## Lecture 6: Collisional excitation and nebular diagnostics



Paul van der Werf

#### **Course Contents**

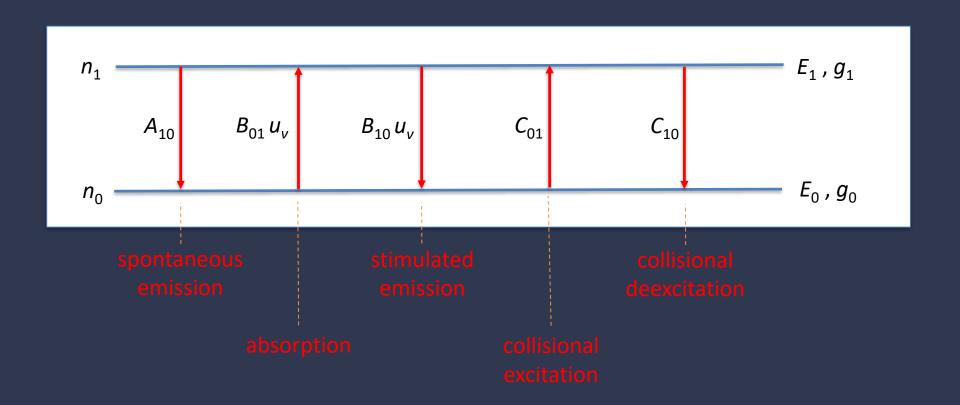
- 1. Introduction and ecology of the interstellar medium
- 2. Physical conditions and radiative processes
- 3. The atomic interstellar medium
- 4. Ionization and recombination
- 5. HII regions
- Collisional excitation and nebular diagnostics
- 7. Molecules, molecular excitation and molecular clouds
- 8. Interstellar dust
- 9. Thermal balance
- 10. Molecular clouds
- 11. Shocks, supernova remnants and the 3-phase ISM
- 12. Extragalactic ISM and outlook

#### **Today's lecture**

- Excitation by collisions
- 2. Critical density
- 3. Nebular diagnostics of temperature and density

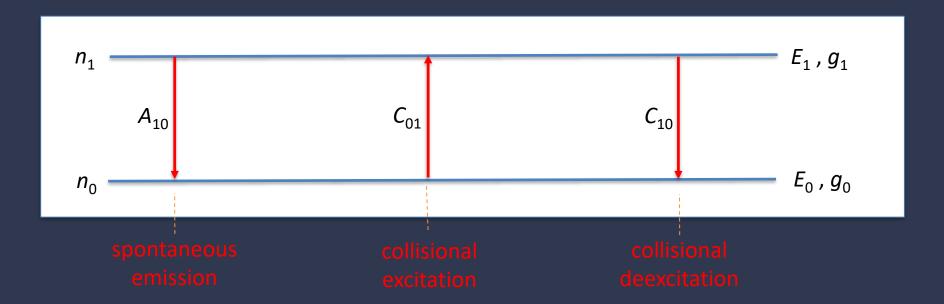
Corresponding textbook material: Draine Ch 17 & 18

#### **Excitation in a 2-level system**



#### **Excitation without induced radiative transitions**

(when is this valid?)



Collisional (de)excitation rates must be proportional to density  $n_c$  of the collision partner (typically  $H_2$ , H or  $e^-$ , depending on the environment).

So we write:  $C_{01} = k_{01} n_c$  and  $C_{10} = k_{10} n_c$ 

#### Collisional (de)excitation coefficients

Collisional (de)excitation coefficients  $k_{01} \& k_{10}$  [cm<sup>3</sup> s<sup>-1</sup>] depend on:

- nature of the collision partner (and, in principle, its quantum state):  $H_2$ ,  $H_3$  or  $e^-$
- kinetic temperature  $T_{kin}$  (coefficient involves collision cross section integrated over a Maxwell distribution); we will usually not write this explicitly, to keep the equations simple.

There is a relation between excitation and deexcitation coefficients:

$$k_{01} = \frac{g_1}{g_0} k_{10} e^{-\frac{E_{10}}{kT_{\rm kin}}}$$

#### Collision strengths

For collisional (de)excitation of ions by electrons, we often use the dimensionless collision strength  $\Omega_{10}$  (Draine uses this extensively):

$$k_{10} = \frac{h^2}{(2\pi m_e)^{3/2}} \frac{1}{\sqrt{kT_{\rm kin}}} \frac{\Omega_{10}(T)}{g_1}$$

Inserting numbers: 
$$k_{10} = \frac{8.629 \cdot 10^{-8} \Omega_{10}}{\sqrt{T_4}}$$
 [cm<sup>3</sup> s<sup>-1</sup>] with  $T_4 = T/10^4$  K

