## **MATH6302**

AUSTIN WU

Date: May 28, 2025.

### Problem 1:

#### a:

Given a  $\mathcal{L}$ , run the  $\gamma$ -svp on it. Thus we have obtained a non-zero vector v such that

$$||v|| \le \gamma \lambda_1(\mathcal{L})$$

And since we know from minkowskis theorem that

$$\lambda_1(\mathcal{L}) \leq \sqrt{n} \det(\mathcal{L})^{\frac{1}{n}}$$

Implies that

$$||v|| \le \gamma \sqrt{n} \det(\mathcal{L})^{\frac{1}{n}}$$

Which implies that running  $\gamma$ -SVP returns a non-zero vector that satisfies  $\gamma\sqrt{n}$ -MSVP. Thus since  $\gamma$ -SVP runs in polynomial time there is trivially a reduction to polynomial time.

### b:

Recall from cauchy schwartz that given

$$||v|| < \frac{1}{\delta} \det(\mathcal{L})^{\frac{1}{n}}$$

and

$$||w|| < \delta \det(\mathcal{L}^*)^{\frac{1}{n}}$$

That by cauchy schwartz, since

$$|\langle w, v \rangle| \le ||w|| ||v||$$

Implies that

(1) 
$$|\langle w, v \rangle| \le ||v|| ||w|| < \frac{1}{\delta} \det(\mathcal{L})^{\frac{1}{n}} \delta \det(\mathcal{L}^*)^{\frac{1}{n}} = (\det(\mathcal{L}) \det(\mathcal{L}^*))^{\frac{1}{n}}$$

Thus since  $\det(\mathcal{L})\det(\mathcal{L}^*)=1$  even for non full rank lattices, we know that

$$(2) = 1^{\frac{1}{n}}$$

$$(3) = 1$$

But since by definition of a dual lattice we know that  $\langle w, v \rangle \in \mathbb{Z}$  and  $|\langle w, v \rangle| < 1$  there is only one possible solution which is that  $|\langle w, v \rangle| = 0$ 

 $\mathbf{c}$ :

Let  $\det(\mathcal{L}_i)$  be the determinat of the *i*-th lattice. Let  $r_i$  be the rank of the *i*-th lattice. Since we start with a lattice at rank n this implies that  $r_i = n - i + 1$ . Thus since  $\mathcal{A}$  solves  $\gamma$ -MSVP we know by theorem 1.1 that

$$||y_i|| \le \delta \det(\mathcal{L}_i)^{\frac{1}{r_i}}$$
$$||w_i|| \le \delta \det(\mathcal{L}_i^*)^{\frac{1}{r_i}}$$

We also know for any given lattice intersecting with  $w_i^{\perp}$  reduces the determinant  $\det(\mathcal{L}_{i+1}) = \frac{\det(\mathcal{L}_i)}{||w_i||}$ . Now let  $v = \lambda_1(\mathcal{L}) \in \mathcal{L}$ . Recall also that  $\det(\mathcal{L}_i) \det(\mathcal{L}_i^*) = 1$  for every i

Let  $v \in \mathcal{L}$  be a non-zero vector with  $||v|| = \lambda_1(\mathcal{L})$ . Now let t be the smallest index such that

$$t := \min \left\{ i : ||v|| \le \frac{1}{\delta} \det(\mathcal{L}_i)^{1/r_i} \right\}.$$

Because  $\delta \geq \sqrt{n}$ , by Minkowski such an index exists. Thus for all j < t we have  $||v|| > \det(\mathcal{L}_j)^{1/r_j}/\delta$ . Combining this with the bound on  $||w_j||$  and Problem 1.2 gives  $\langle w_j, v \rangle \neq 0$  so  $v \notin \mathcal{L}_{j+1}$ . But since by construction  $v \in \mathcal{L}_t$ , and at i = t the problem does apply, so since  $\alpha$  guarantees a solution to  $\delta$ -MSVP,

$$\langle w_t, v \rangle = 0,$$
 i.e.  $v \in \mathcal{L}_{t+1}$ .

$$||y_{t+1}|| \le \delta \det(\mathcal{L}_{t+1})^{1/r_{t+1}} = \delta \left(\det(\mathcal{L}_t)/||w_t||\right)^{1/(r_t-1)}$$

Now since  $||w_t|| \leq \delta \det(\mathcal{L}_t^*)^{1/r_t}$  and  $\det(\mathcal{L}_t) \det(\mathcal{L}_t^*) = 1$ ,

$$\det(\mathcal{L}_{t+1})^{1/r_{t+1}} \leq \left(\det(\mathcal{L}_t)\right)^{1/(r_t-1)} = \left(\delta \|v\|\right)^{\frac{r_t}{r_t-1}},$$

where the equality uses the definition of t. Thus,

$$||y_{t+1}|| \leq \delta \left(\delta ||v||\right) = \delta^2 \lambda_1(\mathcal{L}).$$

Thus the algorithm outputs the shortest vector among  $y_1, \ldots, y_n$ , so the final answer y satisfies

$$||y|| \le ||y_{t+1}|| \le \delta^2 \lambda_1(\mathcal{L}),$$

i.e. it is a valid solution to  $\delta^2$ -SVP.

