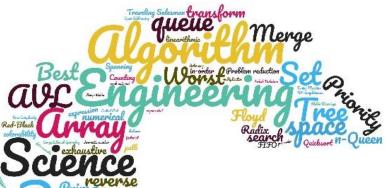
CX1107 Data Structures and Algorithms



Trees

College of Engineering
School of Computer Science and Engineering

Dr. Loke Yuan Ren Lecturer yrloke@ntu.edu.sg

So Far ...

Dynamic Memory Management

```
• #include <stdlib.h>
```

```
• malloc()
```

• free()

```
struct _listnode
{
   int item;
   struct _listnode *next;
};
typedef struct _listnode ListNode;
```

- 1. Display: printList()
- 2. Search: findNode()
- 3. Insert: insertNode()
- 4. Delete: removeNode()
- 5. Size: sizeList()

Linked List vs Array

- Display: Both are similar
- 2. Search: Array is better
- 3. Insert and Delete: Linked List is more flexible
- 4. Size: Array is better

```
void printList(ListNode *cur) {
    while (cur != NULL) {
        printf("%d\n", cur->item);
        cur = cur->next;
}
}
```

```
int sizeList(ListNode *head) {
   int count = 0;
   while (head != NULL) {
      count++;
      head = head->next;
   }
   return count;
}
```

```
ListNode *findNode(ListNode* cur, int i) {
 2
        if (cur==NULL || i<0)
 3
           return NULL;
        while(i>0){
 5
           cur=cur->next;
           if (cur==NULL)
              return NULL;
 8
            i--;
 9
10
        return cur;
11
```

- Display: printList()
- 2. Search: findNode()
- 3. Insert: insertNode()
- 4. Delete: removeNode()
- 5. Size: sizeList()

•••

```
int insertNode(ListNode **ptrHead, int i, int item) {
         ListNode *pre, *newNode;
         if (i == 0) {
             newNode = malloc(sizeof(ListNode));
             newNode->item = item;
 6
             newNode->next = *ptrHead;
             *ptrHead = newNode;
8
             return 1;
9
10
         else if ((pre = findNode(*ptrHead, i-1)) != NULL) {
11
             newNode = malloc(sizeof(ListNode));
12
             newNode->item = item;
13
             newNode->next = pre->next;
14
             pre->next = newNode;
15
             return 1;
16
17
         return 0;
18
```

Stacks and Queues

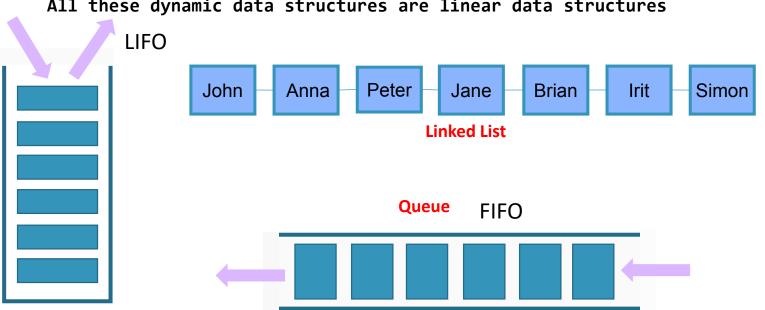
```
typedef struct linkedlist{
     int size;
     ListNode *head;
 LinkedList;
```

Variations of the linked list

- **Doubly Linked List**
- Circular Linked List
- **Circular Doubly Linked List**

Stacks and Queues

All these dynamic data structures are linear data structures



```
typedef ListNode StackNode;
typedef LinkedList Stack;
```

Stack

```
Retrieve: peek()
  Insert: push()
  Delete: pop()
4. Size: isEmptyStack()
```

```
typedef ListNode QueueNode;
typedef struct queue{
   int size;
  ListNode *head:
  ListNode *tail;
 Queue;
```

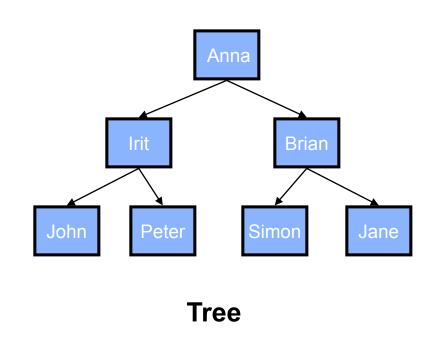
Queue

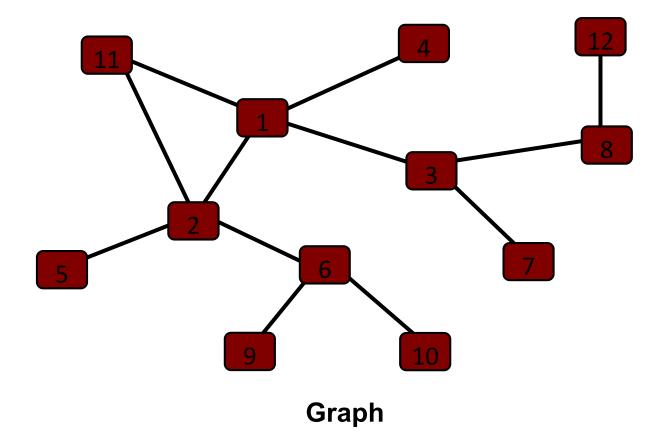
- Retrieve: getFront()
- 2. Insert: enqueue ()
- Delete: dequeue ()
- 4. Size: isEmptyQueue()

