

OBJECT ORIENTED PROGRAMMING

(download slides and .py files · follow along!)

6.0001 LECTURE 8

OBJECTS

- Python supports many different kinds of data

1234 3.14159 "Hello" [1, 5, 7, 11, 13]

{ "CA": "California", "MA": "Massachusetts" }

- each is an **object**, and every object has:
 - a **type**
 - an internal **data representation** (primitive or composite)
 - a set of procedures for **interaction** with the object
- an object is an **instance** of a type
 - 1234 is an instance of an `int`
 - "hello" is an instance of a `string`

OBJECT ORIENTED PROGRAMMING (OOP)

- **EVERYTHING IN PYTHON IS AN OBJECT** (and has a type)
- can **create new objects** of some type
- can **manipulate objects**
- can **destroy objects**
 - explicitly using `del` or just “forget” about them
 - python system will reclaim destroyed or inaccessible objects – called “garbage collection”

WHAT ARE OBJECTS?

- objects are **a data abstraction** that captures...

(1) an **internal representation**

- through data attributes

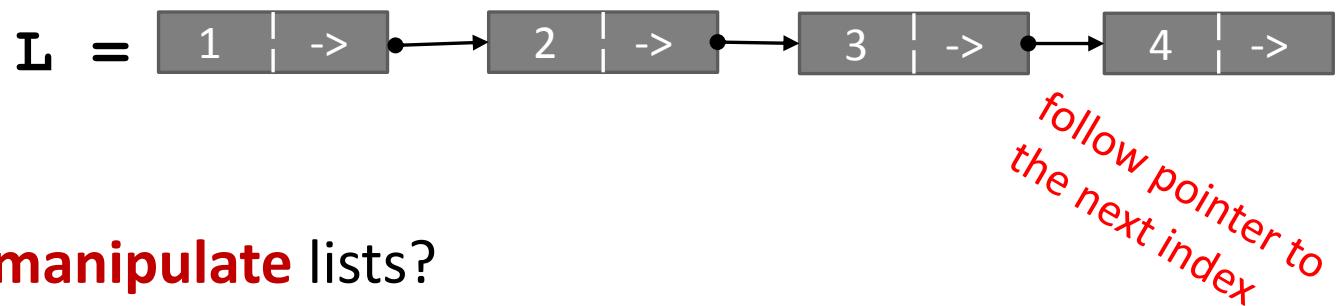
(2) an **interface** for interacting with object

- through methods
(aka procedures/functions)
- defines behaviors but hides implementation

EXAMPLE:

[1,2,3,4] has type list

- how are lists **represented internally**? linked list of cells



- how to **manipulate** lists?

- L[i], L[i:j], +
- len(), min(), max(), del(L[i])
- L.append(), L.extend(), L.count(), L.index(),
L.insert(), L.pop(), L.remove(), L.reverse(), L.sort()

- internal representation should be private

- correct behavior may be compromised if you manipulate internal representation directly

ADVANTAGES OF OOP

- **bundle data into packages** together with procedures that work on them through well-defined interfaces
- **divide-and-conquer** development
 - implement and test behavior of each class separately
 - increased modularity reduces complexity
- classes make it easy to **reuse** code
 - many Python modules define new classes
 - each class has a separate environment (no collision on function names)
 - inheritance allows subclasses to redefine or extend a selected subset of a superclass' behavior

CREATING AND USING YOUR OWN TYPES WITH CLASSES

- make a distinction between **creating a class** and **using an instance** of the class
- **creating** the class involves
 - defining the class name
 - defining class attributes
 - *for example, someone wrote code to implement a list class*
- **using** the class involves
 - creating new **instances** of objects
 - doing operations on the instances
 - *for example, `L=[1, 2]` and `len(L)`*

DEFINE YOUR OWN TYPES

- use the `class` keyword to define a new type

```
class Coordinate(object) :
```

#define attributes here

- similar to `def`, indent code to indicate which statements are part of the **class definition**
- the word `object` means that `Coordinate` is a Python object and **inherits** all its attributes (inheritance next lecture)
 - `Coordinate` is a subclass of `object`
 - `object` is a superclass of `Coordinate`

WHAT ARE ATTRIBUTES?

- data and procedures that “**belong**” to the class
- **data attributes**
 - think of data as other objects that make up the class
 - *for example, a coordinate is made up of two numbers*
- **methods** (procedural attributes)
 - think of methods as functions that only work with this class
 - how to interact with the object
 - *for example you can define a distance between two coordinate objects but there is no meaning to a distance between two list objects*

DEFINING HOW TO CREATE AN INSTANCE OF A CLASS

- first have to define **how to create an instance** of object
- use a **special method called `__init__`** to initialize some data attributes

```
class Coordinate(object):
```

```
    def __init__(self, x, y):
```

special method to
create an instance
`__` is double
underscore

```
        self.x = x
```

```
        self.y = y
```

two data attributes for
every Coordinate object

what data initializes a
Coordinate object

parameter to
refer to an
instance of the
class

ACTUALLY CREATING AN INSTANCE OF A CLASS

```
c = Coordinate(3, 4)  
origin = Coordinate(0, 0)  
print(c.x)  
print(origin.x)
```

use the dot to access an attribute of instance c
create a new object of type Coordinate and pass in 3 and 4 to the __init__

- data attributes of an instance are called **instance variables**
- don't provide argument for `self`, Python does this automatically

WHAT IS A METHOD?

- procedural attribute, like a **function that works only with this class**
- Python always passes the object as the first argument
 - convention is to use **self** as the name of the first argument of all methods
- the “.” **operator** is used to access any attribute
 - a data attribute of an object
 - a method of an object

DEFINE A METHOD FOR THE Coordinate CLASS

```
class Coordinate(object):  
    def __init__(self, x, y):  
        self.x = x  
        self.y = y  
    def distance(self, other):  
        x_diff_sq = (self.x - other.x) ** 2  
        y_diff_sq = (self.y - other.y) ** 2  
        return (x_diff_sq + y_diff_sq) ** 0.5
```

use it to refer to any instance
another parameter to method
dot notation to access data

- other than `self` and dot notation, methods behave just like functions (take params, do operations, return)

HOW TO USE A METHOD

```
def distance(self, other):  
    # code here
```

method def

Using the class:

- conventional way

```
c = Coordinate(3, 4)  
zero = Coordinate(0, 0)  
print(c.distance(zero))
```

object to call
method on name of
 method parameters not
 including self
 (self is
 implied to be c)

- equivalent to

```
c = Coordinate(3, 4)  
zero = Coordinate(0, 0)  
print(Coordinate.distance(c, zero))
```

name of
class name of
 method
 parameters, including an
 object to call the method
 on, representing self

PRINT REPRESENTATION OF AN OBJECT

```
>>> c = Coordinate(3, 4)
>>> print(c)
<__main__.Coordinate object at 0x7fa918510488>
```

- **uninformative** print representation by default
- define a **__str__ method** for a class
- Python calls the **__str__** method when used with `print` on your class object
- you choose what it does! Say that when we print a `Coordinate` object, want to show

```
>>> print(c)
<3, 4>
```

DEFINING YOUR OWN PRINT METHOD

```
class Coordinate(object):  
    def __init__(self, x, y):  
        self.x = x  
        self.y = y  
    def distance(self, other):  
        x_diff_sq = (self.x-other.x)**2  
        y_diff_sq = (self.y-other.y)**2  
        return (x_diff_sq + y_diff_sq)**0.5  
    def __str__(self):  
        return "<" + str(self.x) + ", " + str(self.y) + ">"
```

name of
special
method

must return
a string

WRAPPING YOUR HEAD AROUND TYPES AND CLASSES

- can ask for the type of an object instance

```
>>> c = Coordinate(3, 4)
>>> print(c)
<3, 4>
>>> print(type(c))
<class '__main__.Coordinate'>
```

return of the `str` method
the type of object c is a class Coordinate

- this makes sense since

```
>>> print(Coordinate)
<class '__main__.Coordinate'>
>>> print(type(Coordinate))
<type 'type'>
```

a Coordinate is a class
a Coordinate class is a type of object

- use `isinstance()` to check if an object is a Coordinate

```
>>> print(isinstance(c, Coordinate))
True
```

SPECIAL OPERATORS

- +, -, ==, <, >, len(), print, and many others

<https://docs.python.org/3/reference/datamodel.html#basic-customization>

- like print, can override these to work with your class
- define them with double underscores before/after

<code>__add__(self, other)</code>	→	<code>self + other</code>
<code>__sub__(self, other)</code>	→	<code>self - other</code>
<code>__eq__(self, other)</code>	→	<code>self == other</code>
<code>__lt__(self, other)</code>	→	<code>self < other</code>
<code>__len__(self)</code>	→	<code>len(self)</code>
<code>__str__(self)</code>	→	<code>print self</code>
... and others		

EXAMPLE: FRACTIONS

- create a **new type** to represent a number as a fraction
- **internal representation** is two integers
 - numerator
 - denominator
- **interface** a.k.a. **methods** a.k.a **how to interact** with Fraction objects
 - add, subtract
 - print representation, convert to a float
 - invert the fraction
- the code for this is in the handout, check it out!

THE POWER OF OOP

- **bundle together objects** that share
 - common attributes and
 - procedures that operate on those attributes
- use **abstraction** to make a distinction between how to implement an object vs how to use the object
- build **layers** of object abstractions that inherit behaviors from other classes of objects
- create our **own classes of objects** on top of Python's basic classes

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PYTHON CLASSES and INHERITANCE

(download slides and .py files 'follow along!')

6.0001 LECTURE 9

LAST TIME

- abstract data types through classes
- Coordinate example
- Fraction example

TODAY

- more on classes
 - getters and setters
 - information hiding
 - class variables
- inheritance

IMPLEMENTING THE CLASS

USING VS THE CLASS

- write code from two different perspectives

implementing a new object type with a class

- **define** the class
- **define data attributes**
(WHAT IS the object)
- **define methods**
(HOW TO use the object)

using the new object type in code

- create **instances** of the object type
- do **operations** with them

CLASS DEFINITION OF AN OBJECT TYPE

- class name is the **type**

```
class Coordinate(object)
```

- class is defined generically

- use `self` to refer to some instance while defining the class

```
(self.x - self.y)**2
```

- `self` is a parameter to methods in class definition

- class defines data and methods **common across all instances**

INSTANCE VS OF A CLASS

- instance is **one specific** object

```
coord = Coordinate(1, 2)
```

- data attribute values vary between instances

```
c1 = Coordinate(1, 2)
```

```
c2 = Coordinate(3, 4)
```

- `c1` and `c2` have different data attribute values `c1.x` and `c2.x` because they are different objects

- instance has the **structure of the class**

WHY USE OOP AND CLASSES OF OBJECTS?

- mimic real life
- group different objects part of the same type



Jelly
1 year old
brown



Tiger
2 years old
brown



Bean
0 years old
black



5 years old
brown



2 years old
white



1 year old
b/w

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WHY USE OOP AND CLASSES OF OBJECTS?

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GROUPS OF OBJECTS HAVE ATTRIBUTES (RECAP)

- **data attributes**

- how can you represent your object with data?
- **what it is**
- *for a coordinate: x and y values*
- *for an animal: age, name*

- **procedural attributes** (behavior/operations/**methods**)

- how can someone interact with the object?
- **what it does**
- *for a coordinate: find distance between two*
- *for an animal: make a sound*

HOW TO DEFINE A CLASS (RECAP)

```
class definition      name      class parent
class Animal(object):
    def __init__(self, age):
        self.age = age
        self.name = None
```

special method to create an instance

one instance

myanimal = Animal(3)

name

class parent

variable to refer to an instance of the class

what data initializes an Animal type

mapped to self.age in class def

name is a data attribute even though an instance is not initialized with it as a param

GETTER AND SETTER METHODS

```
class Animal(object):  
    def __init__(self, age):  
        self.age = age  
        self.name = None  
  
    def get_age(self):  
        return self.age  
    def get_name(self):  
        return self.name  
  
    def set_age(self, newage):  
        self.age = newage  
    def set_name(self, newname=""):  
        self.name = newname  
  
    def __str__(self):  
        return "animal:"+str(self.name)+":"+str(self.age)
```

getter

setter

- **getters and setters** should be used outside of class to access data attributes

AN INSTANCE and DOT NOTATION (RECAP)

- instantiation creates an **instance of an object**

```
a = Animal(3)
```

- **dot notation** used to access attributes (data and methods) though it is better to use getters and setters to access data attributes

```
a.age
```

```
a.get_age()
```

- access method
- best to use getters
and setters

- access data attribute
- allowed, but not recommended

INFORMATION HIDING

- author of class definition may **change data attribute** variable names

*replaced age data
attribute by years*

```
class Animal(object):  
    def __init__(self, age):  
        self.years = age  
    def get_age(self):  
        return self.years
```

- if you are **accessing data attributes** outside the class and class **definition changes**, may get errors
- outside of class, use getters and setters instead
use `a.get_age()` NOT `a.age`
 - good style
 - easy to maintain code
 - prevents bugs

PYTHON NOT GREAT AT INFORMATION HIDING

- allows you to **access data** from outside class definition
`print(a.age)`
- allows you to **write to data** from outside class definition
`a.age = 'infinite'`
- allows you to **create data attributes** for an instance from outside class definition
`a.size = "tiny"`
- it's **not good style** to do any of these!

DEFAULT ARGUMENTS

- **default arguments** for formal parameters are used if no actual argument is given

```
def set_name(self, newname=""):  
    self.name = newname
```

- default argument used here

```
a = Animal(3)  
a.set_name()  
print(a.get_name())
```

prints ""

- argument passed in is used here

```
a = Animal(3)  
a.set_name("fluffy")  
print(a.get_name())
```

prints "fluffy"

HIERARCHIES

Animal

People



Student

Cat



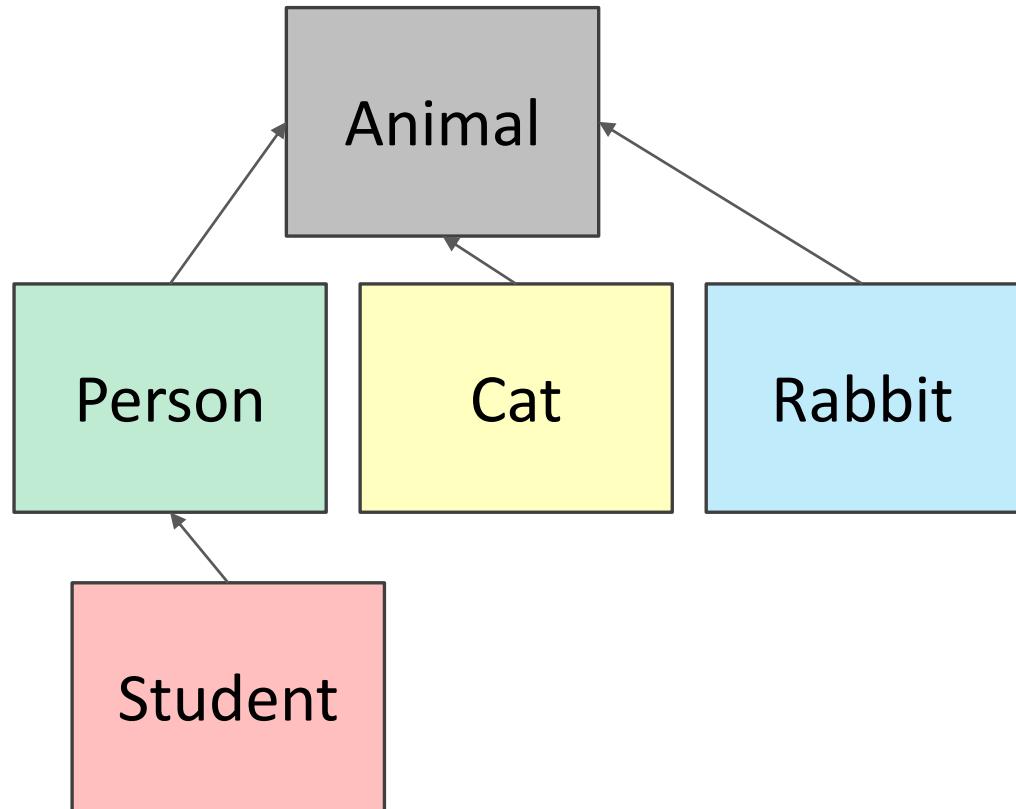
Rabbit



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HIERARCHIES

- **parent class**
(superclass)
- **child class**
(subclass)
 - **inherits** all data and behaviors of parent class
 - **add more info**
 - **add more behavior**
 - **override** behavior



INHERITANCE: PARENT CLASS

```
class Animal(object):
    def __init__(self, age):
        self.age = age
        self.name = None
    def get_age(self):
        return self.age
    def get_name(self):
        return self.name
    def set_age(self, newage):
        self.age = newage
    def set_name(self, newname=''):
        self.name = newname
    def __str__(self):
        return "animal:"+str(self.name)+":"+str(self.age)
```

- everything is an object
- class object
implements basic
operations in Python, like
binding variables, etc

INHERITANCE: SUBCLASS

inherits all attributes of Animal:
`__init__()`
`age, name`
`get_age(), get_name()`
`set_age(), set_name()`
`__str__()`

```
class Cat(Animal):  
    def speak(self):  
        print("meow")  
    def __str__(self):  
        return "cat:"+str(self.name)+":"+str(self.age)
```

add new
functionality via
speak method

overrides `str`

- add new functionality with `speak()`
 - instance of type `Cat` can be called with new methods
 - instance of type `Animal` throws error if called with `Cat`'s new method
- `__init__` is not missing, uses the `Animal` version

WHICH METHOD TO USE?