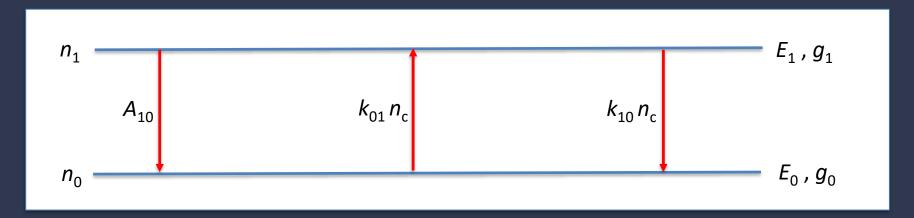
#### Advantages:

- for T up to  $10^4$  K,  $\Omega_{10}$  approximately independent of T
- $\Omega_{10}$  typically between 1 and 10

#### **Today's lecture**

- 1. Excitation by collisions
- Critical density
- 3. Nebular diagnostics of temperature and density

#### **Excitation without induced radiative transitions**



Considering level 1, we can write: 
$$\frac{dn_1}{dt} = -A_{10}n_1 + k_{01}n_cn_0 - k_{10}n_cn_1$$

In statistical equilibrium,  $dn_1/dt = 0$ , so  $\frac{n_1}{n_0} = \frac{k_{01}n_c}{k_{10}n_c + A_{10}}$ 

$$\frac{n_1}{n_0} = \frac{k_{01}n_c}{k_{10}n_c + A_{10}}$$

Using the relation between  $k_{01}$  and  $k_{10}$ , this becomes

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{A_{10}}{k_{10}n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}}$$

#### **Critical density**

Now define the critical density:

$$n_{\rm crit} = \frac{A_{10}}{k_{10}}$$

(recall:  $A_{10}$  [s<sup>-1</sup>] and  $k_{10}$  [cm<sup>3</sup> s<sup>-1</sup>])

So we can finally write:

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}}$$

How large is  $n_{crit}$ ?

- small for forbidden transitions
- large for permitted transitions

#### High density limit

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}}$$

If 
$$n_c >> n_{crit}$$

If 
$$n_c >> n_{\text{crit}}$$
:  $\frac{n_1}{n_0} = \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}}$ 

so  $T_{\text{ex}} = T_{\text{kin}}$ : thermalized levels

Population ratio has its LTE value (independent of density)

Time between collisions much shorter than radiative lifetime (note Einstein A coefficient has disappeared).

#### Low density limit

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}}$$

If 
$$n_c \ll n_{crit}$$
:

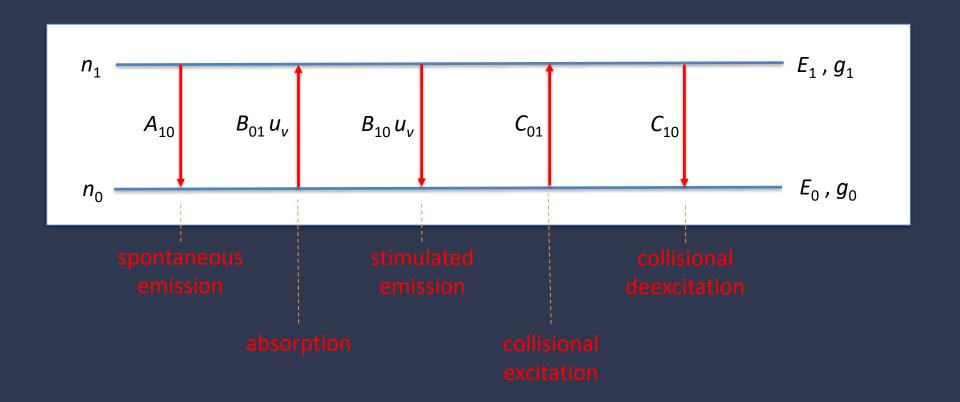
If 
$$n_c << n_{crit}$$
:  $\frac{n_1}{n_0} = \frac{n_c}{n_{crit}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{kin}}}$ 

so  $T_{\rm ex} < T_{\rm kin}$ : subthermal excitation

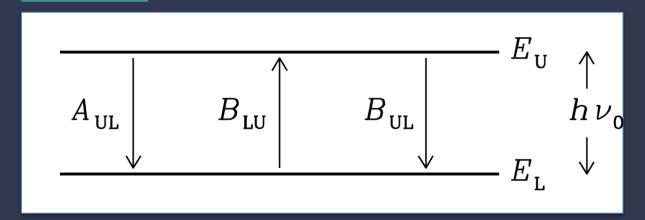
Population ratio has its LTE value  $\times n_c / n_{crit}$  (density-dependent)

Time between collisions comparable to or longer than radiative lifetime.