Overview

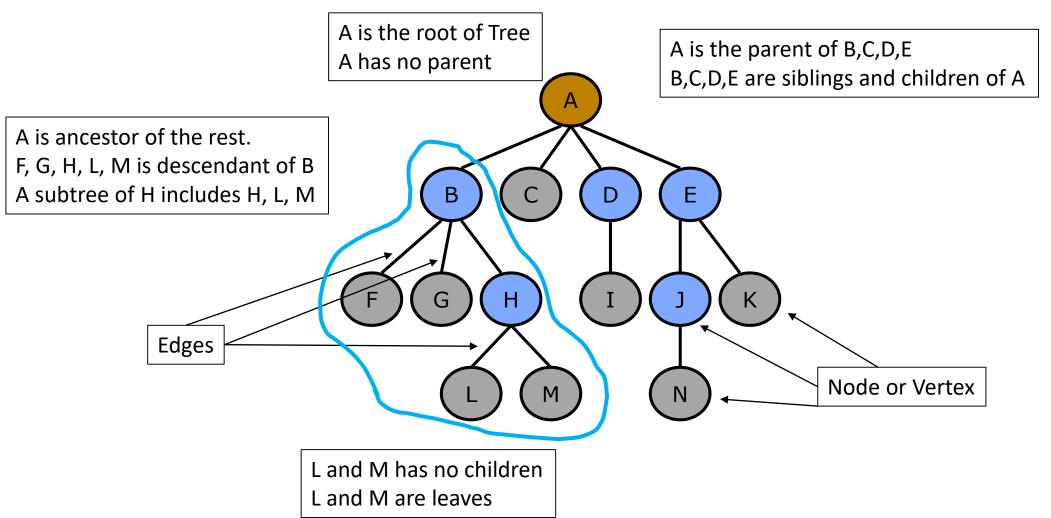
- 1. What are trees?
- 2. Why do you need a tree?
- 3. How to create a tree?
- 4. How to use the tree?

What are trees?



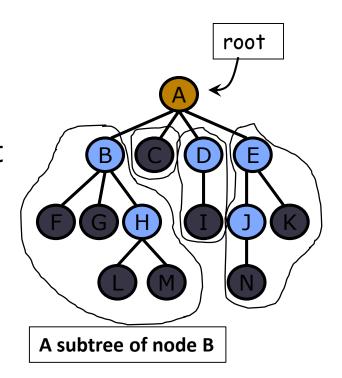


Terminology In Tree



Tree Data Structure

- Similar to family tree concept
- One special node: root
- Each node can has many children
 A has four children: B, C, D, E
- Each node (except the root) has a parent node
- A is the parent of B, C, D, E
- Other children of your parent are your siblings
- B, C, D and E are siblings
- Subtree: Any node in the tree together with all of its descendants for a subtree.



Tree Data Structure

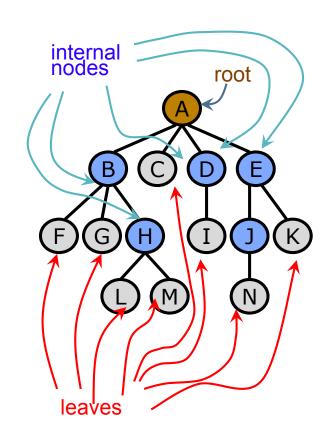
- Tree data structure looks like... a tree: root, branches, leaves
 - Only one root node which has no parent
 - Each node branches out to some number of nodes
 - For binary tree, each node has up to two children (left and right child)
 - Each node has only one "parent" node the node pointing to it (except the root node)



- General tree
 - Each node can have links to any number of other nodes

Tree Data Structure

- A tree is composed of nodes
- Types of nodes
 - Root: only one in a tree, has no parent.
 - Internal node(non-leaf):
 Nodes with children are called internal nodes
 - Leaf (External Node): nodes without children are called leaves



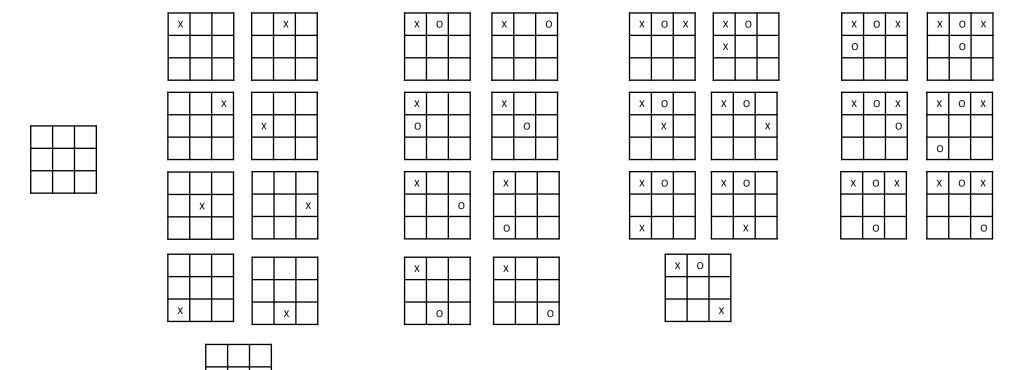
- 1. What are trees?
- 2. Why do you need a tree?
- 3. How to create a tree?
- 4. How to use the tree?

Why Trees?

- Model layouts with hierarchical relationships between items
 - Chain of command in the army
 - Personnel structure in a company
- Optimization problems Huffman coding (a lossless data compression algorithm. It assigns variable-length codes to input characters based on the usage frequency)
- Permutation, Searching Problems
 - Eight Queens Problem
 - Gaming eg. Sudoku, Tic-tac-toe