### Problem 2:

#### a:

Let  $y_1, \ldots, y_l \in \mathcal{L}'$  be a basis of  $\mathcal{L}'$  and extend it to  $y_{l+1}, \ldots, y_n \in \mathcal{L}$  so that  $y_1, \ldots, y_n$  is a basis of  $\mathbb{R}^n$ . Set  $U := \operatorname{span}(\mathcal{L}')$  and  $V := \operatorname{span}(y_{l+1}, \ldots, y_n)$ . Because  $U \oplus V = \mathbb{R}^n$ , we have  $(\mathcal{L}')^{\perp} = V^{\perp}$  and dim  $V^{\perp} = n - l$ . Note that  $V \cap U = \{0\}$ .

For i > l put  $z_i := \Pi_{(\mathcal{L}')^{\perp}}(y_i)$ . Since the vectors  $z_{l+1}, \ldots, z_n$  are linearly independent (projection is injective on V since  $\cap U = \{0\}$ ) and lie in  $(\mathcal{L}')^{\perp}$ , so they form a basis of  $(\mathcal{L}')^{\perp}$ .

Now for each  $z_i$  since we know it belongs to  $S = \Pi_{(\mathcal{L}')^{\perp}}(\mathcal{L})$  we know that  $(\mathcal{L}')^{\perp} \subseteq \operatorname{span}(S)$ . The opposite inclusion is also obvious, which implies equality.

### b:

Let  $y_1, \ldots, y_l \in \mathcal{L}'$  be a basis of  $\mathcal{L}'$  and extend it to linearly independent  $y_{l+1}, \ldots, y_n \in \mathcal{L}$ . This is guarenteed to exist since  $\mathcal{L}'$  is a sublattice. Now write  $B := \{y_1 \ldots y_n\}$ , so  $L = B\mathbb{Z}^n$  and its dual lattice has basis  $B^{-*} := B^{-T}$ . Denote the dual basis vectors by  $y_1^*, \ldots, y_n^*$ , so  $B^{-*} := \{y_1^* \ldots y_n^*\}$ .

Now since by construction  $y_j^* \in \mathcal{L}^*$ . This implies that for  $1 \leq i \leq l < j \leq n$  we have  $\langle y_i, y_j^* \rangle = \delta_{ij} = 0$ , hence  $y_j^* \perp \mathcal{L}'$ , so  $y_j^* \in (\mathcal{L}')^{\perp}$ . Not since independence is inherited from the dual basis. Thus  $y_{l+1}^*, \ldots, y_n^* \in \mathcal{L}^* \cap (L')^{\perp} = T$  and the vectors are linearly independent.

There are n-l such vectors, matching  $\dim(\mathcal{L}')^{\perp}$ , so this implies that  $\operatorname{span}(T) \supseteq \operatorname{span}\{y_{l+1}^*,\ldots,y_n^*\} = (\mathcal{L}')^{\perp}$ .

Thus since we also know that by definition  $T \subseteq (\mathcal{L}')^{\perp}$ , hence

$$\mathrm{span}(T) = (\mathcal{L}')^{\perp}.$$

#### c:

Let  $w \in \mathcal{L}^* \cap (\mathcal{L}')^{\perp}$ . For any  $y \in \mathcal{L}$  let  $s := \Pi_{(\mathcal{L}')^{\perp}}(y) \in S$ . Thus since w is in  $(\mathcal{L}')^{\perp}$  and s(y) is in the proejction space which is self adjoint implies that for any  $y \in \mathcal{L}$ ,

$$\langle s(y), w \rangle = \langle \Pi_{(C')^{\perp}}(y), w \rangle = \langle y, \Pi_{(C')^{\perp}}(w) \rangle = \langle y, w \rangle \in \mathbb{Z}$$

Since  $w \in \mathcal{L}^*$ . Thus since  $w \operatorname{span}(S) = (\mathcal{L}')^{\perp}$  implies that  $w \in S^*$ .

Now let  $w \in S^*$ . By definition of  $S^*$  we already have  $w \in \operatorname{span}(S) = (\mathcal{L}')^{\perp}$ . To prove  $w \in \mathcal{L}^*$ , pick an arbitrary  $y \in \mathcal{L}$  and set  $y = y_{\parallel} + y_{\perp}$  with  $y_{\parallel} \in \operatorname{span}(\mathcal{L}')$  and

 $y_{\perp} := \Pi_{(\mathcal{L}')^{\perp}}(y) \in S$ . Then

$$\langle y, w \rangle = \langle y_{\parallel}, w \rangle + \langle y_{\perp}, w \rangle.$$

Because  $w \in (\mathcal{L}')^{\perp}$ , the first term vanishes  $(w \perp \mathcal{L}')$  and the second term is an integer since  $y_{\perp} \in S$  and  $w \in S^*$ . Hence  $\langle y, w \rangle \in \mathbb{Z}$  for all  $y \in \mathcal{L}$ , which implies that  $w \in \mathcal{L}^*$ . Thus the two inclusions give  $S^* = lat^* \cap (\mathcal{L}')^{\perp}$ , establishing that

$$\left(\Pi_{(\mathcal{L}')^{\perp}}(\mathcal{L})\right)^* = \mathcal{L}^* \cap (\mathcal{L}')^{\perp}.$$

# Problem 3:

Not as much as others