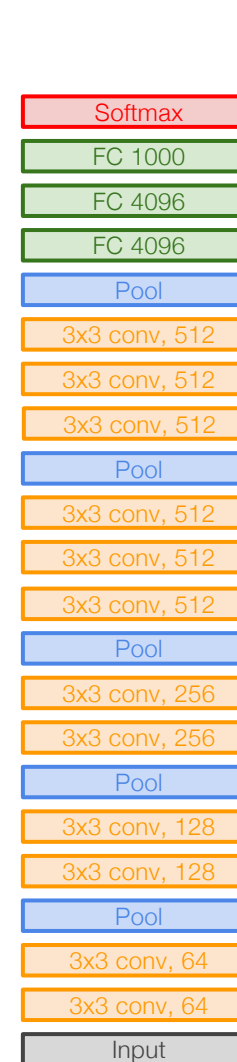
Conv(3x3, C -> C)

Params: $18C^2$

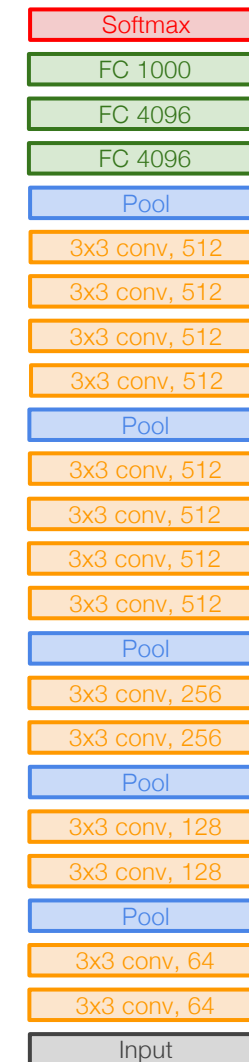
FLOPs: $18C^2HW$



AlexNet



VGG16



VGG19

VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

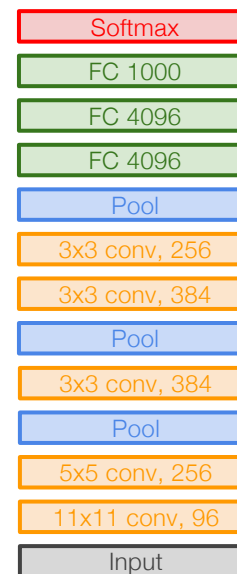
Input: $C \times 2H \times 2W$

Layer: Conv(3x3, $C \rightarrow C$)

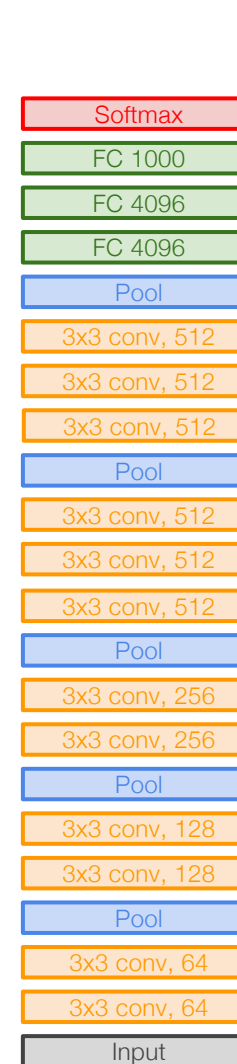
Memory: $4HWC$

Params: $9C^2$

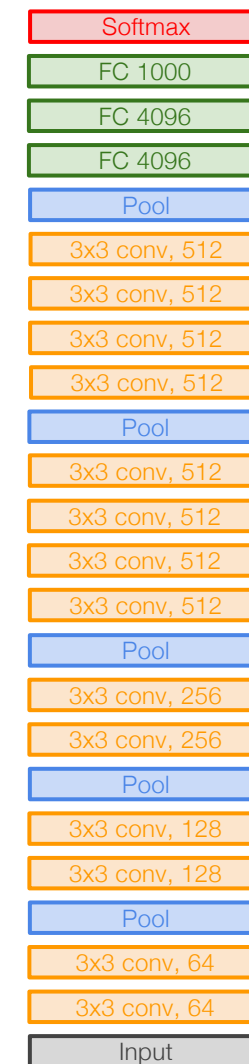
FLOPs: $36HWC^2$



AlexNet



VGG16



VGG19

VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Input: $C \times 2H \times 2W$

Layer: Conv(3x3, $C \rightarrow C$)

Memory: $4HWC$

Params: $9C^2$

FLOPs: $36HWC^2$

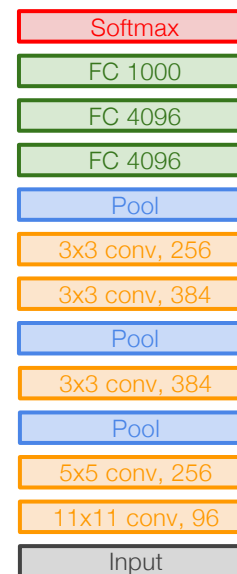
Input: $2C \times H \times W$

Conv(3x3, $2C \rightarrow 2C$)

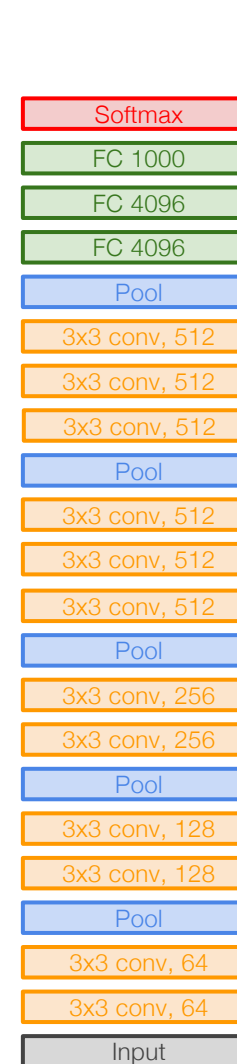
Memory: $2HWC$

Params: $36C^2$

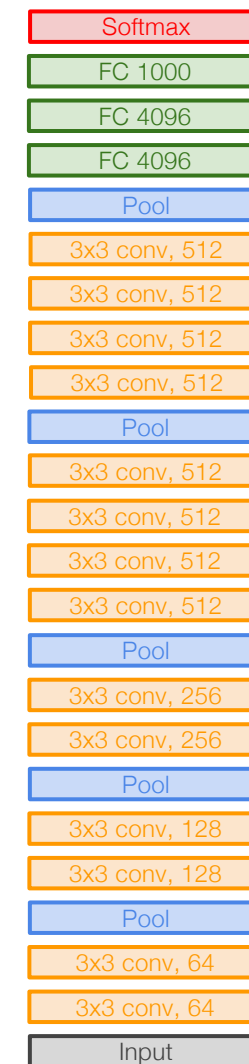
FLOPs: $36HWC^2$



AlexNet



VGG16



VGG19

VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Conv layers at each spatial resolution take the same amount of computation!

Input: $C \times 2H \times 2W$

Layer: Conv(3x3, $C \rightarrow C$)

Memory: $4HWC$

Params: $9C^2$

FLOPs: $36HWC^2$

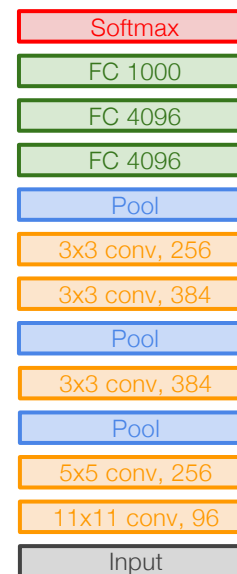
Input: $2C \times H \times W$

Conv(3x3, $2C \rightarrow 2C$)

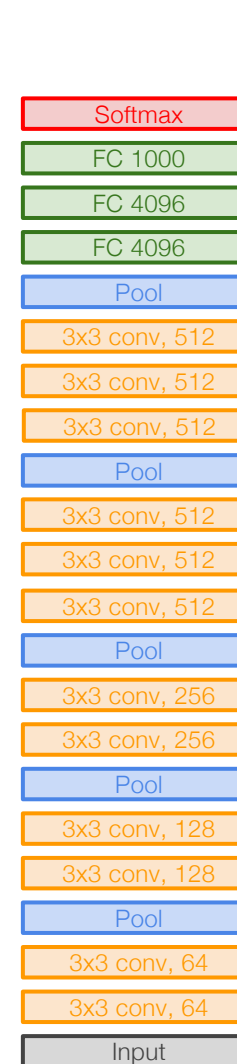
Memory: $2HWC$

Params: $36C^2$

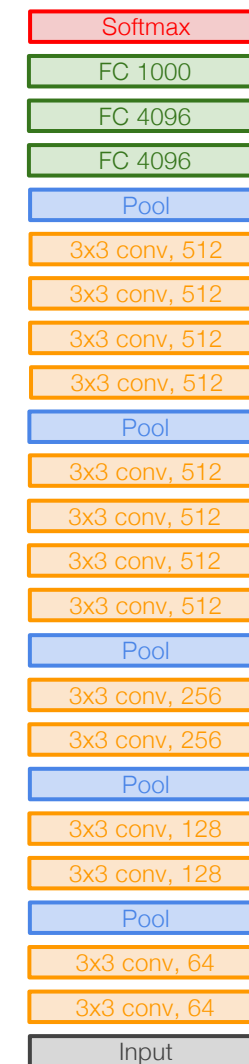
FLOPs: $36HWC^2$



AlexNet



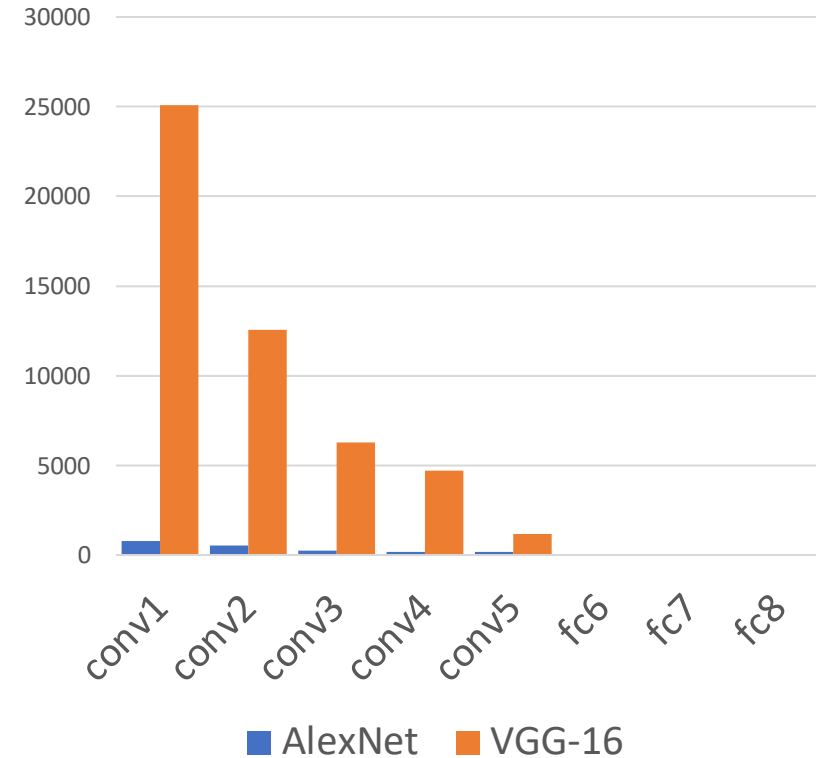
VGG16



VGG19

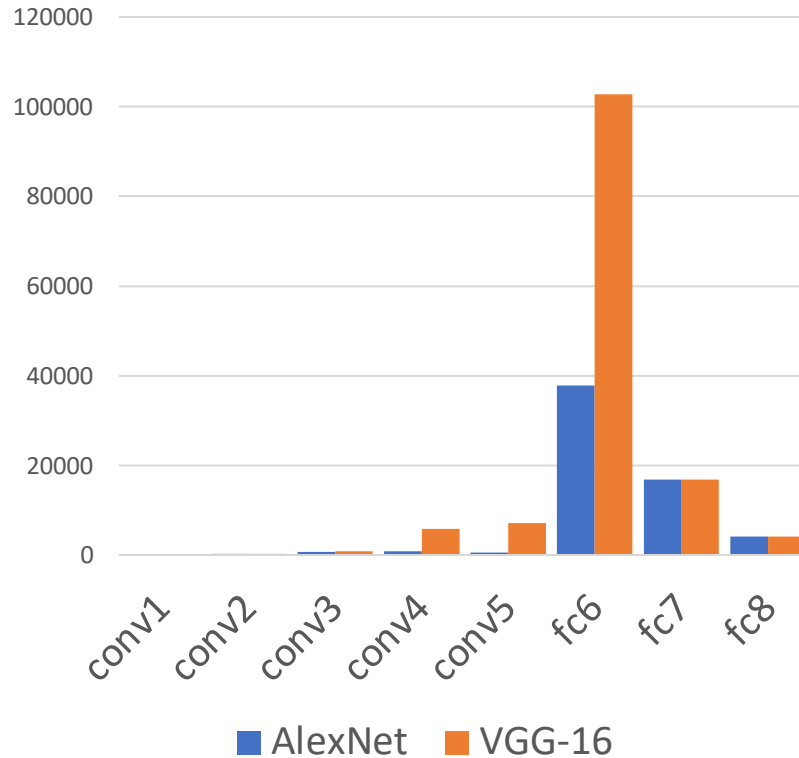
AlexNet vs VGG-16: Much bigger network!

AlexNet vs VGG-16
(Memory, KB)



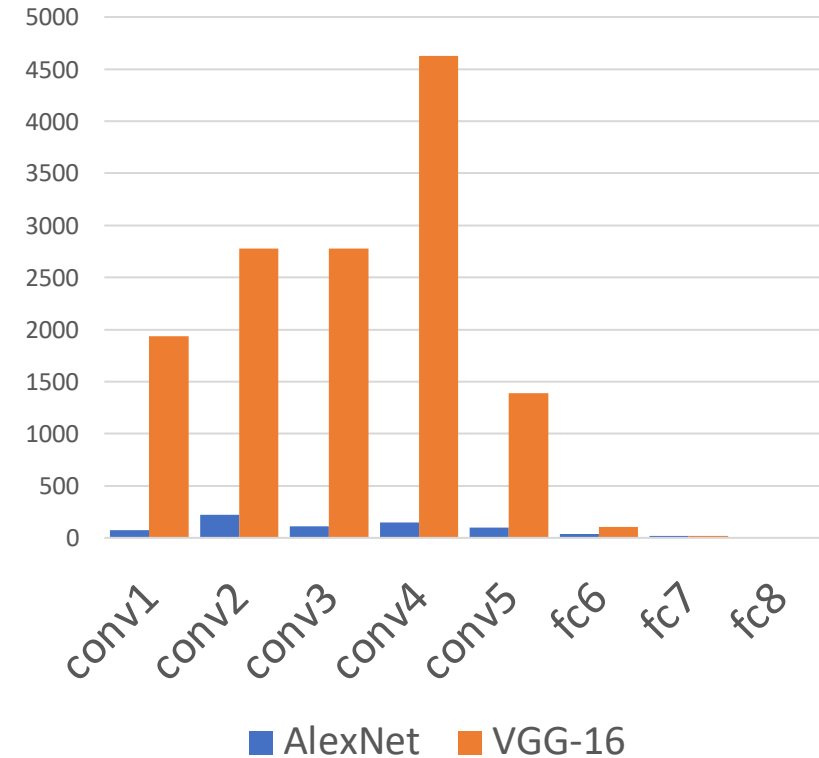
AlexNet total: 1.9 MB
VGG-16 total: 48.6 MB (25x)

AlexNet vs VGG-16
(Params, M)



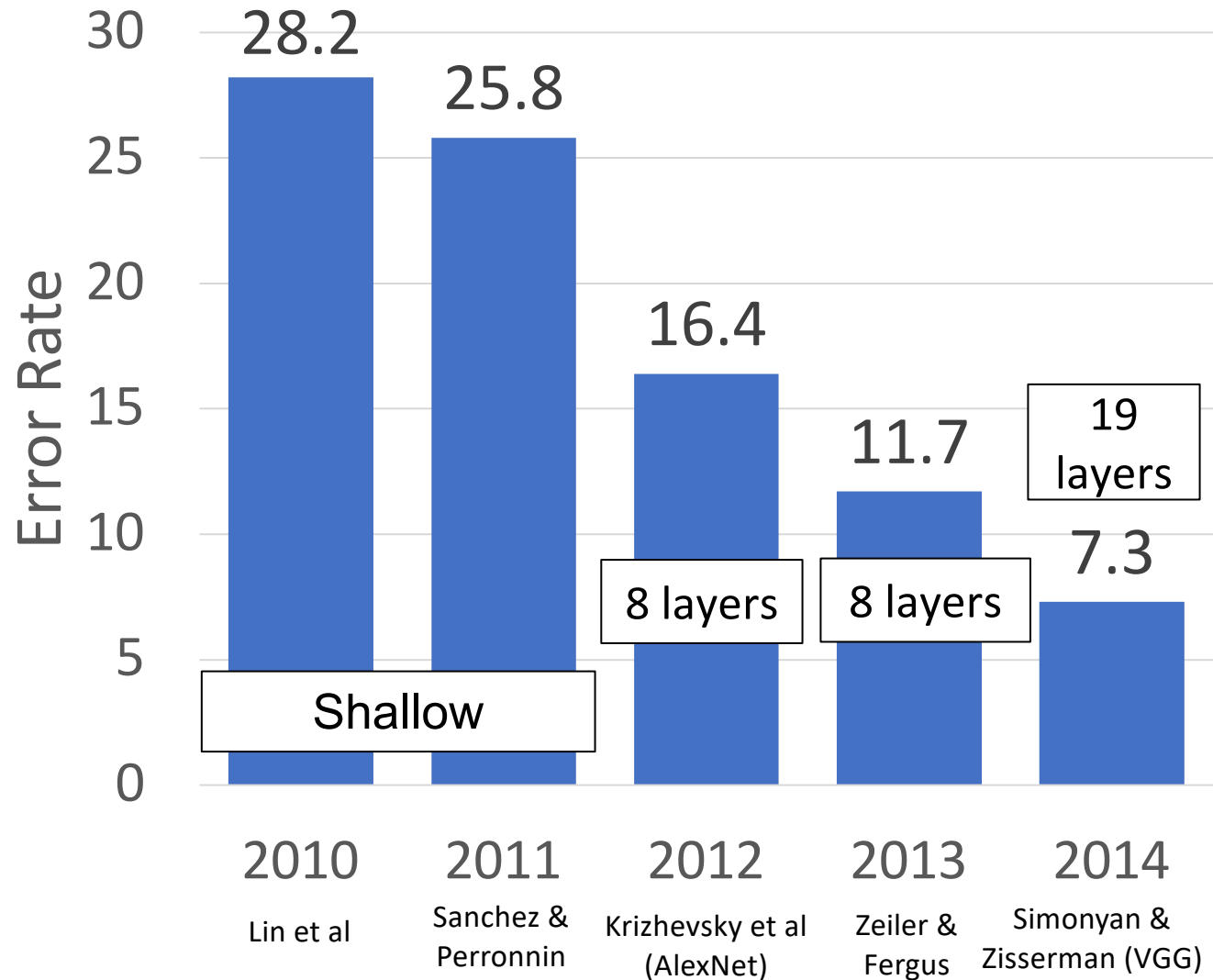
AlexNet total: 61M
VGG-16 total: 138M (2.3x)