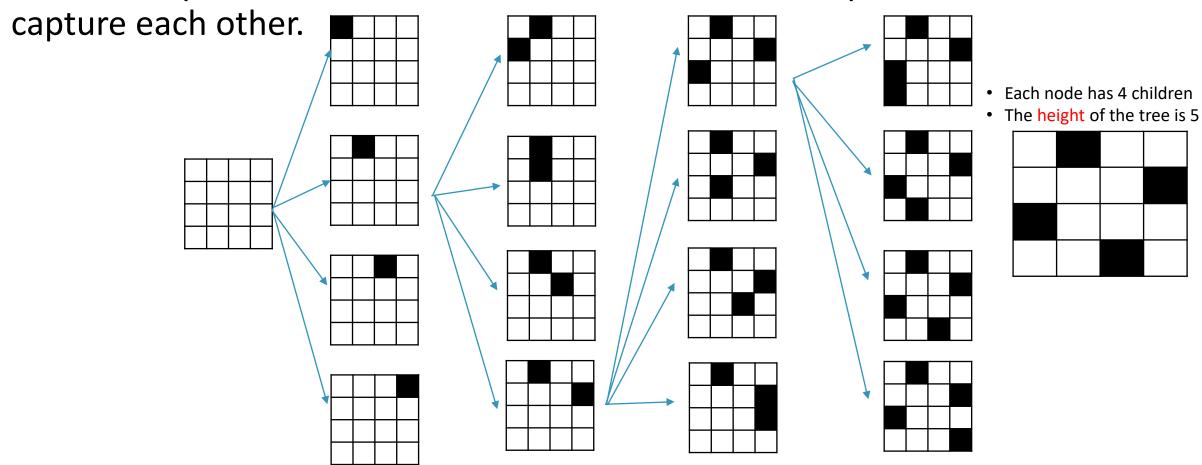
Tic-Tac-Toe

Tic-tac-toe aka noughts and crosses is a paper and pencil game for two players, who take turns marking the spaces in a 3x3 grid. The player who succeeds in placing three of their marks in a horizontal, vertical or diagonal row wins the game



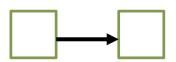
Four Queens Puzzle

Place four queens on a 4x4 chessboard so that no two queens can



- 1. What are trees?
- 2. Why do you need a tree?
- 3. How to create a tree?
- 4. How to use the tree?

Binary Tree Structure

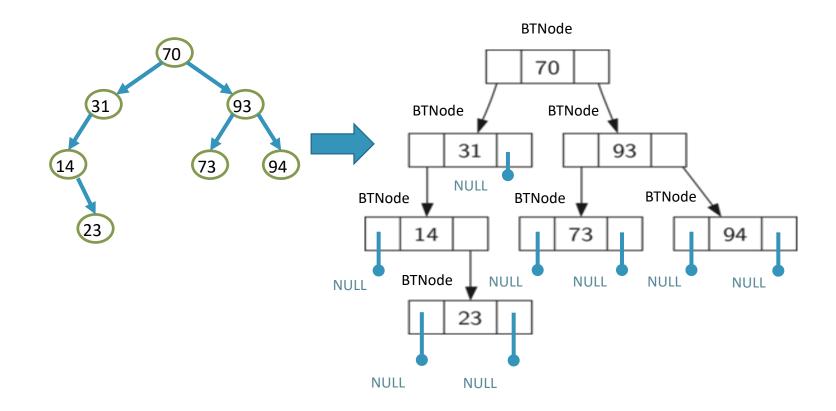


```
typedef struct _listnode{
   int item;
   struct _listnode *next;
}ListNode;
```

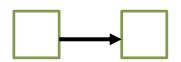


```
typedef struct _btnode{
   int item;
   struct _btnode *left;
   struct _btnode *right;
} BTNode;
```

Example Binary Tree



Tree Traversal Problems

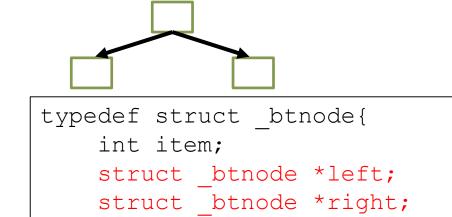


```
typedef struct _listnode{
   int item;
   struct _listnode *next;
}ListNode;
```

Interface Functions

- Display: printList()
- Search: findNode()
- 3. Insert: insertNode()
- 4. Delete: removeNode()
- 5. Size: sizeList()

•••



Traversal Problem:

BTNode;

How to systematically travel each node in the tree?

- 1. What are trees?
- 2. Why do you need a tree?
- 3. How to create a tree?
- 4. How to use the tree?
 - Binary Tree Traversal

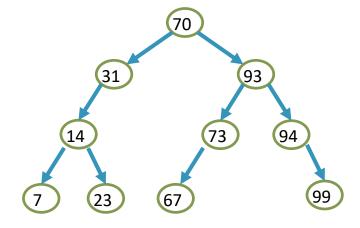
Binary Tree Traversal

typedef struct _btnode{ int item; struct _btnode *left; struct _btnode *right; } BTNode;

Given a binary tree,

how do you systematically visit every nodes once only?

- Print the contents of a tree
- Search a node
- Find the size of a tree
- Insert a node
- Remove a node

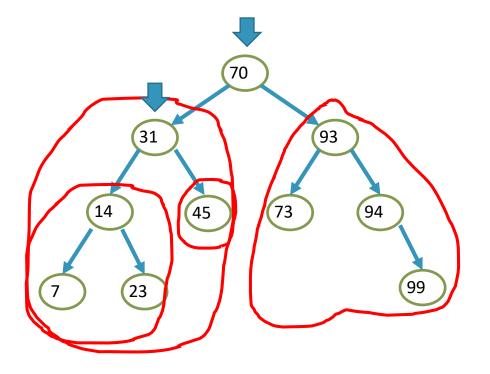


Binary Tree Traversal

Traversal Problem:

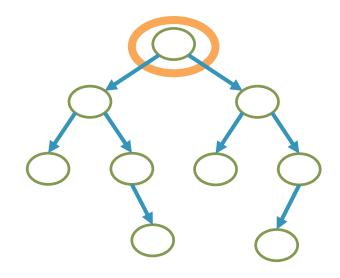
- Visit root + right subtree + left subtree
- Each subtree repeat the same procedure
 visit root + right subtree + left subtree
- Until reach the leave
 visit the root (leaf only)
- It is a recursive problem

```
typedef struct _btnode{
   int item;
   struct _btnode *left;
   struct _btnode *right;
} BTNode;
```



Pseudocode of Binary Tree Traversal

```
TreeTraversal(Node N):
    Visit N;
    If (N has left child)
        TreeTraversal(LeftChild);
    If (N has right child)
        TreeTraversal(RightChild);
    Return; // return to parent
```



This traversal approach is known as pre-order depth first traversal.