#### **Excitation of a 2-level system**



#### Recall: excitation with only radiative transitions



$$n_{\gamma} = \frac{c^2}{8h\nu^3} I_{\nu}$$

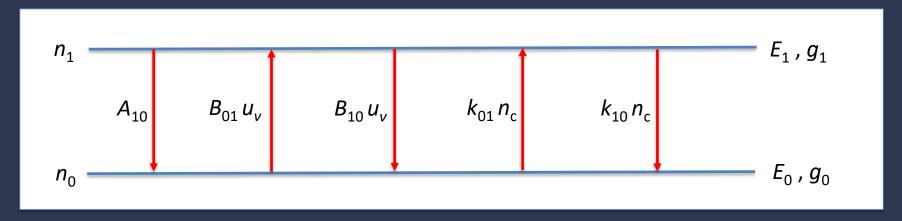
Recall 
$$n_{\gamma} = \frac{c^2}{8h\nu^3} I_{\nu}$$
 so  $\bar{n}_{\gamma} = \frac{c^2}{2h\nu^3} \bar{I}_{\nu} = \frac{c^3}{8\pi h\nu^3} u_{\nu}$ .

In the blackbody case: 
$$\bar{n}_{\gamma} = \frac{1}{e^{h\nu/kT_{\rm rad}} - 1}$$

but we can also write this generally, as a definition of  $T_{\rm rad}$ .

This gave: 
$$\frac{dn_1}{dt} = \left[ n_0 \bar{n}_\gamma \frac{g_1}{g_0} - n_1 (1 + \bar{n}_\gamma) \right] A_{10}$$

#### Full treatment of 2-level excitation



Now we get: 
$$\frac{dn_1}{dt} = n_0 \left( k_{01} n_c + \bar{n}_\gamma \frac{g_1}{g_0} A_{10} \right) - n_1 \left[ k_{10} n_c + (1 + \bar{n}_\gamma) A_{10} \right]$$
collisional absorption excitation absorption spontaneous

Statistical equilibrium:  $dn_1 / dt = 0$ , so:

$$\frac{n_1}{n_0} = \frac{k_{01}n_c + \bar{n}_{\gamma}\frac{g_1}{g_0}A_{10}}{k_{10}n_c + (1 + \bar{n}_{\gamma})A_{10}}$$

Full treatment of 2-level excitation 
$$\frac{n_1}{n_0} = \frac{k_{01}n_c + \bar{n}_{\gamma}\frac{g_1}{g_0}A_{10}}{k_{10}n_c + (1 + \bar{n}_{\gamma})A_{10}}$$

Now define a more general critical density: (why does this make sense?)

$$n_{\rm crit} = \frac{\left(1 + \bar{n}_{\gamma}\right) A_{10}}{k_{10}^2}$$

This gives 
$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}} + \frac{1}{1 + \frac{n_c}{n_{\text{crit}}}} \frac{g_1}{g_0} \frac{\bar{n}_{\gamma}}{1 + \bar{n}_{\gamma}}$$

Now using 
$$\bar{n}_{\gamma} = \frac{1}{\rho^{h\nu/kT_{\rm rad}} - 1}$$
 we finally get

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}} + \frac{1}{1 + \frac{n_c}{n_{\text{crit}}}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{rad}}}}$$

#### **Limiting cases**

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}} + \frac{1}{1 + \frac{n_c}{n_{\text{crit}}}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{rad}}}}$$

Behaviour in limiting cases now depends on both  $n_c$  and  $n_v$ .

- $n_v \ll 1$ : back to what we discussed before
- $n_{v} >> 1$ :
  - if  $n_c << n_{crit}$ :  $T_{ex} = T_{rad}$
  - if  $n_c >> n_{crit}$ : both collisions and radiation important: more complicated

#### Application 1: the HI 21cm line

$$E_{10} / k = 0.0682 \text{ K}$$
  
 $A_{10} = 2.88 \cdot 10^{-15} \text{ s}^{-1}$ 

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}} + \frac{1}{1 + \frac{n_c}{n_{\text{crit}}}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{rad}}}}$$

 $k_{10} \approx 1.2 \cdot 10^{-10} \text{ cm}^3 \text{ s}^{-1} \text{ (at 100K, for collisions with H)}$ 

Now calculate

$$n_{\text{crit}} = \frac{\left(1 + \bar{n}_{\gamma}\right) A_{10}}{k_{10}}$$

What is 
$$n_{\gamma}$$
?

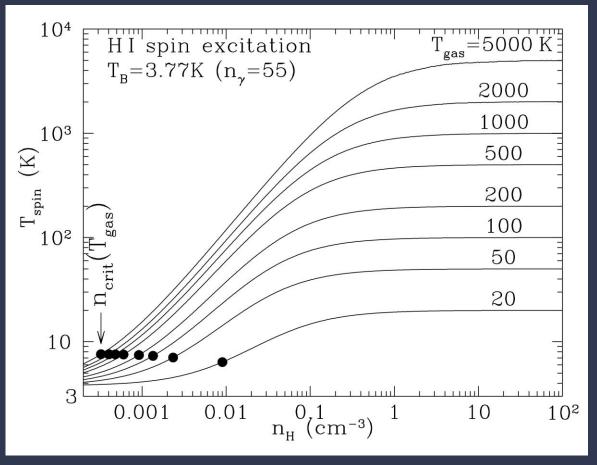
 $T_{\text{rad}} = 2.73 \text{ K} + 1 \text{ K} = 3.73 \text{ K}$ 

diffuse Galactic 21cm emission  $\rightarrow n_{\gamma} \approx 55$ 

Insert numbers:  $n_{\rm crit} \approx 1.7 \cdot 10^{-3} \, \rm cm^{-3}$ .