AlexNet vs VGG-16
(MFLOPs)

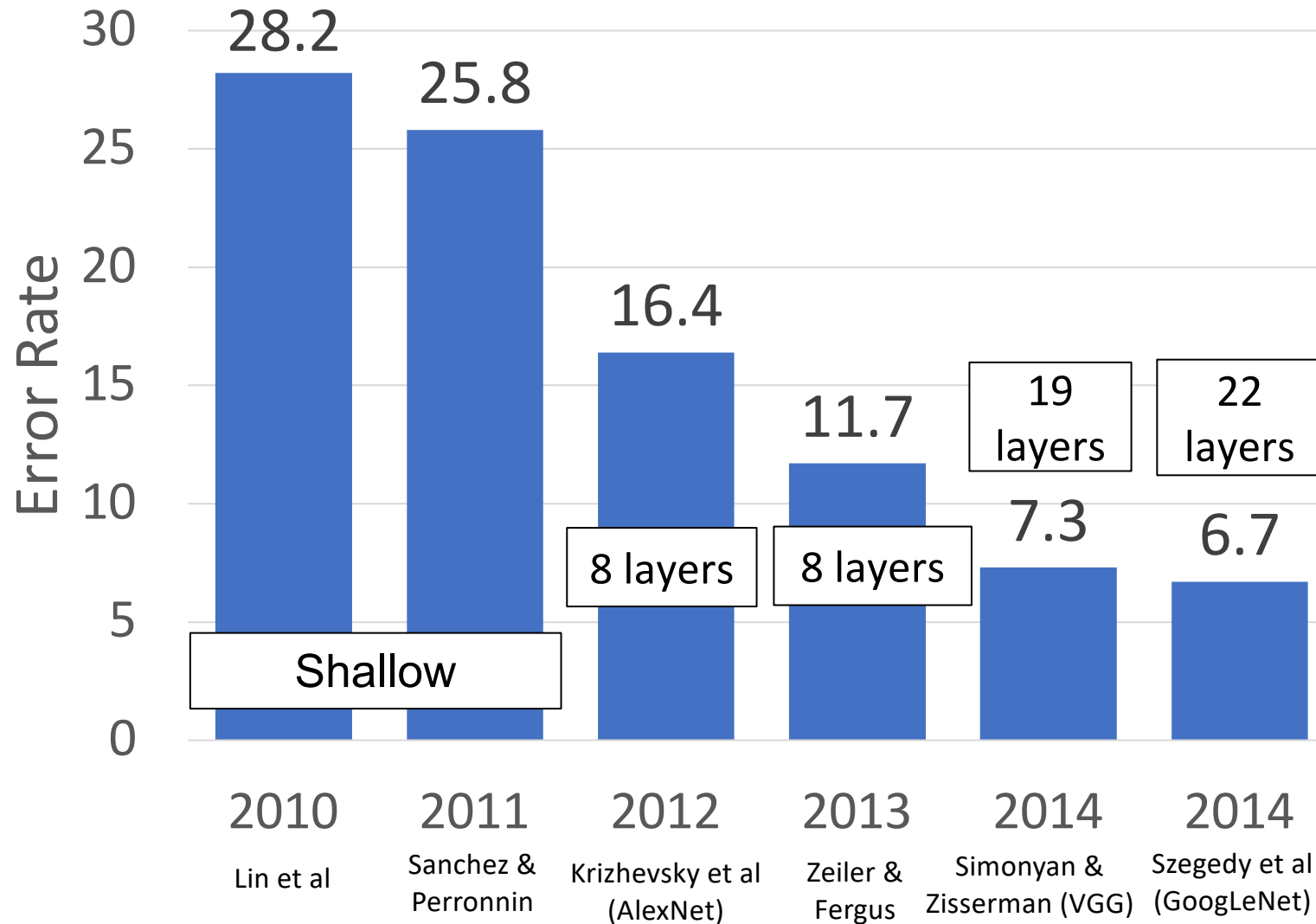


AlexNet total: 0.7 GFLOP
VGG-16 total: 13.6 GFLOP (19.4x)

ImageNet Classification Challenge

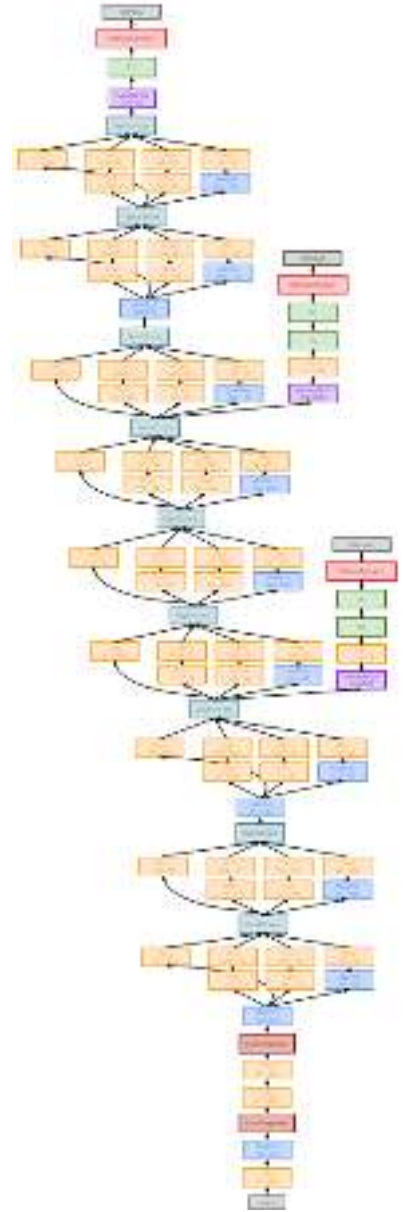


ImageNet Classification Challenge



GoogLeNet: Focus on Efficiency

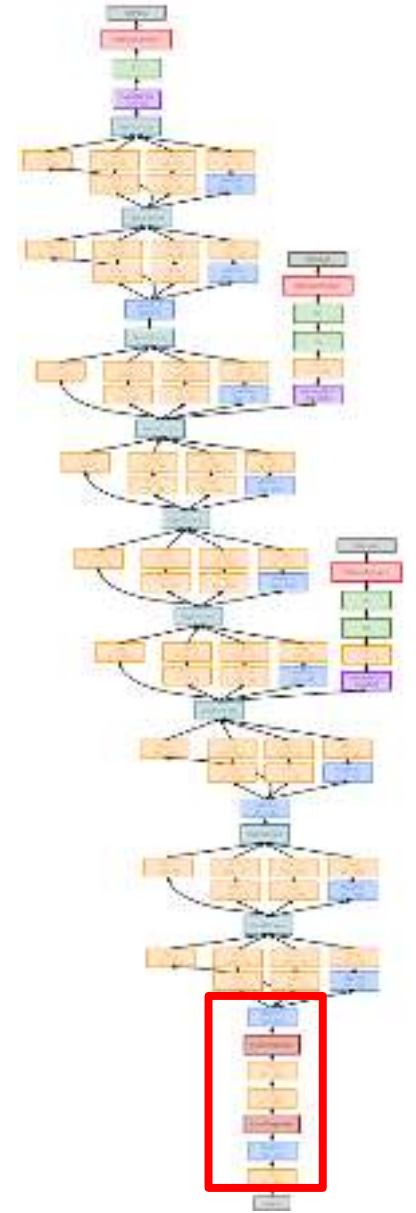
Many innovations for efficiency: reduce parameter count, memory usage, and computation



Szegedy et al, "Going deeper with convolutions", CVPR 2015

GoogLeNet: Aggressive Stem

Stem network at the start aggressively downsamples input
(Recall in VGG-16: Most of the compute was at the start)



Szegedy et al, "Going deeper with convolutions", CVPR 2015

GoogLeNet: Aggressive Stem

Stem network at the start aggressively downsamples input
(Recall in VGG-16: Most of the compute was at the start)

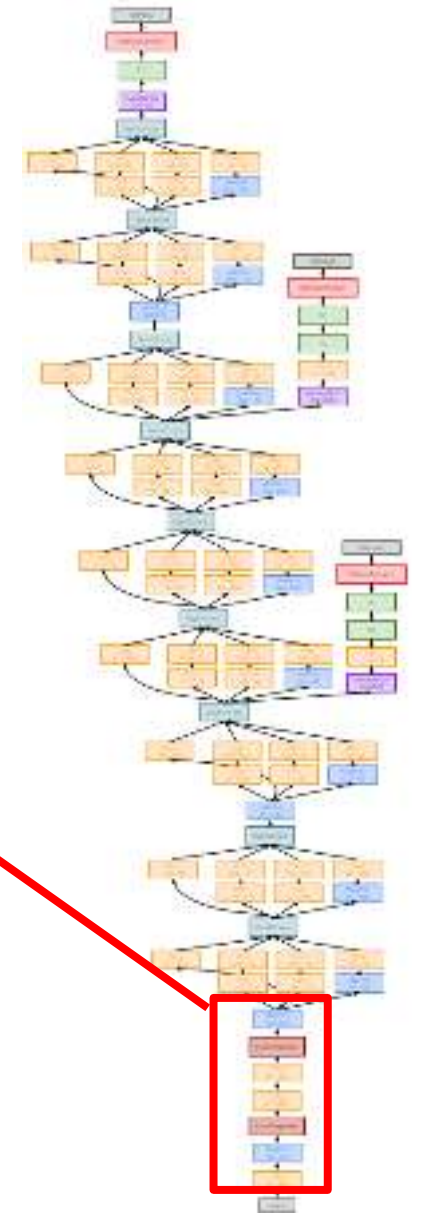
	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (K)	flop (M)
conv	3	224	64	7	2	3	64	112	3136	9	118
max-pool	64	112		3	2	1	64	56	784	0	2
conv	64	56	64	1	1	0	64	56	784	4	13
conv	64	56	192	3	1	1	192	56	2352	111	347
max-pool	192	56		3	2	1	192	28	588	0	1

Total from 224 to 28 spatial resolution:

Memory: 7.5 MB

Params: 124K

MFLOP: 418



Szegedy et al, "Going deeper with convolutions", CVPR 2015

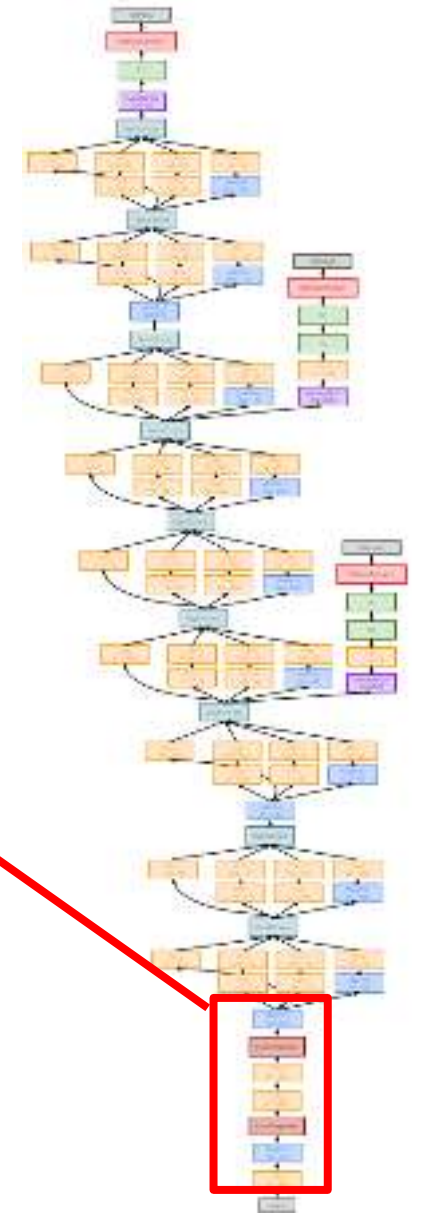
GoogLeNet: Aggressive Stem

Stem network at the start aggressively downsamples input
(Recall in VGG-16: Most of the compute was at the start)

	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (K)	flop (M)
conv	3	224	64	7	2	3	64	112	3136	9	118
max-pool	64	112		3	2	1	64	56	784	0	2
conv	64	56	64	1	1	0	64	56	784	4	13
conv	64	56	192	3	1	1	192	56	2352	111	347
max-pool	192	56		3	2	1	192	28	588	0	1

Total from 224 to 28 spatial resolution:
Memory: 7.5 MB
Params: 124K
MFLOP: 418

Compare VGG-16:
Memory: 42.9 MB (5.7x)
Params: 1.1M (8.9x)
MFLOP: 7485 (17.8x)

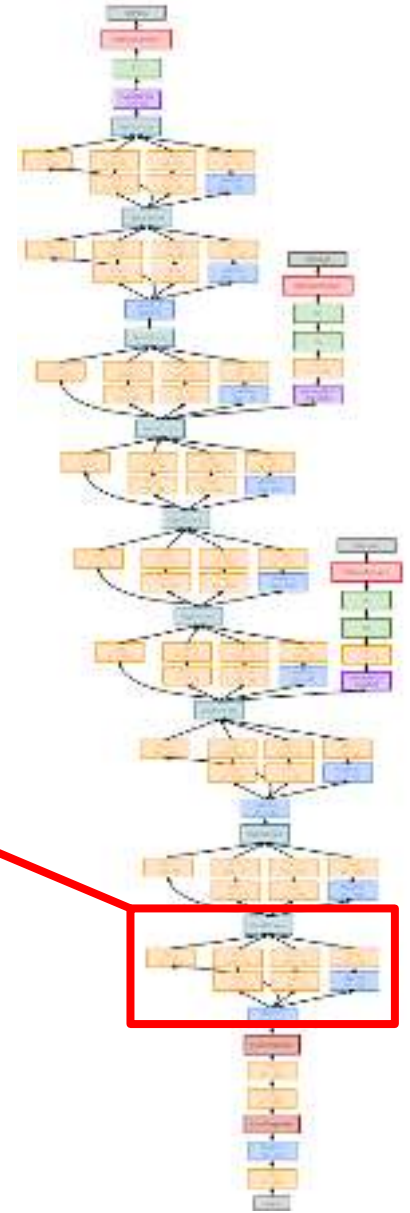
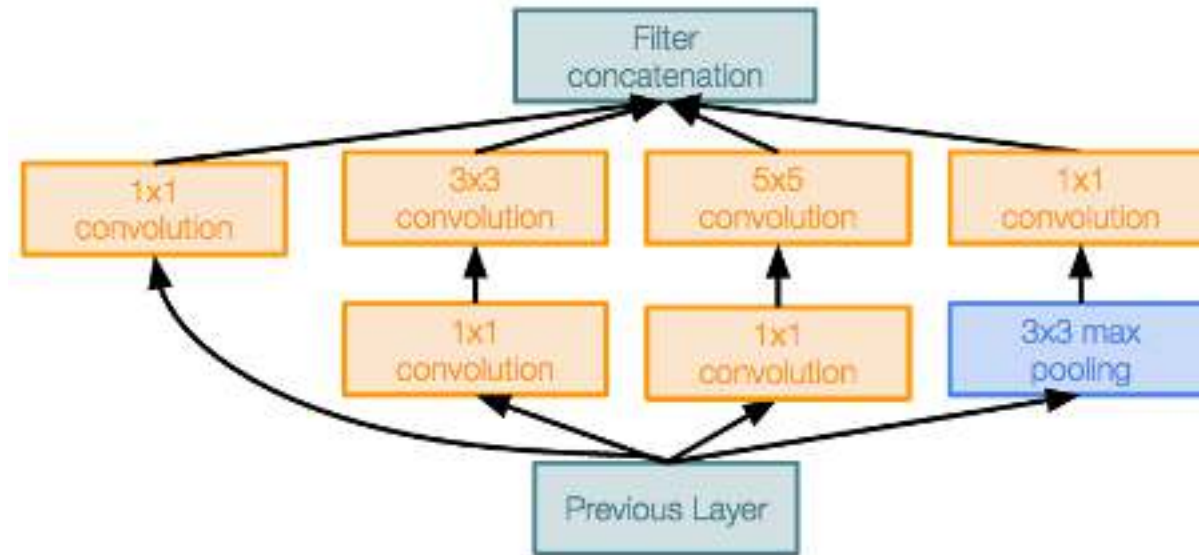


GoogLeNet: Inception Module

Inception module

Local unit with
parallel branches

Local structure repeated
many times throughout the
network



Szegedy et al, "Going deeper with convolutions", CVPR 2015

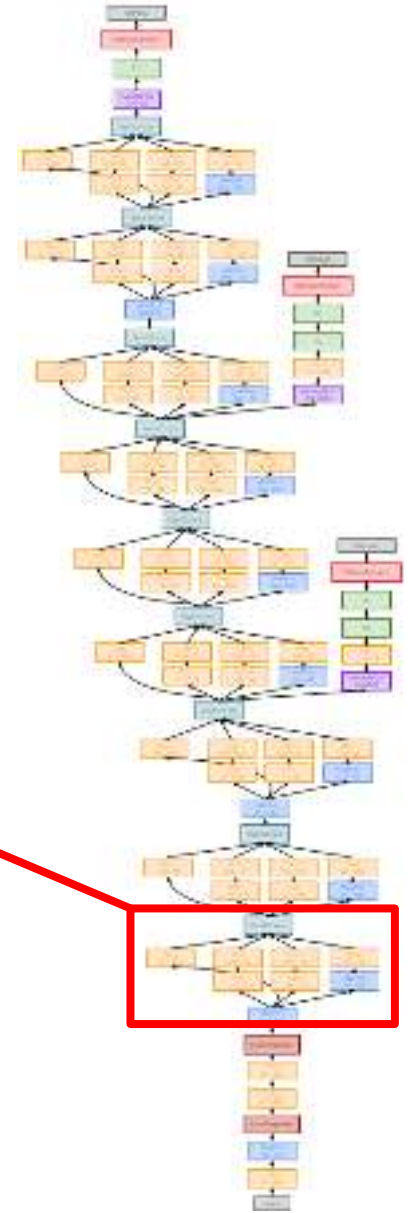
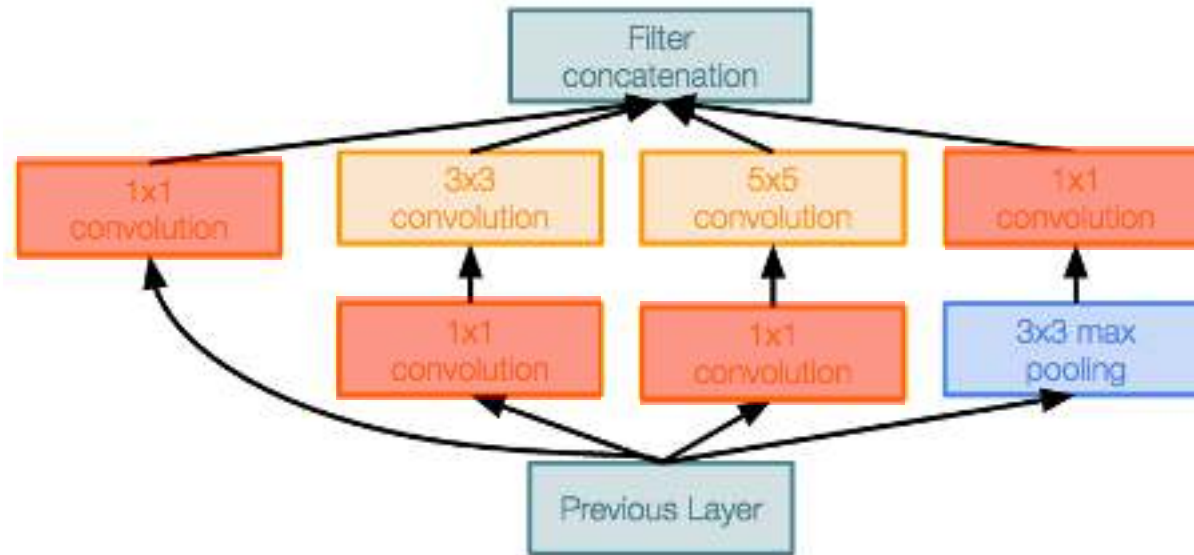
GoogLeNet: Inception Module

Inception module

Local unit with
parallel branches

Local structure repeated
many times throughout the
network

Uses 1x1 “Bottleneck”
layers to reduce channel
dimension before
expensive conv (we will
revisit this with ResNet!)