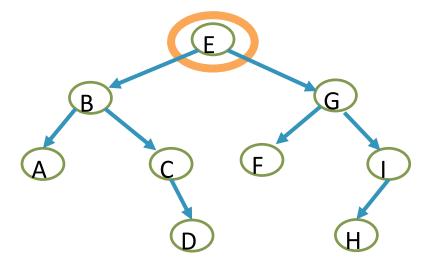
Traversal Approaches on A Binary Tree

 Depth-First Traversal: From the root of a tree, it explores as far as possible. Then it will do the backtracking. There are three traversal orders:

- Pre-order
- In-order
- Post-order
- Breadth-First Traversal: From the root of a tree, it explores each node in level by level.

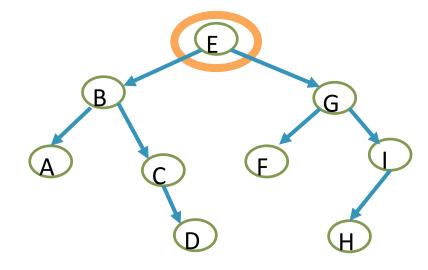
Depth First Traversal: Pre-Order

- Pre-order
 - Process the current node's data
 - Visit the left child subtree
 - Visit the right child subtree
- In-order
- Post-order



Depth First Traversal: In-Order

- Pre-order
 - Process the current node's data
 - Visit the left child subtree
 - Visit the right child subtree
- In-order
 - Visit the left child subtree
 - Process the current node's data
 - Visit the right child subtree
- Post-order



Depth First Traversal: Post-Order

Pre-order

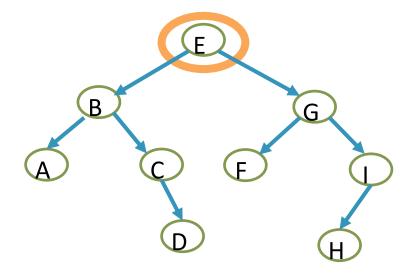
- Process the current node's data
- Visit the left child subtree
- Visit the right child subtree

In-order

- Visit the left child subtree
- Process the current node's data
- Visit the right child subtree

Post-order

- Visit the left child subtree
- Visit the right child subtree
- Process the current node's data



Traversal Approaches on A Binary Tree

- Depth-First Traversal: From the root of a tree, it explores as far as possible. Then it will do the backtracking. There are three traversal orders:
 - Pre-order
 - In-order
 - Post-order
- Breadth-First Traversal: From the root of a tree, it explores each node in level by level.

Breadth-First Traversal: Level-by-level

Level-By-Level Traversal:

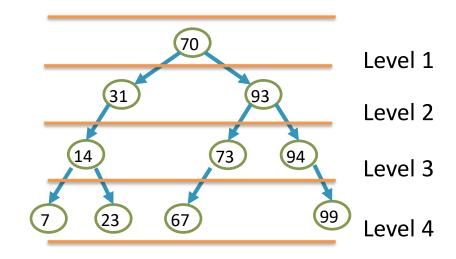
Visit the root (Level 1)

Visit children of the root (Level 2)

Visit grandchildren of the root (Level3) ...

How?

- Visiting the node
- Remember all its children
 - Use a queue (FIFO structure)



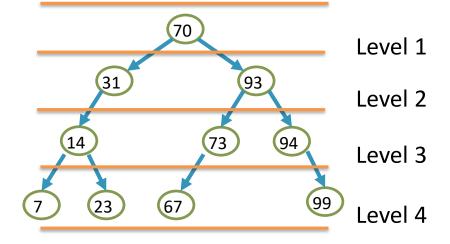
Breadth-First Traversal: Level-by-level

Level-By-Level Traversal:

- Visiting the node
- Remember all its children
 - Use a queue (FIFO structure)

- 1. Enqueue the current node
- 2. Dequeue a node
- 3. Enqueue its children if it is available
- 4. Repeat Step 2 until the queue is empty

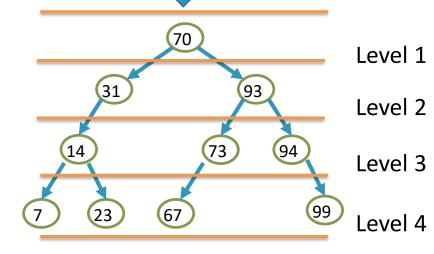




Breadth-First Traversal: Level-by-level

Level-By-Level Traversal:

- 1. Enqueue the current node
- 2. Dequeue a node
- 3. Enqueue its children if it is available
- 4. Repeat Step 2 until the queue is empty

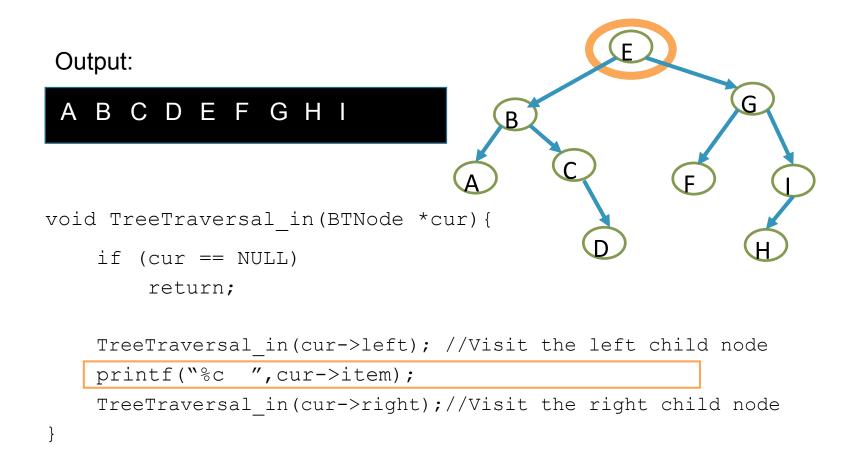


```
void BFT(BTNode *root) {
    Queue *q;
    BTNode* node;
    if(root) {
        enqueue(q,root); //data type of item in queue is BTNode*
        while(!isEmptyQueue(*q)) {
            node = getFront(*q); dequeue(q);
            if(node->left) enqueue(q,node->left);
            if(node->right) enqueue(q,node->right);
        }
    }
}
```