#### HI 21cm line spin temperature

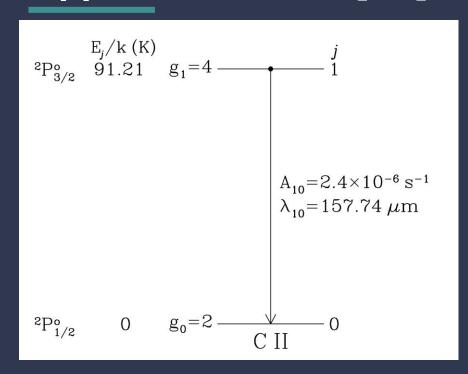
 $T_s = T_{kin}$  for Milky Way Conditions (both CNM & WNM).

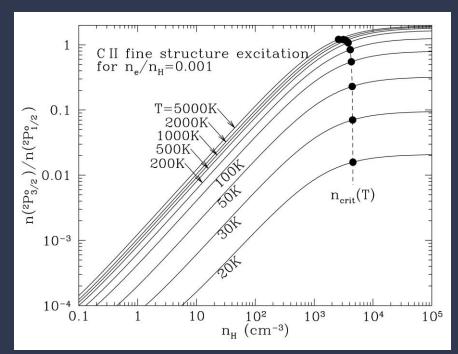


Draine, Fig. 17.2

So the differences in  $T_s$  between the WNM and CNM are actually differences in  $T_{kin}$ .

#### Application 2: the [CII] 158 μm line



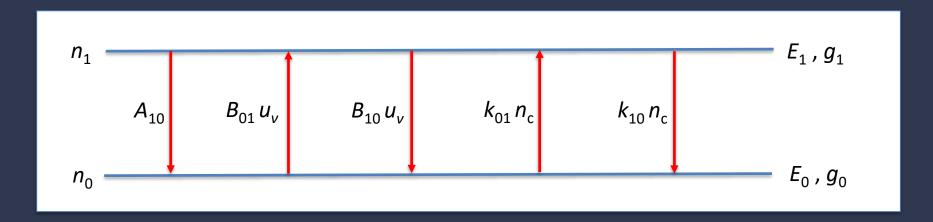


Draine, Figs. 17.3 & 4

$$\frac{n_1}{n_0} = \frac{1}{1 + \frac{n_{\text{crit}}}{n_c}} \frac{g_1}{g_0} e^{-\frac{E_{10}}{kT_{\text{kin}}}}$$

Exercise: explain the behaviour of the curves on the right-hand side.

#### Generalization to N-level system



Requires a modified expression for the critical density of the upper level u:  $n_{\text{crit,u}} = \frac{\sum_{l < u} (1 + \bar{n}_{\gamma,ul}) A_{ul}}{\sum_{l < u} k_{ul}}$ 

Statistical equilibrium then gives *N* linear equations with *N* unknowns: the *N* level populations – can be solved by standard linear algebra methods.

#### **Today's lecture**

- 1. Excitation by collisions
- 2. Critical density
- 3. Nebular diagnostics of temperature and density

#### Line ratios as nebular diagnostics

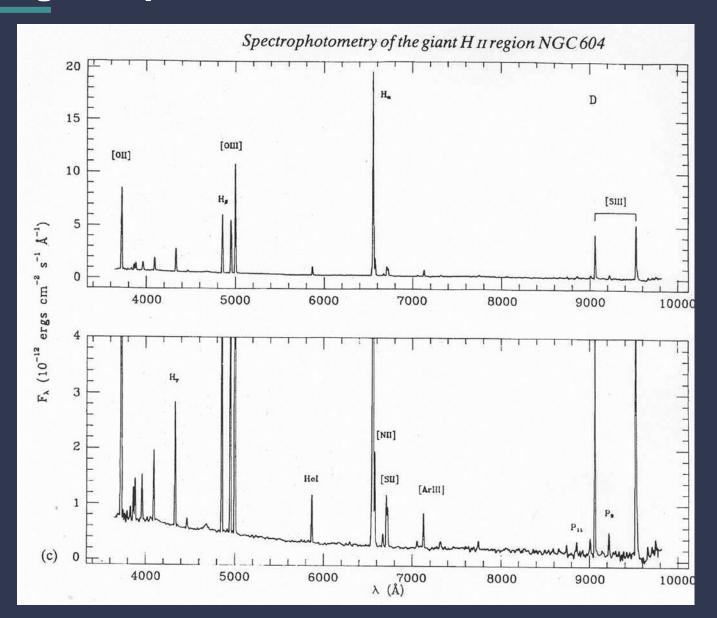
- 1. Temperature probes
- 2. Density probes
- 3. Abundance probes

#### Relevant parameters:

- Upper level temperatures (with respect to expected temperatures)
- Critical densities (with respect to expected densities)

Here we focus on HII regions as a case study, but it works in exactly the same way for neutral and molecular clouds.

