

# MATH 6302 PSET 3 TEST V1

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**Problem 1:****a:**

Recall that since

$$\eta_\epsilon(\mathcal{L}) := \inf\{s > 0 : \rho_{\frac{1}{s}}(\mathcal{L}^*) \leq 1 + \epsilon\}$$

Now recall in chapter 5.2 we are given an equivalent definition in a remark:

$$\eta_\epsilon := s \text{ s.t. } \rho_{\frac{1}{s}}(\mathcal{L}^*) = 1 + \epsilon$$

This implies we need to find the value such that

$$\rho_{\frac{1}{s}}(\mathcal{L}^*) = 1 + \epsilon = \sum_{y \in \mathcal{L}^*} e^{-\pi \|y\|^2 s^2}$$

Now given a lattice  $\alpha\mathcal{L}$ , recall that the dual lattice  $(\alpha\mathcal{L})^* = \frac{1}{\alpha}\mathcal{L}^*$ . Thus

$$\rho_{\frac{1}{s}}\left(\frac{1}{\alpha}\mathcal{L}^*\right) = 1 + \epsilon = \sum_{y \in \frac{1}{\alpha}\mathcal{L}^*} e^{-\pi \alpha^2 \|y\|^2 s^2} = \sum_{y \in \frac{1}{\alpha}\mathcal{L}^*} e^{-\pi \|y\|^2 (\alpha s)^2} = \alpha s$$

So  $s$  becomes  $\alpha s$  so

$$\eta_\epsilon(\alpha\mathcal{L}) = \alpha s = \alpha \eta_\epsilon(\mathcal{L}) \quad \text{🧐}$$

**b:**

Recall that  $\rho_s(y - t) = \rho_s(t)\rho_s(y)e^{2\pi\langle y, t \rangle / s^2}$ . Then,

$$\begin{aligned} \rho_s(\mathcal{L} - y) &= \rho_s(\mathcal{L})\rho_s(t)e^{2\pi/s^2\langle y, t \rangle} = \sum_{y \in \mathcal{L}} \rho_s(y)\rho_s(t)e^{2\pi/s^2\langle \mathcal{L}, t \rangle} \\ &= \rho_s(t) \sum_{y \in \mathcal{L}} \rho_s(y)e^{2\pi\langle y, t \rangle} \end{aligned}$$

Now due to the symmetry of the lattice sum

$$= \frac{1}{2}\rho_s(y) \left( \sum_{y \in \mathcal{L}} \rho_s(y)e^{2\pi/s^2\langle y, t \rangle} + \sum_{-y \in \mathcal{L}} \rho_s(y)e^{2\pi/s^2\langle y, t \rangle} \right) = \rho_s(t) \frac{1}{2} \sum_{y \in \mathcal{L}} \rho_s(y) (e^{2\pi\langle y, t \rangle} + e^{-2\pi\langle y, t \rangle})$$

However, we note that

$$\cosh(2\pi \langle y, t \rangle) = \frac{1}{2}(e^{2\pi\langle y, t \rangle} + e^{-2\pi\langle y, t \rangle})$$

but  $\cosh(x) \geq 2$ , so we have

$$\geq \rho_s(t) \sum_{y \in \mathcal{L}} \rho_s(y) \sqrt{e^{2\pi\langle y, t \rangle} e^{-2\pi\langle y, t \rangle}} = \rho_s(t) \sum_{y \in \mathcal{L}} \rho_s(y) = \rho_s(t)\rho_s(\mathcal{L})$$



**c:**

Recall that

$$\eta_\epsilon := \inf\{s > 0 : \rho_{1/s}(\mathcal{L}^*) \leq 1 + \epsilon\}$$

which is equivalent to

$$\forall s \leq \frac{\sqrt{\log(2/\epsilon)}/\pi}{\lambda_1(\mathcal{L}^*)} \implies \rho_{1/s}(\mathcal{L}^*) \geq 1 + \epsilon,$$

Now let  $y$  be the shortest vector in the lattice. This implies that Since

$$\rho_{1/s}(\mathcal{L}^*) := \sum_{w \in \mathcal{L}^*} \rho_{1/s} w \geq \rho_{1/s}(0) + \rho_{1/s}(y) + \rho_{1/s}(-y) \geq \rho_{1/s}(0) + \rho_{1/s}(y) + \rho_{1/s}(-y) = 1 + 2e^{-\pi\|y\|^2 s^2}$$