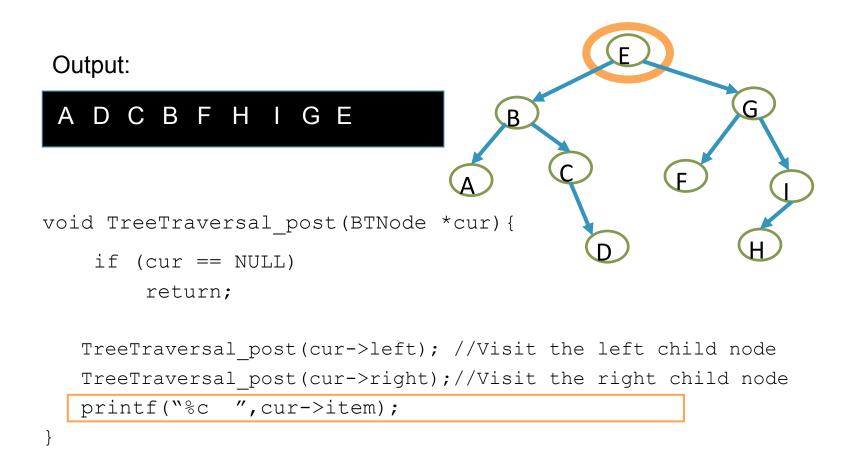
Tree Traversal Pre-order: Print

```
Output:
 E B A C D G F I H
void TreeTraversal pre(BTNode *cur) {
    if (cur == NULL)
        return;
    printf("%c ",cur->item);
    TreeTraversal pre(cur->left); //Visit the left child node
    TreeTraversal pre(cur->right);//Visit the right child node
```

Tree Traversal In-order: print



Tree Traversal Post-order: print



Pre-Order Traversal

E B A C D G F I H

In-Order Traversal

ABCDEFGHI

B G
A C F I

Post-Order Traversal

A D C B F H I G E

Count Nodes in a Binary Tree (SIZE)

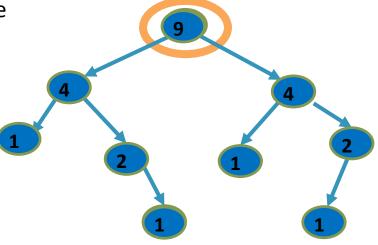
- Recursive definition:
 - Number of nodes in a tree

= 1

- + number of nodes in left subtree
- + number of nodes in right subtree
- Each node returns the number of nodes in its subtree

```
int countNode(BTNode *cur) {
    if (cur == NULL)
        return 0;

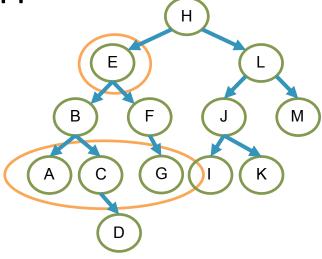
    return (countNode(cur->left)
        + countNode(cur->right)
        + 1);
}
```



Find the k-level Grandchildren

- Given a node X, find all the nodes that are X's grandchildren
- Given node E, we should return grandchild nodes A, C, and G
- What if we want to find k-level grandchildren?
 - Need a way to keep track of how many levels down we've gone

```
1. void findgrandchildren(BTNode *cur, int c){
2.    if (cur == NULL) return;
3.    if (c == k){
4.        printf("%d", cur->item);
5.        return;
6.    }
7.    if (c < k){
8.        findgrandchildren(cur->left, c+1);
9.        findgrandchildren(cur->right, c+1);
10.}
```



2-level grandchildren

X->left->left
X->left->right
X->right->left
X->right->right

Calculate Height of Every Node

We want each node to report its height

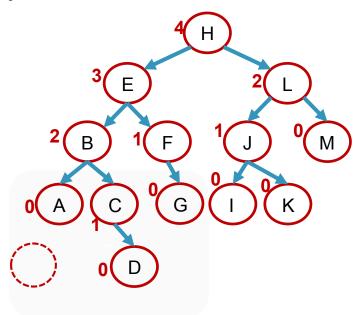
- Leaf node must report 0
- At "null" condition, must report -1

```
int TreeTraversal(BTNode *cur) {
    if(cur == NULL)
        return -1;

    int l = TreeTraversal(cur->left);
    int r = TreeTraversal(cur->right);

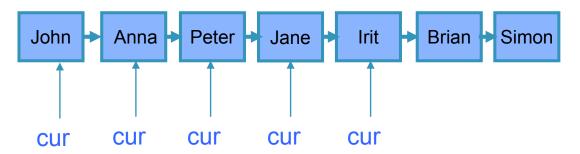
    int c = max (l, r) + 1;

    return c;
}
```



Sequential Search by a linked list/array

 Given a linked list of names, how do we check whether a given name(e.g., Irit) is in the list?



```
while (cur!=NULL) {
    if cur->item == "Irit"
        found and stop searching;
    else
        cur = cur->next; }
```

```
How many nodes are visited during search?
--best case: 1 node (John) => \Theta(1)
--worst case: 7 nodes (Simon) => \Theta(n)
--avg. case: (1+2+3+...+7)/7=4 nodes => \Theta(n)
```

Sequential Search by a binary tree

Given a binary tree of names, how do we check whether a

given name(e.g., Brian) is in the tree?

 Use the TreeTraversal (Pre-order) template, to check every node

```
Anna

Cur

Anna

Cur

Anna

Cur

Simon

Jane
```

```
TreeTraversal (Node N)
  if N==NULL return;
  if N.item=given_name return;
  TreeTraversal (LeftChild);
  TreeTraversal (RightChild);
  Return;
```

How many nodes are visited during search?