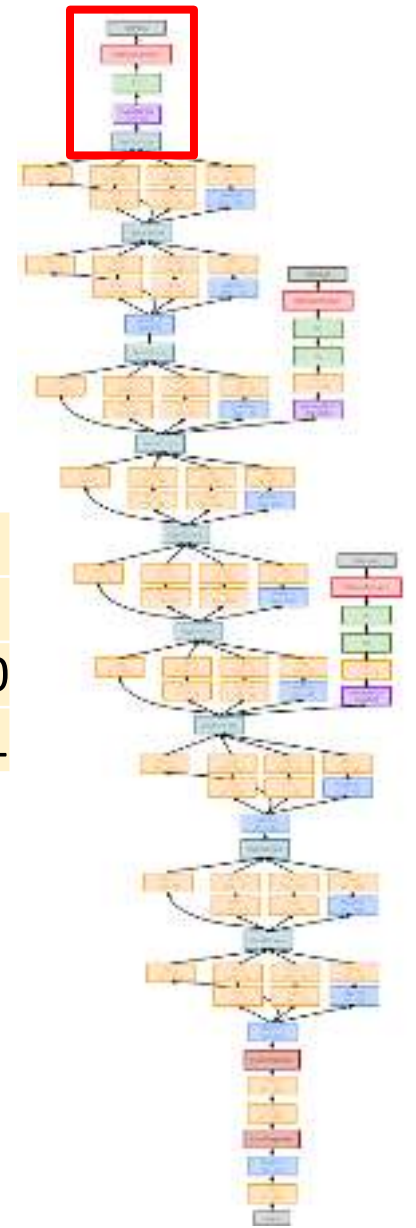


Szegedy et al, “Going deeper with convolutions”, CVPR 2015

GoogLeNet: Global Average Pooling

No large FC layers at the end! Instead uses **global average pooling** to collapse spatial dimensions, and one linear layer to produce class scores (Recall VGG-16: Most parameters were in the FC layers!)

Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H/W	filters	kernel	stride	pad	C	H/W			
avg-pool	1024	7		7	1	0	1024	1	4	0	0
fc	1024		1000				1000		0	1025	1



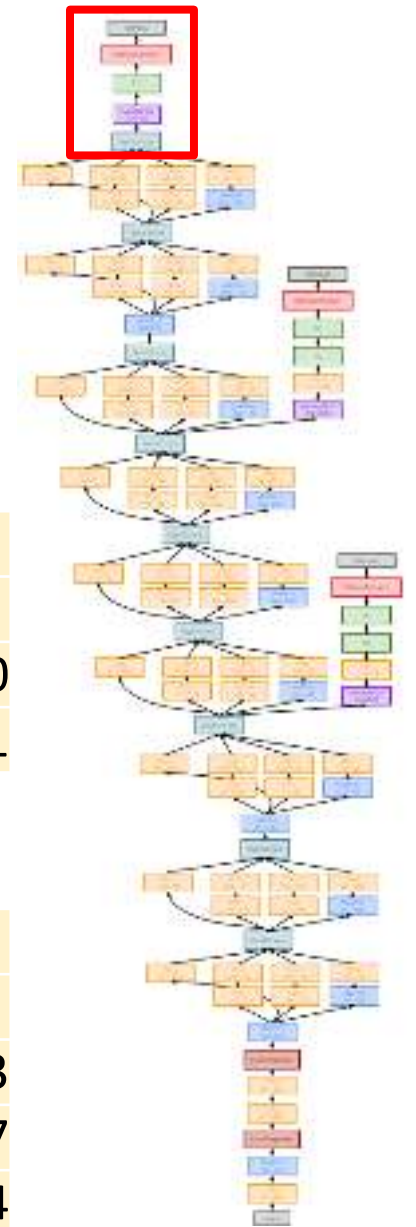
GoogLeNet: Global Average Pooling

No large FC layers at the end! Instead uses **global average pooling** to collapse spatial dimensions, and one linear layer to produce class scores (Recall VGG-16: Most parameters were in the FC layers!)

	Input size		Layer				Output size				
Layer	C	H/W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (k)	flop (M)
avg-pool	1024	7		7	1	0	1024	1	4	0	0
fc	1024		1000				1000		0	1025	1

Compare with VGG-16:

Layer	C	H/W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (K)	flop (M)
flatten	512	7					25088		98		
fc6	25088			4096			4096		16	102760	103
fc7	4096			4096			4096		16	16777	17
fc8	4096			1000			1000		4	4096	4

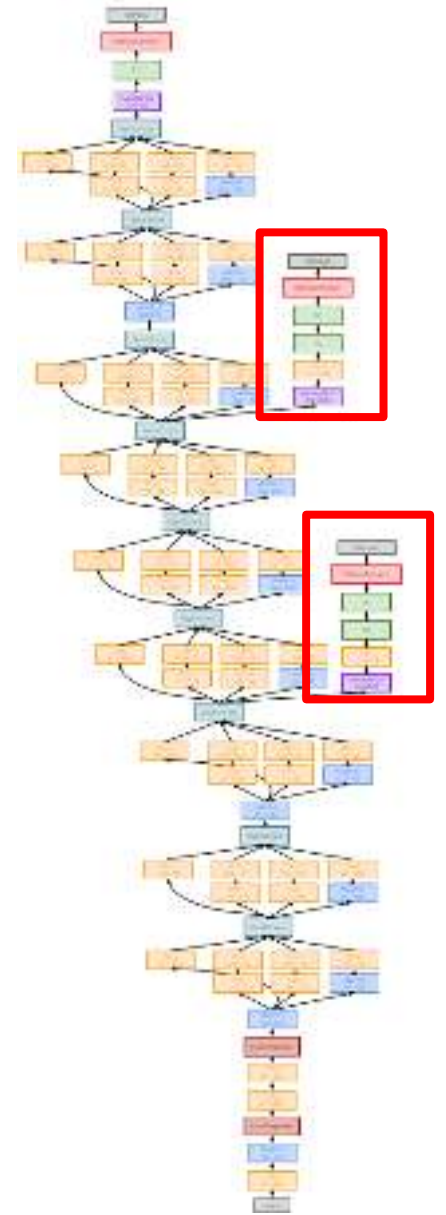


GoogLeNet: Auxiliary Classifiers

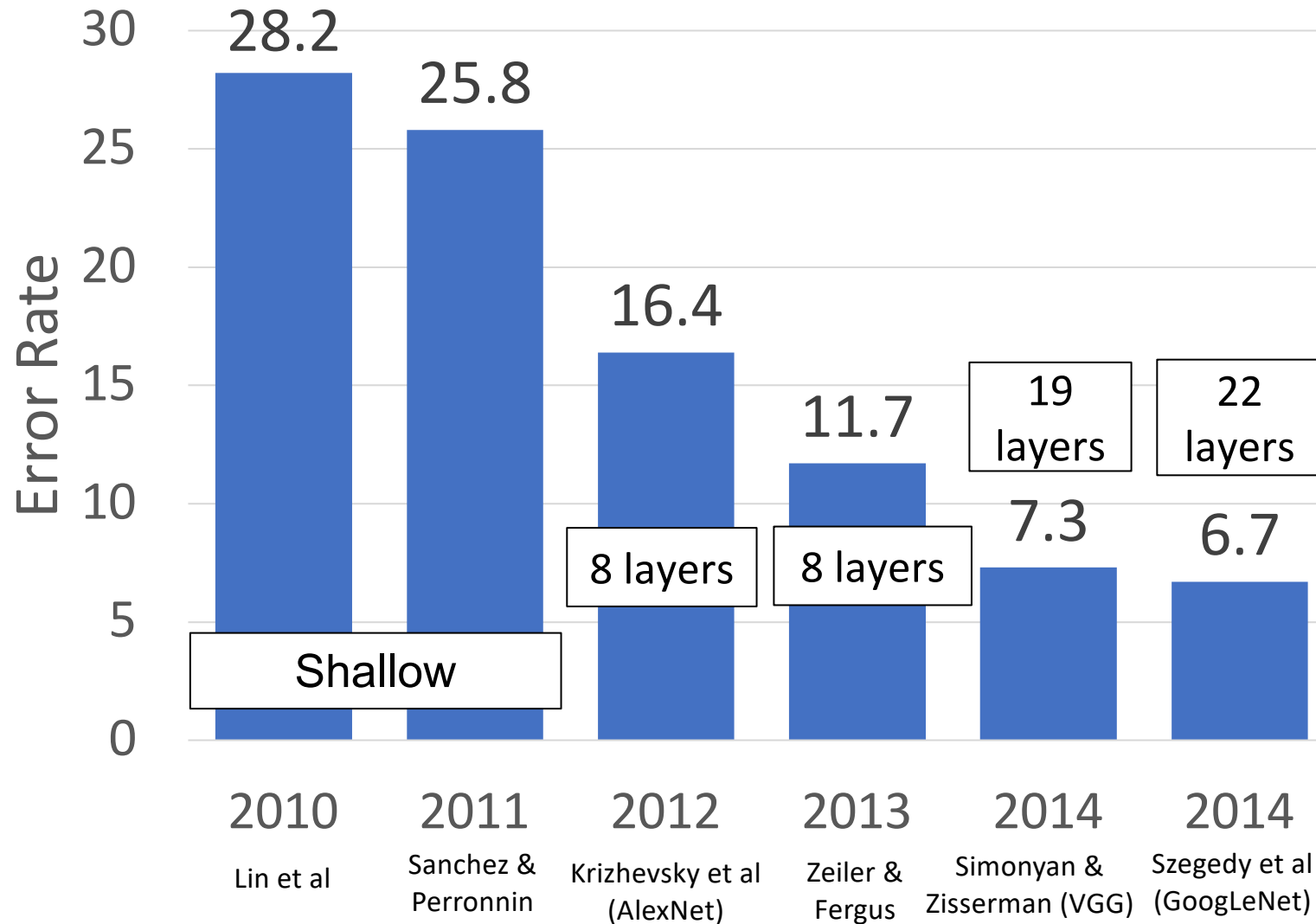
Training using loss at the end of the network didn't work well:
Network is too deep, gradients don't propagate cleanly

As a hack, attach “auxiliary classifiers” at several intermediate points in the network that also try to classify the image and receive loss

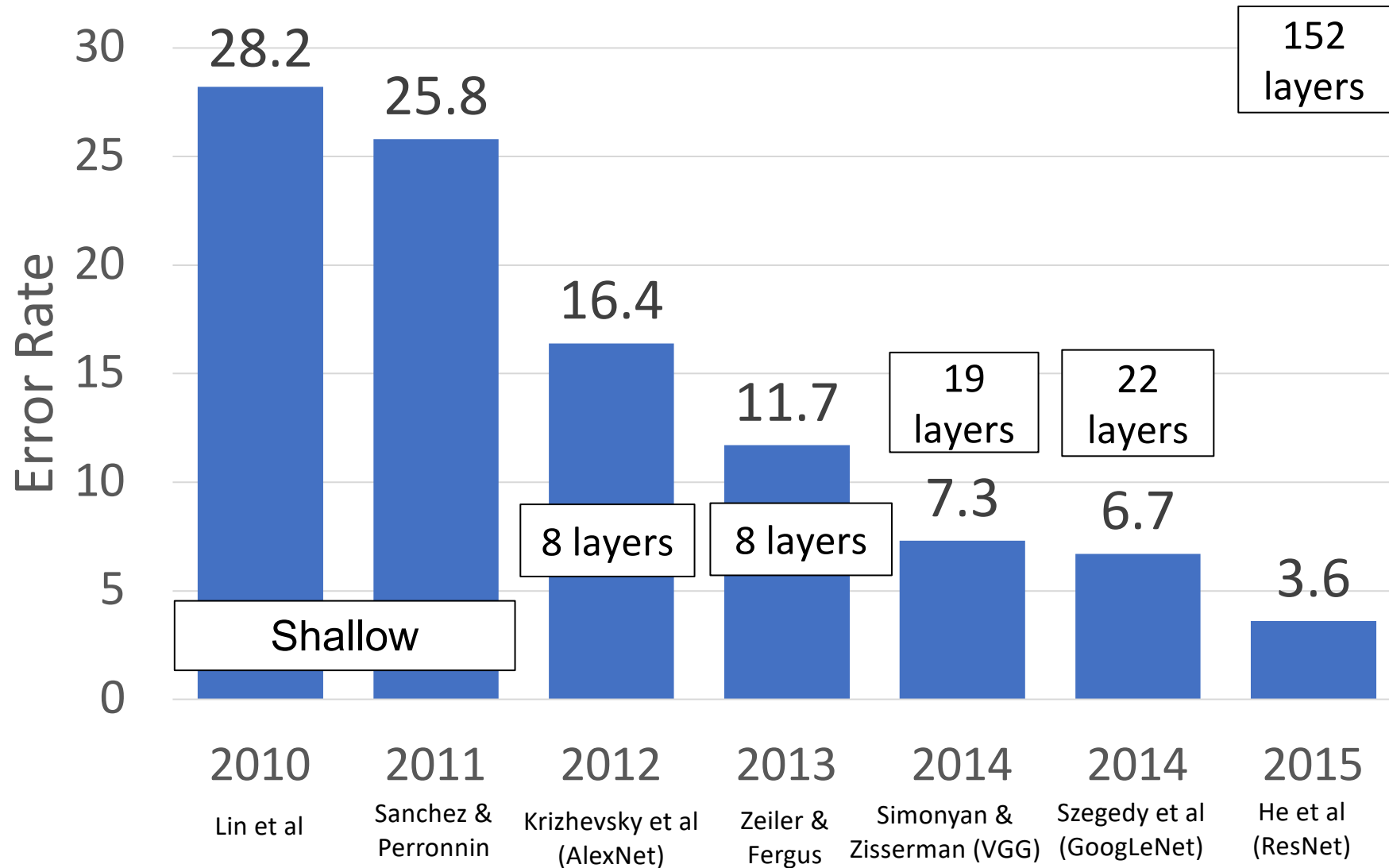
GoogLeNet was before batch normalization! With BatchNorm no longer need to use this trick



ImageNet Classification Challenge



ImageNet Classification Challenge



Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers.
What happens as we go deeper?

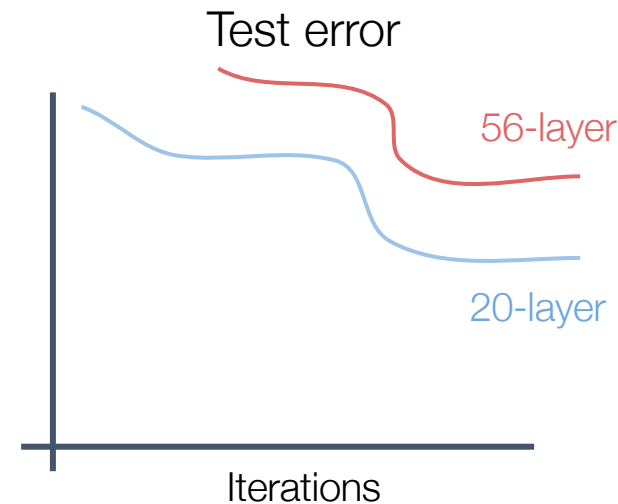
He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers.
What happens as we go deeper?

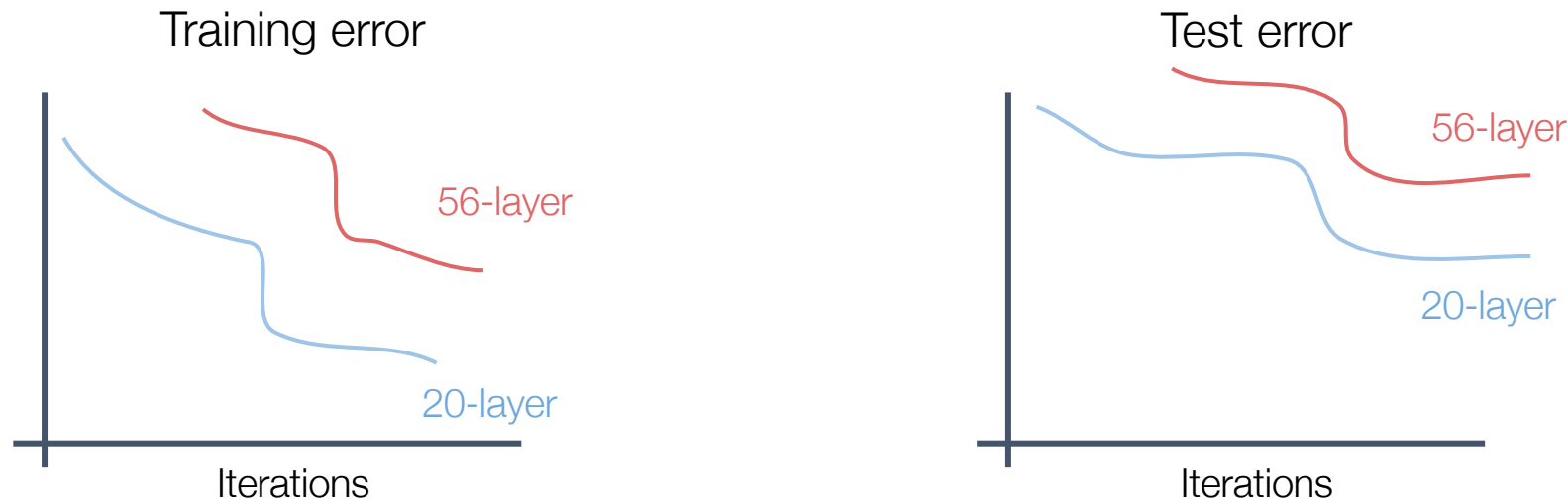
Deeper model does worse than shallow model!