Now since we know that it is the shortest vector we know that

$$\geq 1 + 2e^{-\pi\lambda_1(\mathcal{L}^*)^2 s^2}$$

We can use that

$$s \leq \frac{\sqrt{\log(2/\epsilon)}/\pi}{\lambda_1(\mathcal{L}^*)}$$

and see that

$$\rho_{1/s}(\mathcal{L}^*) \geq 1 + 2e^{-\pi\lambda_1(\mathcal{L}^*)^2 \left(\frac{\sqrt{\log(2/\epsilon)}/\pi}{\lambda_1(\mathcal{L}^*)}\right)^2} = 1 + \epsilon.$$

Since the statement was equivalent to

$$\eta_\epsilon(\mathcal{L})\lambda_1(\mathcal{L}^*) \geq \sqrt{\log(2/\epsilon)}/\pi.$$

**d:**

Not attempted

**e:**

Recall that

$$\rho_s(\mathcal{L}) := \sum_{y \in \mathcal{L}} \rho_s(y)$$

Thus c

$$\rho_s(\mathbb{Z}^n) = \sum_{y \in \mathbb{Z}^n} e^{-\pi\|y\|^2/s^2} = \sum_{y \in \mathbb{Z}^n} e^{-\pi \sum_{i=1}^n y_i^2/s^2} = \sum_{y \in \mathbb{Z}^n} \prod_{i=1}^n e^{-\pi y_i^2/s^2} = e^{-\pi/s^2} \sum_{y \in \mathbb{Z}^n} \prod_{i=1}^n e^{y_i^2}$$

Note you can interchange the sum and product since both will have each will have  $(x_1, x_2, \dots, x_n)$  takes on every value of  $\mathbb{Z}^n$  exactly once.

$$= \prod_{i=1}^n \sum_{y \in \mathbb{Z}} e^{-\pi/s^2 y^2} = \prod_{i=1}^n \rho_s(\mathbb{Z}) = \rho_s(\mathbb{Z})^n$$



**f:**

Using the same reason as 1c, this statement is equivalent to showing

$$s \leq \sqrt{\log(2n/\epsilon)/\pi} \implies \rho_{1/s}(\mathcal{L}^*) \geq 1 + \epsilon.$$

There are  $2n$  shortest vectors coming from  $\pm \vec{e}_i$  for  $i = 1, \dots, n$ . We can use the bounding of 1c and that  $(\mathbb{Z}^n)^* = \mathbb{Z}^n$  and see

$$\begin{aligned} \rho_{1/s}(\mathcal{L}^*) &= \sum_{\vec{z} \in \mathbb{Z}^n} \rho_{1/s}(\vec{z}) \geq \rho_{1/s}(0) + \sum_{i=1}^n \rho_{1/s}(e_i) + \rho_{1/s}(-e_i) \\ &\geq 1 + 2ne^{-\pi(\log(2n/\epsilon)/\pi)^2} = 1 + \epsilon. \end{aligned}$$

This shows that we have the inequality.

**g:**

Recall the poisson summation formula,

$$\rho_s(\mathcal{L}) = s^n / \det(\mathcal{L}) \rho_{1/s}(\mathcal{L}^*)$$

Then for all  $s > 0$

$$\rho_s(\mathbb{Z}) = s \rho_{1/s}(\mathbb{Z}^*) \geq s \rho_{1/s}(0) = s.$$

We can split the sum of  $\rho_s(\mathbb{Z})$  as

$$\rho_s(\mathbb{Z}) = \rho(0) + 2 \sum_{n=1}^{\infty} \rho_s(n) = 1 + 2 \sum_{n=1}^{\infty} \rho_s(n)$$

Now since  $\rho$  is strictly decreasing between  $(0, \infty)$  implies that

$$\sum_{n=1}^{\infty} \rho_s(n) \leq \int_0^{\infty} \rho_s(x) dx$$

So we know that

$$\rho_s(\mathbb{Z}) \leq 1 + \int_{-\infty}^{\infty} \rho_s(x) dx = s + 1$$

because the integral of the Gaussian with parameter  $s$  is  $s$ .