- --best case: 1 node (John) => $\Theta(1)$
- --worst case: 7 nodes (Simon) => $\Theta(n)$
- --avg. case: (1+2+3+...+7)/7=4 nodes => $\Theta(n)$

Summary

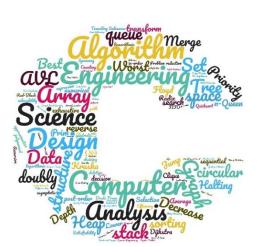
- The difference between linked lists and tree structures (linear and non-linear data structures)
- Overview of Tree
- Tree Traversal
 - Depth-First Traversal
 - Pre-order Traversal
 - In-order Traversal
 - Post-order Traversal
 - Breadth-First Traversal: Level-by-level traversal
- Examples



Make sure that you know the difference among them

SC1007 Data Structures and Algorithms

Binary Search Tree

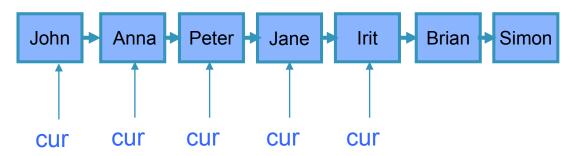


Dr. Loke Yuan Ren Lecturer yrloke@ntu.edu.sg



Linear Search by a linked list/array

 Given a linked list of names, how do we check whether a given name(e.g., Irit) is in the list?



```
while (cur!=NULL) {
    if cur->item == "Irit"
        found and stop searching;
    else
        cur = cur->next; }
```

```
How many nodes are visited during search?
--best case: 1 node (John) => \Theta(1)
--worst case: 7 nodes (Simon) => \Theta(n)
--avg. case: (1+2+3+...+7)/7=4 nodes => \Theta(n)
```

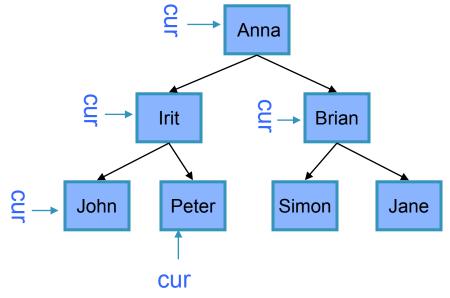
Linear Search by a binary tree

 Given a binary tree of names, how do we check whether a given name(e.g., Brian) is in the tree?

 Use the TreeTraversal (Pre-order) template, to check every node

How do we insert data into the binary tree?

```
TreeTraversal (Node N)
  if N==NULL return;
  if N.item=given_name return;
  TreeTraversal (LeftChild);
  TreeTraversal (RightChild);
  Return;
```



```
How many nodes are visited during search?

--best case: 1 node (John) => \Theta(1)

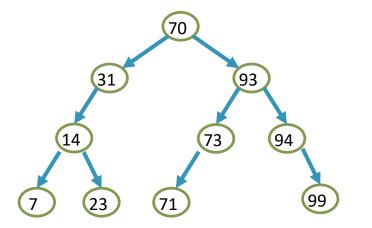
--worst case: 7 nodes (Simon) => \Theta(n)

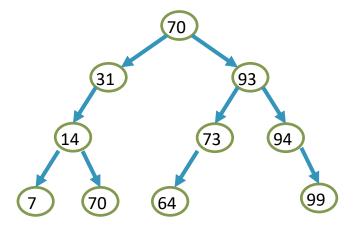
--avg. case: (1+2+3+...+7)/7=4 nodes => \Theta(n)
```

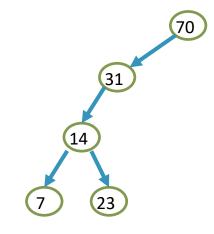
Binary Search Tree

If the given binary tree is a binary search tree (BST), then each node in the tree satisfies the following properties:

- 1. Node's value is greater than all values in its left subtree.
- 2. Node's value is less than all values in its right subtree.
- 3. Both subtrees of the node are also binary search trees.







Binary Search Tree: Search BTNode* findBSTNode (BTNode *cur, char c) {

- The approach is a decrease-andconquer approach
- A problem is divided into two smaller and similar sub-problem, one of which does not even have to be solved
- The method uses the information of the order to reduce the search space.

```
if (cur == NULL) {
   printf("can't find!");
   return cur;
if(c==cur->item) {
   printf("Found!\n");
   return cur;
if(c<cur->item)
   return findBSTNode(cur->left,c);
else
   return findBSTNode(cur->right,c);
```

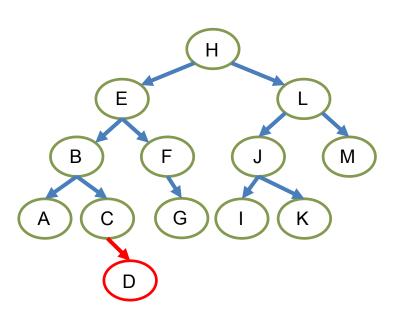
```
void TreeTraversal_pre(BTNode *cur) {
   if (cur == NULL) return;

   printf("%c ",cur->item);

   TreeTraversal_pre(cur->left);
   TreeTraversal_pre(cur->right);
}
```

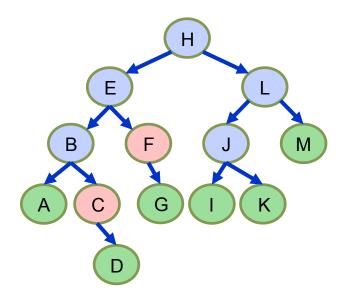
Binary Search Tree: Insertion

- After insert a node, the BST must remain as a BST
- A duplicate node is not allowed for insertion
- A unique position of the given BST will be given to the node

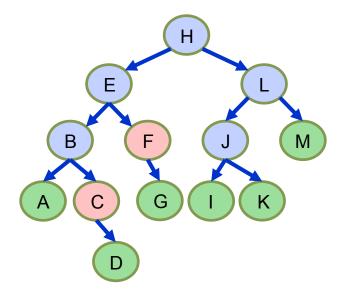


```
BTNode* insertBSTNode(BTNode* cur, char c)
{
    if (cur == NULL) {
        BTNode* node = (BTNode*) malloc(sizeof(BTNode));
        node->item = c;
        node->left = node->right = NULL;
        return node;
    }
    if (c < cur->item)
        cur->left = insertBSTNode (cur->left, c);
    else if (c > cur->item)
        cur->right = insertBSTNode (cur->right, c);
    return cur;
}
```

