



# CS396: Security, Privacy & Society

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Lecture 7: Cryptographic System I

**Instructor: Abrar Alrumayh**

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# The one-time pad



# The one-time pad: A perfect cipher

A type of “substitution” cipher that is “absolutely unbreakable”

- ◆ invented in 1917 Gilbert Vernam and Joseph Mauborgne
- ◆ “substitution” cipher
  - ◆ **individually** replace plaintext characters with **shifted** ciphertext characters
  - ◆ **independently** shift each message character in a **random** manner
    - ◆ to encrypt a plaintext of length  $n$ , use  $n$  uniformly random keys  $k_1, \dots, k_n$
- ◆ “absolutely unbreakable”
  - ◆ **perfectly secure** (when used correctly)
  - ◆ based on message-symbol specific **independently random** shifts



# The one-time pad (OTP) cipher

- ◆ Let  $n$  be an integer = of the plaintext messages.
- ◆ Message space  $\mathbf{M} := \{0, 1\}^n$  length (bit-strings of length  $n$ )
- ◆ Key space  $\mathbf{K} := \{0, 1\}^n$  (bit-strings of length  $n$ )
- ◆ **The key is as long as the message**

Fix  $n$  to be any positive integer; set  $\mathcal{M} = \mathcal{C} = \mathcal{K} = \{0,1\}^n$

- ◆ **Gen**: choose  $n$  bits uniformly at random (each bit independently w/ prob. .5)
  - ◆  $\text{Gen} \rightarrow \{0,1\}^n$
- ◆ **Enc**: given a key and a message of equal lengths, compute the bit-wise **XOR**
  - ◆  $\text{Enc}(k, m) = \text{Enc}_k(m) \rightarrow k \oplus m$  (i.e., mask the message with the key)
- ◆ **Dec**: compute the bit-wise XOR of the key and the ciphertext
  - ◆  $\text{Dec}(k, c) = \text{Dec}_k(c) := k \oplus c$
- ◆ Correctness  $\text{Deck}(\text{Enck}(m))$ 
  - ◆ trivially,  $k \oplus c = k \oplus k \oplus m = 0 \oplus m = m$



# OTP is perfectly secure (using Definition 2)

For all  $n$ -bit long messages  $m_1$  and  $m_2$  and ciphertexts  $c$ , it holds that

$$\Pr[ E_K(m_1) = c ] = \Pr[ E_K(m_2) = c ],$$

where probabilities are measured over the possible keys chosen by Gen.

Proof

- ◆ events “ $\text{Enc}_K(m_1) = c$ ”, “ $m_1 \oplus K = c$ ” and “ $K = m_1 \oplus c$ ” are equal-probable
- ◆  $K$  is chosen at random, irrespectively of  $m_1$  and  $m_2$ , with probability  $2^{-n}$
- ◆ thus, the ciphertext does not reveal anything about the plaintext



# OTP characteristics

## A “substitution” cipher

- ◆ encrypt an  $n$ -symbol  $m$  using  $n$  uniformly random “shift keys”  $k_1, k_2, \dots, k_n$

## 2 equivalent views

- ◆  $\mathcal{K} = \mathcal{M} = \mathcal{C}$

- ◆ “shift” method

view 1  $\{0,1\}^n$   
bit-wise XOR ( $m \oplus k$ )

or

view 2  $G, (G, +)$  is a group  
addition/subtraction ( $m +/\!- k$ )

## Perfect secrecy

- ◆ since each shift is random, every ciphertext is equally likely for any plaintext

## Limitations (on efficiency)

- ◆ “shift keys” (1) are **as long as messages** & (2) **can be used only once**



# Perfect, but impractical

In spite of its perfect security, OTP has two notable weaknesses

- ◆ the key has to be **as long as** the plaintext
  - ◆ limited applicability
  - ◆ key-management problem
- ◆ the key **cannot be reused** (thus, the “one-time” pad)
  - ◆ if reused, perfect security is not satisfied
    - ◆ e.g., reusing a key once, leaks the XOR of two plaintext messages
    - ◆ this type of leakage can be devastating against secrecy

These weakness are detrimental to secure communication

- ◆ securely distributing fresh long keys is as hard as securely exchanging messages...



# Importance of OTP weaknesses

## Inherent trade-off between efficiency / practicality Vs. perfect secrecy

- ◆ historically, OTP has been used efficiently & insecurely
  - ◆ repeated use of one-time pads compromised communications during the cold war
  - ◆ NSA decrypted Soviet messages that were transmitted in the 1940s
  - ◆ that was possible because the Soviets reused the keys in the one-time pad scheme
- ◆ modern approaches resemble OTP encryption
  - ◆ efficiency via use of pseudorandom OTP keys
  - ◆ “almost perfect” secrecy