- subclass can have **methods with same name** as superclass
- for an instance of a class, look for a method name in **current class definition**
- if not found, look for method name **up the hierarchy** (in parent, then grandparent, and so on)
- use first method up the hierarchy that you found with that method name

```

class Person(Animal):
    def __init__(self, name, age):
        Animal.__init__(self, age)
        self.set_name(name)
        self.friends = []
    def get_friends(self):
        return self.friends
    def add_friend(self, fname):
        if fname not in self.friends:
            self.friends.append(fname)
    def speak(self):
        print("hello")
    def age_diff(self, other):
        diff = self.age - other.age
        print(abs(diff), "year difference")
    def __str__(self):
        return "person:"+str(self.name)+":"+str(self.age)

```

parent class is Animal

call Animal constructor
call Animal's method
add a new data attribute

new methods

override Animal's
str method

```

import random

class Student(Person):
    def __init__(self, name, age, major=None):
        Person.__init__(self, name, age)
        self.major = major

    def change_major(self, major):
        self.major = major

    def speak(self):
        r = random.random()
        if r < 0.25:
            print("I have homework")
        elif 0.25 <= r < 0.5:
            print("I need sleep")
        elif 0.5 <= r < 0.75:
            print("I should eat")
        else:
            print("I am watching tv")

    def __str__(self):
        return "student:"+str(self.name)+":"+str(self.age)+":"+str(self.major)

```

bring in methods from random class

inherits Person and Animal attributes

adds new data

- I looked up how to use the random class in the python docs

- random() method gives back float in [0, 1]

CLASS VARIABLES AND THE Rabbit SUBCLASS

- **class variables** and their values are shared between all instances of a class

```
class Rabbit(Animal):  
    tag = 1  
  
    def __init__(self, age, parent1=None, parent2=None):  
        Animal.__init__(self, age)  
        self.parent1 = parent1  
        self.parent2 = parent2  
        self.rid = Rabbit.tag  
        Rabbit.tag += 1
```

class variable

instance variable

parent class

access class variable

incrementing class variable
for all instances that may reference it

- tag used to give **unique id** to each new rabbit instance

Rabbit GETTER METHODS

```
class Rabbit(Animal):
    tag = 1
    def __init__(self, age, parent1=None, parent2=None):
        Animal.__init__(self, age)
        self.parent1 = parent1
        self.parent2 = parent2
        self.rid = Rabbit.tag
        Rabbit.tag += 1
    def get_rid(self):
        return str(self.rid).zfill(3)
    def get_parent1(self):
        return self.parent1
    def get_parent2(self):
        return self.parent2
```

- getter methods specific
for a Rabbit class
- there are also getters
get_name and get_age
inherited from Animal

method on a string to pad
the beginning with zeros
for example, 001 not 1

WORKING WITH YOUR OWN TYPES

```
def __add__(self, other):  
    # returning object of same type as this class  
    return Rabbit(0, self, other)
```

recall Rabbit's `__init__(self, age, parent1=None, parent2=None)`

- define **+ operator** between two Rabbit instances
 - define what something like this does: `r4 = r1 + r2` where `r1` and `r2` are Rabbit instances
 - `r4` is a new Rabbit instance with age 0
 - `r4` has `self` as one parent and `other` as the other parent
 - in `__init__`, **parent1 and parent2 are of type Rabbit**

SPECIAL METHOD TO COMPARE TWO Rabbits

- decide that two rabbits are equal if they have the **same two parents**

```
def __eq__(self, other):  
    parents_same = self.parent1.rid == other.parent1.rid \  
                  and self.parent2.rid == other.parent2.rid  
    parents_opposite = self.parent2.rid == other.parent1.rid \  
                      and self.parent1.rid == other.parent2.rid  
    return parents_same or parents_opposite
```

booleans

- compare ids of parents since **ids are unique** (due to class var)
- note you can't compare objects directly
 - for ex. with `self.parent1 == other.parent1`
 - this calls the `__eq__` method over and over until call it on `None` and gives an `AttributeError` when it tries to do `None.parent1`

OBJECT ORIENTED PROGRAMMING

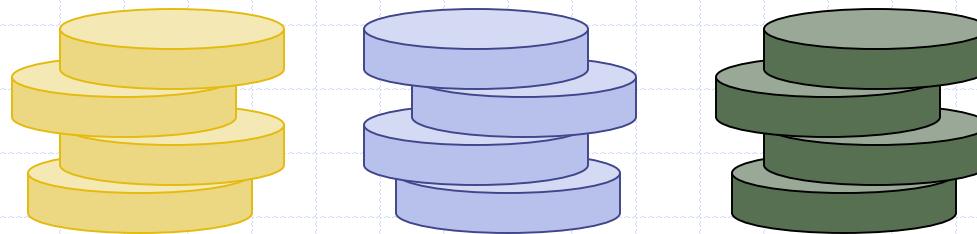
- create your own **collections of data**
- **organize** information
- **division** of work
- access information in a **consistent** manner
- add **layers** of complexity
- like functions, classes are a mechanism for **decomposition** and **abstraction** in programming

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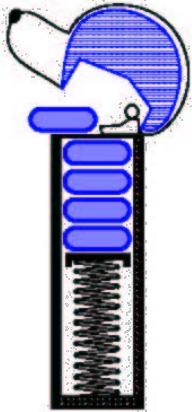
Stacks



Abstract Data Types (ADTs)

- An abstract data type (ADT) is an abstraction of a data structure
- An ADT specifies:
 - Data stored
 - Operations on the data
 - Error conditions associated with operations
- Example: ADT modeling a simple stock trading system
 - The data stored are buy/sell orders
 - The operations supported are
 - ◆ order **buy**(stock, shares, price)
 - ◆ order **sell**(stock, shares, price)
 - ◆ void **cancel**(order)
 - Error conditions:
 - ◆ Buy/sell a nonexistent stock
 - ◆ Cancel a nonexistent order

The Stack ADT



- The Stack ADT stores arbitrary objects
- Insertions and deletions follow the last-in first-out scheme
- Think of a spring-loaded plate dispenser
- Main stack operations:
 - `push(object)`: inserts an element
 - `object pop()`: removes and returns the last inserted element
- Auxiliary stack operations:
 - object `top()`: returns the last inserted element without removing it
 - integer `len()`: returns the number of elements stored
 - boolean `is_empty()`: indicates whether no elements are stored

Example

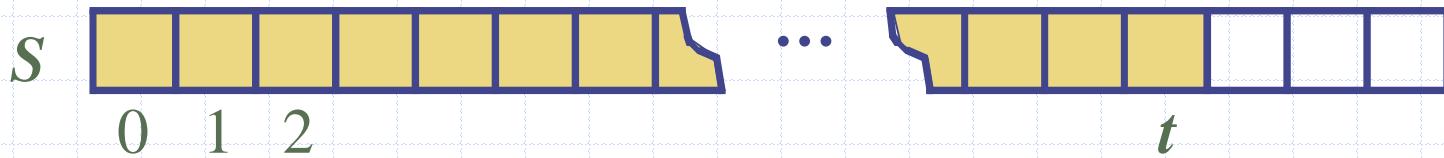
Operation	Return Value	Stack Contents
S.push(5)	–	[5]
S.push(3)	–	[5, 3]
len(S)	2	[5, 3]
S.pop()	3	[5]
S.is_empty()	False	[5]
S.pop()	5	[]
S.is_empty()	True	[]
S.pop()	“error”	[]
S.push(7)	–	[7]
S.push(9)	–	[7, 9]
S.top()	9	[7, 9]
S.push(4)	–	[7, 9, 4]
len(S)	3	[7, 9, 4]
S.pop()	4	[7, 9]
S.push(6)	–	[7, 9, 6]
S.push(8)	–	[7, 9, 6, 8]
S.pop()	8	[7, 9, 6]

Applications of Stacks

- Direct applications
 - Page-visited history in a Web browser
 - Undo sequence in a text editor
 - Chain of method calls in a language that supports recursion
- Indirect applications
 - Auxiliary data structure for algorithms
 - Component of other data structures

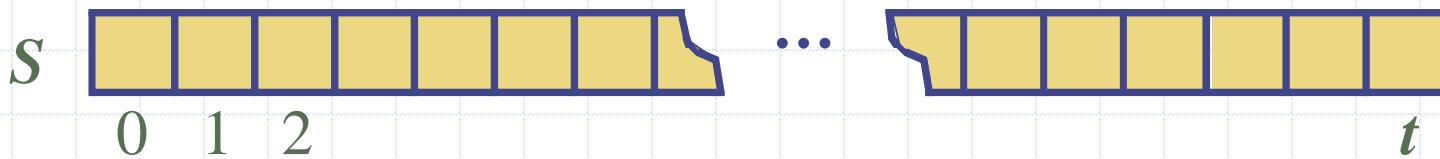
Array-based Stack

- ❑ A simple way of implementing the Stack ADT uses an array
- ❑ We add elements from left to right
- ❑ A variable keeps track of the index of the top element



Array-based Stack (cont.)

- The array storing the stack elements may become full
- A push operation will then need to grow the array and copy all the elements over.



Performance and Limitations

❑ Performance

- Let n be the number of elements in the stack
- The space used is $O(n)$
- Each operation runs in time $O(1)$
(amortized in the case of a push)

Array-based Stack in Python

```
1 class ArrayStack:
2     """LIFO Stack implementation using a Python list as underlying storage."""
3
4     def __init__(self):
5         """Create an empty stack."""
6         self._data = []                      # nonpublic list instance
7
8     def __len__(self):
9         """Return the number of elements in the stack."""
10    return len(self._data)
11
12    def is_empty(self):
13        """Return True if the stack is empty."""
14        return len(self._data) == 0
15
16    def push(self, e):
17        """Add element e to the top of the stack."""
18        self._data.append(e)                  # new item stored at end of list
19
20    def top(self):
21        """Return (but do not remove) the element at the top of the stack.
22
23        Raise Empty exception if the stack is empty.
24
25        if self.is_empty():
26            raise Empty('Stack is empty')
27        return self._data[-1]                # the last item in the list
28
29    def pop(self):
30        """Remove and return the element from the top of the stack (i.e., LIFO).
31
32        Raise Empty exception if the stack is empty.
33
34        if self.is_empty():
35            raise Empty('Stack is empty')
36        return self._data.pop()             # remove last item from list
```

Parentheses Matching

- Each “(”, “{”, or “[” must be paired with a matching “)”, “}”, or “[”
 - correct: ()(()){([()])}
 - correct: ((())(()){([()])})
 - incorrect:)(()){([()])}
 - incorrect: ({[]})
 - incorrect: (

Parentheses Matching Algorithm

Algorithm ParenMatch(X, n):

Input: An array X of n tokens, each of which is either a grouping symbol, a variable, an arithmetic operator, or a number

Output: true if and only if all the grouping symbols in X match

Let S be an empty stack

for $i=0$ to $n-1$ **do**

if $X[i]$ is an opening grouping symbol **then**

$S.push(X[i])$

else if $X[i]$ is a closing grouping symbol **then**

if $S.is_empty()$ **then**

return false {nothing to match with}

if $S.pop()$ does not match the type of $X[i]$ **then**

return false {wrong type}

if $S.isEmpty()$ **then**

return true {every symbol matched}

else return false {some symbols were never matched}

Parentheses Matching in Python

```
1 def is_matched(expr):
2     """ Return True if all delimiters are properly matched; False otherwise."""
3     lefty = '{(['
4     righty = ')]}' # opening delimiters
5     S = ArrayStack() # respective closing delims
6     for c in expr:
7         if c in lefty:
8             S.push(c) # push left delimiter on stack
9         elif c in righty:
10            if S.is_empty():
11                return False # nothing to match with
12            if righty.index(c) != lefty.index(S.pop()):
13                return False # mismatched
14    return S.is_empty() # were all symbols matched?
```

HTML Tag Matching

- ◆ For fully-correct HTML, each <name> should pair with a matching </name>

```
<body>
<center>
<h1> The Little Boat </h1>
</center>
<p> The storm tossed the little
boat like a cheap sneaker in an
old washing machine. The three
drunken fishermen were used to
such treatment, of course, but
not the tree salesman, who even as
a stowaway now felt that he
had overpaid for the voyage. </p>
<ol>
<li> Will the salesman die? </li>
<li> What color is the boat? </li>
<li> And what about Naomi? </li>
</ol>
</body>
```

The Little Boat

The storm tossed the little boat
like a cheap sneaker in an old
washing machine. The three
drunken fishermen were used to
such treatment, of course, but not
the tree salesman, who even as
a stowaway now felt that he had
overpaid for the voyage.

1. Will the salesman die?
2. What color is the boat?
3. And what about Naomi?

Tag Matching Algorithm in Python

```
1 def is_matched_html(raw):
2     """Return True if all HTML tags are properly matched; False otherwise."""
3     S = ArrayStack()
4     j = raw.find('<')
                    # find first '<' character (if any)
5     while j != -1:
6         k = raw.find('>', j+1)
                    # find next '>' character
7         if k == -1:
8             return False
                    # invalid tag
9         tag = raw[j+1:k]
                    # strip away < >
10        if not tag.startswith('/'):
11            S.push(tag)
                    # this is opening tag
12        else:
13            if S.is_empty():
14                return False
                    # this is closing tag
15            if tag[1:] != S.pop():
16                return False
                    # nothing to match with
17            j = raw.find('<', k+1)
                    # mismatched delimiter
18        return S.is_empty() # were all opening tags matched?
```

Evaluating Arithmetic Expressions

Slide by Matt Stallmann
included with permission.

$$14 - 3 * 2 + 7 = (14 - (3 * 2)) + 7$$

Operator precedence

* has precedence over +/–

Associativity

operators of the same precedence group
evaluated from left to right

Example: $(x - y) + z$ rather than $x - (y + z)$

Idea: push each operator on the stack, but first pop and
perform higher and *equal* precedence operations.

Algorithm for Evaluating Expressions

Slide by Matt Stallmann included with permission.