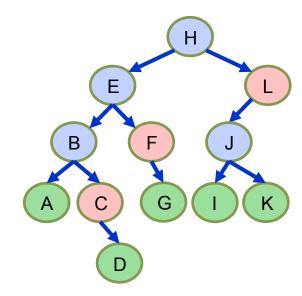
- After remove a node X, the BST must remain as a BST
- Deletion operation on a BST is a bit tricky
- Three cases to be considered:
 - 1. X has no children
 - 2. X has one child
 - 3. X has two children



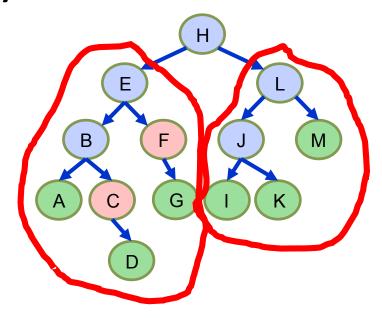
- After remove a node X, the BST must remain as a BST
- Deletion operation on a BST is a bit tricky
- Three cases to be considered:
 - 1. X has no children
 - Remove X



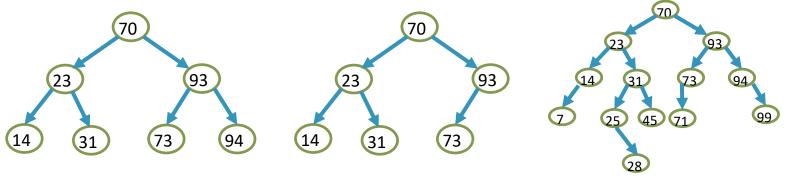
- After remove a node X, the BST must remain as a BST
- Deletion operation on a BST is a bit tricky
- Three cases to be considered:
 - 2. X has one child
 - Replace X with Y
 - Remove X



- After remove a node X, the BST must remain as a BST
- Deletion operation on a BST is a bit tricky
- Three cases to be considered:
 - 3. X has two children
 - Swap x with successor
 - o the (largest) rightmost node in left subtree
 - o the (smallest) leftmost node in right subtree
 - o Perform case 1 or 2 to remove it

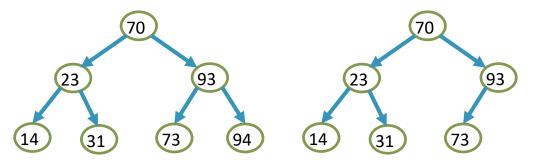


Terminology



- The Height of a tree: The number of edges on the longest path from the root to a leaf
- The Depth/Level of a node: The number of edges from the node to the root of its tree.
- Empty Binary Tree: A binary tree with no nodes. It is still considered as a tree.
- Full Binary Tree: A binary tree of height H with no missing nodes. All leaves are at level H and all other nodes each have two children
- Complete Binary Tree: A binary tree of height H that is full to level H-1 and has level H filled in from left to right
- Balanced Binary Tree: A binary tree in which the left and right subtrees of any node have heights that differ by at most 1

Terminology



- The Height of a tree: The number of edges on the longest path from the root to a leaf
- The Depth/Level of a node: The number of edges from the node to the root of its tree.

For a complete binary tree with height *H*, we have:

$$2^{H}-1 < n \le 2^{H+1}-1$$

where *n* is an integer and the size of the tree

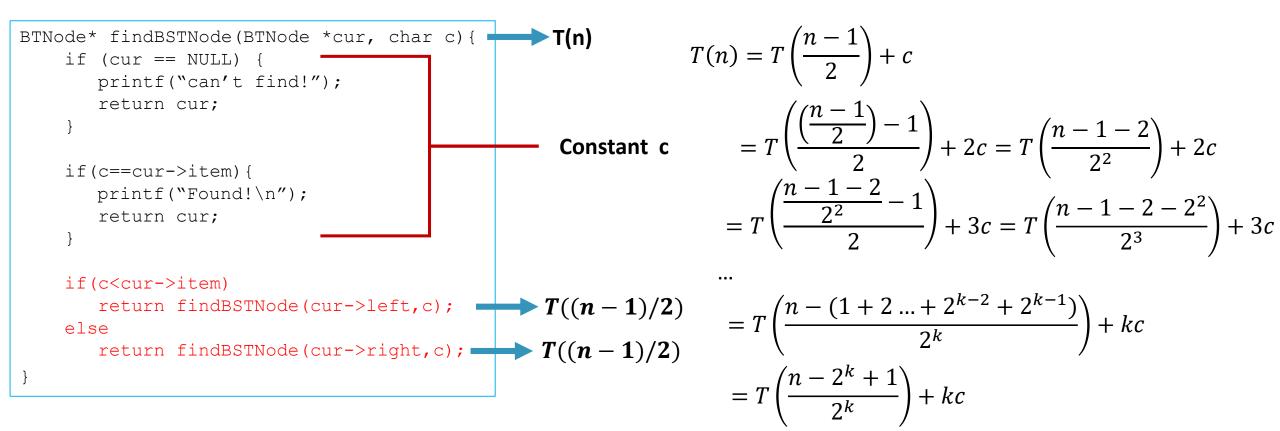
$$2^{H} \le n < 2^{H+1}$$
 (eg. $7 < n \le 15 \equiv 8 \le n < 16$)
 $1 \le \log_2 n < 16$

If H is an integer, H+1 must be the next integer.