Then since  $s \leq \rho_s(\mathbb{Z}) \leq (1+s)$  implies that since from the previous question  $\rho_s(\mathbb{Z}^n) = \rho_s(\mathbb{Z})^n \forall s$  that

$$s^n \leq \rho_s(\mathbb{Z}^n) \leq (1+s)^n$$



**Problem 2:****a:**

We are given a matrix  $A$  with each entry randomly distributed amongst  $\mathbb{Z}_q$  such that the first  $n$  columns of the matrix form an invertible matrix.

Now extract the first  $n$  columns of the matrix. Call this matrix  $M$ . Let  $M^{-1}$  be the inverse of this matrix. Let the remaining rows of the matrix be  $A'$ . Then  $A = [M|A']$  is trivially true. Then this implies that  $M^{-1}A = M^{-1}[M|A'] = [I_n|M^{-1}A']$ . Note this is the same idea as row reduction. Thus since this form is the same as the matrix form created by row reduction and is invertible, it forms a bijection inside Mapping back to original SIS, this solution works as

$$Az \cong M^{-1}MAz \pmod{q} \implies$$

Now since  $M^{-1}Az \cong 0 \pmod{q} \implies$

$$Az \cong M0 \pmod{q} \implies$$

$$Az \cong 0 \pmod{q}$$

Which solves original SIS and hence shows a reduction between the problems

**b:**

Let  $(A, b)$  be an LWE instance over  $\mathbb{Z}_q$ , and write

$$A = \begin{pmatrix} G \\ H \end{pmatrix}, \quad b = \begin{pmatrix} u \\ v \end{pmatrix},$$

where  $G$  is an  $n \times n$  matrix that is invertible mod  $q$ , and  $u$  is  $n$ -dimensional. We know there exists some  $s \in \mathbb{Z}_q^n$  and an error vector  $e$  such that

$$b = As + e,$$

and we partition  $e$  accordingly as

$$e = \begin{pmatrix} e_1 \\ e_2 \end{pmatrix}.$$

Define

$$A' := -HG^{-1}, \quad b' := v - HG^{-1}u.$$

Because  $G$  is invertible over  $\mathbb{Z}_q$ ,  $HG^{-1}$  behaves as a uniformly random matrix, so  $A'$  is suitable for normal-form LWE. We note

$$v = Hs + e_2, \quad u = Gs + e_1.$$

Then

$$b' = v - HG^{-1}u = (Hs + e_2) - HG^{-1}(Gs + e_1) = e_2 - HG^{-1}e_1 = e_2 + A'e_1.$$

Hence,  $b'$  is of the form  $A' \cdot (\text{something}) + (\text{error})$ , which matches normal-form LWE if we treat  $e_1$  as the new “secret” and  $e_2$  as the new “error.”

Suppose there is an oracle that solves normal-form LWE on input  $(A', b')$  and returns  $e_1$ . Then from the upper part of the original instance,

$$u = Gs + e_1,$$

we invert  $G$  (which is efficient) and get

$$s = G^{-1}(u - e_1).$$

Thus, once we have  $e_1$ , we immediately recover the original secret  $s$  in polynomial time.

**Problem 3:****a:**

Recall that

$$M_{s,r} := \int_{\|x\| \geq r} \rho_s(x) dx$$

Then if we let  $s' = \alpha s$  implies that

$$M_{\alpha s, r} = \int_{\|x\| \geq r} \rho_{\alpha s}(x) dx = \int_{\|x\| \geq r} e^{-\pi \|x\|^2 / (\alpha s)^2}$$

And so if we do

$$= \int_{\|x\| \geq r} e^{-\pi \|x\|^2 / s^2 (1 - 1/\alpha^2)} = \int_{\|x\| \geq r} e^{-\pi \|x\|^2 / s^2} e^{\pi (1 - 1/\alpha^2) \|x\|^2 / s^2}$$

we know that

$$e^{-\pi \|x\|^2 / s^2} e^{\pi (1 - 1/\alpha^2) r^2 / s^2} \geq e^{-\pi \|x\|^2 / s^2} e^{\pi (1 - 1/\alpha^2) r^2 / s^2} \forall \|x\|.$$