#### HII Region spectra



#### Line ratios as temperature probes

#### Use 2 lines that:

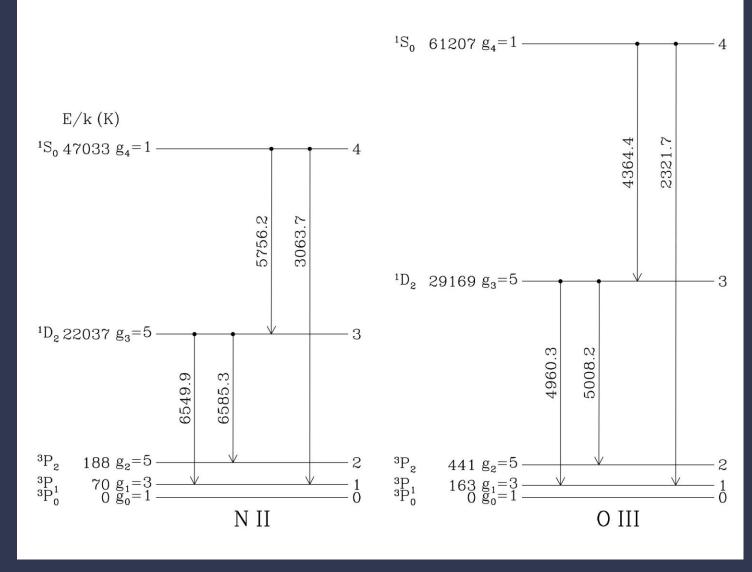
- have different upper level temperatures (in the relevant range)
- come from the same atom/ion

Note that both lines have a (different) critical density:

- $n_e >> n_{\text{crit}}$  for both lines (rare): ratio independent of  $n_e$
- $n_e << n_{\rm crit}$  for both lines (more often): ratio independent of  $n_{\rm e}$

So: need to avoid the region where the  $n_e$  is between the two critical densities of the two lines.

#### **Temperature probes**

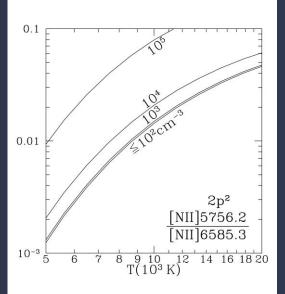


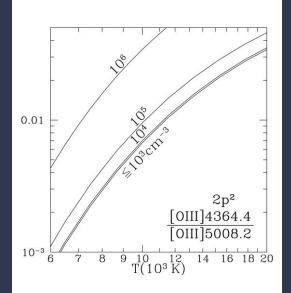
#### Critical densities for electron collisions at 10<sup>4</sup> K

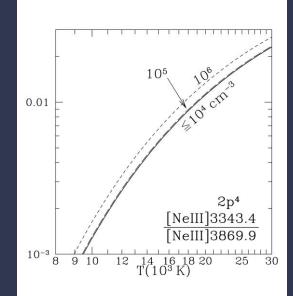
**Table 18.1** Critical Electron Density  $n_{\text{crit}}(e^{-})$  (cm<sup>-3</sup>) for Selected  $np^{2}$  and  $np^{4}$  Ions