Two stacks:

- ❑ opStk holds operators
- ❑ valStk holds values
- ❑ Use \$ as special “end of input” token with lowest precedence

Algorithm doOp()

```
x ← valStk.pop();
y ← valStk.pop();
op ← opStk.pop();
valStk.push( y op x )
```

Algorithm repeatOps(refOp):

```
while ( valStk.size() > 1 ∧
        prec(refOp) ≤
        prec(opStk.top()))
    doOp()
```

Algorithm EvalExp()

Input: a stream of tokens representing an arithmetic expression (with numbers)

Output: the value of the expression

while there's another token z

if isNumber(z) **then**

 valStk.push(z)

else

 repeatOps(z);

 opStk.push(z)

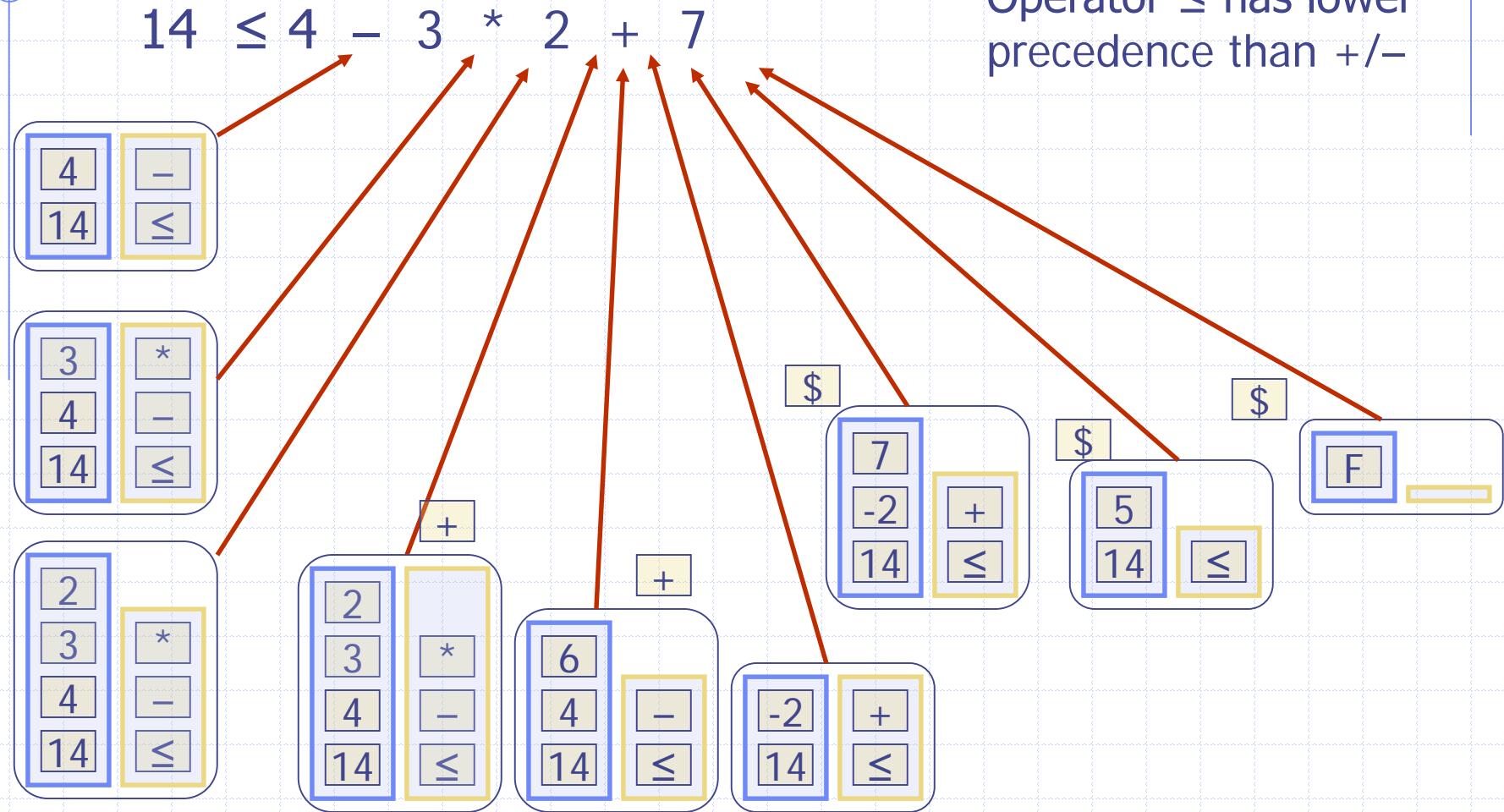
 repeatOps(\$);

return valStk.top()

Algorithm on an Example Expression

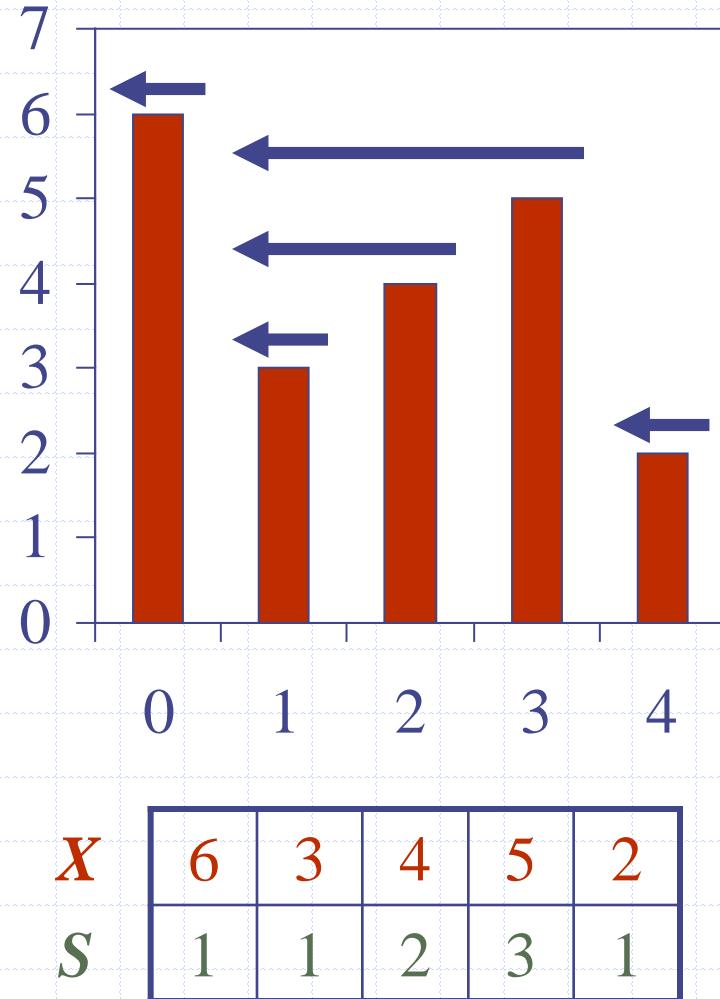
Slide by Matt Stallmann
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Operator \leq has lower precedence than $+/-$



Computing Spans (not in book)

- Using a stack as an auxiliary data structure in an algorithm
- Given an array X , the span $S[i]$ of $X[i]$ is the maximum number of consecutive elements $X[j]$ immediately preceding $X[i]$ and such that $X[j] \leq X[i]$
- Spans have applications to financial analysis
 - E.g., stock at 52-week high



Quadratic Algorithm

Algorithm $\text{spans1}(X, n)$

Input array X of n integers

Output array S of spans of X

$S \leftarrow$ new array of n integers

for $i \leftarrow 0$ **to** $n - 1$ **do**

$s \leftarrow 1$

while $s \leq i \wedge X[i - s] \leq X[i]$

$s \leftarrow s + 1$

$S[i] \leftarrow s$

return S

#

n

n

n

$1 + 2 + \dots + (n - 1)$

$1 + 2 + \dots + (n - 1)$

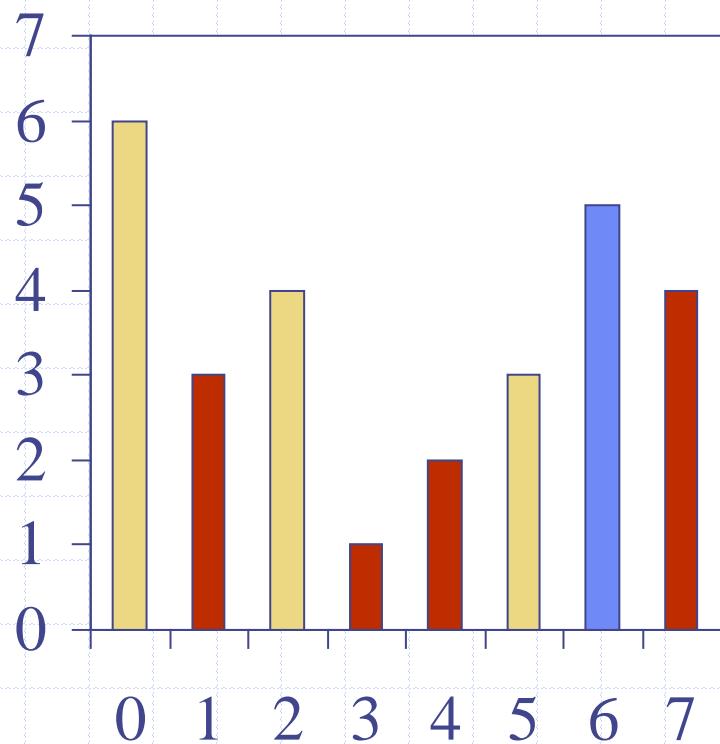
n

1

◆ Algorithm spans1 runs in $O(n^2)$ time

Computing Spans with a Stack

- We keep in a stack the indices of the elements visible when “looking back”
- We scan the array from left to right
 - Let i be the current index
 - We pop indices from the stack until we find index j such that $X[i] < X[j]$
 - We set $S[i] \leftarrow i - j$
 - We push x onto the stack



Linear Algorithm

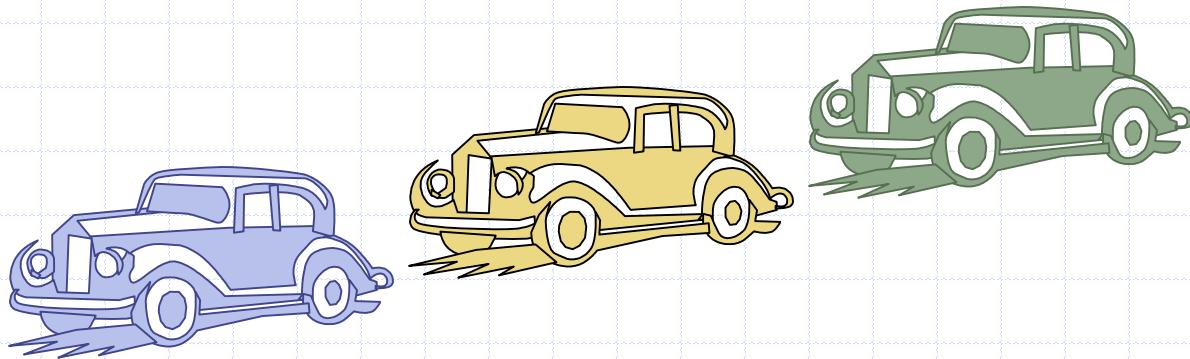
- ◆ Each index of the array
 - Is pushed into the stack exactly one
 - Is popped from the stack at most once
- ◆ The statements in the while-loop are executed at most n times
- ◆ Algorithm spans2 runs in $O(n)$ time

Algorithm $\text{spans2}(X, n)$

```
 $S \leftarrow$  new array of  $n$  integers      #  
 $A \leftarrow$  new empty stack                 $n$   
for  $i \leftarrow 0$  to  $n - 1$  do          1  
    while ( $\neg A.\text{is\_empty}()$   $\wedge$        $n$   
         $X[A.\text{top}()] \leq X[i]$  ) do  $n$   
             $A.pop()$                        $n$   
            if  $A.\text{is\_empty}()$  then       $n$   
                 $S[i] \leftarrow i + 1$             $n$   
            else                             $n$   
                 $S[i] \leftarrow i - A.\text{top}()$    $n$   
                 $A.push(i)$                   $n$   
return  $S$                          1
```



Queues



The Queue ADT

- The Queue ADT stores arbitrary objects
- Insertions and deletions follow the first-in first-out scheme
- Insertions are at the rear of the queue and removals are at the front of the queue
- Main queue operations:
 - `enqueue(object)`: inserts an element at the end of the queue
 - `object dequeue()`: removes and returns the element at the front of the queue

Auxiliary queue operations:

- object `first()`: returns the element at the front without removing it
- integer `len()`: returns the number of elements stored
- boolean `is_empty()`: indicates whether no elements are stored

Exceptions

- Attempting the execution of `dequeue` or `front` on an empty queue throws an `EmptyQueueException`

Example

Operation	Return Value	$\text{first} \leftarrow Q \leftarrow \text{last}$
<code>Q.enqueue(5)</code>	–	[5]
<code>Q.enqueue(3)</code>	–	[5, 3]
<code>len(Q)</code>	2	[5, 3]
<code>Q.dequeue()</code>	5	[3]
<code>Q.is_empty()</code>	False	[3]
<code>Q.dequeue()</code>	3	[]
<code>Q.is_empty()</code>	True	[]
<code>Q.dequeue()</code>	“error”	[]
<code>Q.enqueue(7)</code>	–	[7]
<code>Q.enqueue(9)</code>	–	[7, 9]
<code>Q.first()</code>	7	[7, 9]
<code>Q.enqueue(4)</code>	–	[7, 9, 4]
<code>len(Q)</code>	3	[7, 9, 4]
<code>Q.dequeue()</code>	7	[9, 4]

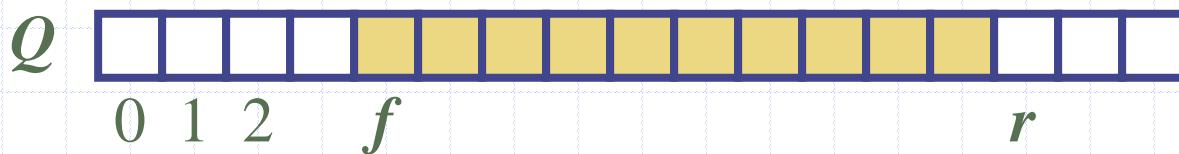
Applications of Queues

- Direct applications
 - Waiting lists, bureaucracy
 - Access to shared resources (e.g., printer)
 - Multiprogramming
- Indirect applications
 - Auxiliary data structure for algorithms
 - Component of other data structures

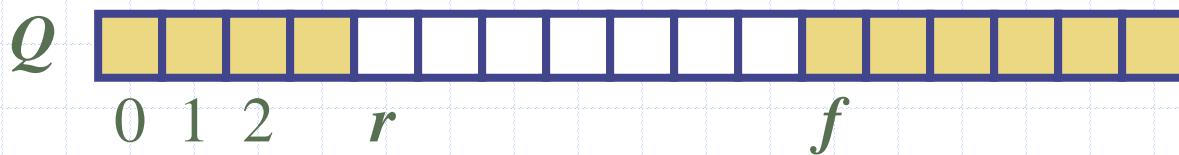
Array-based Queue

- ❑ Use an array of size N in a circular fashion
- ❑ Two variables keep track of the front and rear
 - f index of the front element
 - r index immediately past the rear element
- ❑ Array location r is kept empty

normal configuration



wrapped-around configuration



Queue Operations

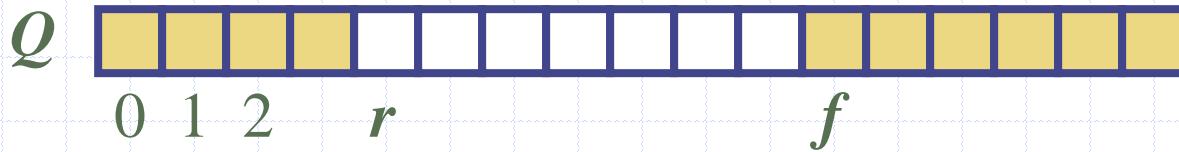
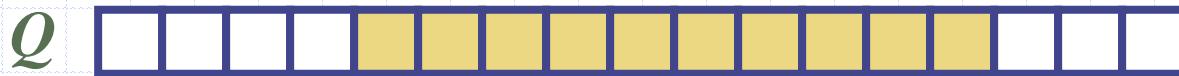
- We use the modulo operator (remainder of division)

Algorithm *size()*

return $(N - f + r) \bmod N$

Algorithm *isEmpty()*

return $(f = r)$

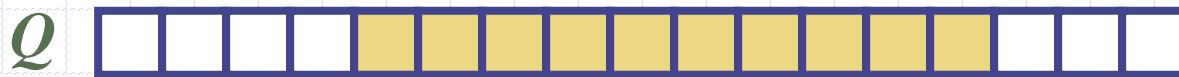


Queue Operations (cont.)