Thus we know that

$$\begin{aligned} \int_{\|x\| \geq r} e^{-\pi \|x\|^2 / s^2} e^{\pi (1 - 1/\alpha^2) \|x\|^2 / s^2} &\geq \int_{\|x\| \geq r} e^{-\pi \|x\|^2 / s^2} e^{\pi r^2 / s^2 (1 - 1/\alpha^2)} \\ &= e^{\pi (1 - 1/\alpha^2) r^2 / s^2} \int_{\|x\| \geq r} e^{-\pi \|x\|^2 / s^2} \end{aligned}$$

And since

$$M_{s,r} = \int_{\|x\| \geq r} e^{-\pi \|x\|^2 / s^2}$$

Is trivially known implies that

$$= e^{\pi (1 - 1/\alpha^2) r^2 / s^2} M_{s,r}$$

Thus we have that

$$M_{\alpha s, r} \geq e^{\pi (1 - 1/\alpha^2) r^2 / s^2} M_{s,r}$$

**b:**Recall that  $M_{\alpha s, r} \leq M_{\alpha s, 0} = (\alpha s)^n$ . and if  $r > 0$  then  $M_{\alpha s, r} < M_{\alpha s, 0} = (\alpha s)^n$  Now since we know from 3.1 that

$$M_{\alpha s, r} \geq e^{\pi (1 - 1/\alpha^2) r^2 / s^2} M_{s, r}$$

So multiplying each side by  $e^{-\pi (1 - 1/\alpha^2) r^2 / s^2}$  implies that

$$M_{s, r} \leq e^{-\pi (1 - 1/\alpha^2) r^2 / s^2} M_{\alpha s, r}$$



But since we directly know that  $\text{leq } M_{\alpha s, r} < M_{\alpha s, 0} = (\alpha s)^n$  Directly implies that

$$M_{s, r} \leq e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} M_{\alpha s, r} < e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} M_{\alpha s, 0} = e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} (\alpha s)^n$$

And thus we showed that

$$M_{s, r} < e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} (\alpha s)^n$$



**c:**

Recall that

$$\frac{1}{s^n} \int_{||x|| \geq r} \rho_s(x) dx = \frac{1}{s^n} M_{s, r}$$

Now since we directly know that

$$M_{s, r} < e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} (\alpha s)^n$$

Directly implies that

$$\frac{1}{s^n} \int_{||x|| \geq r} \rho_s(x) dx < \frac{1}{s^n} e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} (\alpha s)^n$$

Thus since we know that  $r > \sqrt{n/(2\pi)}s$  implies that since this equation holds for any  $\alpha > 1$  setting  $\alpha = \sqrt{\frac{2\pi}{n} \frac{r}{s}}$  trivially knowing that this is greater than 1 implies that

$$\frac{1}{s^n} \int_{||x|| \geq r} \rho_s(x) dx < \frac{1}{s^n} e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} (\alpha s)^n = e^{-\pi(1-\frac{1}{\alpha^2}r^2/s^2)} \alpha^n = \alpha^n e^{-\pi r^2/s^2} e^{\pi r^2/(\alpha s)^2}$$

Now replacing  $\alpha$  with its value implies that

$$\begin{aligned} &= \left( \sqrt{\frac{2\pi}{n}} \frac{r}{s} \right)^n e^{-\pi r^2/s^2} e^{\pi r^2 / ((\sqrt{\frac{2\pi}{n}} \frac{r}{s}) s)^2} \\ &= \left( \frac{2\pi e r^2}{n s^2} \right)^{n/2} e^{-\pi r^2/s^2} \end{aligned}$$



**Problem 4:**

Let the algorithm be

```

1   Algo(B): #input the basis
2   B' = LLL( $\delta = 3/4$ , B) #Calculate the LLL reduced basis
3    $B^{*'} = B'((B')^T B')^{-1}$  # Calculate the Dual
4    $b_1 = B'[1]$ 
5   for i in range(1, n):
6        $y = \mathcal{A}(B', 2^{-\frac{n}{2}+i} \cdot \|b_1\|)$ 
7       if and  $y \in \text{Span}(B)$  and not  $y == \{0\}$ :
8           append y to a list
9   return the smallest y in the list
10