Initial guess: Deep model is **overfitting** since it is much bigger than the other model



Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers. What happens as we go deeper?



In fact the deep model seems to be **underfitting** since it also performs worse than the shallow model on the training set! It is actually **underfitting**

Residual Networks

A deeper model can emulate a shallower model: copy layers from shallower model, set extra layers to identity

Thus deeper models should do at least as good as shallow models

Hypothesis: This is an optimization problem. Deeper models are harder to optimize, and in particular don't learn identity functions to emulate shallow models

Residual Networks

A deeper model can emulate a shallower model: copy layers from shallower model, set extra layers to identity

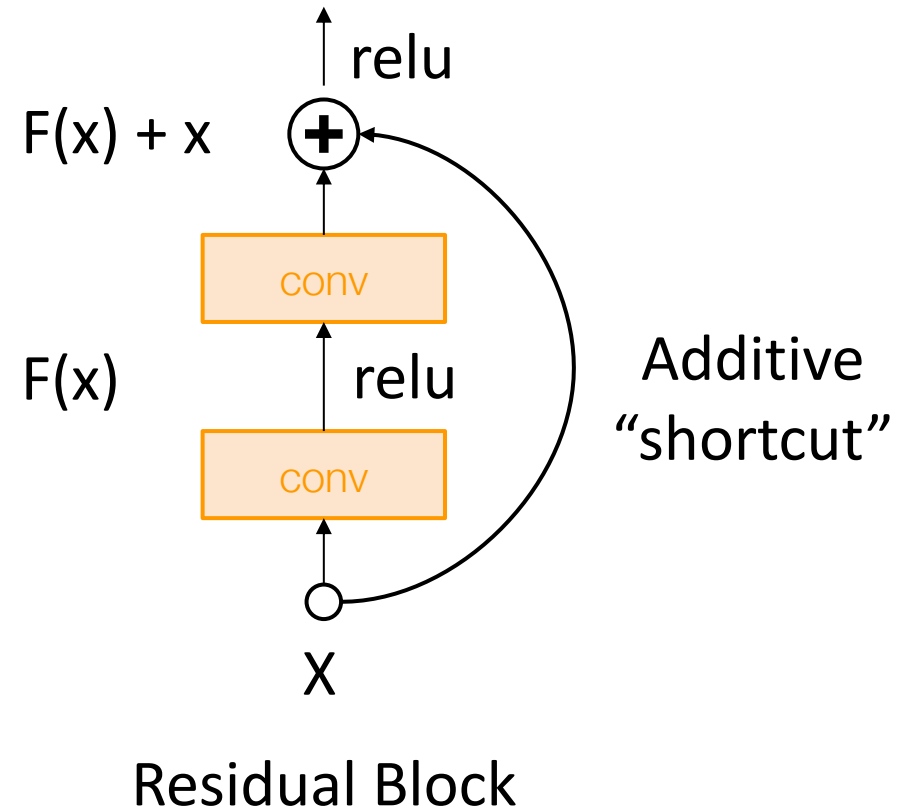
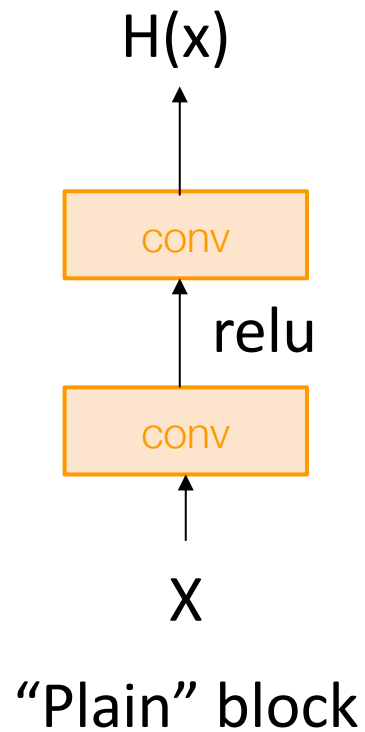
Thus deeper models should do at least as good as shallow models

Hypothesis: This is an optimization problem. Deeper models are harder to optimize, and in particular don't learn identity functions to emulate shallow models

Solution: Change the network so learning identity functions with extra layers is easy!

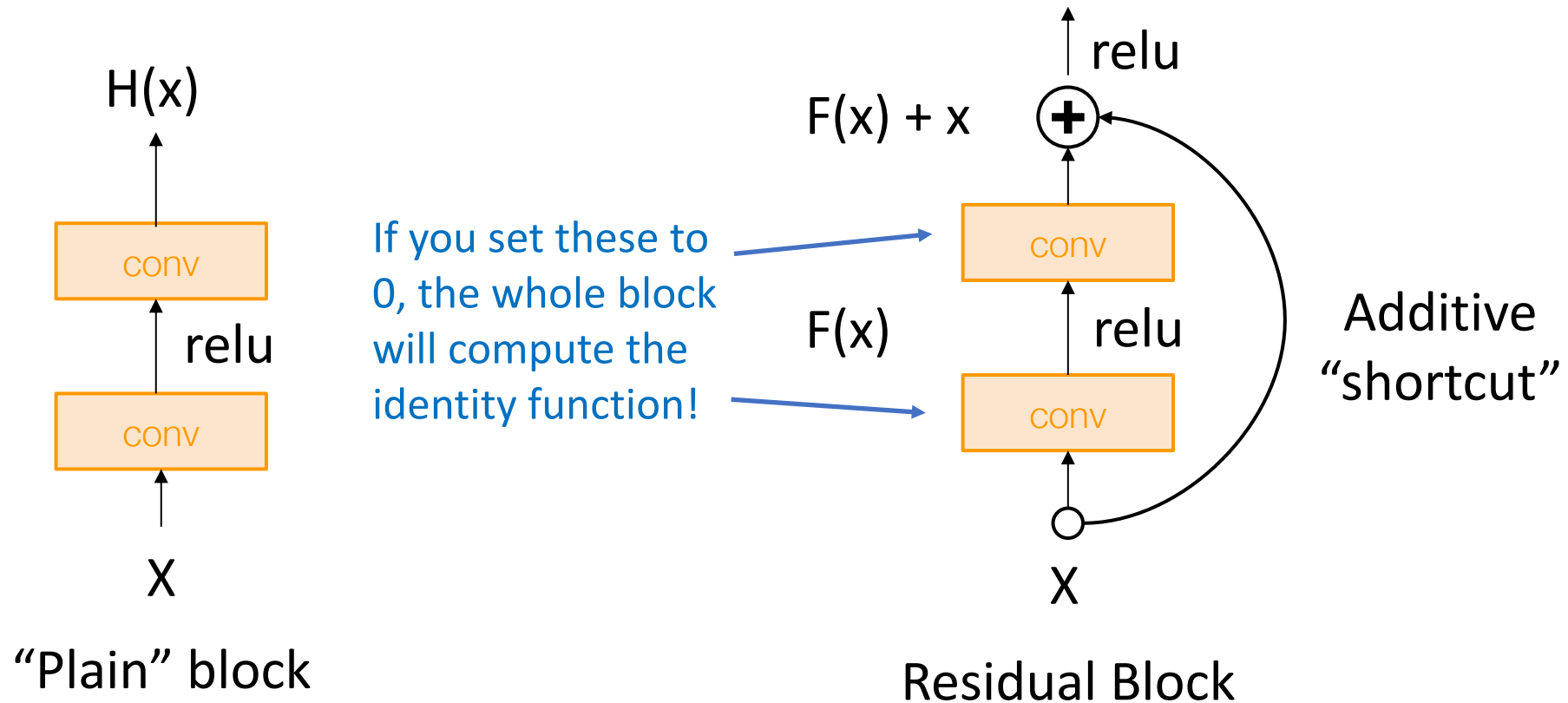
Residual Networks

Solution: Change the network so learning identity functions with extra layers is easy!



Residual Networks

Solution: Change the network so learning identity functions with extra layers is easy!



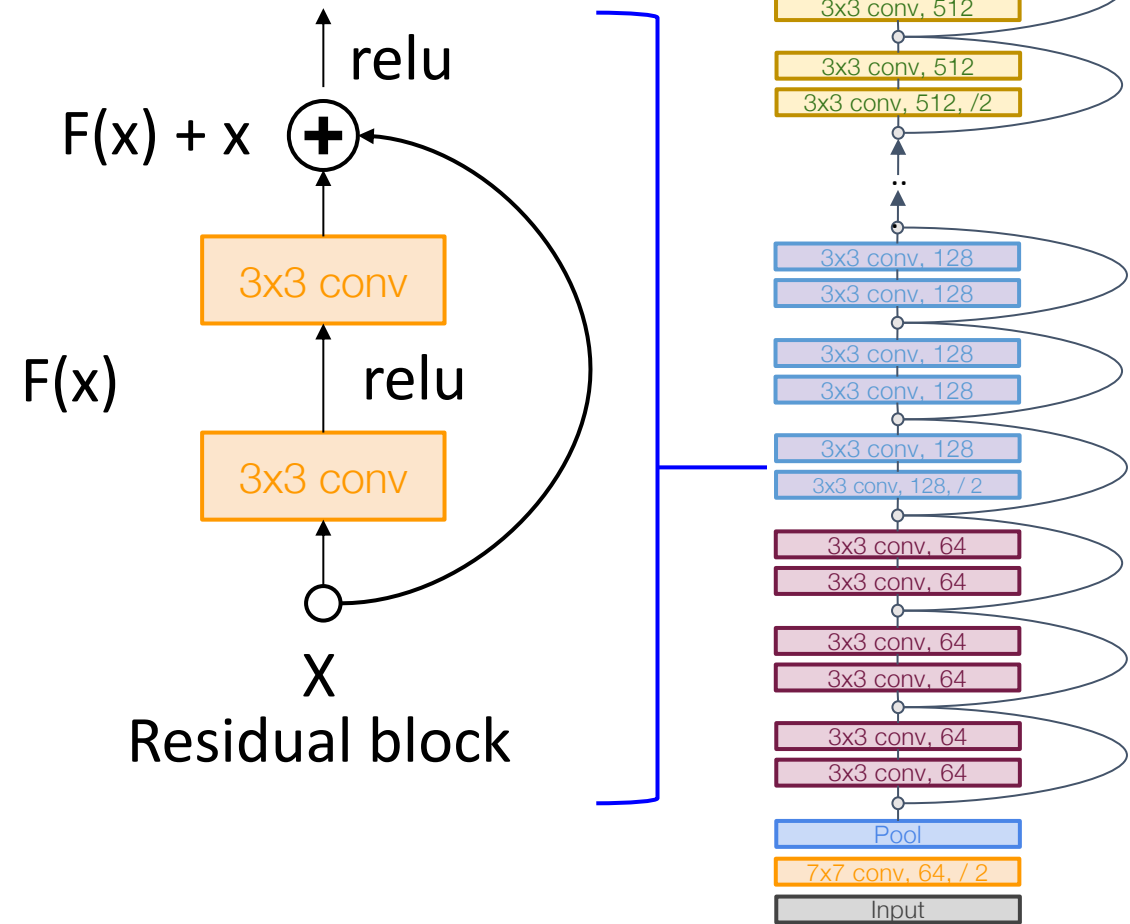
He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

Residual Networks

A residual network is a stack of many residual blocks

Regular design, like VGG: each residual block has two 3x3 conv

Network is divided into **stages**: the first block of each stage halves the resolution (with stride-2 conv) and doubles the number of channels

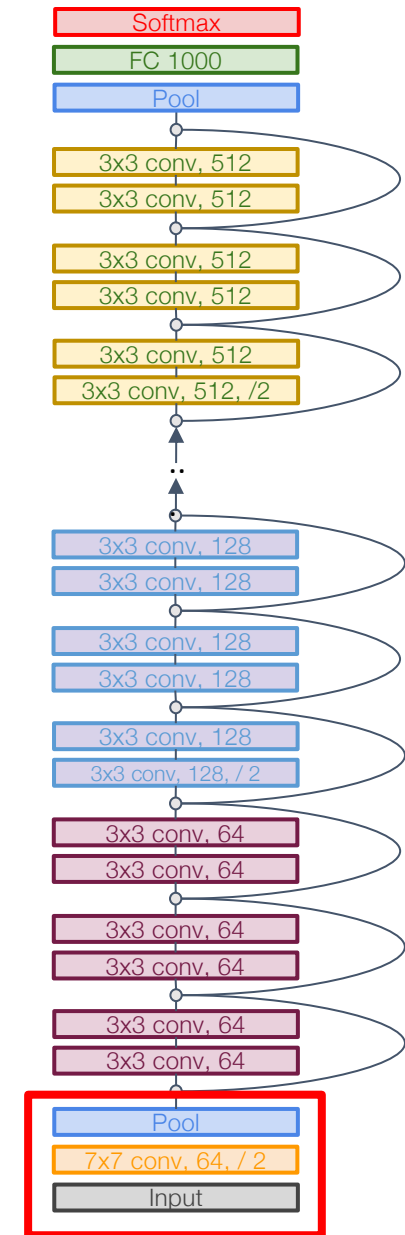


He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

Residual Networks

Uses the same aggressive **stem** as GoogleNet to downsample the input 4x before applying residual blocks:

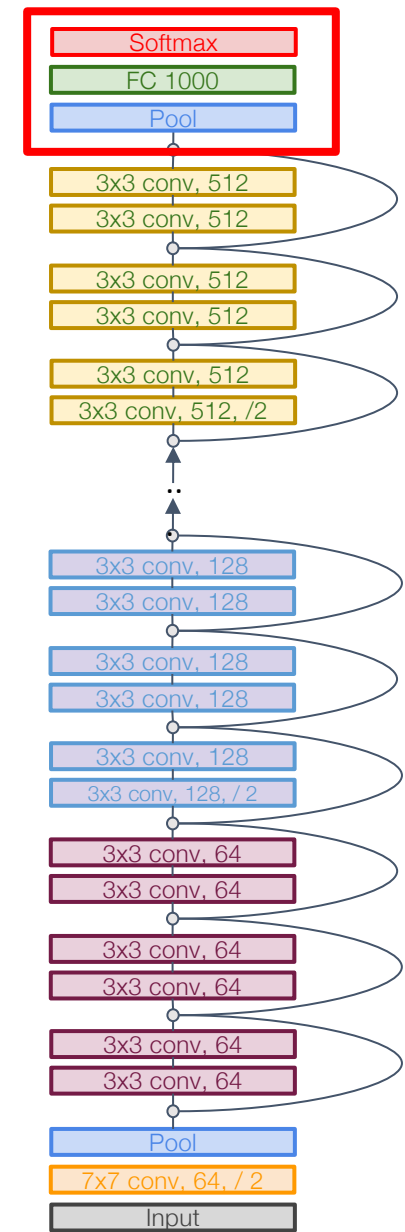
	Input size		Layer				Output size				
Layer	C	H/W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (k)	flop (M)
conv	3	224	64	7	2	3	64	112	3136	9	118
max-pool	64	112		3	2	1	64	56	784	0	2



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

Residual Networks

Like GoogLeNet, no big fully-connected-layers: instead use **global average pooling** and a single linear layer at the end



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

Residual Networks

ResNet-18:

Stem: 1 conv layer

Stage 1 (C=64): 2 res. block = 4 conv

Stage 2 (C=128): 2 res. block = 4 conv

Stage 3 (C=256): 2 res. block = 4 conv

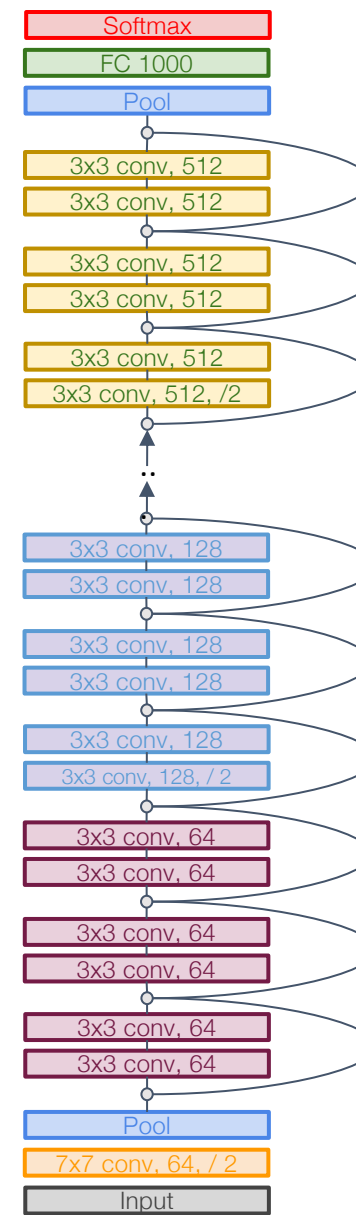
Stage 4 (C=512): 2 res. block = 4 conv

Linear

ImageNet top-5 error: 10.92

GFLOP: 1.8

He et al, "Deep Residual Learning for Image Recognition", CVPR 2016
Error rates are 224x224 single-crop testing, reported by [torchvision](#)



Residual Networks

ResNet-18:

Stem: 1 conv layer

Stage 1 (C=64): 2 res. block = 4 conv

Stage 2 (C=128): 2 res. block = 4 conv

Stage 3 (C=256): 2 res. block = 4 conv

Stage 4 (C=512): 2 res. block = 4 conv

Linear

ImageNet top-5 error: 10.92

GFLOP: 1.8

ResNet-34:

Stem: 1 conv layer

Stage 1: 3 res. block = 6 conv

Stage 2: 4 res. block = 8 conv

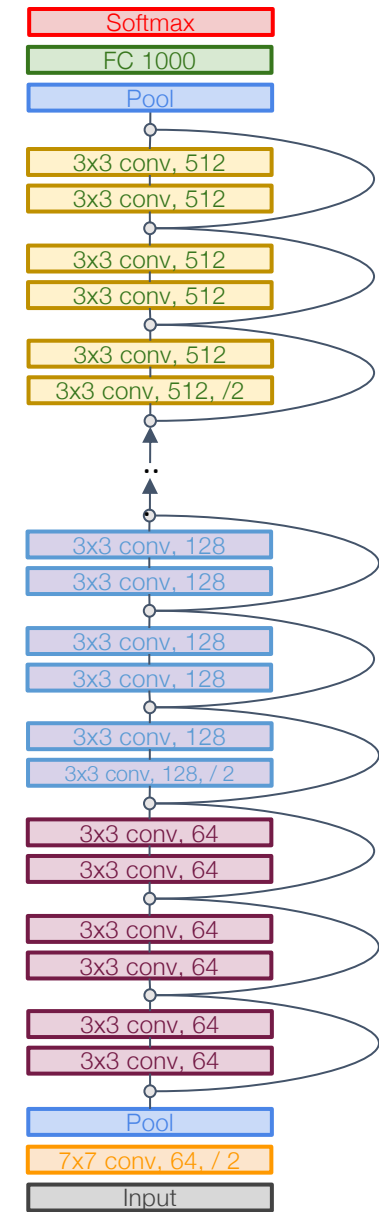
Stage 3: 6 res. block = 12 conv

Stage 4: 3 res. block = 6 conv

Linear

ImageNet top-5 error: 8.58

GFLOP: 3.6



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016
Error rates are 224x224 single-crop testing, reported by [torchvision](https://pytorch.org/torchvision/)