- ❑ Operation enqueue throws an exception if the array is full
- ❑ This exception is implementation-dependent

Algorithm *enqueue(o)*

```
if size() =  $N - 1$  then  
    throw FullQueueException  
else  
     $Q[r] \leftarrow o$   
 $r \leftarrow (r + 1) \bmod N$ 
```

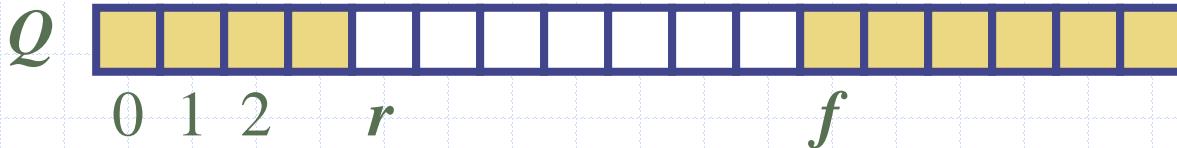
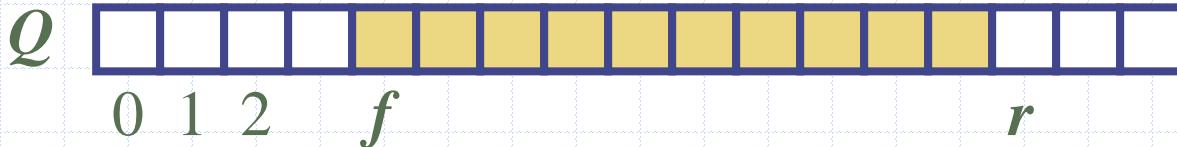


Queue Operations (cont.)

- ❑ Operation `dequeue` throws an exception if the queue is empty
- ❑ This exception is specified in the queue ADT

Algorithm *dequeue()*

```
if isEmpty() then  
    throw EmptyQueueException  
else  
    o  $\leftarrow Q[f]$   
    f  $\leftarrow (f + 1) \bmod N$   
return o
```



Queue in Python

- ❑ Use the following three instance variables:
 - `_data`: is a reference to a list instance with a fixed capacity.
 - `_size`: is an integer representing the current number of elements stored in the queue (as opposed to the length of the data list).
 - `_front`: is an integer that represents the index within `data` of the first element of the queue (assuming the queue is not empty).

Queue in Python, Beginning

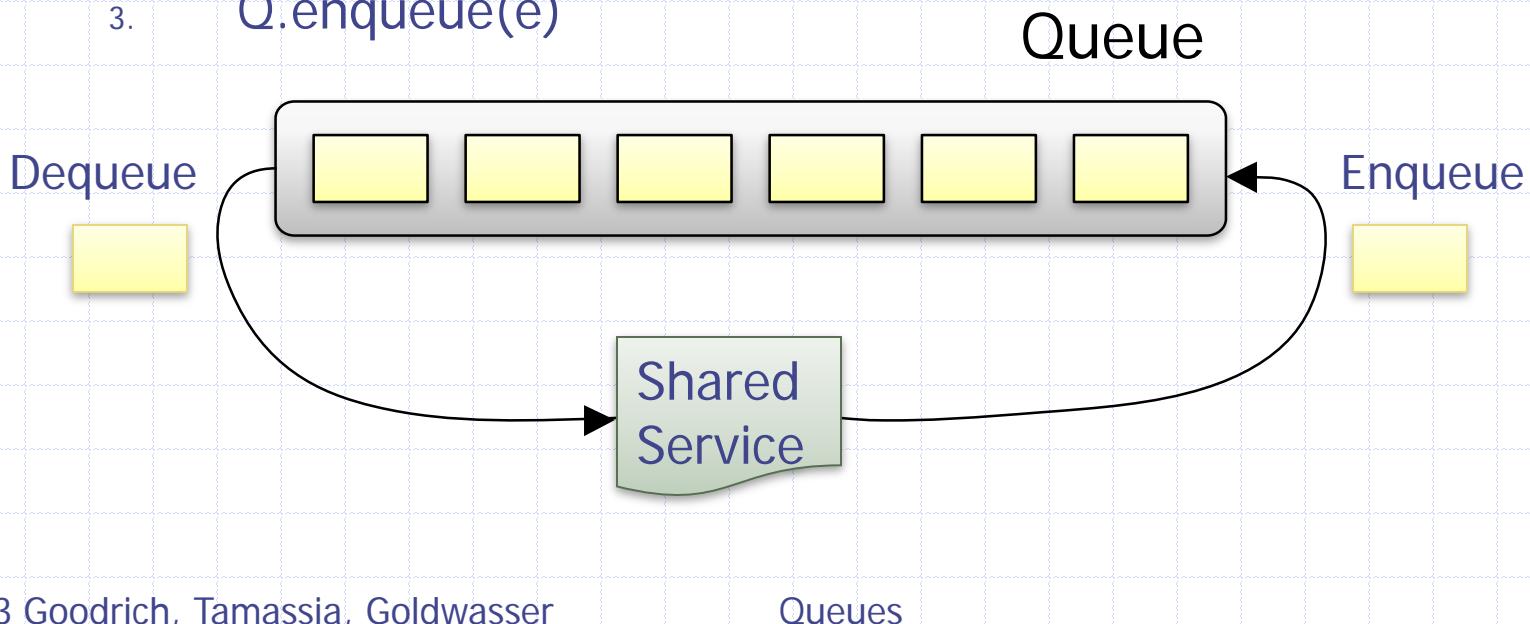
```
1 class ArrayQueue:
2     """FIFO queue implementation using a Python list as underlying storage."""
3     DEFAULT_CAPACITY = 10      # moderate capacity for all new queues
4
5     def __init__(self):
6         """Create an empty queue."""
7         self._data = [None] * ArrayQueue.DEFAULT_CAPACITY
8         self._size = 0
9         self._front = 0
10
11    def __len__(self):
12        """Return the number of elements in the queue."""
13        return self._size
14
15    def is_empty(self):
16        """Return True if the queue is empty."""
17        return self._size == 0
18
19    def first(self):
20        """Return (but do not remove) the element at the front of the queue.
21
22        Raise Empty exception if the queue is empty.
23        """
24        if self.is_empty():
25            raise Empty('Queue is empty')
26        return self._data[self._front]
27
28    def dequeue(self):
29        """Remove and return the first element of the queue (i.e., FIFO).
30
31        Raise Empty exception if the queue is empty.
32        """
33        if self.is_empty():
34            raise Empty('Queue is empty')
35        answer = self._data[self._front]
36        self._data[self._front] = None           # help garbage collection
37        self._front = (self._front + 1) % len(self._data)
38        self._size -= 1
39        return answer
```

Queue in Python, Continued

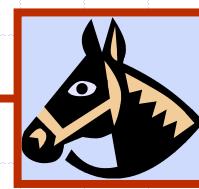
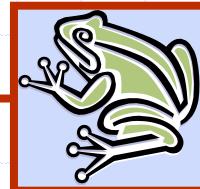
```
40 def enqueue(self, e):
41     """Add an element to the back of queue."""
42     if self._size == len(self._data):
43         self._resize(2 * len(self.data))          # double the array size
44     avail = (self._front + self._size) % len(self._data)
45     self._data[avail] = e
46     self._size += 1
47
48 def _resize(self, cap):                      # we assume cap >= len(self)
49     """Resize to a new list of capacity >= len(self)."""
50     old = self._data                         # keep track of existing list
51     self._data = [None] * cap                 # allocate list with new capacity
52     walk = self._front
53     for k in range(self._size):              # only consider existing elements
54         self._data[k] = old[walk]            # intentionally shift indices
55         walk = (1 + walk) % len(old)        # use old size as modulus
56     self._front = 0                          # front has been realigned
```

Application: Round Robin Schedulers

- We can implement a round robin scheduler using a queue Q by repeatedly performing the following steps:
 - $e = Q.dequeue()$
 - Service element e
 - $Q.enqueue(e)$



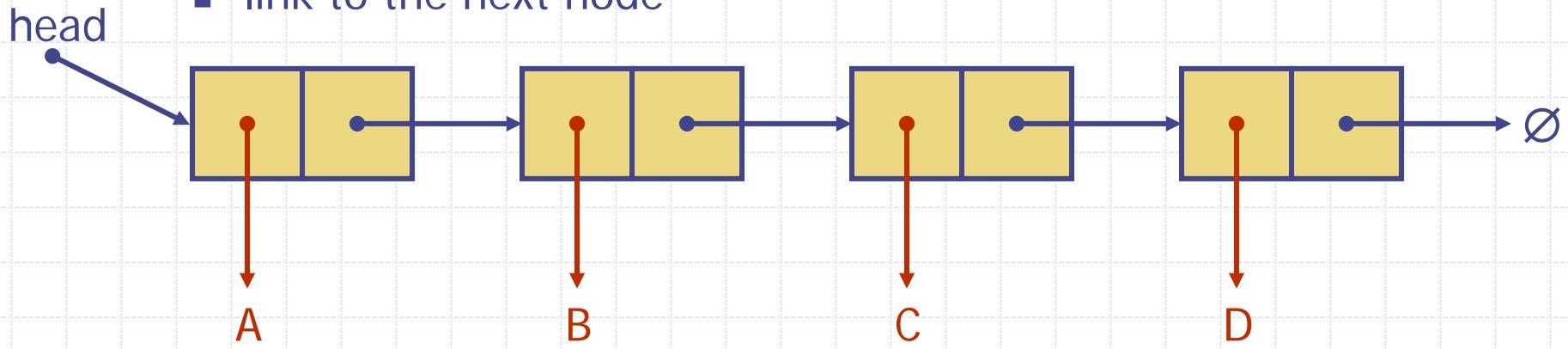
Linked Lists



Singly Linked List

- ◆ A singly linked list is a concrete data structure consisting of a sequence of nodes, starting from a head pointer

- ◆ Each node stores
 - element
 - link to the next node

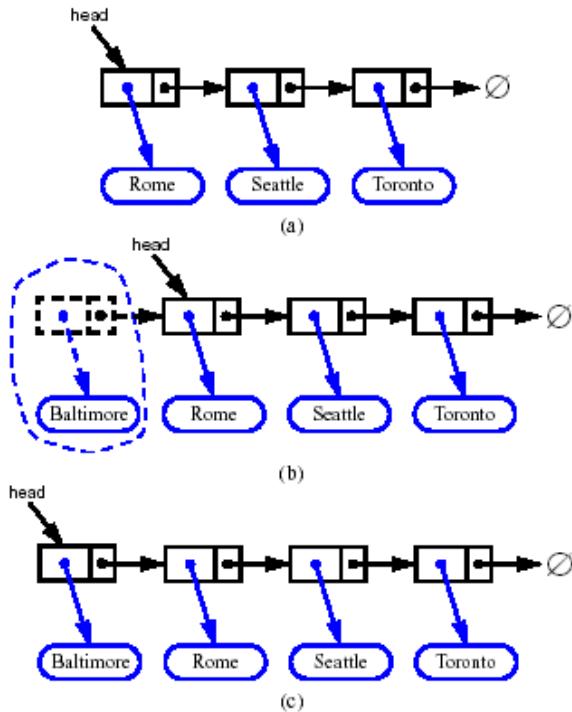


The Node Class for List Nodes

```
public class Node {  
    // Instance variables:  
    private Object element;  
    private Node next;  
    /** Creates a node with null references to its element and next node. */  
    public Node() {  
        this(null, null);  
    }  
    /** Creates a node with the given element and next node. */  
    public Node(Object e, Node n) {  
        element = e;  
        next = n;  
    }  
    // Accessor methods:  
    public Object getElement() {  
        return element;  
    }  
    public Node getNext() {  
        return next;  
    }  
    // Modifier methods:  
    public void setElement(Object newElem) {  
        element = newElem;  
    }  
    public void setNext(Node newNext) {  
        next = newNext;  
    }  
}
```

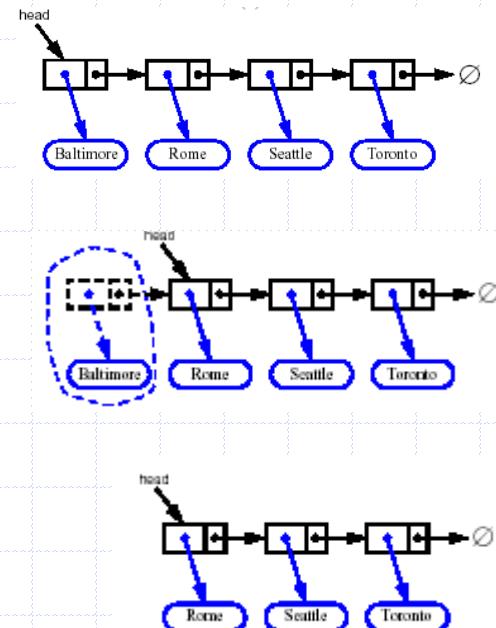
Inserting at the Head

1. Allocate a new node
2. Insert new element
3. Have new node point to old head
4. Update head to point to new node



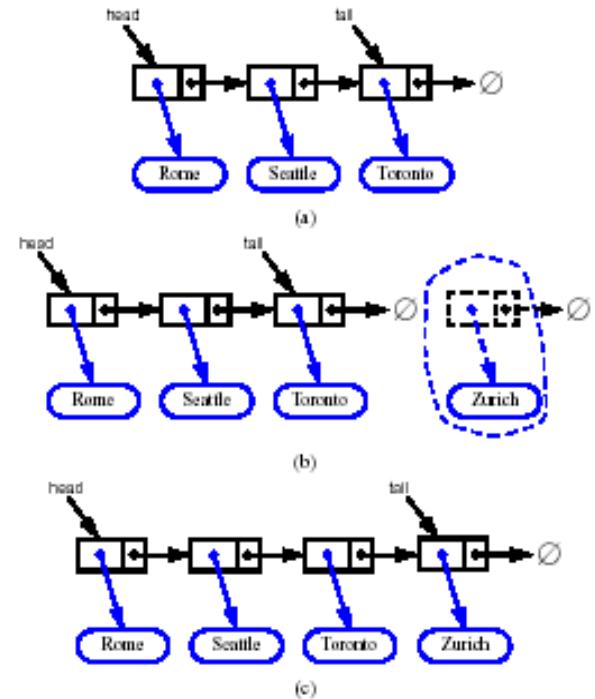
Removing at the Head

1. Update head to point to next node in the list
2. Allow garbage collector to reclaim the former first node



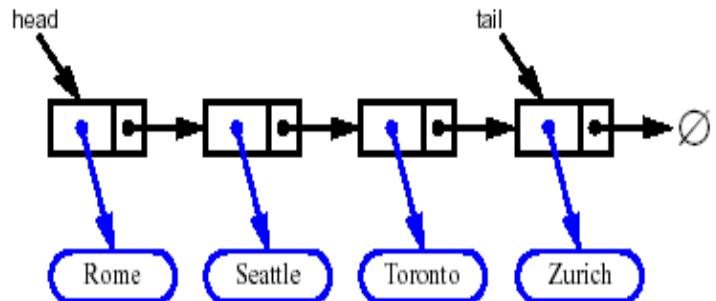
Inserting at the Tail

1. Allocate a new node
2. Insert new element
3. Have new node point to null
4. Have old last node point to new node
5. Update tail to point to new node