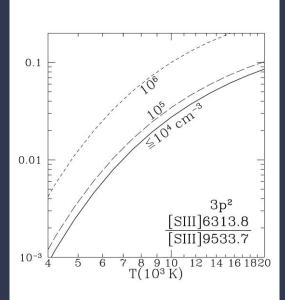
	$n_{ m crit}(e)$ at $T=10^4~{ m K}$					
Configuration	Ion	$^{3}P_{0}$	$^{3}P_{1}$	$^{3}P_{2}$	$^{1}\mathrm{D}_{2}$	$^{1}S_{0}$
$1s^22s^22p^2$	CI		$7.37 \times 10^{0}$	$1.21 \times 10^{1}$		
	NII		$1.67 \times 10^{2}$	$2.96 \times 10^{2}$	$7.68 \times 10^4$	$1.23 \times 10$
	OIII	<del>-</del>	$1.74 \times 10^{3}$	$3.79 \times 10^{3}$	$6.40 \times 10^5$	$2.78 \times 10$
	Ne V		$3.19\times10^5$	$3.48 \times 10^{5}$	$1.44 \times 10^{8}$	$9.58 \times 10$
$1s^2 2s^2 2p^4$	OI	$3.11 \times 10^{3}$	$2.87 \times 10^{4}$		$1.62 \times 10^{6}$	$4.04 \times 10$
ray off all the br	Ne III	$3.02 \times 10^{4}$	$2.76 \times 10^{6}$		$9.47 \times 10^{6}$	$1.37 \times 10$
	MgV	$4.36\!\times\!10^6$	$4.75\times10^7$		$1.07 \times 10^{9}$	$8.07 \times 10$
$1s^2 2s^2 2p^6 3s^2 3p^2$	SiI		$7.72 \times 10^{2}$	$1.92 \times 10^{3}$		
	SIII	_	$4.22 \times 10^{3}$	$1.31\times10^4$	$7.33 \times 10^{5}$	$1.52 \times 10$
	Ar V	_	$1.09 \times 10^{7}$	$1.16\times10^7$	$3.65 \times 10^{8}$	$2.49 \times 10$
$1s^22s^22p^23s^23p^4$	SI	$1.04 \times 10^{5}$	$1.55 \times 10^{5}$		$4.12 \times 10^{7}$	$1.38 \times 10$
and the first stoke	Ar III	$2.49\!\times\!10^5$	$2.67\!\times\!10^6$	ELECTION OF	$1.26\!\times\!10^7$	$4.54 \times 10$
					The second of th	

# Line ratios as temperature probes









Draine, Fig. 18.2

### **Temperatures of HII regions**

	[N II]			[O III]	
Nebula	$\frac{I(\lambda6548) + I(\lambda6583)}{I(\lambda5755)}$	T(° K)	$N_e/T^{1/2}$	$\frac{I(\lambda 4959) + I(\lambda 5007)}{I(\lambda 4363)}$	T(° K)
NGC 1976 2b	81	10,000	51	338	8,700
NGC 1976 1a NGC 1976 5b	102 111	9,100 8,900	68 21	371 310	8,500 8,900
NGC 1976 5a	189	7,500	12	263	9,300
M 8 I	162	7,900	(10)	445	8,100
M 17 I	257	6,900	(10)	330	8,700

#### **Temperatures of Planetary Nebulae**

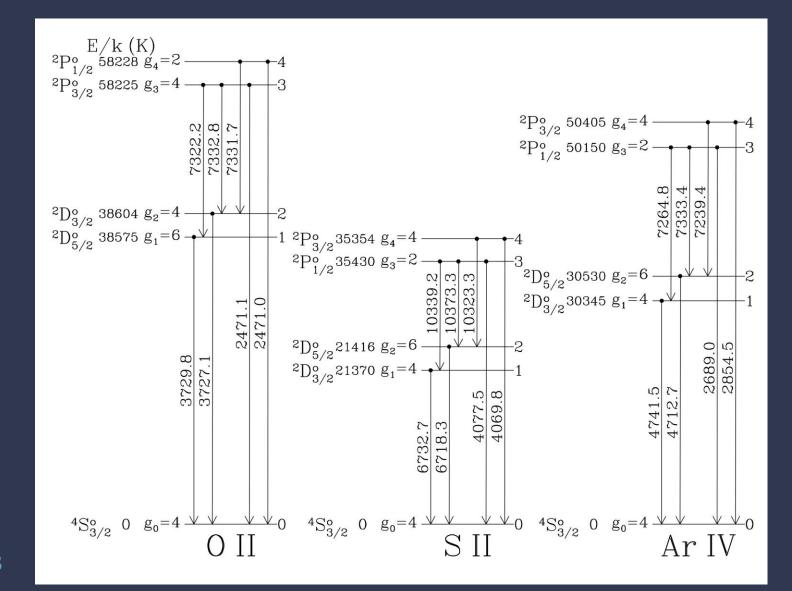
Nebula	T[N II] (° K)	T[O III] (° K)
NGC 650	9,500	10,700
NGC 4342	10,100	11,300
NGC 6210	10,700	9,700
NGC 6543	9,000	8,100
NGC 6572		10,300
NGC 6720	10,600	11,100
NGC 6853	10,000	11,000
NGC 7027		12,400
NGC 7293	9,300	11,000
NGC 7662	10,600	12,800
IC 418		9,700
IC 5217	# <del>************************************</del>	11,600
BB 1	10,500	12,900
Haro 4-1		12,000
K 648		13,100

#### Line ratios as density probes

#### Use 2 lines that:

- have different critical densities (in the relevant range)
- come from the same atom/ion
- have upper level temperatures close together OR much lower than the expected  $T_{\rm kin}$  (e.g., IR lines from an HII region)