Residual Networks

ResNet-18:

Stem: 1 conv layer

Stage 1 (C=64): 2 res. block = 4 conv

Stage 2 (C=128): 2 res. block = 4 conv

Stage 3 (C=256): 2 res. block = 4 conv

Stage 4 (C=512): 2 res. block = 4 conv

Linear

ImageNet top-5 error: 10.92

GFLOP: 1.8

ResNet-34:

Stem: 1 conv layer

Stage 1: 3 res. block = 6 conv

Stage 2: 4 res. block = 8 conv

Stage 3: 6 res. block = 12 conv

Stage 4: 3 res. block = 6 conv

Linear

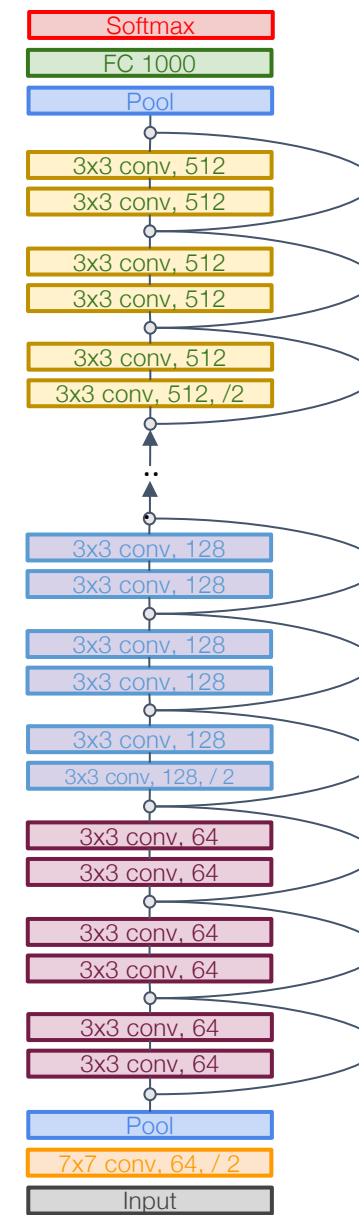
ImageNet top-5 error: 8.58

GFLOP: 3.6

VGG-16:

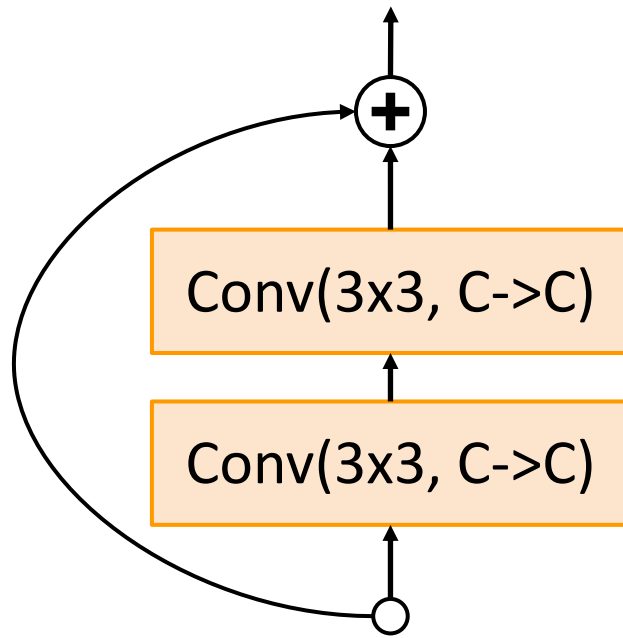
ImageNet top-5 error: 9.62

GFLOP: 13.6



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016
Error rates are 224x224 single-crop testing, reported by [torchvision](#)

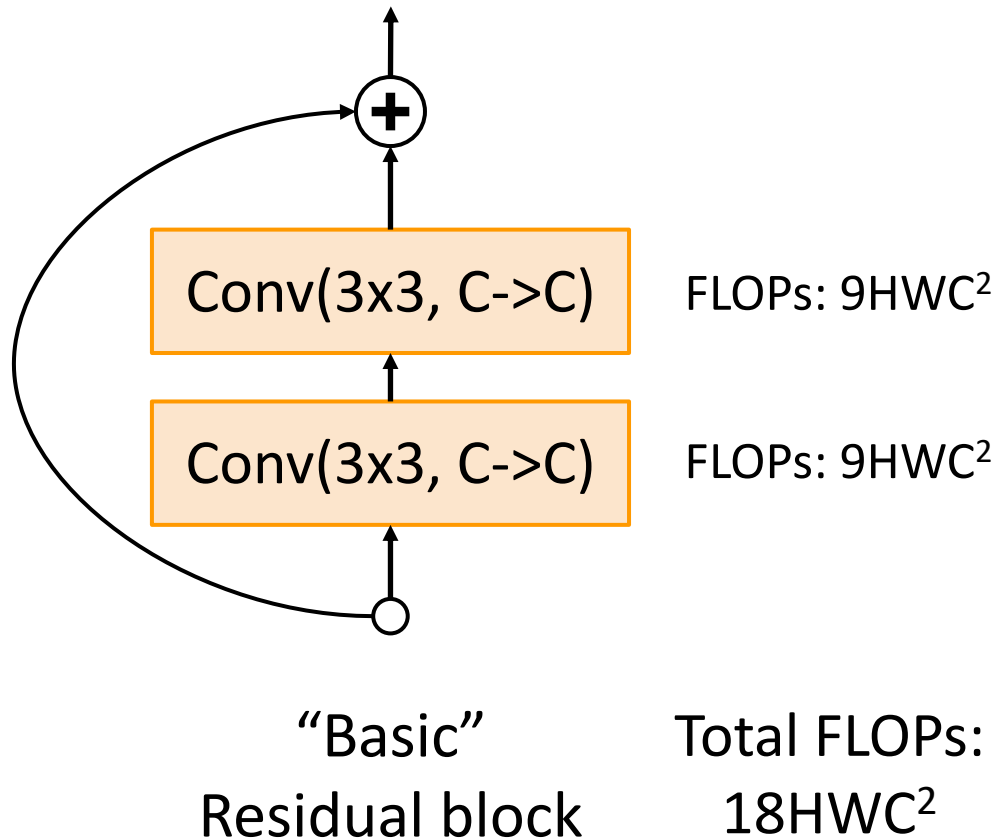
Residual Networks: Basic Block



“Basic”
Residual block

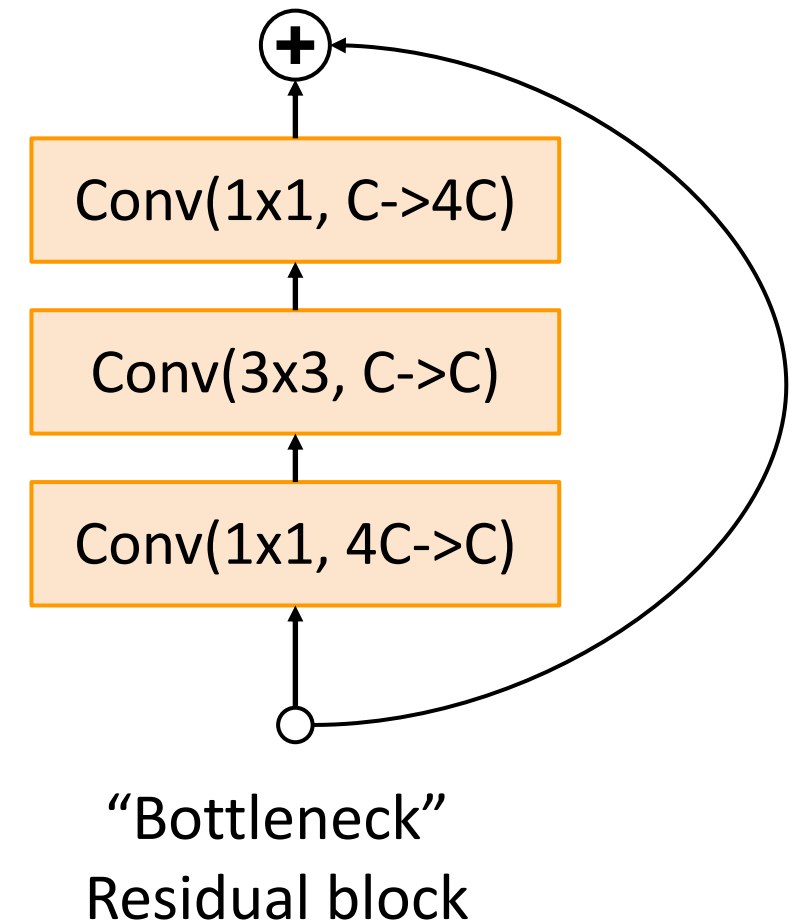
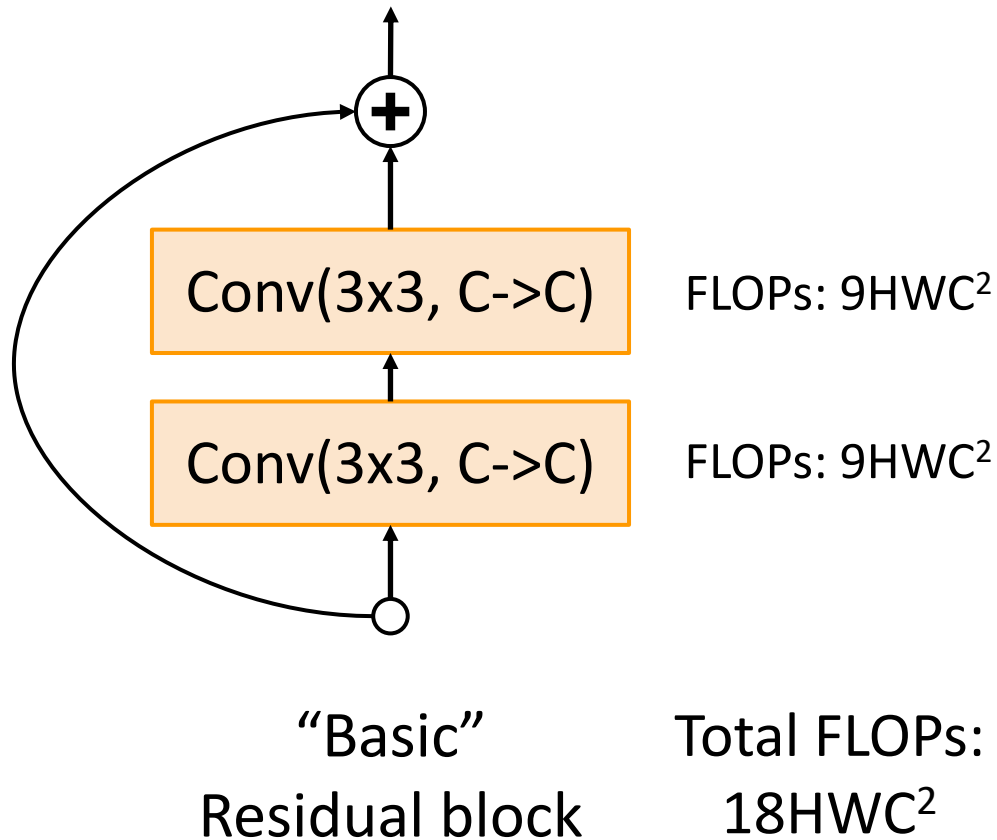
He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

Residual Networks: Basic Block



He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

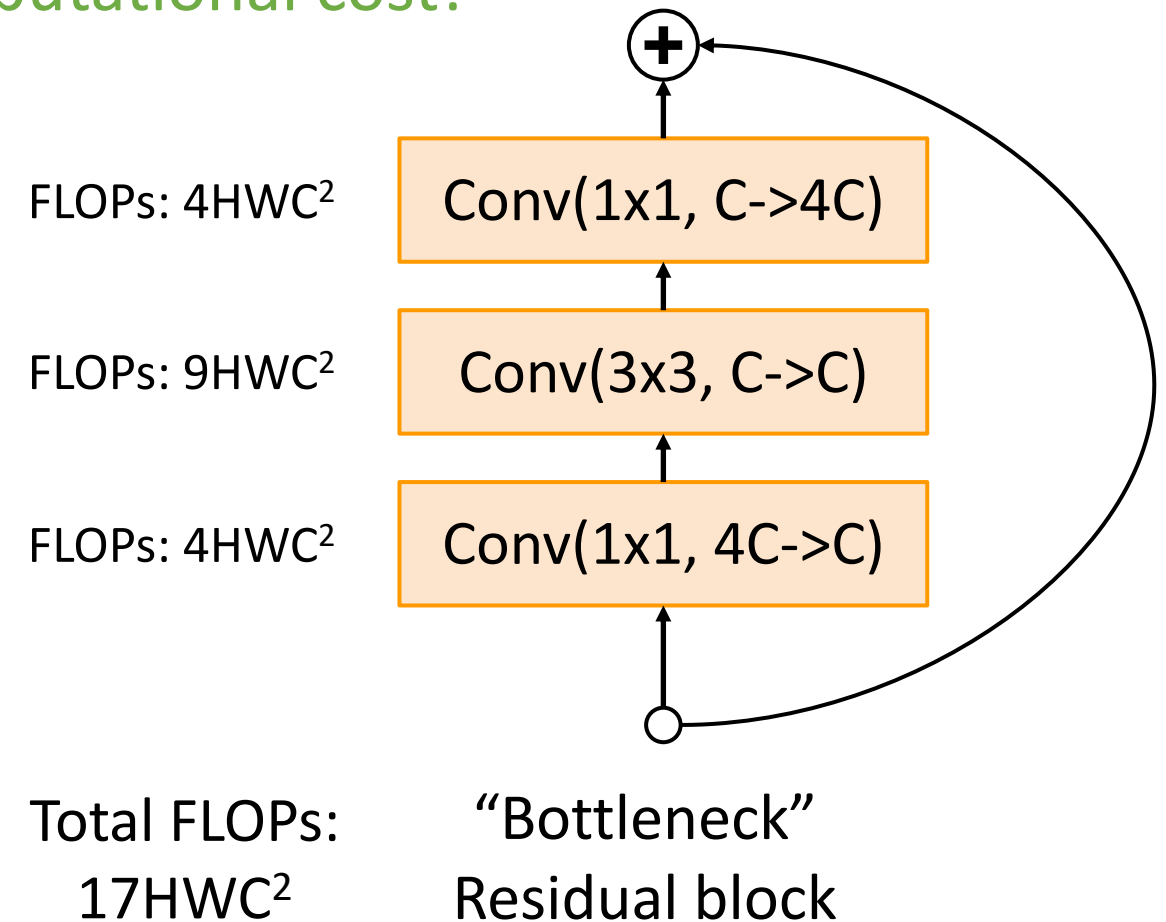
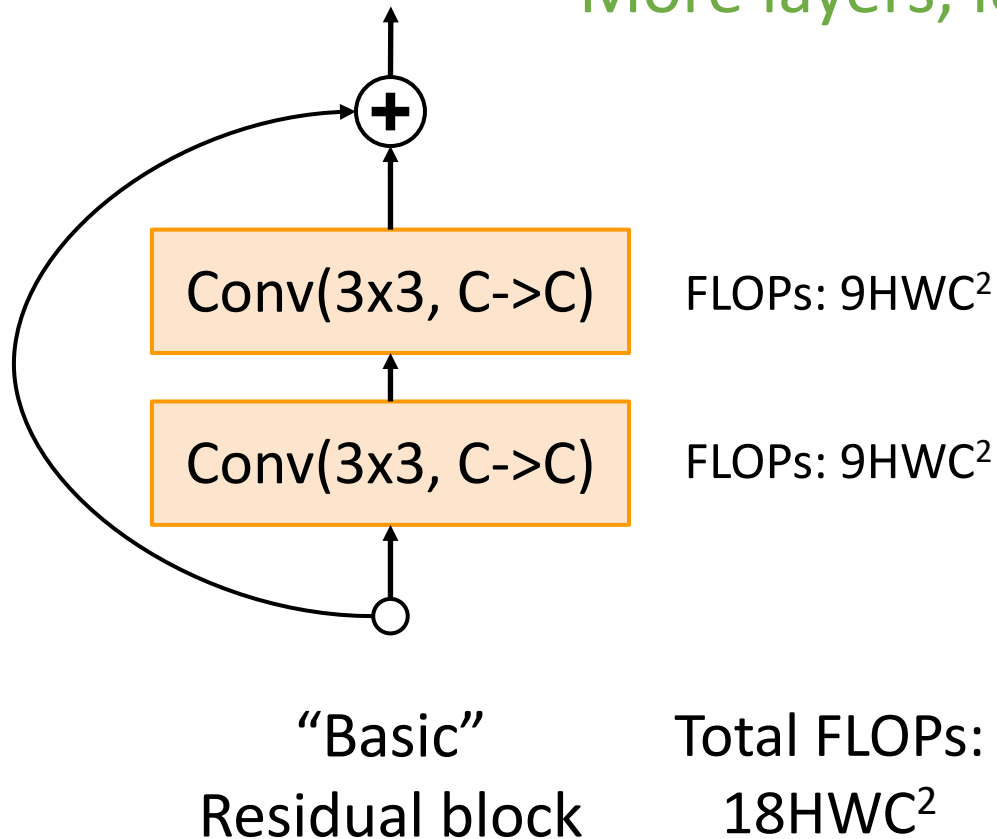
Residual Networks: Bottleneck Block



He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

Residual Networks: Bottleneck Block

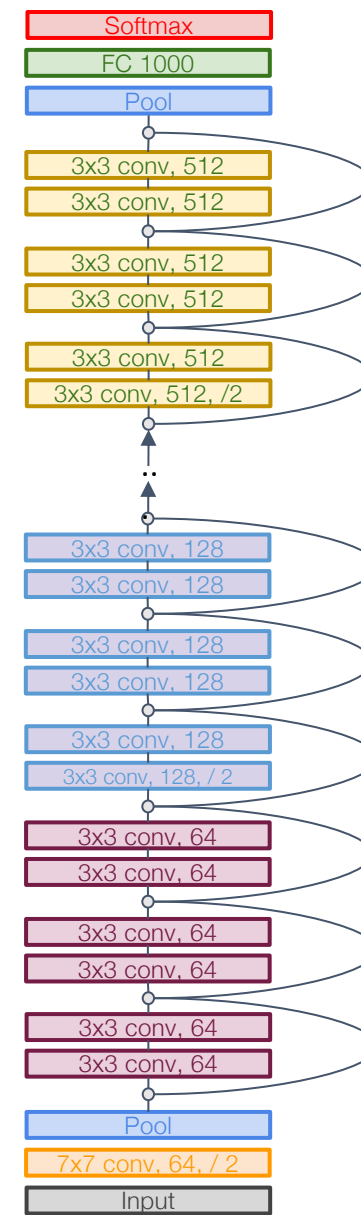
More layers, less computational cost!