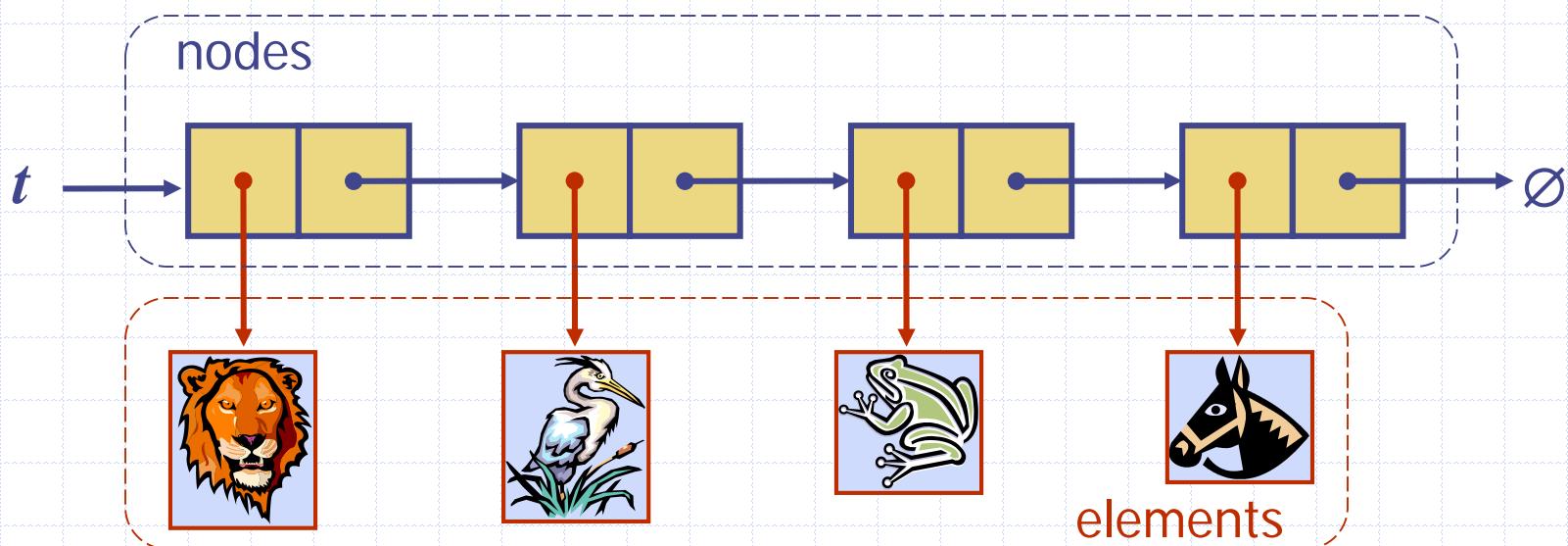
Removing at the Tail

- ◆ Removing at the tail of a singly linked list is not efficient!
- ◆ There is no constant-time way to update the tail to point to the previous node



Stack as a Linked List

- ◆ We can implement a stack with a singly linked list
- ◆ The top element is stored at the first node of the list
- ◆ The space used is $O(n)$ and each operation of the Stack ADT takes $O(1)$ time



Linked-List Stack in Python

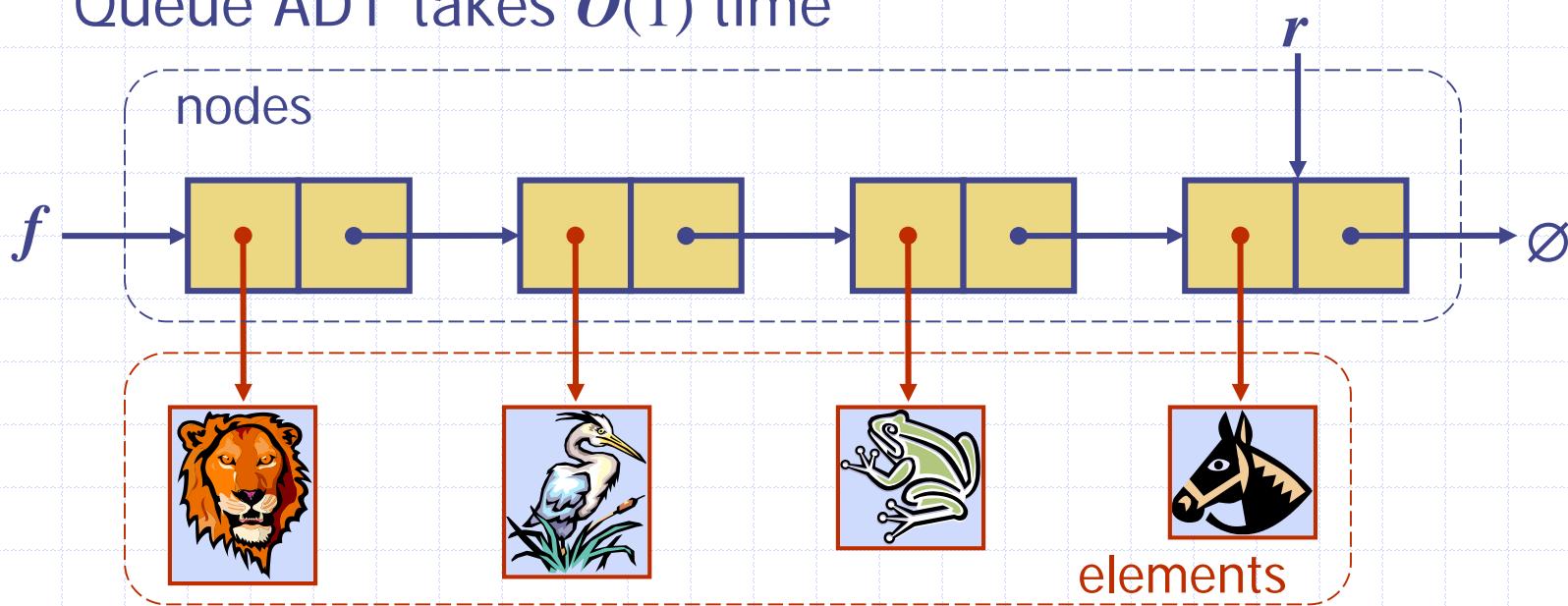
```
1 class LinkedStack:  
2     """LIFO Stack implementation using a singly linked list for storage."""  
3  
4     #----- nested _Node class -----  
5     class _Node:  
6         """Lightweight, nonpublic class for storing a singly linked node."""  
7         __slots__ = '_element', '_next'      # streamline memory usage  
8  
9         def __init__(self, element, next):      # initialize node's fields  
10            self._element = element           # reference to user's element  
11            self._next = next                # reference to next node  
12  
13     #----- stack methods -----  
14     def __init__(self):  
15         """Create an empty stack."""  
16         self._head = None                  # reference to the head node  
17         self._size = 0                     # number of stack elements  
18  
19     def __len__(self):  
20         """Return the number of elements in the stack."""  
21         return self._size  
22
```

```
23     def is_empty(self):  
24         """Return True if the stack is empty."""  
25         return self._size == 0  
26  
27     def push(self, e):  
28         """Add element e to the top of the stack."""  
29         self._head = self._Node(e, self._head)    # create and link a new node  
30         self._size += 1  
31  
32     def top(self):  
33         """Return (but do not remove) the element at the top of the stack.  
34  
35         Raise Empty exception if the stack is empty.  
36         """  
37         if self.is_empty():  
38             raise Empty('Stack is empty')  
39         return self._head._element               # top of stack is at head of list
```

```
40     def pop(self):  
41         """Remove and return the element from the top of the stack (i.e., LIFO).  
42  
43         Raise Empty exception if the stack is empty.  
44         """  
45         if self.is_empty():  
46             raise Empty('Stack is empty')  
47         answer = self._head._element  
48         self._head = self._head._next          # bypass the former top node  
49         self._size -= 1  
50         return answer
```

Queue as a Linked List

- ◆ We can implement a queue with a singly linked list
 - The front element is stored at the first node
 - The rear element is stored at the last node
- ◆ The space used is $O(n)$ and each operation of the Queue ADT takes $O(1)$ time

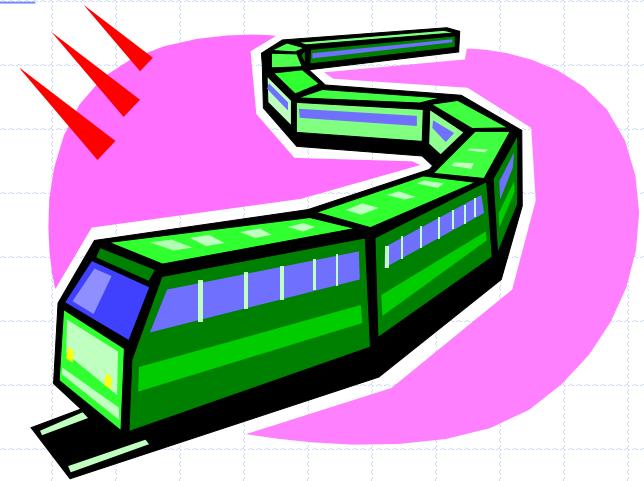


Linked-List Queue in Python

```
1 class LinkedQueue:
2     """FIFO queue implementation using a singly linked list for storage."""
3
4     class _Node:
5         """Lightweight, nonpublic class for storing a singly linked node."""
6         (omitted here; identical to that of LinkedStack._Node)
7
8     def __init__(self):
9         """Create an empty queue."""
10    self._head = None
11    self._tail = None
12    self._size = 0                      # number of queue elements
13
14    def __len__(self):
15        """Return the number of elements in the queue."""
16        return self._size
17
18    def is_empty(self):
19        """Return True if the queue is empty."""
20        return self._size == 0
21
22    def first(self):
23        """Return (but do not remove) the element at the front of the queue."""
24        if self.is_empty():
25            raise Empty('Queue is empty')
26        return self._head._element          # front aligned with head of list
```

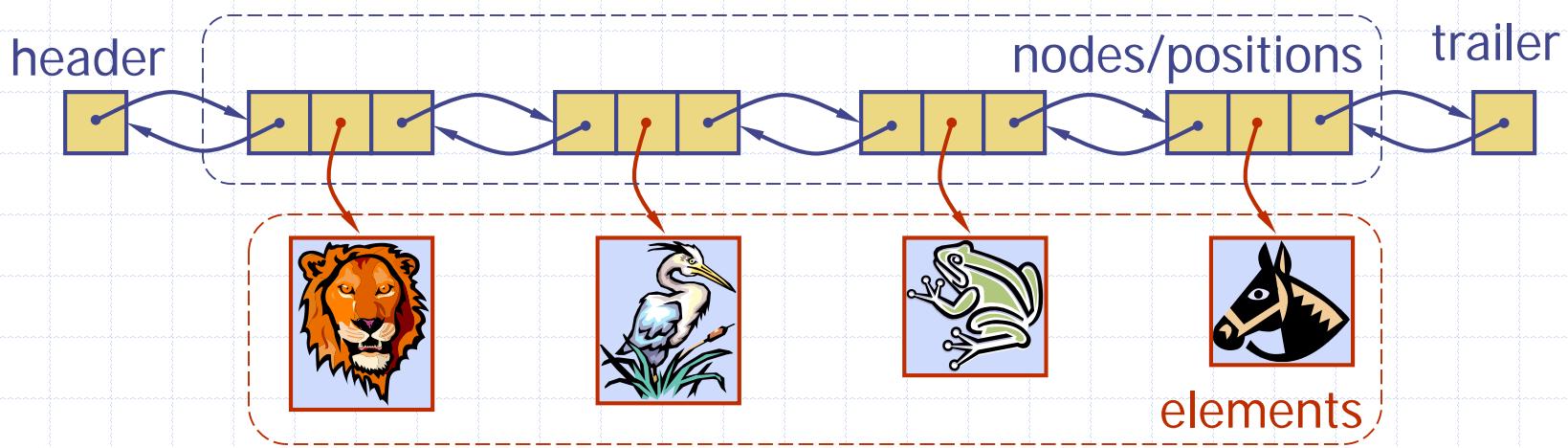
```
27    def dequeue(self):
28        """Remove and return the first element of the queue (i.e., FIFO).
29
30        Raise Empty exception if the queue is empty.
31        """
32        if self.is_empty():
33            raise Empty('Queue is empty')
34        answer = self._head._element
35        self._head = self._head._next
36        self._size -= 1
37        if self.is_empty():               # special case as queue is empty
38            self._tail = None             # removed head had been the tail
39        return answer
40
41    def enqueue(self, e):
42        """Add an element to the back of queue."""
43        newest = self._Node(e, None)      # node will be new tail node
44        if self.is_empty():
45            self._head = newest          # special case: previously empty
46        else:
47            self._tail._next = newest
48            self._tail = newest          # update reference to tail node
49            self._size += 1
```

Doubly-Linked Lists



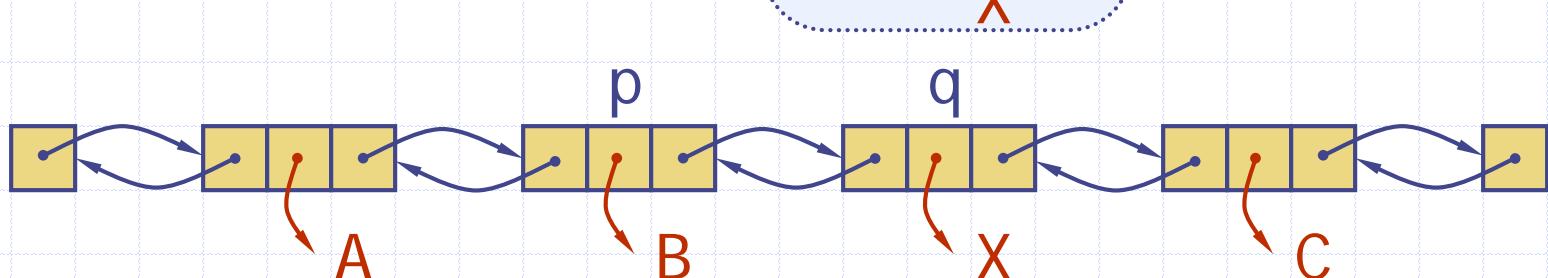
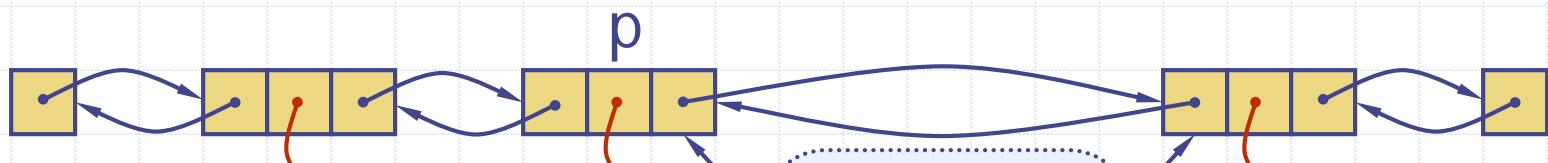
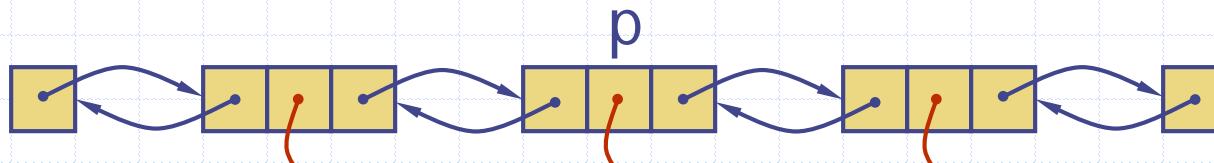
Doubly Linked List

- ◆ A doubly linked list provides a natural implementation of the Node List ADT
- ◆ Nodes implement Position and store:
 - element
 - link to the previous node
 - link to the next node
- ◆ Special trailer and header nodes