#### **Density Probes**



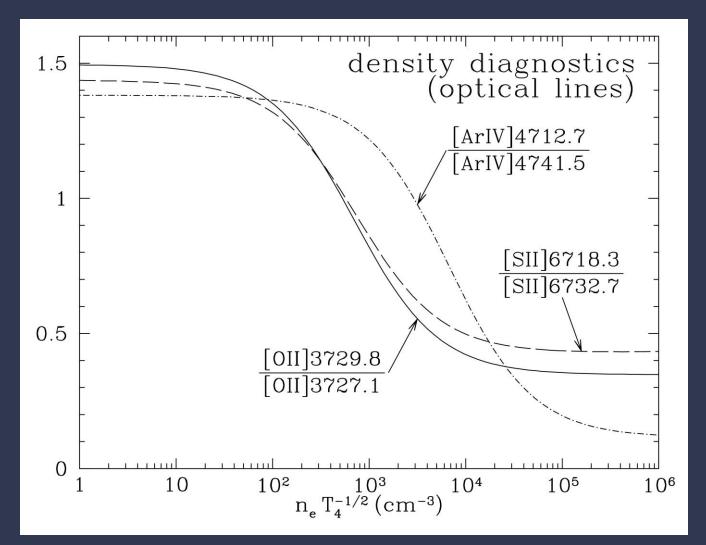
Draine Fig. 18.3

#### Critical densities for density probes

Table 18.2 Critical Electron Density  $n_{\rm crit}(e^-)$  (cm<sup>-3</sup>) for Selected  $np^3$  Ions, for  $T=10^4\,{\rm K}$ 

			$n_{\rm crit}(e)$ at $T=10^4~{ m K}$			
Configuration	Ion	$^{2}D_{3/2}^{o}$	$^{2}D_{5/2}^{o}$	$^{2}P_{1/2}^{o}$	${}^{2}P_{3/2}^{o}$	
$1s^2 2s^2 2p^3$	NI	$2.18 \times 10^{4}$	$1.19 \times 10^{4}$	$7.11 \times 10^{7}$	$3.15 \times 10^{7}$	
58.044.98.003.04.003	OII	$4.49 \times 10^{3}$	$3.31 \times 10^{3}$	$5.30 \times 10^{6}$	$1.03 \times 10^{7}$	
	NeIV	$1.40 \times 10^{6}$	$4.66 \times 10^{5}$	$4.17 \times 10^{8}$	$2.79 \times 10^{8}$	
$1s^2 2s^2 2p^6 3s^2 3p^3$	SII	$1.49 \times 10^{4}$	$1.57 \times 10^{3}$	$1.49 \times 10^{6}$	$1.91 \times 10^{6}$	
1 PO 10 1 PO 10 10 10 10 10 10 10 10 10 10 10 10 10	Ar IV	$1.35 \times 10^{6}$	$1.55 \times 10^{4}$	$1.06 \times 10^{7}$	$1.81 \times 10^{7}$	

#### Line ratios as function of $n_e$



Draine Fig. 18.4

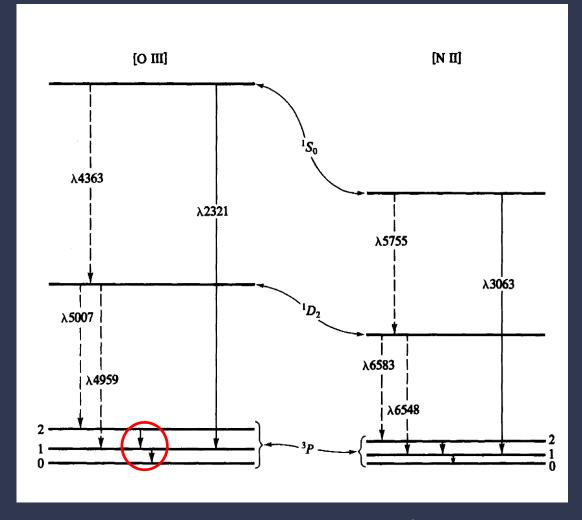
Exercise: explain the behaviour of these curves