He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

Residual Networks

			Stage 1		Stage 2		Stage 3		Stage 4				
	Block type	Stem layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	FC layers	GFLOP	ImageNet top-5 error
ResNet-18	Basic	1	2	4	2	4	2	4	2	4	1	1.8	10.92
ResNet-34	Basic	1	3	6	4	8	6	12	3	6	1	3.6	8.58

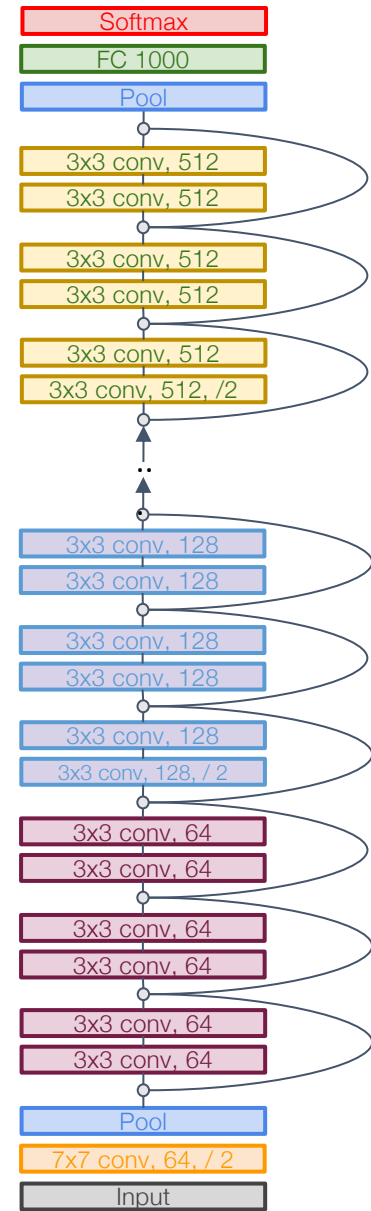


He et al, "Deep Residual Learning for Image Recognition", CVPR 2016
Error rates are 224x224 single-crop testing, reported by [torchvision](#)

Residual Networks

ResNet-50 is the same as ResNet-34, but replaces Basic blocks with Bottleneck Blocks.
This is a great baseline architecture for many tasks even today!

			Stage 1		Stage 2		Stage 3		Stage 4				
	Block type	Stem layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	FC layers	GFLOP	ImageNet top-5 error
ResNet-18	Basic	1	2	4	2	4	2	4	2	4	1	1.8	10.92
ResNet-34	Basic	1	3	6	4	8	6	12	3	6	1	3.6	8.58
ResNet-50	Bottle	1	3	9	4	12	6	18	3	9	1	3.8	7.13

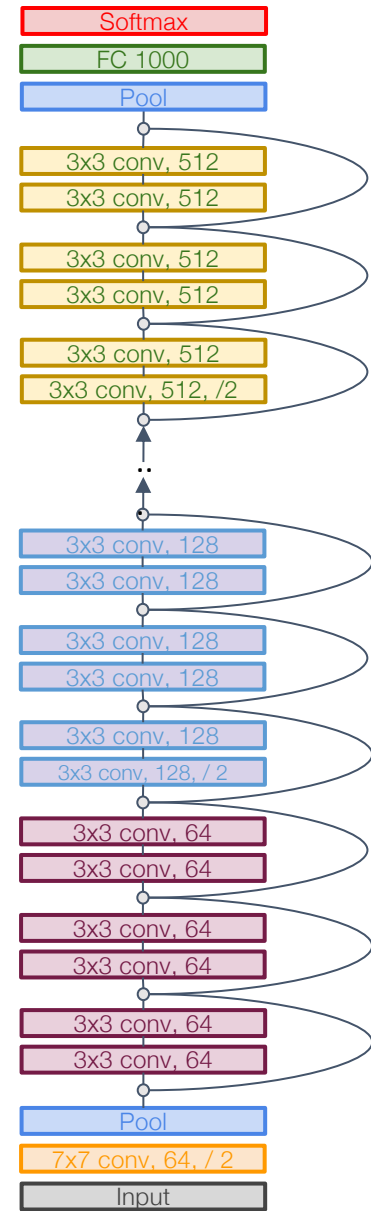


He et al, "Deep Residual Learning for Image Recognition", CVPR 2016
Error rates are 224x224 single-crop testing, reported by [torchvision](https://pytorch.org/torchvision/)

Residual Networks

Deeper ResNet-101 and ResNet-152 models are more accurate, but also more computationally heavy

			Stage 1		Stage 2		Stage 3		Stage 4				
	Block type	Stem layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	FC layers	GFLOP	ImageNet top-5 error
ResNet-18	Basic	1	2	4	2	4	2	4	2	4	1	1.8	10.92
ResNet-34	Basic	1	3	6	4	8	6	12	3	6	1	3.6	8.58
ResNet-50	Bottle	1	3	9	4	12	6	18	3	9	1	3.8	7.13
ResNet-101	Bottle	1	3	9	4	12	23	69	3	9	1	7.6	6.44
ResNet-152	Bottle	1	3	9	8	24	36	108	3	9	1	11.3	5.94



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016
 Error rates are 224x224 single-crop testing, reported by [torchvision](https://pytorch.org/torchvision/)

Residual Networks

- Able to train very deep networks
- Deeper networks do better than shallow networks (as expected)
- Swept 1st place in all ILSVRC and COCO 2015 competitions
- Still widely used today!

MSRA @ ILSVRC & COCO 2015 Competitions

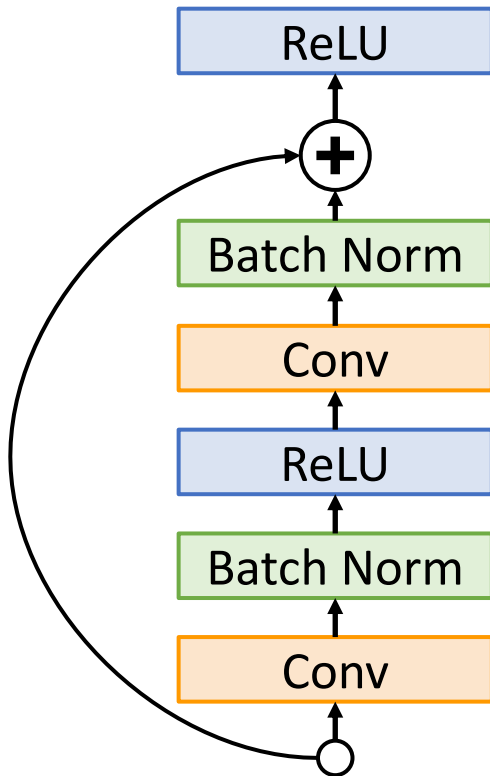
- **1st places in all five main tracks**

- ImageNet Classification: “*Ultra-deep*” (quote Yann) **152-layer** nets
- ImageNet Detection: **16%** better than 2nd
- ImageNet Localization: **27%** better than 2nd
- COCO Detection: **11%** better than 2nd
- COCO Segmentation: **12%** better than 2nd

He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

Improving Residual Networks: Block Design

Original ResNet block



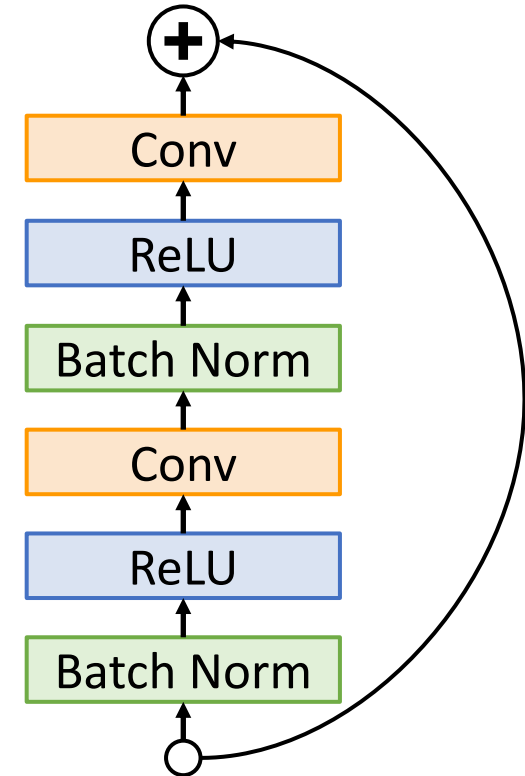
Note ReLU **after** residual:

Cannot actually learn identity function since outputs are nonnegative!

Note ReLU **inside** residual:

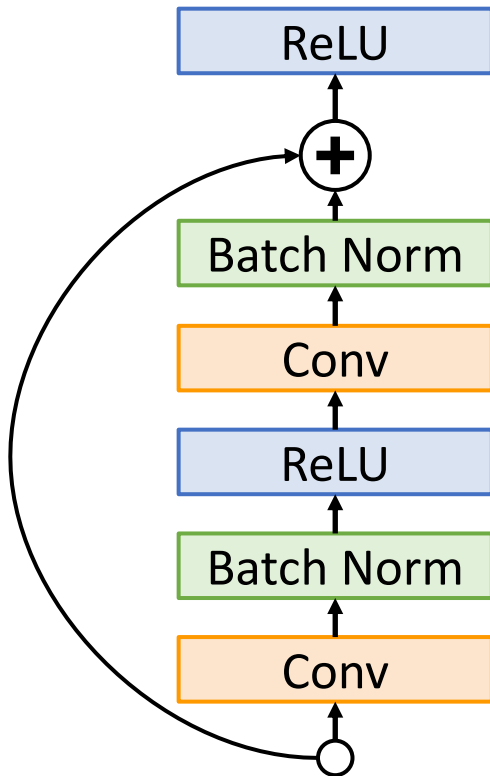
Can learn true identity function by setting Conv weights to zero!

“Pre-Activation” ResNet Block



Improving Residual Networks: Block Design

Original ResNet block



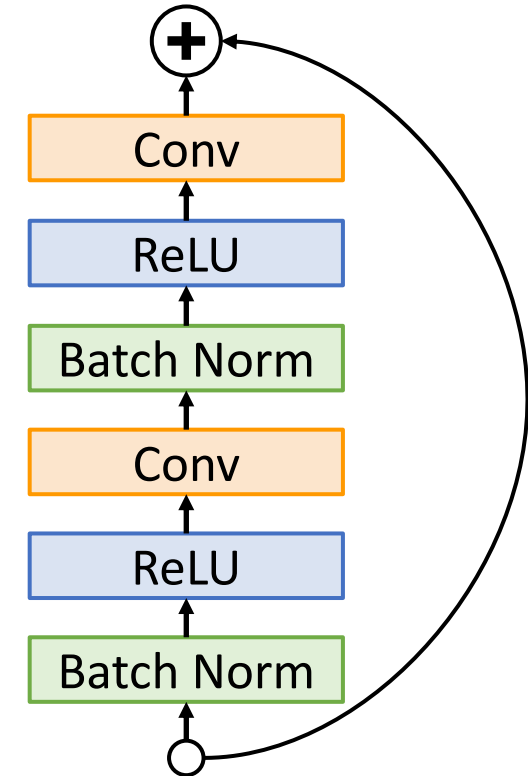
Slight improvement in accuracy
(ImageNet top-1 error)

ResNet-152: 21.3 vs **21.1**

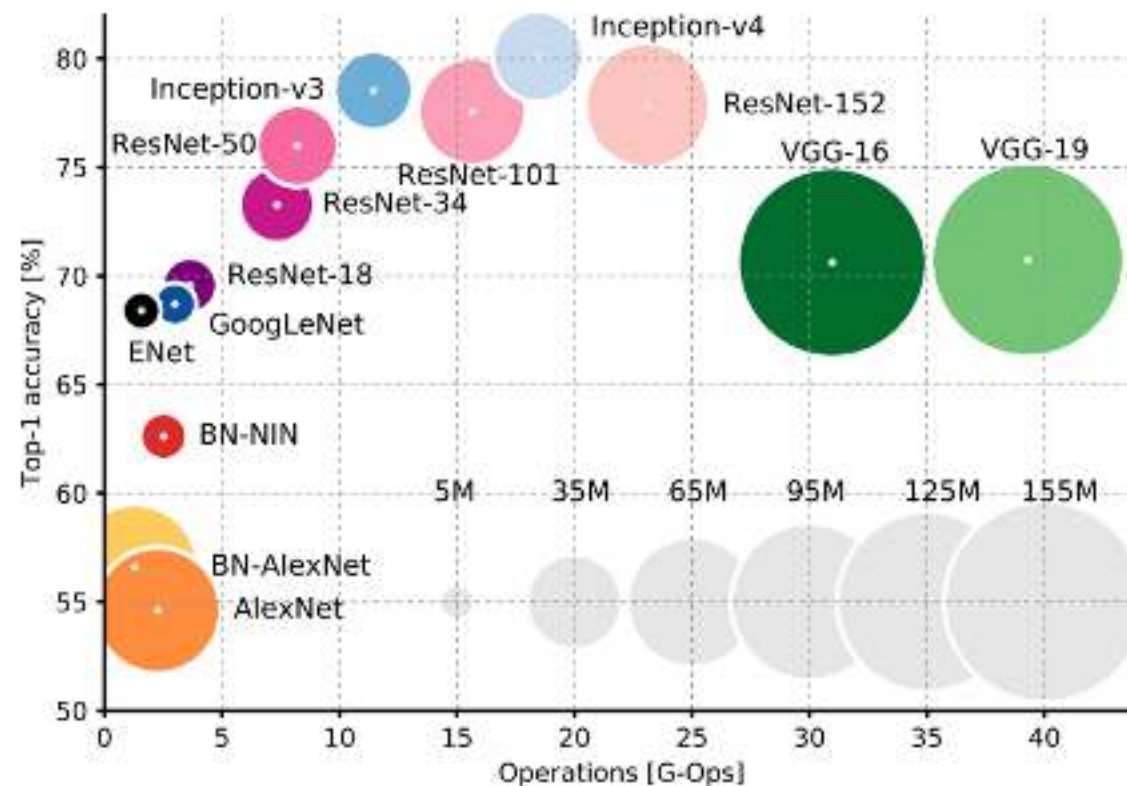
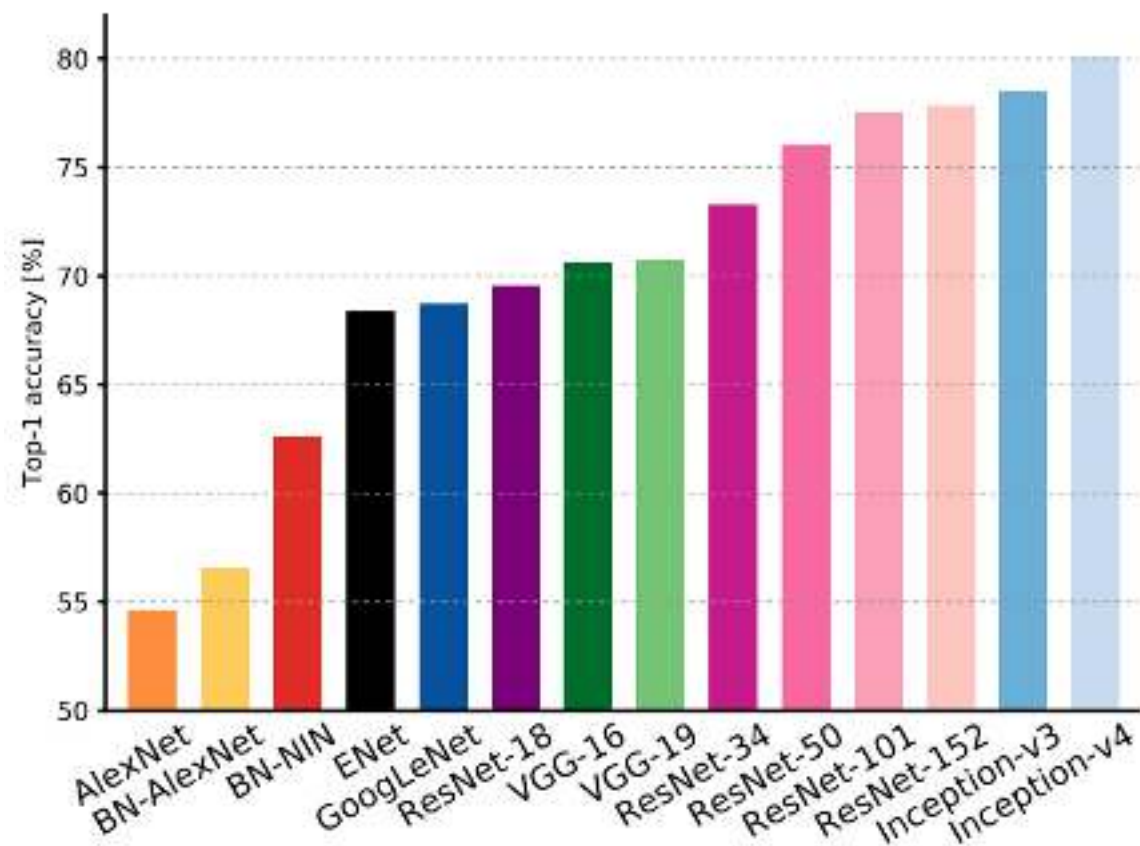
ResNet-200: 21.8 vs **20.7**