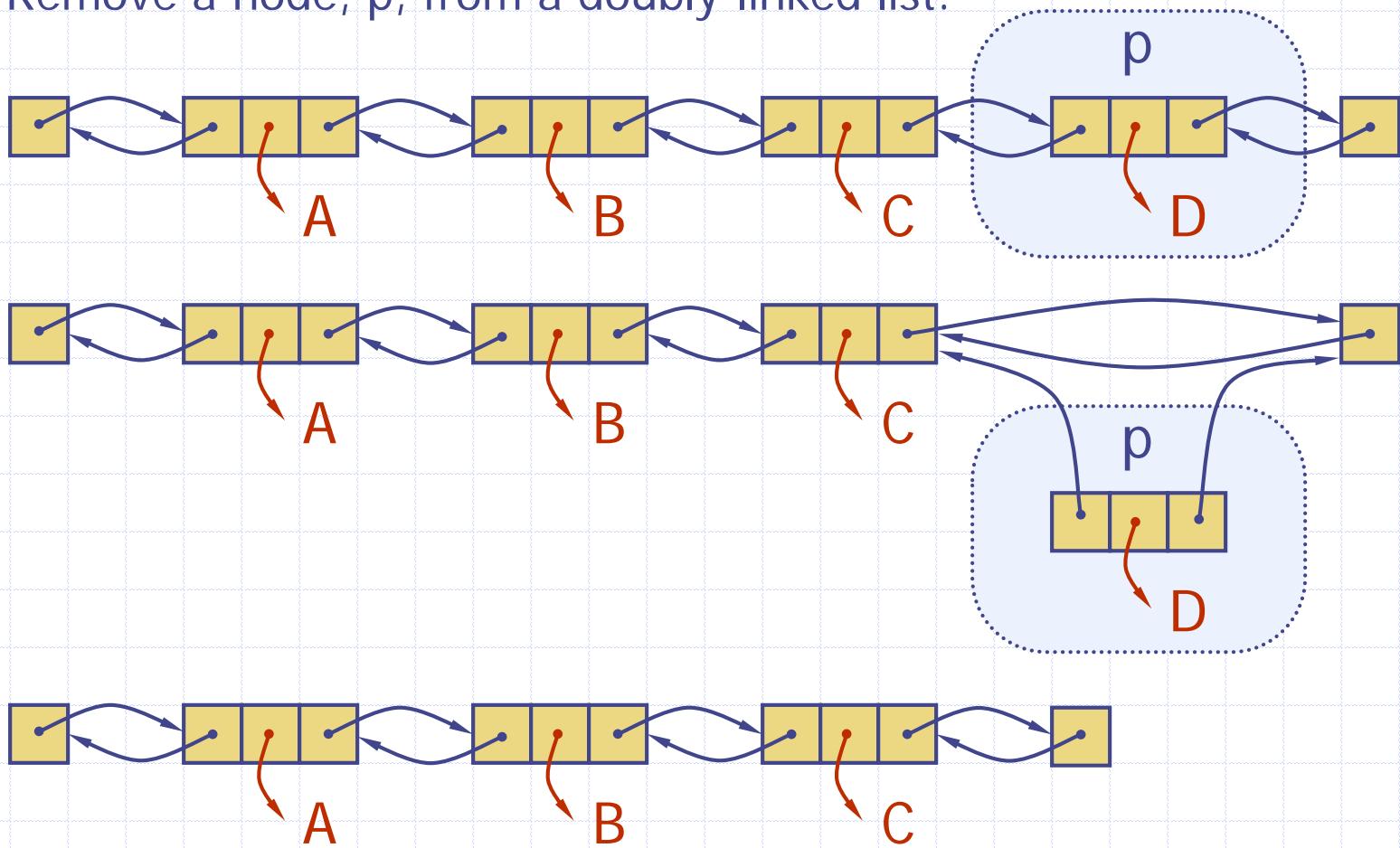
Insertion

- ◆ Insert a new node, q , between p and its successor.



Deletion

- ◆ Remove a node, p , from a doubly-linked list.



Doubly-Linked List in Python

```
1 class _DoublyLinkedListBase:  
2     """A base class providing a doubly linked list representation."""  
3  
4     class _Node:  
5         """Lightweight, nonpublic class for storing a doubly linked node."""  
6         (omitted here; see previous code fragment)  
7  
8     def __init__(self):  
9         """Create an empty list."""  
10        self._header = self._Node(None, None, None)  
11        self._trailer = self._Node(None, None, None)  
12        self._header._next = self._trailer           # trailer is after header  
13        self._trailer._prev = self._header          # header is before trailer  
14        self._size = 0                             # number of elements  
15  
16    def __len__(self):  
17        """Return the number of elements in the list."""  
18        return self._size  
19  
20    def is_empty(self):  
21        """Return True if list is empty."""  
22        return self._size == 0  
23
```

```
24    def _insert_between(self, e, predecessor, successor):  
25        """Add element e between two existing nodes and return new node."""  
26        newest = self._Node(e, predecessor, successor)  # linked to neighbors  
27        predecessor._next = newest  
28        successor._prev = newest  
29        self._size += 1  
30        return newest  
31  
32    def _delete_node(self, node):  
33        """Delete nonsentinel node from the list and return its element."""  
34        predecessor = node._prev  
35        successor = node._next  
36        predecessor._next = successor  
37        successor._prev = predecessor  
38        self._size -= 1  
39        element = node._element  
40        node._prev = node._next = node._element = None   # deprecate node  
41        return element                                # return deleted element
```

Performance

- ◆ In a doubly linked list
 - The space used by a list with n elements is $O(n)$
 - The space used by each position of the list is $O(1)$
 - All the standard operations of a list run in $O(1)$ time

Positional List

- ◆ To provide for a general abstraction of a sequence of elements with the ability to identify the location of an element, we define a **positional list** ADT.
- ◆ A position acts as a marker or token within the broader positional list.
- ◆ A position p is unaffected by changes elsewhere in a list; the only way in which a position becomes invalid is if an explicit command is issued to delete it.
- ◆ A position instance is a simple object, supporting only the following method:
 - $p.\text{element}()$: Return the element stored at position p .

Positional Accessor Operations

`L.first()`: Return the position of the first element of L, or `None` if L is empty.

`L.last()`: Return the position of the last element of L, or `None` if L is empty.

`L.before(p)`: Return the position of L immediately before position p, or `None` if p is the first position.

`L.after(p)`: Return the position of L immediately after position p, or `None` if p is the last position.

`L.is_empty()`: Return `True` if list L does not contain any elements.

`len(L)`: Return the number of elements in the list.

`iter(L)`: Return a forward iterator for the *elements* of the list. See Section 1.8 for discussion of iterators in Python.

Positional Update Operations

`L.add_first(e)`: Insert a new element e at the front of L , returning the position of the new element.

`L.add_last(e)`: Insert a new element e at the back of L , returning the position of the new element.

`L.add_before(p, e)`: Insert a new element e just before position p in L , returning the position of the new element.

`L.add_after(p, e)`: Insert a new element e just after position p in L , returning the position of the new element.

`L.replace(p, e)`: Replace the element at position p with element e , returning the element formerly at position p .

`L.delete(p)`: Remove and return the element at position p in L , invalidating the position.

Positional List in Python

```
1 class PositionalList(_DoublyLinkedListBase):
2     """A sequential container of elements allowing positional access."""
3
4     #----- nested Position class -----
5     class Position:
6         """An abstraction representing the location of a single element."""
7
8         def __init__(self, container, node):
9             """Constructor should not be invoked by user."""
10            self._container = container
11            self._node = node
12
13        def element(self):
14            """Return the element stored at this Position."""
15            return self._node._element
16
17        def __eq__(self, other):
18            """Return True if other is a Position representing the same location."""
19            return type(other) is type(self) and other._node is self._node
20
21        def __ne__(self, other):
22            """Return True if other does not represent the same location."""
23            return not (self == other)      # opposite of __eq__
24
25     #----- utility method -----
26     def _validate(self, p):
27         """Return position's node, or raise appropriate error if invalid."""
28         if not isinstance(p, self.Position):
29             raise TypeError('p must be proper Position type')
30         if p._container is not self:
31             raise ValueError('p does not belong to this container')
32         if p._node._next is None:          # convention for deprecated nodes
33             raise ValueError('p is no longer valid')
34         return p._node
```

Positional List in Python, Part 2

```
35  #----- utility method -----
36  def _make_position(self, node):
37      """Return Position instance for given node (or None if sentinel)."""
38      if node is self._header or node is self._trailer:
39          return None                                # boundary violation
40      else:
41          return self.Position(self, node)           # legitimate position
42
43  #----- accessors -----
44  def first(self):
45      """Return the first Position in the list (or None if list is empty)."""
46      return self._make_position(self._header._next)
47
48  def last(self):
49      """Return the last Position in the list (or None if list is empty)."""
50      return self._make_position(self._trailer._prev)
51
52  def before(self, p):
53      """Return the Position just before Position p (or None if p is first)."""
54      node = self._validate(p)
55      return self._make_position(node._prev)
56
57  def after(self, p):
58      """Return the Position just after Position p (or None if p is last)."""
59      node = self._validate(p)
60      return self._make_position(node._next)
61
62  def __iter__(self):
63      """Generate a forward iteration of the elements of the list."""
64      cursor = self.first()
65      while cursor is not None:
66          yield cursor.element()
67          cursor = self.after(cursor)
```

Positional List in Python, Part 3

```
#----- mutators -----#
# override inherited version to return Position, rather than Node
def _insert_between(self, e, predecessor, successor):
    """ Add element between existing nodes and return new Position."""
    node = super()._insert_between(e, predecessor, successor)
    return self._make_position(node)

def add_first(self, e):
    """ Insert element e at the front of the list and return new Position."""
    return self._insert_between(e, self._header, self._header._next)

def add_last(self, e):
    """ Insert element e at the back of the list and return new Position."""
    return self._insert_between(e, self._trailer._prev, self._trailer)

def add_before(self, p, e):
    """ Insert element e into list before Position p and return new Position."""
    original = self._validate(p)
    return self._insert_between(e, original._prev, original)

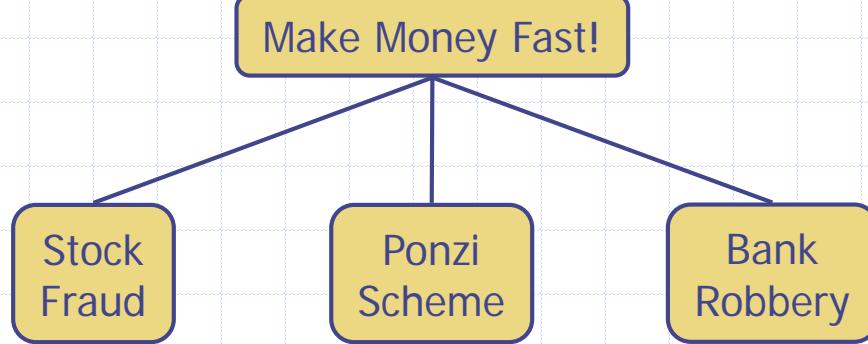
def add_after(self, p, e):
    """ Insert element e into list after Position p and return new Position."""
    original = self._validate(p)
    return self._insert_between(e, original, original._next)

def delete(self, p):
    """ Remove and return the element at Position p."""
    original = self._validate(p)
    return self._delete_node(original)      # inherited method returns element

def replace(self, p, e):
    """ Replace the element at Position p with e.

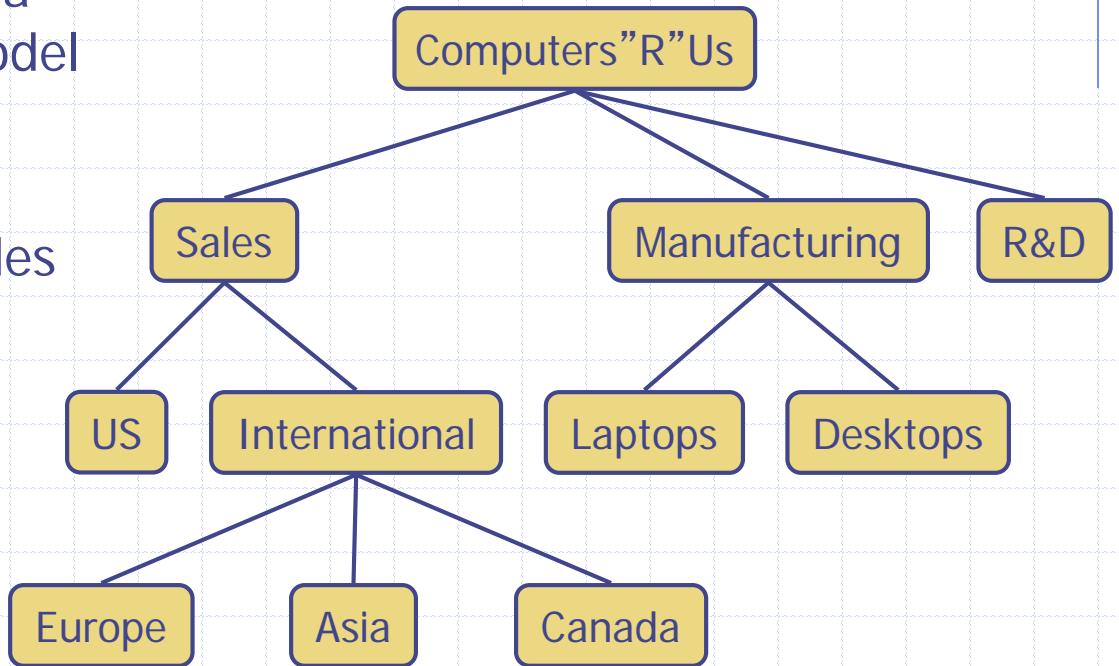
    Return the element formerly at Position p.
    """
    original = self._validate(p)
    old_value = original._element          # temporarily store old element
    original._element = e                  # replace with new element
    return old_value                      # return the old element value
```

Trees



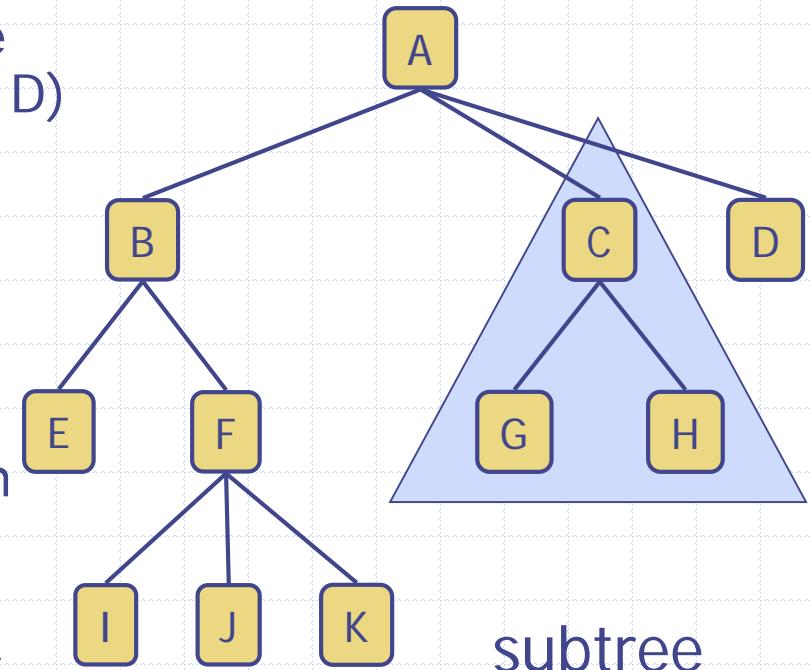
What is a Tree

- In computer science, a tree is an abstract model of a hierarchical structure
- A tree consists of nodes with a parent-child relation
- Applications:
 - Organization charts
 - File systems
 - Programming environments



Tree Terminology

- Root: node without parent (A)
- Internal node: node with at least one child (A, B, C, F)
- External node (a.k.a. leaf): node without children (E, I, J, K, G, H, D)
- Ancestors of a node: parent, grandparent, grand-grandparent, etc.
- Depth of a node: number of ancestors
- Height of a tree: maximum depth of any node (3)
- Descendant of a node: child, grandchild, grand-grandchild, etc.