#### Densities from [OII] and [SII] line ratios

	[	[S II]	
Nebula	$\frac{\lambda 3729}{\lambda 3726}$	$N_e^{a} (\text{cm}^{-3})$	$\frac{\lambda 6716}{\lambda 6731}  N_e^{-a} \text{ (cm}^{-3})$
NGC 40	0.78	$1.1 \times 10^{3}$	$0.69   2.1 \times 10^3$
NGC 650/1	1.23	$2.1 \times 10^2$	$1.08   4.0   \times 10^2$
NGC 2392	0.78	$1.1 \times 10^3$	$0.88   9.1 \times 10^2$
NGC 2440	0.64	$1.9 \times 10^3$	$0.62   3.2 \times 10^3$
NGC 3242	0.62	$2.2 \times 10^3$	$0.64   2.8 \times 10^3$
NGC 3587	1.30	$1.4 \times 10^2$	$1.25   1.8 \times 10^2$
NGC 6210	0.47	$5.8 \times 10^3$	$0.66   2.5 \times 10^3$
NGC 6543	0.44	$7.9 \times 10^3$	$0.54   5.9 \times 10^3$
NGC 6572	0.38	$2.1 \times 10^4$	$0.51   8.9 \times 10^3$
NGC 6720	1.04	$4.7 \times 10^2$	$1.14  3.2 \times 10^2$
NGC 6803	0.57	$2.8 \times 10^3$	
NGC 6853	1.16	$2.9 \times 10^2$	
NGC 7009	0.50	$4.6 \times 10^3$	$0.61   3.3 \times 10^3$
NGC 7027	0.48	$5.2 \times 10^3$	$0.59   4.0 \times 10^3$
NGC 7293	1.32	$1.3 \times 10^2$	$1.28   1.6   \times 10^2$
NGC 7662	0.56	$3.0 \times 10^3$	$0.64   2.8 \times 10^3$
IC 418	0.37	$3.2 \times 10^5$	$0.49   9.5 \times 10^3$
IC 2149	0.56	$3.0 \times 10^3$	$0.57   4.6 \times 10^3$
IC 4593	0.63	$2.0 \times 10^3$	
IC 4997	0.34	$1.0~\times~10^6$	$0.45   1.0 \times 10^5$

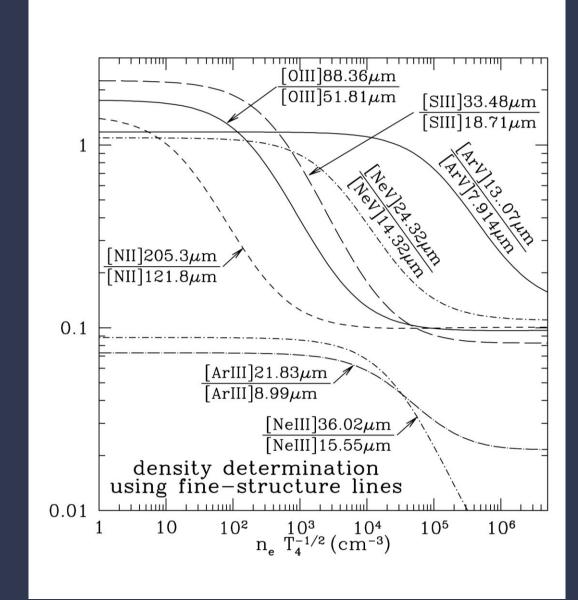
 $<sup>^</sup>aN_e$  given for assumed  $T=10^4$  ° K; for any other T divide listed value by  $(T/10^4)^{1/2}$  .

#### **Far-infrared lines**

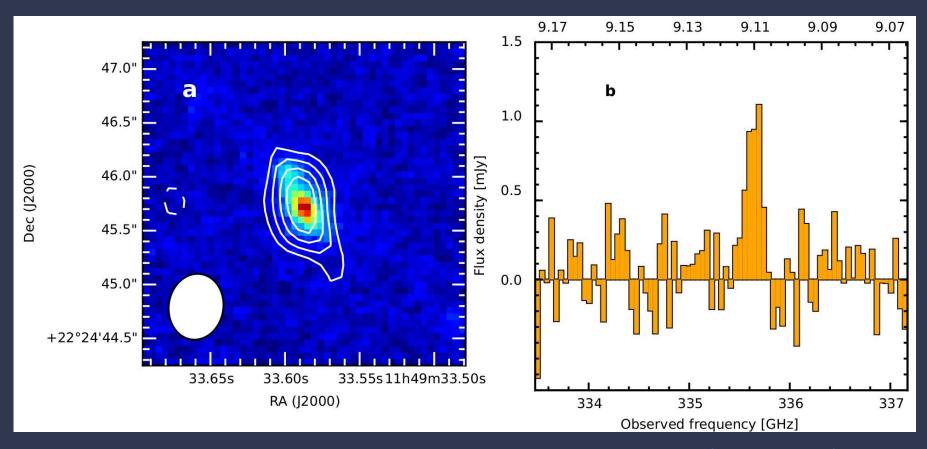


- Far-IR fine structure lines can be detected from space (e.g., Herschel) or (with large redshifts) in the submm regime with ALMA
- Example:  $[O III] {}^{3}P_{0} {}^{3}P_{1} 88 \mu m, {}^{3}P_{1} {}^{3}P_{2} 52 \mu m$

#### Far-IR line ratios as function of $n_e$



#### ISM at z = 9.11: redshifted [OIII] 88 $\mu$ m



Hashimoto et al., 2018

#### **Next lecture**

Molecules, molecular excitation and molecular clouds

- 1. Molecular structure and molecular spectra
- 2. Critical densities
- 3. Molecular hydrogen and molecular clouds