Not actually used that much in
practice

“Pre-Activation” ResNet Block



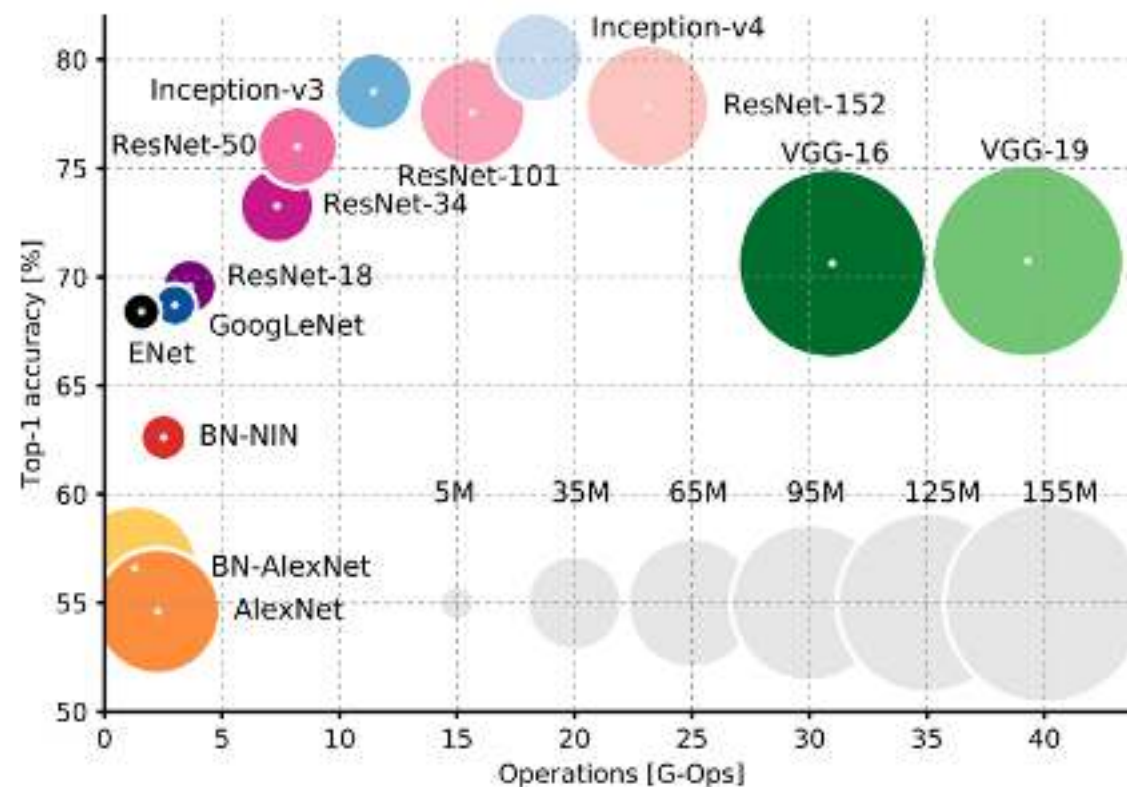
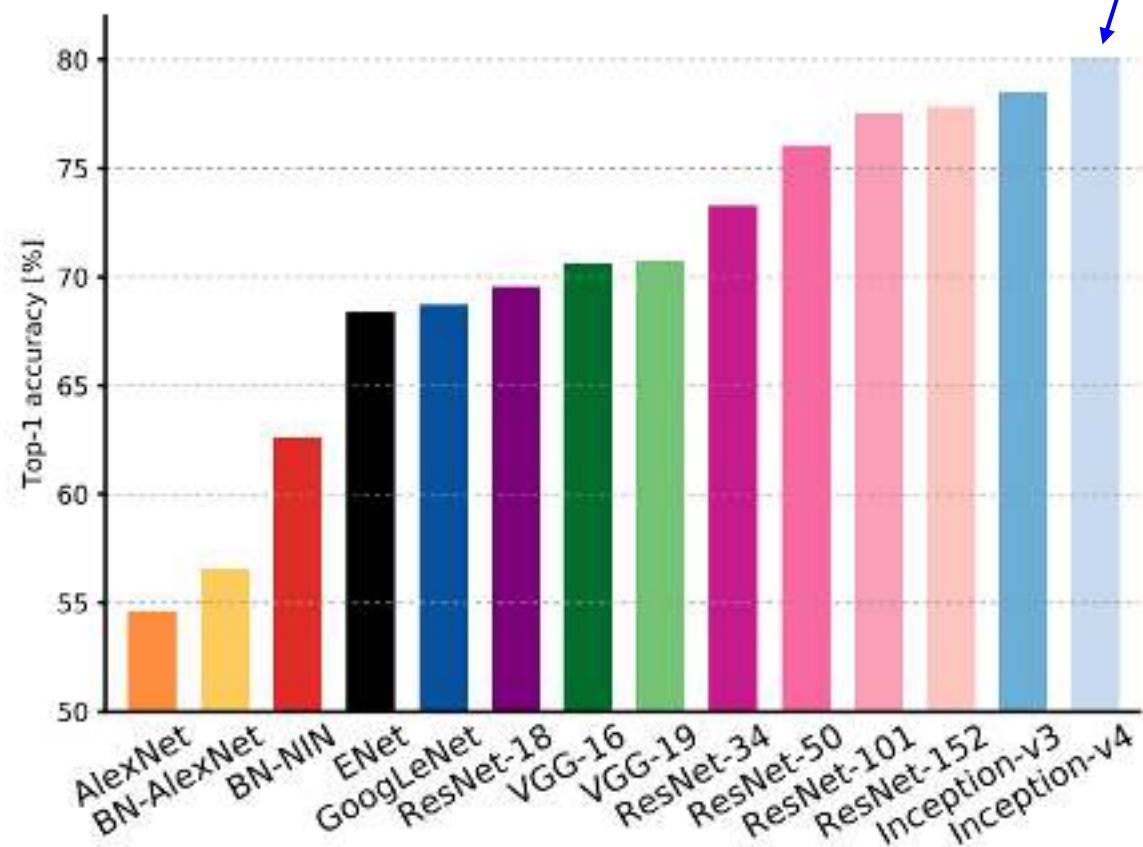
Comparing Complexity



Canziani et al, "An analysis of deep neural network models for practical applications", 2017

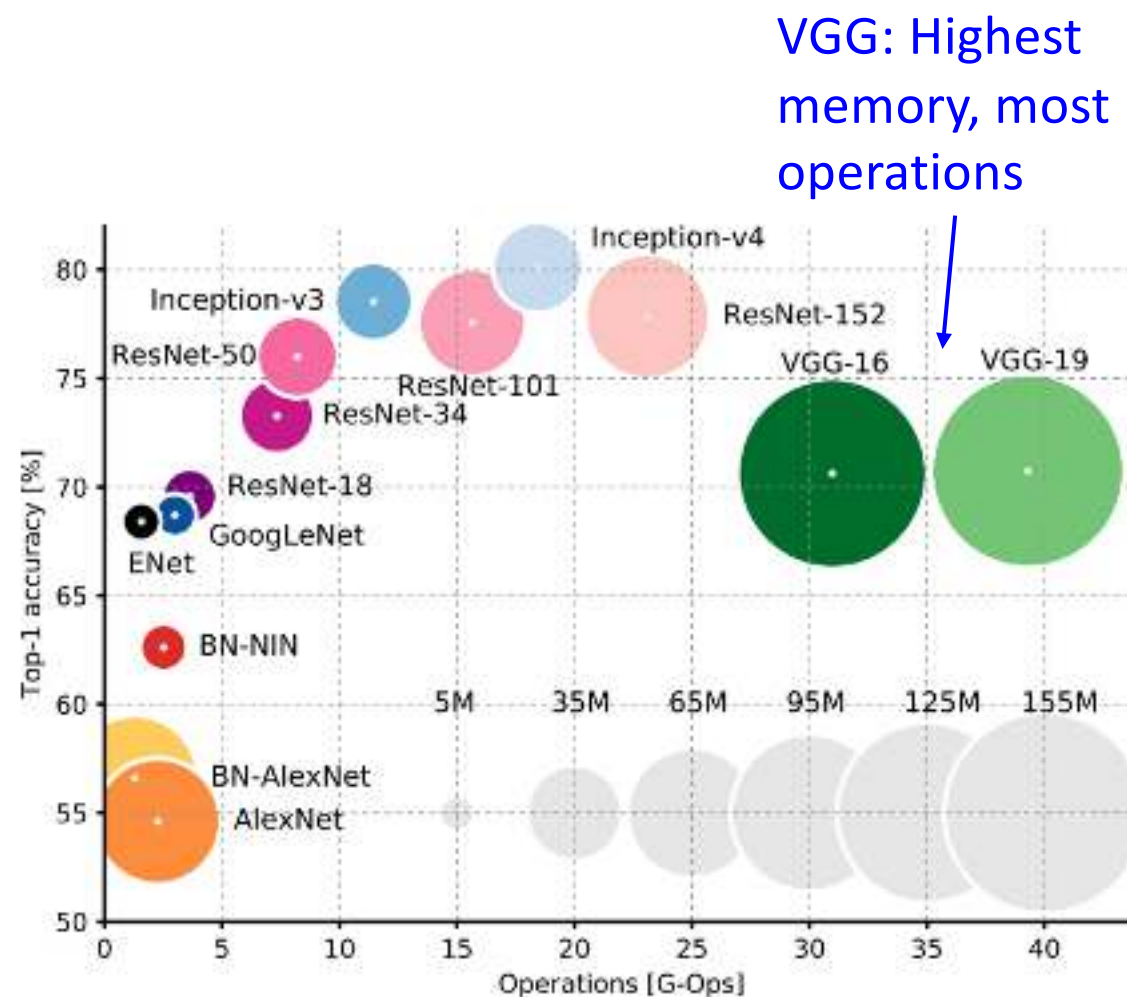
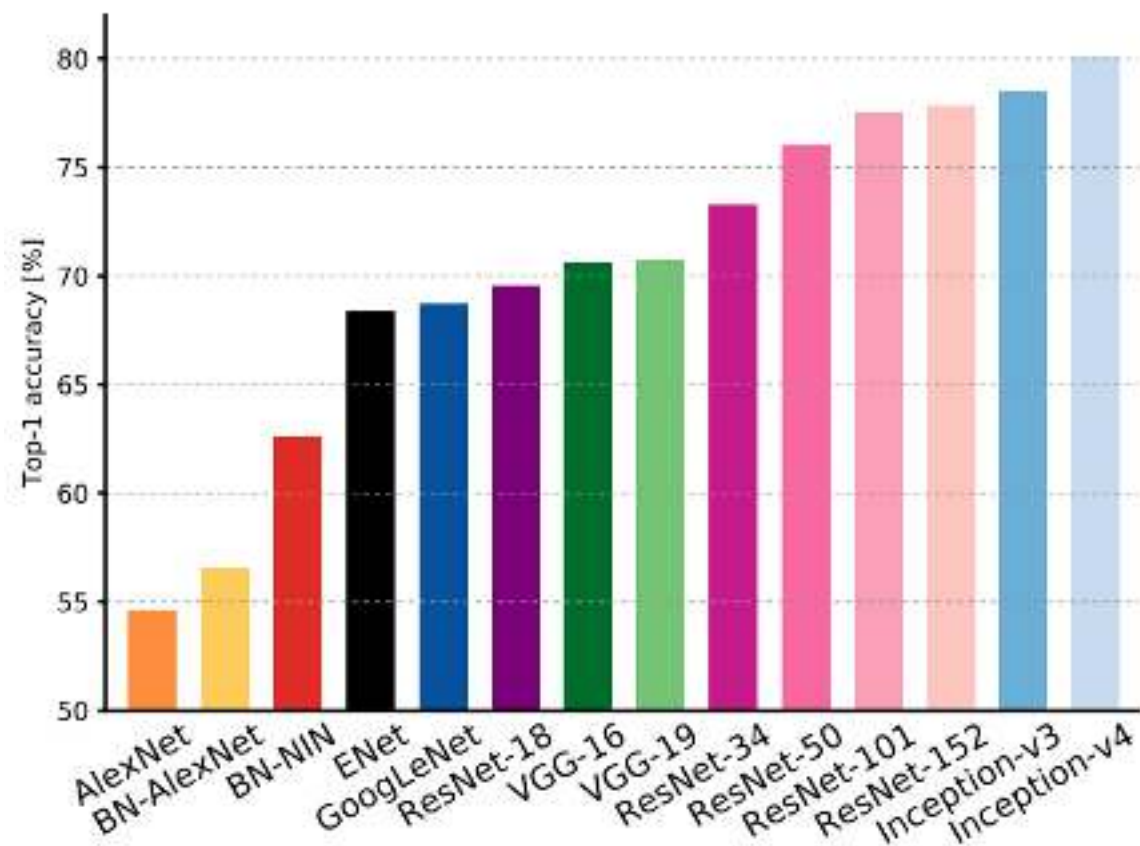
Comparing Complexity

Inception-v4: Resnet + Inception!



Canziani et al, "An analysis of deep neural network models for practical applications", 2017

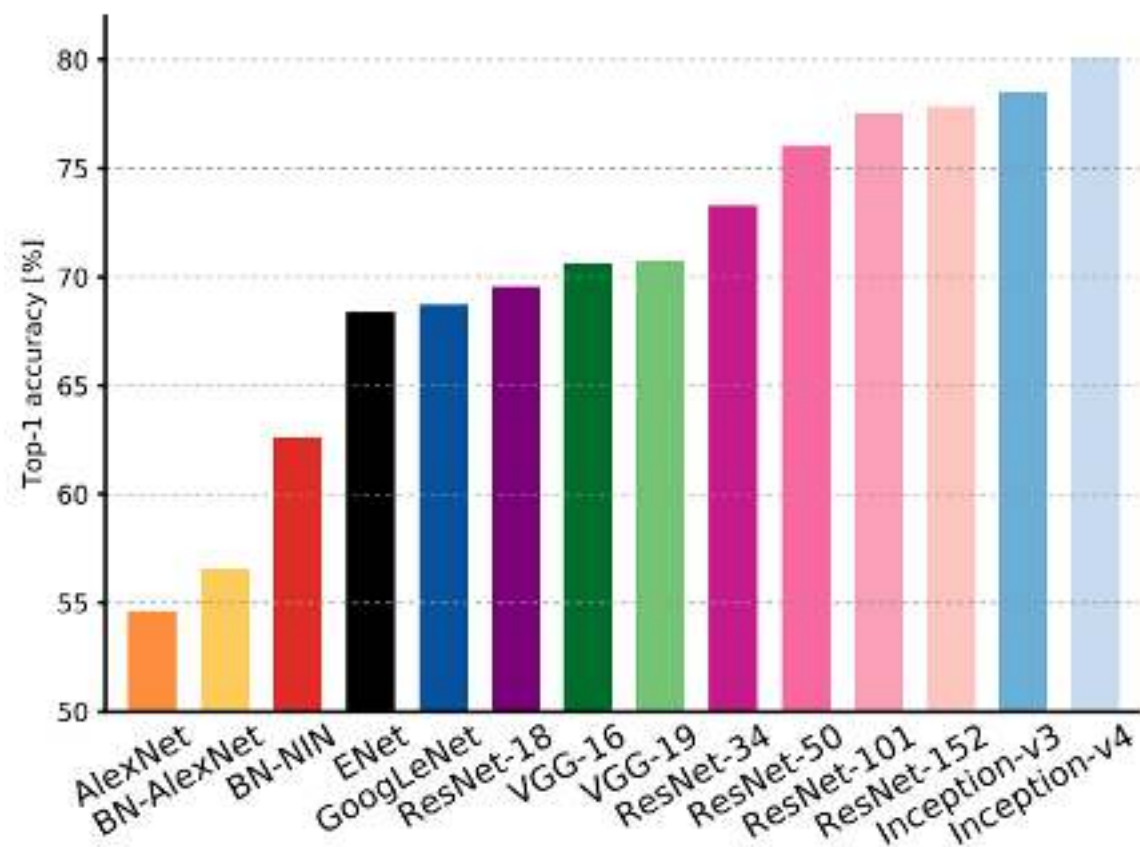
Comparing Complexity



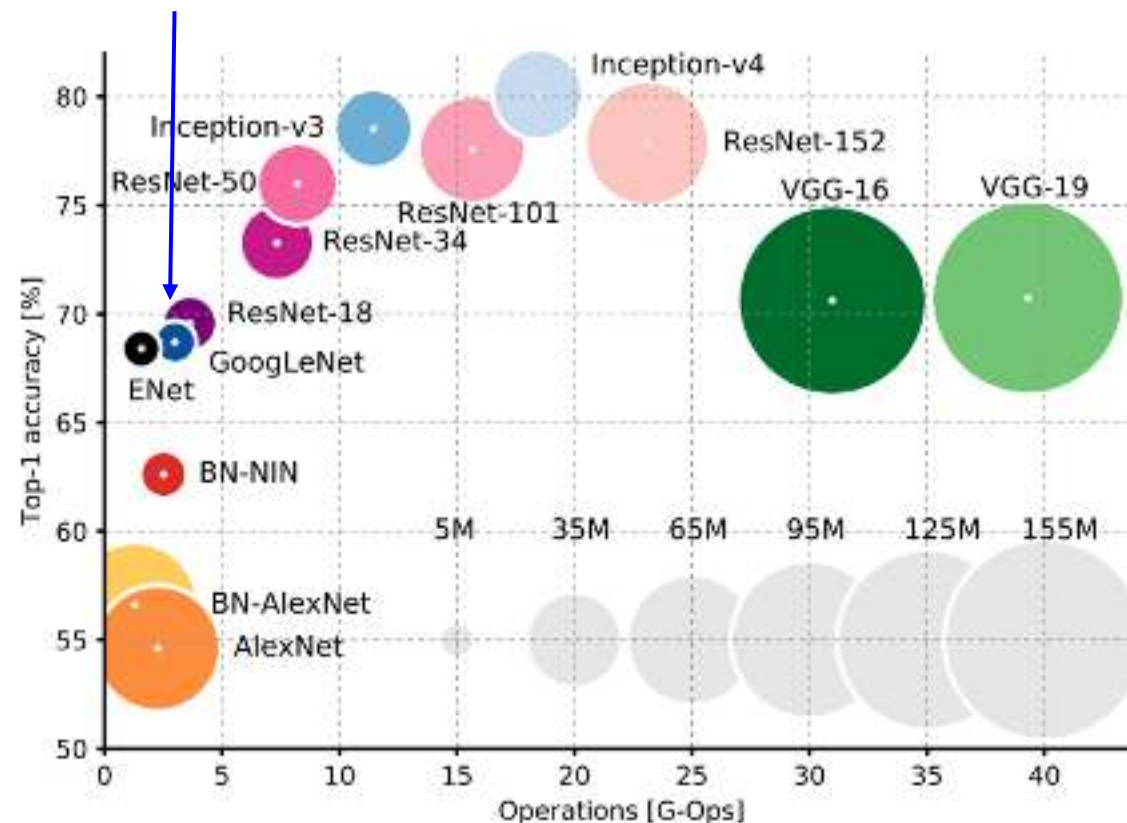
VGG: Highest memory, most operations

Canziani et al, "An analysis of deep neural network models for practical applications", 2017

Comparing Complexity

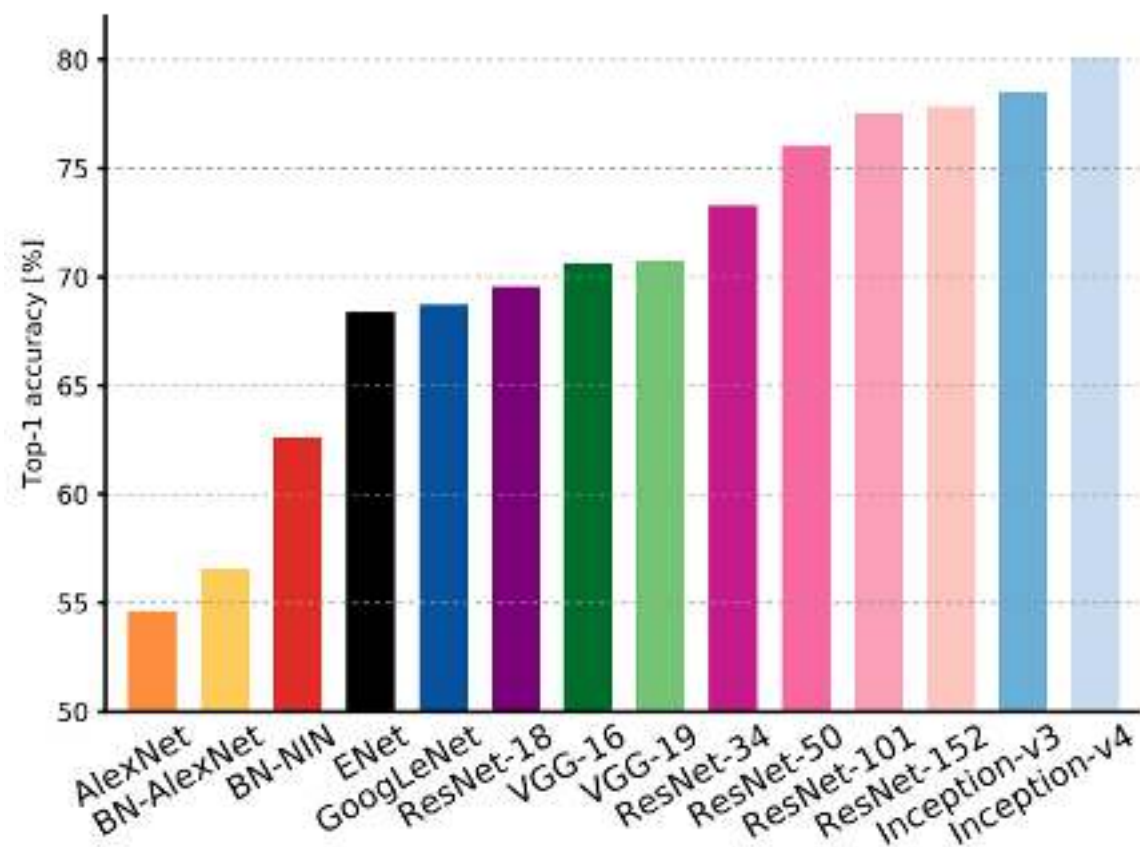


GoogLeNet:
Very efficient!