Tree ADT

- We use positions to abstract nodes
 - Generic methods:
 - Integer `len()`
 - Boolean `is_empty()`
 - Iterator `positions()`
 - Iterator `iter()`
 - Accessor methods:
 - position `root()`
 - position `parent(p)`
 - Iterator `children(p)`
 - Integer `num_children(p)`
- ◆ Query methods:
 - Boolean `is_leaf(p)`
 - Boolean `is_root(p)`
 - ◆ Update method:
 - element `replace (p, o)`
 - ◆ Additional update methods may be defined by data structures implementing the Tree ADT

Abstract Tree Class in Python

```
1 class Tree:  
2     """Abstract base class representing a tree structure."""  
3  
4     # ----- nested Position class -----  
5     class Position:  
6         """An abstraction representing the location of a single element."""  
7  
8         def element(self):  
9             """Return the element stored at this Position."""  
10            raise NotImplementedError('must be implemented by subclass')  
11  
12        def __eq__(self, other):  
13            """Return True if other Position represents the same location."""  
14            raise NotImplementedError('must be implemented by subclass')  
15  
16        def __ne__(self, other):  
17            """Return True if other does not represent the same location."""  
18            return not (self == other)          # opposite of __eq__  
19
```

```
20     # ----- abstract methods that concrete subclass must support -----  
21     def root(self):  
22         """Return Position representing the tree's root (or None if empty)."""  
23         raise NotImplementedError('must be implemented by subclass')  
24  
25     def parent(self, p):  
26         """Return Position representing p's parent (or None if p is root)."""  
27         raise NotImplementedError('must be implemented by subclass')  
28  
29     def num_children(self, p):  
30         """Return the number of children that Position p has."""  
31         raise NotImplementedError('must be implemented by subclass')  
32  
33     def children(self, p):  
34         """Generate an iteration of Positions representing p's children."""  
35         raise NotImplementedError('must be implemented by subclass')  
36  
37     def __len__(self):  
38         """Return the total number of elements in the tree."""  
39         raise NotImplementedError('must be implemented by subclass')
```

```
40     # ----- concrete methods implemented in this class -----  
41     def is_root(self, p):  
42         """Return True if Position p represents the root of the tree."""  
43         return self.root() == p  
44  
45     def is_leaf(self, p):  
46         """Return True if Position p does not have any children."""  
47         return self.num_children(p) == 0  
48  
49     def is_empty(self):  
50         """Return True if the tree is empty."""  
51         return len(self) == 0
```

Preorder Traversal

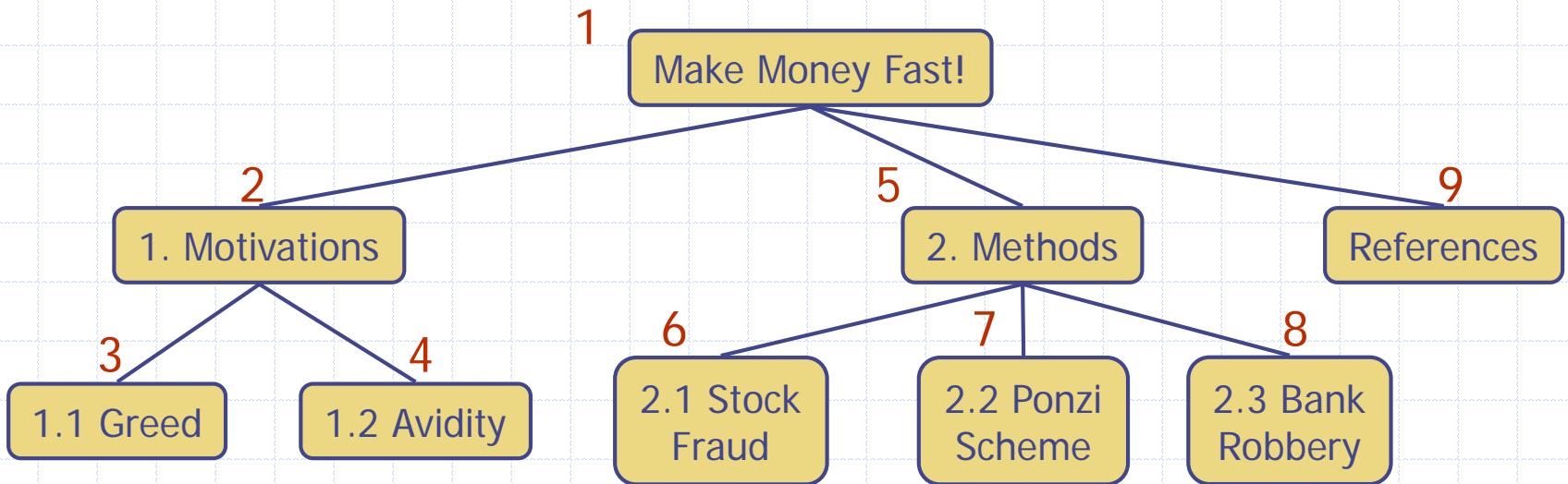
- ❑ A traversal visits the nodes of a tree in a systematic manner
- ❑ In a preorder traversal, a node is visited before its descendants
- ❑ Application: print a structured document

Algorithm *preOrder(v)*

visit(v)

for each child *w* of *v*

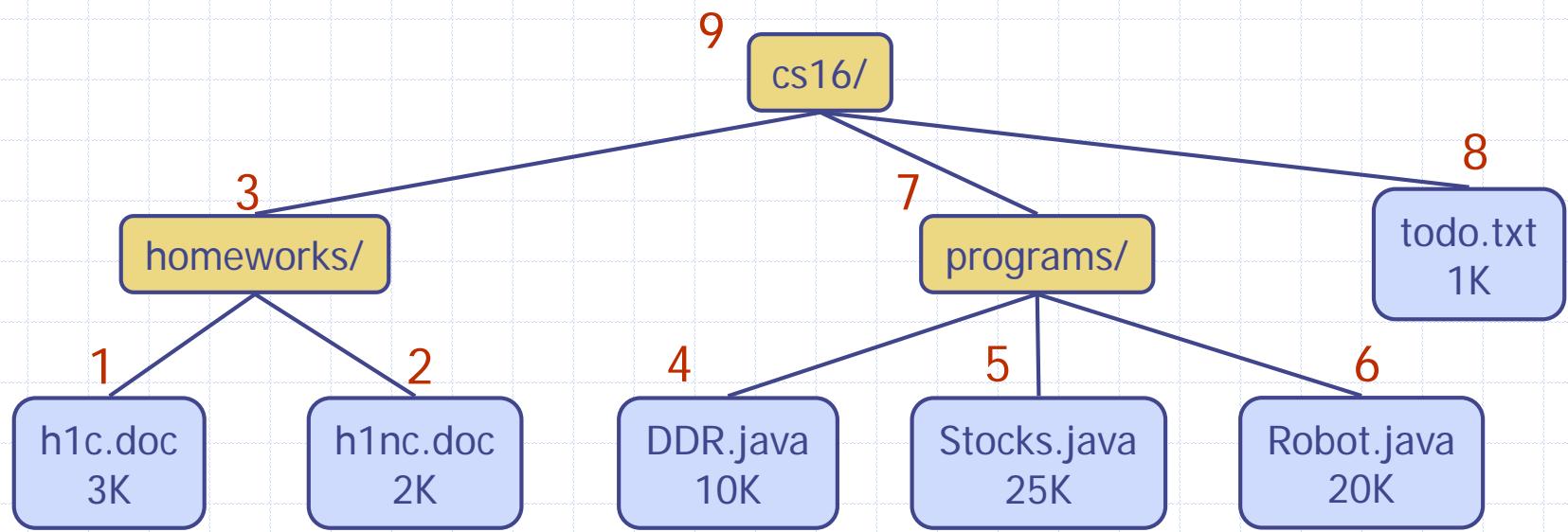
preorder (w)



Postorder Traversal

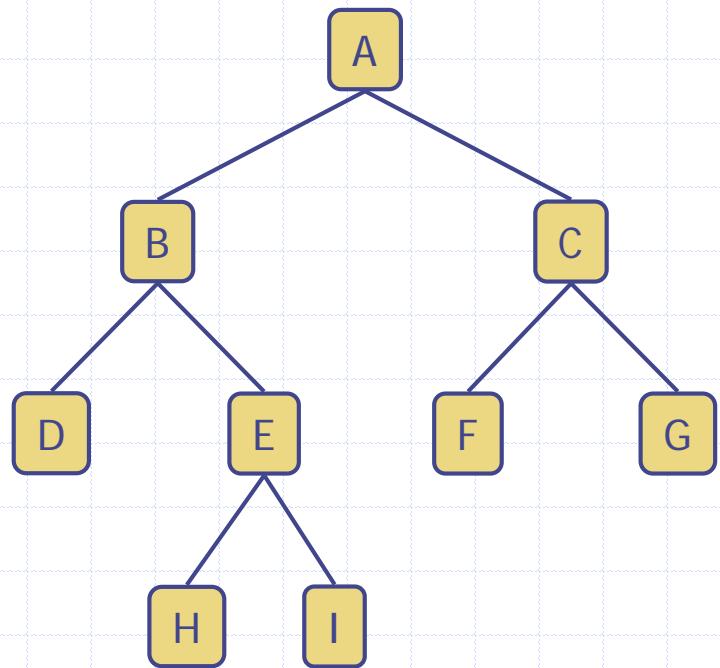
- In a postorder traversal, a node is visited after its descendants
- Application: compute space used by files in a directory and its subdirectories

```
Algorithm postOrder(v)
for each child w of v
    postOrder(w)
    visit(v)
```



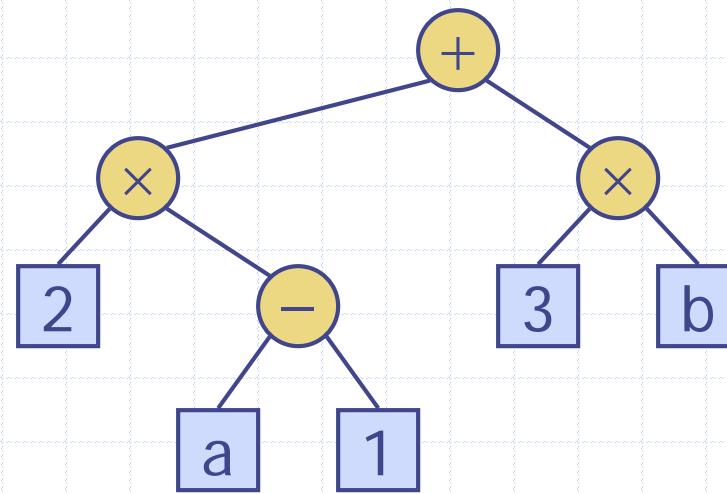
Binary Trees

- A binary tree is a tree with the following properties:
 - Each internal node has at most two children (exactly two for **proper** binary trees)
 - The children of a node are an ordered pair
 - We call the children of an internal node **left child** and **right child**
 - Alternative recursive definition: a binary tree is either
 - a tree consisting of a single node, or
 - a tree whose root has an ordered pair of children, each of which is a binary tree
- Applications:
 - arithmetic expressions
 - decision processes
 - searching



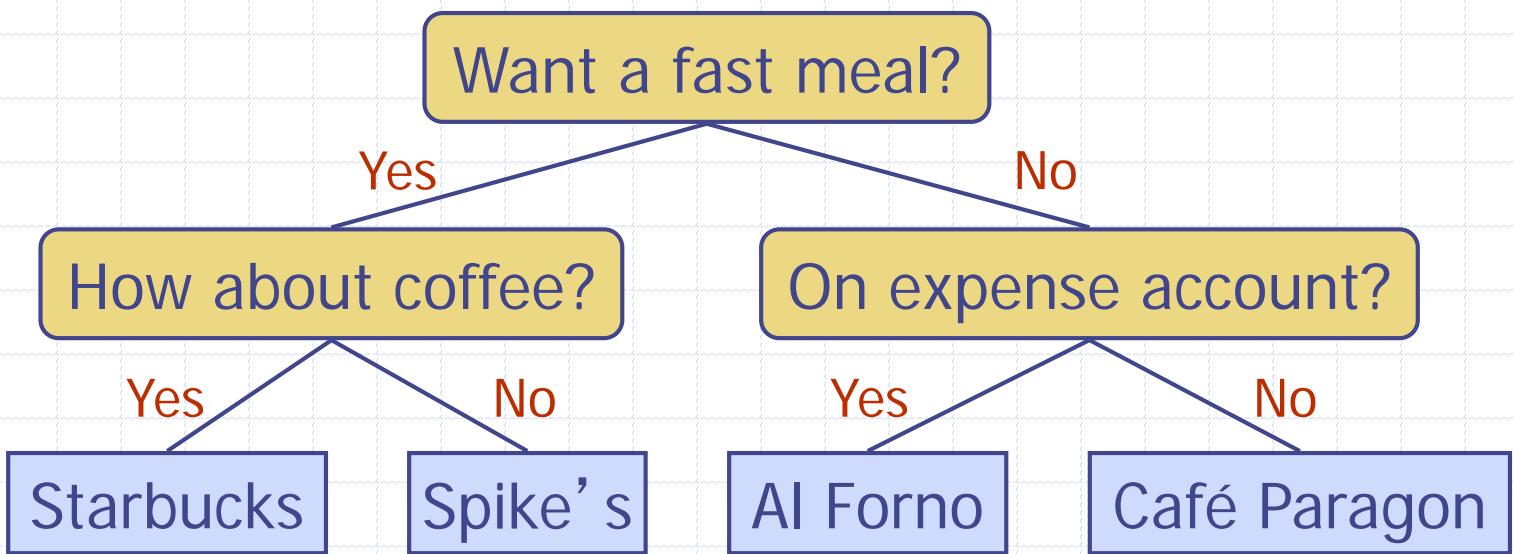
Arithmetic Expression Tree

- Binary tree associated with an arithmetic expression
 - internal nodes: operators
 - external nodes: operands
- Example: arithmetic expression tree for the expression $(2 \times (a - 1) + (3 \times b))$



Decision Tree

- Binary tree associated with a decision process
 - internal nodes: questions with yes/no answer
 - external nodes: decisions
- Example: dining decision



Properties of Proper Binary Trees

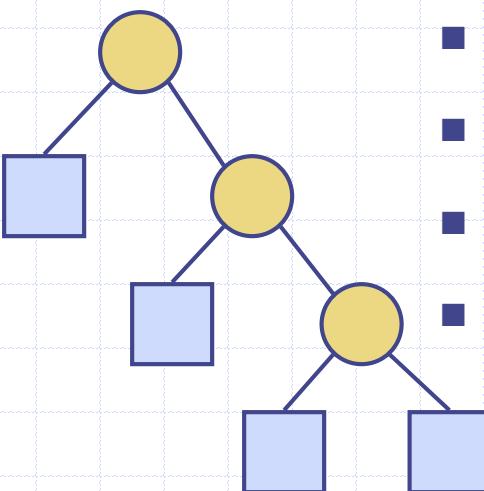
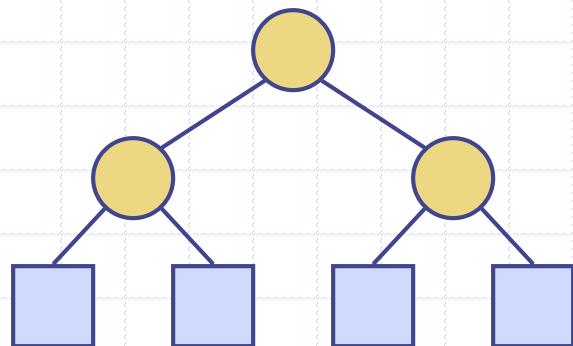
□ Notation

n number of nodes

e number of
external nodes

i number of internal
nodes

h height



◆ Properties:

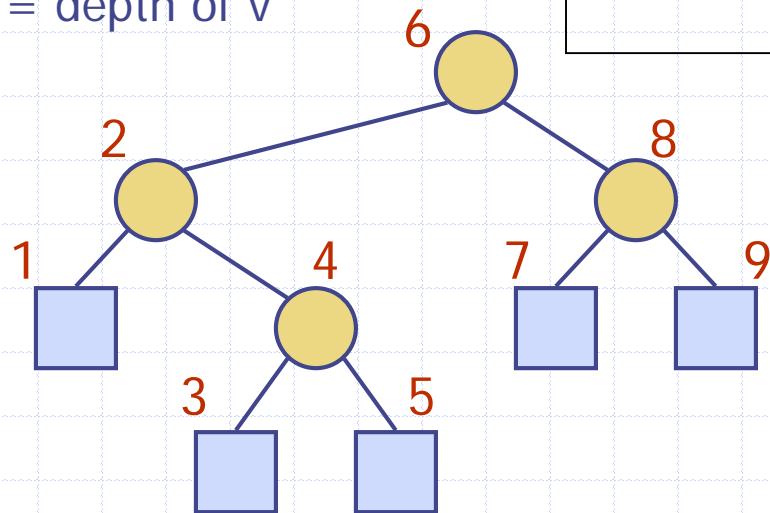
- $e = i + 1$
- $n = 2e - 1$
- $h \leq i$
- $h \leq (n - 1)/2$
- $e \leq 2^h$
- $h \geq \log_2 e$
- $h \geq \log_2 (n + 1) - 1$

BinaryTree ADT

- ❑ The BinaryTree ADT extends the Tree ADT, i.e., it inherits all the methods of the Tree ADT
- ❑ Additional methods:
 - position **left**(*p*)
 - position **right**(*p*)
 - position **sibling**(*p*)
- ❑ Update methods may be defined by data structures implementing the BinaryTree ADT