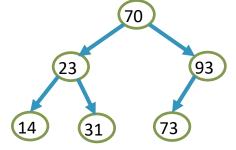
Minimal Height =
$$\lfloor \log_2 n \rfloor$$

Binary Search – Worst Case Time complexity

Assumed that it is a complete binary tree



Binary Search – Worst Case Time complexity



Assumed that it is a complete binary tree

$$= T\left(\frac{n-2^{k}+1}{2^{k}}\right) + kc$$

$$0 < \frac{n-2^{k}+1}{2^{k}} \le 1$$

$$0 < \frac{n+1}{2^{k}} - 1 \le 1$$

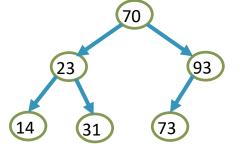
$$1 < \frac{n+1}{2^{k}} \le 2$$

$$2^{k} < n+1 \le 2^{k+1}$$

$$k < \log_{2}(n+1) \le k+1$$

$$\lceil \log_{2}(n+1) \rceil = k+1$$

Binary Search – Worst Case Time complexity



Assumed that it is a complete binary tree

```
BTNode* findBSTNode(BTNode *cur, char c) {
                                                 → T(n)
    if (cur == NULL)
       printf("can't find!");
       return cur;
                                                    Constant c
    if(c==cur->item) {
       printf("Found!\n");
       return cur;
    if(c<cur->item)
       return findBSTNode(cur->left,c); \longrightarrow T((n-1)/2)
    else
       return findBSTNode(cur->right,c); \longrightarrow T((n-1)/2)
```

$$= T\left(\frac{n-2^k+1}{2^k}\right) + kc$$

$$\lceil \log_2(n+1) \rceil = k+1$$

$$\lceil \log_2 n \rceil + 1 = k+1$$

$$k = \lceil \log_2 n \rceil$$

$$= c + kc$$

$$= (\lceil \log_2 n \rceil + 1)c$$

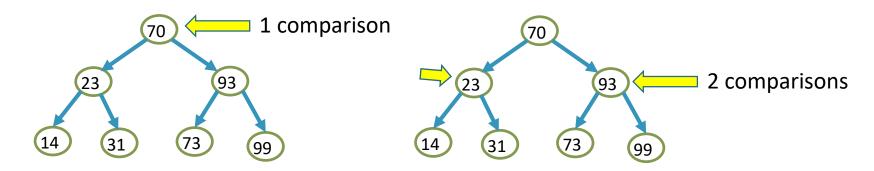
$$= \Theta(\log_2 n)$$

Binary Search – Average Case Time Complexity

- $A_s(n)$: # of comparisons for successful search
- $A_f(n)$: # of comparisons for unsuccessful search (worst case): $\Theta(\log_2 n)$

$$A(n) = qA_s(n) + (1 - q)A_f(n)$$

For $A_s(n)$, we assume $n = 2^k - 1$ first



Binary Search – Average Case Time Complexity

$$A(n) = qA_s(n) + (1 - q)A_f(n)$$

- For $A_s(n)$, we assume $n=2^k-1$ first
- We can observe that:
 - 1 position requires 1 comparison
 - 2 positions requires 2 comparisons
 - 4 positions requires 3 comparisons

 - 2^{t-1} positions requires t comparisons

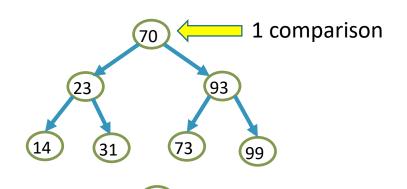
• n=2^k-1, we have
$$A_s(n) = \frac{1}{n} \sum_{t=1}^k t 2^{t-1}$$

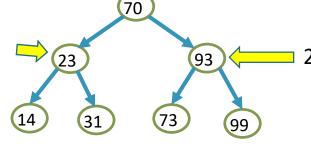
$$= \frac{(k-1)2^k + 1}{n}$$

$$= \frac{(k-1)2^k + 1}{n}$$

$$= \frac{[\log_2(n+1) - 1](n+1) + 1}{n}$$

$$= \log_2(n+1) - 1 + \frac{\log_2(n+1)}{n}$$





2 comparisons

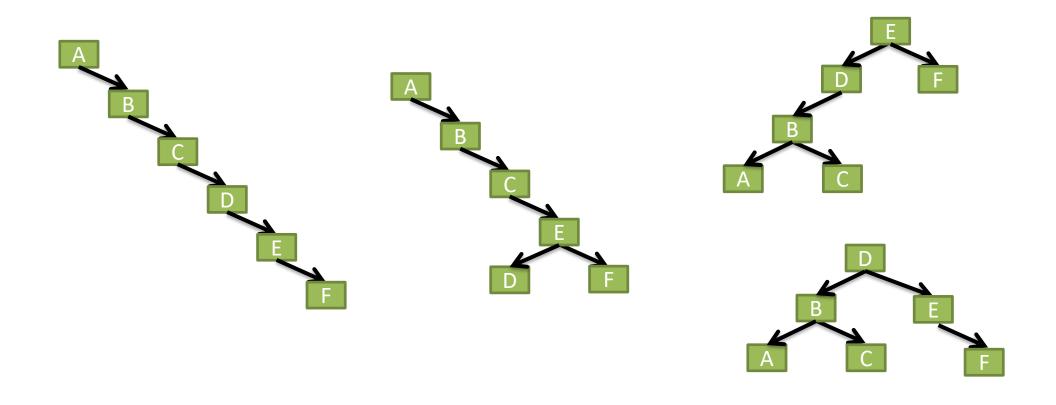
Binary Search – Average Case Time Complexity

The time complexity is

$$\begin{split} A_q(n) &= qA_s(n) + (1-q)A_f(n) \\ &= q[\log_2(n+1) - 1 + \frac{\log_2(n+1)}{n}] + (1-q)(\log_2(n+1)) \\ &= \log_2(n+1) - q + q\frac{\log_2(n+1)}{n} \\ &= \Theta(\log_2(n)) \end{split}$$

- Binary search does approximately $\log_2(n+1)$ comparisons on average for n entries.
 - q is probability which is always ≤ 1
 - $\frac{\log_2(n+1)}{n}$ is very small especially when n >> 1

The 'Good' and 'Bad' Binary Search Trees



Summary

- Linear Search VS Binary Search
- Binary Search Tree: Search, Insertion and Deletion
- Time Complexity of Binary Search
- The importance of having a good tree structure

- Next Lecture
 - Tree Balancing