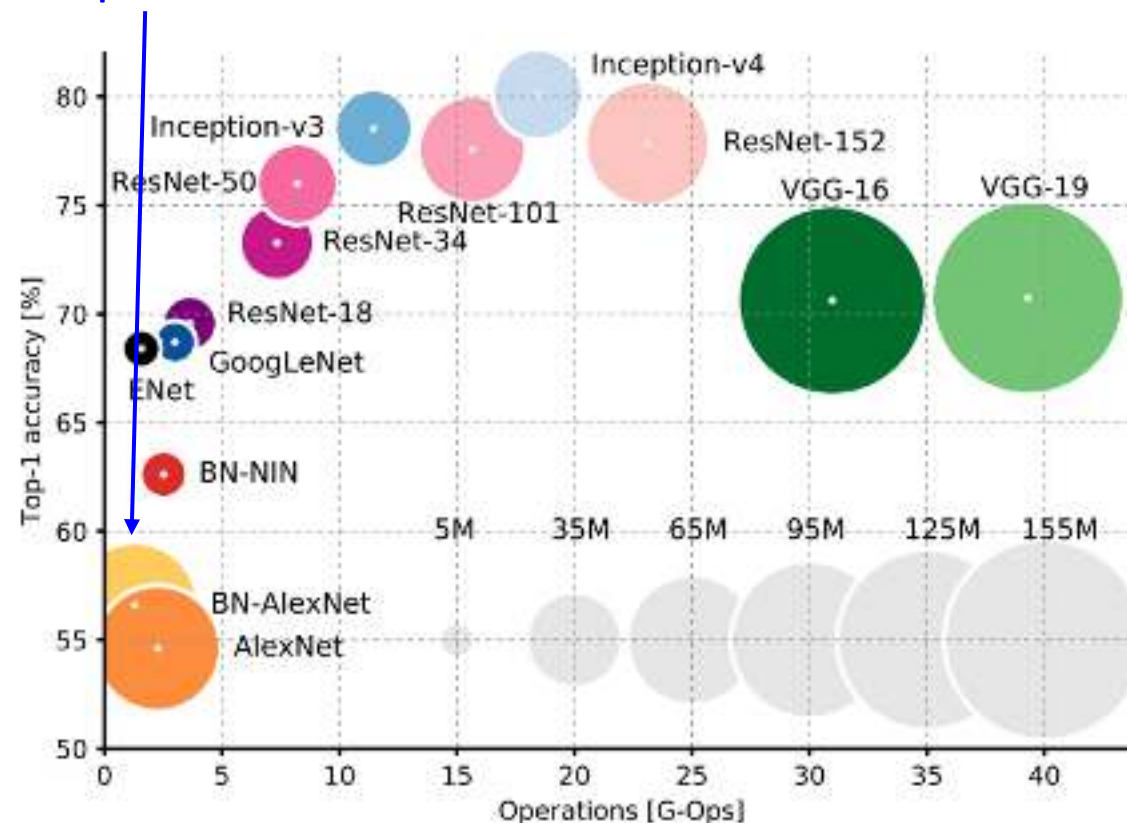


Canziani et al, "An analysis of deep neural network models for practical applications", 2017

Comparing Complexity

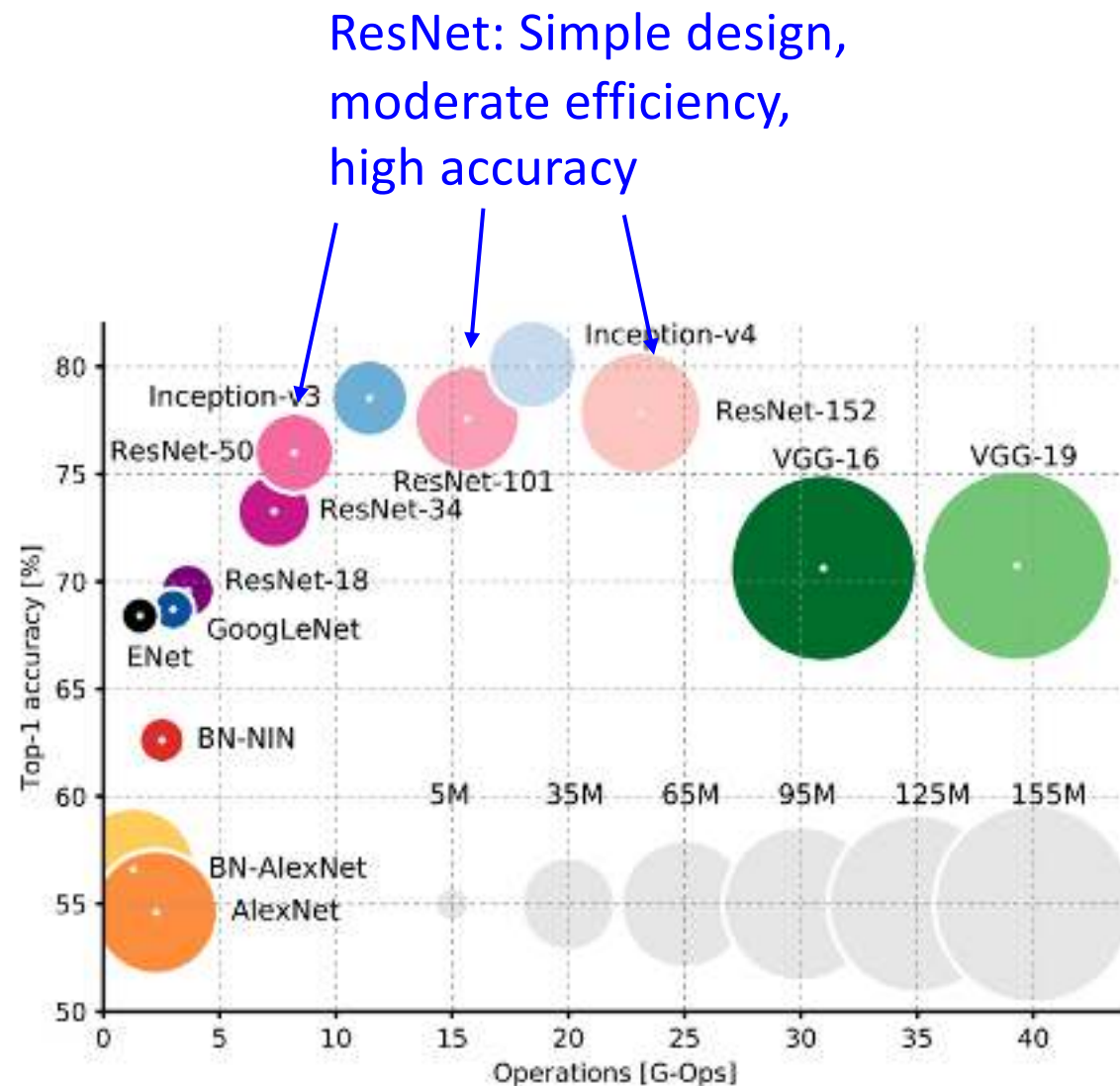
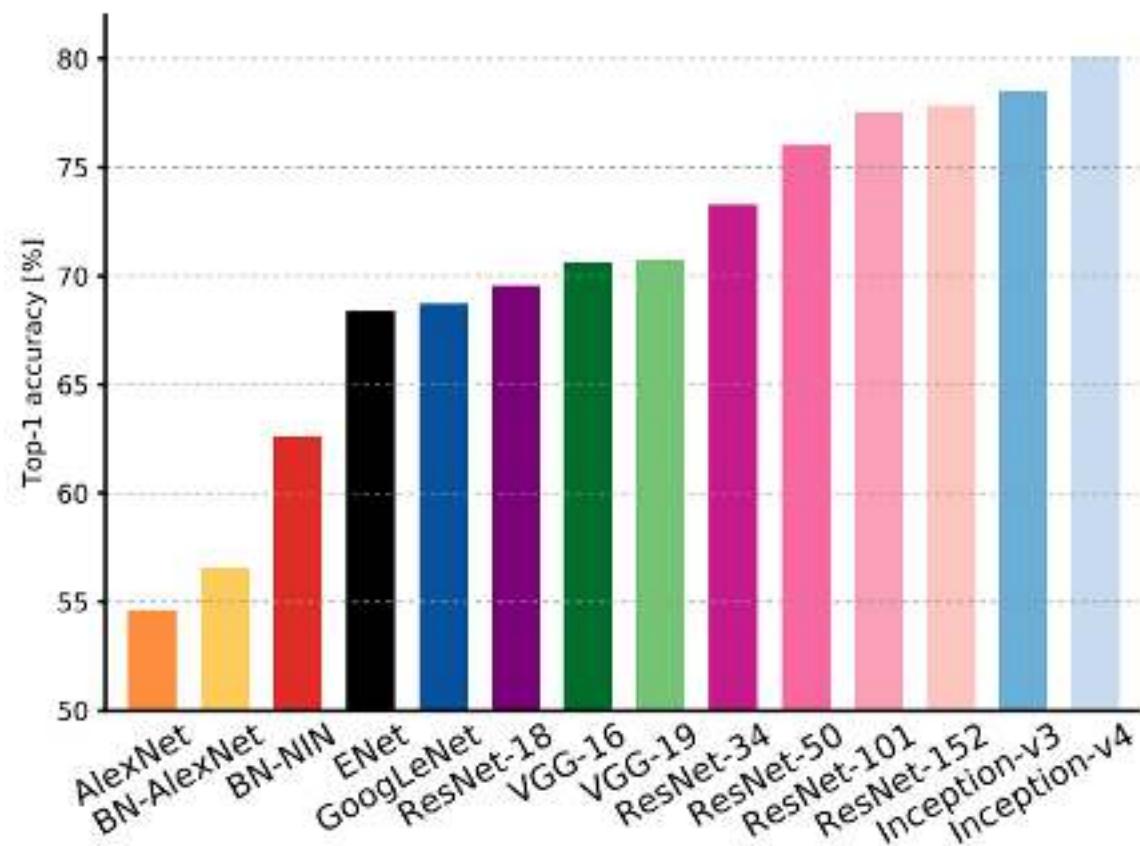


AlexNet: Low
compute, lots
of parameters



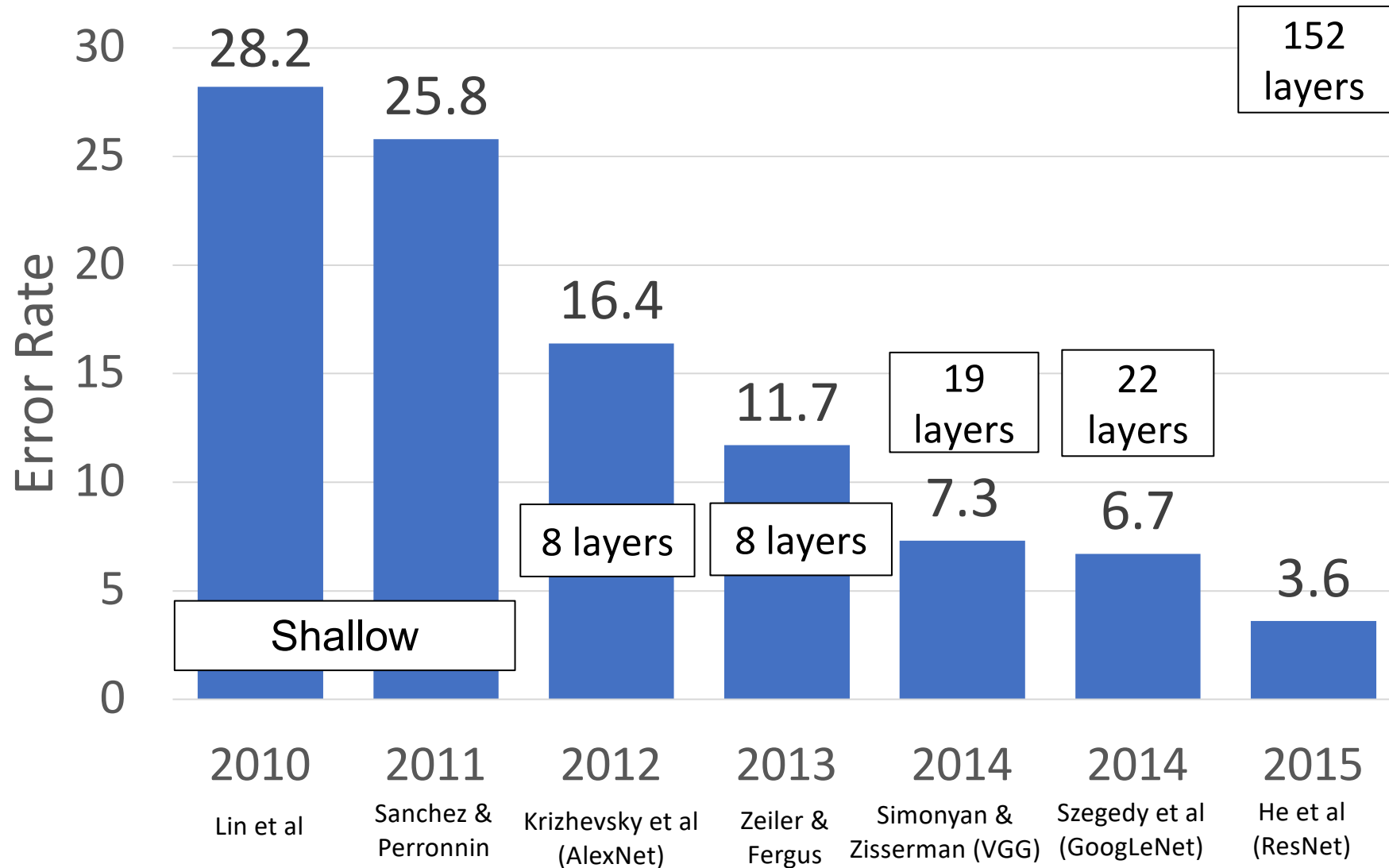
Canziani et al, "An analysis of deep neural network models for practical applications", 2017

Comparing Complexity

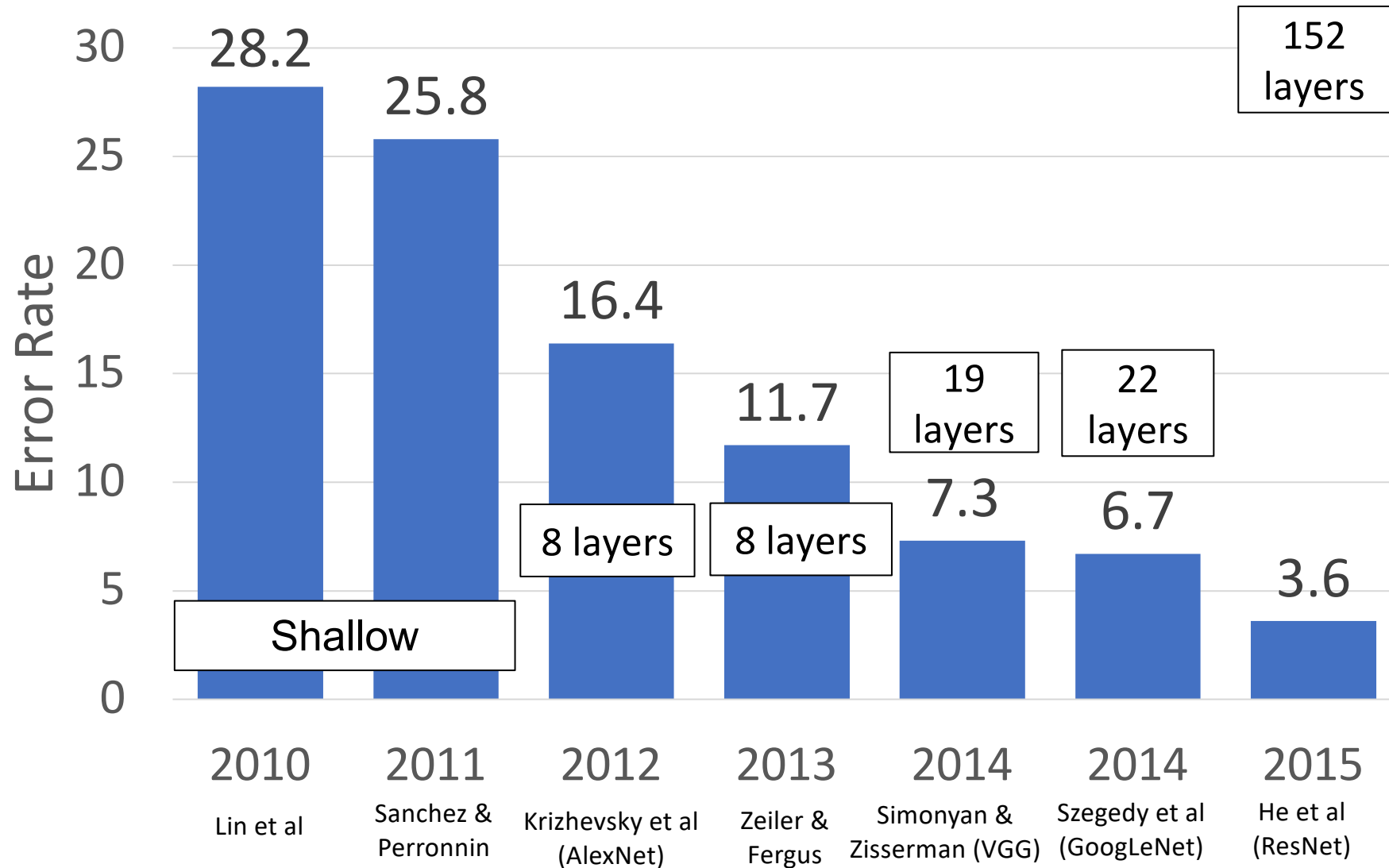


Canziani et al, "An analysis of deep neural network models for practical applications", 2017

ImageNet Classification Challenge



ImageNet Classification Challenge



CNN architectures have continued to evolve!

We will see more in Lecture 11



Next Time:

How to Train your CNN

- Activation functions
- Initialization
- Data preprocessing
- Data Augmentation
- Regularization