Inorder Traversal

- In an inorder traversal a node is visited after its left subtree and before its right subtree
- Application: draw a binary tree
 - $x(v)$ = inorder rank of v
 - $y(v)$ = depth of v



Algorithm *inOrder(v)*

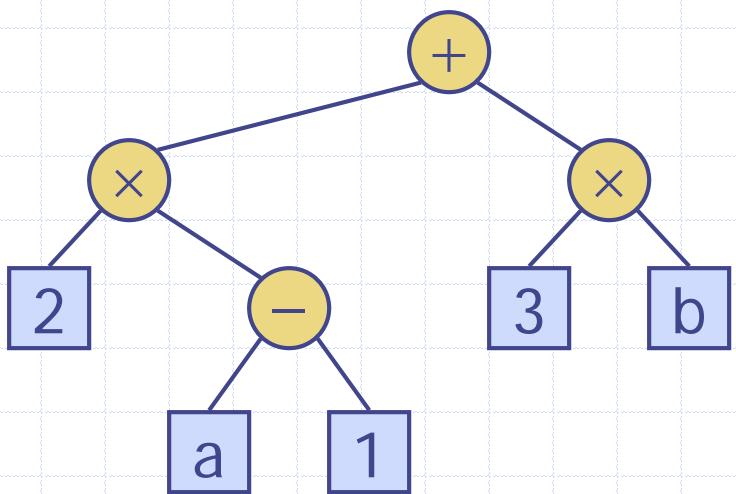
if v has a left child
inOrder (left (v))

visit(v)

if v has a right child
inOrder (right (v))

Print Arithmetic Expressions

- Specialization of an inorder traversal
 - print operand or operator when visiting node
 - print "(" before traversing left subtree
 - print ")" after traversing right subtree



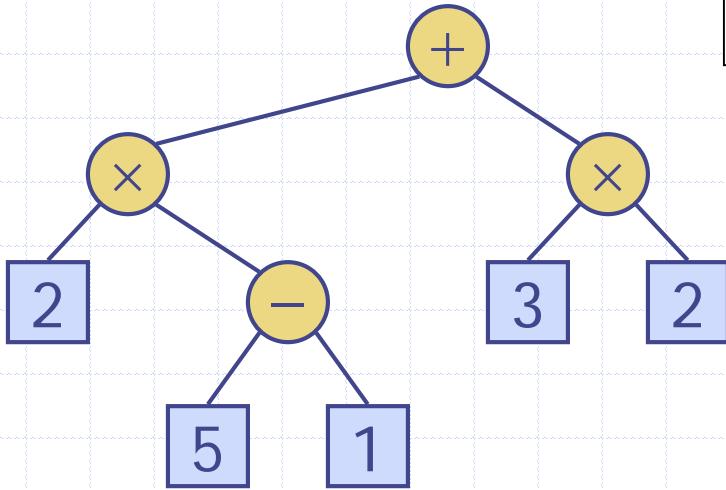
Algorithm *printExpression(v)*

```
if v has a left child  
    print("(")  
    inOrder(left(v))  
    print(v.element())  
if v has a right child  
    inOrder(right(v))  
    print(")")
```

$$((2 \times (a - 1)) + (3 \times b))$$

Evaluate Arithmetic Expressions

- Specialization of a postorder traversal
 - recursive method returning the value of a subtree
 - when visiting an internal node, combine the values of the subtrees

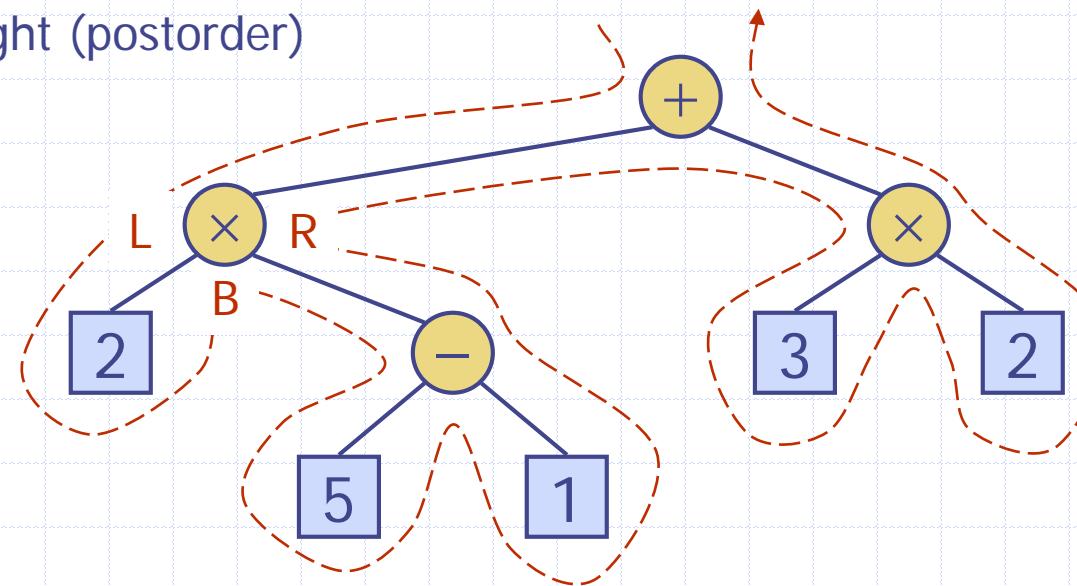


Algorithm *evalExpr(v)*

```
if is_leaf (v)
    return v.element ()
else
    x  $\leftarrow$  evalExpr(left (v))
    y  $\leftarrow$  evalExpr(right (v))
     $\diamond$   $\leftarrow$  operator stored at v
    return x  $\diamond$  y
```

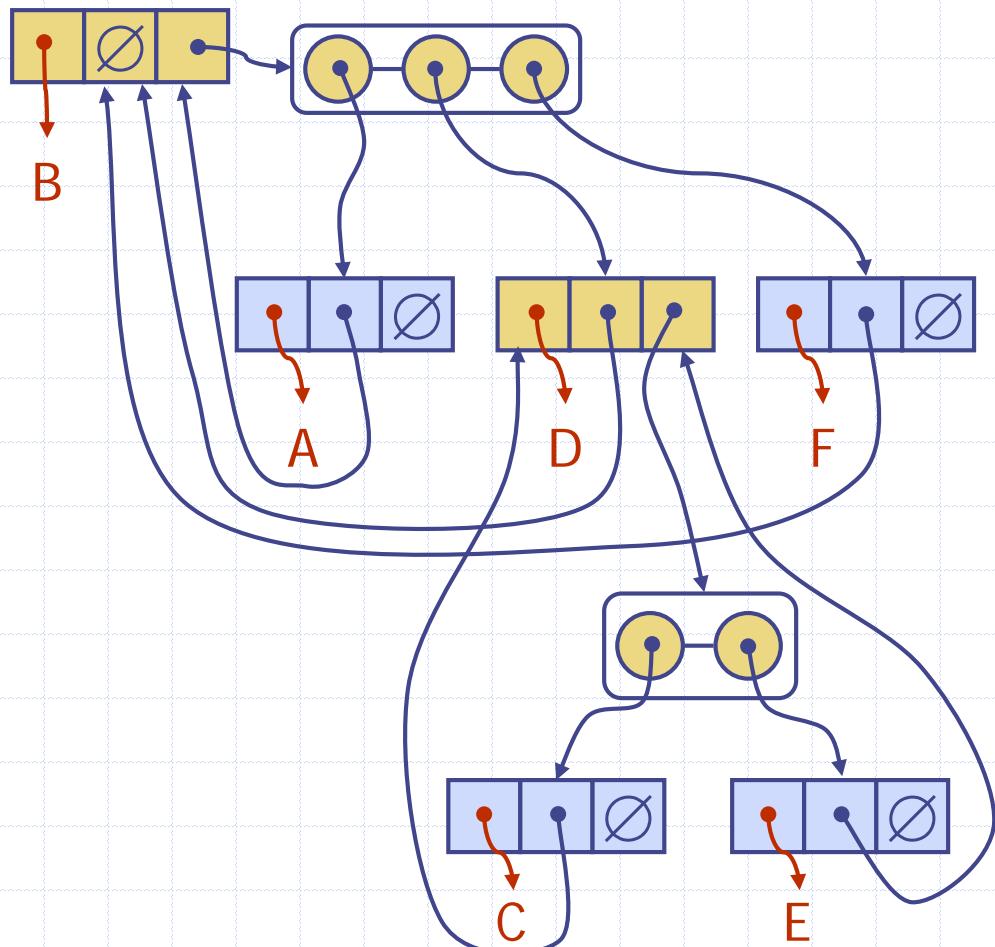
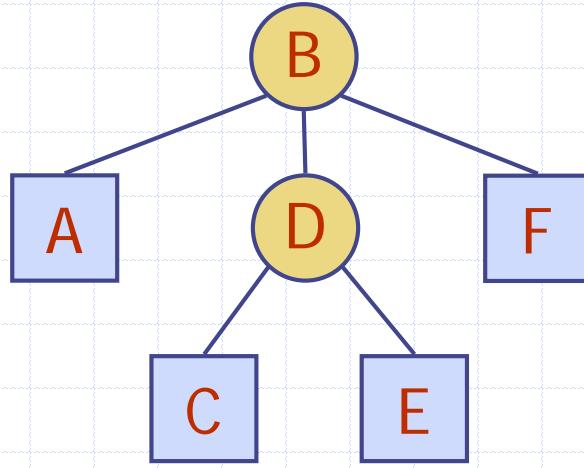
Euler Tour Traversal

- Generic traversal of a binary tree
- Includes a special cases the preorder, postorder and inorder traversals
- Walk around the tree and visit each node three times:
 - on the left (preorder)
 - from below (inorder)
 - on the right (postorder)



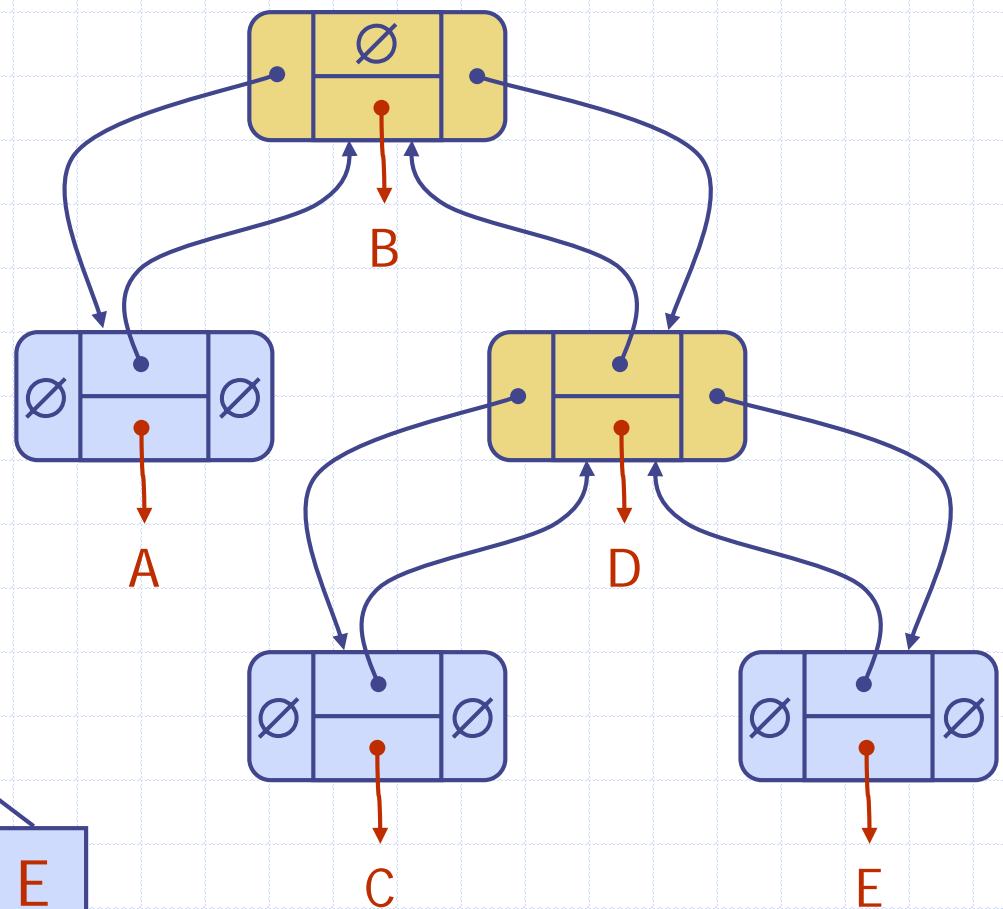
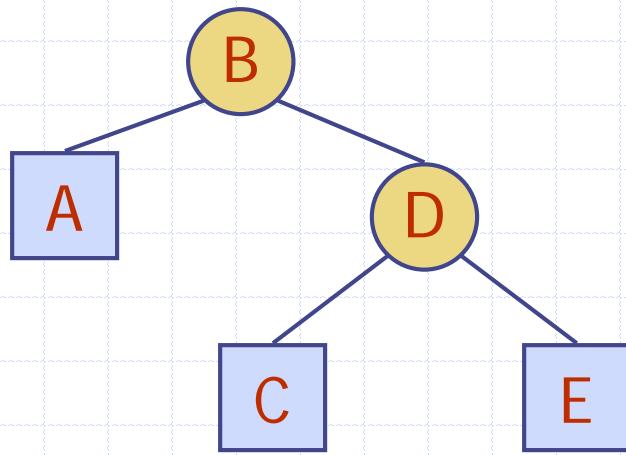
Linked Structure for Trees

- A node is represented by an object storing
 - Element
 - Parent node
 - Sequence of children nodes
- Node objects implement the Position ADT



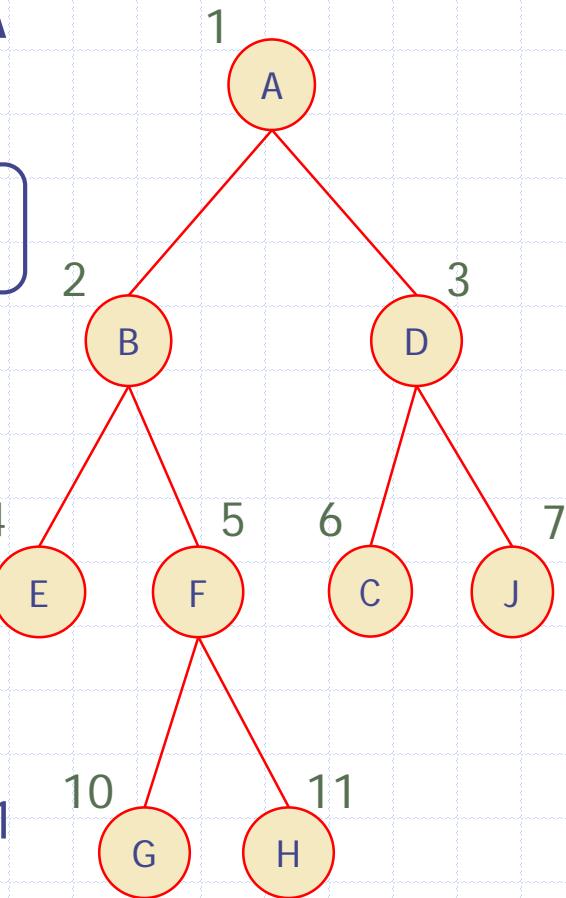
Linked Structure for Binary Trees

- ❑ A node is represented by an object storing
 - Element
 - Parent node
 - Left child node
 - Right child node
- ❑ Node objects implement the Position ADT



Array-Based Representation of Binary Trees

- Nodes are stored in an array A



- Node v is stored at $A[\text{rank}(v)]$

- $\text{rank}(\text{root}) = 1$
- if node is the left child of $\text{parent}(\text{node})$,
 $\text{rank}(\text{node}) = 2 \cdot \text{rank}(\text{parent}(\text{node}))$
- if node is the right child of $\text{parent}(\text{node})$,
 $\text{rank}(\text{node}) = 2 \cdot \text{rank}(\text{parent}(\text{node})) + 1$