```

Now to study time complexity:

Now since given that finding  $B'$  requires polynomial time complexity it has time complexity of  $\text{poly}(n, l)$ . Now since calculating the dual matrix consists of one transpose ( $n^2$ ) operation, one multiplication ( $n^3$ ) operation, one inversion ( $n^3$ ) operation, and one more multiplication ( $n^3$ ) operation, this step is polynomial still. After we run  $\mathcal{A}$  for  $n$  steps, resulting in a time complexity of  $T(n, l)$  per step. Thus since checking if it is in the span of a lattice is polynomial (checking by multiplying with the basis of the dual lattice) and checking if its the zero vector is  $n$ , We get the time complexity inside the loop is  $nT(n, l) + n\text{poly}(n, l)$  which implies the total time complexity is

$$nT(n, l) + n\text{poly}(n, l) + \text{poly}(n, l) = nT(n, l) + \text{poly}(n, l)$$



Now to show correctness:

Recall that given  $B \in \mathbb{R}^{n \times n}$  is a  $\delta = \frac{3}{4}$  LLL reduced basis for a lattice  $\mathcal{L}$  then  $\|\tilde{b}_i\| \geq \lambda_1(\mathcal{L})/2^{n/2}$ . (HW 2.1)

Also recall that if  $B \in \mathbb{R}^{n \times n}$  is a  $\delta = \frac{3}{4}$  LLL basis for  $\mathcal{L}$  then (Theorem 2.12)

$$\|b_1\| \leq \frac{\lambda_1(\mathcal{L})}{(\delta - \frac{1}{4})^{(n-1)/2}}$$

Now

$$\|\tilde{b}_i\| \geq \lambda_1(\mathcal{L})/2^{n/2} \implies 2^{n/2}\|\tilde{b}_i\| \geq \lambda_1(\mathcal{L}) \implies 2^{n/2}\|b_1\| \geq \lambda_1(\mathcal{L})$$

and

$$\|b_1\| \leq \frac{\lambda_1(\mathcal{L})}{(\delta - \frac{1}{4})^{(n-1)/2}} \implies (\delta - \frac{1}{4})^{(n-1)/2}\|b_1\| \leq \lambda_1(\mathcal{L}) \implies$$

$$(\frac{1}{2})^{(n-1)/2}\|b_1\| \leq \lambda_1(\mathcal{L}) \implies 2^{-(n-1)/2}\|b_1\| \leq \lambda_1(\mathcal{L})$$

Thus since  $\|\tilde{b}_i\| \geq \lambda_1(\mathcal{L})/2^{n/2}$  implies that there exists some  $i \in \text{Range}(1, n)$  such that  $\|\tilde{b}_i\| \geq \lambda_1(\mathcal{L})/2^{-n/2+i}$  suffices (setting  $i = n$  returns the original equation). Let  $i'$  be the  $i$  such that the norm is minimal.

Now if  $i' = 1$  then we know that  $2^{-(n-1)/2}\|b_1\| \leq \lambda_1(\mathcal{L})$  and that  $\lambda_1(\mathcal{L}) \leq 2^{-n/2+1}$  which by definition implies that

$$\lambda_1 \leq \|b_1\| \leq 2^0\|b_1\| \leq \sqrt{2}\lambda_1(\mathcal{L})$$

And thus  $b$  for  $i' = 1$  is in the range to output the right vector.

Now if  $i' > 1$  we know by minimality of  $i'$  that

$$2^{-n/2+i'} \|b_1\| \geq \mathcal{L}_1(\mathcal{L}) \geq 2^{-n/2+i'-1}$$

so we directly know that

$$\lambda_1(\mathcal{L}) \leq 2^{-n/2+i'} \|b_i\| \leq 2\lambda_1(\mathcal{L})$$

and thus  $b$  is in the right range such that the output is the right vector for  $i' > 1$ .

Thus for all  $i' \in \text{Range}(1, n)$  there exists a output that gives the answer from  $\mathcal{A}$  and thus since  $i'$  is guaranteed to exist in that range this algorithm will work. 🐛

**Problem 5:**

A lot like 25 hours